

# FLAT Syllabus

## Unit - I

Finite Automata & Regular Expressions:

Basic concepts of finite state system,

deterministic & non deterministic

automata finite automata with e-moves

Regular expressions and mealy & moore

relations

→ Introduction to automata theory &

language computation by Dr. Ubnan - 3rd edition

→ Theory of comp. sc. Mishra & chandra

Sekharan.

Formal languages:

Basic concepts of symbols

Alphabets  
Strings  
Languages

\* Symbols : letters / numericals

a, b, c .. 0, 1, 2 .. 9

bits : {0, 1}

English words : systematic concepts of  
programming language.

for, begin, end → i/p alphabet

ii) Alphabets : An alphabet  $\Sigma$  is a finite set of symbols

$$\text{Ex: } \Sigma = \{a, b, c, \dots, z\}$$

$$\Sigma = \{0, 1\}$$

keywords, strings, identifiers etc

iii) string : is a finite set of symbols from  $\Sigma$

$$\text{Ex: } \Sigma = \{a, b, c, d\}$$

$$abcd$$

string notations :

1. length of string is given by  $|u|$

$$\text{Ex: } u = abac$$

$$|u| = 4$$

2. for concatenation  $u = abc$

$$v = aba$$

$$u.v = abcaba$$

3. substring :  $u \times v$

$$u = abc$$

$$v = aba$$

$$x = bab$$

$$abc \quad bab \quad aba$$

4. Prefix:

XUV bab abc aba

5. Suffix:

UVX

abc aba bab

$$\star \Sigma = \{0, 1\}$$

$\Sigma = \{a, b\}$  length 4 begin with

a; end with b

aabb

aacb

abcb

abbb

aaab

accb

acab

acbb

abab

Empty string: Length is 0

$\epsilon, \lambda, \emptyset$

$$\epsilon u = u = u \epsilon$$

## \* Language

If starts with alphabet  $\Sigma$ . The set of all strings over  $\Sigma$  is denoted by  $\Sigma^*$

A language over  $\Sigma$  is any subset of  $\Sigma^*$

→ Construct a language of string length 4 that begin with a and end with b.

$$\Sigma = \{a, b, c\}$$

$$L = \{aaab, aabb, aacb, abbb, acbb, abcba, accb, acab, abab\}$$

$$\emptyset = \text{length is } 0 \quad \emptyset = \{\emptyset\}$$

$$\{\&\} = \text{length is } 1$$

## Automata Theory

In theoretical computer science, <sup>(AT)</sup> it is a study of abstract machines & computational problems that can be solved using these machines. These abstract machines are called automata.

## Deterministic finite Automata (DFA)

It is a 5 tuple notation

$$M = \{ Q, \Sigma, S, q_0, F \}$$

$Q$  = finite set of states

$\Sigma$  = the input alphabets

$S = Q \times \Sigma \rightarrow Q$  is a transition func

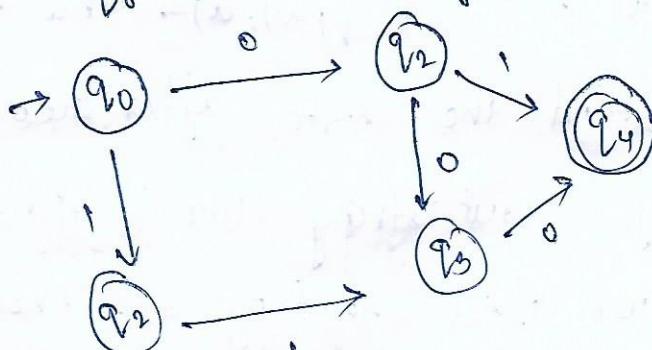
$q_0 \in Q$  is the start state

$F \subseteq Q$  is the set of final or Acceptance state

$$S = Q \times \Sigma \rightarrow Q$$

$$S(q_0, 0) \rightarrow q_1$$

$q_0$  on 0 changes to  $q_1$

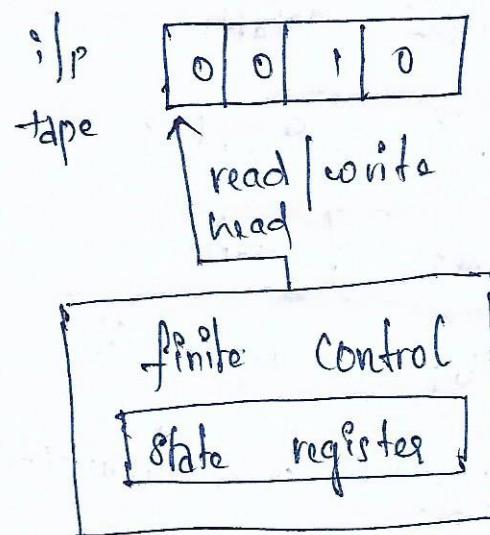


$$\Sigma = \{ 0, 1 \}$$

$$Q = \{ q_0, q_1, q_2, q_3, q_4 \}$$

## B

### The model for DFA



### Properties of transition function

1.  $\delta(q, \epsilon) = q$  is a state that without reading an input symbol.

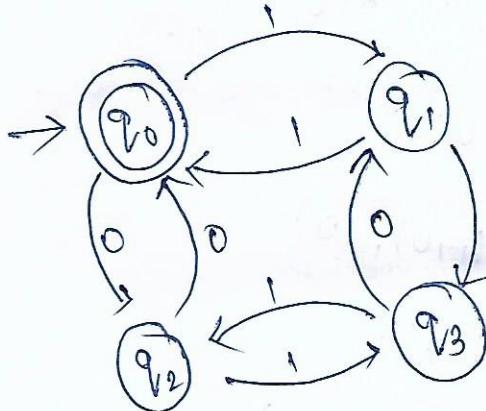
The Finite Automata cannot change the state

2. For all strings  $w$  and input symbol  $a$ .  
 $\delta(q, wa) = \delta(\delta(q, w), a)$ . This tells us how to find the state after reading the non empty input string  $wa$  is find the state  $p = \delta(q, w)$  after reading  $w$ . Then compute  $\delta(p, a)$

Similarly

$$\delta(q, aw) = \delta(\delta(q, a), w)$$

\* checks given finite automata accepts even number of 0's & 1's if  $\Sigma = \{0, 1\}$



$$M = (Q, \Sigma, \delta, q_0, F)$$

$$= (\{q_0, q_1, q_2, q_3\}, \{0, 1\}, \delta, q_0, F)$$

Transition Function

$q_i$	0	1
$\rightarrow q_0$	$q_2$	$q_1$
$q_1$	$q_3$	$q_0$
$q_2$	$q_0$	$q_3$
$q_3$	$q_1$	$q_2$

$$\alpha = 1001$$

$$s(q_0, \alpha) = s(q_0, 1001)$$

$$= s(s(q_0, 1), 001)$$

$$= s(q_1, 001)$$

$$= s(s(q_1, 0), 01)$$

$$= s(q_3, 01)$$

$$= s(s(q_3, 0), 1)$$

$$= s(q_1, 1)$$

$$= q_0 \in F$$

$$x = 1010$$

$$\begin{aligned}
 s(q_0, x) &= s(q_0, 1010) \\
 &= s(s(q_0, 1), 010) \\
 &= s(s(q_1, 0), 10) \\
 &= s(s(q_1, 1), 0) \\
 &= s(q_2, 0) \\
 &= q_0 \in F
 \end{aligned}$$

$$\begin{array}{ll}
 \text{Is} & \text{FS} \\
 q_0 \rightarrow q_0 & = \epsilon 0's \& \epsilon 1's \\
 q_0 \rightarrow q_1 & = \epsilon 0's \& 0 1's \\
 q_0 \rightarrow q_2 & = \theta 0's \& \theta 1's \\
 q_0 \rightarrow q_3 & = (\theta 0's \& \theta 1's) \& (0 0's \& \\
 & \quad \epsilon 1's) \& (\epsilon 0's \& 0 1's).
 \end{array}$$

# Non-Deterministic Finite Automata (NFA)

NFA is a 5 tuple

$$M = (Q, \Sigma, S, q_0, F)$$

$Q$  = Number of states

$\Sigma$  = number of finite non empty set of input symbols

$S$  = transition function ( $S = Q \times \Sigma \rightarrow 2^Q$ )

$q_0 \in Q$  is starting state

$F \subseteq Q$  is final state

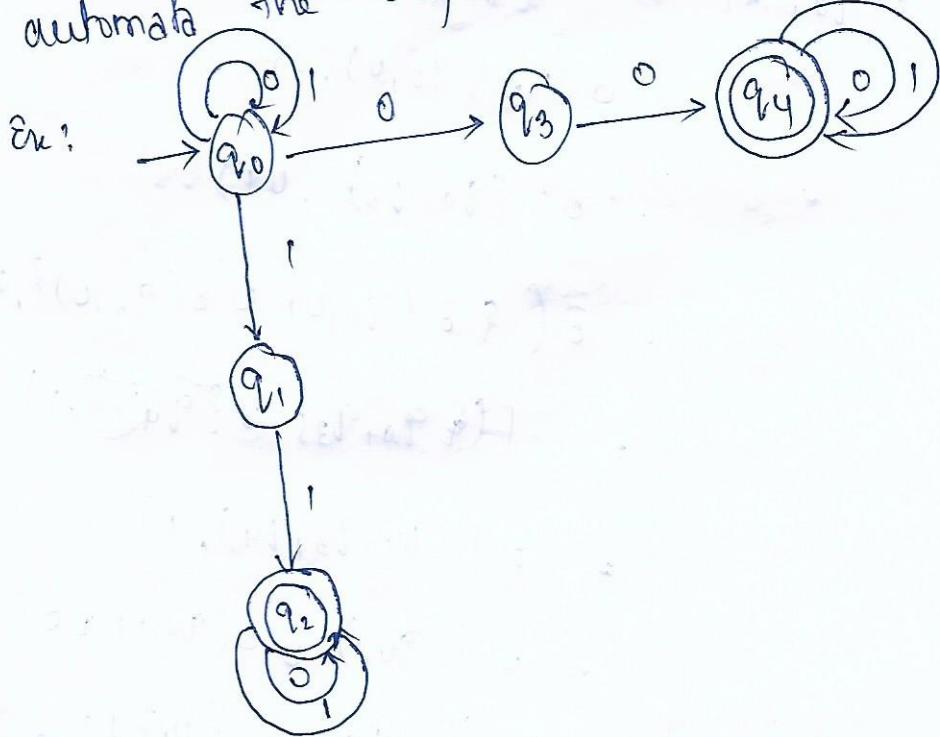
The difference between the deterministic

& non deterministic automata is only 6.

for deterministic automata the outcome is a state

i.e an element of  $Q$ . for Non deterministic

automata the output is subset of  $Q$ .



From the transition system

$$M = (Q, \Sigma, \delta, q_0, F)$$

$$M = (\{q_0, q_1, q_2, q_3, q_4\}, \{0, 1\}, \delta, q_0, \{q_2\})$$

Transition table

	0	1
$\rightarrow q_0$	$\{q_0, q_3\}$	$\{q_0, q_1\}$
$q_1$	$\emptyset$	$q_2$
$q_2$	$q_2$	$q_2$
$q_3$	$q_4$	$\emptyset$
$q_4$	$q_4$	$q_4$

check if symbol  $x = 001$

whether the machine is accepted or not

$$\delta(q_0, x) = \delta(q_0, 001)$$

$$= \delta(\delta(q_0, 0), 01)$$

$$= \delta(\{q_0, q_3\}, 01)$$

$$= \delta(\{\delta(q_0, 0) \cup \delta(q_3, 0)\}, 1)$$

$$= \delta(\{\{q_0, q_3\} \cup \{q_4\}\}, 1)$$

$$= \delta((q_0, q_3, q_4), 1)$$

$$= \delta(q_0, 1) \cup \delta(q_3, 1) \cup \delta(q_4, 1)$$

$$= \{q_0, q_3\} \cup \{\emptyset\} \cup \{q_4\}$$

$$= \{q_0, q_1, q_4\}$$

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$\therefore$  The set consists of atleast one final state so the given string 001 is accepted.  
this is NFA.

