

First order Logic [FOL]

Representation Revisited:

- Programming Languages (like C++/Java/Lisp) are the largest class of formal languages which are in common use to represent Computational processes.
- The Data structures within the programs represents "facts".

for ex: Consider 4×4 array to represent wumpus world
Contents.

i.e., $\text{world}[2,2] \leftarrow \text{pit}$.

→ it's a way to assert that there is a pit in square $[2,2]$.

→ These Languages are Lack in deriving facts from other facts. Each update to a data structure is done by domain specific procedure, whose details are derived by the programmer from his/her own knowledge of domain.

→ This procedural approach can be contrasted with declarative nature of propositional logic in which

knowledge & inference are separate.
[inference is entirely domain independent]

Another drawback of datastructures
in programs is lack of easy way to say.

for ex: There is a pit in [2,2] or [3,1]

Programs can store a single value for each variable and some systems allow the value to be "unknown". ~~programs~~ can store But they lack in expressiveness required to handle partial information.

→ PL is declarative language because its semantics is based on truth relation between sentences & words.

→ It has expressive power to deal with partial information using disjunction & \exists

→ Main property of PL is "Compositionality". Meaning of a sentence is a function of meaning of its parts.

for ex: $s_{1,4} \wedge s_{1,2}$ is related to meanings of $s_{1,4}$ and $s_{1,2}$.

→ Drawback of PL is - Lacks the expressive power to describe an environment

with many objects Concisely.

for ex: $B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})$

Here, we were forced to write a separate rule about breeze and pits for each square.

→ But if we consider English it seems easy to say once for all i.e.,
"squares adjacent to pits are Breezy"

→ So, Natural Language is a declarative knowledge representation language.

So, the syntax of natural language consists of nouns & noun phrases that refer to objects [squares, pits, wumpus] and verbs, verb phrases refer to relations among objects [breezy, is adjacent to, shoots]

→ Some of these relations are functions in which there is only one value for a given I/P.

Objects: people, houses, numbers, colors, . . .

Relations: There can be unary relations
on properties

i.e., red, round, prime . . .

Con
more general n-ary relations like
brother of, bigger than . . .

functions : father of, best friend . . .

① Ex : one plus two equals three"

obj's : one, two, three , one plus two

relation : equals

function : "plus"

[it is the name for object that
is obtained by applying the
function plus to objects one
and two]

∴ three is another name for
this object]

② Ex : "squares neighboring the wumpus are
smelly".

obj's : wumpus, squares

relation : neighboring

function/property : smelly.

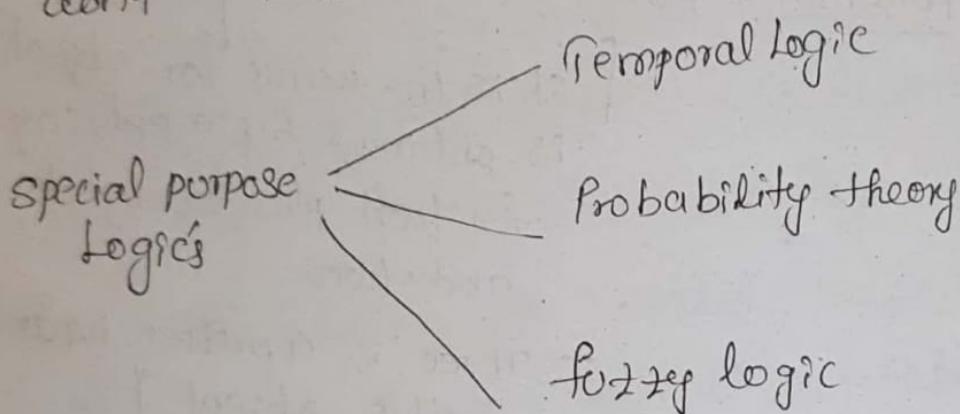
→ The major difference between PL and
FOL lies in ontological commitment
made by language. i.e., what it assumes
about nature of reality.

for ex: PL assumes that there are facts that either hold (or) do not hold in the world.

Each fact can be in one of two states

True (or) False

But, FOL assumes more i.e., objects and its relations among them that do or don't hold.



A logic can also be characterized by its epistemological commitments. i.e., the possible states of knowledge that it allows with respect to each fact.

Language	Ontological Commitment (what exists in the world)	Epistemological Commitment (what agent believes about facts)
PL	Facts	true/false/unknown
FOL	Facts, objects, relations	true/false/unknown
Temporal Logic	Facts, objects, relations, times	true/false/unknown
Probability Theory	Facts	degree of belief $\in [0,1]$
Fuzzy logic	Facts with degree of truth $\in [0,1]$	known interval values

~~Syntax and Semantics of FOL~~

Models for FOL :

- As we know, models for PL are just sets of truth values for the proposition symbols.
- Models for FOL are ;
The Domain of the model is set of objects it contains, and these are called domain elements.
- Here is the model with 5 objects.
i.e., two binary relations , three unary relations . i.e,

Objects : ✓ Richard

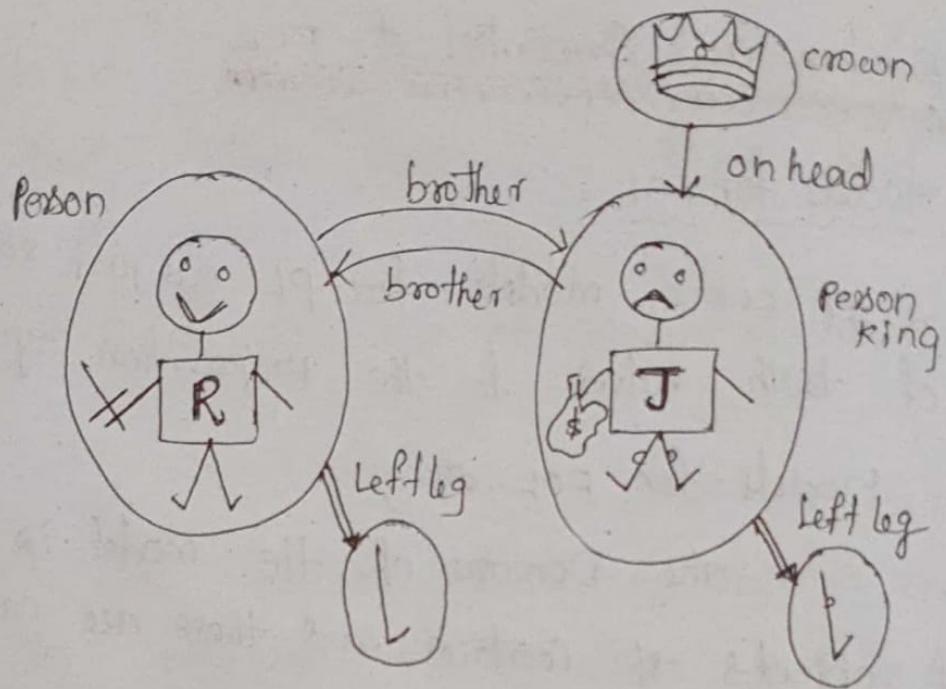
✓ John

binary relation { ✓ Left legs of R & J
✓ Younger brother crown
✓ Brother

- These objects may be related in many ways . i.e , Richard & John are brothers in figure . so , a relation is a set of tuples of objects that are related .

Thus , brotherhood relation in this model is the set .

$$\{(R, J), (J, R)\}.$$



- Here the crown is on King John's head. So the "on head" relation contains just one tuple. (the crown, King John)
- The "brother" and "on head" relations are binary relations. i.e., they relate pairs of objects.
- This model contains unary relations (or properties): "person" → True for both R & J
 "King" → True for only J
 "Crown" → True for only crown
- Some kinds of relationships are best considered as functions. In that object must be related exactly one object in this way.

forex: each person has one left leg so model has unary "left leg"

function which includes the following:

< Richard > → Richard's left leg

< John > → John's left leg.

symbols & interpretations?

sentence → Atomic Sentence

| (sentence Connective Sentence)

| (Quantifier . variable, ... sentence)

| → sentence.

Atomic sentence → predicate(Term, ...) / Term = Term.

Term → Function(Term, ...)

| Constant

| variable

Connective → ⇒ | ∧ | ∨ | ⇔

Quantifier → ∀ | ∃

Constant → A | x | John | ...

Variable → a | s | ...

Predicate → before | Hascolor | Raining | ...

Function → mother | leftleg | ...

→ This is the syntax of FOL which is
equally specified in Backus-Naur Form.

Basic syntactic elements of FOL are;

→ symbols that stands for objects, relations, functions.

→ symbols of 3 kinds :

i) Constant symbols

stand for objects

ii) Predicate symbols

stand for relations

iii) Function symbols

stand for functions.

→ Each predicate & function symbol comes with an arity that fixes the number of arguments.

→ In order to determine the truth that relate sentences to models we need Interpretation. which specifies exactly which objects, relations and functions are referred to by the Constant, predicate, and function symbols.

- Term:
- A logical expression that refers to an object.
 - Constant symbols are terms.
 - A complex term is formed by a function symbol followed by parenthesized list of terms as arguments.

$f(\underbrace{t_1; \dots; t_n})$.

b) term refers to objects in domain
 $(d_1; \dots; d_n)$

e.g.: "King John's left leg" referred as

$\text{Leftleg}(\text{John})$.

Atomic Sentences:

Terms for referring to objects &
 predicate symbols for referring to relations
 can together form "Atomic Sentence".

- it is formed with predicate symbol followed by parenthesized list of terms.

i.e., $\text{Brother}(\text{Richard}, \text{John})$

- Atomic sentences can have complex terms as arguments.

$\text{married}(\text{Father}(\text{Richard}), \text{Mother}(\text{John}))$

So, it is true in a given model iff
 the relation referred by predicate symbol

holds among the objects.

Complex sentences :

It uses Logical Connectives

i.e,

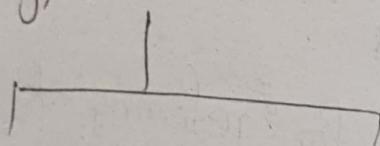
\exists Brother (leftleg (Richard), John)

Brother (Richard, John) \wedge Brother (John, Richard)

Quantifiers :

To express properties of entire collection of objects, instead of enumerating the objects by name.

Two types of Quantifiers



Universal
(\forall)

Existential
(\exists)

① Universal : All kings are persons

$\forall x \text{ King}(x) \Rightarrow \text{person}(x)$

i.e, for all x , if x is a king then x is a person.

