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# ECONOMY AND THE CONSTRUCTION OF THE SIVASŪTRAS

#### Paul Kiparsky

[1]	1.	a	i	u			Ņ
	2.				ŗ	ļ	K
	3.		$\mathbf{e}$	o			Ń
	4.		ai	au			$\mathbf{C}$
	5.	h	у	v	r		Ţ
	6.					1	Ņ
	7.	ñ	m	'n	ņ	n	M
	8.	jh	bh				$\widetilde{N}$
	9.			gh	фh	dh	Ş
	10.	j	b	g	ģ	$\mathbf{d}$	Ś
	11.	kh	ph	$\mathbf{ch}$	ţh	th	
				c	ţ	t	V
	12.	k	p				Y
	13.		ś	ş	s		$\mathbf{R}$
	14.	h					L

This is Pāṇini's akṣarasamāmnāya, the enumeration and grouping of the sounds of Sanskrit popularly called the Śivasūtras (or Maheśvarasūtras). The Śivasūtras form an indispensable part of the grammar, and their structure is

thoroughly intertwined with, and determined by, that of the  $A\underline{s}\underline{t}\overline{a}dhy\overline{a}y\overline{i}$ . Abbreviations ( $praty\overline{a}h\overline{a}ras$ ) are defined on the  $Sivas\overline{u}tras$  and other similarly organized lists by the convention that if  $\mathbf{x}_q$  is followed in the list by the marker  $\mathbf{Q}$ , then  $\mathbf{x}_p\mathbf{Q}$  denotes the set of elements  $\mathbf{x}_p, \mathbf{x}_{p+1}, \ldots, \mathbf{x}_q$ . The phonological classes defined in this way are referred to in hundreds of rules in the  $A\underline{s}t\overline{a}dhy\overline{a}y\overline{i}$ .

Both traditional and modern discussions of the Śiva-sūtras recognize that their structure is motivated in large part by the fundamental principle of economy (simplicity, lāghava), which governs Pāṇini's entire grammatical system. The reasoning from economy goes like this. To be grouped together in a pratyāhāra, sounds must make up a continuous segment of the list. Economy requires making the list as short as possible, which means avoiding repetitions of sounds, and using as few markers as possible. Consequently, if class A properly includes class B, the elements shared with B should be listed last in A; the marker that follows can then be used to form pratyāhāras for both A and B. In this way the economy principle, by selecting the shortest grammar, determines both the ordering of sounds and the placement of markers among them.

For example, the order of simple vowels at the beginning of the  $\dot{S}ivas\bar{u}tras$  (see the first two rows of [1]) is constrained by the fact that the grammar must refer to the following groupings of them:

[2] 1. 
$$a, i, u, r, l = aK$$
)<sup>1</sup>  
2.  $i, u, r, l = iK$ )<sup>2</sup>

3. 
$$u, r, (l) (= uK)^3$$
  
4.  $a, i, u (= aN)^4$ 

which, by the reasoning of the preceding paragraph, requires the partial ordering

[3] 
$$a < i < u < r, l$$

and markers after u and after the liquids.

Much of the structure of the  $Sivas\bar{u}tras$  has been successfully explained by this kind of reasoning from economy (Faddegon 1929, Thieme 1935, Staal 1962, Cardona 1969). But there remains a substantial residue where economy is at first sight not at stake. For example, the order of r and r in row 2 could be reversed without complicating the grammar because every  $praty\bar{a}h\bar{a}ra$  needed in the grammar that includes one of them can also include the other. The same is true of r and r and r in the next row. The systematic character of Pāṇini's grammar makes it likely that there is a rational basis for the order of these elements as well--but what?

Staal (1962) and Cardona (1969) have each suggested such a rational basis for the cases that are not explained by economy. Staal's idea is that among alternative, equally simple orderings, that of the previous set of homorganic elements is given preference. Though Staal does not actually discuss the vowels, his proposal would readily explain the order *e*, *o* as continuing the order *i*, *u* of the first row.