$\rightarrow 110$

$$\begin{aligned} s(q_0, \alpha) &= s(q_0, 110) \\ &= s(s(q_0, 1), 10) \\ &= s((q_0, q_1), 10) \\ &= s((s(q_0, 1) \cup s(q_1, 1)), 0) \\ &= s(\{q_0, q_1\} \cup \{q_2\}, 0) \\ &= s(\{q_0, q_1, q_2\}, 0) \\ &= s(q_0, 0) \cup s(q_1, 0) \cup s(q_2, 0) \\ &= \{q_0, q_3\} \cup s(\emptyset) \cup \{q_2\} \\ &= \{q_0, q_3, q_2\} \end{aligned}$$

This is NFA.

$\rightarrow 101$

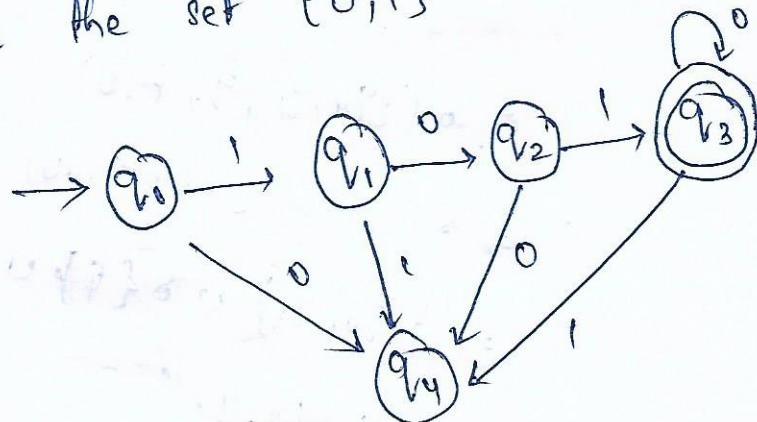
$$\begin{aligned} s(q_0, \alpha) &= s(q_0, 101) \\ &= s(s(q_0, 1), 01) \\ &= s(\{q_0, q_1\}, 01) \\ &= s((s(q_0, 0) \cup s(q_1, 0)), 1) \\ &= s(\{q_0, q_3\} \cup \{\emptyset\}), 1 \end{aligned}$$

$$\begin{aligned}
 &= S(\{q_0, q_3\}, 1) \\
 &= S(q_0, 1) \cup S(q_3, 1) \\
 &= \{q_0, q_1\} \cup \{\emptyset\}
 \end{aligned}$$

$$= \{q_0, q_1\}$$

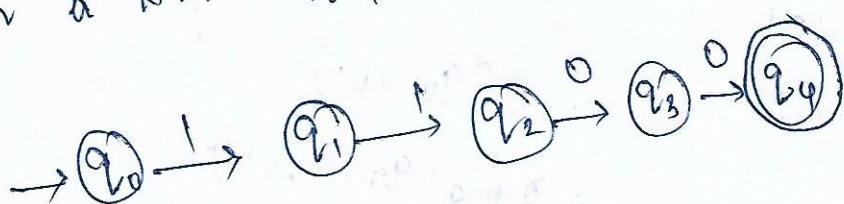
Since this string 101 doesn't contain any final state. Therefore it is not accepted by this NFA.

- \* Design DFA that accepts only input 101 over the set  $\{0, 1\}$



$$M = (\{q_0, q_1, q_2, q_3\}, \{0, 1\}, S, q_0, q_3)$$

- \* Design a NFA that accepts 1100 only.



$$M = (\{q_0, q_1, q_2, q_3, q_4\}, \Sigma, S, q_0, q_4)$$

## Conversion of NFA to DFA

Let  $M = (Q, \Sigma, S, q_0, F)$  be a NFA, Now we construct its equivalent DFA as follows:

Let  $M' = (Q', \Sigma, \delta', [q_0], F')$  where

①  $Q' = 2^Q$  (Power set elements) denote that

a state in  $Q'$  by  $[q_1, q_2, \dots, q_j]$  which is a single state in  $Q'$  and several states in  $Q$ .

②  $\Sigma$  is same.

③  $S$  is mapping from  $\delta': Q' \times \Sigma \rightarrow Q'$  and is

defined as  $\delta'([q_0], x) = [q_1, q_2, \dots, q_j]$  iff

$$\delta(\{q_0\}, x) = \{q_1, q_2, \dots, q_j\}$$

④ The initial state of NFA becomes the initial state of DFA.

⑤  $F'$  is a set of those states of  $2^Q$  which contain atleast one element of  $F$ .

→ Construct DFA equivalent to NFA

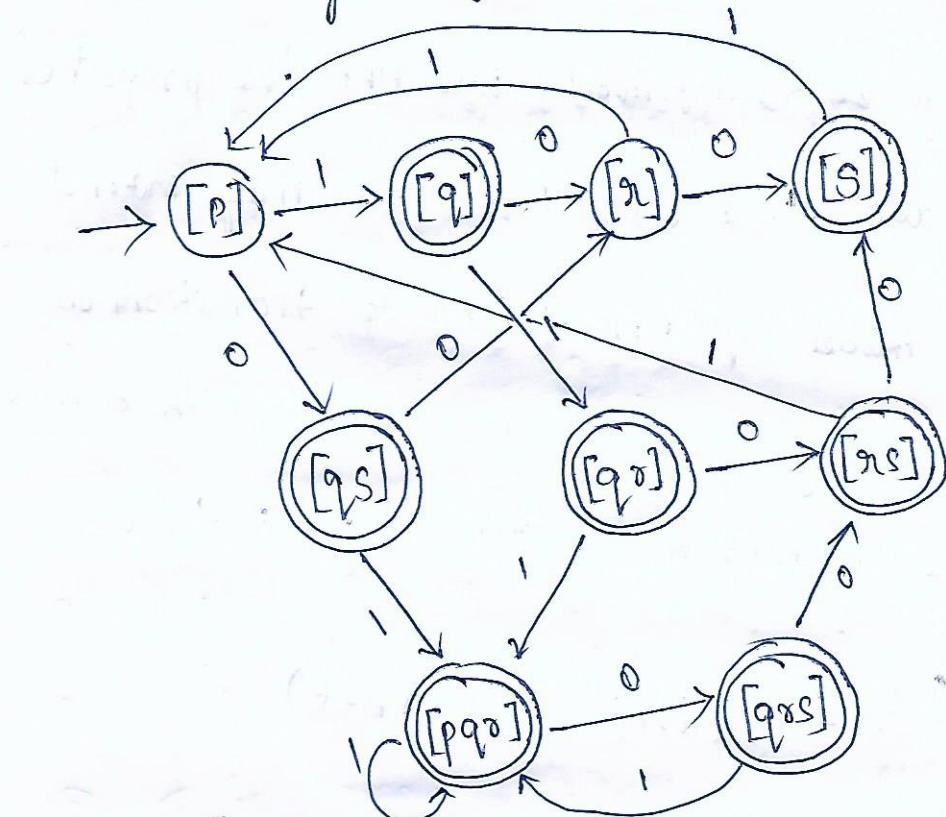
$$(\{P, Q, R, S\}, \{0, 1\}, S, \{P\}, \{Q, S\})$$

	0	1
$\rightarrow P$	{q, s}	{q}
(q)	{s}	{q, s}
s	{s}	{p}
S	$\emptyset$	{p}

sol: DFA =  $(Q', \Sigma, \delta', [q_0], F')$

	0	1	(Transition Table)
$\rightarrow [P]$	[q, s]	[q]	
(q)	[s]	[q, s]	
[s]	[s]	[p]	
[s]	$\epsilon \phi$	[p]	
(qs)	[s]	[pqrs]	
(qr)	[rs]	[pqrs]	
(rs)	[s]	[p]	
(pqrs)	[qrs]	[pqrs]	
(qrs)	[rs]	[pqrs]	

## Transition diagram for DFA



NFA with E-moves

In automata theory, a NFA with E-moves (NFA-E) is an extension of NFA which allows a transformation of use case without consuming any input symbols. The transitions without consuming an input symbol are called E-transitions. In the state diagrams they are usually labelled with a Greek letter E. E-transitions don't add any extra capacity of recognising formal languages. NFA-E are defined because certain properties can be more easily proved on them as

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compared to NFA. Since, A NFA  $\epsilon$  can always be transformed into NFA the properties are also true for NFAs we may extend our model of NFA to include transitions on the empty input  $\epsilon$ . An NFA with  $\epsilon$  moves is a mathematical model or 5-tuple

$$(Q, \Sigma, \delta, q_0, F) \quad (\text{NFA-}\epsilon)$$

where

$Q$  = finite non empty set of states

$\Sigma$  = " " " " " a of i/p symbols

$\delta$  = transition function which is mapping from

$$\delta : Q \times (Q \times \Sigma \cup \{\epsilon\}) \rightarrow Q$$

$\delta_{q,a}$  is defined as  $\delta(q, a) = p$  where  $a \in \Sigma$

or  $a = \text{any input symbol}$ .

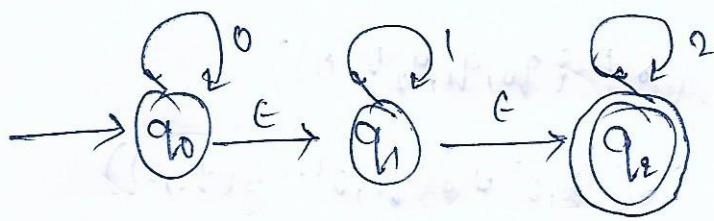
$q_0 \rightarrow \text{Initial state}$

$F \rightarrow \text{Final state}$

We say that a NFA with  $\epsilon$  moves accept a string ' $w$ ' if there is some path from

initial state to final state.