[x : is available / act as argument of function]

Ex: $\text{leftleg}(x)$

Ground term: A Term with no variables
is called a ground term.

Sentence $\forall x P$

P is true for every object x

[where P is a logical expression]

$\rightarrow P$ is true in a given model under a given interpretation if P is true in all possible extended interpretations constructed from given interpretation where each extended interpretation specifies a domain element to which x refers.

i.e., $\left\{ \begin{array}{l} x \rightarrow \text{Richard the lion heart} \\ x \rightarrow \text{King John} \\ x \rightarrow \text{Richard's left leg} \\ x \rightarrow \text{John's left leg} \\ x \rightarrow \text{the crown.} \end{array} \right.$

i.e., $[\forall x \text{ King}(x) \Rightarrow \text{person}(x)]$ is true iff
 $\text{King}(x) \Rightarrow \text{person}(x)$ is true

on each of five extended interpretations.

- ① Richard the Lion heart is a king \Rightarrow Richard the Lion heart is a person
- ② King John is a King \Rightarrow King John is a person
- ③ Richard's left leg is a King \Rightarrow Richard's left leg is a person.

④ John's left leg is a king \Rightarrow John's left leg is a person

⑤ The crown is a king \Rightarrow the crown is a person.

But, if we observe the above sentences we know King John is only king and remaining four sentences about to be claim.

But we can use Conjunction instead of implication.

$\forall x \text{ king}(x) \wedge \text{person}(x)$

will assert the above sentences in a different way.

② Existential

Ex : $\exists x \text{ crown}(x) \wedge \text{OnHead}(x, \text{John})$

King John has crown on his head.

The sentence $\exists x p$ says that p is true for atleast one object x . i.e, one of the following must be true.

Richard the Lionheart is crown \wedge Richard the Lionheart is on John's head

King John is a crown \wedge King John is on John's head

✓ The crown is a crown \wedge a crown is on John's head

So, the sentence is true in the model.

Nested quantifiers:

To express more complex sentences using multiple quantifiers. The simplest case is where the quantifiers are of same type.

Ex: "Brothers are sibblings"

$$\forall x \forall y \text{ Brother}(x,y) \rightarrow \text{sibling}(x,y)$$

⇒ quantifiers of same type can be written as one quantifier with several variables

for ex: sibling is a symmetric relationship

$$\forall x, y \text{ Sibling}(x,y) \Leftrightarrow \text{sibling}(y,x)$$

Other cases:

Ex: "Everybody loves somebody" }
 $\forall x \exists y \text{ Loves}(x,y)$ } mixtures

Ex: "There is a somebody who is loved by everyone"
 (or)

$$\exists y \forall x \text{ Loves}(x,y)$$

Ex: "There is some queen in which confusion can arise when two quantifiers are used with same variable name.

$$\neg \forall x [\text{Crown}(x) \vee (\exists x \text{ Brother}(\text{Richard},x))]$$

Equality

Two quantifiers are actually connected with each other & through which everyone derived chocolate is same as anybody else does not exist some who loves him.

$$\forall x \forall y (x \text{ chocolate}) \Leftrightarrow \forall x \exists y (y \text{ loves } x \text{ chocolate})$$

Equality:

Equality symbol is used to make statements to the effect that two terms refer to the same same object.

for ex:- father(john)- Henry

i.e., the object referred by father(john) and the object referred by Henry are same.

- Equality symbol is used to state facts about given function.
- It also be used with negation to assert that two terms are not same object. for ex:- Rickard has atleast 2 brothers

$\exists x \forall y \text{ Brother}(x, \text{richard}) \wedge \text{Brother}(y, \text{richard})$
 $\neg(x, y)$

Using FOL:

Here we focus on more systematic representations of some simple domains.

→ In KB (or knowledge representation), a domain is just some part of world about which we wish to express some knowledge.

Assertions and queries in FOL:

As we know that, sentences are added to a KB using "TELL"; exactly as like in PL. such sentences are called assertions.

for ex: assert [John is a king and that kings are persons]

TELL (KB, King(John))

TELL (KB, $\forall x \text{ King}(x) \Rightarrow \text{person}(x)$)

We can ask a question of KB using "ASK"

for ex: ASK (KB, King(John))

which returns TRUE.

So, questions asked using ASK are called queries (or goals)

⇒ Suppose. Consider a query;
ASK (KB, person (John)).

It returns true.

⇒ Suppose if we have quantified queries like,
ASK (KB, $\exists x$, person(x)).

It is also true. But, here we have existential variable is asking "Is there an x such that so we solve it by providing x . So this type of procedure can be used to solve this problem is substitution / binding list.

The answer is, { $x/John$ }.

The Kinship domain:

for example Consider the domain of family relationships. If includes "Elizabeth is the mother of Charles" and

"Charles is father of William"

and similar like;

"one's grandmother is mother of one's parent"

→ The objects in our domain are people.

we have two unary predicates {male
Female}

→ Kinship relations — parenthood, brotherhood,
marriage and so on . . .

→ Binary predicates — parent, sibling, Brother,
sister, child, daughter, son, spouse, wife
Husband, Grand parent, Grandchild, Cousin,
Aunt, and uncle .

→ The functions we use are } mother
 father

so, now consider; "one's mother is one's female parent"

$\forall m, c \cdot \text{Mother}(c) = m \Leftrightarrow \text{female}(m) \wedge \text{parent}(m, c)$

"one's husband is one's male spouse"

$\forall w, h \cdot \text{Husband}(h, w) \Leftrightarrow \text{male}(h) \wedge \text{spouse}(h, w)$

"male and female are disjoint categories:

$\forall x \cdot \text{male}(x) \Leftrightarrow \neg \text{female}(x)$.

"parent and child are inverse relations"

$\forall p, c \cdot \text{parent}(p, c) \Leftrightarrow \text{child}(c, p)$.

"A Grand parent is a parent of one's parent"

$\forall g, c \cdot \text{Grandparent}(g, c) \Leftrightarrow \exists p \cdot \text{parent}(g, p) \wedge \text{parent}(p, c)$.

A sibling is another child of one's parents

$\forall x, y \text{ sibling}(x, y) \Leftrightarrow \exists p \text{ Parent}(p, x) \wedge \text{Parent}(p, y).$

→ Each of these sentences can be viewed as an axiom of kinship domain.

They provide basic fundamentals from which useful conclusions can be derived. These are also called definitions, which are of the form

$\forall x, y \text{ p}(x, y) \Leftrightarrow \dots$

Theorems

Not all logical sentences about a domain are axioms. Some are theorems --- i.e., they entailed by the axioms. for ex:

Consider assert that siblinghood is symmetric

$\forall x, y \text{ sibling}(x, y) \Leftrightarrow \text{sibling}(y, x)$
which returns true by RS.

Numbers, sets and lists:

Number: Here consider Natural Numbers they will be true for natural numbers, and we need a constant symbol 0, and one function symbol S (successor) if Natural Numbers are defined recursively

$\text{NatNum}(0)$

$\forall n \text{ NatNum}(n) \rightarrow \text{NatNum}(S(n))$

so natural numbers are 0, $s(0)$, $s(s(0))$...
successor function;

$$\forall n \ 0 \neq s(n)$$

$$\forall m, n \ m \neq n \Rightarrow s(m) \neq s(n).$$

Now, we can define addition in terms of s

$$\forall m \text{ NatNum}(m) \Rightarrow + (m, 0) = m$$

$$\forall m, n \text{ NatNum}(m) \wedge \text{NatNum}(n) \Rightarrow$$

$$+ (s(m), n) = s(+ (m, n)).$$

This can be considered in INFIX as;

$$\forall m, n \text{ NatNum}(m) \wedge \text{NatNum}(n) \Rightarrow$$
$$(m+1)+n = (m+n)+1.$$

SETS:

1) sets are empty and those made by adjoining something to a set.

$$\forall s \text{ sets} \Leftrightarrow (s = \{\}) \vee (\exists x, s_2 \text{ set}(s_2) \wedge s = \{x/s_2\})$$

2) if empty set has no elements.

$$\forall s \text{ } \{x/s\} = \{\}$$

3) Adjoining an element already in set.

$$\forall x, s \in s \Leftrightarrow s = \{x/s\}$$

4) x is a member of s if and only if s is equal to some set s_2 by adjoined with some element y .

$$\forall x, s \in s \Leftrightarrow [\exists y, s_2 (s = \{y/s_2\}) \wedge (x = y \vee x \in s_2)]$$

5) A set is a subset of another set

$$\forall s_1, s_2 \ s_1 \subseteq s_2 \Leftrightarrow (\forall x \ x \in s_1 \Rightarrow x \in s_2)$$

6) two sets are equal iff each is a subset of other

$$\forall s_1, s_2 (s_1 = s_2) \Leftrightarrow (s_1 \subseteq s_2 \wedge s_2 \subseteq s_1)$$

7) intersection of sets

$$\forall x, s_1, s_2 \ x \in (s_1 \cap s_2) \Leftrightarrow (x \in s_1 \wedge x \in s_2)$$

8) union of sets

$$\forall x, s_1, s_2 \ x \in (s_1 \cup s_2) \Leftrightarrow (x \in s_1 \vee x \in s_2)$$

Lists : similar to sets but appear more than once on a list.
 functions : Cons, Append, First, Rest and so on...
 $\text{Cons}(x, \text{Nil}) \rightarrow [x]$.

wumpus world :
 Recall wumpus receives percepts with 5 elements
 $\text{percept}([s, t, g, m, c], t)$
 → actions represented as:
 Turn (right), Turn (left), Forward, shoot, Grab, climb
 To determine best action Agent construct a query
 $\exists a \text{ Best Action}(a, t)$.

ASK will solve this query as $\{a / \text{Grab}\}$
 Before this, it must TELL to its own KB that
 performing Grab.

$$\begin{aligned} & \forall t, s, g, m, c \text{ percept}([s, \text{Breeze}, g, m, c], t) \Rightarrow \text{Breeze}(t) \\ & \forall t, s, b, m, c \text{ percept}([s, b, \text{Glitter}, m, c], t) \Rightarrow \text{Glitter}(t) \end{aligned}$$

i.e, $\boxed{\forall t \mid \text{Glitter}(t) \Rightarrow \text{Best Action}(\text{Grab}, t)}$.

