1. You are given the following training data in a 1D (1 feature), 2-class problem:

$$S_1$$
:  $X_1 = 0$ ,  $X_2 = 2$ ,  $X_3 = 3$ 

$$S_2$$
:  $X_4 = -2$ ,  $X_5 = -3$ ,  $X_6 = -4$ 

- (a) (i) Plot the data in non-augmented feature space. Draw a linear decision boundary H (a point) that correctly classifies them, showing which side is positive.
  - (ii) Give the weight vector  $\underline{w}$  that corresponds to your H. Because the length of  $\underline{w}$  is not determined by the given quantities, choose w so that ||w|| = 1.

**Hint:** the boundary is given by g(x) = 0, and the decision region for  $\Gamma_1$  is g(x) > 0.

- (b) Plot the data points (in augmented form) in augmented feature space. Also show the decision boundary and which side is positive.
- (c) Plot the augmented data points as lines  $g_{\underline{x}_n}(\underline{w})$  in weight space. Show the positive side of each such line with a small arrow. Show the solution region.
- (d) Plot the weight vector  $\underline{w}$  of H from part (a) as a point in weight space. Is  $\underline{w}$  in the solution region?

For parts (e)-(f) below, you will use the same dataset of points  $\underline{x}_n$  given above, except in augmented form as in (b), but you will reflect them and use the reflected data points  $z_n \underline{x}_n$  for your plots.

(e) Plot the reflected data points  $z_n \underline{x}_n$  in augmented feature space. Draw the linear decision boundary H from your part (b) and its weight vector. Does H correctly classify all the data points?

**Hint:** write down the general condition for correct classification of reflected data points.

- (f) Plot the data points  $z_n \underline{x}_n$  as lines in 2D weight space, showing the positive side of each with a small arrow. Show the final solution region.
- 2. This problem uses the notation we used in Lecture 6, and m is a positive integer. For the following computational complexity:

$$p(m) = 2m + 1000$$

(a) Is p(m) = O(m)?

If yes, prove your answer by letting a=1, and solve for what  $m_0$  we have  $m \ge p(m) \quad \forall m \ge m_0$ . If you need a larger a, then state what value of a will work. Find the smallest such integer  $m_0$  for the value of a you used.

If no, justify why not.

(b) Is  $p(m) = \Omega(m)$ ?

If yes, prove your answer by letting b=1, and solve for what  $m_1$  we have  $m \le p(m) \quad \forall m \ge m_1$ . If you need a smaller b, then state what value of b will work. Find the smallest such integer  $m_1$  for the value of b you used.

If no, justify why not.

- (c) Is  $p(m) = \Theta(m)$ ? Justify your answer.
- 3. This problem also uses the notation of Lecture 6.
  - (a) Suppose we have a function p(m) that can be expressed as:

$$p(m) = p_1(m) + p_2(m) + p_3(m)$$

and we have:

$$p_k(m) = O(q_k(m)), \quad k = 1, 2, 3$$
 (i)

Prove that:

$$p(m) = O(q_1(m) + q_2(m) + q_3(m))$$
 (ii)

- Hints: (i) Use the definition of big-O.
  - (ii) If you find the problem statement unclear or confusing, try looking at the example in the appendix below.
- (b) Is a similar statement to (a) true for  $\Omega(...)$ ? (That is, if you replace each O(...) in part (a) with  $\Omega(...)$ , would the last equation be true?) Justify your answer.
- 4. Consider the following computational complexity:

$$p(m) = m^2 \log_2 m + \frac{2m^3}{\log_2 m} + (\log_2 m)^3$$

(a) Is  $p(m) = O(m^3)$ ? If yes, justify it by showing it satisfies the definition. If no, justify why not.

**Hint:** p(m) is the sum of 3 terms. Try setting a=1, and check each term individually to see if it is  $O(m^3)$  (if it is, then give the value of each  $m_0$ ). Then use the result of Problem 3(a).

(b) Is  $p(m) = \Omega(m^3)$ ? Justify your answer.

5. For a nearest-means classifier, with C = 2 classes (held constant),  $\frac{1}{2}N$  data points in each class (assume N is even), and D features, find the computational time complexity and space complexity, for the operations given in (a) and (b) below.

For all parts of this problem, assume a completely serial computer. Your answer may be in the form of a big-O upper bound; express the big-O bound in simplest form, without making it looser (higher) than necessary. As part of your answer to each part, please:

- (i) Give a brief statement of the algorithm you are analyzing
- (ii) Show your reasoning in calculating the complexity
- (a) Computing each mean vector from the training data (the training phase)
- (b) Classifying M data points, given the mean vectors (the classification phase)
- (c), (d) Repeat parts (a) and (b), except for a C-class problem, with  $\frac{N}{C}$  data points per class, in which C is a variable.

Hint for (d): you may use without proof that finding the minimum of C values that are unsorted can be done in O(C) time and O(1) space.

## **Appendix - Example** (relates to Problem 3)

Suppose we want to find and prove the (tightest) asymptotic upper bound of p(m), with:

$$p(m) = 3m^3 + 100m^2 \log_2 m + 0.1(2^m)$$

Applying the definition directly to p(m) (especially to prove your bound, including finding  $m_0$ ) might be difficult. Instead, you could use the result of Problem 3a, to apply the big-O bound to each term independently:

$$3m^{3} = O(m^{3})$$

$$100m^{2} \log_{2} m = O(m^{2} \log m)$$

$$0.1(2^{m}) = O(2^{m})$$

Then using Problem 3a equation (ii), we can conclude:

$$3m^{3} + 100m^{2} \log_{2} m + 0.1(2^{m}) = O(m^{3} + m^{2} \log m + 2^{m})$$
$$= O(2^{m})$$