## **Expert Systems With Applications**

# A Language Lending Itself: Mapping Clusters of Contextually Close Cognates in Indo-European Languages --Manuscript Draft--

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Abstract:	A key method for understanding the evolution of languages is to look for words which share etymological roots across languages. These words, called lexical cognates, allow historical linguists to group languages into families and study their structural history. Existing research on cognate clustering is chiefly based on analyses performed on lexical databases which limits the scope for exploring new cognates. Additionally, while the Indo-European family is a transcontinental one, its cognate analyses are largely limited to a number of European languages. In this research work we build a new dataset using word embeddings to search for cognates using phonetic matching between translations of words in different languages across the Indo-European family. The context-dependent positioning of words in word embeddings allows for comparisons with contextually similar words and hence clustering using unsupervised learning algorithms. We successfully find significant distinctions between these "contextually close clusters of cognates" among languages across the Indo-Iranian, Romance, and Germanic families. We release this novel method to demonstrate which words a language is more likely to lend to another.			

## A Language Lending Itself: Mapping Clusters of Contextually Close Cognates in Indo-European Languages

Sarthak Rastogi, Dr Manpreet Kaur\*

#### Abstract

A key method for understanding the evolution of languages is to look for words which share etymological roots across languages. These words, called lexical cognates, allow historical linguists to group languages into families and study their structural history. Existing research on cognate clustering is chiefly based on analyses performed on lexical databases which limits the scope for exploring new cognates. Additionally, while the Indo-European family is a transcontinental one, its cognate analyses are largely limited to a number of European languages. In this research work we build a new dataset using word embeddings to search for cognates using phonetic matching between translations of words in different languages across the Indo-European family. The context-dependent positioning of words in word embeddings allows for comparisons with contextually similar words and hence clustering using unsupervised learning algorithms. We successfully find significant distinctions between these "contextually close clusters of cognates" among languages across the Indo-Iranian, Romance, and Germanic families. We release this novel method to demonstrate which words a language is more likely to lend to another.

#### **Keywords**

Word embeddings, Cognates, Unsupervised clustering, Linguistic analysis, NLP

#### 1. Introduction

The Indo-European language family descended from what is reconstructed today as the Proto-Indo-European language (PIE), which was spoken circa 4,500 - 2,500 BCE. During the Indo-European migrations that occurred by 3,000 - 2,000 BCE, the speakers of PIE separated, and over the millennia the various dialects they spoke transformed into the modern Indo-European languages. These languages comprise, but are not limited to, Hindi-Urdu, English, French, German, Latin, Marathi, Punjabi, and Spanish; together, they are spoken today by 40% of the world's population.

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The Stanford GloVe pre-trained embeddings used can be found here: https://nlp.stanford.edu/projects/glove/

The code for our work can be found here: https://github.com/sarthakrastogi/L2C4

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To understand the evolution of these languages over time, and to group them into families, historical linguists compare them and identify words which may have been passed down to them from the same language, or which they may have lent to each other. These words, called lexical cognates, are key to the study of the structural history of languages. Traditionally, the comparative method has been used for identifying cognates; however, the

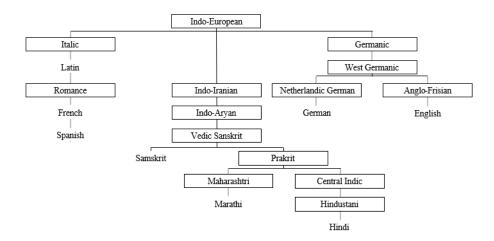


Figure 1: A subset of the Indo-European Language family tree [2]

method can take decades to complete this task for sizeable language families when performed by hand [1].

This has motivated much research over the last two decades to automate this process; scholars have come up with both programmatic and machine learning methods to identify clusters of cognates. In this paper we introduce a new methodology to efficiently create cognate datasets for any two given languages using word embeddings and hence cluster these "contextually close cognates" using unsupervised learning algorithms. We term our method "L2C4" as an abbreviation for the title of this paper.

