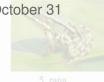
S&DS 355 / 555 **Introductory Machine Learning**

Word Embeddings











For Today

- Quiz √
- Class-based LMs (redux)
- Embeddings
- Embedding embeddings
- Next: Neural language models

Model takes form

$$p(w_2 | w_1) = p(class(w_2) | class(w_1)) p(w_2 | class(w_2))$$

= $p(c_2 | c_1) p(w_2 | c_2)$

Model takes form

$$p(w_2 | w_1) = p(class(w_2) | class(w_1)) p(w_2 | class(w_2))$$

= $p(c_2 | c_1) p(w_2 | c_2)$

Use bottom-up agglomerative clustering to group the words.

Brown et al., "Class-based *n*-gram models of natural language"

Model takes form

$$p(w_2 | w_1) = p(class(w_2) | class(w_1)) p(w_2 | class(w_2))$$

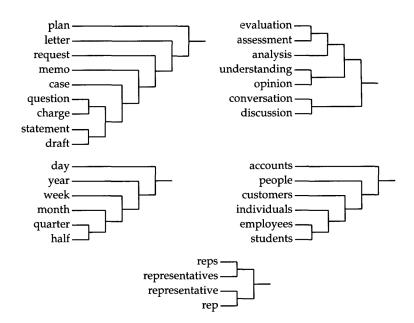
= $p(c_2 | c_1) p(w_2 | c_2)$

- Use bottom-up agglomerative clustering to group the words.
- In each step, merge the pair of classes that gives the smallest reduction in likelihood of the data. (The MLE bigram model has the greatest likelihood.)

Brown et al., "Class-based n-gram models of natural language"

3

Sample merges



4

Sample clusters

Friday Monday Thursday Wednesday Tuesday Saturday Sunday weekends Sundays Saturdays June March July April January December October November September August people guys folks fellows CEOs chaps doubters commies unfortunates blokes down backwards ashore sideways southward northward overboard aloft downwards adrift water gas coal liquid acid sand carbon steam shale iron great big vast sudden mere sheer gigantic lifelong scant colossal man woman boy girl lawyer doctor guy farmer teacher citizen

American Indian European Japanese German African Catholic Israeli Italian Arab pressure temperature permeability density porosity stress velocity viscosity gravity tension mother wife father son husband brother daughter sister boss uncle

machine device controller processor CPU printer spindle subsystem compiler plotter

John George James Bob Robert Paul William Jim David Mike

anyone someone anybody somebody

feet miles pounds degrees inches barrels tons acres meters bytes

 $\label{thm:commander} \ director\ chief\ professor\ commissioner\ commander\ treasurer\ founder\ superintendent\ dean\ custodian$

liberal conservative parliamentary royal progressive Tory provisional separatist federalist PQ had hadn't hath would've could've should've must've might've

asking telling wondering instructing informing kidding reminding bothering thanking deposing that tha theat

head body hands eyes voice arm seat eye hair mouth

Group globally, compute locally

- Clusters contain syntactic and semantic elements
- Surprising, since use local statistics only
- "A word is known by the company it keeps"
- Two words are similar if they appear with similar words

Perplexity

Perplexity is a simple transformation of the likelihood:

Perplexity(
$$\theta$$
) = $\left(\prod_{i=1}^{N} p_{\theta}(w_i \mid w_{1:i-1})\right)^{-1/N}$

Inverse of geometric mean.

If perplexity is 100, then the model predicts, on average, as if there were 100 equally likely words to follow.

7

- Clusters contain a lot of information
- Each word in a single class; partition of vocabulary
- Number of parameters: $O(C^2 + C \cdot V)$ where C is number of classes.
- Reduces size of model with relatively small increase in perplexity, $244 \mapsto 271$.

Pointwise mutual information (PMI)

Average mutual information

$$I(W_1, W_2) = \sum_{w_1, w_2} p(w_1, w_2) \log \frac{p(w_1, w_2)}{p(w_1)p(w_2)}$$

Pointwise mutual information (PMI)

$$\log\left(\frac{p_{\mathsf{near}}(w_1,w_2)}{p(w_1)p(w_2)}\right)$$

 How likely are specific words/clusters to co-occur together within some window, compared to if they were independent?

