# Generative Adversarial Imitation Learning

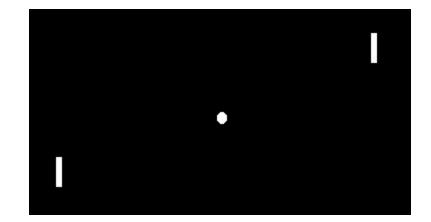
#### Stefano Ermon

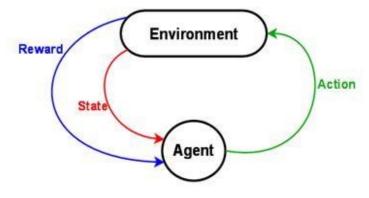
Joint work with Jayesh Gupta, Jonathan Ho, Yunzhu Li, Hongyu Ren, and Jiaming Song

**Stanford University** 

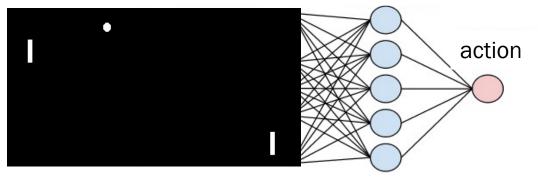
# Reinforcement Learning

- Goal: Learn policies
- High-dimensional, raw observations









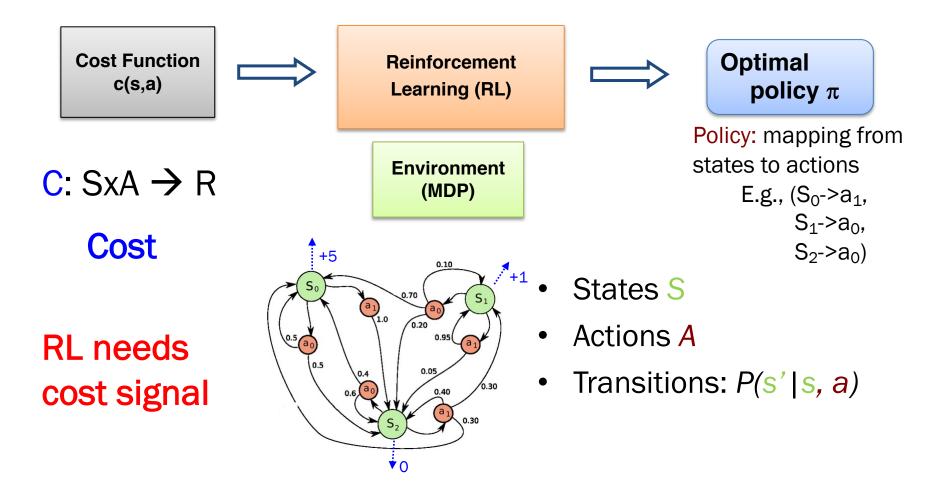
# Reinforcement Learning

MDP: Model for (stochastic) sequential decision making problems

- States S
- Actions A
- Cost function (immediate): C: SxA → R
- Transition Probabilities: P(s'|s,a)
- Policy: mapping from states to actions
  - E.g.,  $(S_0->a_1, S_1->a_0, S_2->a_0)$
- Reinforcement learning: minimize total (expected, discounted) cost

# Reinforcement Learning

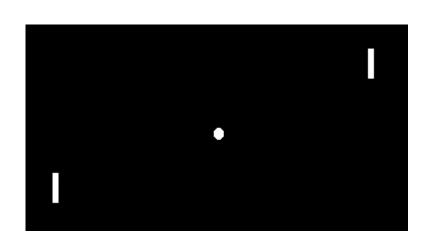
$$RL(c) = \underset{\pi \in \Pi}{\operatorname{arg\,min}} -H(\pi) + \mathbb{E}_{\pi}[c(s, a)]$$



#### **Imitation**

Input: expert behavior generated by  $\pi_F$ 

$$\{(s_0^i, a_0^i, s_1^i, a_1^i, \dots)\}_{i=1}^n \sim \pi_E$$

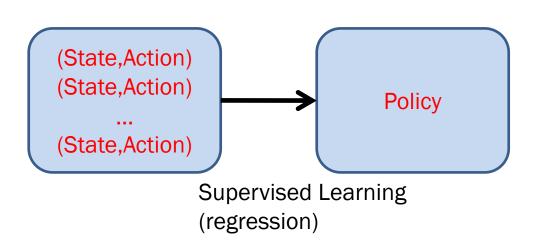




#### Goal: learn cost function (reward) or policy

(Ng and Russell, 2000), (Abbeel and Ng, 2004; Syed and Schapire, 2007), (Ratliff et al., 2006), (Ziebart et al., 2008), (Kolter et al., 2008), (Finn et al., 2016), etc.