Diagnostic rules :

i.e, Lead from observed effects to hidden causes

$$\forall s \text{ Breezy}(s) \Rightarrow \exists r \text{ Adjacent}(r, s) \wedge \text{pit}(r)$$

and also,

$$\forall s \neg \text{Breezy}(s) \Rightarrow \forall r \text{ Adjacent}(r, s) \wedge \neg \text{pit}(r)$$

By combining these two we have,

$$\boxed{\forall s \text{ Breezy}(s) \Leftrightarrow \exists r \text{ Adjacent}(r, s) \wedge \text{pit}(r)}$$

Causal rules :

i.e, hidden property of world causes a percept

$$\forall r \text{ pit}(r) \Rightarrow [\forall s \text{ Adjacent}(r, s) \Rightarrow \text{Breezy}(s)]$$

If all squares adjacent to given square is pitless
 then it will not breezy.

$$\forall s [\forall r \text{ Adjacent}(r, s) \Rightarrow \neg \text{pit}(r)] \Rightarrow \neg \text{Breezy}(s)$$

Inference in FOL

① ~~Propositional vs first-order inference:~~

Here we consider some simple inference rules that can be applied to sentences with quantifiers to obtain sentences without quantifiers.

Inference rules for quantifiers:

① Let us consider universal quantifiers. Suppose our KB contains the standard axiom states that all greedy kings are evil:

$$\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$$

Then, it is permissible to infer any of the following sentences.

$$\text{King}(\text{John}) \wedge \text{Greedy}(\text{John}) \Rightarrow \text{Evil}(\text{John})$$

$$\text{King}(\text{Richard}) \wedge \text{Greedy}(\text{Richard}) \Rightarrow \text{Evil}(\text{Richard})$$

$$\text{King}(\text{Father}(\text{John})) \wedge \text{Greedy}(\text{Father}(\text{John})) \Rightarrow \text{Evil}(\text{Father}(\text{John}))$$

⋮

The rule of universal instantiation says that we can infer any sentence obtained by substituting a ground term for the variable.

To write inference rule;

Let $\text{SUBST}(\theta, \alpha)$ denote the result of applying the substitution θ to the sentence α . Then the rule can be written as,

$$\frac{\forall v \alpha}{\text{SUBST}(\{v/g\}, \alpha)}$$

i.e., for any variable 'v', and ground term 'g'
the substitutions can be;

$$\begin{aligned} &\{x/\text{John}\} \\ &\{x/\text{Richard}\} \\ &\{x/\text{father(John)}\} \end{aligned}$$

② The "existential instantiation" rule for the existential quantifier is slightly more complicated i.e.,

for any sentence α , variable v and constant symbol K that doesn't appear elsewhere in KB

The rule can be written as;

$$\frac{\exists v \alpha}{\text{SUBST}(\{v/K\}, \alpha)}$$

for example; from the sentence:

$\exists x \text{ crown}(x) \wedge \text{onHead}(x, \text{John})$

we can infer the sentence.

$\text{crown}(C_1) \wedge \text{onHead}(C_1, \text{John})$

i.e., C_1 doesn't appear elsewhere in knowledge base.

→ Here, the universal instantiation, can be applied many times to produce many consequences. But, Existential instantiation can be applied once. Hence, this can be discarded.

For ex: we added sentence

$\text{kill}(\text{murderer}, \text{victim})$

so we no longer need the sentence

$\exists x \text{ kill}(x, \text{victim})$

→ so new knowledge base is semantically equivalent but not logically equivalent to old one.

Reduction to propositional inference:

Suppose KB contains the following:

$\{ \text{King}(\alpha) \wedge \text{Greedy}(\alpha) \Rightarrow \text{Evil}(\alpha)$
 King (John)
 Greedy (John)
 Brother (Richard, John)

→ Now, apply universal instantiation (UI)
 using all possible ground term substitutions
 i.e. $\{ \alpha/\text{John} \} \wedge \{ \alpha/\text{Richard} \}$
 we obtain;

$\{ \text{King}(\text{John}) \wedge \text{Greedy}(\text{John}) \Rightarrow \text{Evil}(\text{John}) \}$
 $\{ \text{King}(\text{Richard}) \wedge \text{Greedy}(\text{Richard}) \Rightarrow \text{Evil}(\text{Richard}) \}$

new KB
 and
 $\{ \text{King}(\text{John}) \}$
 $\{ \text{Greedy}(\text{John}) \}$
 $\{ \text{Brother}(\text{John}, \text{Richard}) \}$
 Propositional symbols.

If we apply an propositional Algorithm
 it will conclude Evil (John).

→ Every FOL KB Can be propositionalized
 so as to preserve entailment.

i.e., "A ground sentence Can be entailed
 by new KB iff it is entailed by
 original KB"

So, the idea for doing inference in FOL is,

- Propositionalize KB and query
- Apply resolution based inference
- Return Result

There is a problem with functionsymbols i.e., If there are many ground terms like, father(father(father(john))), ...

Theorem: Herbrand (1930). If a sentence α is entailed by a FOL KB, it is entailed by finite subset of the propositionalized KB.

We can find subset by first generating all instantiations with constant symbols (Richard and John) then all terms of depth 1 (father(Richard) and father(John)) and so on ...

→ This can be continued until the proof is done that the sentence is entailed.

②

Unification and lifting:

Propositionalization approach is inefficient because it generates a lot of irrelevant sentences. i.e,

From query Evil(x) and KB

it generates sentences

$$\left\{ \begin{array}{l} \text{King(Richard)} \wedge \text{Greedy(Richard)} \\ \Rightarrow \text{Evil(Richard)} \\ \text{Evil(John)} \end{array} \right.$$

from the knowledgebase;

$$\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$$

King(John)

Greedy(John)

First order inference rule:

(~~choose~~)

The inference that John is evil works like;

Find some x such that x is a king and x is greedy then infer x is evil.

i.e., if there is a substitution θ that makes the premise of implication identical to sentences already in KB. Then, we can assert the conclusion $\{\exists x \text{ John}\}$.

Suppose we know that , instead of knowing Greedy (John) we know everyone is greedy.

$\forall y \text{ (Greedy}(y))$

then we still conclude it to Evil (John) because John is King and John is Greedy

i.e., $\theta = \{a/\text{John}, y/\text{John}\}$

so if we apply these substitutions to implicit ion premises King(a), greedy(y) then the knowledgebase sentences are identical .

King (John) and Greedy(y)

Generalized modus ponens:

This a general inference rule for FOL that does not require instantiation .

i.e, for atomic sentences P_i, P_i' and Q where there is substitution θ such that ,

$$\text{SUBST}(\theta, P_i') = \text{SUBST}(\theta, P_i) \text{ for all } i.$$

$$\frac{P_1', P_2', \dots, P_n'; (P_1 \wedge P_2 \wedge \dots \wedge P_n \rightarrow Q)}{\text{SUBST}(\theta, Q)}.$$

Here there are $n+1$ premises to this rule.
i.e,

or atomic sentences P_1' and one implication.
The conclusion by substitution of θ to consequent
 q . i.e,

$$\begin{array}{ll} P_1' \text{ is King (John)} & P_1 \text{ is King (x)} \\ P_2' \text{ is Greedy (y)} & P_2 \text{ is Greedy (y)} \\ \theta \text{ is } \{x/John, y/John\} & q \text{ is Evil (x)} \end{array}$$

SUBST (θ, q) is Evil (John).

GMP is a sound inference rule. i.e
for a θ that satisfies any sentence

$$P \vdash \text{SUBST}(\theta, P)$$

→ GMP can be lifted from PL to FOL
The key advantage is that they make only
those substitutions which allow particular
inferences to proceed.

The key component of first order inference
algorithm is unification:

Unification is

Lifted inference rules require
finding substitutions that make different
logical expressions look identical.

The UNIFY algorithm takes 2 sentences and returns a unifier for them if one exists:

$$\text{UNIFY}(P, Q) = \theta \text{ where } \text{SUBST}(\theta, P) = \text{SUBST}(\theta, Q)$$

→ suppose a query knows(John, x)

→ Here are some results of unification from KB,

$$\text{UNIFY}(\text{knows}(\text{John}, x), \text{knows}(\text{John}, \text{Jane})) = \{x/\text{Jane}\}$$

$$\text{UNIFY}(\text{knows}(\text{John}, x), \text{knows}(y, \text{Sally})) = \{x/\text{Sally}, y/\text{John}\}$$

$$\text{UNIFY}(\text{knows}(\text{John}, x), \text{knows}(y, \text{mother})) = \{y/\text{John}, x/\text{mother}(\text{John})\}$$

$$\text{UNIFY}(\text{knows}(\text{John}, x), \text{knows}(x, \text{Elizabeth})) = \text{fail}$$

The last unification fails because x can't take values John & Elizabeth at same time.

Consider, knows(x, Elizabeth).



" means Everyone knows Elizabeth

↓ infers

" John knows Elizabeth"

→ This problem can be avoided by

"Standardizing apart"

i.e., renaming its variables to avoid name clashes.

i.e., for ex: x in $\text{knows}(x, \text{Elizabeth})$ is renamed as z_{17}

$\text{UNIFY}(\text{knows}(\text{John}, x), \text{knows}(z_{17}, \text{Elizabeth})) =$
 $\{ x/\text{Elizabeth}, z_{17}/\text{John} \}$

→ The unification algorithm is required to return the (unifex) most general unifier

i.e., fewer restrictions can be made by unifier.

$\text{unify}(\text{knows}(\text{John}, x), \text{knows}(y, z))$
returns $\{ y/\text{John}, z/x \}$
(or)
 $\{ y/\text{John}, x/\text{John}, z/\text{John} \}$

Algorithm :

Function $\text{UNIFY}(x, y, \theta)$ returns a substitution to make inputs:

x and y identical.
 x , a variable, constant, list or compound expression
 y , a variable, constant, list or compound expression

θ , substitution built up so far (optional, default empty)

If $\theta = \text{failure}$ then return failure

else if $x = y$ then return θ

else if variable?(x) then return $\text{UNIFY-VAR}(x, y, \theta)$

else if variable?(y) then return $\text{UNIFY-VAR}(y, x, \theta)$

else if compound?(x) and compound?(y) then

return UNIFY(X.ARGS, Y.ARGS, UNIFY(X.OP, Y.OP, θ))
else if LIST?(X) and LIST?(Y) then
 return UNIFY(X.REST, Y.REST, UNIFY(X.FIRST,
 Y.FIRST, θ))
else return failure.

function UNIFY-VAR(var, X, θ) returns substitution
if {var/val} ⊆ θ then return UNIFY(val, X, θ)
else if {X/val} ⊆ θ then return UNIFY(var, val, θ)
else if OCCUR-CHECK?(var, X) then return failure.
else return add {var/z} to θ.

