

B/ B+ Trees

Kumkum Saxena

Motivation for B-Trees

- Index structures for large datasets cannot be stored in main memory
- Storing it on disk requires different approach to efficiency
- Assuming that a disk spins at 3600 RPM, one revolution occurs in $1/60$ of a second, or 16.7ms
- Crudely speaking, one disk access takes about the same time as 200,000 instructions

Motivation (cont.)

- Assume that we use an AVL tree to store about 20 million records
- We end up with a **very** deep binary tree with lots of different disk accesses; $\log_2 20,000,000$ is about 24, so this takes about 0.2 seconds
- We know we can't improve on the $\log n$ lower bound on search for a binary tree
- But, the solution is to use more branches and thus reduce the height of the tree!
 - As branching increases, depth decreases

Definition of a B-tree

- A B-tree of order m is an m -way tree (i.e., a tree where each node may have up to m children) in which:
 1. the number of keys in each non-leaf node is one less than the number of its children and these keys partition the keys in the children in the fashion of a search tree
 2. all leaves are on the same level
 3. all non-leaf nodes except the root have at least $\lceil m / 2 \rceil$ children
 4. the root is either a leaf node, or it has from two to m children
 5. a leaf node contains no more than $m - 1$ keys
- The number m should always be odd

Structure of binary search tree node

POINTER TO LEFT SUB TREE	VALUE OR KEY OF THE NODE	POINTER TO RIGHT SUB TREE
--------------------------------	--------------------------------	---------------------------------

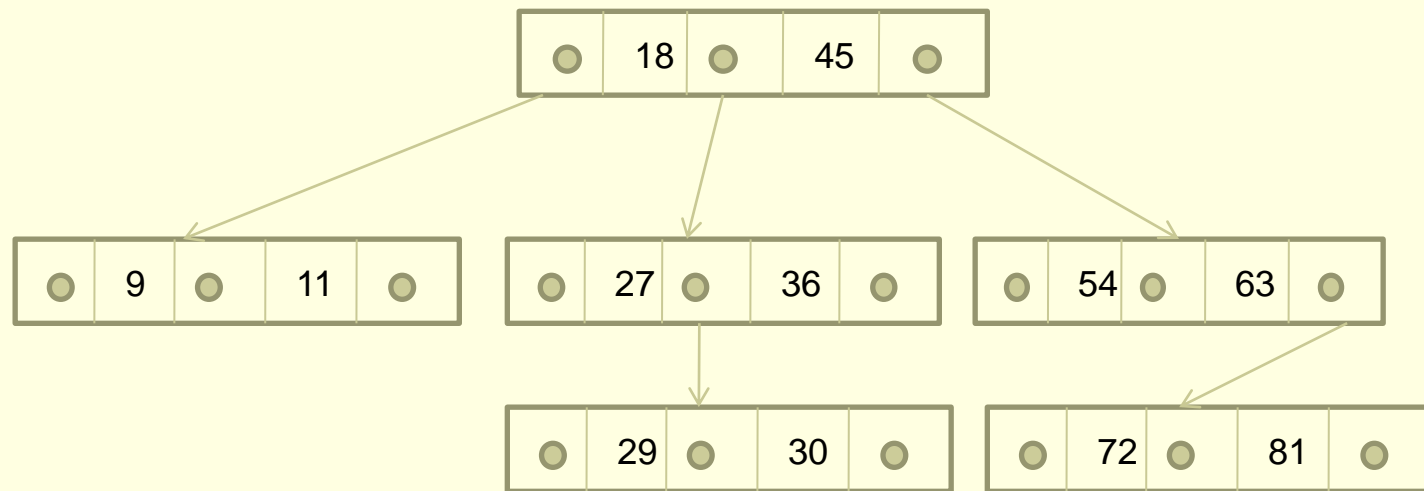
B tree of order m can have a maximum $m-1$ keys and m pointers to its sub-tree. Storing a large number of keys in the single node keeps the height of tree relatively small. In addition it has the following properties:

- Every node in the B-tree has atmost m children
- Every node in B-tree except the root node and leaf node has atleast $m/2$ children. This condition helps to keep the tree bushy so that path from root node to leaf node is very short, even in a tree that stores lot of data.
- All leaf nodes are at the same level.

