# Sarah Dean

https://sdean.website		sdean@cornell.edu
Academic Positions	Assistant Professor, Department of Computer Science Cornell University, Ithaca, NY.	Jan 2022 – present
	Postdoctoral Scholar, Paul G. Allen School of Computer Science & Engineering University of Washington, Seattle, WA. Advised by Prof. Jamie Morgenstern.	Aug 2021 – Dec 2021
Education	University of California, Berkeley Ph.D., Electrical Engineering and Computer Science, August 2021.  Thesis: Reliable Machine Learning in Feedback Systems, advised by Prof. Benjamin Recht. M.S., Electrical Engineering and Computer Science, May 2019.	
	University of Pennsylvania B.S.E., Electrical Engineering and Mathematics, May 2016.	
Honors and Awards	Best Paper Finalist, Conference on Robot Learning Best Paper Award, NeurIPS Joint Workshop on AI for Social Good Best Paper Award, International Conference of Machine Learning Best Student Paper in Imaging Systems, OSA Imaging Applied Optics Congress Tong Leong Lim Pre-Doctoral Prize, UC Berkeley EECS Department	2020 2019 2018 2018 2018
Grants and Fellowships	Bias and Transparency in AI Award, <i>Mozilla Technology Fund</i> Future Fund Regranting Program, <i>FTX</i> Gift for Recommendations with Long-Term Strategic Objectives, <i>Wayfair</i> Research Gift, <i>Meta</i> Center for Longterm Cybersecurity Project Grant, <i>UC Berkeley</i>	2023 2022 2022 2022 2020
Teaching	<ul> <li>Instructor, Cornell University CS Department.</li> <li>Machine Learning in Feedback Systems, Fall 2022.</li> <li>Introduction to Reinforcement Learning, Spring 2022 and Spring 2023.</li> <li>Graduate Student Instructor, University of California, Berkeley EECS Department.</li> <li>EECS Anti-Racism and Social Justice Course Development, Fall 2020.</li> </ul>	
	<ul> <li>Statistical Learning Theory, Fall 2019.</li> <li>Introduction to Machine Learning, Fall 2018.</li> <li>Teaching Assistant, John's Hopkins Center for Talented Youth at Skidmore College.</li> <li>Electrical Engineering, Summer 2016.</li> </ul>	
	Teaching Assistant, University of Pennsylvania ESE Department.	

- Digital Audio Basics, Spring 2014, 2016.
- Introduction to Electrical and Systems Engineering. Fall 2013, 2014, 2015.

# **Teaching Assistant**, *University of Pennsylvania Math Department*.

- Integral Calculus, Spring 2016.
- Multivariate Calculus, Fall 2014, Spring 2015.

# **Tutor**, *University of Pennsylvania*.

- Multivariate Calculus, Spring 2013, Fall 2013, Spring 2014.
- · Linear Algebra and Differential Equations, Fall 2013, Spring 2014.

Internships Research Intern at Canopy Summer 2019
Explored concepts relating to user agency and developed a computationally efficient audit of model "reachability."

Infrastructure Quality Engineer Intern at Palantir

Created an automated test suite for a data sharing product; wrote regression tests for a front end web form product.

# SERVICE AND LEADERSHIP

**Publications Chair** for L4DC. **Organizing Committee** for Workshop on Decision Making for Information Retrieval and Recommender Systems at WWW.

**Area Chair** for NeuRIPS, ICML, and L4DC. **Conference reviewer** for ALT, ACC, CDC, ICML, ITCS, L4DC, and NeurIPS. **Journal reviewer** for IEEE-TAC, JMLR, SIMODS, and Springer Machine Learning.

**Co-founder** of Graduates for Engaged and Extended Scholarship in Computing and Engineering (geesegraduates.org), a cross-disciplinary group that aims to give graduate students a constructive place to reflect on issues of society and technology and **organizer** of affiliated panel and speaker events.

Women in Computer Science and Engineering lunch coordinator, 2018. WITI@UC Women in Tech Symposium planning committee, 2019.

**Volunteer mentor** for students in elementary school (Bay Area Scientists in Schools, 2017), middle school (Be A Scientist, 2016), high school (CalMentors, 2020), and college (BAIR Undergraduate Mentoring Program, 2017).