Storage & Retrieval :

Store → stores a sentence S into the knowledge base

Fetch → returns all unifiers such that the query q unifies with some sentence in KB

The problem is ; find all facts that unify with Iknows(John, x) by fetching

- ① The simplest way to implement these functions is to keep all facts in knowledge base in one long list.
for a given query call unify(q,s)
This process is inefficient, but it works.

here we can perform fetching more efficiently by avoiding some facts from knowledge base i.e,

Brother (richard, john).

→ so, a simple scheme called "predicate indexing" puts all the known facts in one bucket and all Brother facts in another.

These buckets can be stored in hashtable for efficient access.

→ predicate indexing is useful when there are many clauses for predicate symbols but only few clauses for each symbol.

→ But in some cases, there are many clauses for a given predicate symbol. for example: "Tax authorities want to keep track of who employs whom" using a predicate Employ(x,y).

→ This will be a very large bucket with millions of employees.

→ suppose we have a query Employ(x, richard) it requires scanning the entire bucket.

→ so, it is helpful for getting a solution, if facts were indexed both by predicate and by second argument, using a combination of hashtable key.

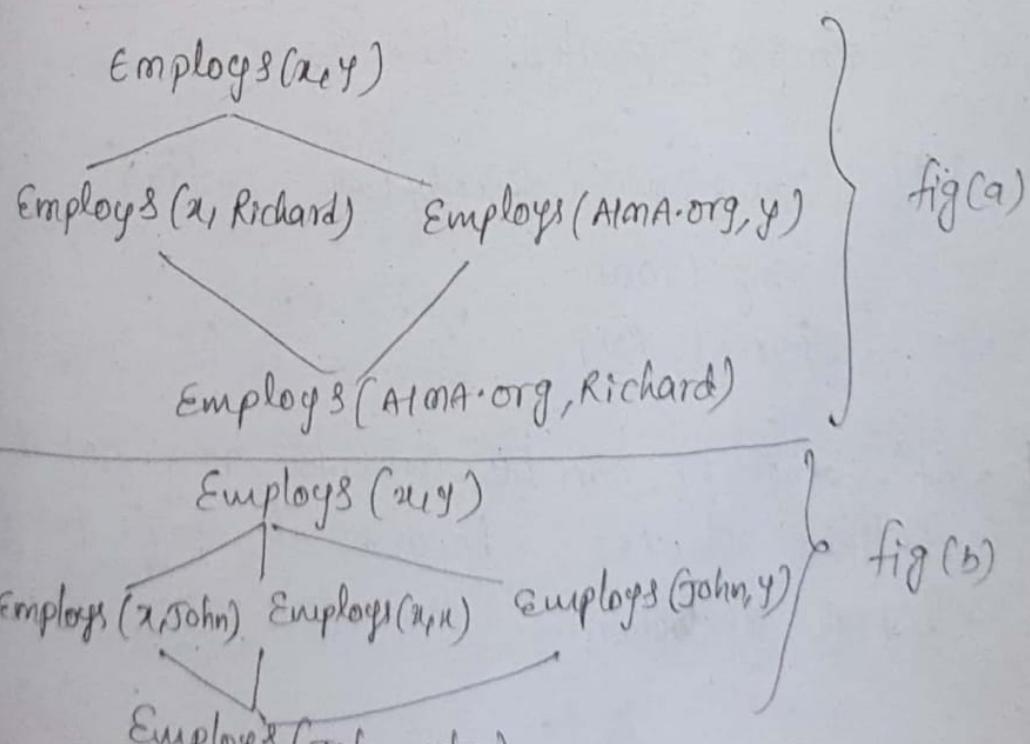
Then it is simple to construct query key from query and retrieve exactly those facts that unify with query.

i.e., $\text{Employs}(\text{ALMA.org}, \text{Richard})$ is the fact and the queries are;

{ $\text{Employs}(\text{ALMA.org}, \text{Richard})$
 $\text{Employs}(x, \text{Richard})$
 $\text{Employs}(\text{ALMA.org}, y)$
 $\text{Employs}(x, y)$.

Subsumption Lattice:

This lattice has some interesting properties forex: The child of any node in the lattice is obtained from its parent by a single substitution and "highest common descendant" of any two nodes is the result of applying their most general unifier.



Forward chaining :

- ① start with atomic sentences in KB and apply modus ponens in forward direction by adding new sentences until no further inferences can be made.
- ② so algorithm is applied to first-order definite clauses such as situation \Rightarrow response

First order definite clauses:

it is closely resemble propositional definite clauses. They are disjunctions of literals of which exactly one is positive.

→ A definite clause is either atomic (or)
~~implication of whose antecedent~~
~~is a conjunction of positive literals~~

An implication whose antecedent is a conjunction of positive literals and whose consequent is a single positive literal.

i.e., $\text{King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$

$\text{King}(\text{John})$,

$\text{Greedy}(\text{y})$.

[Not every KB can be converted in to set of definite clauses, because of single-positive literal restriction, but many can ...]

Consider the problem:

[The law says that it is a crime for an American to sell weapons to hostile nations. The country Nono, an enemy of America, has some missiles, and all of its missiles were sold to it by colonel west; who is an American]

So, we need to prove that "west is a criminal".

- ① we need to represent these facts as first-order definite clauses.

" it is a crime for an American to sell weapons to hostile nations".

$\text{American}(x) \wedge \text{weapon}(y) \wedge \text{sells}(x, y, z) \wedge \text{Hostile}(z)$
 $\Rightarrow \text{Criminal}(x)$

- ② "Nono -- has some missiles".

$\exists x \text{ owns}(\text{Nono}, x) \wedge \text{missile}(x)$ can be transformed to two definite clauses by existential elimination. i.e,

$\text{owns}(\text{Nono}, M_1)$

$\text{missile}(M_1)$

- ③ All of its missiles were sold to it by colonel west
 $\text{missile}(x) \wedge \text{owns}(\text{Nono}, x) \Rightarrow \text{sells}(\text{west}, x, \text{Nono}).$

- ④ Enemy of America counts as "hostile"

$\text{Enemy}(x, \text{America}) \Rightarrow \text{Hostile}(x)$

⑤

missiles are weapons

missile (x) \Rightarrow weapon (x)

⑥

west, who is an American

American (west)

⑦ "The Country Nono, an enemy of America"
Enemy (nono, America)

Datalog knowledge base \rightarrow sets of first-order
definite clauses with no function symbols.

Algorithm:

Function $\text{FOL-FC-ASK}(\text{KB}, \alpha)$ returns a substitution
 top
 false

inputs : KB , the knowledge base, a set of first order
definite clauses
 α , the query , an atomic sentence.

Local variables: new, new sentences inferred on
each iteration.

repeat until new is empty.

new $\leftarrow \{ \}$

for each sentence γ in KB do

$(P_1 \wedge \dots \wedge P_n \Rightarrow \gamma) \leftarrow \text{STANDARDIZE-APART}(\gamma)$

for each θ such that $\text{SUBST}(\theta, P_1 \wedge \dots \wedge P_n) =$
 $\text{SUBST}(\theta, P'_1 \wedge \dots \wedge P'_n)$

for some $P'_1 \wedge \dots \wedge P'_n$ in KB

$\gamma' \leftarrow \text{SUBST}(\theta, \gamma)$

if q' is not a renaming of some sentences already in KB or new then do -

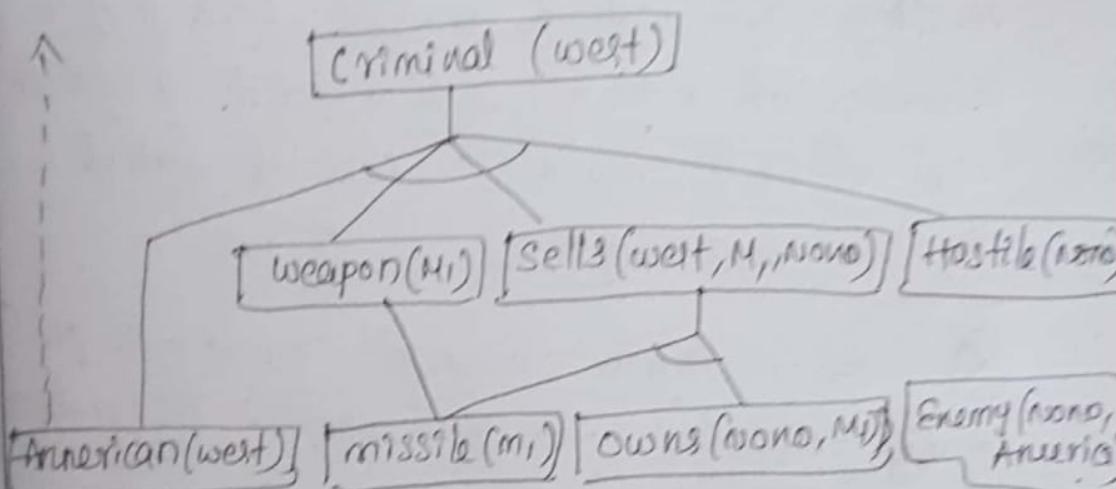
add q' to new

$\emptyset \rightarrow \text{UNIFY}(q', \alpha)$

If \emptyset is not fail then return \emptyset

add new to KB

return false.



so, in second iteration the first rule is satisfied with $\{\alpha/\text{west}, y/m, z/\text{Nono}\}$ and criminal (west) is added.

→ It is sound and complete for first-order definite clauses.

→ It will terminate for datalog in finite number of iterations.

→ It may not terminate when α is not entailed. i.e., for example:

$$\forall n \text{ NatNum}(n) \Rightarrow \text{NatNum}(s(n))$$

then forward chaining adds
→ $\text{natnum}(\text{s}(0))$, $\text{natnum}(\text{s}(\text{s}(0)))$, $\text{natnum}(\text{s}(\text{s}(\text{s}(0))))$
and so on....

This problem is unavoidable in general.

Efficient forward chaining:

① The inner loop of algorithm involves finding all possible unifiers such that the Premise of a rule unifies with a suitable set of facts in KB. This is called "pattern matching"

② The algorithm rechecks every rule on every iteration to see whether its premises are satisfied / not.

③ Finally it generates many facts that are irrelevant to goal.

