

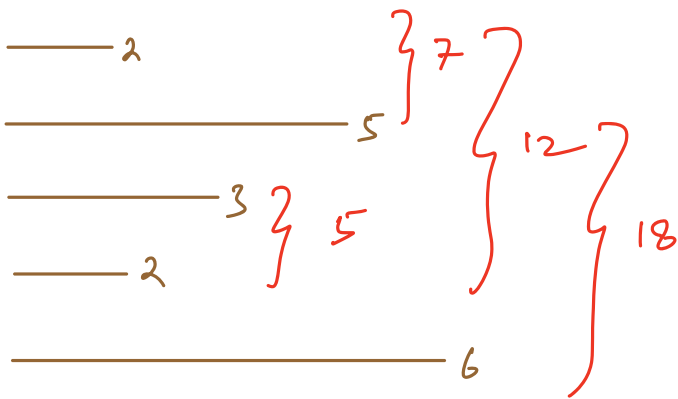
# Heaps 1 : Introduction

## Question

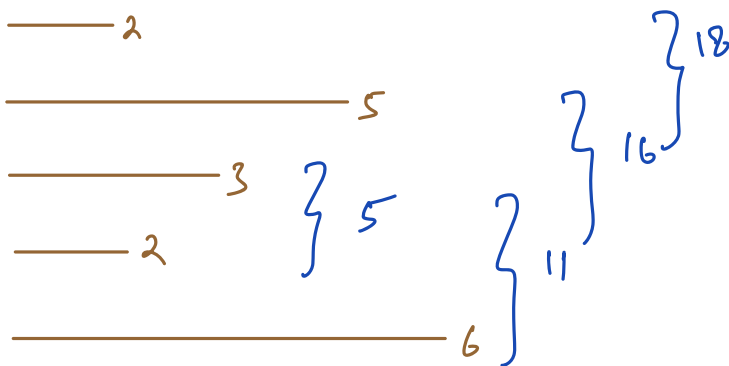
Given  $N$  ropes of different sizes.

In one operation we can connect 2 ropes & the cost is the sum of lengths of both ropes.

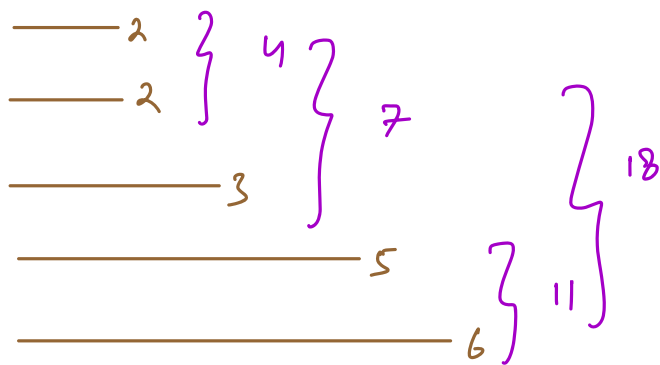
find **min. cost** to connect all ropes.



$$\begin{aligned}\text{cost} &= 7 + 5 + 12 + 18 \\ &= 42\end{aligned}$$



$$\begin{aligned}\text{cost} &= 5 + 11 + 16 + 18 \\ &= 50\end{aligned}$$



$$\text{cost} = 4 + 7 + 11 + 18$$

$$= 40$$

let say we have 3 ropes of lengths  $\rightarrow x < y < z$

case

1

$$x+y + (x+y)+2$$

<

2

$$x+2 + (x+2)+y$$

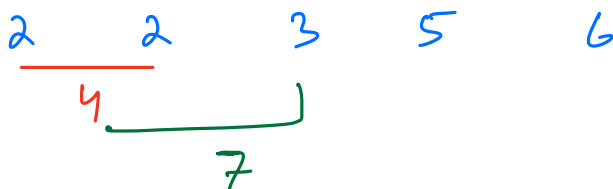
<

3

$$y+2 + (y+2)+x$$

$\Rightarrow$  connect smaller length ropes to get min. cost.