Cardona argues instead that some aspects of the Sivasūtras reflect the strictly phonetic arrangement of the Prātiśākhyas that served Pāṇini as a starting point. This was modified as necessary by inserting markers into it and by reordering its elements, and otherwise retained. On this view, the Śivasūtras' order e, o would simply reflect the order of the traditional listing e, ai, o, au. So Cardona, too, appeals to a notion of continuity, only his continuity is historical rather than structural and system-internal, as Staal's is.

However, neither of these accounts, or even the two of them together, can be the whole story. For example, the order of r and l in row 2 cannot be carried over from previous homorganic sounds in the list, for there are none. And it cannot be carried over from the  $Pr\bar{a}tis\bar{a}khyas$  sound lists, because they did not include l.

In this paper I argue that the structure of the Sivasutras follows entirely from the principles used in the construction of Pāṇini's grammar. This is because the principle of economy and the logic of the special case and the general case  $(s\bar{a}m\bar{a}nya \ / viśeṣa)$  applies in the construction of the metalanguage as well as in the formulation of the grammatical rules. As we have seen, the groupings of sounds needed for the grammar induce a set of partial ordering constraints on their listing. We will now show that these ordering constraints, when formulated in accordance with Pāṇinian principles of economy and generalization, have as their unique solution the  $Sivas\bar{u}tras$ .

In order to develop this idea, we must spell out exactly how economy figures in Pāṇini's system and how it is related to generalization.

Cardona (1969, 28, 30, 41) argues that economy for Pānini is "consequent on generalization": "the analysis of linguistic materials in order to formulate generalized rules is Pāṇini's way of achieving economy (lāghava)." I think this view--which I thoughtlessly endorsed in Kiparsky (1979, 227)--is not correct. It is certainly not true that Pāṇini avoids prolixity only where generalization is at stake.8 The rules of the Astādhyāyī systematically maximize economy, whether or not this leads to generalization in any given case. Anuvrtti often ranges over entirely disparate rules, in which case it achieves economy but not generalization (Staal 1970, 503). Indeed, some means of concision systematically employed in the grammar are never "consequent on generalization." For example, whenever Panini can compress phrases into compounds, he invariably does so, even though this achieves nothing beyond the saving of syllables. is true even for compounds that are not derived from analytic expressions but are simply alternative expressions of the same semantic content, namely dvandvas and bahu*vrīhi*s. The vowels of a given quality are invariably denoted by their short representative, even though by Pāṇini's sāvarnya convention (1.1.69) the long one would have done as well. S. D. Joshi (voce) has brought to my attention the striking fact that Panini even tends to order the words in a rule in such a way that the number of syllables in it will be minimized by sandhi.9

Still, this does not mean that  $P\bar{a}nini$  is after economy for its own sake. The reverse of Cardona's formulation does hold: economy is  $P\bar{a}nini$ 's way of achieving generalization. More precisely, the maximization of economy is what ensures

that the generalizations will emerge in the grammar. This can be concluded from the fact that Pāṇini introduces abbreviatory conventions into his metalanguage if, and *only* if, they make it possible to bring out significant generalizations in the grammar. So the theoretical goal of generalization is implemented by seeking the most economical description possible in the framework of an appropriately constructed metalanguage of grammatical description. The economy requirement works "blindly" in the service of this global objective, and is not expected to yield generalizations in each local instance.

In consequence of its purely formal nature, the economy principle typically leads to *vacuous overgeneralization*. Simplification is mandatory even if it means extending the conditions of a rule to cases that can never arise. But (and equally importantly) overgeneralized formulations are *only* chosen where economy requires it. Among a set of equally simple formulations covering all the cases, Pāṇini chooses the most restrictive one. There are, then, two principles at work, which, tending in opposite directions, fix the form of the grammar: the dominant principle that the most economical formulation is preferred, and the subsidiary principle that among equally simple formulations the most restrictive is preferred.

