

---

# Teaching Machines to Read and Comprehend

---

**Karl Moritz Hermann<sup>†</sup> Tomáš Kočiský<sup>†‡</sup> Edward Grefenstette<sup>†</sup>**  
**Lasse Espeholt<sup>†</sup> Will Kay<sup>†</sup> Mustafa Suleyman<sup>†</sup> Phil Blunsom<sup>†‡</sup>**

<sup>†</sup>Google DeepMind    <sup>‡</sup>University of Oxford

{kmh, tkocisk, etg, lespeholt, wkay, mustafasul, pblunsom}@google.com

## Abstract

Teaching machines to read natural language documents remains an elusive challenge. Machine reading systems can be tested on their ability to answer questions posed on the contents of documents that they have seen, but until now large scale training and test datasets have been missing for this type of evaluation. In this work we define a new methodology that resolves this bottleneck and provides large scale supervised reading comprehension data. This allows us to develop a class of attention based deep neural networks that learn to read real documents and answer complex questions with minimal prior knowledge of language structure.

## 1 Introduction

Progress on the path from shallow bag-of-words information retrieval algorithms to machines capable of reading and understanding documents has been slow. Traditional approaches to machine reading and comprehension have been based on either hand engineered grammars [1], or information extraction methods of detecting predicate argument triples that can later be queried as a relational database [2]. Supervised machine learning approaches have largely been absent from this space due to both the lack of large scale training datasets, and the difficulty in structuring statistical models flexible enough to learn to exploit document structure.

While obtaining supervised natural language reading comprehension data has proved difficult, some researchers have explored generating synthetic narratives and queries [3, 4]. Such approaches allow the generation of almost unlimited amounts of supervised data and enable researchers to isolate the performance of their algorithms on individual simulated phenomena. Work on such data has shown that neural network based models hold promise for modelling reading comprehension, something that we will build upon here. Historically, however, many similar approaches in Computational Linguistics have failed to manage the transition from synthetic data to real environments, as such closed worlds inevitably fail to capture the complexity, richness, and noise of natural language [5].

In this work we seek to directly address the lack of real natural language training data by introducing a novel approach to building a supervised reading comprehension data set. We observe that summary and paraphrase sentences, with their associated documents, can be readily converted to context–query–answer triples using simple entity detection and anonymisation algorithms. Using this approach we have collected two new corpora of roughly a million news stories with associated queries from the CNN and Daily Mail websites.

We demonstrate the efficacy of our new corpora by building novel deep learning models for reading comprehension. These models draw on recent developments for incorporating attention mechanisms into recurrent neural network architectures [6, 7, 8, 4]. This allows a model to focus on the aspects of a document that it believes will help it answer a question, and also allows us to visualise its inference process. We compare these neural models to a range of baselines and heuristic benchmarks based upon a traditional frame semantic analysis provided by a state-of-the-art natural language processing

	CNN			Daily Mail		
	train	valid	test	train	valid	test
# months	95	1	1	56	1	1
# documents	90,266	1,220	1,093	196,961	12,148	10,397
# queries	380,298	3,924	3,198	879,450	64,835	53,182
Max # entities	527	187	396	371	232	245
Avg # entities	26.4	26.5	24.5	26.5	25.5	26.0
Avg # tokens	762	763	716	813	774	780
Vocab size	118,497			208,045		

Table 1: Corpus statistics. Articles were collected starting in April 2007 for CNN and June 2010 for the Daily Mail, both until the end of April 2015. Validation data is from March, test data from April 2015. Articles of over 2000 tokens and queries whose answer entity did not appear in the context were filtered out.

Top N	Cumulative %	
	CNN	Daily Mail
1	30.5	25.6
2	47.7	42.4
3	58.1	53.7
5	70.6	68.1
10	85.1	85.5

Table 2: Percentage of time that the correct answer is contained in the top  $N$  most frequent entities in a given document.

(NLP) pipeline. Our results indicate that the neural models achieve a higher accuracy, and do so without any specific encoding of the document or query structure.

## 2 Supervised training data for reading comprehension

The reading comprehension task naturally lends itself to a formulation as a supervised learning problem. Specifically we seek to estimate the conditional probability  $p(a|c, q)$ , where  $c$  is a context document,  $q$  a query relating to that document, and  $a$  the answer to that query. For a focused evaluation we wish to be able to exclude additional information, such as world knowledge gained from co-occurrence statistics, in order to test a model’s core capability to detect and understand the linguistic relationships between entities in the context document.

Such an approach requires a large training corpus of document–query–answer triples and until now such corpora have been limited to hundreds of examples and thus mostly of use only for testing [9]. This limitation has meant that most work in this area has taken the form of unsupervised approaches which use templates or syntactic/semantic analysers to extract relation tuples from the document to form a knowledge graph that can be queried.

Here we propose a methodology for creating real-world, large scale supervised training data for learning reading comprehension models. Inspired by work in summarisation [10, 11], we create two machine reading corpora by exploiting online newspaper articles and their matching summaries. We have collected 93k articles from the CNN<sup>1</sup> and 220k articles from the Daily Mail<sup>2</sup> websites. Both news providers supplement their articles with a number of bullet points, summarising aspects of the information contained in the article. Of key importance is that these summary points are abstractive and do not simply copy sentences from the documents. We construct a corpus of document–query–answer triples by turning these bullet points into Cloze [12] style questions by replacing one entity at a time with a placeholder. This results in a combined corpus of roughly 1M data points (Table 1). Code to replicate our datasets—and to apply this method to other sources—is available online<sup>3</sup>.

### 2.1 Entity replacement and permutation

Note that the focus of this paper is to provide a corpus for evaluating a model’s ability to read and comprehend a single document, not world knowledge or co-occurrence. To understand that distinction consider for instance the following Cloze form queries (created from headlines in the Daily Mail validation set): *a*) The hi-tech bra that helps you beat breast **X**; *b*) Could Saccharin help beat **X**?; *c*) Can fish oils help fight prostate **X**? An ngram language model trained on the Daily Mail would easily correctly predict that (**X** = *cancer*), regardless of the contents of the context document, simply because this is a very frequently cured entity in the Daily Mail corpus.