Sol 1 : sort & connect ropes



After every operation of connecting 2 ropes, insert new rope in its sorted position. ↗

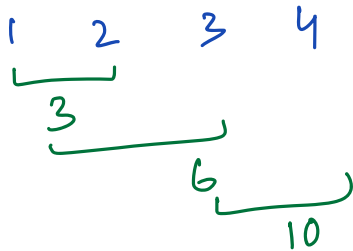
Insertion  
Sort

$$\text{total TC} = O(N^2)$$

$$S_c = O(1)$$

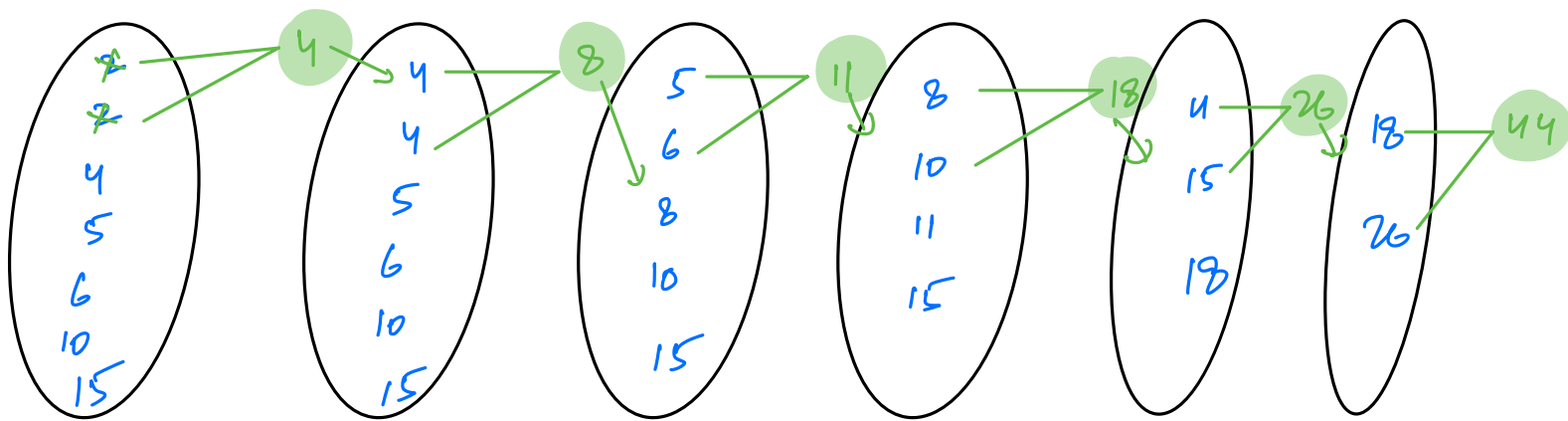
Quiz

Min. cost for connecting [1 2 3 4]



$$\begin{aligned} \text{cost} &= 3 + 6 + 10 \\ &= 19 \end{aligned}$$

Heaps →  $\begin{matrix} \text{insert}() \\ \text{getMin}() \end{matrix} > \text{TC} = O(\log N)$



$$TC \text{ per operation} = O(\log N) = O(\log N)$$

$$\text{total TC} = O(N \log N)$$

$$SL = O(N)$$

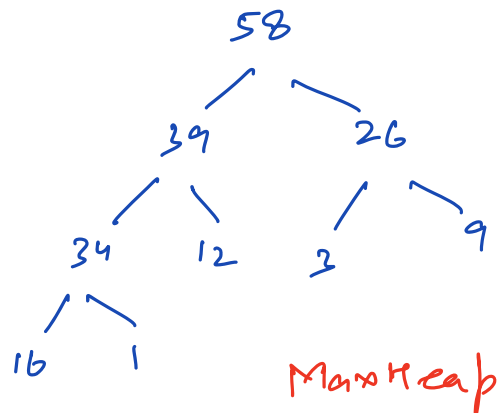
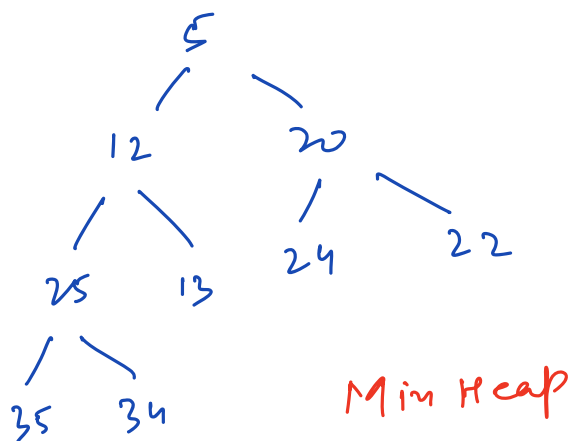
## Heaps / Priority Queue