Structure of M-way search tree

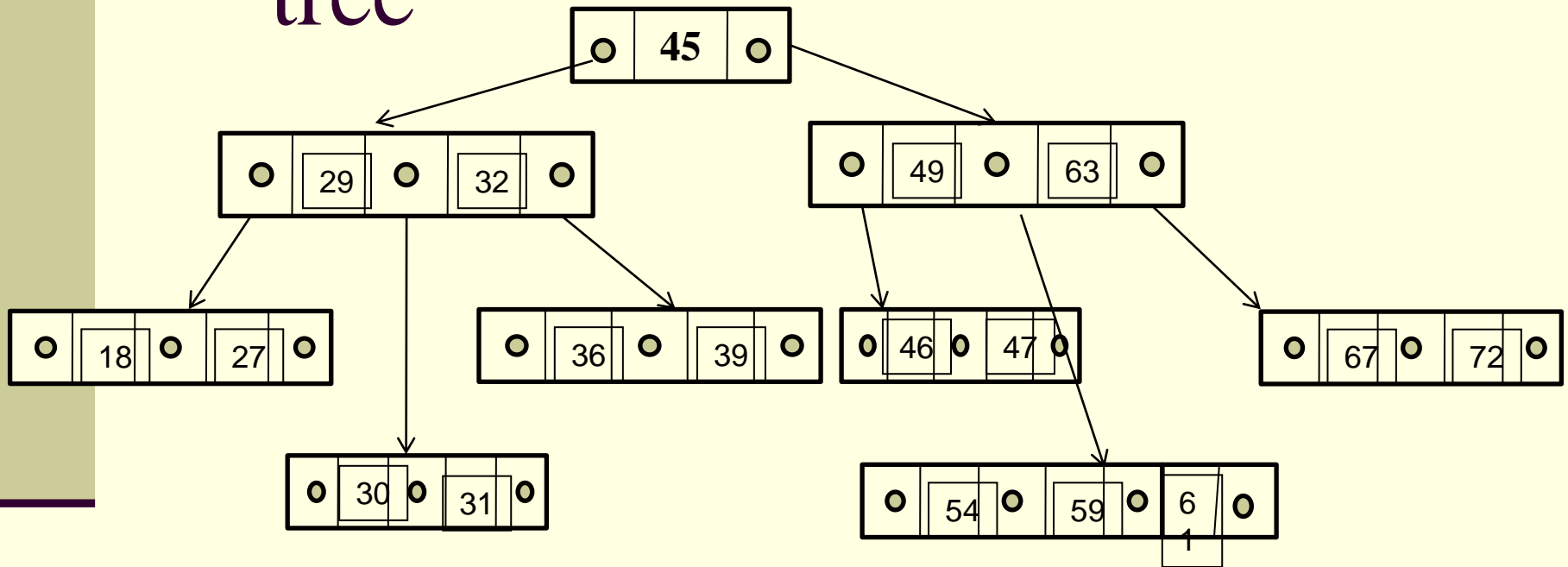
- In the structure shown P_0, P_1, \dots, P_N are the pointers to the node subtree and $k_0, k_1, k_2 \dots k_{n-1}$ are key values of the node. All key values are stored in ascending order. i.e. $k_i < k_{i+1}$ for $0 \leq i \leq n-2$

P_0	K_0	P_1	K_1	P_2	K_2	P_{N-1}	K_{N-1}	P_N
-------	-------	-------	-------	-------	-------	-------	-----------	-----------	-------