<sup>1</sup>[www.cnn.com](http://www.cnn.com)

<sup>2</sup>[www.dailymail.co.uk](http://www.dailymail.co.uk)

<sup>3</sup><http://www.github.com/deepmind/rc-data/>

Original Version	Anonymised Version
<b>Context</b> The BBC producer allegedly struck by Jeremy Clarkson will not press charges against the “Top Gear” host, his lawyer said Friday. Clarkson, who hosted one of the most-watched television shows in the world, was dropped by the BBC Wednesday after an internal investigation by the British broadcaster found he had subjected producer Oisin Tymon “to an unprovoked physical and verbal attack.” ...	the <i>ent381</i> producer allegedly struck by <i>ent212</i> will not press charges against the “ <i>ent153</i> ” host , his lawyer said friday . <i>ent212</i> , who hosted one of the most - watched television shows in the world , was dropped by the <i>ent381</i> wednesday after an internal investigation by the <i>ent180</i> broadcaster found he had subjected producer <i>ent193</i> “ to an unprovoked physical and verbal attack . ” ...
<b>Query</b> Producer X will not press charges against Jeremy Clarkson, his lawyer says.	producer X will not press charges against <i>ent212</i> , his lawyer says .
<b>Answer</b> Oisin Tymon	<i>ent193</i>

Table 3: Original and anonymised version of a data point from the Daily Mail validation set. The anonymised entity markers are constantly permuted during training and testing.

To prevent such degenerate solutions and create a focused task we anonymise and randomise our corpora with the following procedure, *a*) use a coreference system to establish coreferents in each data point; *b*) replace all entities with abstract entity markers according to coreference; *c*) randomly permute these entity markers whenever a data point is loaded.

Compare the original and anonymised version of the example in Table 3. Clearly a human reader can answer both queries correctly. However in the anonymised setup the context document is required for answering the query, whereas the original version could also be answered by someone with the requisite background knowledge. Therefore, following this procedure, the only remaining strategy for answering questions is to do so by exploiting the context presented with each question. Thus performance on our two corpora truly measures reading comprehension capability. Naturally a production system would benefit from using all available information sources, such as clues through language and co-occurrence statistics.

Table 2 gives an indication of the difficulty of the task, showing how frequent the correct answer is contained in the top  $N$  entity markers in a given document. Note that our models don’t distinguish between entity markers and regular words. This makes the task harder and the models more general.

### 3 Models

So far we have motivated the need for better datasets and tasks to evaluate the capabilities of machine reading models. We proceed by describing a number of baselines, benchmarks and new models to evaluate against this paradigm. We define two simple baselines, the majority baseline (maximum frequency) picks the entity most frequently observed in the context document, whereas the exclusive majority (exclusive frequency) chooses the entity most frequently observed in the context but not observed in the query. The idea behind this exclusion is that the placeholder is unlikely to be mentioned twice in a single Cloze form query.

#### 3.1 Symbolic Matching Models

Traditionally, a pipeline of NLP models has been used for attempting question answering, that is models that make heavy use of linguistic annotation, structured world knowledge and semantic parsing and similar NLP pipeline outputs. Building on these approaches, we define a number of NLP-centric models for our machine reading task.

**Frame-Semantic Parsing** Frame-semantic parsing attempts to identify predicates and their arguments, allowing models access to information about “who did what to whom”. Naturally this kind of annotation lends itself to being exploited for question answering. We develop a benchmark that

makes use of frame-semantic annotations which we obtained by parsing our model with a state-of-the-art frame-semantic parser [13, 14]. As the parser makes extensive use of linguistic information we run these benchmarks on the unanonymised version of our corpora. There is no significant advantage in this as the frame-semantic approach used here does not possess the capability to generalise through a language model beyond exploiting one during the parsing phase. Thus, the key objective of evaluating machine comprehension abilities is maintained. Extracting entity-predicate triples—denoted as  $(e_1, V, e_2)$ —from both the query  $q$  and context document  $d$ , we attempt to resolve queries using a number of rules with an increasing recall/precision trade-off as follows (Table 4).

Strategy	Pattern $\in q$	Pattern $\in d$	Example (Cloze / Context)
1 Exact match	$(p, V, y)$	$(\mathbf{x}, V, y)$	X loves Suse / <b>Kim</b> loves Suse
2 be.01.V match	$(p, \text{be.}01.\text{V}, y)$	$(\mathbf{x}, \text{be.}01.\text{V}, y)$	X is president / <b>Mike</b> is president
3 Correct frame	$(p, V, y)$	$(\mathbf{x}, V, z)$	X won Oscar / <b>Tom</b> won Academy Award
4 Permutated frame	$(p, V, y)$	$(y, V, \mathbf{x})$	X met Suse / Suse met <b>Tom</b>
5 Matching entity	$(p, V, y)$	$(\mathbf{x}, Z, y)$	X likes candy / <b>Tom</b> loves candy
6 Back-off strategy	<i>Pick the most frequent entity from the context that doesn't appear in the query</i>		

Table 4: Resolution strategies using PropBank triples.  $\mathbf{x}$  denotes the entity proposed as answer,  $V$  is a fully qualified PropBank frame (e.g. *give.01.V*). Strategies are ordered by precedence and answers determined accordingly. This heuristic algorithm was iteratively tuned on the validation data set.

For reasons of clarity, we pretend that all PropBank triples are of the form  $(e_1, V, e_2)$ . In practice, we take the argument numberings of the parser into account and only compare like with like, except in cases such as the permuted frame rule, where ordering is relaxed. In the case of multiple possible answers from a single rule, we randomly choose one.

**Word Distance Benchmark** We consider another baseline that relies on word distance measurements. Here, we align the placeholder of the Cloze form question with each possible entity in the context document and calculate a distance measure between the question and the context around the aligned entity. This score is calculated by summing the distances of every word in  $q$  to their nearest aligned word in  $d$ , where alignment is defined by matching words either directly or as aligned by the coreference system. We tune the maximum penalty per word ( $m = 8$ ) on the validation data.

### 3.2 Neural Network Models

Neural networks have successfully been applied to a range of tasks in NLP. This includes classification tasks such as sentiment analysis [15] or POS tagging [16], as well as generative problems such as language modelling or machine translation [17]. We propose three neural models for estimating the probability of word type  $a$  from document  $d$  answering query  $q$ :

$$p(a|d, q) \propto \exp(W(a)g(d, q)), \quad \text{s.t. } a \in V,$$

where  $V$  is the vocabulary<sup>4</sup>, and  $W(a)$  indexes row  $a$  of weight matrix  $W$  and through a slight abuse of notation word types double as indexes. Note that we do not privilege entities or variables, the model must learn to differentiate these in the input sequence. The function  $g(d, q)$  returns a vector embedding of a document and query pair.