Existing literature on the topic of clustering cognates together is chiefly based on analyses performed using lexicostatistical databases. These are wordlists that often lack information on the relationships between words. Much of the work is limited to clustering the cognates already derived in the databases. These papers also limit themselves to a small set of European languages, while the Indo-European family transcends countries and even continents; this leaves much scope for making comparisons across the three largest language groups in the family: Romance, Germanic and Indo-Iranian.

The motivation behind introducing the idea of clustering contextually close cognates together is to understand the following: throughout periods of exchanges between speakers of different languages in history, when a language lent itself to another, what type of words were more frequently passed on. Our method uses word embeddings as a dataset because they contain words positioned closer to other words which are used in a context similar to it. We hypothesise that if we find well-defined clusters among our cognate embeddings, they may be groups of words passed on more frequently from one language to another. And if there exist recurring patterns of cognates occurring between multiple pairs of languages, these words might have sociocultural reasons for having survived rifts in language families or

having been lent from language to language; this can in turn reveal information on the civilisations who spoke these languages.

The following are the novel contributions of this paper: 1. Introducing a method to create a contextually structured cognate dataset using word embeddings; a dataset that can be readily created makes it easier for further research work to exploit the contextual similarity between words. 2. Comparing Indo-Iranian and European languages among and across each other for cognates in order to expand 3. Producing L2C4 clusters, i.e., clusters of words most likely to be passed down from a language.

The rest of the paper is organised as follows: Section 2 discusses previous work on identifying and clustering cognates. Section 3 introduces the dataset of word embeddings we use and the method used in learning them. Section 4 describes the method followed in preprocessing the raw word embeddings, and their subsequent clustering. Section 5 presents an analysis of the L2C4 clusters obtained, and section 6 further discusses our findings. Section 7 concludes our work.

#### 2. Related Work

#### 2.1. Conventional comparative methods in historical linguistics

The most common methods for identifying cognates are comparative methods such as those used in [3] and [4]. The comparative method is used to construct an earlier language by comparing related words and expressions in its descendent languages. The method was originally developed for reconstructing PIE before finding use in the reconstruction of other ancient languages.

#### 2.2. Cognate identification in lexical databases and wordlists

A majority of the research work on cognate identification is based on lexical databases. [5] exploits the noun hierarchy in the WordNet lexical database to measure semantic similarity and the ALINE algorithm to measure phonetic similarity between words from two vocabulary lists. It demonstrates the limitations of measuring word similarity using orthographical approaches, and makes a credible argument in favour of the effectiveness of phonetic approaches. It also successfully obtains a significantly larger number of cognates owing to the semantic information obtained by the glosses of lexemes in WordNet. [6] uses a lexical database to compare words for cognacy only if they have the same English translation, thus avoiding the need to compute semantic similarity scores. [7] uses sequences of words from a multilingual wordlist and computes an encoding for the way they are pronounced. For each language pair they devise a different scoring scheme and compute the score for each word pair. If the score exceeds a certain threshold for a word pair, they are clustered together as cognates.

#### 2.3. Machine learning methods for cognate identification and clustering

Both supervised and unsupervised methods have been employed for cognate clustering. The method proposed in [8] manually compiles a dataset of cognates and false friends in the English and French languages, and uses orthographical similarity measures and machine learning techniques to classify words as cognates and false friends. Similarly, the

method proposed in [9] uses a database of words in Indo-European languages which it classifies into cognates and non-cognates using SVM classifiers on the basis of word

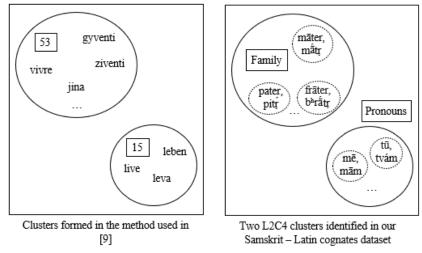


Figure 2: The method used in [9] clusters subsets of phonetically similar cognates together from a set of cognates all with the same meaning but in different languages, while our approach aims to cluster together cognates which are contextually close.

similarity features such as minimum edit distance, length of the longest common prefix, etc. Figure 2 contrasts the approach to clustering cognates followed in [9] with our approach.