M-way search tree of order 3

Searching for element in B-tree



Search value 59 and 9

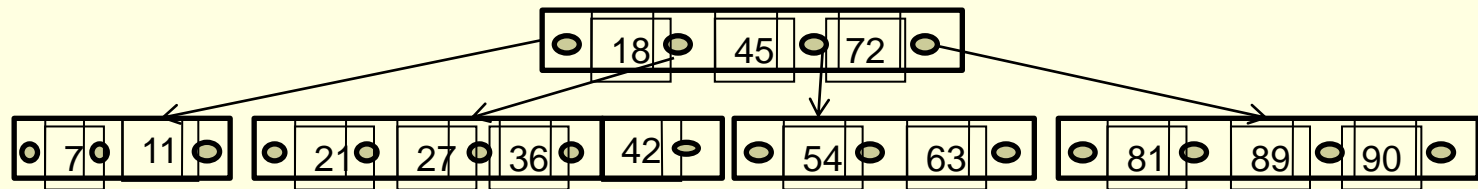
Searching for element in B-tree

- Searching for element in B-tree is similar to that in BST.
- To search for 59, we begin at the root node.
- Root has value 45 which is less than 59. so we traverse to right sub-tree. Right sub tree of root node has two key values 49 and 63.
- Since $49 \leq 59 \leq 63$. now we traverse the right sub tree of 49 that is the left sub-tree of 63.
- This sub-tree has three values 54 59 61. on finding value 59 search is successful

Inserting new node in B-tree

- In a B-tree, all insertions are done at leaf level node. A new value is inserted in B-tree using algorithm given below.
- Search the B-tree to find the leaf node where new key value should be inserted.
- If leaf node is not full that contains less than $m-1$ key values, then insert the new element in the node keeping the node's element ordered
- If node is full then
 - A) insert new values in order into existing set of values.
 - B) split the node at its median into two nodes (note that split nodes are half full and
 - C) push median at its parent node. If the parent node is already full then split parent node by following same steps

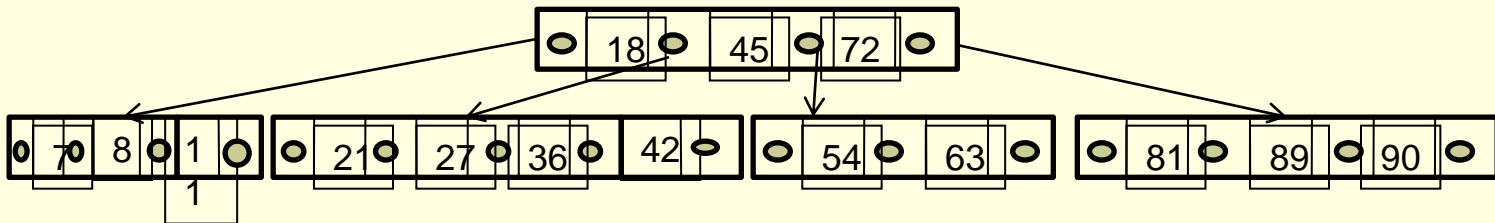
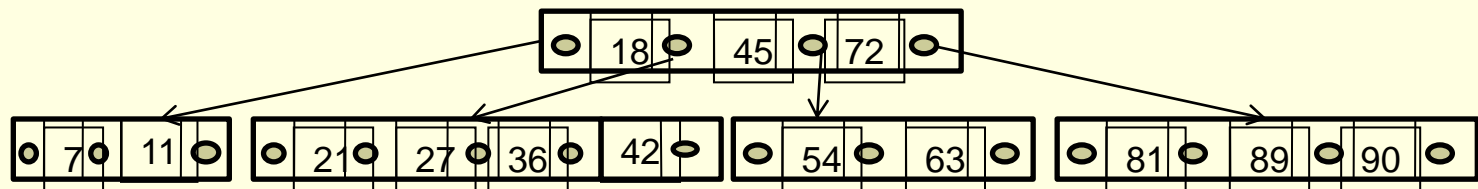
Look at the B tree of order 5 given below insert 8 ,9, 39 and 4 in it