**The Deep LSTM Reader** Long short-term memory (LSTM, [18]) networks have recently seen considerable success in tasks such as machine translation and language modelling [17]. When used for translation, Deep LSTMs [19] have shown a remarkable ability to embed long sequences into a vector representation which contains enough information to generate a full translation in another language. Our first neural model for reading comprehension tests the ability of Deep LSTM encoders to handle significantly longer sequences. We feed our documents one word at a time into a Deep LSTM encoder, after a delimiter we then also feed the query into the encoder. Alternatively we also experiment with processing the query then the document. The result is that this model processes each document query pair as a single long sequence. Given the embedded document and query the network predicts which token in the document answers the query.

<sup>4</sup>The vocabulary includes all the word types in the documents, questions, the entity maskers, and the question unknown entity marker.

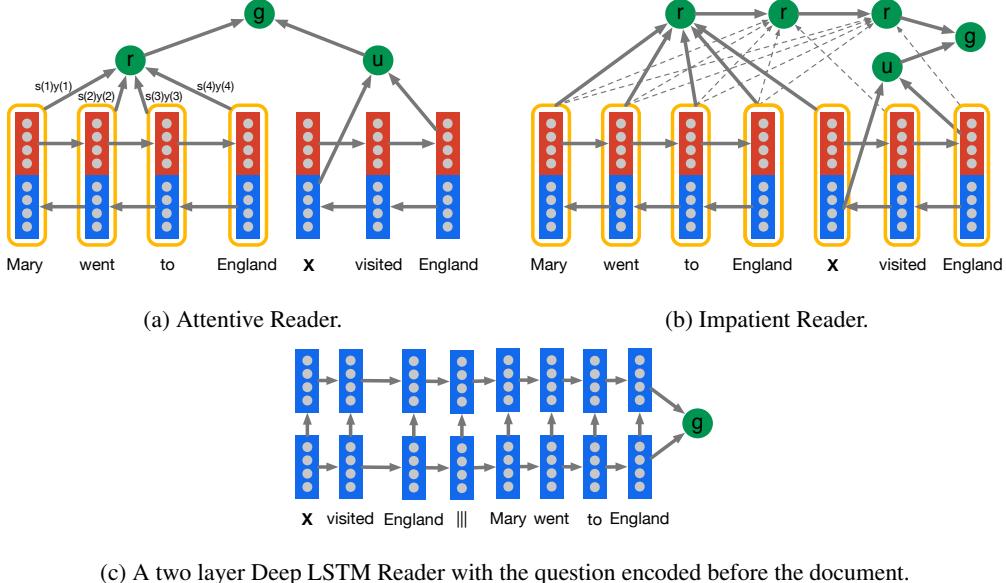


Figure 1: Document and query embedding models.

We employ a Deep LSTM cell with skip connections from each input  $x(t)$  to every hidden layer, and from every hidden layer to the output  $y(t)$ :

$$\begin{aligned}
 x'(t, k) &= x(t) \| y'(t, k-1), & y(t) &= y'(t, 1) \| \dots \| y'(t, K) \\
 i(t, k) &= \sigma(W_{kxi}x'(t, k) + W_{khi}h(t-1, k) + W_{kci}c(t-1, k) + b_{ki}) \\
 f(t, k) &= \sigma(W_{kxf}x'(t, k) + W_{khf}h(t-1, k) + W_{kcf}c(t-1, k) + b_{kf}) \\
 c(t, k) &= f(t, k)c(t-1, k) + i(t, k) \tanh(W_{kxc}x'(t, k) + W_{khc}h(t-1, k) + b_{kc}) \\
 o(t, k) &= \sigma(W_{kxo}x'(t, k) + W_{kho}h(t-1, k) + W_{kco}c(t, k) + b_{ko}) \\
 h(t, k) &= o(t, k) \tanh(c(t, k)) \\
 y'(t, k) &= W_{ky}h(t, k) + b_{ky}
 \end{aligned}$$

where  $\|$  indicates vector concatenation  $h(t, k)$  is the hidden state for layer  $k$  at time  $t$ , and  $i$ ,  $f$ ,  $o$  are the input, forget, and output gates respectively. Thus our Deep LSTM Reader is defined by  $g^{\text{LSTM}}(d, q) = y(|d| + |q|)$  with input  $x(t)$  the concatenation of  $d$  and  $q$  separated by the delimiter  $\|\|$ .

**The Attentive Reader** The Deep LSTM Reader must propagate dependencies over long distances in order to connect queries to their answers. The fixed width hidden vector forms a bottleneck for this information flow that we propose to circumvent using an attention mechanism inspired by recent results in translation and image recognition [6, 7]. This attention model first encodes the document and the query using separate bidirectional single layer LSTMs [19].

We denote the outputs of the forward and backward LSTMs as  $\vec{y}(t)$  and  $\overleftarrow{y}(t)$  respectively. The encoding  $u$  of a query of length  $|q|$  is formed by the concatenation of the final forward and backward outputs,  $u = \vec{y}_q(|q|) \| \overleftarrow{y}_q(1)$ .

For the document the composite output for each token at position  $t$  is,  $y_d(t) = \vec{y}_d(t) \| \overleftarrow{y}_d(t)$ . The representation  $r$  of the document  $d$  is formed by a weighted sum of these output vectors. These weights are interpreted as the degree to which the network attends to a particular token in the document when answering the query:

$$\begin{aligned}
 m(t) &= \tanh(W_{ym}y_d(t) + W_{um}u), \\
 s(t) &\propto \exp(w_{ms}^\top m(t)), \\
 r &= y_d s,
 \end{aligned}$$

where we are interpreting  $y_d$  as a matrix with each column being the composite representation  $y_d(t)$  of document token  $t$ . The variable  $s(t)$  is the normalised attention at token  $t$ . Given this attention

score the embedding of the document  $r$  is computed as the weighted sum of the token embeddings. The model is completed with the definition of the joint document and query embedding via a non-linear combination:

$$g^{\text{AR}}(d, q) = \tanh(W_{rg}r + W_{ug}u).$$

The Attentive Reader can be viewed as a generalisation of the application of Memory Networks to question answering [3]. That model employs an attention mechanism at the sentence level where each sentence is represented by a bag of embeddings. The Attentive Reader employs a finer grained token level attention mechanism where the tokens are embedded given their entire future and past context in the input document.

