

## Lecture 2: Divide&Conquer Paradigm, Merge sort and Quicksort

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# Outline

- 1 Divide and Conquer
- 2 Merge sort
- 3 Quick sort

- **URL:** `http://m.socrative.com/`
- **Room Name:** **4f2bb99e**

# Divide And Conquer Paradigm

- D&C is a popular algorithmic technique
- Lots of applications
- Consists of three steps:
  - 1 **Divide** the problem into a number of sub-problems
  - 2 **Conquer** the sub-problems by solving them *recursively*
  - 3 **Combine** the solutions to sub-problems into solution for original problem

# Divide And Conquer Paradigm

## When can you use it?

- The sub-problems are easier to solve than original problem
- The number of sub-problems is **small**
- Solution to original problem can be obtained easily, once the sub-problems are solved

# Recursion and Recurrences

- Typically, D&C algorithms use **recursion** as it makes coding simpler
- Non-recursive variants can be designed, but are often slower
- If all sub-problems are of equal size, can be analyzed by the recurrence equation

$$T(n) = aT\left(\frac{n}{b}\right) + D(n) + C(n)$$

- $a$ : number of sub-problems to solve
- $b$ : how fast the problem size shrinks
- $D(n)$ : time complexity for the divide step
- $C(n)$ : time complexity for the combine step

## How to use D&C in Sorting?

- Partition the array into sub-groups
- Sort each sub-group recursively
- Combine sorted sub-groups if needed

# Why study Merge Sort?

- One of the simplest and efficient sorting algorithms
- Time complexity is  $\Theta(n \log n)$  (vast improvement over Bubble, Selection and Insertion sorts)
- Transparent application of D&C paradigm
- Good showcase for time complexity analysis



## High Level Idea :

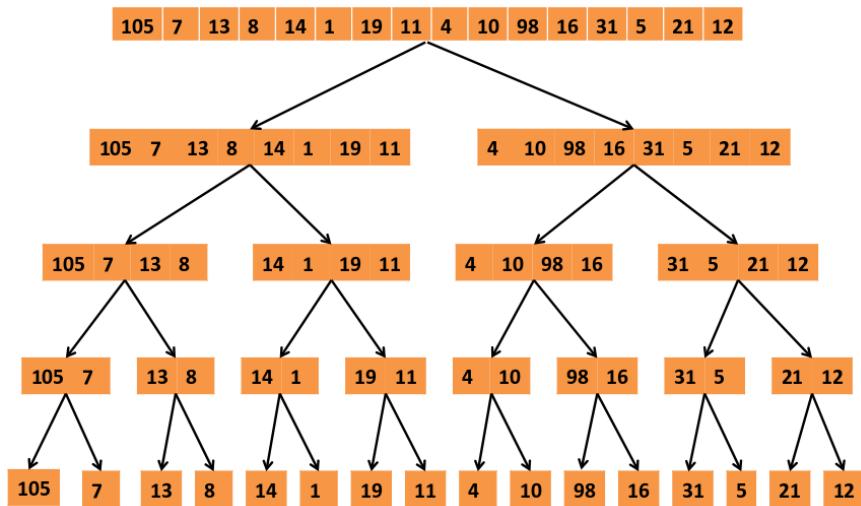
- Divide the array into two **equal** partitions - left and right (ignore edge cases for now)
- Sort left partition recursively
- Sort right partition recursively
- Merge the two sorted partitions into the output array

# Merge Sort Pseudocode

## Pseudocode:

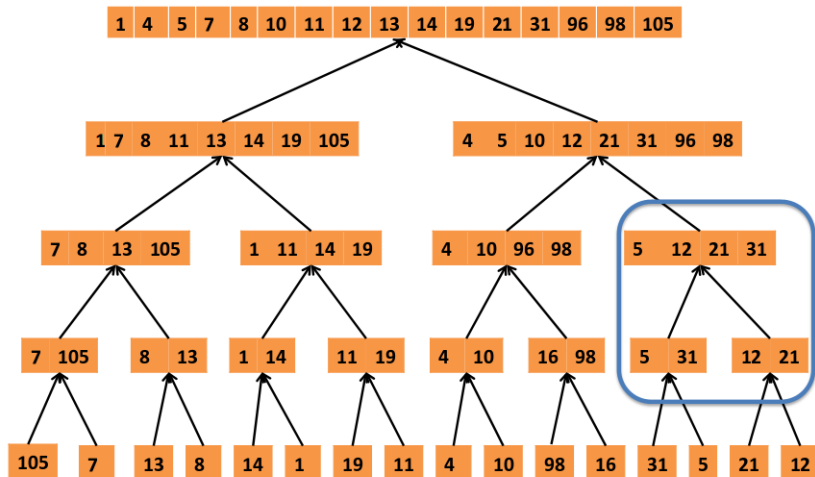
```
MergeSort(A, p, r):  
    if p < r:  
        q = (p+r)/2  
        Mergesort(A, p , q)  
        Mergesort(A, q+1, r)  
        Merge(A, p, q, r)
```