These principles govern all aspects of the system, including the use of *pratyāhāras*. Some examples follow.

Rule 8.4.53 [4] illustrates overgeneralization enforced by economy. Since h does not cluster with stops, the more restrictive jhaR (stops and fricatives) could have been used instead of jhaL (stops, fricatives, and h) in rule 8.4.53.

Economy, however, forces *jhaL* because it is carried over by *anuvrtti* into the next rule, 8.4.54 [5], where it is absolutely necessary:

- [4] 8.4.53  $jhal\bar{a}m$   $ja\hat{s}$   $jha\hat{s}i$  'obstruents (jhaL) are replaced by voiced unaspirated stops  $(ja\hat{S})$  before voiced stops  $(jha\hat{S})$ '
- [5] 8.4.54 abhyāse car ca (53 jhalām jaś) 'in reduplication, (obstruents) are replaced by (voiced unaspirated stops) and by voiceless unaspirated segments (caR)'

Similarly, the class yaN (y, v, r, l) is specified as the prevocalic replacement of the single root iN 'go' in 6.4.81  $ino\ yan$ , where obviously the more specific y would have done equally well. The reason is that yaN is continued into the more general rules that follow (6.4.82 through 6.4.87), where its extra coverage becomes functional. Examples of this type can easily be multiplied.  $^{10}$ 

Among equally economical formulations, the most restrictive is chosen. For example,

[6] 7.4.61 śarpūrvāḥ khayaḥ (60 śeṣaḥ) 'unvoiced stops (khaY) after fricatives śaR remain'

which states that fricative + stop clusters are exceptions to the general rule deleting all but the first consonant in reduplication, could have been vacuously generalized to apply after the more inclusive set of sounds  $\hat{s}aL$  ( $\hat{s}$ ,  $\hat{s}$ , s,

- h) rather than after just the fricatives, for h never clusters with stops. Pāṇini has chosen the more specific formulation of the rule, which only extends to the actually occurring cases. Similarly,
  - [7] 8.3.33 maya uño vo  $v\bar{a}$  (32 aci) 'u $\tilde{N}$  is optionally replaced by v between m,  $\dot{n}$ , n, n (maY) and a vowel or diphthong (aC)'

specifies maY, which includes m,  $\dot{n}$ ,  $\dot{n}$ , n rather than  $\tilde{n}aY$  ( $\tilde{n}$ , m,  $\dot{n}$ , n, n), even though the overgeneralization would have been harmless, as  $\tilde{n}$  does not occur in word-final position. <sup>11</sup>

All these principles hold equally well for the construction of the metalanguage. Technical terms are never introduced solely for brevity's sake. Their purpose is rather to allow the rules of the grammar to express significant generalizations. But, if Pāṇini needs to coin a new word for this purpose anyway, he makes it maximally short, usually no more than a mora (cf. such cover terms as bha, ghu, ghi, ti and abstract underlying forms of the type yu, vu, jhi, v, l, cli). And nothing in the metalanguage is motivated solely for the purpose of avoiding vacuous overgeneralization. Specifically, no markers in the Śivasūtras are introduced merely to avoid overgeneral pratyāhāras. For example, uK in 7.2.11 includes u, r, l but since there are no roots in l the last case never arises. A  $praty\bar{a}h\bar{a}ra$  that excludes it, however, would require a new marker and in the absence of positive motivation such a marker is not put in.

Given the subgroupings that the grammar must refer to, these considerations alone dictate the organization of the *Sivasūtras*. This will now be shown.