④ Matching Rules against known facts:

Example:

$$\text{missile}(x) \Rightarrow \text{weapon}(x)$$

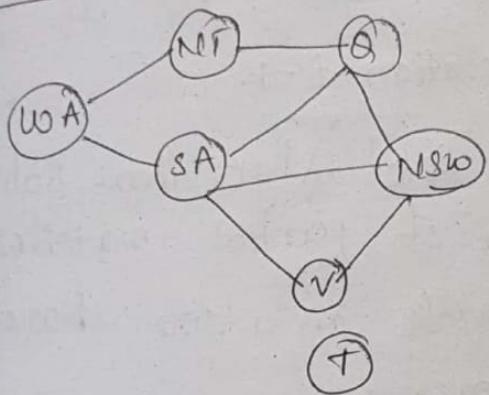
Now we need to find all facts that unify with $\text{missile}(x)$ i.e,

$$\text{missile}(x) \wedge \text{owns}(\text{mano}, x) \Rightarrow \text{sees}(\text{west}, x, \text{mano})$$

So, the better way to find this query is, first find all missiles and then check whether they are owned by Nano. This is called "Conjunct ordering problem".

→ forward chaining ~~is~~ matching conjunctive premises against known facts is NP-hard.

forex: consider hard matching example.



$\text{Diff}(wa, nt) \wedge \text{Diff}(wa, g)$
 $\wedge \text{Diff}(nt, A) \wedge \text{Diff}(nt, sa) \wedge \text{Diff}(g, ns10)$
 $\wedge \text{Diff}(sa, v) \wedge \text{Diff}(ns10, v) \wedge \text{Diff}(ns10, sa)$
 $\wedge \text{Diff}(v, sa) \Rightarrow \text{colorable}$

$\text{Diff}(r, b) \wedge \text{Diff}(r, g)$
 $\text{Diff}(g, r) \wedge \text{Diff}(g, b)$
 $\text{Diff}(b, r) \wedge \text{Diff}(b, g)$

B-blue
 G-green
 R-red

Colorable() is inferred iff CSP has a solution
 → CSP's include 3SAT as a special case,
 hence matching is NP-hard.

③ incremental forward chaining:

forex: the rule

$\text{missile}(x) \Rightarrow \text{weapon}(x)$

matches against Missile (M_1), and the conclusion will be weapon (M_1) is already known so nothing happens.

Such redundant rule^{matchint} can be avoided if we make the following observation:

Every new fact inferred on iteration t must be derived from at least one new fact inferred on iteration $t-1$.

→ Here, the "Rete" Algorithm solves the problem of partial matches.

If two literals in a rule share a variable ... forex:

sells(u_1, y, z) ∧ Hostile(z)

then bindings from each literal are filtered through an equality node.

③ Irrelevant facts:

FOR-FC-ASK will generate many irrelevant conclusions.

- ① To avoid these conclusions is to use backward chaining.
- ② Another one is restrict forward chaining to a selected subset of rules.

③ Emerging in deductive database
Community, [rewrite subset]

i.e., relevant variables can bind this
belongs to "magiset".

~~Backward chaining:~~

① These algorithms work backward
from the goal, chaining through rules to
find known facts that support the proof.

Algorithm:

function FOL-BC-ASK(KB , goals , θ) returns a set
of substitutions

inputs: KB , a knowledgebase

goals , a list of conjuncts forming a query
(θ already applied)

θ , the current substitution, initially empty { }

Localvariables: answers , a set of substitutions, initially empty
if goals is empty then return { θ } { }

$q' \leftarrow \text{SUBST}(\theta, \text{FIRST}(\text{goals}))$

for each sentence r in KB where STANDARDIZE-

$$\text{APART}(r) = (P_1 \wedge \dots \wedge P_n \Rightarrow q)$$

and $\theta' \leftarrow \text{UNIFY}(q, q')$ succeeds

$\text{newgoals} \leftarrow [P_1, P_2, \dots, P_n / \text{Rest}(\text{goals})]$

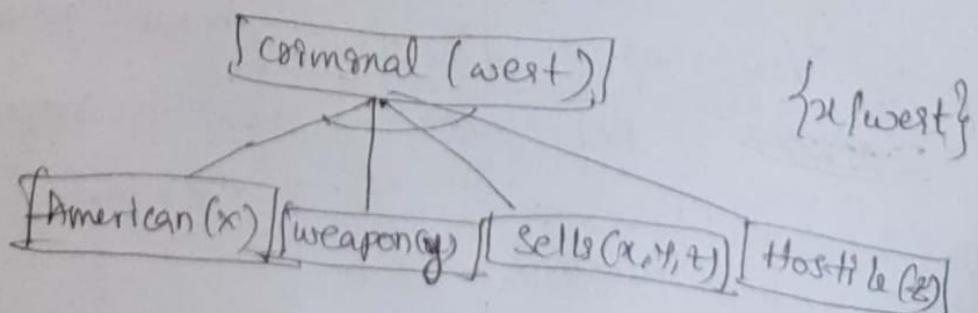
$\text{answers} \leftarrow \text{FOL-BC-ASK}(\text{KB}, \text{new_goals}, \text{compose}(\theta', \theta))$
 $\cup \text{answers}$

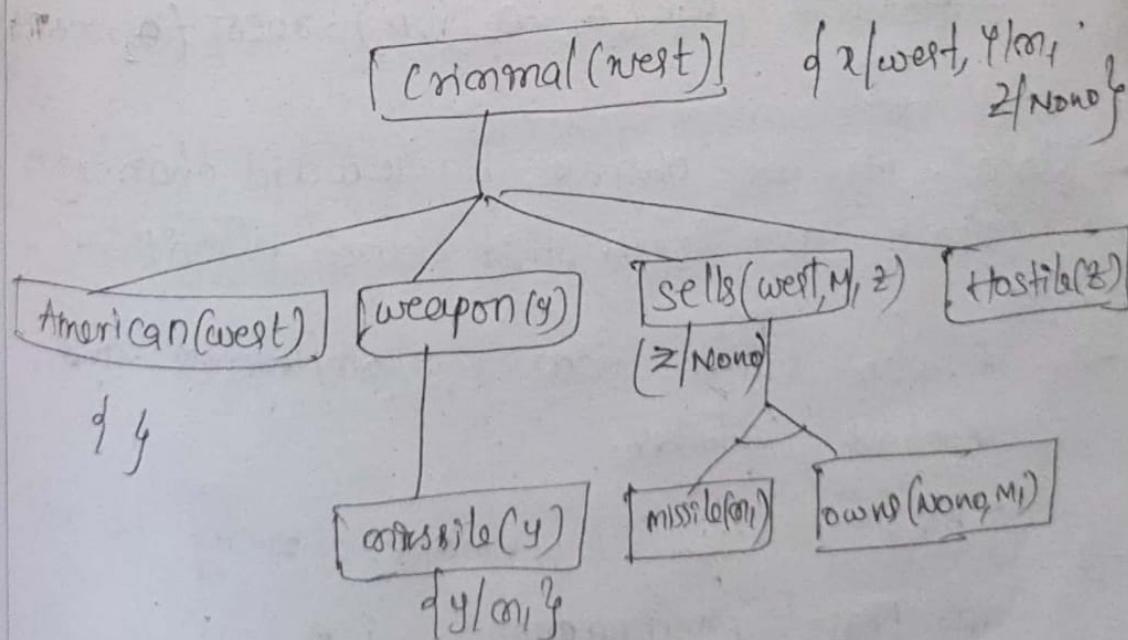
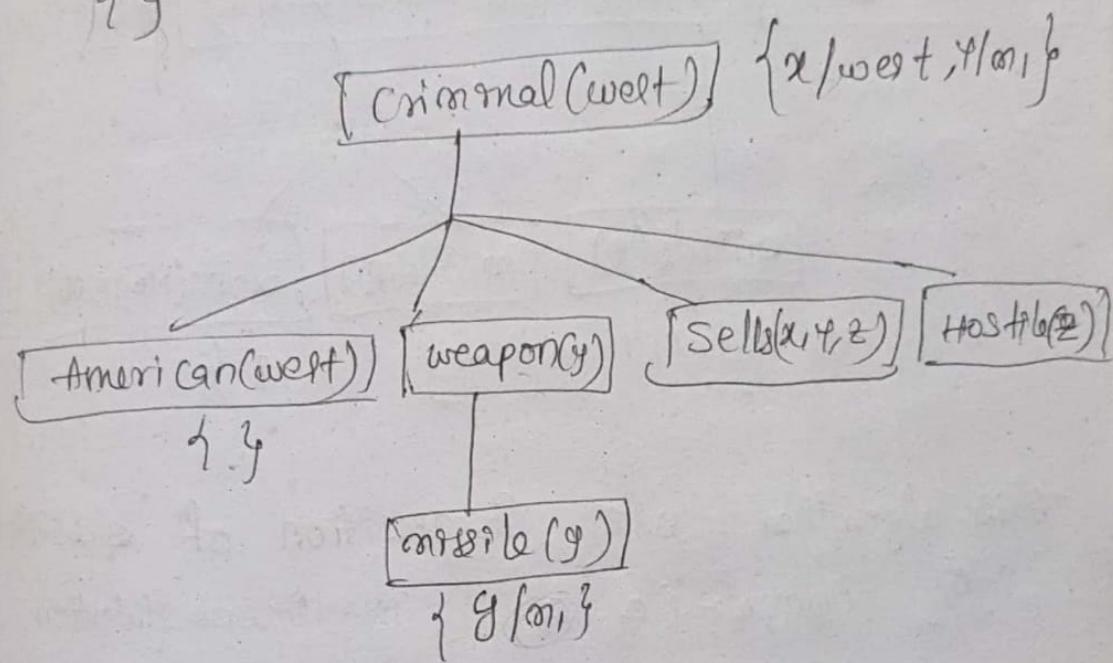
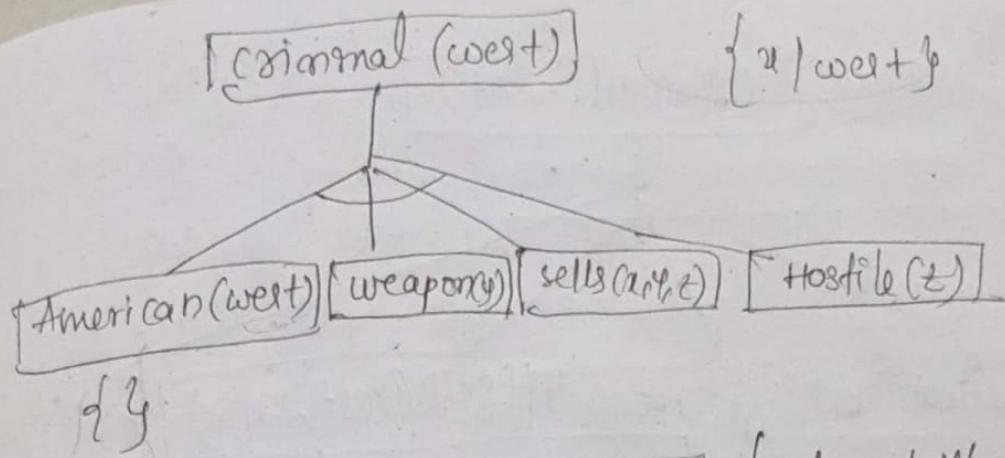
return answers.