1. Structure  $\rightarrow$  Complete Binary tree

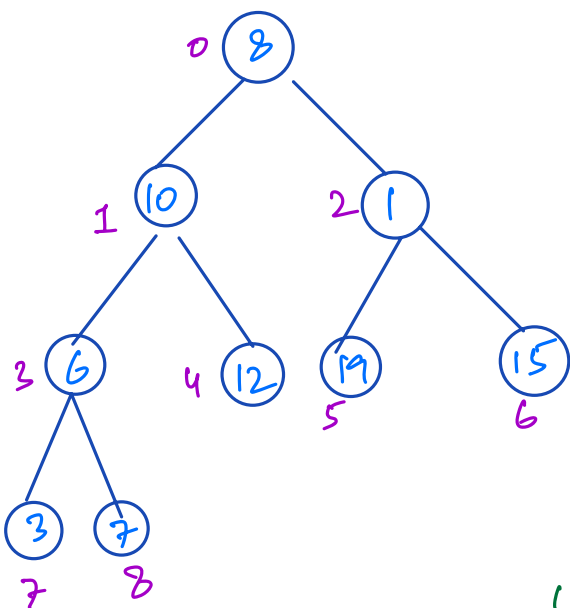
All levels are completely filled except maybe the last level. Elements in last are from left to right.

2. Types  $\rightarrow$  MinHeap  $\forall$  nodes, data  $\leq$  children's data  
 $\rightarrow$  MaxHeap  $\forall$  nodes, data  $\geq$  children's data

3. NO relation b/w left & right subtree.



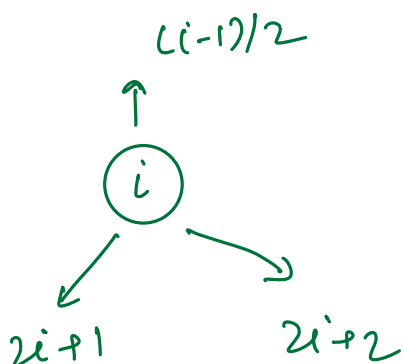
Array implementation of complete binary tree



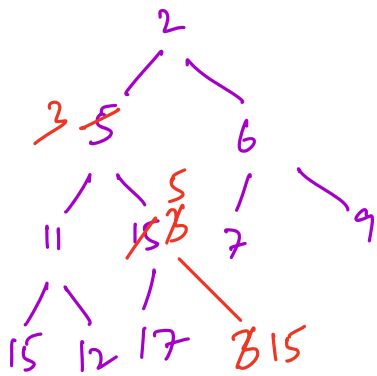
8	10	1	6	12	19	15	3	7
0	1	2	3	4	5	6	7	8

for

- left child =  $2i+1$
- right child =  $2i+2$
- parent =  $(i-1)/2$



## Insertion



	$p_i$			$p_i$						$i$
2	<del>3</del>	6	11	<del>15</del>	7	9	15	12	17	<del>15</del>
0	1	2	3	4	5	6	7	8	9	10

insert(3)

MinHeap

$$i = 10 \quad 4 \quad 1$$

$$p = \frac{(i-1)}{2} = 4 \quad 1 \quad 0$$

$$TC = O(K) = O(\log N)$$

$\left[ \begin{array}{l} n = \log N \\ \text{for complete BT} \end{array} \right]$

Code

```
ArrayList<int> heap; // minHeap
```

```
// insert x in heap
```

```
heap.append(x);
```

```
i = heap.size() - 1;
```

```
while (i > 0) {
```

```
    p = (i-1)/2;
```

```
    if (heap[p] > heap[i]) {
```

```

    }
    else {
        break
    }
}

```

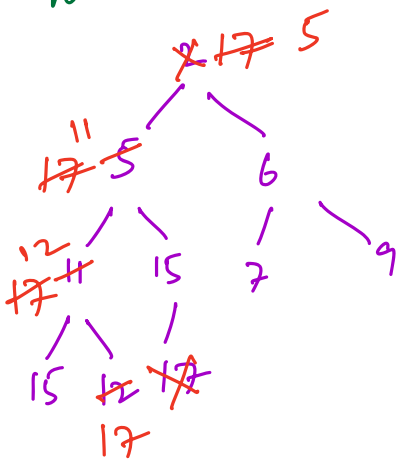
swap(heap[p], heap[i])

i = p;