**The Impatient Reader** The Attentive Reader is able to focus on the passages of a context document that are most likely to inform the answer to the query. We can go further by equipping the model with the ability to reread from the document as each query token is read. At each token  $i$  of the query  $q$  the model computes a document representation vector  $r(i)$  using the bidirectional embedding  $y_q(i) = \vec{y}_q(i) \parallel \overleftarrow{y}_q(i)$ :

$$\begin{aligned} m(i, t) &= \tanh(W_{dm}y_d(t) + W_{rm}r(i-1) + W_{qm}y_q(i)), \quad 1 \leq i \leq |q|, \\ s(i, t) &\propto \exp(w_{ms}^\top m(i, t)), \\ r(0) &= \mathbf{r}_0, \quad r(i) = y_d^\top s(i) + \tanh(W_{rr}r(i-1)) \quad 1 \leq i \leq |q|. \end{aligned}$$

The result is an attention mechanism that allows the model to recurrently accumulate information from the document as it sees each query token, ultimately outputting a final joint document query representation for the answer prediction,

$$g^{\text{IR}}(d, q) = \tanh(W_{rg}r(|q|) + W_{qg}u).$$

## 4 Empirical Evaluation

Having described a number of models in the previous section, we next evaluate these models on our reading comprehension corpora. Our hypothesis is that neural models should in principle be well suited for this task. However, we argued that simple recurrent models such as the LSTM probably have insufficient expressive power for solving tasks that require complex inference. We expect that the attention-based models would therefore outperform the pure LSTM-based approaches.

Considering the second dimension of our investigation, the comparison of traditional versus neural approaches to NLP, we do not have a strong prior favouring one approach over the other. While numerous publications in the past few years have demonstrated neural models outperforming classical methods, it remains unclear how much of that is a side-effect of the language modelling capabilities intrinsic to any neural model for NLP. The entity anonymisation and permutation aspect of the task presented here may end up levelling the playing field in that regard, favouring models capable of dealing with syntax rather than just semantics.

With these considerations in mind, the experimental part of this paper is designed with a three-fold aim. First, we want to establish the difficulty of our machine reading task by applying a wide range of models to it. Second, we compare the performance of parse-based methods versus that of neural models. Third, within the group of neural models examined, we want to determine what each component contributes to the end performance; that is, we want to analyse the extent to which an LSTM can solve this task, and to what extent various attention mechanisms impact performance.

All model hyperparameters were tuned on the respective validation sets of the two corpora.<sup>5</sup> Our experimental results are in Table 5, with the Attentive and Impatient Readers performing best across both datasets.

---

<sup>5</sup>For the Deep LSTM Reader, we consider hidden layer sizes [64, 128, 256], depths [1, 2, 4], initial learning rates [1E-3, 5E-4, 1E-4, 5E-5], batch sizes [16, 32] and dropout [0.0, 0.1, 0.2]. We evaluate two types of feeds. In the *cqa* setup we feed first the context document and subsequently the question into the encoder, while the *qca* model starts by feeding in the question followed by the context document. We report results on the best model (underlined hyperparameters, *qca* setup). For the attention models we consider hidden layer sizes [64, 128, 256], single layer, initial learning rates [1E-4, 5E-5, 2.5E-5, 1E-5], batch sizes [8, 16, 32] and dropout [0, 0.1, 0.2, 0.5]. For all models we used asynchronous RmsProp [20] with a momentum of 0.9 and a decay of 0.95. See Appendix A for more details of the experimental setup.

	CNN		Daily Mail	
	valid	test	valid	test
Maximum frequency	30.5	33.2	25.6	25.5
Exclusive frequency	36.6	39.3	32.7	32.8
Frame-semantic model	36.3	40.2	35.5	35.5
Word distance model	50.5	50.9	56.4	55.5
Deep LSTM Reader	55.0	57.0	63.3	62.2
Uniform Reader	39.0	39.4	34.6	34.4
Attentive Reader	61.6	63.0	<b>70.5</b>	<b>69.0</b>
Impatient Reader	<b>61.8</b>	<b>63.8</b>	69.0	68.0

Table 5: Accuracy of all the models and benchmarks on the CNN and Daily Mail datasets. The Uniform Reader baseline sets all of the  $m(t)$  parameters to be equal.

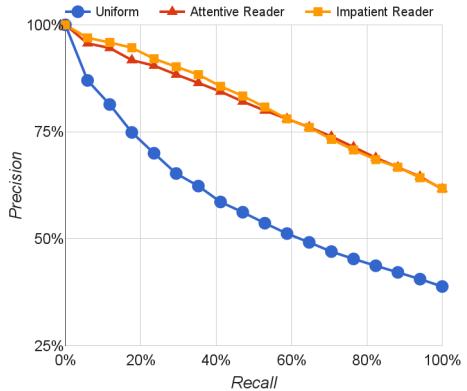


Figure 2: Precision@Recall for the attention models on the CNN validation data.

**Frame-semantic benchmark** While the one frame-semantic model proposed in this paper is clearly a simplification of what could be achieved with annotations from an NLP pipeline, it does highlight the difficulty of the task when approached from a symbolic NLP perspective.

Two issues stand out when analysing the results in detail. First, the frame-semantic pipeline has a poor degree of coverage with many relations not being picked up by our PropBank parser as they do not adhere to the default predicate-argument structure. This effect is exacerbated by the type of language used in the highlights that form the basis of our datasets. The second issue is that the frame-semantic approach does not trivially scale to situations where several sentences, and thus frames, are required to answer a query. This was true for the majority of queries in the dataset.

**Word distance benchmark** More surprising perhaps is the relatively strong performance of the word distance benchmark, particularly relative to the frame-semantic benchmark, which we had expected to perform better. Here, again, the nature of the datasets used can explain aspects of this result. Where the frame-semantic model suffered due to the language used in the highlights, the word distance model benefited. Particularly in the case of the Daily Mail dataset, highlights frequently have significant lexical overlap with passages in the accompanying article, which makes it easy for the word distance benchmark. For instance the query “*Tom Hanks is friends with X’s manager, Scooter Brown*” has the phrase “... turns out he is good friends with *Scooter Brown, manager for Carly Rae Jepson*” in the context. The word distance benchmark correctly aligns these two while the frame-semantic approach fails to pickup the friendship or management relations when parsing the query. We expect that on other types of machine reading data where questions rather than Cloze queries are used this particular model would perform significantly worse.

**Neural models** Within the group of neural models explored here, the results paint a clear picture with the Impatient and the Attentive Readers outperforming all other models. This is consistent with our hypothesis that attention is a key ingredient for machine reading and question answering due to the need to propagate information over long distances. The Deep LSTM Reader performs surprisingly well, once again demonstrating that this simple sequential architecture can do a reasonable job of learning to abstract long sequences, even when they are up to two thousand tokens in length. However this model does fail to match the performance of the attention based models, even though these only use single layer LSTMs.<sup>6</sup>

The poor results of the Uniform Reader support our hypothesis of the significance of the attention mechanism in the Attentive model’s performance as the only difference between these models is that the attention variables are ignored in the Uniform Reader. The precision@recall statistics in Figure 2 again highlight the strength of the attentive approach.

