



# Chapter 2: Intro to Relational Model

**Database System Concepts, 6<sup>th</sup> Ed.**

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# Example of a Relation

<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>
10101	Srinivasan	Comp. Sci.	65000
12121	Wu	Finance	90000
15151	Mozart	Music	40000
22222	Einstein	Physics	95000
32343	El Said	History	60000
33456	Gold	Physics	87000
45565	Katz	Comp. Sci.	75000
58583	Califieri	History	62000
76543	Singh	Finance	80000
76766	Crick	Biology	72000
83821	Brandt	Comp. Sci.	92000
98345	Kim	Elec. Eng.	80000

attributes  
(or columns)

tuples  
(or rows)



# Attribute Types

- The set of allowed values for each attribute is called the **domain** of the attribute
- Attribute values are (normally) required to be **atomic**; that is, indivisible
- The special value ***null*** is a member of every domain
- The null value causes complications in the definition of many operations



# Relation Schema and Instance

- $A_1, A_2, \dots, A_n$  are *attributes*
- $R = (A_1, A_2, \dots, A_n)$  is a *relation schema*

Example:

*instructor = (ID, name, dept\_name, salary)*

- Notation: Relation  $\leftrightarrow$  table, tuple  $\leftrightarrow$  row



# Relations are Unordered

- Order of tuples is irrelevant (tuples may be stored in an arbitrary order)
- Example: *instructor* relation with unordered tuples

<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>
22222	Einstein	Physics	95000
12121	Wu	Finance	90000
32343	El Said	History	60000
45565	Katz	Comp. Sci.	75000
98345	Kim	Elec. Eng.	80000
76766	Crick	Biology	72000
10101	Srinivasan	Comp. Sci.	65000
58583	Califieri	History	62000
83821	Brandt	Comp. Sci.	92000
15151	Mozart	Music	40000
33456	Gold	Physics	87000
76543	Singh	Finance	80000



# Database

- A database consists of multiple relations
- Information about an enterprise is broken up into parts
  - *instructor*
  - *student*
  - *advisor*
- Bad design:  
    *univ (instructor -ID, name, dept\_name, salary, student\_Id, ..)*  
results in
  - repetition of information (e.g., two students have the same instructor)
  - the need for null values (e.g., represent an student with no advisor)
- Normalization theory (Chapter 7) deals with how to design “good” relational schemas