# **Behavioral Cloning**





- Small errors compound over time (cascading errors)
- Decisions are purposeful (require planning)

#### Inverse RL

- An approach to imitation
- Learns a cost c such that

$$\pi_E = \underset{\pi \in \Pi}{\arg \min} \ \mathbb{E}_{\pi}[c(s, a)]$$

### Problem setup

$$RL(c) = \underset{\pi \in \Pi}{\operatorname{arg\,min}} -H(\pi) + \mathbb{E}_{\pi}[c(s, a)]$$

Cost Function c(s)



Reinforcement Learning (RL)



Optimal policy  $\pi$ 

Environment (MDP)

Cost Function c(s)



Inverse Reinforcement Learning (IRL)



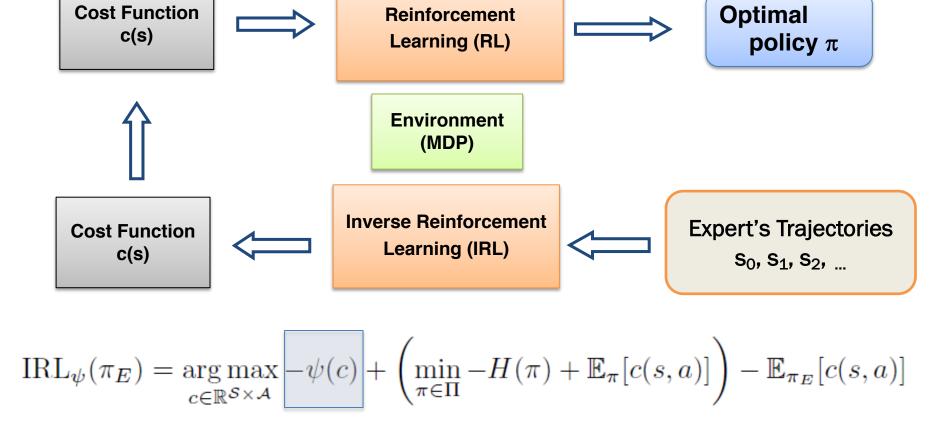
Expert's Trajectories  $s_0, s_1, s_2, ...$ 

$$\underset{c \in \mathcal{C}}{\operatorname{maximize}} \left( \underset{\pi \in \Pi}{\min} - H(\pi) + \mathbb{E}_{\pi}[c(s, a)] \right) - \mathbb{E}_{\pi_E}[c(s, a)]$$

(Ziebart et al., 2010; Rust 1987) Everything else has high cost

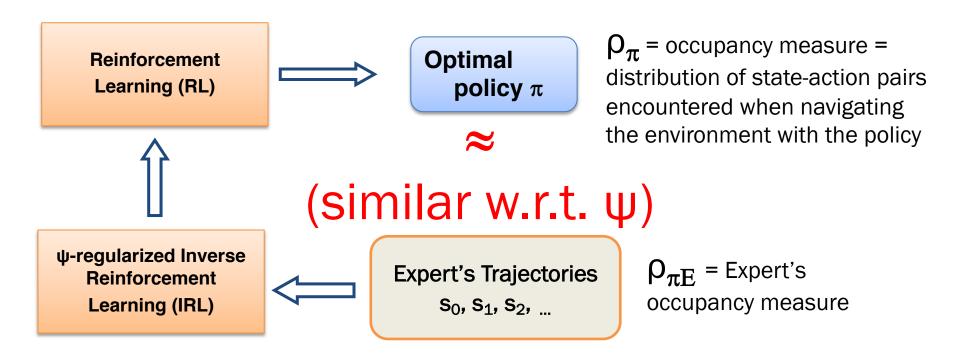
Expert has small cost

### Problem setup



Convex cost regularizer

# Combining RL•IRL



Theorem:  $\psi$ -regularized inverse reinforcement learning, implicitly, seeks a policy whose occupancy measure is close to the expert's, as measured by  $\psi^*$  (convex conjugate of  $\psi$ )

$$RL \circ IRL_{\psi}(\pi_E) = \arg\min_{\pi \in \Pi} -H(\pi) + \psi^*(\rho_{\pi} - \rho_{\pi_E})$$