# Merge Sort - Divide<sup>1</sup>



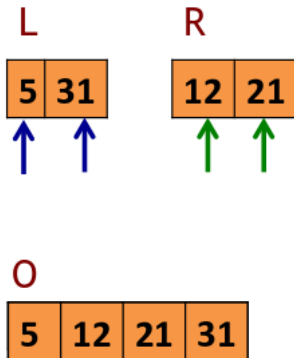
<sup>1</sup>[http://web.stanford.edu/class/cs161/slides/0623\\_mergesort.pdf](http://web.stanford.edu/class/cs161/slides/0623_mergesort.pdf)

# Merge Sort - Combine<sup>2</sup>



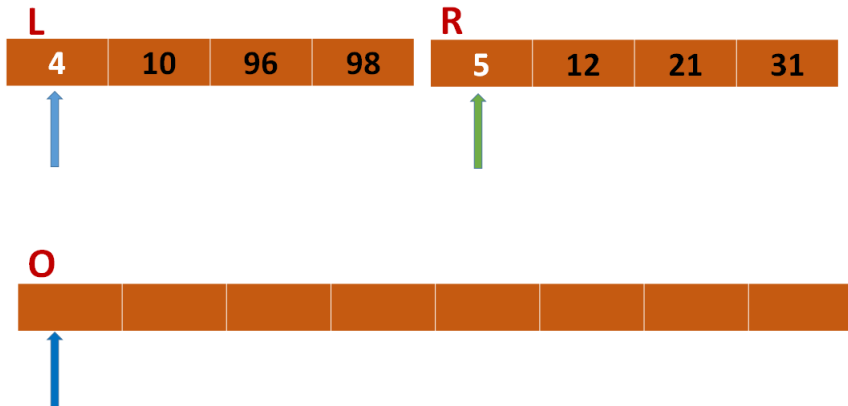
<sup>2</sup>[http://web.stanford.edu/class/cs161/slides/0623\\_mergesort.pdf](http://web.stanford.edu/class/cs161/slides/0623_mergesort.pdf)

# Merging Two Sorted Lists<sup>3</sup>

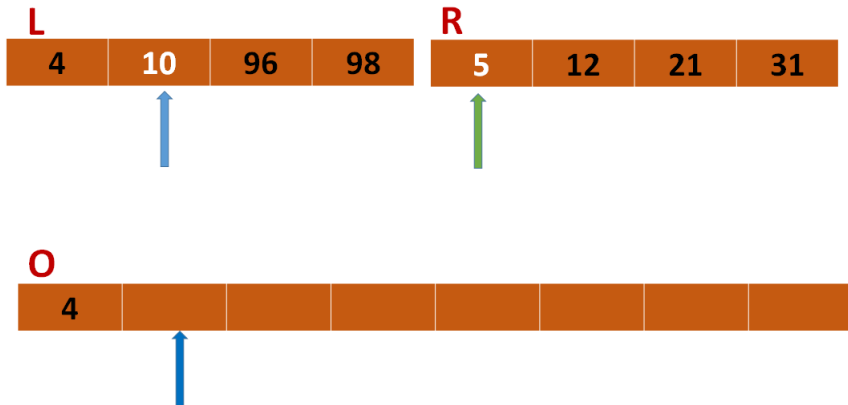


<sup>3</sup>[http://web.stanford.edu/class/cs161/slides/0623\\_mergesort.pdf](http://web.stanford.edu/class/cs161/slides/0623_mergesort.pdf)