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Convert it in to NFA without ε moves

Transition table

	0	1	2	ε
q0	{q0}	∅	∅	{q1}
q1	∅	{q1}	∅	{q2}
q2	∅	∅	{q2}	∅

In this context, quantity called ε-closure of  $q$

denoted by  $\text{ε-cl}(q) = P$

There is a transition labelled on  $\epsilon$  from  $q$  to  $P$

$$\text{ε-cl}(q_0) = \{q_0, q_1, q_2\}$$

$$\text{ε-cl}(q_1) = \{q_1, q_2\}$$

$$\text{ε-cl}(q_2) = \{q_2\}$$

In order to eliminate ε moves we define

$\hat{\delta}$  as follows

$\hat{\delta}$  as follows

$$\boxed{\hat{\delta}(q, a) = \text{ε-cl}(\delta(\text{ε-cl}(q), a))}$$

$$\hat{s}(q_0, 0) = e^{-cl} (s(e^{-cl}(q_0), 0))$$

$$= e^{-cl} (s(\{q_0, q_1, q_2\}, 0))$$

$$= e^{-cl} (s(q_0, 0) \cup s(q_1, 0) \cup s(q_2, 0))$$

$$= e^{-cl} (\{q_0\} \cup \emptyset \cup \emptyset)$$

$$= e^{-cl}(q_0)$$

$$=\{q_0, q_1, q_2\}$$

$$\hat{s}(q_0, 1) = e^{-cl} (s(e^{-cl}(q_0), 1))$$

$$= e^{-cl} (s(\{q_0, q_1, q_2\}, 1))$$

$$= e^{-cl} (s(q_1, 1) \cup s(q_2, 1) \cup s(q_0, 1))$$

$$= e^{-cl} (q_1 \cup \emptyset \cup \emptyset)$$

$$= e^{-cl}(q_1)$$

$$= \{q_1, q_2\}$$

$$\hat{s}(q_0, 2) = e^{-cl} (s(e^{-cl}(q_0), 2))$$

$$= e^{-cl} (s(q_0, q_1, q_2), 2))$$

$$= e^{-cl} (s(q_0, 2) \cup s(q_1, 2) \cup s(q_2, 2))$$

$$= e^{-cl} (\emptyset \cup \emptyset \cup q_2)$$

$$= e^{-cl}(q_2)$$

$$= q_2$$

$$\hat{\delta}(q_1, 0) = e\text{-cl}(\delta(e\text{-cl}(q_1), 0)) \quad 19$$

$$= e\text{-cl}(\delta(q_1, q_2), 0))$$

$$= e\text{-cl}(\delta(q_1, 0) \cup \delta(q_2, 0))$$

$$= e\text{-cl}(\emptyset)$$

$$= \emptyset$$

$$\hat{\delta}(q_{1,1}) = e\text{-cl}(\delta(e\text{-cl}(q_1), 1))$$

$$= e\text{-cl}(\delta(q_1, q_2), 1))$$

$$= e\text{-cl}(\delta(q_{1,1}) \cup \delta(q_{2,1}))$$

$$= e\text{-cl}(q_1 \cup \emptyset)$$

$$= e\text{-cl}(q_1) = \{q_1, q_2\}$$

$$\hat{\delta}(q_{1,2}) = e\text{-cl}(\delta(e\text{-cl}(q_1), 2))$$

$$= e\text{-cl}(\delta(q_1, q_2), 2))$$

$$= e\text{-cl}(\delta(q_{1,2}) \cup \delta(q_{2,2}))$$

$$= e\text{-cl}(\emptyset \cup q_2)$$

$$= q_2$$

$$\hat{\delta}(q_{2,0}) = e\text{-cl}(\delta(e\text{-cl}(q_2), 0))$$

$$= e\text{-cl}(\delta(q_2, 0))$$

$$= e\text{-cl}(\emptyset) = \emptyset$$

$$\hat{\delta}(q_{2,1}) = e\text{-cl}(\delta(e\text{-cl}(q_2), 1))$$

$$= e\text{-cl}(\delta(q_{2,1}))$$

$$= e\text{-cl}(\emptyset) = \emptyset$$

$$\hat{\delta}(q_{2,2}) = e\text{-cl}(\delta(q_{2,2}))$$

$$= q_2$$

$$\hat{\delta} = \begin{array}{c|ccc} & 0 & 1 & 2 \\ \hline q_0 & \{q_0, q_1, q_2\} & \{q_1, q_2\} & \{q_2\} \\ q_1 & \emptyset & \{q_1, q_2\} & \{q_2\} \\ q_2 & \emptyset & \emptyset & \{q_2\} \end{array}$$

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Now we define NFA without  $\epsilon$ -moves

$$M = (Q, \Sigma, \hat{\delta}, q_0, F)$$

$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{0, 1, 2\}$$

$\hat{\delta}$  = as shown above

\* Initial state:  $\epsilon\text{-cl}$  (initial state of NFA with  $\epsilon$  moves)

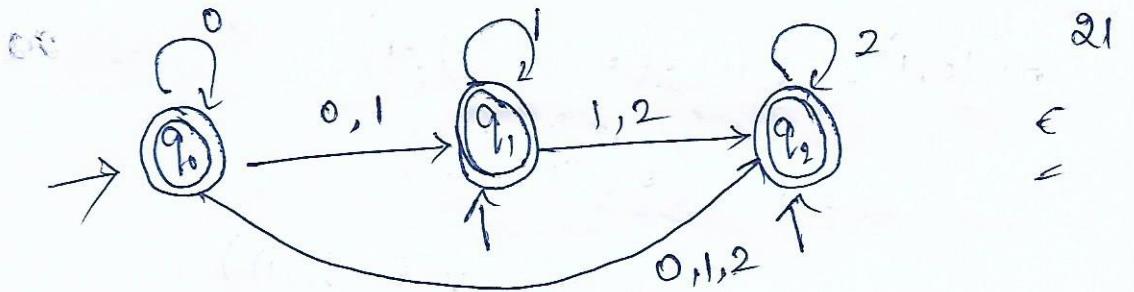
$$\therefore \epsilon\text{-cl}(q_0) = \{q_0, q_1, q_2\}$$

\* Final state:  $\epsilon\text{-cl}(Q)$  containing a state  $F$  then it becomes final state

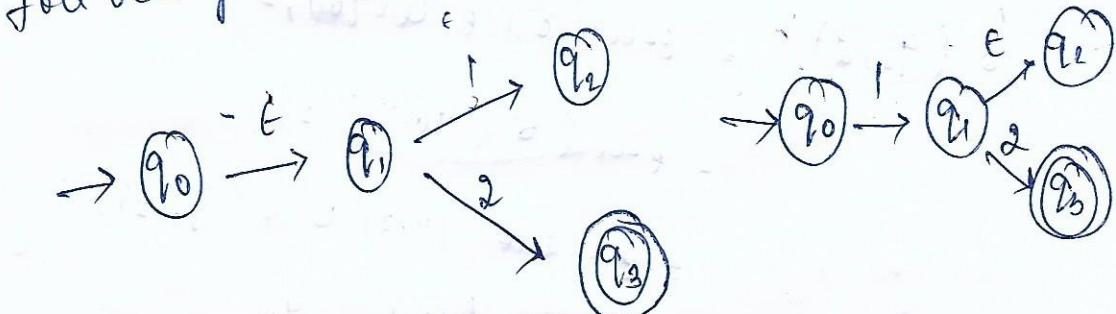
$$\epsilon\text{-cl}(q_0) = \{q_0, \underline{q_1}, \underline{q_2}\}$$

$$\epsilon\text{-cl}(q_1) = \{q_1, \underline{q_2}\}$$

$$\epsilon\text{-cl}(q_2) = \{\underline{q_2}\}$$



→ Construct NFA without  $\epsilon$  moves from the following NFA with  $\epsilon$  moves



$\delta =$	1	2	$\epsilon$
$q_0$	$\emptyset$	$\emptyset$	$\{q_1\}$
$q_1$	$\{q_2\}$	$\{q_3\}$	$\emptyset$
$q_2$	$\emptyset$	$\emptyset$	$\emptyset$
$q_3$	$\emptyset$	$\emptyset$	$\emptyset$

$$\begin{aligned}\epsilon - cl(q_0) &= \{q_0\} \\ \epsilon - cl(q_1) &= \{q_1, q_3\} \\ \epsilon - cl(q_2) &= \{q_2\} \\ \epsilon - cl(q_3) &= \{q_3\}\end{aligned}$$

of

$$\epsilon - cl(q_0) = \{q_0, q_1\}$$

$$\epsilon - cl(q_1) = \{q_1\}$$

$$\epsilon - cl(q_2) = \{q_2\}$$

$$\epsilon - cl(q_3) = \{q_3\}$$

$$\begin{aligned}
 \hat{s}(q_0, 1) &= e^{-\alpha} (s(e^{-\alpha}(q_0), 1)) \\
 &= e^{-\alpha} (s(q_0, q_1), 1) \\
 &= e^{-\alpha} (s(q_0, 1) \cup s(q_1, 1)) \\
 &= e^{-\alpha} (q_2) \\
 &= q_2
 \end{aligned}$$

$$\begin{aligned}
 \hat{s}(q_0, 2) &= e^{-\alpha} (s(e^{-\alpha}(q_0), 2)) \\
 &= e^{-\alpha} (s(q_0, q_1), 2) \\
 &= e^{-\alpha} (s(q_0, 2) \cup s(q_1, 2)) \\
 &= e^{-\alpha} (q_3) - q_3
 \end{aligned}$$

$$\begin{aligned}
 \hat{s}(q_1, 1) &= e^{-\alpha} (s(e^{-\alpha}(q_1), 1)) \\
 &= e^{-\alpha} (s(q_1, 1)) \\
 &= e^{-\alpha} (q_2) - q_2
 \end{aligned}$$

$$\begin{aligned}
 \hat{s}(q_1, 2) &= e^{-\alpha} (s(e^{-\alpha}(q_1), 2)) \\
 &= e^{-\alpha} (s(q_1, 2)) \\
 &= e^{-\alpha} (q_3) - q_3
 \end{aligned}$$

$$\begin{aligned}
 \hat{s}(q_2, 1) &= e^{-\alpha} (s(e^{-\alpha}(q_2), 1)) \\
 &= e^{-\alpha} (s(q_2, 1)) \\
 &= e^{-\alpha} (\emptyset) = q_2 \emptyset
 \end{aligned}$$

$$\begin{aligned}
 \hat{s}(q_2, 2) &= -e^{-\alpha} (s(q_2, 2)) \\
 &= e^{-\alpha} (\emptyset) = \emptyset
 \end{aligned}$$

$$\hat{\delta}(q_3, 1) = \epsilon\text{-cl}(\delta(\epsilon\text{-cl}(q_3), 1))$$

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$$= \epsilon\text{-cl}(\delta(q_3, 1))$$

$$= \emptyset$$

$$\hat{\delta}(q_3, 2) = \epsilon\text{-cl}(\delta(q_3, 2)) = \emptyset.$$

$\hat{\delta}$	1	2
$q_0$	$q_2$	$q_3$
$q_1$	$q_2$	$q_3$
$q_2$	$\emptyset$	$\emptyset$
$q_3$	$\emptyset$	$\emptyset$