The complex vowels and diphthongs *e*, *o*, *ai*, *au* must be placed immediately after the simple vowels because of the groupings

[8] 1. 
$$a, i, u, r, l, e, o, ai, au = (aC)^{12}$$
  
2.  $i, u, r, l, e, o, ai, au = (aC)^{13}$   
3.  $e, o, ai, au = (aC)^{14}$   
4.  $ai, au = (aiC)^{15}$   
5.  $e, o = (aiC)^{16}$ 

The semivowels must be grouped with the vowels into

[9] 1. 
$$y, v, r, l = (yaN)^{17}$$
  
2.  $a, i, u, r, l, e, o, ai, au, h, y, v, r, l$   

$$(= aN)^{18}$$
3.  $i, u, r, l, e, o, ai, au, h, y, v, r, l$   

$$(= iN)^{19}$$
4.  $a, i, u, r, l, e, o, ai, au, h, y, v, r$   

$$(= aT)^{20}$$

and with the other consonants into

[10] 1. 
$$h$$
,  $y$ ,  $v$ ,  $r$ ,  $l$  plus consonants  $(=haL)^{21}$   
2.  $y$ ,  $v$ ,  $r$ ,  $l$  plus consonants  $(=yaR)^{22}$   
3.  $v$ ,  $r$ ,  $l$  plus consonants  $(=vaL)^{23}$   
4.  $r$ ,  $l$  plus consonants  $(=raL)^{24}$ 

Together, [8], [9], and [10] yield, in addition to confirmation for a < i in [3], the new ordering constraints

- [11] 1.  $h \cdot y \cdot v \cdot r \cdot l$ 
  - simple vowels < complex vowels, diphthongs < semivowels</li>

So far, this adds up to:

- [12] 1. The simple vowels must be listed together.
  - 2. The complex vowels (*e*, *o*) must be listed together.
  - 3. The diphthongs (ai, au) must be listed together.
  - 4. The semivowels must be listed together.
  - 5. Simple vowels, complex vowels, diphthongs, and semivowels must be listed together.
  - 6. The order of the series must be: simple vowels < complex vowels, diphthongs < semivowels.
  - 7. Within the vowels, the order must be: a < i < u < r, l.
  - 8. Within the semivowels, the order must be: h < y < v < r < l.

Note that the order within both vowels and semivowels in [12-7, 12-8] coincides almost completely with the "sonority hierarchy" assumed by modern phonologists and phoneticians. Although no such hierarchy was to my knowledge ever explicitly proposed in India, it emerges here as a by-product, as

it were, of Pāṇini's purely distributional analysis of Sanskrit phonology.

An equally remarkable outcome is that, in terms of place of articulation, the ordering of vowels in [12-7] is fully consistent with the ordering of the corresponding semivowels in [12-8]. In this case, of course, Pāṇini must have been well aware of the phonetic classification behind the correspondence. However, the fact that it emerges from the distributional analysis is still significant. It shows that, even if Pāṇini had begun with altogether different assumptions, or with none at all, he would still have come up with a parallel arrangement of vowels and semivowels.

Because the ordering constraints [3, 11-1] are subjected to the same logic of generalization as everything else in the system, they are combined and generalized to: $^{25}$ 

[13] velars/pharyngeals < palatals < labials < retroflexes < dentals

The generalized ordering constraint [13] fixes the so far indeterminate order of the syllabic liquids r, l, the complex vowels e, o, and the diphthongs ai, au.

The ordering of e, o before ai, au is dictated by simplicity because it allows a shorter  $praty\bar{a}h\bar{a}ra$  for the class e, o, ai, au, viz. eC (rather than \* $ai\dot{N}$ ).

This establishes the first six Śivasūtras in full:

[14]	a	i	u			Ņ
				ŗ	ļ	K
		e	o			Ņ
		ai	au			$\mathbf{C}$
	h	у	v	r		Ţ
					1	N

The groupings in [15] require, by the same reasoning as above, that the nasals and voiced stops come next in that order. They are demarcated by M, S, respectively, giving the  $praty\bar{a}h\bar{a}ras$ 

- [15] 1. vowels, diphthongs, semivowels, nasals, voiced stops  $(aS)^{26}$ 
  - 2. semivowels, nasals, voiced stops  $(haS)^{27}$
  - 3. v, r, l, nasals, voiced stops  $(vaS)^{28}$
  - 4. voiced stops jhaŚ
  - 5. vowels, diphthongs, semivowels, nasals  $(aM)^{29}$
  - 6. y, v, r, l, nasals  $(yaM)^{30}$

Notice that the previously seen subdivisions of the semi-vowels reappear in vaS and yaM, reaffirming [11] and the generalized [13].