We can visualise the attention mechanism as a heatmap over a context document to gain further insight into the models’ performance. The highlighted words show which tokens in the document were attended to by the model. In addition we must also take into account that the vectors at each

<sup>6</sup>Memory constraints prevented us from experimenting with deeper Attentive Readers.

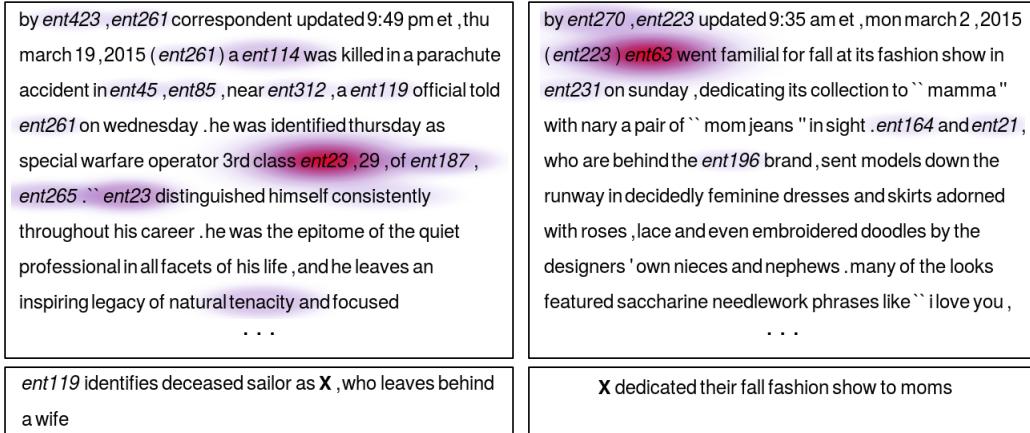


Figure 3: Attention heat maps from the Attentive Reader for two correctly answered validation set queries (the correct answers are *ent23* and *ent63*, respectively). Both examples require significant lexical generalisation and co-reference resolution in order to be answered correctly by a given model.

tokens integrate long range contextual information via the bidirectional LSTM encoders. Figure 3 depicts heat maps for two queries that were correctly answered by the Attentive Reader.<sup>7</sup> In both cases confidently arriving at the correct answer requires the model to perform both significant lexical generalisation, e.g. ‘killed’ → ‘deceased’, and co-reference or anaphora resolution, e.g. ‘*ent119* was killed’ → ‘he was identified.’ However it is also clear that the model is able to integrate these signals with rough heuristic indicators such as the proximity of query words to the candidate answer.

## 5 Conclusion

The supervised paradigm for training machine reading and comprehension models provides a promising avenue for making progress on the path to building full natural language understanding systems. We have demonstrated a methodology for obtaining a large number of document-query-answer triples and shown that recurrent and attention based neural networks provide an effective modelling framework for this task. Our analysis indicates that the Attentive and Impatient Readers are able to propagate and integrate semantic information over long distances. In particular we believe that the incorporation of an attention mechanism is the key contributor to these results.

The attention mechanism that we have employed is just one instantiation of a very general idea which can be further exploited. However, the incorporation of world knowledge and multi-document queries will also require the development of attention and embedding mechanisms whose complexity to query does not scale linearly with the data set size. There are still many queries requiring complex inference and long range reference resolution that our models are not yet able to answer. As such our data provides a scalable challenge that should support NLP research into the future. Further, significantly bigger training data sets can be acquired using the techniques we have described, undoubtedly allowing us to train more expressive and accurate models.

<sup>7</sup>Note that these examples were chosen as they were short, the average CNN validation document contained 763 tokens and 27 entities, thus most instances were significantly harder to answer than these examples.

## References

- [1] Ellen Riloff and Michael Thelen. A rule-based question answering system for reading comprehension tests. In *Proceedings of the ANLP/NAACL Workshop on Reading Comprehension Tests As Evaluation for Computer-based Language Understanding Systems*.
- [2] Hoifung Poon, Janara Christensen, Pedro Domingos, Oren Etzioni, Raphael Hoffmann, Chloe Kiddon, Thomas Lin, Xiao Ling, Mausam, Alan Ritter, Stefan Schoenmackers, Stephen Soderland, Dan Weld, Fei Wu, and Congle Zhang. Machine reading at the University of Washington. In *Proceedings of the NAACL HLT 2010 First International Workshop on Formalisms and Methodology for Learning by Reading*.
- [3] Jason Weston, Sumit Chopra, and Antoine Bordes. Memory networks. *CoRR*, abs/1410.3916, 2014.
- [4] Sainbayar Sukhbaatar, Arthur Szlam, Jason Weston, and Rob Fergus. End-to-end memory networks. *CoRR*, abs/1503.08895, 2015.
- [5] Terry Winograd. *Understanding Natural Language*. Academic Press, Inc., Orlando, FL, USA, 1972.
- [6] Dzmitry Bahdanau, Kyunghyun Cho, and Yoshua Bengio. Neural machine translation by jointly learning to align and translate. *CoRR*, abs/1409.0473, 2014.
- [7] Volodymyr Mnih, Nicolas Heess, Alex Graves, and Koray Kavukcuoglu. Recurrent models of visual attention. In *Advances in Neural Information Processing Systems 27*.
- [8] Karol Gregor, Ivo Danihelka, Alex Graves, and Daan Wierstra. DRAW: A recurrent neural network for image generation. *CoRR*, abs/1502.04623, 2015.
- [9] Matthew Richardson, Christopher J. C. Burges, and Erin Renshaw. Mctest: A challenge dataset for the open-domain machine comprehension of text. In *Proceedings of EMNLP*.
- [10] Krysta Svore, Lucy Vanderwende, and Christopher Burges. Enhancing single-document summarization by combining RankNet and third-party sources. In *Proceedings of EMNLP/CoNLL*.
- [11] Kristian Woodsend and Mirella Lapata. Automatic generation of story highlights. In *Proceedings of ACL*, 2010.
- [12] Wilson L Taylor. “Cloze procedure”: a new tool for measuring readability. *Journalism Quarterly*, 30:415–433, 1953.
- [13] Dipanjan Das, Desai Chen, André F. T. Martins, Nathan Schneider, and Noah A. Smith. Frame-semantic parsing. *Computational Linguistics*, 40(1):9–56, 2013.
- [14] Karl Moritz Hermann, Dipanjan Das, Jason Weston, and Kuzman Ganchev. Semantic frame identification with distributed word representations. In *Proceedings of ACL*, June 2014.
- [15] Nal Kalchbrenner, Edward Grefenstette, and Phil Blunsom. A convolutional neural network for modelling sentences. In *Proceedings of ACL*, 2014.
- [16] Ronan Collobert, Jason Weston, Léon Bottou, Michael Karlen, Koray Kavukcuoglu, and Pavel Kuksa. Natural language processing (almost) from scratch. *Journal of Machine Learning Research*, 12:2493–2537, November 2011.
- [17] Ilya Sutskever, Oriol Vinyals, and Quoc V. V Le. Sequence to sequence learning with neural networks. In *Advances in Neural Information Processing Systems 27*.
- [18] Sepp Hochreiter and Jürgen Schmidhuber. Long short-term memory. *Neural Computation*, 9(8):1735–1780, November 1997.
- [19] Alex Graves. *Supervised Sequence Labelling with Recurrent Neural Networks*, volume 385 of *Studies in Computational Intelligence*. Springer, 2012.
- [20] T. Tieleman and G. Hinton. Lecture 6.5—RmsProp: Divide the gradient by a running average of its recent magnitude. COURSERA: Neural Networks for Machine Learning, 2012.