## Publications

### **PREPRINTS**

- Perception-Based Sampled-Data Optimization of Dynamical Systems . arXiv:2211.10020.
   Liliaokeawawa Cothren, Gianluca Bianchin, Sarah Dean, Emiliano Dall'Anese.
- 2. Online Convex Optimization with Unbounded Memory. arXiv:2210.09903. Raunak Kumar, Sarah Dean, Robert D. Kleinberg.
- 3. *Multi-learner risk reduction under endogenous participation dynamics.* arXiv:2206.02667. Sarah Dean, Mihaela Curmei, Lillian J. Ratliff, Jamie Morgenstern, Maryam Fazel.
- 4. Reward Reports for Reinforcement Learning. arXiv:2204.10817. Thomas Krendl Gilbert, Sarah Dean, Tom Zick, Nathan Lambert, Aaron Snoswell.
- 5. Do Offline Metrics Predict Online Performance in Recommender Systems? arXiv:2011.07931. Karl Krauth, Sarah Dean, Alex Zhao, Wenshuo Guo, Mihaela Curmei, Benjamin Recht, and Michael I. Jordan.

## JOURNAL ARTICLES

- Axes for Sociotechnical Inquiry in AI Research.
   IEEE Transactions on Technology and Society, 2021.

   Sarah Dean, Thomas Krendl Gilbert, Nathan Lambert, and Tom Zick.
- High-throughput fluorescence microscopy using multi-frame motion deblurring. Biomedical Optics Express, 2020.
   Zachary Phillips, Sarah Dean, Laura Waller, and Benjamin Recht.
- 3. On the Sample Complexity of the Linear Quadratic Regulator. Foundations of Computational Mathematics, 2019.
  Sarah Dean, Horia Mania, Nikolai Matni, Benjamin Recht, and Stephen Tu.

## Conference Papers

- 1. Modeling Content Creator Incentives on Algorithm-Curated Platforms. International Conference on Learning Representations, 2023. Jiri Hron, Karl Krauth, Michael I. Jordan, Niki Kilbertus, Sarah Dean.
- Preference Dynamics Under Personalized Recommendations. ACM Conference on Economics and Computation, 2022.
   Sarah Dean and Jamie Morgenstern.
- 3. Towards Robust Data-Driven Control Synthesis for Nonlinear Systems with Actuation Uncertainty. IEEE Conference on Decision and Control (CDC), 2021.

  Andrew J. Taylor, Victor D. Dorobantu, Sarah Dean, Benjamin Recht, Yisong Yue, and Aaron D. Ames.

4. *Quantifying Availability and Discovery in Recommender Systems via Stochastic Reachability.* International Conference on Machine Learning (ICML), 2021.

Mihaela Curmei, Sarah Dean, and Benjamin Recht.

5. Certainty-Equivalent Perception-Based Control.

Learning for Dynamics and Control (L4DC), 2021.

Sarah Dean and Benjamin Recht.

6. AI Development for the Public Interest: From Abstraction Traps to Sociotechnical Risks.

IEEE International Symposium on Technology and Society (ISTAS), 2020.

McKane Andrus, Sarah Dean, Thomas Krendl Gilbert, Nathan Lambert, and Tom Zick.

7. Guaranteeing Safety of Learned Perception Modules via Measurement-Robust Control Barrier Functions. Conference on Robot Learning (CoRL), 2020.

Sarah Dean, Andrew Taylor, Ryan Cosner, Benjamin Recht, and Aaron Ames.

8. Balancing Competing Objectives with Noisy Data: Score-Based Classifiers for Welfare-Aware Machine Learning. International Conference on Machine Learning (ICML), 2020.

Esther Rolf, Max Simchowitz, Sarah Dean, Lydia T. Liu, Daniel Bjorkegren, Moritz Hardt, and Joshua Blumenstock.

9. Robust Guarantees for Perception-Based Control.

Learning for Dynamics and Control (L4DC), 2020.

Sarah Dean, Nikolai Matni, Benjamin Recht, and Vickie Ye.

10. Recommendations and User Agency: The Reachability of Collaboratively-Filtered Information.

Conference on Fairness, Accountability, and Transparency (FAccT), 2020.

Sarah Dean, Sarah Rich, and Benjamin Recht.

11. Safely Learning to Control the Constrained Linear Quadratic Regulator.

American Controls Conference (ACC), 2019.

Sarah Dean, Stephen Tu, Nikolai Matni, and Benjamin Recht.

12. Regret Bounds for Robust Adaptive Control of the Linear Quadratic Regulator.

Advances in Neural Information Processing Systems (NeurIPS), 2018.

Sarah Dean, Horia Mania, Nikolai Matni, Benjamin Recht, and Stephen Tu.

13. Delayed Impact of Fair Machine Learning.

International Conference on Machine Learning (ICML), 2018.

Lydia T. Liu, Sarah Dean, Esther Rolf, Max Simchowitz, and Moritz Hardt.

### WHITEPAPERS

1. Choices, Risks, and Reward Reports: Charting Public Policy for Reinforcement Learning Systems. Center for Long-Term Cybersecurity Whitepaper Series, 2022.

Thomas Krendl Gilbert, Sarah Dean, Tom Zick, Nathan Lambert.