[10] uses lexical databases on 16 different language families to cluster cognates. They compare the performance of unsupervised systems including Pointwise Mutual Information (PMI) and Pair Hidden Markov Model (PHMM), along with LexStat and ALINE. They use B-cubed F-score as the evaluation metric, and find that online trained PMI systems yield the best performance. In a similar survey of phonetic matching algorithms for cognate identification, [11] finds DBN and PHMM to yield optimal 11-point interpolated average precision scores.

The lack of context-awareness in these databases and the scope for improvement in the algorithms applied inspire us to create an end-to-end method for clustering these cognates by the context they occur in.

#### 3. Dataset

#### 3.1 Raw Data Input

We begin with word embeddings pre-trained on the Wikipedia and Gigaword 5 dataset\*. Word embeddings are high-dimensional vectors of real-valued numbers representing the meaning and context of every word in a vocabulary. The motivation behind using word embeddings as a dataset of words comes from the following structural advantage: they contain words used in a similar context positioned closer to each other. This is not to say that those words are similar in meaning, but that they are used in a similar context in the text dataset the embeddings have been learned from. This property enables us to cluster cognates which are contextually similar.

The code for our work can be found here: https://github.com/sarthakrastogi/L2C4

Another approach unique to – and a benefit of – the dataset we ultimately create is that it equips us with the ability to examine a given number of neighbouring words of each word for phonetic similarity, and hence look for cognacy in the contextual neighbourhood. This is essential to our use case because while cognates may not have the same meaning across languages [8], they are often found to mean words one would use in a similar context. Matching only contextually same words to each other also helps reduce the number of false friends, i.e., words across languages that sound the same but have quite different meanings.

Our word embeddings contain 400,000 words each with a corresponding 50-dimensional vector. They have been learned using GloVe [12], a log-bilinear regression model that uses global matrix factorisation and local context window methods. Another common model is Word2Vec [13], which can either train words on their neighbouring words, or neighbouring words on the original word. However, the semantics learnt using Word2Vec for a certain word rely only on the knowledge of the words surrounding it, allowing Word2Vec to efficiently capture local contextual information about the language but not global information. We hence prefer GloVe over Word2Vec for our use case on account of its ability to capture global word-word occurrence statistics. GloVe has also been shown to

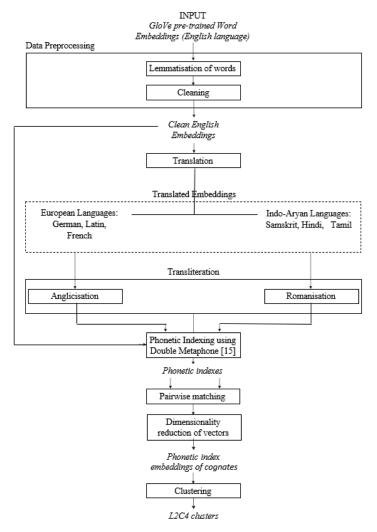


Figure 3: The end-to-end methodology followed in our research work

<sup>\*</sup>The Stanford GloVe pre-trained embeddings used can be found here: https://nlp.stanford.edu/projects/glove/

perform better on word similarity tasks [12].

#### 4. Method

#### 4.1. Data Pre-processing

We start by lemmatising the English language words. To lemmatise a word is to reduce it to its base form; despite being slower than stemming, which cuts words without analysing the context they're present in, lemmatisation is preferred because it returns an actual language word, a lemma, while stemming returns only word stems which may not translate correctly. We drop recurring lemmas to keep only the first occurrence, which is usually the root word.

Next, we apply named entity recognition to remove proper nouns such as names of places, and then compare our dataset against a database of common names to filter those out as well. This reduces our dataset size to just over 200,000 words.

#### 4.2 Translation and transliteration

We then translate the embeddings from the English language to Hindi, French, German, Latin, Samskrit and Tamil. In the section that follows, we will be phonetically indexing the words, and the process requires that the words be present in the Roman script. Hence, we Romanise words in the Indian languages from their native scripts using the transliteration methods made available in the IndicNLP library [14]. We also remove definitive (le, la, l' and les) and partitive (du, de la, des, de l', de, d') articles from French words wherever they occur to only retain the noun.