The voiceless stops and the fricatives must follow, in that order, with the marker Y after the former, to give the groupings

- [16] 1. y, v, r, l, nasals, voiced stops, voiceless stops  $(yaY)^{31}$ 
  - 2. nasals, voiced stops, voiceless stops  $(maY)^{32}$

- 3. voiced stops, voiceless stops  $(jhaY)^{33}$
- 4. voiceless stops  $(khaY)^{34}$

and with the marker R after the latter, to give

- [17] 1. y, v, r, l, nasals, voiced stops, voiceless stops, fricatives  $(yaR)^{35}$ 
  - 2. voiced stops, voiceless stops, fricatives  $(jhaR)^{36}$
  - 3. voiceless stops, fricatives  $(khaR)^{37}$
  - 4. fricatives  $(\sin R)^{38}$

Within the voiceless stops, aspirated stops precede unaspirated stops in order to allow the latter to be grouped with the fricatives (caR). The same order is motivated in the voiced stops by the fact that bh patterns with the sonorants  $(ya\tilde{N})$ , on which see below.

The consonant h, already listed as the first of the semivowels, must be listed a second time at the end of the  $\hat{S}ivas\bar{u}tras$  because it must also be included in two sets of groups: among the obstruents (haL) and the fricatives  $(\hat{s}aL)$ , as well as in the classes vaL and raL mentioned above. This is the only repetition necessary in the system.

In sum, the order of the series must be

[18] nasals < voiced aspirates < voiced unaspirates < voiceless aspirates < voiceless unaspirates < fricatives < h

If we now arrange the series of consonants according to [18], put the consonants within each series according to place of articulation according to [13], and add  $praty\bar{a}h\bar{a}ras$  where needed, we get

[19]	'n	ñ	m	ņ	n	$\mathbf{M}$
	gh	jh	bh	фh	dh	Ş
	g	j	b	ġ	d	Ś
	kh	$\operatorname{ch}$	ph	ţh	th	
	k	c	p	ţ	t	V
			ś	s	s	$\mathbf{R}$

from which the arrangement of the actual  $Sivas\bar{u}tras$  can be derived by the minimal local modifications needed for consonantal  $praty\bar{a}h\bar{a}ras$  as follows.

The three nasals  $\dot{n}$ ,  $\dot{n}$ , n must be grouped together as a class, which figures in

[20] 8.3.32 namo hrasvād aci namun nityam 'after a pada ending in nam preceded by a short vowel and followed by a vowel or diphthong (aC), [the initial augment] nam is obligatorily inserted'

Theoretically, the palatal nasal  $\tilde{n}$  could be included in  $\dot{n}aM$ , too, because palatals cannot occur at the end of a pada, as noted at [7]. Hence there are two possible specific  $(vi\acute{s}e\.{s}a)$  ordering constraints for nasals that could override the general  $(s\bar{a}m\bar{a}nya)$  ordering constraint [13]:

[21] 1.  $\tilde{n}$ ,  $m < \dot{n}$ 2.  $m < \dot{n}$ ,  $\tilde{n}$ 

These alternatives can be visualized as rearrangements of [13] by moving either the velar to the right after the labial or the labial leftward to the beginning of its row. As far as the rules of the grammar are concerned, there is no difference in simplicity between the two; both differ from the general place-ordering constraint [13] in the minimal possible way.