## A Model hyperparameters

The precise hyperparameters used for the various attentive models are as in Table 6. All models were trained using asynchronous RmsProp [20] with a momentum of 0.9 and a decay of 0.95.

Model	Hidden Size	Learning Rate	Batch Size	Dropout
Uniform, CNN	256	5E-5	32	0.2
Attentive, CNN	256	5E-5	32	0.2
Impatient, CNN	256	5E-5	32	0.3
Uniform, Daily Mail	256	5E-5	32	0.2
Attentive, Daily Mail	256	2.5E-5	32	0.1
Impatient, Daily Mail	256	5E-5	32	0.1

Table 6: Model hyperparameters

## B Performance across document length

To understand how the model performance depends on the size of the context, we plot performance versus document lengths in Figures 4 and 5. The first figure (Fig. 4) plots a sliding window of performance across document length, showing that performance of the attentive models degrades slightly as documents increase in length. The second figure (Fig. 5) shows the cumulative performance with documents up to length  $N$ , showing that while the length does impact the models’ performance, that effect becomes negligible after reaching a length of ~500 tokens.

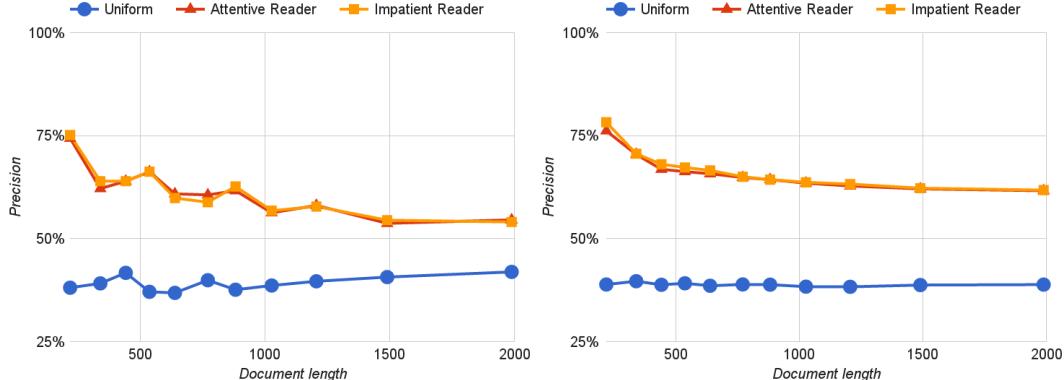


Figure 4: Precision@Document Length for the attention models on the CNN validation data. The chart shows the precision for each decile in document lengths across the corpus. The points mark the  $i^{th}$  decile in document lengths across the corpus. The chart shows the precision for each decile in document lengths across the corpus. The points mark the  $i^{th}$  decile in document lengths across the corpus.

## C Additional Heatmap Analysis

We expand on the analysis of the attention mechanism presented in the paper by including visualisations for additional queries from the CNN validation dataset below. We consider examples from the Attentive Reader as well as the Impatient Reader in this appendix.

### C.1 Attentive Reader

**Positive Instances** Figure 6 shows two positive examples from the CNN validation set that require reasonable levels of lexical generalisation and co-reference in order to be answered. The first query in Figure 7 contains strong lexical cues through the quote, but requires identifying the entity

quoted, which is non-trivial in the context document. The final positive example (also in Figure 7) demonstrates the fearlessness of our model.

by ent362 ,ent300 updated 6:06 pm et ,thu march 26 ,2015  
 (ent300 ) the `` ent321 " series will have to handcuff a new director .ent201 ,who directed `` ent71 , " told ent286 that she wo n't be back for the sequel ,`` ent100 . " `` directing ' ent135 ' has been an intense and incredible journey for which i am hugely grateful , " she said in a statement to the site . `` while i will not be returning to direct the sequels , i wish nothing but success to whosoever takes on the exciting challenges of films two and three . " " ent71 : what fans hoped for ? the first film in the best - selling book series has been hugely successful , pulling in more than \$ 550 million worldwide since it premiered in mid-february , but there have been rumbles that creative clashes were in the offing for the sequel .author ent341 has a great deal of control in how her books are presented on screen , and she made it clear that she wanted to write the screenplay for the second film ,ent184 reported last month .ent28 wrote the screenplay for `` ent71 . " the story behind mr. ent289 's suits the film stars ent344 as billionaire ent275 -- a man of certain sexual proclivities -- and ent407 as his romantic partner ,ent389 .

by ent339 ,ent42 updated 2:59 pm et ,thu march 26 ,2015 ( ent42 ) call it `` ent351 ." a ent396 state trooper caught a driver using a cardboard cutout of ent421 ,the ent364 beer pitchman known as `` ent397 . " the driver ,who was by himself ,was attempting to use the ent214 . `` the trooper immediately recognized it was a prop and not a passenger , " trooper ent367 told the ent375 . `` as the trooper approached ,the driver was actually laughing ." ent143 sent out a tweet with a photo of the cutout -- who was clad in what looked like a knit shirt ,a far cry from his usual attire -- and the unnamed laughing driver : `` ido n't always violate the ent303 lane law ...but when i do ,i get a \$ 124 ticket !we 'll give him an a for creativity !" the driver was caught on ent300 near ent327 ,ent396 ,just outside ent53 . `` he could have picked a less recognizable face to put on his prop , " ent143 told the ent375 . `` we see that a lot .usually it 's a sleeping bag .this was very creative . "

a driver was caught in the X with a cutout of `` ent7 "

X bows out of the `` ent321 " sequel

Figure 6: Attention heat maps from the Attentive Reader for two more correctly answered validation set queries. Both examples require significant lexical generalisation and co-reference resolution to find the correct answers *ent201* and *ent214*, respectively.