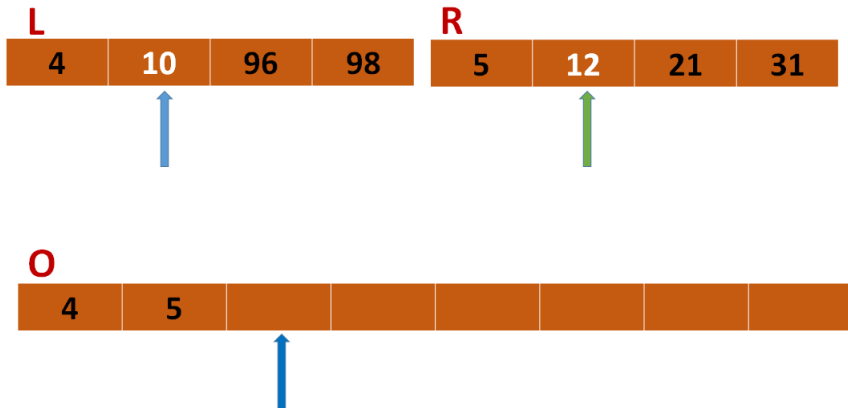
# Merging Two Sorted Lists



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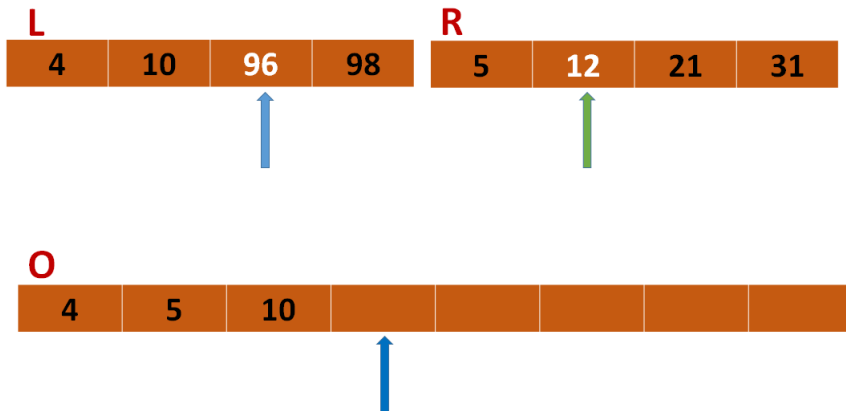