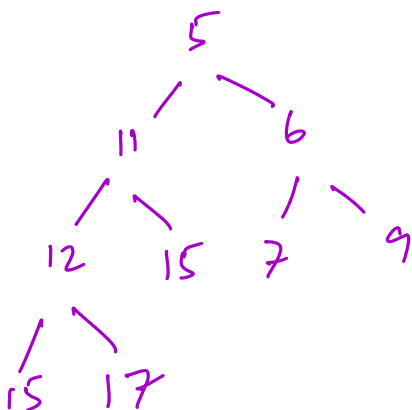
TC =  $O(\log N)$

get Min()

MinHeap



↓



5	11	12								
<del>2</del>	<del>17</del>	5	6	<del>11</del>	15	7	9	15	<del>12</del>	<del>17</del>
0	1	2	3	4	5	6	7	8	9	10

min → root → heap[0]

i = 0 1 3 8

le = 2i+1 = 1 3 7 17

rc = 2i+2 = 2 4 8 18

TC =  $O(\log N)$

code

```
min = heap[0]
```

```
swap( heap[0], heap[heap.size()-1]);
```

```
heap.remove( heap.size()-1);
```

```
heapify( heap, 0);
```

```
void heapify( heap[], int i) {
```

```
while( 2i+1 < heap.size()) { // need to handle edge  
                                case when right child  
                                is not present  
    x = min( heap[2i+1], heap[2i+2]);
```

```
    if( heap[i] <= x ) {
```

```
        break;
```

```
    }
```

```
    else if( heap[2i+1] < heap[2i+2] ) {
```

```
        swap( heap[i], heap[2i+1]);
```

```
        i = 2i+1
```

```
    }
```

```
    else {
```

```
        swap( heap[i], heap[2i+2]);
```



$$i = 2i + 2$$

}

}

}

$$TC = O(\log N)$$

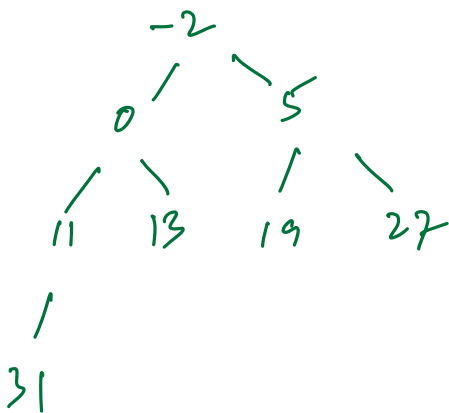
## Build a Heap

Given an array, create a min heap out of it.

[ 5    13    -2    11    27    31    0    19 ]

Idea 1 : sort the array

[ -2    0    5    11    13    19    27    31 ]