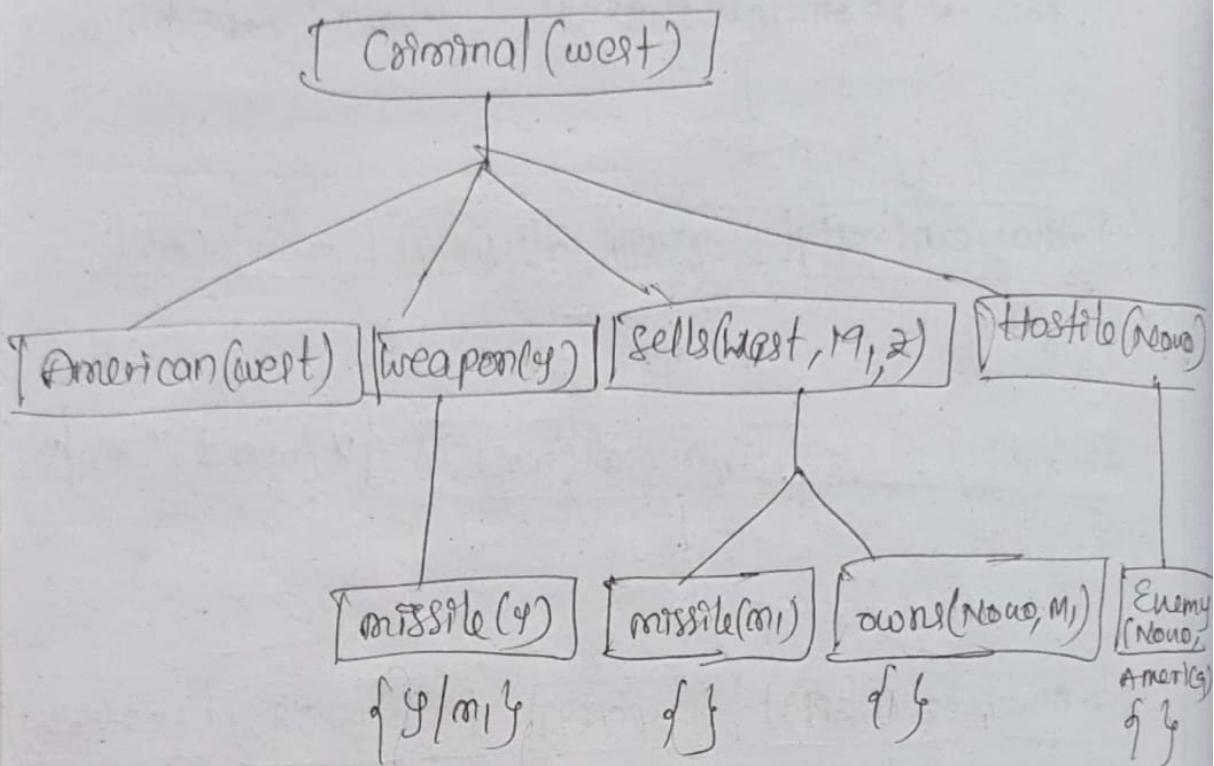
- FOL-BC-ASK is called with a list of goals containing a single element, i.e., the original query . and returns a set of all substitutions satisfying the query.
- List of goals can be Considered as "stack" waiting to worked on ; If all of them are satisfied , then the current branch of proof succeeds .
- The algorithm ~~finds~~^{takes} first goal in list and find every clause in KB , whose positive literal (or) head unifies with goal.
- Each such clause creates a new recursive call in which the premise (or body) is added to goal stack.
- If goal unifies with known fact, then no new subgoals are added to stack and goal is solved.

Consider,

Criminal (west)







This algorithm uses Composition of substitutions

i.e., $\text{compose}(\theta_1, \theta_2)$ is the substitution,

$$\text{SUBST}(\text{compose}(\theta_1, \theta_2), P) = \text{SUBST}(\theta_2, \text{SUBST}(\theta_1, P))$$

→ Now if we observe, backward chaining is clearly a depth first search algorithm.

→ It also suffers from incompleteness and repeated states.

→ Consider how backward chaining is used in Logic programming: i.e,

Robert Kowalski's equation says that,

Algorithm = Logic + Control.

"PROLOG" is most widely used logic programming language.

Prolog programs are sets of definite clauses written in a notation somewhat different from standard first-order-logic.

→ It uses uppercase letters for variables and lowercase letters for constants.
clause can be preceding with " :- "

criminal(x) :- american(x), weapon(y), sells(x,y,z), hostile(z).

→ Prolog uses syntactic sugar for list notation and arithmetic

Ex : append (x,y,z) succeeds if list z is result of appending lists x & y.

append (\overbrace{CJ}^x, Y, Z)

append ($\underbrace{[A \mid X]}_x, Y, [A \mid Z]$) :- append(x,y,z)

for examples

query → append (A,B,[1,2])

solutions will be; A = [] A = [1] A = [1,2]
 B = [1,2] B = [2] B = []

→ Execution of prolog programs done via depth-first-backward chaining.

Prolog allows a form of negation called "negation as failure" i.e,

Example: alive(x) :- not dead(x)

read as → "everyone is alive if not provably dead"

→ Prolog has an equality operator '=' but it lacks the full power of logical equality.

i.e. equality of goal succeeds; if two terms are unifiable and fails otherwise.

$x+y = x+z$ succeeds with x,y bound to z

→ The occur check is omitted from Prolog unification algorithm

Efficient implementation of Logic programming:

Execution of Prolog program can happen in 2 modes

↳ Interpreted
↳ Compiled

→ Interpretation runs the FOL-BE-ASK algorithm with program as KB. These interpreters are designed essentially to maximize speed.

① first instead of constructing list of all possible answers for each subgoal

before continuing to next. These interpreters generate one answer and a "promise" to generate the rest when the answer is fully explored. This promise is called "choice point".

It also provides a very simple interface for debugging because at all times there is only a single solution path.

- ⑥ FOL-BC-ASK spends a good deal of time in generating & composing substitutions. When a path in search fails, Prolog will back up to previous choice point, and unbind some variables. This is called "trail". So, the new variable is bound by ONCE and it is pushed on to trail.

→ Prolog Compilers compile into an intermediate language i.e., Warren Abstract Machine (WAM), named after David H. D. Warren. He is one of ~~the~~ implementors of first Prolog Compiler. So, WAM is an abstract instruction set that is suitable for Prolog and can be either translated / interpreted into machine language.

Continuations: used to implement choicepoints if & packaging up a procedure and a list of arguments that together define what should be done next whenever the current goal succeeds.

→ Here, parallelization also provide substantial speedup. There are 2 types;

- ① OR-parallelism
 - ② AND-parallelism.
- ③ Comes from possibility of goal unifying with many different clauses in KB.
Each gives an independent search i.e., all branches can be solved in parallel.
- ④ Comes from possibility of solving each Conjecture in the body of an implication in parallel. It is more difficult because, "Conjunction requires consistent bindings for all variables".

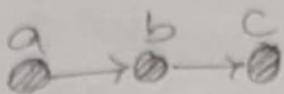
Redundant inference and infinite loops:

Suppose, Consider the logic program that decides if a path exists between two points on a directed graph.

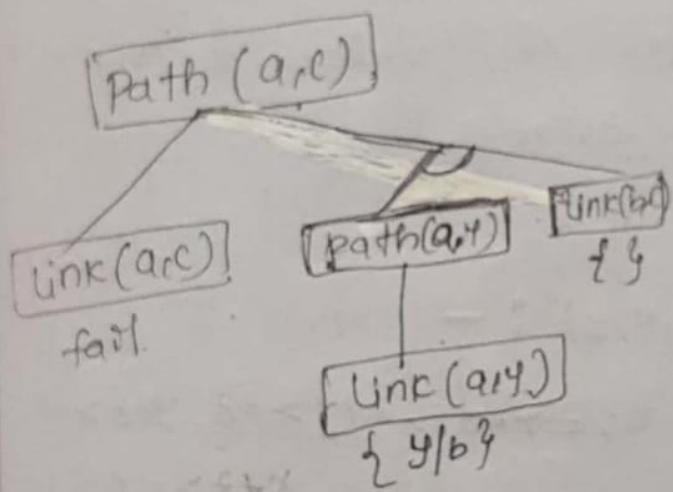
Path(x, z) :- link(x, z)

Path(x, z) :- Path(x, y), link(y, z).

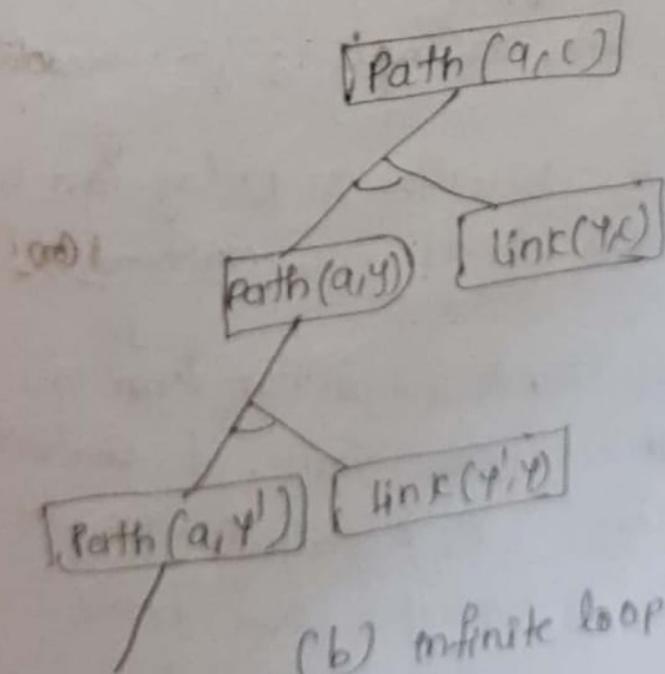
Consider 3 node graph Contains facts link(a,b)
and link(b,c)



if generates the query path(a,c)
then each node is connected to two random
successors in the next layer



(a) Path exist from A to C



(b) infinite loop when clauses in
wrong order

Constraint Logic programming:

The Constraint satisfaction problems (CSP's) can be solved in Prolog as some like backtracking algorithm. Because it works only for finite domain CSP's. In Prolog terms, there must be finite number of solutions for any goal with unbound variables.

for ex :

triangle (x, y, z) :-
 $x \geq 0, y \geq 0, z \geq 0, x + y \geq z, y + z \geq x,$
 $x + z \geq y.$

If we have a query, triangle ($3, 4, 5$) works fine.
But the query like, triangle ($3, 4, z$) no solution

The difficulty is variables in Prolog can be in one of two states i.e., unbound or bound

→ Binding a variable to a particular term can be viewed as an extreme form of Constraint namely equality. CLP allows variables to be constrained rather than bound.