# **Takeaway**

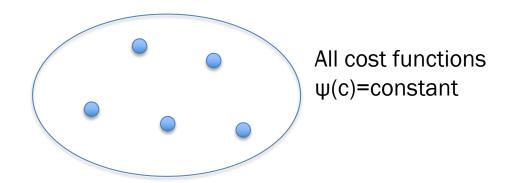
Theorem:  $\psi$ -regularized inverse reinforcement learning, implicitly, seeks a policy whose occupancy measure is close to the expert's, as measured by  $\psi^*$ 

- Typical IRL definition: finding a cost function c such that the expert policy is uniquely optimal w.r.t. c
- Alternative view: IRL as a procedure that tries to induce a policy that matches the expert's occupancy measure (generative model)

# Special cases

$$RL \circ IRL_{\psi}(\pi_E) = \arg\min_{\pi \in \Pi} -H(\pi) + \psi^*(\rho_{\pi} - \rho_{\pi_E})$$

- If  $\psi(c)$ =constant, then  $\rho_{\tilde{\pi}} = \rho_{\pi_E}$ 
  - Not a useful algorithm. In practice, we only have sampled trajectories
- Overfitting: Too much flexibility in choosing the cost function (and the policy)

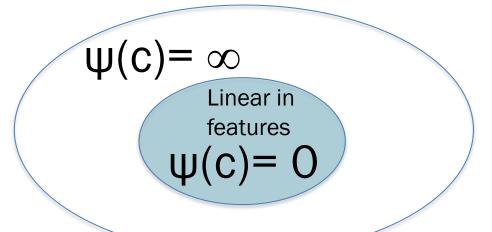


# **Towards Apprenticeship learning**

- Solution: use features f<sub>s,a</sub>
- Cost c(s,a) =  $\theta \cdot f_{s,a}$

$$\operatorname{IRL}_{\psi}(\pi_E) = \underset{c \in \mathbb{R}^{\mathcal{S} \times \mathcal{A}}}{\operatorname{arg\,max}} - \psi(c) + \left( \underset{\pi \in \Pi}{\min} - H(\pi) + \mathbb{E}_{\pi}[c(s, a)] \right) - \mathbb{E}_{\pi_E}[c(s, a)]$$

Only these "simple" cost functions are allowed



All cost functions

# Apprenticeship learning

For that choice of ψ, RL<sub>Φ</sub>IRL<sub>ψ</sub> framework gives apprenticeship learning

$$RL \circ IRL_{\psi}(\pi_E) = \arg\min_{\pi \in \Pi} -H(\pi) + \psi^*(\rho_{\pi} - \rho_{\pi_E})$$

- Apprenticeship learning: find  $\pi$  performing better than  $\pi_E$  over costs linear in the features
  - Abbeel and Ng (2004)
  - Syed and Schapire (2007)

# Apprenticeship learning

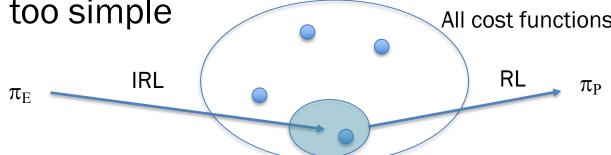
- Given  $\{(s_0^i, a_0^i, s_1^i, a_1^i, \dots)\}_{i=1}^n \sim \pi_E$
- Goal: find  $\pi$  performing better than  $\pi_E$  over a class of costs

demonstrations

### **Issues with Apprenticeship learning**

- Need to craft features very carefully
  - unless the true expert cost function (assuming it exists) lies in C, there is no guarantee that AL will recover the expert policy
- RL $_{\Psi}(\pi_{E})$  is "encoding" the expert behavior as a cost function in C.
  - it might not be possible to decode it back if C is too simple