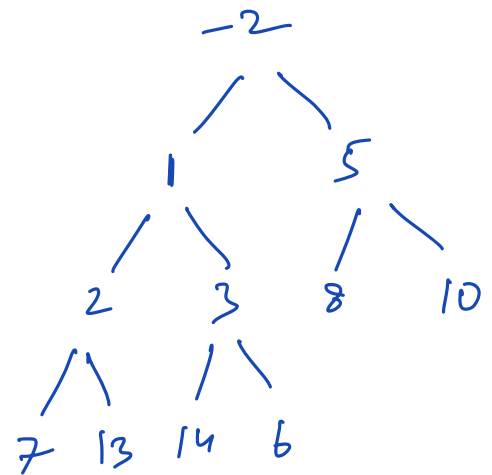
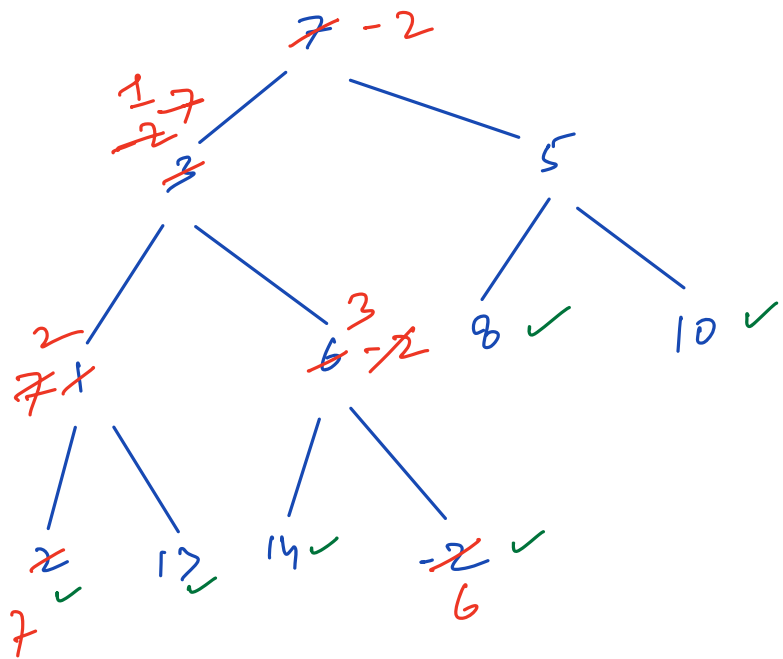
$$TC = O(N \log N)$$

Idea 2: insert elements in heap one by one.

$$TC = O(N \log N)$$

How to build in linear time?

[ 7 3 5 1 6 8 10 2 13 14 -2 ]

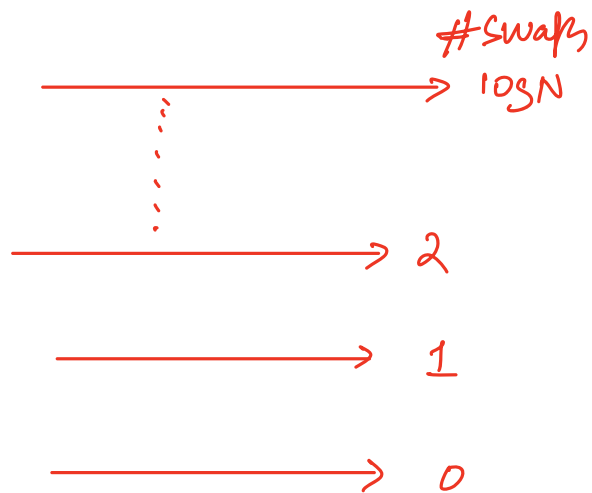
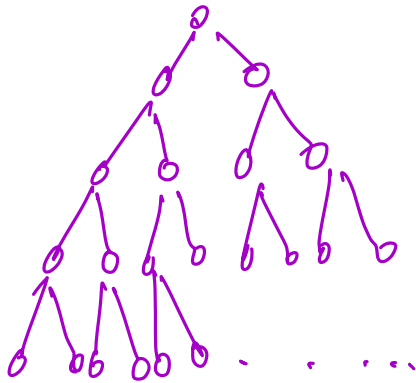


There are  $\sim N/2$  leaves in a complete binary tree.

code

```
for ( i = n/2 to 0 ) {
    heapify ( heap, i )
}
```

calculate TC



#elements  
1  
⋮  
N/8  
N/4  
N/2

$$\text{total iterations} = 0 \times \frac{N}{2} + 1 \times \frac{N}{4} + 2 \times \frac{N}{8} + \dots$$

$$= \sum i \times \frac{N}{2^{i+1}}$$

$$= \frac{N}{2} \left( \sum \frac{i}{2^i} \right) = S$$

$$S = \frac{1}{2^1} + \frac{2}{2^2} + \frac{3}{2^3} + \dots$$

$$S \times \frac{1}{2} = \frac{1}{2^2} + \frac{2}{2^3} + \frac{3}{2^4} + \dots$$

$$\frac{S}{2} = \frac{1}{2^1} + \frac{1}{2^2} + \frac{1}{2^3} + \dots$$

$$\frac{S}{2} = \frac{1/2}{1 - 1/2} = \frac{1/2}{1/2} = 1$$

sum of  
infinite  
GP  $= \frac{a}{1-r}$

$$\Rightarrow S = 2$$

$$\text{total iterations} = \frac{N}{2} \times 2 = N$$

$$TC = O(N)$$