\* Initial state =  $\epsilon\text{-cl}$  (initial state of NFA with  $\epsilon$  moves)

$$\therefore \epsilon\text{-cl}(q_0) = \{q_0, q_1\}$$

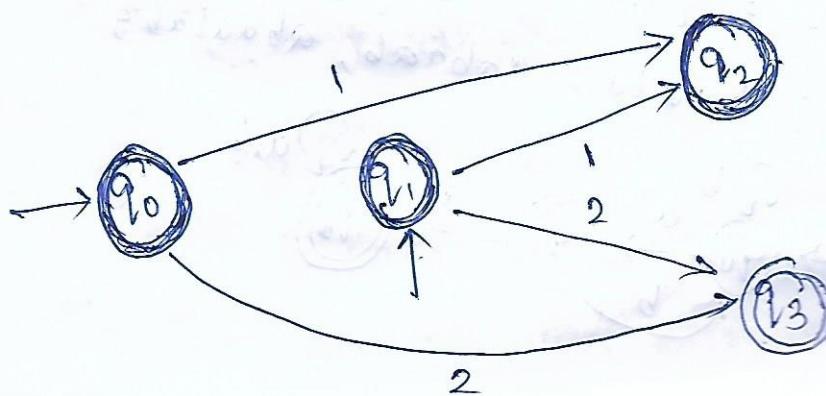
\* Final state :  $\epsilon\text{-cl}(q)$

$$\epsilon\text{-cl}(q_0) = \{q_0, q_1\} \times$$

$$\epsilon\text{-cl}(q_1) = \{q_1\} \times$$

$$\epsilon\text{-cl}(q_2) = \{q_2\} \times$$

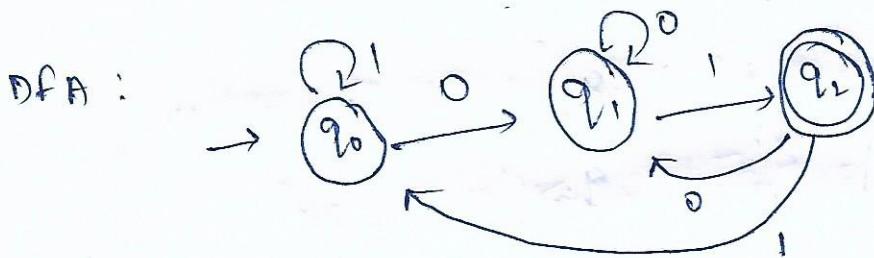
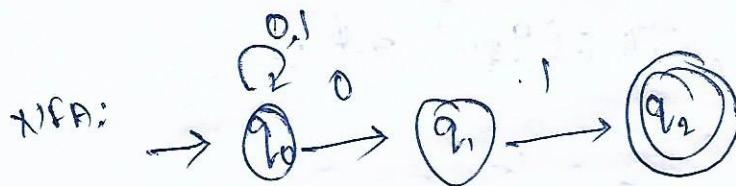
$$\epsilon\text{-cl}(q_3) = \{q_3\} - \text{final state}$$



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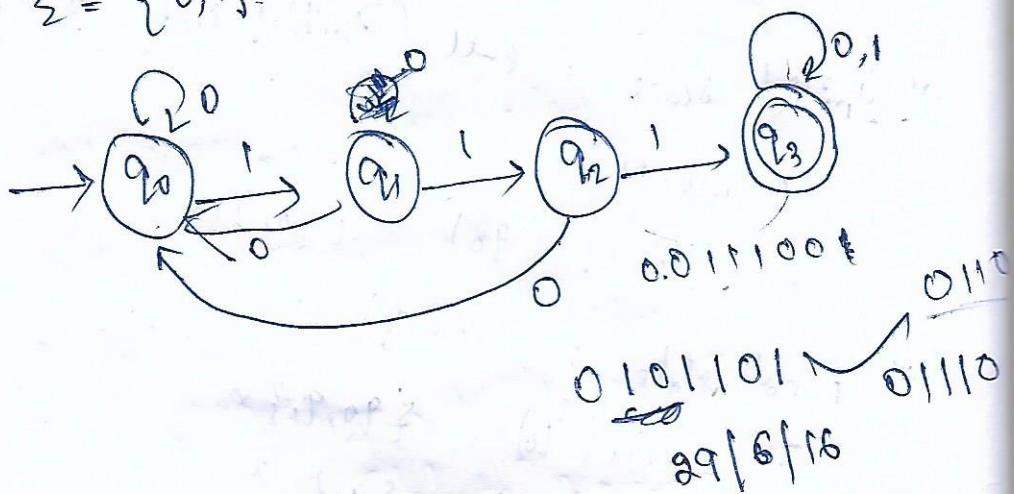
Design NFA that accepting all strings

ending with 01 over  $\Sigma = \{0, 1\}$



$\Rightarrow$  A design DFA which accepts 111 as substring

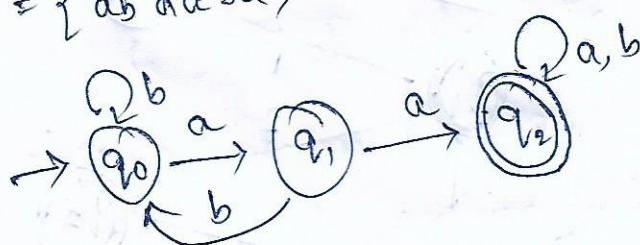
$$\Sigma = \{0, 1\}$$



\* DFA with aa as a sub string

$$\Sigma = \{a, b\}$$

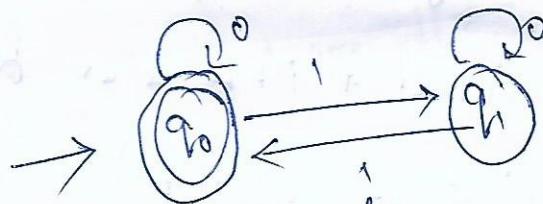
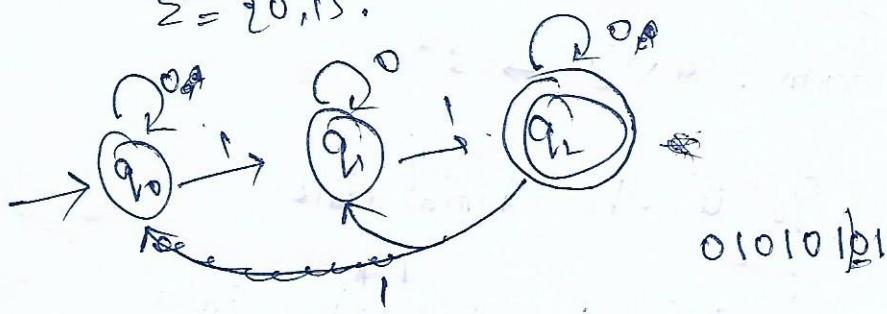
$L = \{ababa, aabaab, abaabab\}$



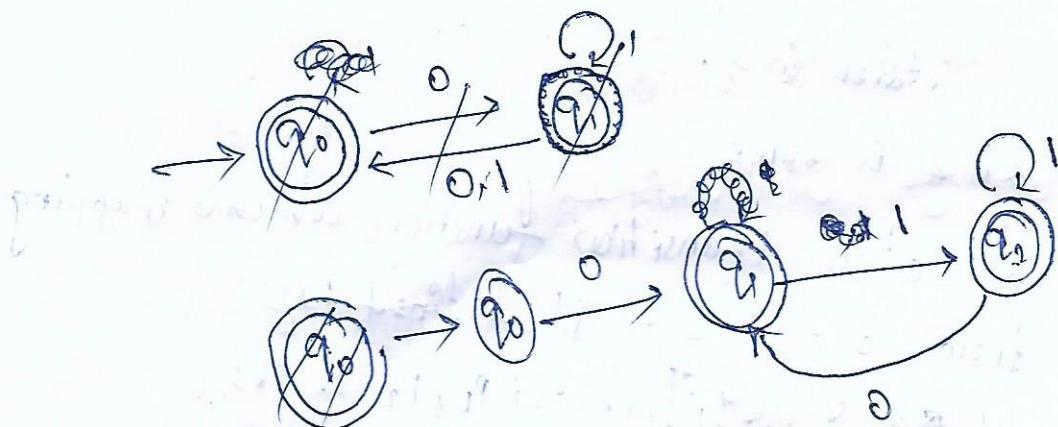
4

\* Design a DFA with even number of 1's. 25

$$\Sigma = \{0, 1\}.$$



Design a finite automata <sup>Non deterministic</sup> in which every string start with 0 followed by any no. of 1's but having no two consecutive 0.



Theorem: If  $L$  is accepted by an NFA

then there exists DFA that accept  $L$ .

Proof: Let  $M = (\emptyset, \Sigma, \delta, q_0, F)$  be a NFA where  $\emptyset$  is finite non empty set of states  $\Sigma$  is finite non empty set of input symbols.

$\delta$  is transition function which is mapping  
from:  $Q \times \Sigma \rightarrow 2^Q$

$q_0$  is the initial state

$F \subseteq Q$  is set of final states

Now we construct a DFA is as follow:

$$M' = (Q', \Sigma, \delta', [q_0], F')$$

where

$$1) Q' = 2^Q \text{ (power set of elements)}$$

$[q_1, q_2, \dots, q_j]$  is a single state for several states in  $Q$ .

2)  $\Sigma$  is same

3)  $\delta'$  is transition function which is mapping from  $\delta' : Q' \times \Sigma \rightarrow Q'$  defined by

$$\delta'([q_1, q_2, \dots, q_j], a) = \{p_1, p_2, \dots, p_k\}$$

if and only if

$$\delta([q_1, q_2, \dots, q_j], a) = \{p_1, p_2, \dots, p_k\}$$

4)  $q_0$  is initial state (The initial state of NFA becomes initial state of DFA)

5)  $F' \subseteq Q'$  which contains at least one

element of  $F$  we have to show that

$$S'([q_0], a) = [p_1, p_2 \dots p_j]$$

iff

$$S(\{q_0\}, a) = \{p_1, p_2 \dots p_j\}$$

we have to prove this by mathematical induction.

Let  $x = a'$  of length 1 then

$$S'([q_0], a) = S(\{q_0\}, a)$$

$S'([q_0], a) = S(\{q_0\}, a)$  this is true for

length of string  $|x| = 1$   
we assume that it is true for  $'x'$  of length

$$\text{i.e } S'([q_0], x) = S(\{q_0\}, x)$$

Let the string be  $'xa'$  which is of length  $n+1$ ,

we have to prove that.

$$S'([q_0], xa) = S'(S([q_0], x), a)$$

by the inductive hypothesis

$$S'([q_0], x) = [p_1, p_2 \dots p_j]$$

if and only if

$$S(q_0, x) = \{p_1, p_2 \dots p_j\}$$

but by defn of  $S'$

$$S([P_1, P_2 \dots P_j], \alpha) = [r_1, r_2 \dots r_k]$$

if and only if

$$S(\{P_1, P_2 \dots P_j\}, \alpha) = \{r_1, r_2 \dots r_k\}$$

thus

$$S^1([q_0], \alpha) = [r_1, r_2 \dots r_k]$$

if and only if

$$S(q_0, \alpha) = \{r_1, r_2 \dots r_k\}$$

which establish as hypothesis

$$S^1([q_0], \alpha) \in F'$$

iff  $S(\{q_0\}, \alpha)$  should contain at least one final state of  $F$

Regular Expression

Regular expression consists of constants, operators that denote sets of strings or operations.

Regular expression describe regular language in formal language theory.

Let  $L$  be a language that we define

$L^0 = \epsilon$  and  $L^i = LL^{i-1}$  then the closure

$L^*$  is defined by  $L^*$  and is defined as  $L^* =$  29

$$\bigcup_{i=0}^{\infty} L^i.$$

Let  $x = \{0\}$

$$L^0 = \epsilon$$

$$L^1 = L \cdot L^0 = 0\epsilon$$

$$L^2 = L \cdot L^1 = 00\epsilon$$

$$L^3 = L \cdot L^2 = 000\epsilon$$

⋮

$$L = L^0 + L^1 + L^2 + \dots + L^{\infty} + \dots$$

$$= \{ \epsilon, 0\epsilon, 00\epsilon, 000\epsilon, \dots \}$$

$$0^* = \{ \epsilon, 0, 00, 000, 0000, \dots \}$$

→ The positive closure of  $L$  is denoted by  $L^+$

and is defined as  $L^+ = \bigcup_{i=1}^{\infty} L^i$

The positive closure of  $x$  is

$$x = \{0\}$$

$$L' = L = 0$$

$$L^2 = L \cdot L' = 00$$

$$L^3 = L \cdot L^2 = 000$$

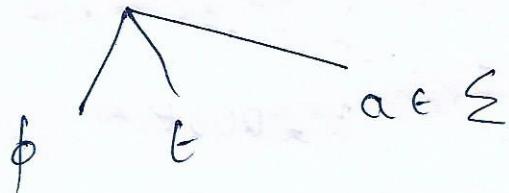
$$L^+ = L + L^1 + L^2 + \dots$$

3c

$$= \{ 0, 00, 000, \dots \}$$

$$0^+ = \{ 0, 00, 000, \dots \}$$

Regular expression



→ If  $r$  and  $s$  are two regular expression

denoting the languages  $R$  &  $S$  then  $r+s$ ,

$rs$ ,  $r^*$  are regular expressions.