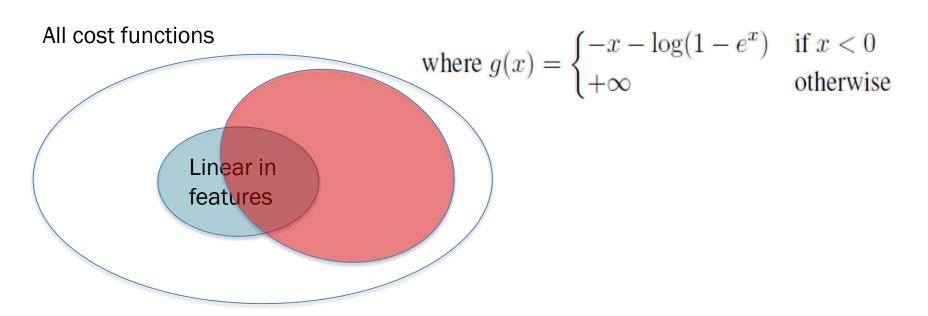
    All cost functions



# Generative Adversarial Imitation Learning

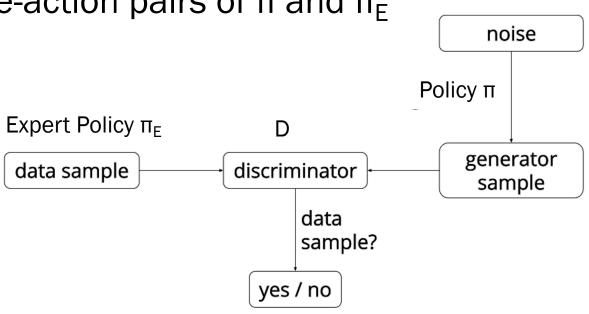
Solution: use a more expressive class of cost functions

$$\psi_{\text{GA}}(c) \triangleq \begin{cases} \mathbb{E}_{\pi_E}[g(c(s, a))] & \text{if } c < 0 \\ +\infty & \text{otherwise} \end{cases}$$



# Generative Adversarial Imitation Learning

•  $\psi^*$  = optimal negative log-loss of the binary classification problem of distinguishing between state-action pairs of  $\pi$  and  $\pi_{\text{F}}$ 



$$\psi_{\mathsf{GA}}^*(\rho_{\pi} - \rho_{\pi_E}) = \sup_{D \in (0,1)^{\mathcal{S} \times \mathcal{A}}} \mathbb{E}_{\pi}[\log(D(s,a))] + \mathbb{E}_{\pi_E}[\log(1 - D(s,a))]$$

#### **Generative Adversarial Networks**

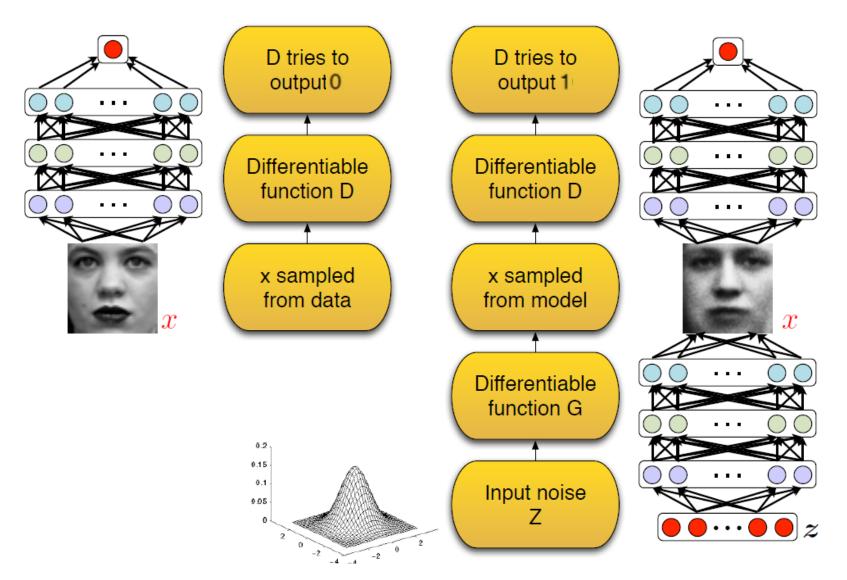
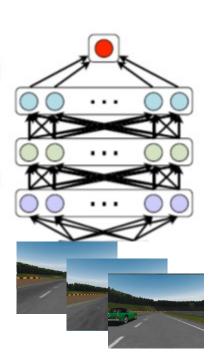
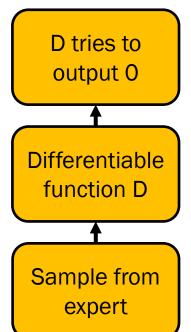