Pāṇini's choice of [21-1] over [21-2] is justified by two independent considerations involving, respectively, the subsidiary principle and the dominant principle stated above. The first is that vacuous overgeneralization is avoided. On the second alternative,  $\dot{n}aM$  would include not only  $\dot{n}$ ,  $\dot{n}$ , n but vacuously also  $\tilde{n}$ . Therefore, the first, which is equally simple but allows a more restrictive formulation, is preferred.

The second reason for choosing [21-1] is that it generalizes to both the aspirated and unaspirated series of voiced stops in a desirable way. First, the order corresponding to [21-1] yields classes that exclude the palatal stops required for the "Grassmann's Law" alternations (budh-s --> bhut-s), in which jh, j do not participate (jabh-s --> jap-s) (8.2.37). If [21-1] is extended to nasals, these classes can be designated as  $ba\acute{s}$ ,  $bha\acute{s}$ . Doing this by [21-1] (rather than, for example, simply placing the palatals in front) has the additional advantage of restricting  $ya\~{N}$ . This  $praty\bar{a}h\bar{a}ra$ , which defines the environment for stem-final lengthening (7.3.101, 102), must

cover n, m, y, bh but could be allowed to include vacuously gh (and, indeed, all the voiced aspirates except dh). By generalizing [21-1] from nasals to the voiced aspirates, the vacuous overgeneralization is reduced to the necessary minimum (jh).

So the optimal special (*viśeṣa*) ordering constraint, superseding the general [13], is

Could [22] be generalized even further, to *all* the consonants? The answer is no. In the two voiceless stop series, the coronal consonants *ch*, *th*, *th*, *c*, *t*, *t* must be grouped together. This requires the special ordering

[23] kh, ph < ch, th, th, c, t, 
$$t < k$$
, p

which, with the applicable cases of the general constraints [13] and [18] within each subgroup, yields  $P\bar{a}nini's$  ordering of these series. Insertion of the marker V after the coronals allows them to be grouped as chaV (8.3.7).

Putting all this together, we get

[24]	7.	ñ	m	'n	ņ	n	M
	8.	jh	bh				$\widetilde{\mathbf{N}}$
	9.			gh	фh	dh	Ş
	10.	j	b	g	ģ	d	Ś
	11.	kh	ph	ch	ţh	th	
				c	ţ	t	V

12.	k	p			Y
13.		ś	ş	s	R
14.	h				L

which completes the construction of the Śivasūtras.

Having seen how the  $Sivas\bar{u}tras$ ' ordering of the consonants follows from Pāṇinian principles of generalization, we can compare it to Cardona's alternative account. This involves starting with the  $Pr\bar{a}tis\bar{a}khyas$ ' listing of consonants by place of articulation going from the back of the mouth to the front:

ro = 1		~			
[25]	ń	n	n	n	m
L <del>-</del>					111

To get from [25] to the first row in [24] we would then have to assume that two sounds, m and  $\tilde{n}$ , were moved to the left to create the actual  $\tilde{S}ivas\bar{u}tra$  grouping. But there was no need to move the latter. Simply moving m to the head of the list, and leaving  $\tilde{n}$  in place, would have been sufficient, for the reasons explained above.

A similar problem would arise for the voiced stops if we assume, with Cardona, that the  $\dot{Sivas\bar{u}tras}$  were made by minimally reordering an original

The  $praty\bar{a}h\bar{a}ra\ ya\tilde{N}$  must include bh and exclude dh, and gh, jh, dh may or may not be included in it because they don't begin any suffixes of the relevant class. So the minimal

change was then merely to shift bh to the left of dh. Why, then, was it shifted so far to the left? (Our answer is that it is not shifted: in virtue of [13], it is already there.) And, for the voiced unaspirated stops, the question is: why move the labial at all?

I conclude that the assumption that the  $Sivas\bar{u}tras$  have been reordered from an earlier  $Pr\bar{a}tis\bar{a}khya$ -type listing does nothing to explain their structure.