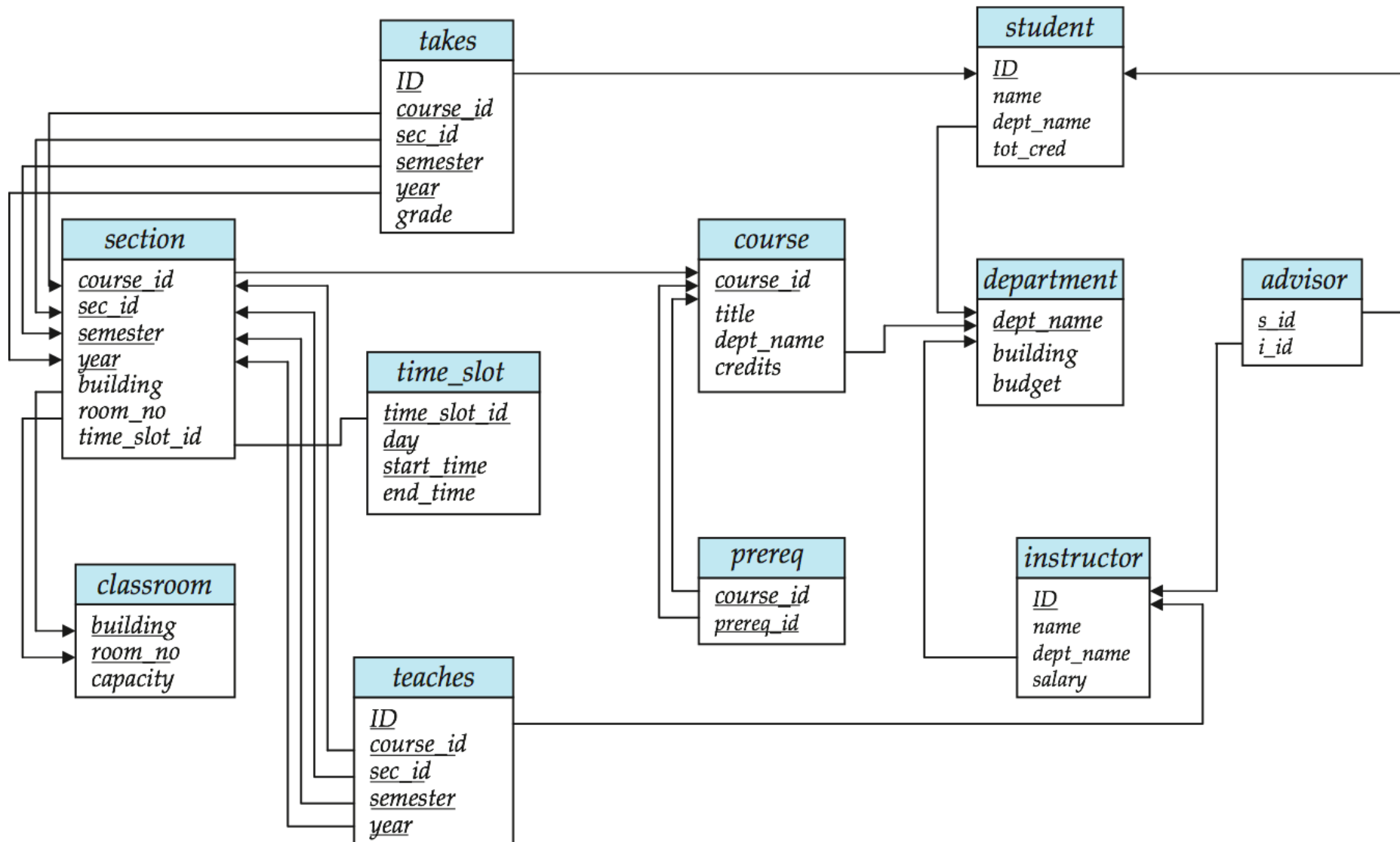


# Keys

- Let  $K \subseteq R$
- $K$  is a **superkey** of  $R$  if values for  $K$  are sufficient to identify a unique tuple of each possible relation  $r(R)$ 
  - Example:  $\{ID\}$  and  $\{ID, name\}$  are both superkeys of *instructor*.
- Superkey  $K$  is a **candidate key** if  $K$  is minimal  
Example:  $\{ID\}$  is a candidate key for *Instructor*
- One of the candidate keys is selected to be the **primary key**.
  - which one?
- **Foreign key** constraint: Value in one relation must appear in another
  - **Referencing** relation
  - **Referenced** relation



# Schema Diagram for University Database







# Relational Query Languages

- Procedural vs. non-procedural, or declarative
- “Pure” languages:
  - Relational algebra
    - ▶ Relational operators
  - Tuple relational calculus
  - Domain relational calculus
- Query languages
  - SQL



# Selection of tuples

■ Relation r

A	B	C	D
$\alpha$	$\alpha$	1	7
$\alpha$	$\beta$	5	7
$\beta$	$\beta$	12	3
$\beta$	$\beta$	23	10

■ Select tuples with A=B  
and D > 5

■  $\sigma_{A=B \text{ and } D > 5}(r)$

A	B	C	D
$\alpha$	$\alpha$	1	7
$\beta$	$\beta$	23	10

**Quiz Q1:**

$\sigma_{A \neq B \text{ OR } D < 7}(r)$  has (1) 1 tuple (2) 2 tuples (3) 3 tuples (4) 4 tuples



# Selection of Columns (Attributes)

- Relation  $r$ :

A	B	C
$\alpha$	10	1
$\alpha$	20	1
$\beta$	30	1
$\beta$	40	2

- Select A and C

- Projection

- $\pi_{A, C}(r)$

A	C
$\alpha$	1
$\alpha$	1
$\beta$	1
$\beta$	2

=

A	C
$\alpha$	1
$\beta$	1
$\beta$	2

## Quiz Q2:

The projection operation (1) removes duplicates (2) does not remove duplicates



# Joining two relations – Cartesian Product

- Relations  $r$ ,  $s$ :