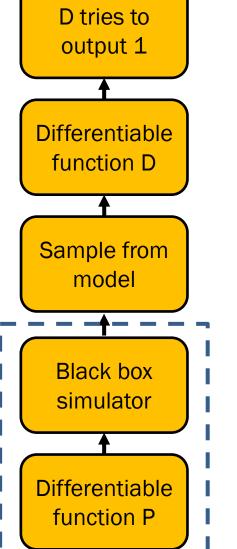
Figure from Goodfellow et al, 2014

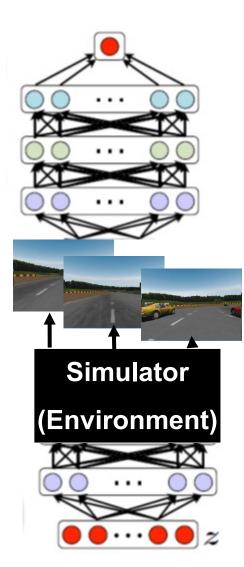
### **GAIL**





D tries to output 1 Differentiable function D Sample from model Black box simulator Differentiable function P





Generator G

# How to optimize the objective

- Previous Apprenticeship learning work:
  - Full dynamics model
  - Small environment
  - Repeated RL
- We propose: gradient descent over policy parameters (and discriminator)

J. Ho, J. K. Gupta, and S. Ermon. Model-free imitation learning with policy optimization. ICML 2016.

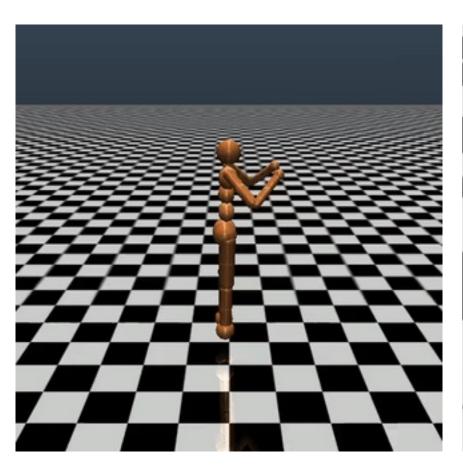
## **Properties**

- Inherits pros of policy gradient
  - Convergence to local minima
  - Can be model free
- Inherits cons of policy gradient
  - High variance
  - Small steps required

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- Inherits pros of policy gradient
  - Convergence to local minima
  - Can be model free
- Inherits cons of policy gradient
  - High variance
  - Small steps required
- Solution: trust region policy optimization

# **Results**





#### Results

Input: driving demonstrations (Torcs)

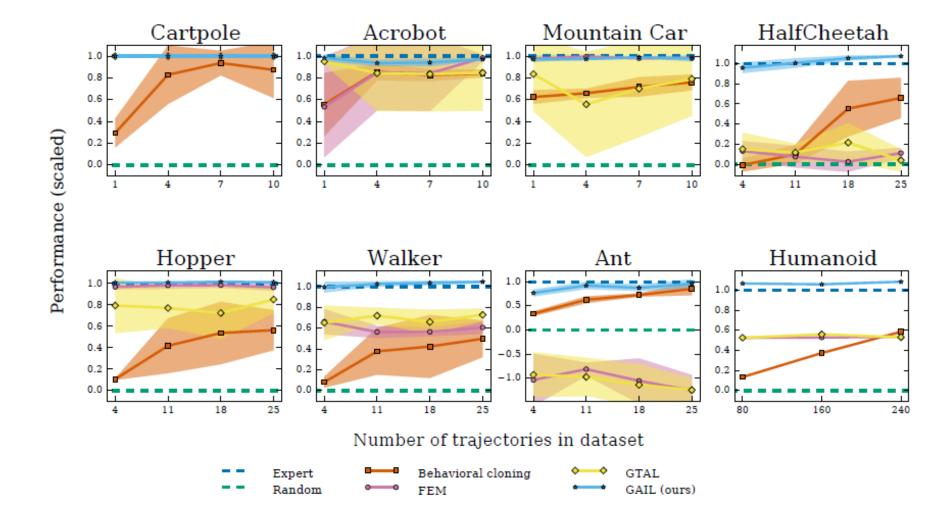
Output policy:



From raw visual inputs

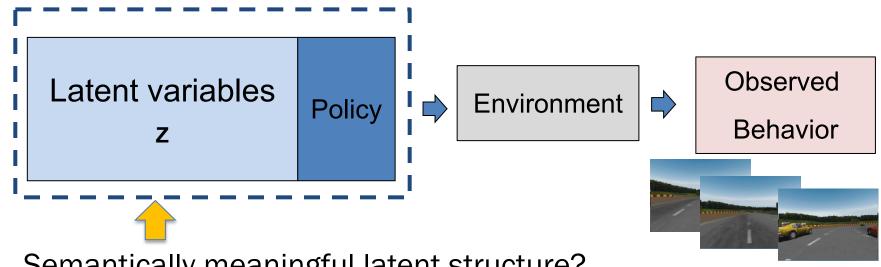
Li et al, 2017. InfoGAIL: Interpretable Imitation Learning from Visual Demonstrations

# **Experimental results**



#### Latent structure in demonstrations

#### Human model



Semantically meaningful latent structure?