By this I do not mean that Pāṇini in fact started from scratch in constructing the Śivasūtras. On the contrary, it is virtually certain that he was acquainted with one or more phonetically arranged listings of sounds such as those found in the Prātiśākhyas, and it is even quite possible that there were previous Śivasūtra-style arrangements that he knew. It is also quite possible that Pāṇini started with one of those earlier arrangements and reordered it. What I do claim is that such earlier works are in no way required to explain the Śivasūtras, and that therefore we cannot make any inferences about Pāṇini's sources for the Śivasūtras from their structure.

An analogy may help to make the point clearer. An examination of Pāṇini's phonological rules shows that many of them are similar to sound changes assumed to have taken place in earlier stages of Sanskrit, and, moreover, that the order in which the rules have to be applied is similar to the relative chronology of the corresponding sound changes. But it would be absurd to conclude from this that Pāṇini based his grammar on a historical phonology of Sanskrit, reordering its rules where necessary. A contemporary generative phonology of a language would have the same property,

and if the job were done right it should make no difference whether the author knew anything about the history of the language. Rather, because of an interesting property of language, its synchronic and diachronic analyses are going to be significantly related even if they are arrived at independently. Similarly, the fact that phonetic and phonological works on Sanskrit arrived at closely related classifications of its sounds is the result of a fundamental fact about language itself--that phonetic and phonological features are drawn from the same set--and does not warrant the conclusion that one classification was historically modeled on the other.

It is said that god Siva revealed these fourteen classes of sounds to Pāṇini to get him started on the Aṣṭādhyāyī. We might now want to see a deeper point in this legend. Our conclusions imply that if we did not possess the text of the Aṣṭādhyāyī, but merely a pretheoretical description of Sanskrit phonology, the main principles of Pāṇini's grammar could be inferred just from the way the phonemes of Sanskrit are organized in the Śivasūtras.

#### Notes

- 1. 6.1.101 ff., 6.1.182.
- 2. 1.1.3, 1.1.48, 1.2.9, 5.1.131, 6.1.77, 6.1.127, 6.3.61, 6.3.121, 6.3.123, 6.3.134, 7.1.73 ff., 8.2.76.
- 3. 7.2.11, 7.3.51.
- 4. 1.1.51, 6.3.11, 7.4.13, 8.4.57.
- 5. Of course, if e and o were reversed, the  $praty\bar{a}h\bar{a}ras$

- that now begin with e would begin with o. Since no  $praty\bar{a}h\bar{a}ra$  begins with either r or l, no rule would even have to be changed in any way if they were reversed.
- 6. Notice that unlike the economy principle, this would be specific to the construction of the *Śivasūtras*.
- 7. Cardona (1969, 38). More compelling examples of this point will be given below.
- 8. This point has been insightfully discussed by Henry Smith, of Stanford University, in a paper to appear in the *Journal of Indian Philosophy*.
- 9. Therefore, the maxim Ardhamātrālāghavena putrotsavaṃ manyante vaiyākaraṇāḥ 'grammarians value the saving of half a mora like the birth of a son' has more than a grain of truth, and Cardona (1969, 41) is wrong in ridiculing the "mania for mātralāghava" as "a property of lesser original Indian grammarians [sic]." It is quite natural to have faith in a principle, which, in concert with an appropriately designed metalanguage, reveals deep generalizations in the grammar of Sanskrit.
- 10. E.g., jhaY rather than  $jha\hat{S}$  in 8.4.62 because of 8.4.63, and jhaL in 8.2.26 because of 8.2.31.
- 11. The avoidance of vacuous overgeneralization is, however, not observed as rigorously as the economy principle. In particular, jhaL (e.g., 1.2.10) and aC (e.g., 7.2.89), which are practically synonyms of "consonant" and "vowel," are often overused. Another case is iN for iT in 8.3.57.
- 12. 1.1.10, 1.1.14, 1.1.47, 1.1.57, 1.1.59, 1.1.64, 1.2.27, 1.2.28, 1.3.2, 2.2.34, 2.4.66, 3.1.22, 3.1.62, 3.1.97, 4.1.56, 4.1.89, 4.1.121, 4.1.156, 4.1.170, 4.2.72 ff.,