$A$	$B$
$\alpha$	1
$\beta$	2

$r$

$C$	$D$	$E$
$\alpha$	10	a
$\beta$	10	a
$\beta$	20	b
$\gamma$	10	b

$s$

- $r \times s$ :

$A$	$B$	$C$	$D$	$E$
$\alpha$	1	$\alpha$	10	a
$\alpha$	1	$\beta$	10	a
$\alpha$	1	$\beta$	20	b
$\alpha$	1	$\gamma$	10	b
$\beta$	2	$\alpha$	10	a
$\beta$	2	$\beta$	10	a
$\beta$	2	$\beta$	20	b
$\beta$	2	$\gamma$	10	b



# Composition of Operations

- Can build expressions using multiple operations
- Example:  $\sigma_{A=C}(r \times s)$

- $r \times s$

A	B	C	D	E
$\alpha$	1	$\alpha$	10	a
$\alpha$	1	$\beta$	10	a
$\alpha$	1	$\beta$	20	b
$\alpha$	1	$\gamma$	10	b
$\beta$	2	$\alpha$	10	a
$\beta$	2	$\beta$	10	a
$\beta$	2	$\beta$	20	b
$\beta$	2	$\gamma$	10	b

- $\sigma_{A=C}(r \times s)$

A	B	C	D	E
$\alpha$	1	$\alpha$	10	a
$\beta$	2	$\beta$	10	a
$\beta$	2	$\beta$	20	b



# Union, Intersection and Set Difference

- Relations  $r, s$ :

$A$	$B$
$\alpha$	1
$\alpha$	2
$\beta$	1

$r$

$A$	$B$
$\alpha$	2
$\beta$	3

$s$

- Union:  
 $r \cup s$

$A$	$B$
$\alpha$	1
$\alpha$	2
$\beta$	1
$\beta$	3

- Intersection  
 $r \cap s$ :

$A$	$B$
$\alpha$	2

- Set Difference  
 $r - s$ :

$A$	$B$
$\alpha$	1
$\beta$	1



# Natural Join Example

- Relations  $r$ ,  $s$ :

$A$	$B$	$C$	$D$
$\alpha$	1	$\alpha$	a
$\beta$	2	$\gamma$	a
$\gamma$	4	$\beta$	b
$\alpha$	1	$\gamma$	a
$\delta$	2	$\beta$	b

$r$

$B$	$D$	$E$
1	a	$\alpha$
3	a	$\beta$
1	a	$\gamma$
2	b	$\delta$
3	b	$\epsilon$

$s$

- Natural Join

- $r \bowtie s$

$A$	$B$	$C$	$D$	$E$
$\alpha$	1	$\alpha$	a	$\alpha$
$\alpha$	1	$\alpha$	a	$\gamma$
$\alpha$	1	$\gamma$	a	$\alpha$
$\alpha$	1	$\gamma$	a	$\gamma$
$\delta$	2	$\beta$	b	$\delta$

**Quiz Q3: The natural join operation matches tuples (rows) whose values for common attributes are (1) not equal (2) equal (3) weird Greek letters (4) null**



# Joining two relations – Natural Join

- Let  $r$  and  $s$  be relations on schemas  $R$  and  $S$  respectively. Then, the “natural join” of relations  $R$  and  $S$  is a relation on schema  $R \cup S$  obtained as follows:
  - Consider each pair of tuples  $t_r$  from  $r$  and  $t_s$  from  $s$ .
  - If  $t_r$  and  $t_s$  have the same value on each of the attributes in  $R \cap S$ , add a tuple  $t$  to the result, where
    - ▶  $t$  has the same value as  $t_r$  on  $r$
    - ▶  $t$  has the same value as  $t_s$  on  $s$

A	B	C	D
$\alpha$	1	$\alpha$	a
$\beta$	2	$\gamma$	a

$r$

B	D	E
1	a	$\alpha$
3	a	$\beta$

$s$

A	B	C	D	E
$\alpha$	1	$\alpha$	a	$\alpha$
$\alpha$	1	$\alpha$	a	$\gamma$





# Aggregate Functions and Operations

- **Aggregation function** takes a collection of values and returns a single value as a result.