### InfoGAIL

Latent structure

Add **Smiling** 



Add **Eyeglass** 

Remove **Eyeglass** 

Infer

Observed data





Hou el al.

Latent variables

Ζ

Policy

**Environment** 



Maximize mutual information

Observed

**Behavior** 





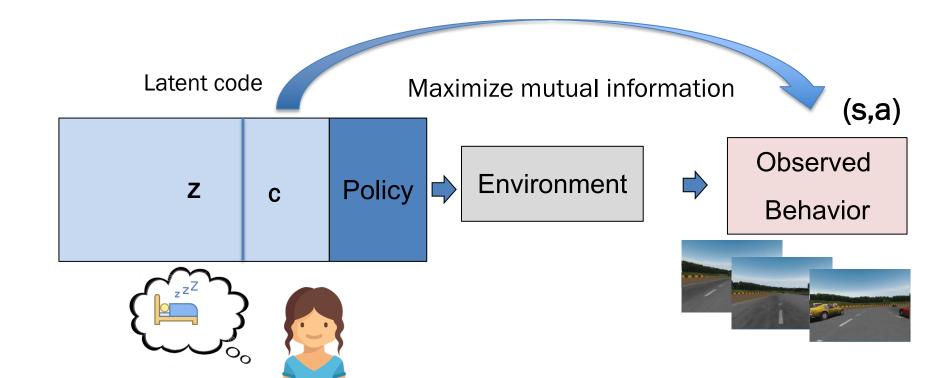




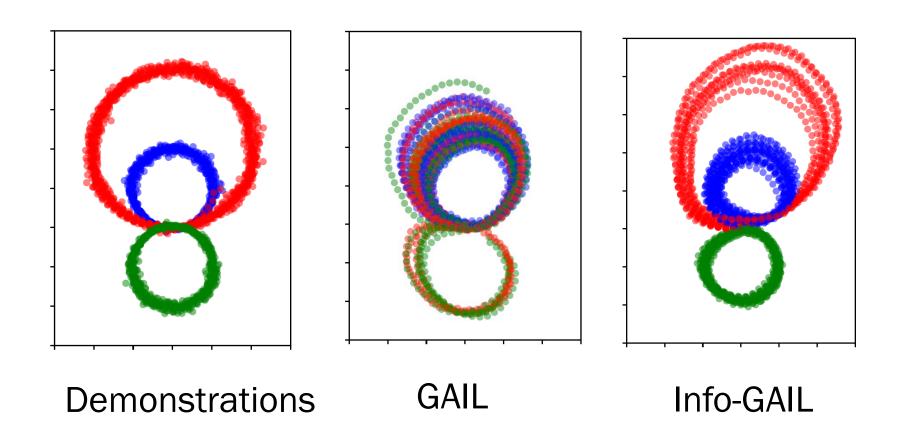
### InfoGAIL

$$L_I(\pi_{\theta}, Q_{\psi}) = \mathbb{E}_{c \sim p(c), a \sim \pi_{\theta}(\cdot | s, c)} [\log Q_{\psi}(c | s, a)] + H(c)$$

$$\leq I(c; s, a)$$

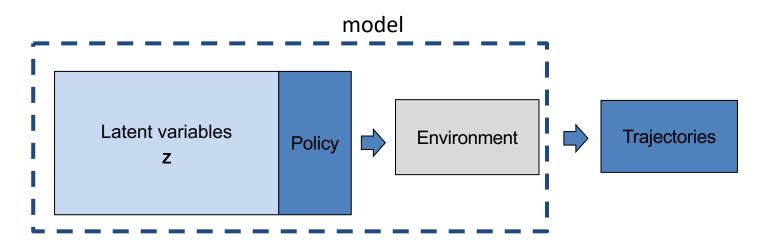


# **Synthetic Experiment**



Li et al, 2017. InfoGAIL: Interpretable Imitation Learning from Visual Demonstrations