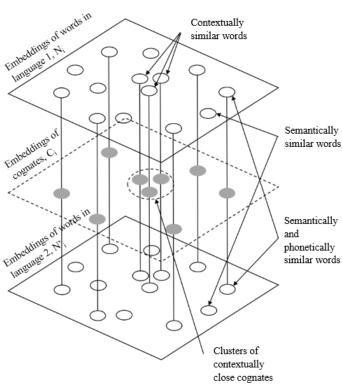


Figure 4: Pairwise phonetic matching in L2C4

#### 4.3 Pairwise Phonetic Matching

For each word (denoted  $n_i$ ) in the embeddings of the first language, there exists a corresponding translation (denoted  $n_i$ ) in the embeddings of the second language, hence representing an equivalent vector space where nodes  $n_i$  are projections of  $n_i$  on the basis of their semantic relationship, as shown in Figure 4. Our aim is to match words from their corresponding spaces  $N_i$  and  $N_i$  to each other if they are phonetically similar, onto  $C_i$ , which is the vector space of cognates.

There exist many phonetic indexing algorithms such as Soundex [15], Metaphone [16], etc. which use a set of rules to return a phonetic encoding for a given word. This encoding is a representation of the way the word is pronounced. As shown in [5], these algorithms outperform orthographical algorithms in cognacy identification tasks. In this research work we use the more advanced phonetic matching algorithm Double Metaphone [17] which introduces a number of performative improvements to Metaphone. For a given word, it returns two codes of four characters each, taking into account that there can be two possible pronunciations.

For each pair of word vectors n and n' in  $N_i$  and  $N'_i$  respectively, we compute and match their Double Metaphone codes and label the pair as phonetically similar if either of the codes of n matches either of the codes of n'.

The nature of cognates is such that, except in cases of very remotely related languages, they are most likely to either be semantically similar [5], or be used in similar

context. To capture the latter possibility of cognacy from our embeddings, we also visit the five closest words to n' to check for similarity to any n, and likewise n' to n.

We perform the above comparisons between the following combinations of languages: 1. Samskrit and Latin, 2. Samskrit and Hindi, 3. Hindi and English, 4. German and English, 5. Latin and English, 6. Latin and French, and 7. Hindi and Tamil.

#### 4.4 Dimensionality Reduction

Corresponding to each cognate phonetic index in the obtained embeddings is a 50-dimensional vector. In order to effectively cluster a set of data points, most algorithms require them to be reduced to lower dimensions. We apply Principal Component Analysis (PCA) [18] to our vectors to reduce their dimensionality while preserving a good portion of the variance, followed by t-Distributed Stochastic Neighbour Embedding (t-SNE) [19] to visualise the resulting clusters in two dimensions.

PCA uses orthogonal transformation to convert high dimensional data into the eigenvectors of its covariance matrix, uncorrelated features called principal components (PCs). The first few PCs contain much of the data's variation, and are used to give a lower-dimensional representation for them. To maximise the variation captured by PCs, PCA tries to preserve long pairwise distances; on the other hand, t-SNE aims to preserve short pairwise distances and gives better visualisations for non-linear manifold structured datasets [19]. t-SNE first calculates the distance between every pair of samples in the dataset, and converts these distances into the normal probability distribution. It then repeats this calculation on random lower dimensional arrangements of the data points, but the distances are converted into the t-distribution. Finally, it minimises the difference between the two probability distributions by running gradient descent using Kullback-Liebler divergence as a cost function.

#### 4.5 Clustering

We next use unsupervised clustering techniques to identify groups in  $C_i$  consisting of cognates which are contextually similar to each other. We use only hierarchical and centroid-based clustering techniques in our experiments; word embeddings contain highly sparse vectors, and thus we exclude density-based algorithms such as DBSCAN (Density-Based Spatial Clustering of Applications with Noise) and OPTICS (Ordering Points To Identify Cluster Structure) from experiments as they fail to give well-formed clusters on sparse data. Below, we describe the clustering algorithms we experiment with.