\* design a finite automata <sup>(DFA)</sup> that accepts exactly two 0's.

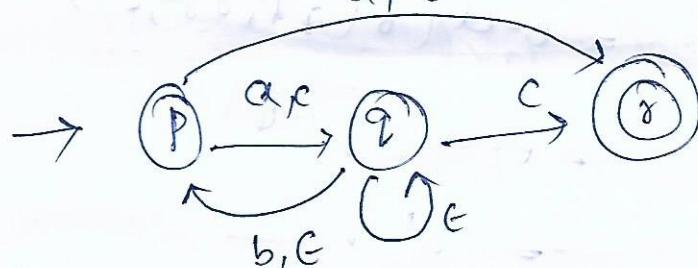
design a finite automata for the language that accept the string containing at least 2 0's over  $\Sigma = \{0, 1\}$

design a finite automata for the language the string contains double 0's always over  $\Sigma = \{0, 1\}$

design a finite automata to accept set of all strings not containing 'ab' substring.

Construct NFA without  $\epsilon$  moves from the following NFA with  $\epsilon$  moves.

$a, \epsilon$



	a	b	c	$\epsilon$
p	$\{q, r\}$	$\emptyset$	q	r
q	$\emptyset$	p	r	$\{p, q\}$
r	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$

$$\epsilon - cl(p) = \{p, q\}$$

$$\epsilon - cl(q) = \{p, q, r\}$$

$$\epsilon - cl(r) = \{r\}$$

$$\delta(p, a) = \epsilon - cl(\delta(\epsilon - cl(p), a))$$

$$= \epsilon - cl(\delta(\{p, q\}, a))$$

$$= \epsilon - cl(\delta(p, a) \cup \delta(q, a))$$

$$= \epsilon - cl(\{q, r\} \cup \emptyset)$$

$$= \{p, q, r\}$$

$$\begin{aligned}
 \hat{\delta}(p, b) &= e - u(\delta(e - u(p), b)) \\
 &= e - u(\delta(\{p, q\}, b)) \\
 &= e - u(\delta(p, b) \vee \delta(q, b)) \\
 &= e - u(\phi \vee \phi) = \phi
 \end{aligned}$$

$$\begin{aligned}
 \hat{\delta}(p, c) &= e - u(\delta(\{p, q\}, c)) \\
 &= e - u(q \vee \phi) \\
 &= \{p, q\}
 \end{aligned}$$

$$\begin{aligned}
 \hat{\delta}(q, a) &= e - u(\delta(\{p, q, r\}, a)) \\
 &= e - u(\{q, r\} \cup \phi \cup \psi) \\
 &= \{p, q, r\}
 \end{aligned}$$

$$\begin{aligned}
 \hat{\delta}(q, b) &= e - u(\delta(\{p, q, r\}, b)) \\
 &= e - u(\phi \vee p \vee \psi) = \{p, r\}
 \end{aligned}$$

$$\begin{aligned}
 \hat{\delta}(q, c) &= e - u(\delta(\{p, q, r\}, c)) \\
 &= e - u(q \vee r \cup \psi) \\
 &= \{p, q, r\}
 \end{aligned}$$

$$\begin{aligned}
 \hat{\delta}(r, a) &= e - u(\delta(\{q, r\}, a)) \\
 &= \phi
 \end{aligned}$$

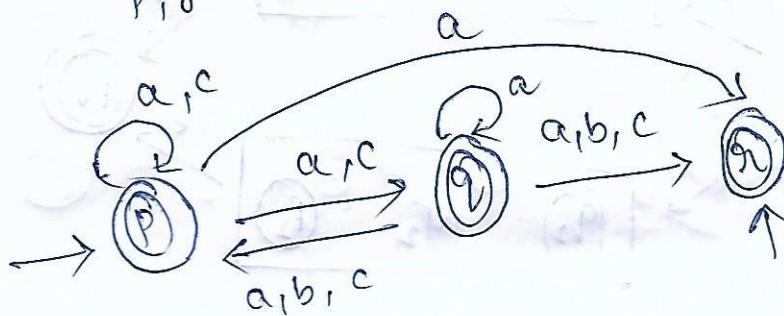
$$\hat{\delta}(r, b) = e - u(\delta(r, b)) = \phi$$

$$\hat{\delta}(r, c) = \phi$$

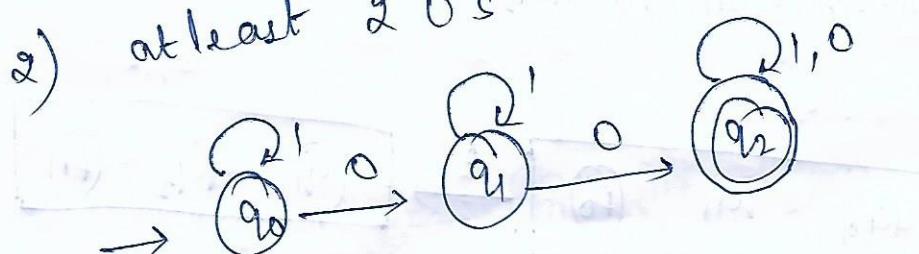
	a	b	c
p	{p, q, r}	{p, q}	
q	{p, q, r}	{p, r}	{p, q, r}
r	∅	∅	∅

$p, q, r$  are final states

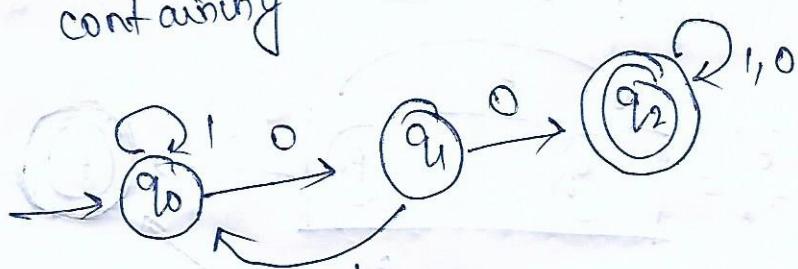
$p, r$  are initial states



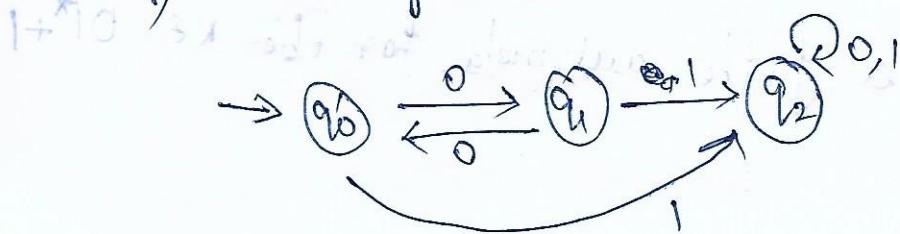
2) at least 2 0's



3) containing 00's

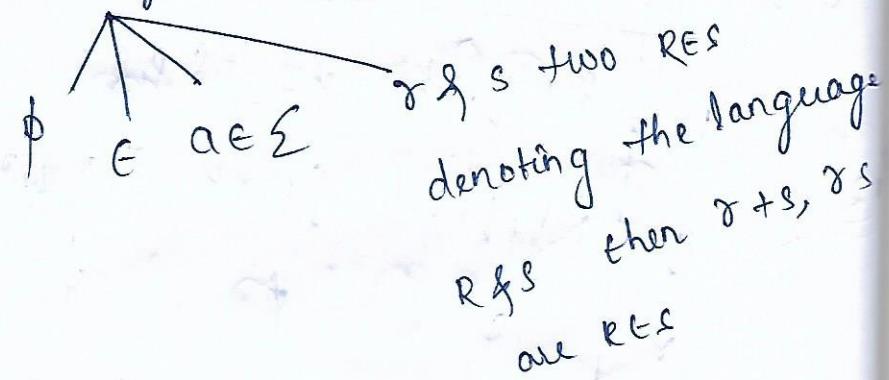


1) exactly 2 0's



# Regular Expression

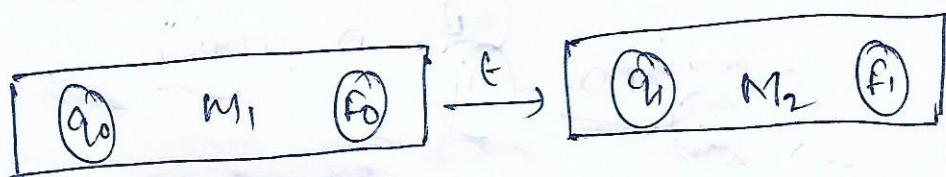
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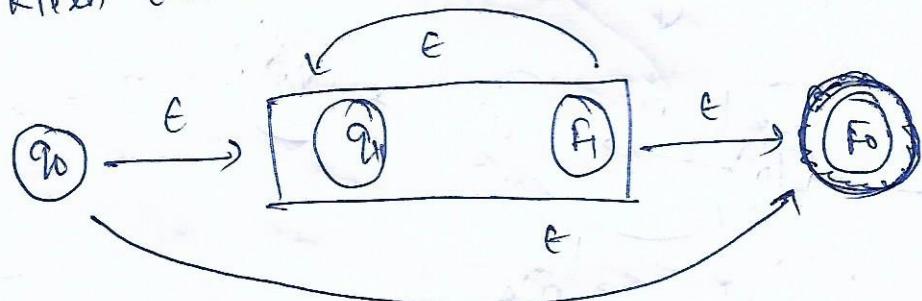
UNION :  $R + S = M_1 + M_2$