- 4.2.109, 4.2.113, 4.3.67, 4.3.72, 4.3.150, 4.4.64,
- 5.1.39, 5.3.78, 5.4.57, 6.1.62, 6.1.77, 6.1.125,
- 6.1.134, 6.1.188, 6.1.205, 6.2.83, 6.2.119, 6.2.138,
- 6.2.190, 6.2.194, 6.3.68, 6.3.74, 6.3.101, 6.3.119,
- 6.3.135, 6.4.16, 6.4.62, 6.4.63, 6.4.77, 6.4.163,
- 7.1.61, 7.1.72, 7.1.73, 7.1.97, 7.2.3, 7.2.10, 7.2.61,
- 7.2.67, 7.2.89, 7.2.100, 7.2.115, 7.2.117, 7.3.72,
- 7.3.87, 7.4.47, 7.4.54, 8.2.21, 8.2.108, 8.3.32, 8.3.34,
- 8.3.89, 8.4.12, 8.4.29, 8.4.46, 8.4.49.
- 13. 6.1.104, 6.3.68.
- 14. 1.1.48, 6.1.45 ff., 6.1.78, 8.2.108.
- 15. 1.1.1, 7.3.3, 8.2.106.
- 16. 1.1.2, 6.1.69, 6.1.94, 6.1.109.
- 17. 1.1.45, 6.1.77, 6.4.81, 6.4.156.
- 18. 1.1.51, 6.3.111, 7.4.13 ff., 8.4.57.
- 19. 1.1.69.
- 20. 8.3.3, 8.3.9, 8.4.2, 8.4.63.
- 21. 1.1.7, 1.2.10, 1.2.26, 1.3.3, 3.1.12, 3.1.22, 3.1.83,
  - 3.1.124, 3.2.149, 3.3.121, 6.1.68, 6.1.174, 6.1.179,
  - 6.3.9, 6.3.10, 6.3.59, 6.4.2, 6.4.24, 6.4.49, 6.4.120,
  - 6.4.150, 6.4.161, 7.2.3, 7.2.7, 7.2.85, 7.2.113, 7.3.89,
  - $7.4.60,\ 7.4.71,\ 8.2.77,\ 8.3.3,\ 8.4.31,\ 8.4.34,\ 8.4.66,$
  - 8.4.100, 8.4.113.
- 22. 8.4.45 ff.
- 23. 6.1.66, 7.2.35.
- 24. 1.2.26 ff.
- 25. Within the grammar, the convention holds that vowels and consonants are not homorganic (1.1.10). But such generalizations as [13] are, of course, not part of the grammatical system, and logically prior to it, so they

naturally do not obey its rules (though they are arrived at by the same general form of reasoning as the rest of the system).

- 26. 8.3.17.
- 27. 6.1.74.
- 28. 7.2.8.
- 29. 8.3.6.
- 30. 8.4.64.
- 31. 8.4.58.
- 32. 8.3.33.
- 33. 5.4.111, 8.2.10, 8.4.62.
- 34. 7.4.61, 8.3.6.
- 35. 8.4.45 ff.
- 36. 8.4.65.
- 37. 8.3.15, 8.4.55.
- 38. 7.4.61, 8.3.28, 8.3.35 ff., 8.3.58, 8.4.49.
- 39. 1.1.58, 8.4.54 ff.
- 40. To be precise, baś requires this order by economy; bhaṣ could in principle include all the aspirates because 1.1.50 sthāne 'ntaratamaḥ 'in replacing, the closest [replacement is chosen]' would give the right results. Exclusion of jh from it is preferred, however, because it avoids vacuous overgeneralization.

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