Affinity Propagation [20] identifies a subset of data points called exemplars, which are the most representative of all the data points, by carrying out message passing between data points each representing the suitability of one point being exemplar to the other, until convergence. Each exemplar, along with the points that it is exemplar to, is grouped into a cluster. To keep the solution at each update step from oscillating, the messages are damped by a factor lying in the range [0.5, 1.0). Agglomerative Clustering is an hierarchical clustering algorithm that begins with a singleton cluster for each data point, and then continues to merge pairs of clusters which are the closest to each other until either all data points have been clustered together or it has been stopped at a specified number of clusters.

BIRCH (Balanced Iterative Reducing and Clustering using Hierarchies) [21] works in two steps: it first condenses the dataset into Clustering Feature (CF) entries; on this structure, called a CF tree, a different clustering algorithm aggregates the leaf nodes into clusters. The K-means algorithm [22] randomly selects a number of centroids among the data points and then optimises their positions until either their values no longer change, or the specified number of iterations has been reached.

#### **5 Results**

#### 5.1 Evaluation metric

We evaluate the quality of the obtained clusters by measuring the silhouette scores [23] of our clusters. If for any sample,  $d_{intra}$  denotes the mean distance to all other samples in the cluster, and  $d_{inter}$  denotes the mean distance to all other samples in the nearest cluster, we calculate its silhouette coefficient as the following:

$$silhouette coefficient = \frac{(d_{inter} - d_{intra})}{\max(d_{inter}, d_{intra})}$$
(1)

The silhouette score is then calculated as the average of the silhouette coefficients of all the samples. It takes values ranging from -1 to 1; a score close to 1 indicates that the obtained clusters are well distinguished, while a score near 0 indicates an insignificant differentiation between clusters and a score nearing -1 indicates that samples have been assigned to wrong clusters.

#### 5.2 Clusters of cognates in similarity embeddings

We produce four variants of the L2C4 method by applying four different clustering algorithms; the variants, termed L2C4<sub>AP</sub>, L2C4<sub>AC</sub>, L2C4<sub>BR</sub>, and L2C4<sub>KM</sub>, employ Affinity Propagation, Agglomerative Clustering, BIRCH, and K-means clustering respectively.

For dimensionality reduction, we apply PCA using 25 principal components, followed by t-SNE, and examine the test scores obtained after clustering on the resulting embeddings. For hyperparameter tuning we experiment with different values of damping factor (for Affinity Propagation) and number of clusters (for Agglomerative Clustering, BIRCH, and K-means), and pick the ones resulting in the highest silhouette scores.

In this paper we are especially interested in studying similarities between transcontinental language pairs. Hence, we start with the Samskrit and Latin cognate embeddings. On applying PCA on their embeddings, we found that 85.44% of the variance was preserved. Table 1 shows the optimal number of cognate clusters and the resulting silhouette scores obtained. We also varied the damping in case of Affinity Propagation, and found that a value of 0.8 was found to be optimal.

Table 1: Silhouette scores and number of clusters obtained on clustering cognates between Samskrit and Latin

L2C4 Variant	Silhouette	Number of		
	score	clusters		
L2C4 <sub>AP</sub>	0.5191	11		

L2C4 <sub>AC</sub>	0.5096	9
L2C4 <sub>BR</sub>	0.5096	9
L2C4 <sub>KM</sub>	0.5446	16

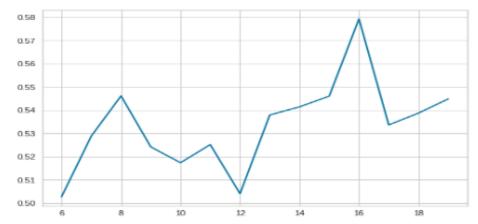


Figure 5: k vs silhouette score – k-means algorithm on Samskrit - Latin cognates

Figure 5 shows the silhouette score obtained after using different values of k in the k-means algorithm. In higher dimensional representations of embeddings, words are close to each other in many more directions, and reducing dimensionality often cannot capture them all. As a result, we also witness much noise consisting of semantically unrelated words in and around most clusters. The visualisations of L2C4 clusters between Samskrit and Latin in Figure 6 display reasonably defined structures.

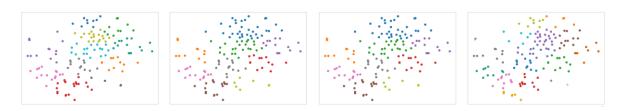


Figure 6: Clusters in the embeddings of cognate indexes between Samskrit and Latin formed using Affinity Propagation, Agglomerative Clustering, BIRCH, and K-means respectively.