Concatenation :  $M_1 M_2 = RS$

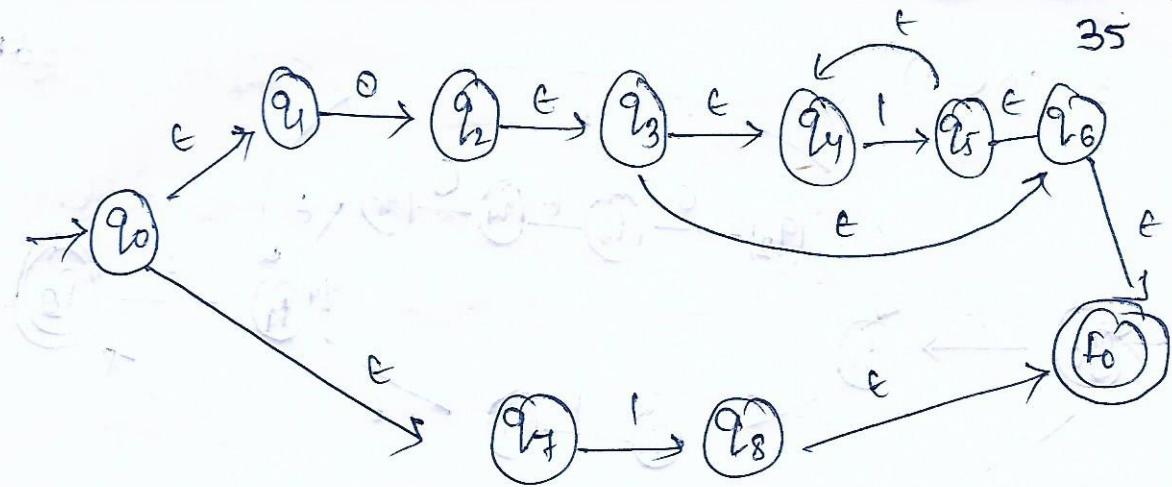


Kleen closure :  $R^* = M^*$

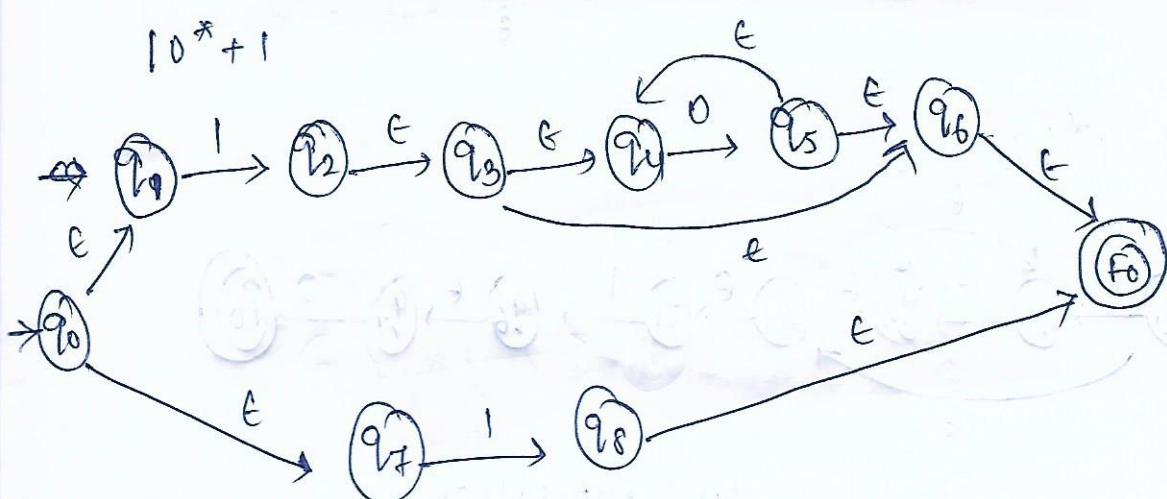
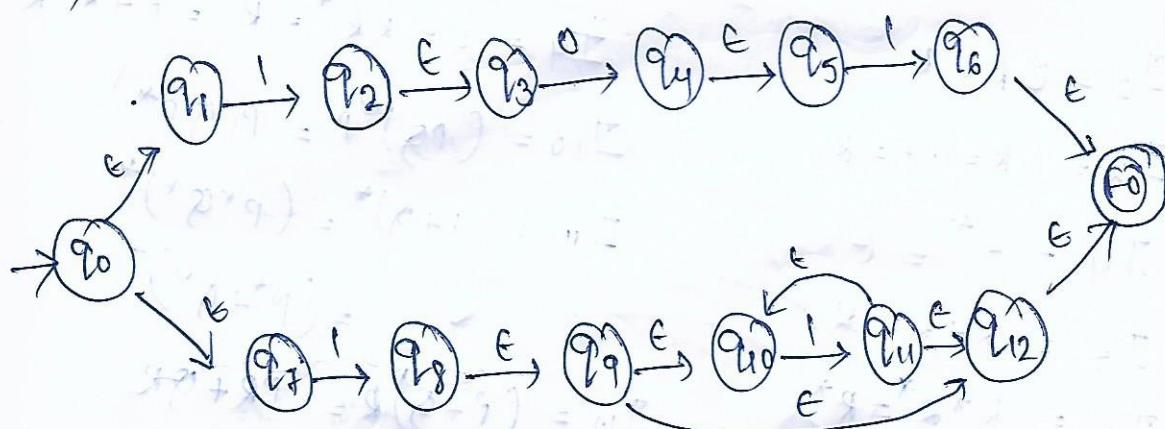
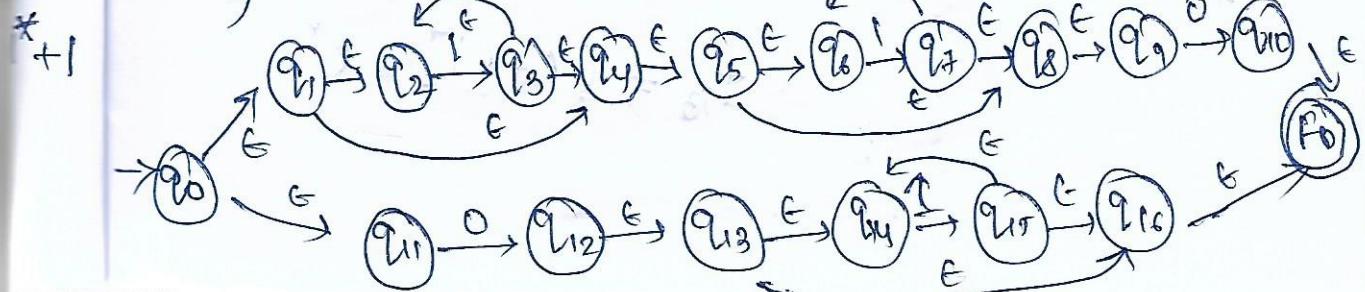


Construct a finite automata for the RE  $0^*$

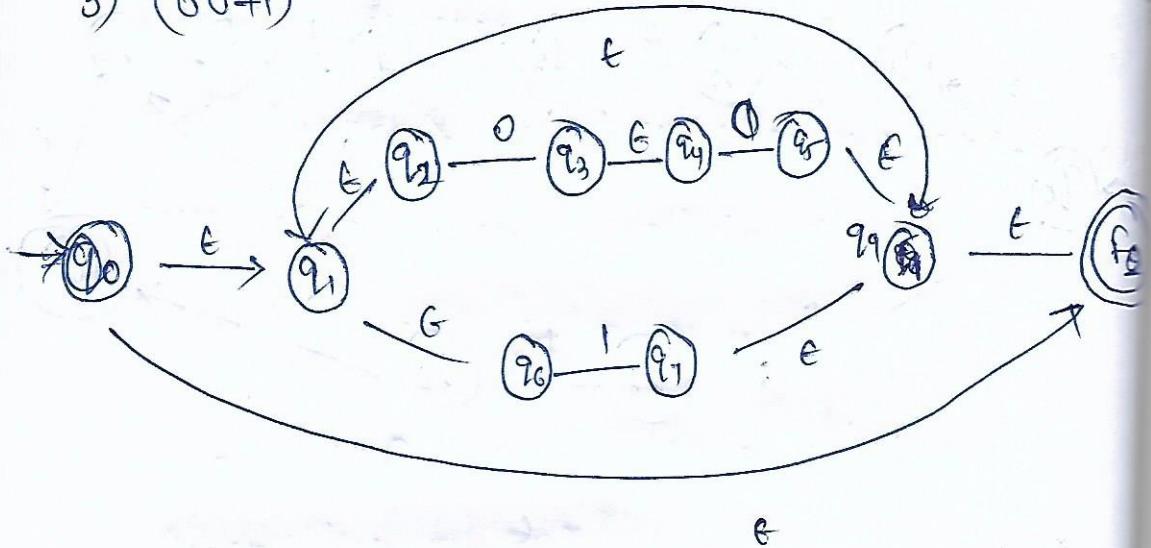
34



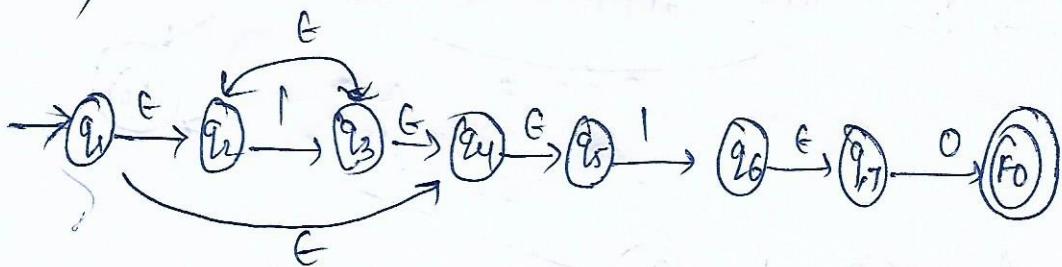
35

1)  $101 + 11^*$ 2)  $1^* 1^* 0 + 01^*$ 

3)  $(00+1)^*$



4)  $1^*10$



Identifiers of regular expression

$$I_1 = \emptyset + R = R$$

$$I_8 = (R^*)^* = R^*$$

$$I_2 = \emptyset R = R$$

$$I_9 = \epsilon + RR^* = R^* = \epsilon$$

$$I_3 = \epsilon R = RE = R$$

$$I_{10} = (PQ)^*P = P(QP^*)$$

$$I_4 = \epsilon^* = \epsilon$$

$$I_{11} = (P+Q)^* = (P^*Q^*)^* \\ = (P^*+Q^*)^*$$

$$I_5 = R+R = R$$

$$I_{12} = (P+Q)R = PR+QR$$

$$I_6 = R^*R^* = R^*$$

$$R(P+Q) = RP + RQ$$

$$I_7 = RR^* = R^*R$$

$$I_{13} = (\epsilon + R)^* = R^*$$

36

show that

37

$$(1+00^*1) + (1+00^*1)(0+10^*1)^*(0+10^*1)$$

$$= 0^*1(0+10^*1)^*$$

$$1(\epsilon + 00^*) + 1\&\epsilon + 0.$$

$$= (1+00^*1)(\epsilon + (0+10^*1)^*(0+10^*1))$$

$$= (1+00^*1)(0+10^*1)^*$$

$$= 1(\epsilon + 00^*) \oplus (0+10^*1)^*$$

$$= 1 \oplus 0^*(0+10^*1)^*$$

$$= 100^*(0^* + (\cancel{0^*1})^*)^*$$

$$= 100^*0^* +$$

$$* \quad \epsilon + (1^*(011)^*)(1^*(011)^*)^*$$

$$= (1^*(011)^*)^*$$

$$= 1^*(011)^*(1+011)^*$$

$$* \quad \text{show that } 0^*(1(\epsilon + (0+1)0^*1)^*(\epsilon + (0+1)0^*1))$$

$$+ 0^*1 = 0^*1(0+1)0^*1)^*$$

$$= 0^*1 [(1(\epsilon + (0+1)0^*1)^*(\epsilon + (0+1)0^*1) + \epsilon)]$$

$$= 0^*1 (\epsilon + (0+1)0^*1)^*$$

$$= 0^*1 (0+1)0^*1)^*$$

 $R^*R$

Ardex theorem:

Let  $P$  and  $Q$  be two regular expression over  $\Sigma$ ,  $P$  doesn't contain  $\epsilon$  then the following eq<sup>n</sup> in  $R$  w/c