**avg:** average value

**min:** minimum value

**max:** maximum value

**sum:** sum of values

**count:** number of values

- **Aggregate operation** in relational algebra

$$G_1, G_2, \dots, G_n \mathcal{G} F_1(A_1), F_2(A_2), \dots, F_n(A_n)(E)$$

$E$  is any relational-algebra expression

- $G_1, G_2, \dots, G_n$  is a list of attributes on which to group (can be empty)
- Each  $F_i$  is an aggregate function
- Each  $A_i$  is an attribute name

- Note: Some books/articles use  $\gamma$  (gamma) instead of  $\mathcal{G}$  (Calligraphic G)



# Aggregate Operation – Example

- Relation  $r$ :

$A$	$B$	$C$
$\alpha$	$\alpha$	7
$\alpha$	$\beta$	7
$\beta$	$\beta$	3
$\beta$	$\beta$	10

- $G_{\text{sum}(c)}(r)$

<b>sum(c)</b>
27



# Aggregate Operation – Example

- Find the average salary in each department

*dept\_name*  $\mathcal{G}_{avg}(salary)$  (*instructor*)

<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>
76766	Crick	Biology	72000
45565	Katz	Comp. Sci.	75000
10101	Srinivasan	Comp. Sci.	65000
83821	Brandt	Comp. Sci.	92000
98345	Kim	Elec. Eng.	80000
12121	Wu	Finance	90000
76543	Singh	Finance	80000
32343	El Said	History	60000
58583	Califieri	History	62000
15151	Mozart	Music	40000
33456	Gold	Physics	87000
22222	Einstein	Physics	95000

<i>dept_name</i>	<i>avg_salary</i>
Biology	72000
Comp. Sci.	77333
Elec. Eng.	80000
Finance	85000
History	61000
Music	40000
Physics	91000



# Aggregate Functions (Cont.)

- Result of aggregation does not have a name
  - Can use rename operation to give it a name
  - For convenience, we permit renaming as part of aggregate operation

*dept\_name* **G***avg*(salary) **as** *avg\_sal* (*instructor*)



# End of Chapter 2

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# Division Operator

- Given relations  $r(R)$  and  $s(S)$ , such that  $S \subset R$ ,  $r \div s$  is the largest relation  $t(R-S)$  such that
$$t \times s \subseteq r$$
- E.g. let  $r(ID, course\_id) = \Pi_{ID, course\_id}(takes)$  and
$$s(course\_id) = \Pi_{course\_id}(\sigma_{dept\_name="Biology"}(course))$$
then  $r \div s$  gives us students who have taken all courses in the Biology department
- Can write  $r \div s$  as

$$temp1 \leftarrow \Pi_{R-S}(r)$$

$$temp2 \leftarrow \Pi_{R-S}((temp1 \times s) - \Pi_{R-S,S}(r))$$

$$result = temp1 - temp2$$

- The result to the right of the  $\leftarrow$  is assigned to the relation variable on the left of the  $\leftarrow$ .
- May use variable in subsequent expressions.



# Relation Schema and Instance

- $A_1, A_2, \dots, A_n$  are *attributes*
- $R = (A_1, A_2, \dots, A_n)$  is a *relation schema*

Example:

*instructor* = (*ID*, *name*, *dept\_name*, *salary*)

- Formally, given sets  $D_1, D_2, \dots, D_n$  a **relation**  $r$  is a subset of  
 $D_1 \times D_2 \times \dots \times D_n$

Thus, a relation is a set of  $n$ -tuples  $(a_1, a_2, \dots, a_n)$  where each  $a_i \in D_i$

- The current values (**relation instance**) of a relation are specified by a table
- An element  $t$  of  $r$  is a *tuple*, represented by a *row* in a table