To judge the performance of the clustering methods, we compare the obtained silhouette scores against a baseline mentioned in [24] which estimates a silhouette score between 0.51 to 0.70 to indicate a reasonable structure to have been found. In comparison, a score ranging from 0.71 to 1.0 indicates a strong structure, while a score ranging from 0.26 to 0.50 indicates a weak structure which can also be artificial, and a score below 0.25 indicates that no significant structure has been found. As shown in Table 2, K-means returns scores over 0.50 in all the language pairs tested above, leading us to the confident conclusion that

Table 2: Best silhouette scores obtained on clustering cognates between other language pairs.

we have identified meaningful clusters of cognates.

	Language 1	Samskrit	Hindi	German	Latin	Latin
	Language 2	Hindi	English	English	English	French
L2C4 Va	riant					
L2C4	ΛP	0.5098	0.4682	0.5209	0.4877	0.5019
L2C4	ΔC	0.4363	0.4636	0.4522	0.4291	0.4483
L2C4 <sub>E</sub>	BR	0.4477	0.4831	0.4651	0.4316	0.4256
L2C4 <sub>K</sub>	M	0.5258	0.5589	0.5533	0.5570	0.5458

#### 6. Discussions

 $L2C4_{KM}$  outperforms other variants for all language pairs; k-means displays a consistent ability to cluster cognates on the basis of their respective distance from the cluster centroid. This may be because dimensionality reduction brings points close in the high dimensional space much closer in the lower dimensional representation, and k-means then leverages the Euclidean distance between samples for optimal clustering results.

Upon inspecting individual clusters through the noise, they can be verified to contain contextually similar words. On comparing with the Indo-European vocabulary, we confirm our clusters to contain groups of words such as terms for family members, pronouns, numbers, animals and parts of the body. They comprise mostly of nouns, or specifically everyday concepts which can easily be communicated using gestures; the lending of these words hence aligns with the knowledge that cognates were often formed as a result of contact between different language groups since these people would largely communicate with different groups using a combination of speech and gesture to get the meaning of a word across.

Contrary to our assumption behind using Tamil as a control language because of its differentiation from the Indo-European languages we experimented on, comparison between Tamil and Hindi does yield a small number of sparsely scattered cognates. Closer inspection shows that these are indeed more modern words. Owing to the strong evidence present that Tamil belongs to the Dravidian language family, we explain the cognates it has with Hindi to be result of the contact between the speakers of Dravidian languages in South India and the Indo-Aryan languages in Central and North India.

#### 7. Conclusion

In this paper, we have introduced the L2C4 method and used it to obtain clusters of contextually close cognates in between languages across the Indo-European family. We have identified clusters in language pairs using Double Metaphone, and found that unsupervised clustering using K-means obtained optimal silhouette scores across all language pairs. We have verified the applicability and correctness of the method by comparing our clusters with word groups in the Indo-European Vocabulary, and checking their silhouette scores. We have recognised words used in commonplace conversation to be frequently passed down from

language to language. Likewise, the L2C4 method can be used to swiftly compare any two languages for clusters of contextually close cognates. Further research using this method may involve datasets of contextually close words in entire language families to track the passage of these words from parent language to child.

Due to the automaticity of our method, it cannot address the issue of grouping together false cognates, which are words which sound the same and have similar meanings but have different roots. Methods such as those explained in [8] can possibly be used to address this issue.

#### 8. Contributions

Sarthak Rastogi contributes to all parts of this project, including method design, data pre-processing, modelling, result analysis, and paper writing. Dr Manpreet Kaur contributes to result analysis and paper writing.

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### **Highlights**

- Word embeddings are used to create a contextually structured cognate dataset.
- Unsupervised clustering is used to group contextually similar cognates together.
- Cognate clusters generated contain common words passed down by a language.

This piece of the submission is being sent via mail.

Declaration of Interest Statement

#### **Declaration of interests**

✓ The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.
□The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:
There are no financial interests/personal relationships which may be considered as potential competing interests.