$R = Q + RP$  has unique solution

given by  $R = QP^*$

$$R = Q + RP$$

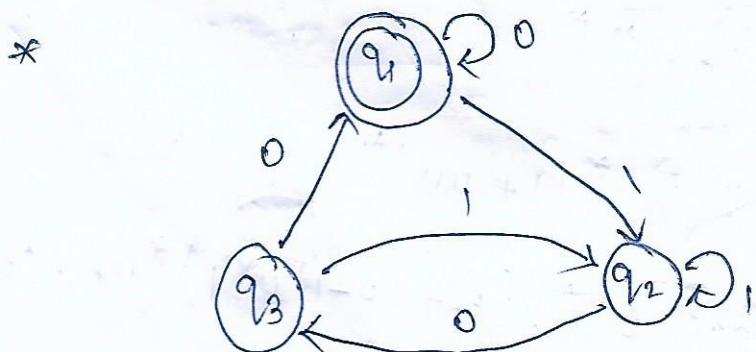
$$= Q + (Q + RP)P$$

$$= Q + QP + RP^2$$

$$= Q + QP + QP^2 + QP^3 + \dots$$

$$= Q(\epsilon + P + P^2 + P^3 + \dots)$$

$$= QP^*$$



$$q_1 = \epsilon + q_1 0 + q_3 0 \rightarrow ①$$

$$q_2 = q_1 1 + q_2 1 + q_3 1 \rightarrow ②$$

$$q_3 = q_2 0 \rightarrow ③$$

$$q_2 = q_1 + q_2 (1+01)$$

$$R = Q + RP$$

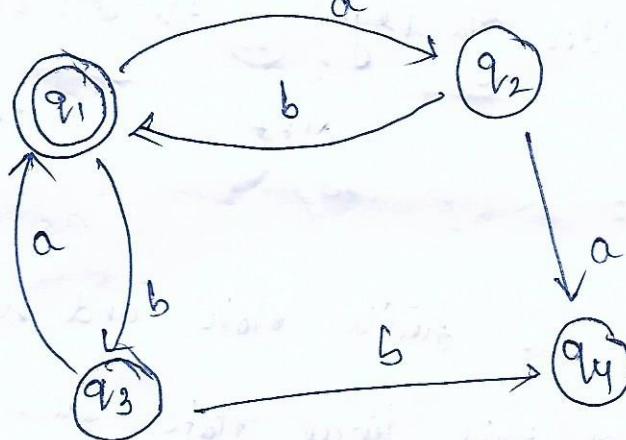
$$q_2 = q_1 1(1+01)^* - \textcircled{4}$$

$$q_1 = \epsilon + q_1 0 + q_1 1 (1+01)^* 00$$

$$= \epsilon + q_1 0 + q_1 1 (1+01)^* 00$$

$$q_1 = \epsilon (0 + 1(1+01)^* 00)^*$$

$$= (0 + 1(1+01)^* 00)^*$$



$$q_1 = \epsilon + q_2 b + q_3 a$$

$$q_2 = q_1 a$$

$$q_3 = q_1 b$$

$$q_4 = q_2 a + q_3 b$$

$$q_1 = \epsilon + q_1 ab + q_1 ba$$

$$q_1 = \epsilon + q_1 ab$$

$$R = Q + RP$$

$$q_1 = \epsilon (ab)^*$$



05/07/16

### Theorem:

Let  $r$  be a regular expression then there exists a NFA with  $\epsilon$  transitions that accepts  $L$ .

We prove the theorem by induction on no. of operations.

Consider a regular expression ' $r'$ ' then there is an NFA ' $M'$ ' with transition

having one finite state and no. of transitions out of this final state such that

$$L(M) = L(r)$$

(a) 0 operators

The expression  $r$  must be  $\epsilon, \emptyset$ ,

from some symbol to  $\epsilon$

$$r = \epsilon$$

$$r = \emptyset$$



$$r = \emptyset$$



(b) one or more operators:

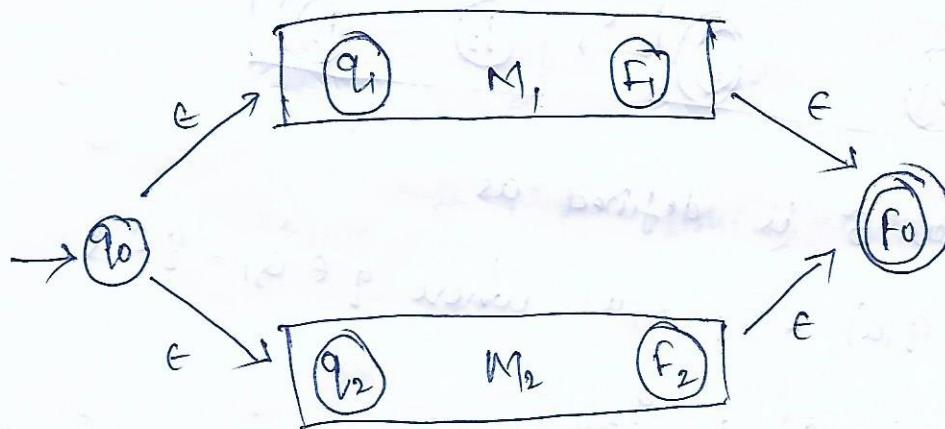
There are 3 cases depends on form of  $r$

Case 1:  $r = r_1 + r_2$ .

with  $r_1$  &  $r_2$  exists a NFA

$$M_1 = (Q_1, \Sigma_1, \delta_1, q_1, f_1) \text{ and}$$

$$M_2 = (Q_2, \Sigma_2, \delta_2, q_2, f_2)$$



$$1) S(q_0, \epsilon) = \{q_1, q_2\}$$

$$2) \delta(q, a) = \delta_1(q_1, a) \text{ for } q \in Q = \{q_1\}$$

and  $a \in \Sigma \cup \{\epsilon\}$

$$3) \delta(q, a) = \delta_2(q_2, a) \text{ for } q \in Q - \{q_1\}$$

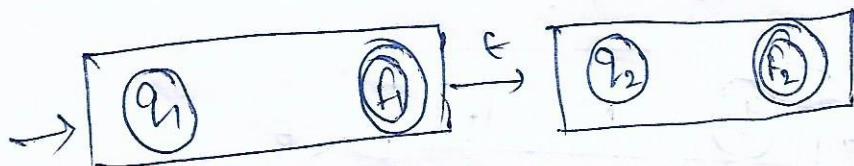
and  $a \in \Sigma_2 \cup \{\epsilon\}$

$$4) \delta_1(f_1, \epsilon) = \delta_2(f_2, \epsilon) = \{f_0\}$$

$\Rightarrow$  Any path from in  $M$  from  $q_0$  to  $f_0$  should begin either from  $q_1$  or  $q_2$  or  $\epsilon$ .

$$L(M) = L(M_1) + L(M_2)$$

case 2: Let  $r = r_1 r_2$  where  $r_1$  &  $r_2$  must have  $\leq i$  operators then there exists an NFA  $M_1 = (Q_1, \Sigma_1, \delta_1, q_1, f_1)$  and  $M_2 = (Q_2, \Sigma_2, \delta_2, q_2, f_2)$ .



where  $\delta$  is defined as

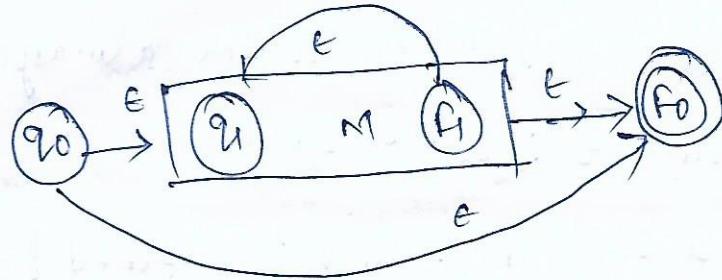
$$\delta(q, a) = \delta_1(q, a) \text{ where } q \in Q_1 - \{f_1\}$$

$$\delta(f, \epsilon) = \{q_2\}$$

$$\delta(q, a) = \delta_2(q, a) \text{ where } q \in Q_2 - \{f_2\}$$

Every path in  $M$  from  $q_1$  to  $f_2$  is a path labelled by the string  $x$  from  $q_1$  to  $f_1$  followed by an edge  $f_1 \rightarrow q_2$  on  $\epsilon$  then followed by some string  $y$  from  $q_2$  to  $f_2$ .

Case 3:  $r = r_1^*$  with  $r_1$  must have  $\leq i$  operators then there exists an NFA  $M_1 = (Q_1, \Sigma_1, \delta_1, q_1, f_1)$  such that  $L(M_1) = L(r_1^*)$



$$M = (\mathcal{Q} \cup \{q_0, f_0\}, \Sigma, \delta, q_0, f_0)$$

where  $S$  is defined as

$$\delta(q_0, \epsilon) = \{q_1, f_0\}$$

$$\delta(f_1, \epsilon) = \{q_1, f_0\}$$

$$\delta(q, a) = \delta_1(q, a) \text{ where } q \in \mathcal{Q} - \{f_1\}$$

Any path from  $q_0$  to  $f_0$  consists either a path from  $q_0$  to  $f_0$  on  $\epsilon$  or any other path from  $q_0$  to  $f_1$  followed by reading  $\epsilon$ , we can get back to  $q_1$ , or go to  $f_0$ . Hence  $L(M) = L(q_1)$

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### Moore Machine

A moore machine is six tuple notation where  $M = (\mathcal{Q}, \Sigma, \Delta, \delta, \lambda, q_0)$

$\mathcal{Q}$  = finite non empty set of states

$\Sigma$  = finite non empty set of i/p symbols.

$\Delta$  = the output alphabet.

*(EP)*  
 $\delta$  = transition function which always maps

from  $\delta : Q \times \Sigma \rightarrow Q$

$\lambda$  = is a o/p func which is defined from

$\lambda = Q \rightarrow \Delta$

$q_0$  = Initial state.

In moore machine the value of output depends on the present state.

### Mealy machine

A Mealy machine is a six tuple

$$M = (Q, \Sigma, \Delta, \delta, \lambda, q_0)$$

$Q$  = finite non empty set of states.

$\Sigma$  = finite non empty set of i/p symbols

$\Delta$  = the o/p alphabet.

  $\delta$  = transition func which always maps

from  $\delta : Q \times \Sigma \rightarrow Q$ .

$\lambda$  = is a o/p func which is defined from

$Q \times \Sigma \rightarrow \Delta$

$q_0$  = Initial state.