ć

Example clusters from PMI

we our us ourselves ours question questions asking answer answers answering performance performed perform performs performing tie jacket suit write writes writing written wrote pen morning noon evening night nights midnight bed attorney counsel trial court judge problems problem solution solve analyzed solved solving letter addressed enclosed letters correspondence large size small larger smaller operations operations operated operated school classroom teaching grade math street block avenue corner blocks table tables dining chairs plate published publication author publish writer titled wall ceiling walls enclosure roof sell buy selling buying sold

Shortcomings of word clusters

- Can't use vector space operations
- Doesn't give "features" of words
- These are addressed with "distributed representations" (next)

Core idea of embeddings

- Form a language model but replace classes by vectors, one for each word
- Use PMI-like scores to fit the vectors
- Can be applied whenever have cooccurrence data.

Embedding LM

Model is

$$p(w_2 \mid w_1) = \frac{\exp(\phi(w_2)^T \phi(w_1)}{\sum_{w} \exp(\phi(w)^T \phi(w_1))}.$$

As before,

$$\ell(\phi) = \sum_{w_1, w_2} p(w_1, w_2) \log p(\phi_2 | \phi_1) p(w_2 | \phi_2)$$
$$= I(\Phi_1, \Phi_2) - H(W)$$

Thus, we want embedding vectors with high mutual information.

Carry out stochastic gradient descent over the embedding vectors $\phi \in \mathbb{R}^d$ (where $d \approx 50$ –100 is chosen by trial and error)

This is what Mikolov et al. (2014, 2015) did at Google. With a couple of heuristics:

[&]quot;Distributed representations of words," (2014) "Efficient representations of words in vector space" (2015)

Heuristics used:

Skip-gram: predict surrounding words from current word

Heuristics used:

- Skip-gram: predict surrounding words from current word
- An issue with this is that it "over generates" the data. With text the lazy brown fox jumped we will have p(brown | lazy) and p(brown | fox)

Heuristics used:

- Skip-gram: predict surrounding words from current word
- An issue with this is that it "over generates" the data. With text
 the lazy brown fox jumped we will have p(brown | lazy)
 and p(brown | fox)
- Second is computational. The bottleneck is computing the denominator in the logistic (softmax) probability.

Heuristics used:

- Skip-gram: predict surrounding words from current word
- An issue with this is that it "over generates" the data. With text the lazy brown fox jumped we will have p(brown | lazy) and p(brown | fox)
- Second is computational. The bottleneck is computing the denominator in the logistic (softmax) probability.
- Use "negative sampling": Approximation

$$\sum_{w} \exp(\phi(w)^{T} \phi(w_{1}))$$

$$\approx \exp(\phi(w_{2})^{T} \phi(w_{1})) + \sum_{\text{random } w} \exp(\phi(w)^{T} \phi(w_{1}))$$

Analogies

These heuristics enable training on very large text collections. Leads to vector representations of words with interesting properties.

For example, analogies:

king is to man as? is to woman

Analogies

These heuristics enable training on very large text collections. Leads to vector representations of words with interesting properties.

For example, analogies:

king is to man as? is to woman

Paris is to France as? is to Germany

Analogies

These heuristics enable training on very large text collections. Leads to vector representations of words with interesting properties.

For example, analogies:

king is to man as? is to woman
Paris is to France as? is to Germany

$$\phi(\texttt{king}) - \phi(\texttt{man}) \stackrel{?}{\approx} \phi(\texttt{queen}) - \phi(\texttt{woman})$$

$$\widehat{\pmb{w}} = \operatorname*{arg\,min}_{\pmb{w}} \|\phi(\texttt{king}) - \phi(\texttt{man}) + \phi(\texttt{woman}) - \phi(\pmb{w})\|^2$$

Does $\widehat{w} = \text{queen}$?

Learned Analogies

Table 8: Examples of the word pair relationships, using the best word vectors from Table 4 (Skipgram model trained on 783M words with 300 dimensionality).