### **InfoGAIL**



Pass left (z=0)

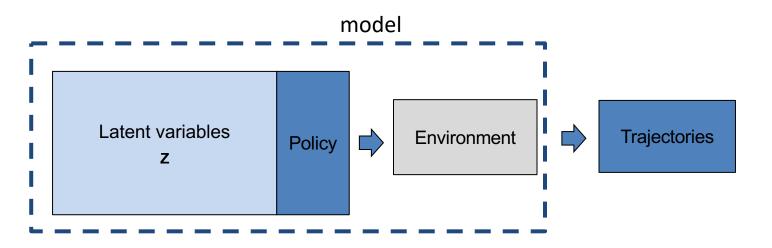


Pass right (z=1)



Li et al, 2017. InfoGAIL: Interpretable Imitation Learning from Visual Demonstrations

### **InfoGAIL**



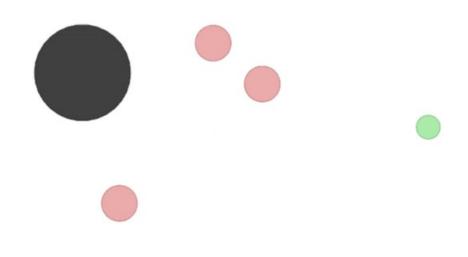
Turn inside (z=0)



Turn outside (z=1)



### Multi-agent environments



What are the goals of these 4 agents?

# **Problem setup**

Cost Functions
c<sub>1</sub>(s,a<sub>1</sub>)
..
c<sub>N</sub>(s,a<sub>N</sub>)



MA Reinforcement Learning (MARL)

**Environment** (Markov Game)



. . .