# Merging Two Sorted Lists

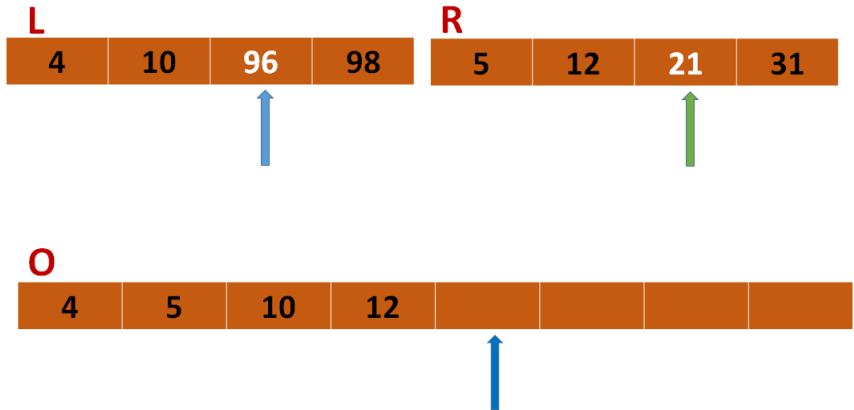




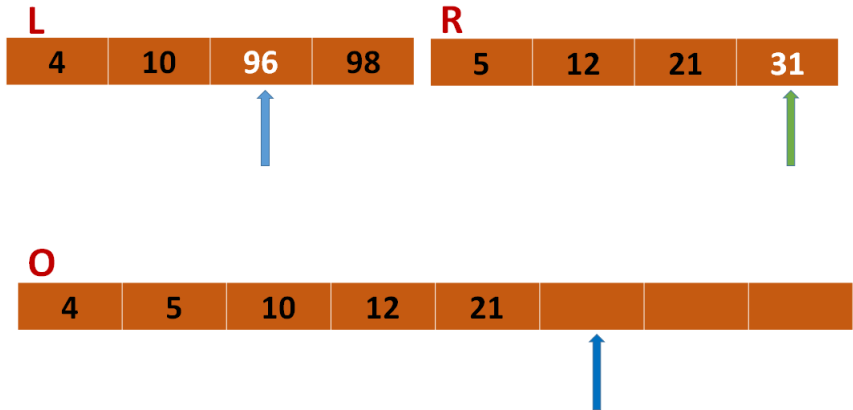
# Merging Two Sorted Lists



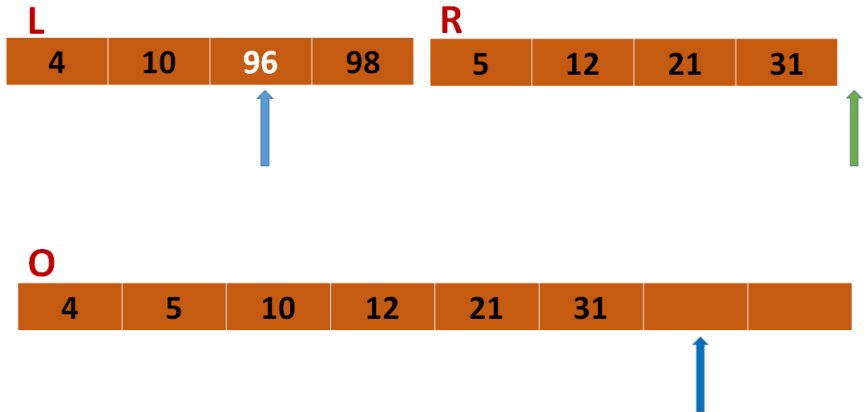
# Merging Two Sorted Lists



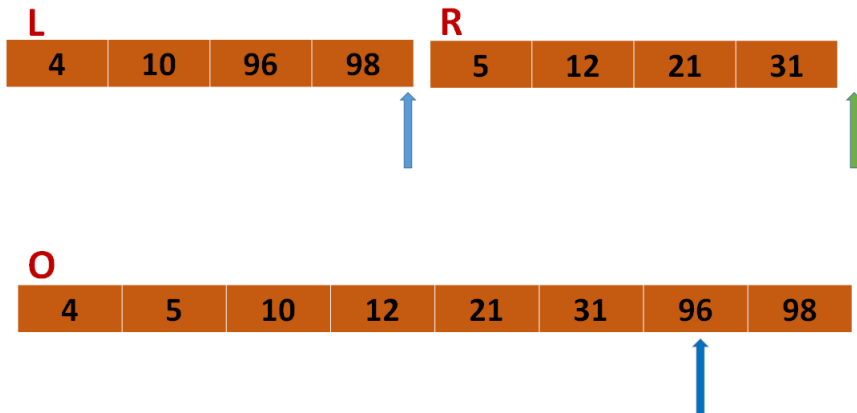
# Merging Two Sorted Lists



# Merging Two Sorted Lists



# Merging Two Sorted Lists



# Merging Two Sorted Lists

## Merge Pseudocode:

```
Merge(A,B,C):  
    i = j = 1  
    for k = 1 to n:  
        if A[i] < B[j]:  
            C[k] = A[i]  
            i = i + 1  
        else: (A[i] > B[j])  
            C[k] = B[j]  
            j = j + 1
```

# Analyzing Merge Sort: Master Method

## Quiz!

- General recurrence formula for D&C is
$$T(n) = aT\left(\frac{n}{b}\right) + D(n) + C(n)$$
- What is  $a$ ?
- What is  $b$ ?
- What is  $D(n)$ ?
- What is  $C(n)$ ?

# Analyzing Merge Sort: Master Method

## Quiz!

- General recurrence formula for D&C is

$$T(n) = aT\left(\frac{n}{b}\right) + D(n) + C(n)$$

- $a = 2, b = 2$
- $D(n) = O(1)$
- $C(n) = O(n)$
- Combining, we get:

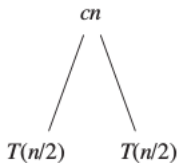
$$T(n) = 2T\left(\frac{n}{2}\right) + O(n)$$

- Using Master method, we get  $T(n) = O(n \log n)$
- If you are picky,  $T(n) = T(\lceil \frac{n}{2} \rceil) + T(\lfloor \frac{n}{2} \rfloor) + O(n)$



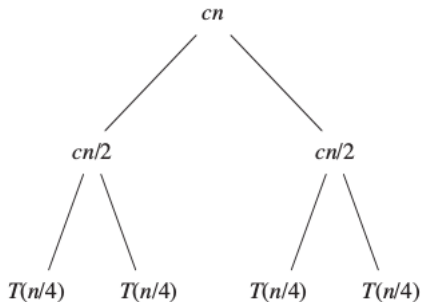
# Analyzing Merge Sort: Recursion Tree<sup>4</sup>

$T(n)$



(a)

(b)

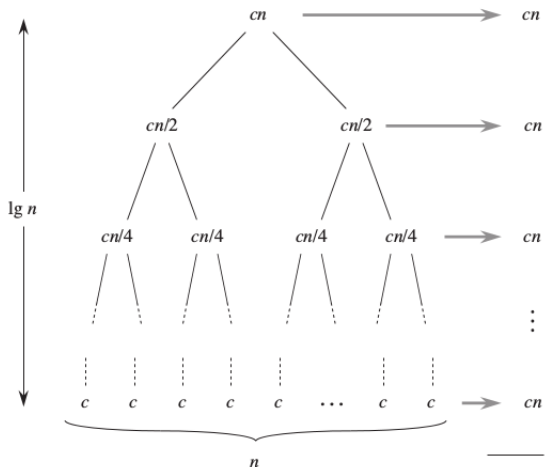


(c)

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<sup>4</sup>CLRS Book

# Analyzing Merge Sort: Recursion Tree<sup>5</sup>



(d)

Total:  $cn \lg n + cn$

# Merge Sort Vs Insertion Sort

- Merge Sort is very fast in general ( $O(n \log n)$ ) than Insertion sort ( $O(n^2)$ )
- For “nearly” sorted arrays, Insertion sort is faster
- Merge sort has  $\Theta(n \log n)$  (i.e. both best and worst case complexity is  $n \log n$ )
- Overhead: recursive calls and extra space for copying
- Insertion sort is in-place and adaptive
- Merge sort is easily parallizable