Relationship	Example 1	Example 2	Example 3
France - Paris	Italy: Rome	Japan: Tokyo	Florida: Tallahassee
big - bigger	small: larger	cold: colder	quick: quicker
Miami - Florida	Baltimore: Maryland	Dallas: Texas	Kona: Hawaii
Einstein - scientist	Messi: midfielder	Mozart: violinist	Picasso: painter
Sarkozy - France	Berlusconi: Italy	Merkel: Germany	Koizumi: Japan
copper - Cu	zinc: Zn	gold: Au	uranium: plutonium
Berlusconi - Silvio	Sarkozy: Nicolas	Putin: Medvedev	Obama: Barack
Microsoft - Windows	Google: Android	IBM: Linux	Apple: iPhone
Microsoft - Ballmer	Google: Yahoo	IBM: McNealy	Apple: Jobs
Japan - sushi	Germany: bratwurst	France: tapas	USA: pizza

Mikolov et al., "Distributed representations of words," (2014); "Efficient representations of words in vector space" (2015)

Evaluation Analogies

Type of relationship	Word Pair 1		Word Pair 2	
Common capital city	Athens	Greece	Oslo	Norway
All capital cities	Astana	Kazakhstan	Harare	Zimbabwe
Currency	Angola	kwanza	Iran	rial
City-in-state	Chicago	Illinois	Stockton	California
Man-Woman	brother	sister	grandson	granddaughter
Adjective to adverb	apparent	apparently	rapid	rapidly
Opposite	possibly	impossibly	ethical	unethical
Comparative	great	greater	tough	tougher
Superlative	easy	easiest	lucky	luckiest
Present Participle	think	thinking	read	reading
Nationality adjective	Switzerland	Swiss	Cambodia	Cambodian
Past tense	walking	walked	swimming	swam
Plural nouns	mouse	mice	dollar	dollars
Plural verbs	work	works	speak	speaks

Mikolov et al., "Distributed representations of words," (2014); "Efficient representations of words in vector space" (2015)

GloVe

Shortly after: Stanford group introduced a computational expedient (with attempt to give a "principled" motivation)

$$\mathcal{O}(\phi) = \sum_{w_1, w_2} f(c_{w_1, w_2}) \left(\phi(w_1)^T \phi(w_2) - \log c_{w_1, w_2} \right)^2$$

where $c_{w,w'}$ are cooccurrence counts.

- A type of regression estimator. Can interpret/relate this to other objectives.
- Main advantage is that SGD can be carried out much more efficiently

Pennington et al., "GloVe: Global vectors for word representation," (2015)

19

GloVe

$$\mathcal{O}(\phi) = \sum_{w_1, w_2} f(c_{w_1, w_2}) \left(\phi(w_1)^T \phi(w_2) - \log c_{w_1, w_2} \right)^2$$

where $c_{w,w'}$ are cooccurrence counts.

Heuristic weighting function

$$f(x) = \left(\frac{x}{x_{\text{max}}}\right)^{\alpha}$$

where $\alpha = 3/4$ set empirically.

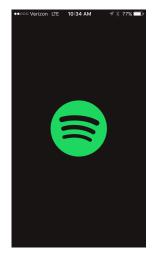
• So $10^{-4} \mapsto 10^{-3}$. Each order of magnitude down gets "boosted" by 1/4-magnitude.

Pennington et al., "GloVe: Global vectors for word representation," (2015)

GloVe site and code



Recommendation via Embedding





Notebook

Let's go to the Python notebook!

• How can we visualize the embeddings?

- How can we visualize the embeddings?
- We're in a very high dimensional space

- How can we visualize the embeddings?
- We're in a very high dimensional space
- Can do PCA, but this will introduce an additional projection/approximation step

- How can we visualize the embeddings?
- We're in a very high dimensional space
- Can do PCA, but this will introduce an additional projection/approximation step
- Many visualization techniques exist. A currently popular one is t-SNE: "Student-t Stochastic Neighborhood Embedding"

t-SNE

Here's the idea behind t-SNE:

Form a language model using the embeddings

t-SNE

Here's the idea behind t-SNE:

- Form a language model using the embeddings
- Scale and symmetrize, giving a matrix $P = [P_{ij}]$

Pronounced: tee-snee

t-SNE

Here's the idea behind t-SNE:

- Form a language model using the embeddings
- Scale and symmetrize, giving a matrix $P = [P_{ij}]$
- Represent word i by $y_i \in \mathbb{R}^2$. Use a heavy-tailed distribution (Student-t with one degree of freedom)