Optimal policies πK

	R	L
R	0,0	10,10
L	10,10	0,0

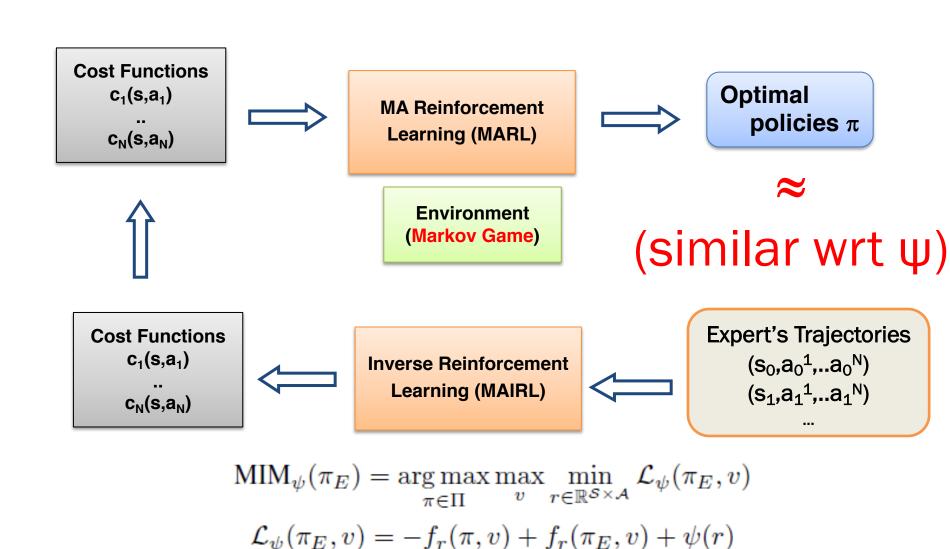


DRIVE ON LEFT



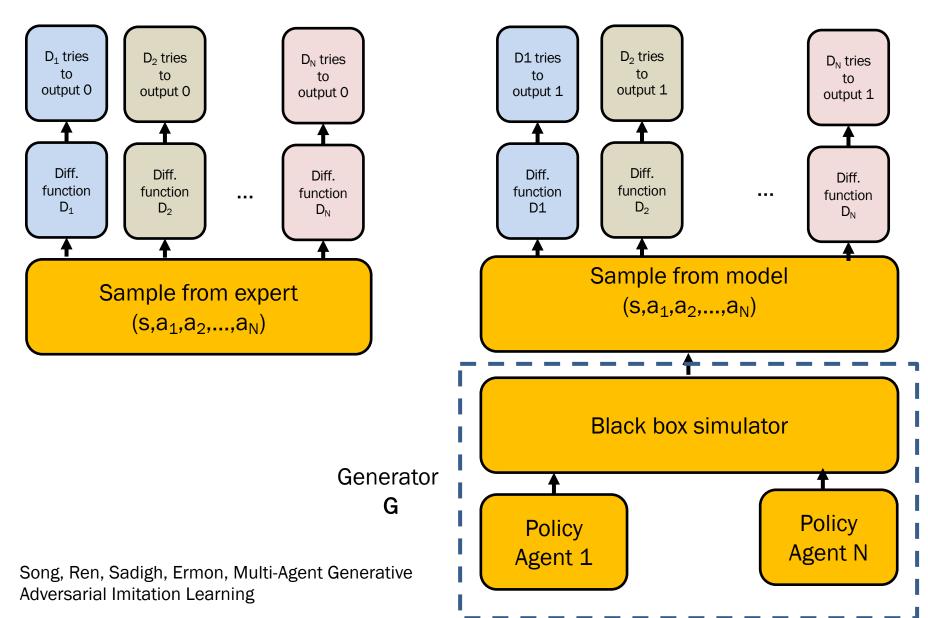
DRIVE ON RIGHT

### Problem setup

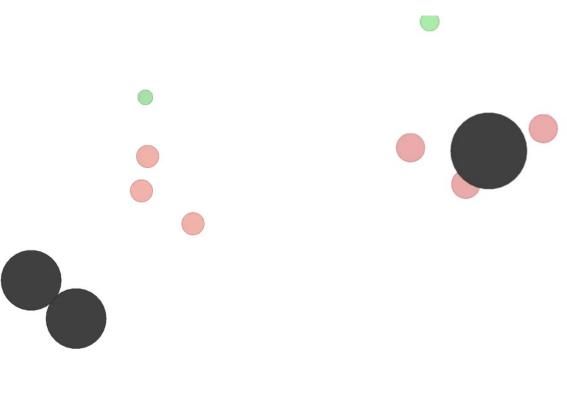


 $r \in MAIRL(\pi_E)$ 

### **MAGAIL**



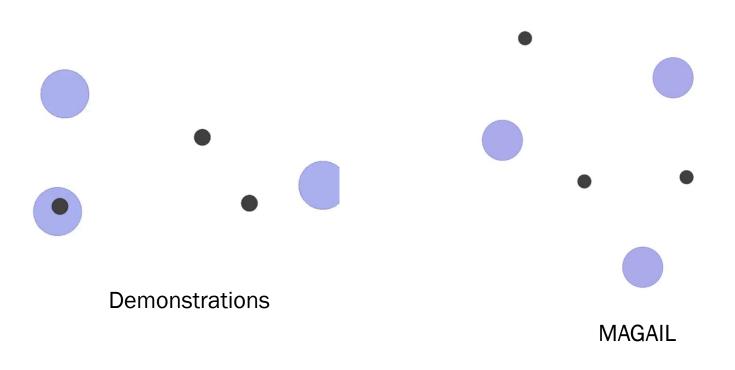
### **Environments**



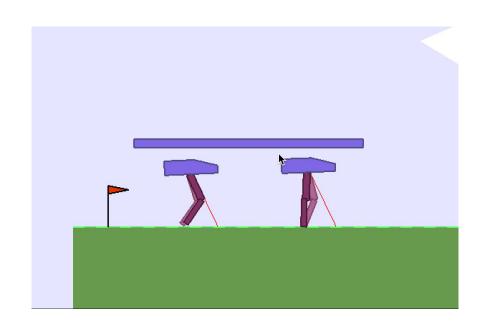
**Demonstrations** 

**MAGAIL** 

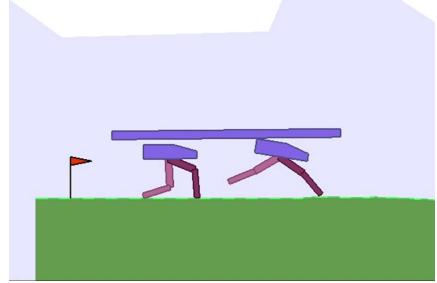
### **Environments**



# Suboptimal demos







**MAGAIL** 

lighter plank + bumps on ground

#### **Conclusions**

- IRL is a dual of an occupancy measure matching problem (generative modeling)
- Might need flexible cost functions
  - GAN style approach
- Policy gradient approach
  - Scales to high dimensional settings
- Towards unsupervised learning of latent structure from demonstrations