The solution to triangle ($3, 4, z$)
Constraint $x = y = z = 1$.

Resolution

Here, the complete proof of procedures can be directly concern to mathematics. we need to know completeness theorem for first-order logic, showing that any entailed sentence has a finite proof.

so, apply resolution steps to CNF($RBN \neg \alpha$) for complete FOL.

Conversion to CNF: (Conjunctive Normal Form for FOL)

It is a conjunction of clauses, where each clause is a disjunction of literals.

for exs "Everyone who loves all animals is loved by someone". $\forall x [\forall y \text{Animal}(y) \Rightarrow \text{Loves}(x,y)] \Rightarrow [\exists y \text{Loves}(y,x)]$

① Eliminate bidirections and implications

i.e.,
 $\forall x . [\neg \forall y \neg \text{Animal}(y) \vee \text{Loves}(x,y)] \vee [\exists y \text{Loves}(y,x)]$

② Move \neg inwards:

i.e., $\neg \forall x P$ becomes $\exists x \neg P$
 $\neg \exists x P$ becomes $\forall x \neg P$

The above sentence can be transformed as;

$\forall x [\exists y \neg (\neg \text{Animal}(y) \vee \text{Loves}(x,y))] \vee [\exists y \text{Loves}(y,x)]$

$\forall x [\exists y \neg \neg \text{Animal}(y) \wedge \neg \text{Loves}(x,y)] \vee [\exists y \text{Loves}(y,x)]$

$\forall x [\exists y \neg \text{Animal}(y) \wedge \neg \text{Loves}(x,y)] \vee [\exists y \text{Loves}(y,x)]$

Now, see how a universal quantifier ($\forall y$) becomes an existential quantifier ($\exists y$) with meaning.

- ③ Standardize variables : Each quantifier should use a different variable name i.e.,

$$\forall x [\exists y \text{ Animal}(y) \wedge \neg \text{Loves}(x, y)] \vee [\exists z \text{ Loves}(z, x)]$$

- ④ Skolemize : It is a process of removing existential quantifiers by elimination.

i.e., $\forall x [\text{Animal}(A) \wedge \neg \text{Loves}(x, A)] \vee \text{Loves}(B, x)$

it is entirely different statement i.e., everyone either fails to love a particular animal A or is loved by some particular entity B.

But original sentence is allowing each person to fail to love a different animal

or to be loved by different person

so, we want skolem entities to depend on

$$\forall x [\text{Animal}(F(x)) \wedge \neg \text{Loves}(x, F(x))] \vee \text{Loves}(G(x), x)$$

F, G are skolem functions.

To satisfy this sentence with equality
of original sentence.

i.e., universal quantifiers:

⑤ Drop universal quantifiers:
 $\neg \forall x \text{Animal}(F(x)) \wedge \neg \forall x \text{Loves}(x, F(x)) \vee \forall x \text{Loves}(G(x),$

⑥ Distribute \wedge over \vee

$\neg \forall x \text{Animal}(F(x)) \vee \neg \forall x \text{Loves}(G(x), x) \wedge$
 $\neg \forall x (\neg \forall x \text{Animal}(F(x)) \vee \neg \forall x \text{Loves}(G(x),$

Now this sentence is in CNF consists
of two clauses.

It is quite unreadable.

The Resolution inference rule:

It is a lifted version of PL
resolution rule. In PL literals are
complementary i.e., one is negation of
other.

→ In FOL literals are complementary
i.e., one unifies with negation of other.

$$\text{so, } d_1 v \dots v d_k, m_1 v \dots v m_n \\ \underline{\text{SUBST}(\theta, l_1 v \dots v l_{j-1} v l_j + l v \dots v d_k v m_1 v \dots v m_{j-1} v \\ m_j + 1 v \dots v m_n)}$$

where $\text{UNIFY}(C_{M_i}, \neg M_j) = \emptyset$.

So, we can resolve the two clauses,

$[\text{Animal}(F(x)) \vee \text{Loves}(G(x), x)]$ and

$[\neg \text{Loves}(u, v) \vee \neg \text{Kills}(u, v)]$

By eliminating complementary literals $\text{Loves}(u, v)$,
and $\neg \text{Loves}(u, v)$ with unifier,
 $\Theta = \{u/G(x), v/x\}$ to produce resolvent
clause.

$[\text{Animal}(F(u)) \vee \neg \text{Kills}(G(x), x)]$

Here we resolving exactly two literals.

So, this can be called as "Binary Resolution".
But, Binary resolution rule by itself doesn't
yield a complete inference procedure.

So, an alternative approach is "factoring":
→ i.e., Removal of redundant literals to one
if they are identical in propositional logic
→ But, first-order factoring reduces two literals
to one if they are unifiable.

So, with the combination of {
Binary resolution
Factoring
Complete

$\neg my = \emptyset$

The two clauses,

$(G(x), x)$] and

$\neg \text{Loves}(u, v) \vee \neg \text{Kills}(u, v)$

try literals $\text{Loves}(\bar{G}(x), x)$

with unifier

to produce resolvent

$(G(x), x)$

by two literals,
as "Emory Revolution"

so by itself doesn't
a procedure.

It is "factoring":
unit literals to one
propositional logic

reduces two literals

if able.

) of {
binary resolution
factoring
factoring}

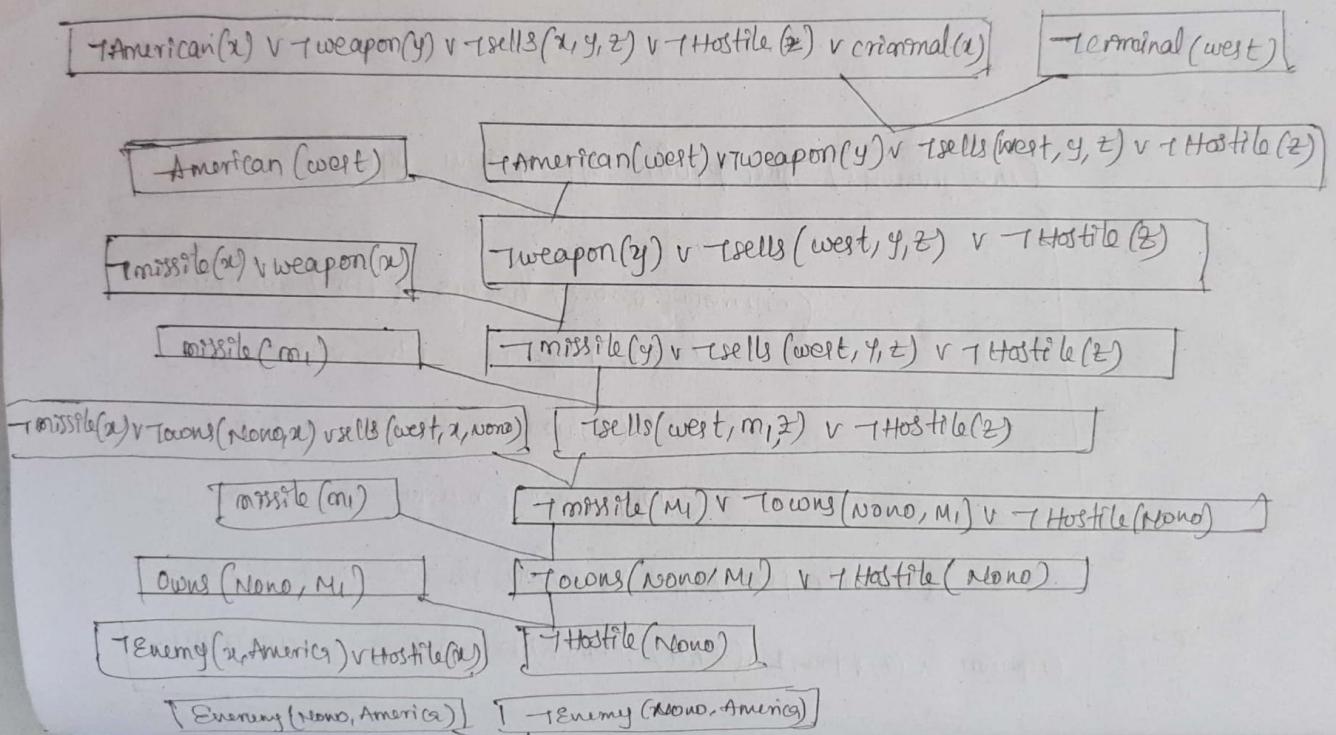
Complete

Proof : Example:

Resolution proves that PFA by proving
 $\text{KB} \wedge \text{TD}$ is unsatisfiable i.e. by deriving
empty clause.

Suppose Consider the semantics in CNF are,

$\neg \text{American}(x) \vee \neg \text{weapon}(y) \vee \neg \text{sells}(x, y, z) \vee \neg \text{Hostile}(z) \vee \neg \text{criminal}(x)$,
 $\neg \text{missile}(x) \vee \neg \text{owns}(x, y, z) \vee \neg \text{sells}(x, y, z)$,
 $\neg \text{enemy}(x, America) \vee \neg \text{Hostile}(x)$,
 $\neg \text{missile}(x) \vee \neg \text{weapon}(x)$,
 $\text{owns}(x, y, z)$, $\text{missile}(x)$,
 $\text{American}(x)$, $\text{enemy}(y, America)$.
 also include the negated goal $\neg \text{criminal}(x)$



Completeness of Resolution:

Here the resolution is refutation-complete, i.e., if a set of sentences is unsatisfiable, then resolution will always be to derive contradiction. [i.e., negated goal method]

→ A given sentence in FOL can be rewritten as a set of clauses in CNF.

so, it can be proved by induction on the form of the sentence using atomic sentences as base. i.e., goal is to prove that;

If \mathcal{S} is an unsatisfiable set of clauses, then the application of a finite number of resolution steps to \mathcal{S} will yield a contradiction so, the proof will be as follows:

First we observe that if \mathcal{S} is unsatisfiable, then there exists a particular set of grounding (or ground instances) of clauses of \mathcal{S} such that

this set is also unsatisfiable.