Pronounced: tee-snee

t-SNE

Here's the idea behind t-SNE:

- Form a language model using the embeddings
- Scale and symmetrize, giving a matrix $P = [P_{ij}]$
- Represent word i by $y_i \in \mathbb{R}^2$. Use a heavy-tailed distribution (Student-t with one degree of freedom)
- Select y_i using stochastic gradient descent

25

For each word w_i compute a language model

$$P_{j \mid i} \propto \exp\left(-rac{\|\phi(extbf{ extit{w}}_i) - \phi(extbf{ extit{w}}_j)\|^2}{2h_i^2}
ight)$$

That is:

$$P_{j \mid i} = \frac{\exp\left(-\frac{\|\phi(w_i) - \phi(w_j)\|^2}{2h_i^2}\right)}{\sum_k \exp\left(-\frac{\|\phi(w_i) - \phi(w_k)\|^2}{2h_i^2}\right)}$$

For each word w_i compute a language model

$$P_{j \mid i} \propto \exp\left(-\frac{\|\phi(w_i) - \phi(w_j)\|^2}{2h_i^2}\right)$$

That is:

$$P_{j\mid i} = \frac{\exp\left(-\frac{\|\phi(w_i) - \phi(w_j)\|^2}{2h_i^2}\right)}{\sum_k \exp\left(-\frac{\|\phi(w_i) - \phi(w_k)\|^2}{2h_i^2}\right)}$$

Choose the bandwith h_i so that the perplexity is, say, 10. This puts the probabilities all on the same scale.

For each word w_i compute a language model

$$P_{j\mid i} \propto \exp\left(-rac{\|\phi(w_i) - \phi(w_j)\|^2}{2h_i^2}
ight)$$

For each word w_i compute a language model

$$P_{j\,|\,i} \propto \exp\left(-rac{\|\phi(extbf{ extit{w}}_i) - \phi(extbf{ extit{w}}_j)\|^2}{2h_i^2}
ight)$$

Now form

$$P_{ij} = \frac{1}{2} \left(P_{j \mid i} + P_{i \mid j} \right)$$

as a simple way of symmetrizing.

Now form Student-t distribution depending on the visualization vectors $y_i \in \mathbb{R}^2$:

$$Q_{ij} \propto \left(1 + \|y_i - y_j\|^2\right)^{-1}$$

Now form Student-t distribution depending on the visualization vectors $y_i \in \mathbb{R}^2$:

$$Q_{ij} \propto \left(1 + \|y_i - y_j\|^2\right)^{-1}$$

That is:

$$Q_{ij} = rac{\left(1 + \|y_i - y_j\|^2
ight)^{-1}}{\sum\limits_{k
eq \ell} \left(1 + \|y_k - y_\ell\|^2
ight)^{-1}}$$

This has fatter tails than a Gaussian

Finally, run stochastic gradient descent (SGD) over the vectors y_i to optimize:

$$\widehat{y} = rg \min \sum_{ij} P_{ij} \log P_{ij} / Q_{ij}$$

$$= rg \max \sum_{ij} P_{ij} \log Q_{ij}$$

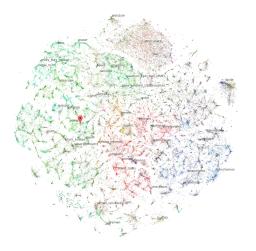
Interpretation: if $\phi(w_i)$ is very close to $\phi(w_j)$ then y_i will be close to y_j . (long distances may be stretched further...)

t-SNE: More info and examples

```
https://lvdmaaten.github.io/tsne/
http://cs.stanford.edu/people/karpathy/tsnejs/
```

Note: This is just a visualization technique, to give intuition for the high dimensional embedding

t-SNE: Examples



http://www.cs.cornell.edu/~ginsparg/arxiv/gmaps2.html

Summary: Word embeddings

- Word embeddings are vector representations of words, learned from cooccurrence statistics
- The models can be viewed in terms of logistic regression and class-based bigram models
- Surprising semantic relations are encoded in linear relations
- Various heuristics have been introduced to get scalability
- Embeddings improve with more data
- t-SNE is an algorithm for visualizing embeddings