**Negative Instances** Figures 8 and 9 show examples of queries where the Attentive Reader fails to select the correct answer. The two examples in Figure 8 highlight a fairly common phenomenon in the data, namely ambiguous queries, where—at least following the anonymisation process—multiple entities are plausible answers even when evaluated manually. Note that in both cases the query searches for an entity marker that describes a geographic location, preceded by the word “in”. Here it is unclear whether the placeholder refers to a part of town, town, region or country.

Figure 9 contains two additional negative cases. The first failure is caused by the co-reference entity selection process. The correct entity, *ent15*, and the predicted one, *ent81*, both refer to the same person, but not being clustered together. Arguably this is a difficult clustering as one entity refers to “Kate Middleton” and the other to “The Duchess of Cambridge”. The right example shows a situation in which the model fails as it perhaps gets too little information from the short query and then selects the wrong cue with the term “claims” near the wrongly identified entity *ent1* (correct: *ent74*).

## C.2 Impatient Reader

To give a better intuition for the behaviour of the Impatient Reader, we use a similar visualisation technique as before. However, this time around we highlight the attention at every time step as the model updates its focus while moving through a given query. Figures 10–13 shows how the attention of the Impatient Reader changes and becomes increasingly more accurate as the model

of the officers had to have bullet fragments removed from his arm later ,according to the *ent315* .the *ent454* reported that the officers had been driving through the neighborhood dressed in plain clothes .the officers returned fire ,and several suspects scattered ,*ent195* .*ent47* told the newspaper ,adding that the officers believed that they were targeted .but a public information officer for the *ent315* disputes that possibility .`` the officers were in plain clothes ,'' *ent309* told *ent100* .`` this can not be called targeting .the narcotics officers from the 77th division were driving in an unmarked police vehicle around 64th and *ent223* when they were shot at and they returned fire .'' three individuals were detained for questioning ,according to *ent309* ,but were not arrested .the names of the injured officers have not been released .

**X\_UNK\_**: `` this can not be called targeting ''

by *ent63* ,*ent171* updated 5:59 pm et ,tue march 10 ,2015 ( *ent171* ) there was a street named after *ent164* ,but they had to change the name because nobody crosses *ent164* and lives .*ent164* counted to infinity .twice .death once had a near - *ent164* experience .*ent164* is celebrating his 75th birthday -- but the calendar is only allowed to turn 39 .that last one is true (well ,the first part ,anyway) .the actor ,martial - arts star and world 's favorite tough - guy joke subject was born march 10 ,1940 ,which makes him 75 today .or perhaps he is 39 .because maybe you can 't beat time ,but *ent164* can beat anything .happy birthday !

tuesday is **X** '75th birthday

Figure 7: Two more correctly answered validation set queries. The left example (entity *ent315*) requires correctly attributing the quote, which does not appear trivial with a number of other candidate entities in the vicinity. The right hand side shows our model is not afraid of Chuck Norris (*ent164*).

by *ent58* ,*ent61* updated 11:44 am et ,tue march 10 ,2015 ( *ent61* ) a suicide attacker detonated a car bomb near a police vehicle in the capital of southern *ent29* 's *ent85* on tuesday ,killing seven people and injuring 23 others ,the province 's deputy governor said .the attack happened at about 6 p.m.in the *ent8* area of *ent67* city ,said *ent30* ,deputy governor of *ent85* .several children were among the wounded ,and the majority of casualties were civilians ,*ent30* said .details about the attacker 's identity and motive were n't immediately available .

car bomb detonated near police vehicle in **X** ,deputy governor says

by *ent18* ,for *ent65* updated 7:28 pm et ,sat march 28 ,2015 *ent73* ,*ent64* (*ent65* ) suspected *ent53* gunmen decapitated 23 people in a raid on *ent80* village in northeast *ent64* 's *ent24* ,residents and a politician said saturday .scores of attackers invaded the village at 11p.m.friday when residents were mostly asleep and set homes on fire ,hacking residents who tried to flee .`` the gunmen slaughtered their 23 victims like rams and decapitated them .they injured several people ,'' said *ent47* ,a local politician who fled . . .

suspected militants raid village in **X**

Figure 8: Attention heat maps from the Attentive Reader for two wrongly answered validation set queries. In the left case the model returns *ent85* (correct: *ent67*), in the right example it gives *ent24* (correct: *ent64*). In both cases the query is unanswerable due to its ambiguous nature and the model selects a plausible answer.

considers larger parts of the query. Note how the attention is distributed fairly arbitrary at first, slowly focussing on the correct entity *ent5* only once the question has sufficiently been parsed.

by *ent25* ,*ent63* updated 8:47 pm et ,fri march 27 ,2015 ( *ent63* ) enjoy the latest pictures of the former *ent15* .they 're the last you 'll see for a while .*ent36* of *ent31* made her last official appearance friday at a variety of spots across *ent69* ,enjoying tours of a learning center and a church that hosts a youth charity .the former ,the *ent8* ,is named for an aspiring architect who was stabbed to death at age 18 in 1993.his mother ,*ent20* ,escorted *ent81* and her husband, prince *ent7* ,around the facility .*ent81*,33 ,is scheduled to give birth in mid-to late april ,she said this month .it will be the second child for her and *ent7* ,32 .their son ,*ent42* ,was born in july 2013 .

**X** and *ent7* have a son ,*ent42*

by *ent47* ,*ent54* and *ent44* ,*ent6* updated 8:31 pm et ,thu march 26 ,2015 ( *ent6* ) *ent1* has arrested what it claims are two spies who worked for *ent77* 's intelligence service ,a *ent70* official said thursday on condition of anonymity .the men,identified as *ent69* and *ent41* ,are accused of committing crimes of `` terrorism " and bringing in `` large quantities of forged currency , " the *ent70* source said .the official said *ent69* had made a declaration of guilt .*ent6* can not confirm the authenticity of the declaration or whether ,if *ent69* made one ,it was made under duress .*ent77* 's *ent74* told *ent6* that `` the information you 've obtained is not true .`` `` we do n't have any information that members of nis were arrested in *ent1* , " an *ent74* representative said .