2) Then, we apply "Ground Resolution Theorem". i.e., propositional resolution is complete for

Ground Sentences.

3) Use "Lifting Lemma" to show that, for any propositional resolution proof using the set of

ground sentences, there is a corresponding first-order resolution proof using first-order sentences from which the ground instances were obtained.

Any set of sentences S is representable in clauses.

$\neg S$ is unsatisfiable, and in causal form

Herbrand theorem

Some set S' of ground instances & unsatisfiable

Ground Resolution theorem

Resolution Can find a contradiction of S'

Lifting lemma

There is a resolution proof for contradictions!

So, carry first step we need 3 new concepts:

① Herbrand universe: If S is set of clauses, then $H(S)$, the Herbrand universe of S , is set of all ground terms, i.e., from

function symbols in S , if any

constant symbols in S , if any

if none, then it will be constant "A"

for ex: S contains just the clause

$\neg P(x, F(x, A)) \vee \neg Q(u, A) \vee R(u, B)$,

then, Hs is

$$\{ A, B, F(A, A), F(A, B), F(B, A), F(B, B), \\ F(A, F(A, A)), \dots \}$$

\vdash

(2) Saturation: if S is a set of clauses $\models P$

is a set of ground terms, then $P(S)$, the saturation of S with respect to P , is a set of all ground terms obtained by applying all possible consistent situations of ~~solutions~~ ground terms in ' P ' with variables in ' S '.

(3) Herbrand base: the saturation of set S of clauses with respect to its Herbrand universe called Herbrand base of S , written as $Hs(S)$ is infinite set of clauses,

$$\begin{aligned} & \{ \neg P(A, F(A, A)) \vee \neg Q(A, A) \vee R(A, B), \\ & \neg P(B, F(B, A)) \vee \neg Q(B, A) \vee R(B, B), \\ & \neg P(F(A, A), F(F(A, A), A)) \vee \neg Q(F(A, A), A) \vee R(F(A, A), B), \\ & \neg P(F(A, B), F(F(A, B), A)) \vee \neg Q(F(A, B), A) \vee R(F(A, B), B), \dots \} \end{aligned}$$

Herbrand's theorem:

if a set S of clauses is unsatisfiable, then there exists a finite subset of $Hs(S)$ that is also unsatisfiable.

Let s' be finite subset of ground atoms
Now we can appeal to ground atoms
to show that resolution clause $PC(s)$
contains empty clause.

→ will start by single application of rule.
Robinson's basic lemma implies the following fact.

Let C_1 and C_2 are two clauses with residual variables, and let C'_1 and C'_2 be general instances of C_1 and C_2 . If C' is a resolvent of C'_1 and C'_2 , then there exists a clause such that (1) C' is a resolvent of C_1 and C_2 and (2) C' is ground instance of C .

Lifting lemma: i.e., it lifts a proof step from ground clauses up to general first-order clauses. To prove lifting lemma, Robinson has invented unification and done all of the properties of most generalized Her are simply illustrate Lemma:

$$\begin{aligned} Q &= \neg P(x, F(x, A)) \vee T(x, A) \vee R(x, B) \\ Q_1 &= \neg P(x, F(x, A)) \vee T(x, A) \\ Q_2 &= \neg P(x, F(y, B)) \vee R(x, B) \end{aligned}$$

$$c_1' = \neg P(H(B), F(H(C); A)) \vee \neg Q(H(C), A) \vee R(H(C), B).$$

$$c_2' = \neg N(S(C)), P(H(B), A)) \vee P(H(C), F(H(B), A))$$

$$c' = \neg N(S(C)), F(H(B), A)) \vee \neg Q(H(B), A) \vee R(H(B), B)$$

$$c = \neg N(G(y), F(H(y); A)) \vee \neg Q(H(y), A) \vee R(H(y), B).$$

So lifting lemma is easy to derive a similar statement about sequence of applications of resolution rule.

i.e. for any clause c' in resolution closure S' there is a clause c in H -resolution closure of S , such that c' is a ground instance of c and derivation of c is same length as derivation of c' .

Dealing with equality:

There are 3 distinct approaches

- ① Axiomatize equality i.e., we can substitute equal for equals on any predicate function. so we need 3 axioms, one for each function.

$$\begin{aligned} \forall x \quad x=x \\ \forall x, y \quad x=y \rightarrow y=x \\ \forall x, y, z \quad x=y \wedge y=z \rightarrow x=z \\ \forall x, y \quad x=y \Rightarrow (P_1(x) \leftrightarrow P_1(y)) \\ \forall x, y \quad x=y \Rightarrow (P_2(x) \leftrightarrow P_2(y)) \end{aligned}$$

$$\begin{aligned} & \forall w, x, y, z \quad w=y \wedge x=z \Rightarrow (F_1(w, x) = F_1(y, z)) \\ & \wedge w, x, y, z \quad w=y \wedge x=z \Rightarrow (F_2(w, x) = F_2(y, z)) \end{aligned}$$

(2) demodulation:
 It takes a unit clause $x=y$ and substitute
 y for any term that unifies with x
 in some other clause.

i.e., for any terms x, y, z - where $\text{UNIFY}(x, z) \neq$
 and $m_n(z)$ is a literal containing z :

$$\frac{x=y, \quad m_1 \vee \dots \vee m_n(z)}{m_1 \vee \dots \vee m_n[\text{SUBST}(\theta, y)]}$$

Demodulation is used for simplifying expressions
 $t_1 - e$, $x \oplus e = x$, $x \setminus e$ and so on.

(3) paramodulation:

for any terms x, y , and z , where $\text{UNIFY}(x, t) \neq \emptyset$

$$\frac{x_1 \vee \dots \vee x_k \vee x=y, \quad m_1 \vee \dots \vee m_n(z)}{x_1 \vee \dots \vee x_k \vee m_1 \vee \dots \vee m_n(y)}$$

(4) Equational unification:
 Reasoning entirely within an extended
 unification algorithm. terms are unifiable if
 they provably equal under some substitution

$1+2 = 2+1$ normally not derivable,
but unification algorithm knows that [$x+y = y+x$]
could unify them with empty substitution

Resolution strategies:

Here we examine strategies that help to find proofs efficiently.

Unit preference:

The idea is to produce an empty clause

i.e. one sentence. \Rightarrow Single literal / unit clause

i.e. sentence P with other sentence ($\neg P \vee Q$)

If derives

(taut)

→ Unit resolution is a restricted one in which every step must involve a unit clause.
if resembles forward chaining and it is incomplete, but may be complete with Horn knowledge base.

Set of support:

It starts by identifying a subset of sentences called set of support. Every resolution combines a sentence from set of support with another sentence and adds the resultant to set of support. It is complete one.

Input resolution:

Every resolution combines one of the input sentences from KB with some other sentence -- as like [resolution proof on 28 page]
Linear resolution: this strategy is allowing P and Q to be resolved together either if P is in the original KB or if P is an ancestor of Q in proof tree. It is complete.

Substitution:

If is a method that eliminate all sentences that are subsumed by an existing sentence in KB.

for ex: if $P(x)$ is in KB then, there is no sense in adding $P(A)$.

Theorem Provers:

These are differ from logic programming languages in two ways.

- 1) most logic programming languages handle only Horn clauses, where as theorem provers accept full first-order-logic.
 - 2) prolog programs implement logic control.
- In logic programming instead of using $A :- B, C$ if we use $A :- c, B$ it affects the execution of program.

But, in most theorem provers it may accept
affects the result.

Design a theorem prover!

Here, we consider **OTTER** theorem prover

In preparing a problem for OTTER the user must divide the knowledge into

4 parts:

→ A set of clauses known as set of support (sos) which defines important

facts about the problem.

→ A set of usable axioms that are outside the set of support which provides background knowledge about problem area.

→ A set of equations known as renamites (or demodulators). Applied in left-right

direction $\text{for } \alpha : A \rightarrow \alpha$.

→ A set of parameters and clauses

that defines control strategy, i.e., user specifies a heuristic function to control the search and a filtering function to eliminate some subgoals which are uninteresting.

The Algorithm / theorem prover OTTER moves lightest clause in the set of support to the usable list and adds to usable list some spurious consequences of glossing over the lightest clause. It halts when no more clauses in sos

Procedure OTTER(sos, usable)

inputs : sos , a set of support -

usable, background knowledge i.e,
relevant to problem.

repeat

clause \leftarrow the lightest member of sos

move clause from sos to usable

PROCESS(INFER(clause, usable),sos)

until $sos = []$ or a refutation has been found.

function INFER(clause, usable) returns clauses

resolve clause with each number of usable
return the resulting clauses after applying

FILTER

Procedure PROCESS(clauses, sos)

for each clause in clauses do

clause \leftarrow SIMPLIFY(clause)

merge identical literals

discard clause if it is a tautology

sos \leftarrow [clause - sos]

if clause has no literals then a refutation has
been found

If clause has one literal then look for unit
refutation .

Extending Prolog: [PTTP: prolog technology theorem prover]

- To get soundness and completeness to Prolog[PTTP]. There are 5 significant changes:
 - the occurs check is put back onto the unification routine to make it sound.
 - the d-f-s search is replaced by an IDE
 - Negated literals i.e., $\neg P(x)$ are allowed.
 - A clause with atoms is stored as in different roles. forex:

$$A \Leftarrow B \wedge C \text{ stored as } \neg B \Leftarrow C \wedge \neg A \text{ and } \neg C \Leftarrow B \wedge \neg A$$

This technique is called Locking.

- inference is complete by the addition of linear SLP resolution rule: i.e., resolving

by contradiction. i.e., $\neg P \Rightarrow P$.

~~over~~ ~~the~~ the main drawback of PTTP is each inference rule is used by system both in its original form and contraposition

$$\text{i.e., } (f(x,y) = f(a,b)) \Leftarrow (x=a) \wedge (y=b)$$

but, PTTP also generates negation.

$$\text{i.e., } (x \neq a) \Leftarrow (f(x,y) \neq f(a,b)) \wedge (y=b)$$