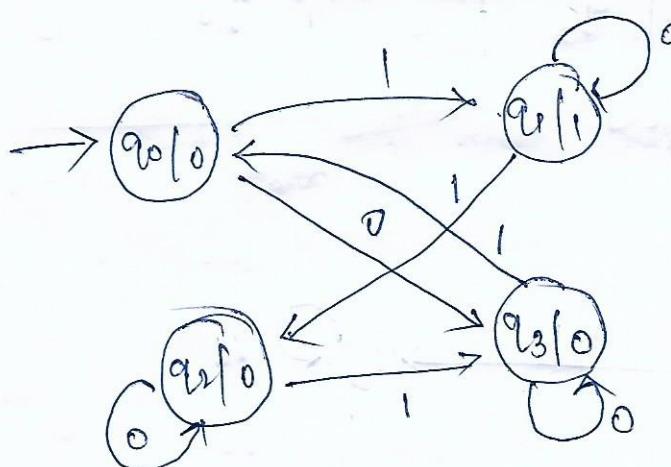
4  
upng

45

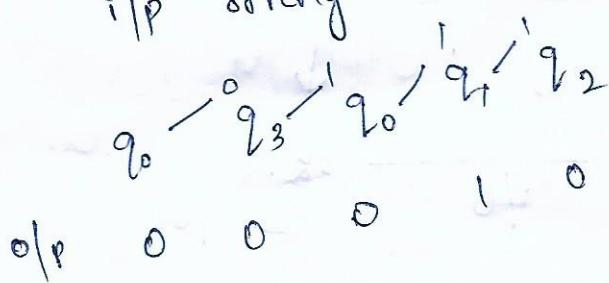
### Moore machine (Transition Table)

Present state	Next state		output
	$a=0$	$a=1$	
$q_0$	$q_2$	$q_1$	0
$q_1$	$q_1$	$q_2$	1
$q_2$	$q_2$	$q_3$	0
$q_3$	$q_3$	$q_0$	0

Transition diagram



i/p string 0111



n if p

[n+1] if p

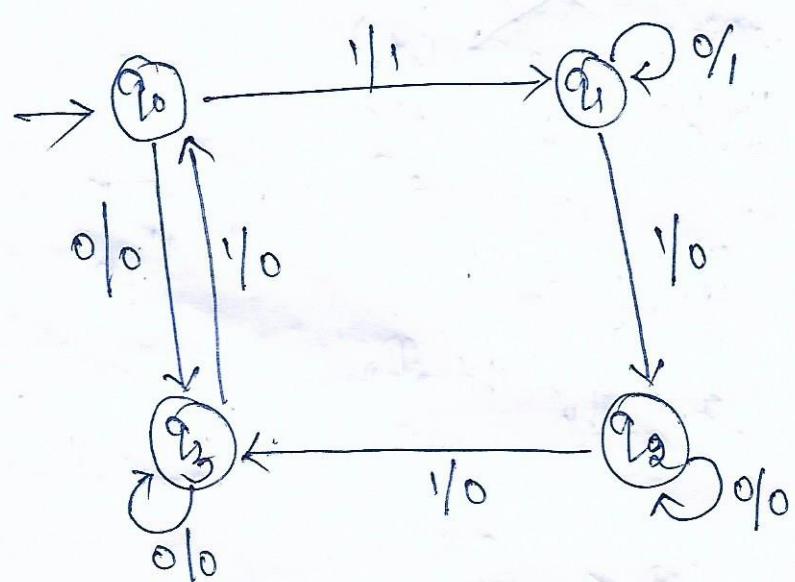
i/p string 0101

o/p: 00000

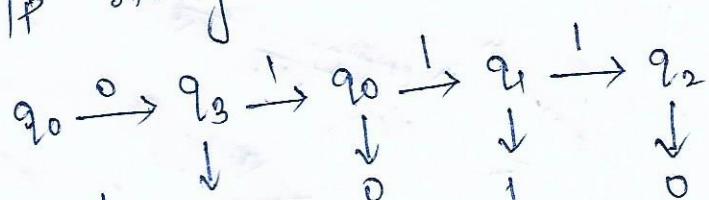
from

## Mealy Machine

Present state	Next state			
	a=0		a=1	
	state	o/p	state	o/p
$q_0$	$q_3$	0	$q_1$	1
$q_1$	$q_1$	1	$q_2$	0
$q_2$	$q_2$	0	$q_3$	0
$q_3$	$q_3$	0	$q_0$	0



if p string      0111



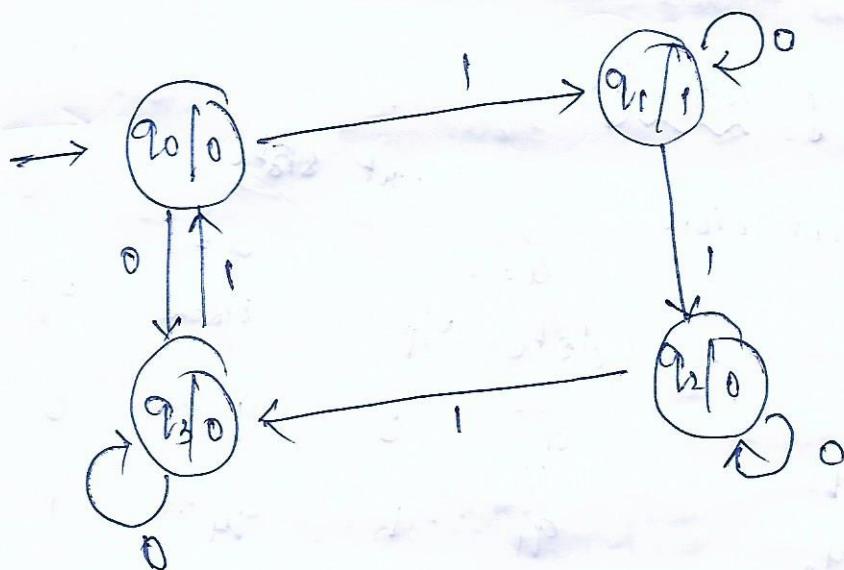
n ifp's gives n o/p's

# Moore to Mealy conversion

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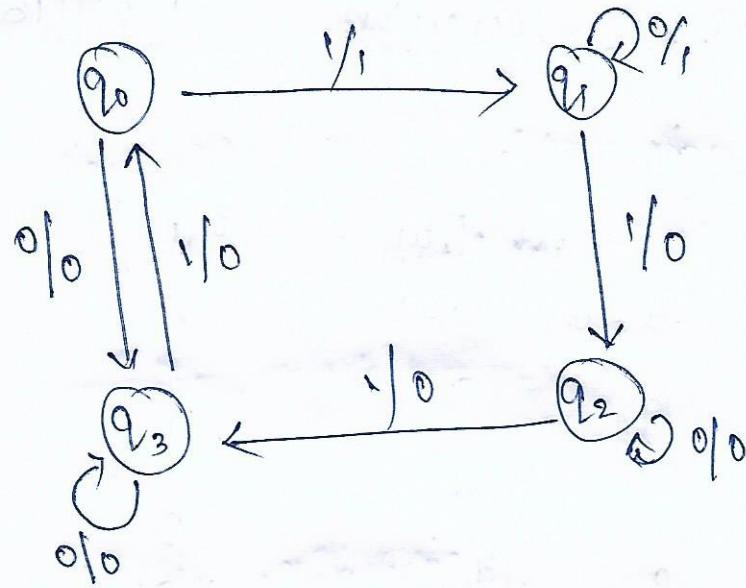
Moore machine  
 $\sim \sim$

Present state	Next state		$O/p (a)$
	$a=0$	$a=1$	
$q_0$	$q_3$	$q_4$	0
$q_1$	$q_1$	$q_2$	1
$q_2$	$q_2$	$q_3$	0
$q_3$	$q_3$	$q_0$	0



Mealy Machine  
 $\sim \sim$

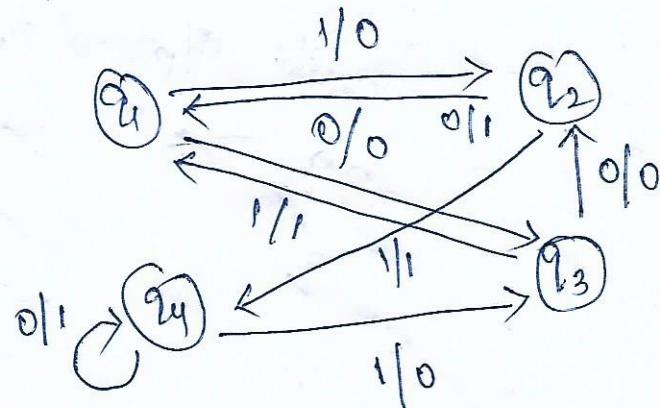
Present state	Next state		$O/p$
	$a=0$	$a=1$	
$q_0$	$q_3$	$q_1$	0
$q_1$	$q_1$	$q_2$	1
$q_2$	$q_2$	$q_3$	0
$q_3$	$q_3$	$q_0$	0



Mealy to Moore Conversion

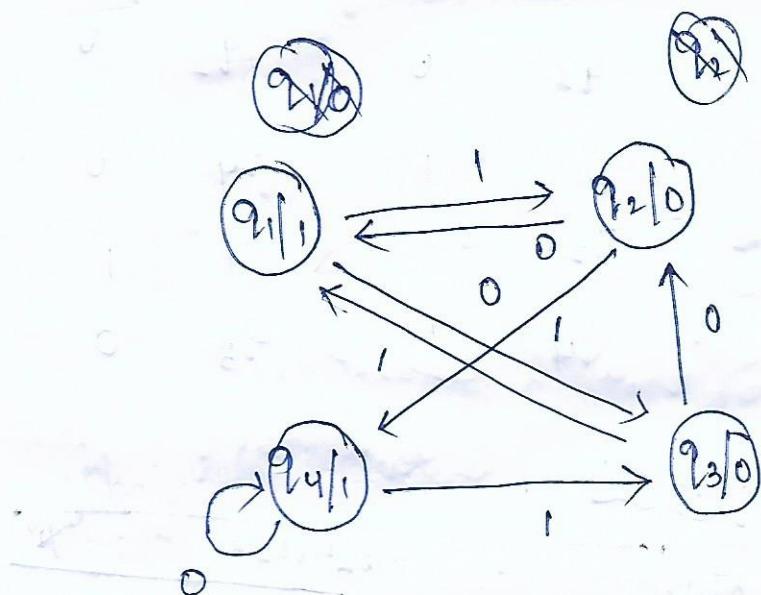
Mealy machine

Present state	Next state	
	$a=0$ state	$a=1$ state
$q_1$	$q_3$	$q_2$
$q_2$	$q_1$	$q_4$
$q_3$	$q_2$	$q_1$
$q_4$	$q_4$	$q_3$



Moore Machine

Present state	Next state	$O/p(\lambda)$
	$a=0$	$a=1$
$q_1$	$q_3$	1
$q_2$	$q_1$	0
$q_3$	$q_2$	0
$q_4$	$q_4$	1



- We look into the next state column for any state  $q_i$  and determine the number of different output associated with  $q_i$  in that column.

Conversion of given Mealy to moore.

Consider the Mealy machine described by the transition table. Construct a moore machine which is equivalent to the Mealy machine.

Mealy Machine

Present state	Next state			
	a=0	a=1	state	o/p
$q_1$	$q_3$	0	$q_2$	0
$q_2$	$q_1$	1	$q_4$	0
$q_3$	$q_2$	1	$q_1$	1
$q_4$	$q_4$	1	$q_3$	0

Modified Mealy Machine

Present state	Next state			
	a=0	a=1	state	o/p
$q_1$	$q_3$	0	$q_{20}$	0
$q_{20}$	$q_1$	1	$q_{40}$	0
$q_{21}$	$q_1$	1	$q_{40}$	0
$q_3$	$q_{21}$	1	$q_1$	1
$q_{40}$	$q_{41}$	1	$q_3$	0
$q_{41}$	$q_{41}$	1	$q_3$	0

bed

Moore Machine

to

Present state	Next state	$a=0$	$a=1$	$\Sigma P$
$q_1$	$q_3$	$q_{20}$		1
$q_{20}$	$q_1$	$q_{40}$	0	1
$q_{21}$	$q_1$	$q_{40}$	0	1
$q_3$	$q_{21}$	$q_1$	0	1
$q_{40}$	$q_{41}$	$q_3$	0	1
$q_{41}$	$q_{41}$	$q_3$	1	

We look into the next state column

for any state say  $q_i$  and determine the number of different outputs associated with  $q_i$  in that column. We split  $q_i$  into several different states. The number of such states being equal to the number of different outputs associated with  $q_i$ .