

queue is empty, the algorithm terminates. Show how to implement this algorithm to compute a maximum flow in  $O(V^3)$  time.

### 26.5-3

Show that the generic algorithm still works if RELABEL updates  $u.h$  by simply computing  $u.h = u.h + 1$ . How would this change affect the analysis of RELABEL-TO-FRONT?

### 26.5-4 ★

Show that if we always discharge a highest overflowing vertex, we can make the push-relabel method run in  $O(V^3)$  time.

### 26.5-5

Suppose that at some point in the execution of a push-relabel algorithm, there exists an integer  $0 < k \leq |V| - 1$  for which no vertex has  $v.h = k$ . Show that all vertices with  $v.h > k$  are on the source side of a minimum cut. If such a  $k$  exists, the **gap heuristic** updates every vertex  $v \in V - \{s\}$  for which  $v.h > k$ , to set  $v.h = \max(v.h, |V| + 1)$ . Show that the resulting attribute  $h$  is a height function. (The gap heuristic is crucial in making implementations of the push-relabel method perform well in practice.)

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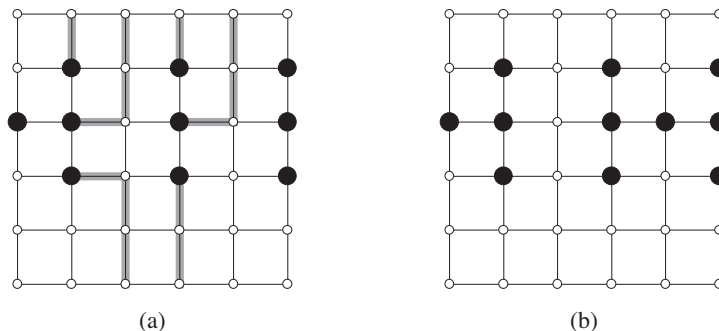
## Problems

### 26-1 *Escape problem*

An  $n \times n$  **grid** is an undirected graph consisting of  $n$  rows and  $n$  columns of vertices, as shown in Figure 26.11. We denote the vertex in the  $i$ th row and the  $j$ th column by  $(i, j)$ . All vertices in a grid have exactly four neighbors, except for the boundary vertices, which are the points  $(i, j)$  for which  $i = 1$ ,  $i = n$ ,  $j = 1$ , or  $j = n$ .

Given  $m \leq n^2$  starting points  $(x_1, y_1), (x_2, y_2), \dots, (x_m, y_m)$  in the grid, the **escape problem** is to determine whether or not there are  $m$  vertex-disjoint paths from the starting points to any  $m$  different points on the boundary. For example, the grid in Figure 26.11(a) has an escape, but the grid in Figure 26.11(b) does not.

- a. Consider a flow network in which vertices, as well as edges, have capacities. That is, the total positive flow entering any given vertex is subject to a capacity constraint. Show that determining the maximum flow in a network with edge and vertex capacities can be reduced to an ordinary maximum-flow problem on a flow network of comparable size.



**Figure 26.11** Grids for the escape problem. Starting points are black, and other grid vertices are white. **(a)** A grid with an escape, shown by shaded paths. **(b)** A grid with no escape.

- b.** Describe an efficient algorithm to solve the escape problem, and analyze its running time.

### 26-2 Minimum path cover

A **path cover** of a directed graph  $G = (V, E)$  is a set  $P$  of vertex-disjoint paths such that every vertex in  $V$  is included in exactly one path in  $P$ . Paths may start and end anywhere, and they may be of any length, including 0. A **minimum path cover** of  $G$  is a path cover containing the fewest possible paths.

- a.** Give an efficient algorithm to find a minimum path cover of a directed acyclic graph  $G = (V, E)$ . (*Hint*: Assuming that  $V = \{1, 2, \dots, n\}$ , construct the graph  $G' = (V', E')$ , where

$$V' = \{x_0, x_1, \dots, x_n\} \cup \{y_0, y_1, \dots, y_n\} ,$$

$$E' = \{(x_0, x_i) : i \in V\} \cup \{(y_i, y_0) : i \in V\} \cup \{(x_i, y_j) : (i, j) \in E\} ,$$

and run a maximum-flow algorithm.)

- b.** Does your algorithm work for directed graphs that contain cycles? Explain.