*ent77* 's **X** denies claim

Figure 9: Additional heat maps for negative results. Here the left query selected *ent81* instead of *ent15* and the right query *ent1* instead of *ent74*.

by <i>ent20</i> , <i>ent48</i> correspondent updated 9:49 pm et ,thu march 19 ,2015 ( <i>ent48</i> ) a <i>ent69</i> was killed in a parachute accident in <i>ent31</i> , <i>ent52</i> ,near <i>ent49</i> ,a <i>ent77</i> official told <i>ent48</i> on wednesday .he was identified thursday as special warfare operator 3rd class <i>ent5</i> ,29 ,of <i>ent55</i> , <i>ent34</i> .`` <i>ent5</i> distinguished himself consistently throughout his career .he was the epitome of the quiet professional in all facets of his life ,and he leaves an inspiring legacy of natural tenacity and focused commitment for posterity , " the <i>ent77</i> said in a news release . <i>ent5</i> joined the seals in september after enlisting in the <i>ent77</i> two years earlier .he was married ,the <i>ent77</i> said .initial indications are the parachute failed to open during a jump as part of a training exercise . <i>ent5</i> was part of a <i>ent67</i> -based <i>ent69</i> team .  <i>ent77</i> identifies deceased sailor as <b>X</b> ,who leaves behind a wife	by <i>ent20</i> , <i>ent48</i> correspondent updated 9:49 pm et ,thu march 19 ,2015 ( <i>ent48</i> ) a <i>ent69</i> was killed in a parachute accident in <i>ent31</i> , <i>ent52</i> ,near <i>ent49</i> ,a <i>ent77</i> official told <i>ent48</i> on wednesday .he was identified thursday as special warfare operator 3rd class <i>ent5</i> ,29 ,of <i>ent55</i> , <i>ent34</i> .`` <i>ent5</i> distinguished himself consistently throughout his career .he was the epitome of the quiet professional in all facets of his life ,and he leaves an inspiring legacy of natural tenacity and focused commitment for posterity , " the <i>ent77</i> said in a news release . <i>ent5</i> joined the seals in september after enlisting in the <i>ent77</i> two years earlier .he was married ,the <i>ent77</i> said .initial indications are the parachute failed to open during a jump as part of a training exercise . <i>ent5</i> was part of a <i>ent67</i> -based <i>ent69</i> team .  <i>ent77</i> identifies deceased sailor as <b>X</b> ,who leaves behind a wife	by <i>ent20</i> , <i>ent48</i> correspondent updated 9:49 pm et ,thu march 19 ,2015 ( <i>ent48</i> ) a <i>ent69</i> was killed in a parachute accident in <i>ent31</i> , <i>ent52</i> ,near <i>ent49</i> ,a <i>ent77</i> official told <i>ent48</i> on wednesday .he was identified thursday as special warfare operator 3rd class <i>ent5</i> ,29 ,of <i>ent55</i> , <i>ent34</i> .`` <i>ent5</i> distinguished himself consistently throughout his career .he was the epitome of the quiet professional in all facets of his life ,and he leaves an inspiring legacy of natural tenacity and focused commitment for posterity , " the <i>ent77</i> said in a news release . <i>ent5</i> joined the seals in september after enlisting in the <i>ent77</i> two years earlier .he was married ,the <i>ent77</i> said .initial indications are the parachute failed to open during a jump as part of a training exercise . <i>ent5</i> was part of a <i>ent67</i> -based <i>ent69</i> team .  <i>ent77</i> identifies deceased sailor as <b>X</b> ,who leaves behind a wife
--	--	--

Figure 10: Attention of the Impatient Reader at time steps 1, 2 and 3.

by <i>ent20</i> , <i>ent48</i> correspondent updated 9:49 pm et ,thu march 19 ,2015 ( <i>ent48</i> ) a <i>ent69</i> was killed in a parachute accident in <i>ent31</i> , <i>ent52</i> ,near <i>ent49</i> ,a <i>ent77</i> official told <i>ent48</i> on wednesday .he was identified thursday as special warfare operator 3rd class <i>ent5</i> ,29 ,of <i>ent55</i> , <i>ent34</i> .`` <i>ent5</i> distinguished himself consistently throughout his career .he was the epitome of the quiet professional in all facets of his life ,and he leaves an inspiring legacy of natural tenacity and focused commitment for posterity , " the <i>ent77</i> said in a news release . <i>ent5</i> joined the seals in september after enlisting in the <i>ent77</i> two years earlier .he was married ,the <i>ent77</i> said .initial indications are the parachute failed to open during a jump as part of a training exercise . <i>ent5</i> was part of a <i>ent67</i> -based <i>ent69</i> team .  <i>ent77</i> identifies deceased sailor as <b>X</b> ,who leaves behind a wife	by <i>ent20</i> , <i>ent48</i> correspondent updated 9:49 pm et ,thu march 19 ,2015 ( <i>ent48</i> ) a <i>ent69</i> was killed in a parachute accident in <i>ent31</i> , <i>ent52</i> ,near <i>ent49</i> ,a <i>ent77</i> official told <i>ent48</i> on wednesday .he was identified thursday as special warfare operator 3rd class <i>ent5</i> ,29 ,of <i>ent55</i> , <i>ent34</i> .`` <i>ent5</i> distinguished himself consistently throughout his career .he was the epitome of the quiet professional in all facets of his life ,and he leaves an inspiring legacy of natural tenacity and focused commitment for posterity , " the <i>ent77</i> said in a news release . <i>ent5</i> joined the seals in september after enlisting in the <i>ent77</i> two years earlier .he was married ,the <i>ent77</i> said .initial indications are the parachute failed to open during a jump as part of a training exercise . <i>ent5</i> was part of a <i>ent67</i> -based <i>ent69</i> team .  <i>ent77</i> identifies deceased sailor as <b>X</b> ,who leaves behind a wife	by <i>ent20</i> , <i>ent48</i> correspondent updated 9:49 pm et ,thu march 19 ,2015 ( <i>ent48</i> ) a <i>ent69</i> was killed in a parachute accident in <i>ent31</i> , <i>ent52</i> ,near <i>ent49</i> ,a <i>ent77</i> official told <i>ent48</i> on wednesday .he was identified thursday as special warfare operator 3rd class <i>ent5</i> ,29 ,of <i>ent55</i> , <i>ent34</i> .`` <i>ent5</i> distinguished himself consistently throughout his career .he was the epitome of the quiet professional in all facets of his life ,and he leaves an inspiring legacy of natural tenacity and focused commitment for posterity , " the <i>ent77</i> said in a news release . <i>ent5</i> joined the seals in september after enlisting in the <i>ent77</i> two years earlier .he was married ,the <i>ent77</i> said .initial indications are the parachute failed to open during a jump as part of a training exercise . <i>ent5</i> was part of a <i>ent67</i> -based <i>ent69</i> team .  <i>ent77</i> identifies deceased sailor as <b>X</b> ,who leaves behind a wife
--	--	--

Figure 11: Attention of the Impatient Reader at time steps 4, 5 and 6.