B- tree with order 5

Step 1

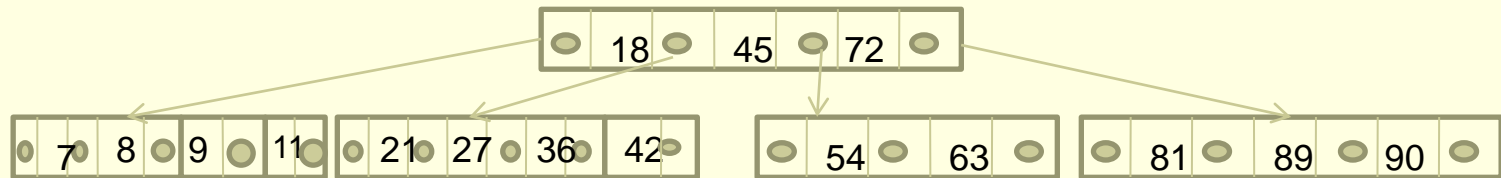
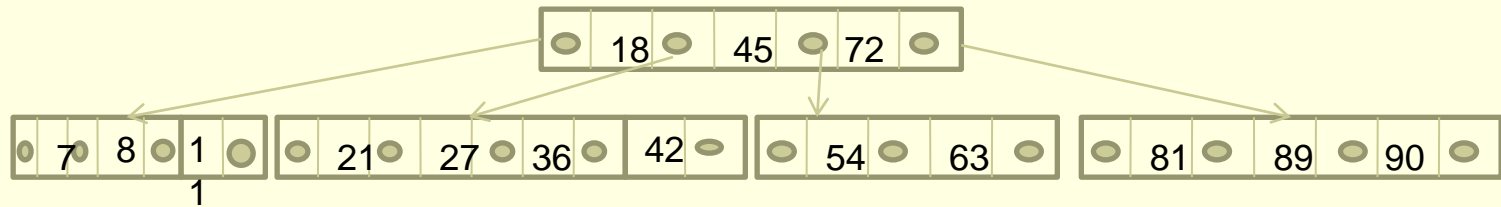
Insert 8



After inserting new value 8

Step 2

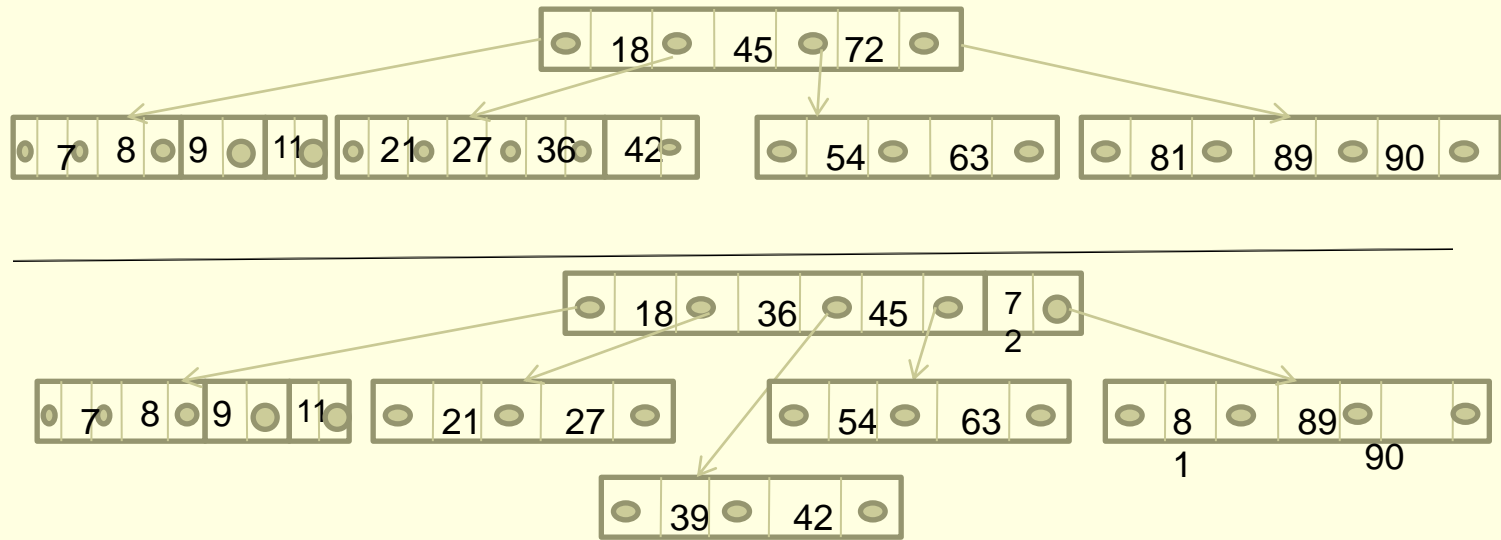
Insert 9



After inserting new value 9

Step 3

Insert 39



After inserting new value 39

Step 4

Insert 4