#### WORKSHOP PAPERS

1. Random Features Approximation for Fast Data-Driven Control.

 $Gaussian\ Processes,\ Spatiotemporal\ Modeling,\ and\ Decision-making\ Systems\ NeuRIPS\ 2022.$ 

Kimia Kazemian and Sarah Dean.

2. Cross-Dataset Propensity Estimation for Debiasing Recommender Systems.

Workshop on Distribution Shifts: Connecting Methods and Applications at NeurIPS 2022. Fengyu Li and Sarah Dean.

3. Engineering a Safer Recommender System.

Responsible Decision Making in Dynamic Environments Workshop at ICML 2022. Liu Leqi and Sarah Dean.

4. Reward Reports for Reinforcement Learning.

Responsible Decision Making in Dynamic Environments Workshop at ICML 2022.

Thomas Krendl Gilbert, Sarah Dean, Tom Zick, Nathan Lambert, Aaron Snoswell.

5. Designing Recommender Systems with Reachability in Mind.

Participatory Approaches to Machine Learning Workshop at ICML 2020.

Sarah Dean, Mihaela Curmei, and Benjamin Recht.

- 6. Balancing Competing Objectives for Welfare-Aware Machine Learning with Imperfect Data. AI for Social Good Workshop at NeurIPS 2019.
  - Esther Rolf, Max Simchowitz, Sarah Dean, Lydia T. Liu, Daniel Bjorkegren, Moritz Hardt, and Joshua Blumenstock.
- 7. Optimal Path and Illumination Design for Multiframe Motion Deblurring.
  - OSA Imaging and Applied Optics Congress 2018.
  - Sarah Dean, Zachary Phillips, Laura Waller, and Benjamin Recht.
- 8. A Broader View on Bias in Automated Decision-Making: Reflecting on Epistemology and Dynamics. Workshop on fairness, accountability, and transparency in machine learning. (FAT/ML), 2018. Roel Dobbe, Sarah Dean, Thomas Gilbert, and Nitin Kohli.

### INVITED TALKS

- Feedback, Dynamics, and Safety in Machine Learning Systems, NCCR Symposium on Socially responsible Automation, October 2022.
- · Preference and Participation Dynamics in Learning Systems
  - L4DC Keynote, June 2022.
  - Cornell AI Seminar, September 2022.
  - NYU Math and Data Seminar, February 2023.
- · Data-driven Control and Decision-making in Feedback Systems, Cornell CAM Colloquium, January 2022.
- · Towards Certifiably Safe Nonlinear Control with Sensor and Dynamics Uncertainties
  - UCSD Dynamic Systems & Controls Seminar, December 2021.
  - CISS Invited Session on Safe Reinforcement Learning, March 2022.
  - Minisymposium on Learning from scarce data at SIAM Conference on Mathematics of Data Science, September 2022.
- Quantifying Availability and Discovery in Recommender Systems via Reachability, Cornell AI Seminar, September 2021.
- · Reliable Machine Learning in Feedback Systems
  - Robotics Institute Seminar at Carnegie Mellon University, April 2021.
  - CS Department Colloquium at Princeton University, March 2021.
  - CS Seminar at Brown University, March 2021.
  - Allen School Colloquium at University of Washington, March 2021.
  - ECE Seminar at University of Michigan, March 2021.
  - CS Colloquium at NYU, March 2021.
  - ESE Spring Colloquium at University of Pennsylvania, March 2021.
  - ECE Seminar at University of Wisconsin at Madison, March 2021.
  - CS Seminar at Northeastern University, February 2021.
  - ECE Seminar at Cornell Tech, February 2021.
  - EECS Seminar at Massachusetts Institute of Technology, February 2021.
  - CSE Colloquium at University of Minnesota, February 2021.
  - MINDS Symposium on the Foundations of Data Science at Johns Hopkins University, February 2021.
  - CS Seminar at University of Chicago, February 2021.
  - CS Lecture at University of Texas at Austin, February 2021.
  - MS&E Seminar at Stanford University, January 2021.
  - CS Colloquium at Cornell University, January 2021.
  - Frontiers in Computing and Mathematical Sciences at California Institute of Technology, January 2021.
- · On the Sample Complexity of the Linear Quadratic Regulator, RL Theory Virtual Seminar, May 2020.
- · Safe and Robust Perception-Based Control
  - Stanford Robotics and Autonomous Systems Seminar, February 2020.
  - CDS Seminar at California Institute of Technology, February 2020.
- Delayed Impact of Fair Machine Learning, Sister Conferences Track at the International Joint Conferences on Artificial Intelligence, August 2019.
- Guarantees for Learning-Enabled Control, Interplay between Control, Optimization, and Machine Learning Workshop at the American Controls Conference, July 2019.
- Safely Learning to Control the Linear Quadratic Regulator, CITRIS/CPAR Control Theory and Automation Symposium, April 2019.