### 26-3 Algorithmic consulting

Professor Gore wants to open up an algorithmic consulting company. He has identified  $n$  important subareas of algorithms (roughly corresponding to different portions of this textbook), which he represents by the set  $A = \{A_1, A_2, \dots, A_n\}$ . In each subarea  $A_k$ , he can hire an expert in that area for  $c_k$  dollars. The consulting company has lined up a set  $J = \{J_1, J_2, \dots, J_m\}$  of potential jobs. In order to perform job  $J_i$ , the company needs to have hired experts in a subset  $R_i \subseteq A$  of

subareas. Each expert can work on multiple jobs simultaneously. If the company chooses to accept job  $J_i$ , it must have hired experts in all subareas in  $R_i$ , and it will take in revenue of  $p_i$  dollars.

Professor Gore's job is to determine which subareas to hire experts in and which jobs to accept in order to maximize the net revenue, which is the total income from jobs accepted minus the total cost of employing the experts.

Consider the following flow network  $G$ . It contains a source vertex  $s$ , vertices  $A_1, A_2, \dots, A_n$ , vertices  $J_1, J_2, \dots, J_m$ , and a sink vertex  $t$ . For  $k = 1, 2, \dots, n$ , the flow network contains an edge  $(s, A_k)$  with capacity  $c(s, A_k) = c_k$ , and for  $i = 1, 2, \dots, m$ , the flow network contains an edge  $(J_i, t)$  with capacity  $c(J_i, t) = p_i$ . For  $k = 1, 2, \dots, n$  and  $i = 1, 2, \dots, m$ , if  $A_k \in R_i$ , then  $G$  contains an edge  $(A_k, J_i)$  with capacity  $c(A_k, J_i) = \infty$ .

- a. Show that if  $J_i \in T$  for a finite-capacity cut  $(S, T)$  of  $G$ , then  $A_k \in T$  for each  $A_k \in R_i$ .
- b. Show how to determine the maximum net revenue from the capacity of a minimum cut of  $G$  and the given  $p_i$  values.
- c. Give an efficient algorithm to determine which jobs to accept and which experts to hire. Analyze the running time of your algorithm in terms of  $m$ ,  $n$ , and  $r = \sum_{i=1}^m |R_i|$ .

#### 26-4 Updating maximum flow

Let  $G = (V, E)$  be a flow network with source  $s$ , sink  $t$ , and integer capacities. Suppose that we are given a maximum flow in  $G$ .

- a. Suppose that we increase the capacity of a single edge  $(u, v) \in E$  by 1. Give an  $O(V + E)$ -time algorithm to update the maximum flow.
- b. Suppose that we decrease the capacity of a single edge  $(u, v) \in E$  by 1. Give an  $O(V + E)$ -time algorithm to update the maximum flow.

#### 26-5 Maximum flow by scaling

Let  $G = (V, E)$  be a flow network with source  $s$ , sink  $t$ , and an integer capacity  $c(u, v)$  on each edge  $(u, v) \in E$ . Let  $C = \max_{(u, v) \in E} c(u, v)$ .

- a. Argue that a minimum cut of  $G$  has capacity at most  $C |E|$ .
- b. For a given number  $K$ , show how to find an augmenting path of capacity at least  $K$  in  $O(E)$  time, if such a path exists.

We can use the following modification of FORD-FULKERSON-METHOD to compute a maximum flow in  $G$ :

MAX-FLOW-BY-SCALING( $G, s, t$ )

```

1   $C = \max_{(u,v) \in E} c(u, v)$ 
2  initialize flow  $f$  to 0
3   $K = 2^{\lceil \lg C \rceil}$ 
4  while  $K \geq 1$ 
5      while there exists an augmenting path  $p$  of capacity at least  $K$ 
6          augment flow  $f$  along  $p$ 
7       $K = K/2$ 
8  return  $f$ 
```

- c. Argue that MAX-FLOW-BY-SCALING returns a maximum flow.
- d. Show that the capacity of a minimum cut of the residual network  $G_f$  is at most  $2K |E|$  each time line 4 is executed.
- e. Argue that the inner **while** loop of lines 5–6 executes  $O(E)$  times for each value of  $K$ .
- f. Conclude that MAX-FLOW-BY-SCALING can be implemented so that it runs in  $O(E^2 \lg C)$  time.

### 26-6 The Hopcroft-Karp bipartite matching algorithm

In this problem, we describe a faster algorithm, due to Hopcroft and Karp, for finding a maximum matching in a bipartite graph. The algorithm runs in  $O(\sqrt{V}E)$  time. Given an undirected, bipartite graph  $G = (V, E)$ , where  $V = L \cup R$  and all edges have exactly one endpoint in  $L$ , let  $M$  be a matching in  $G$ . We say that a simple path  $P$  in  $G$  is an **augmenting path** with respect to  $M$  if it starts at an unmatched vertex in  $L$ , ends at an unmatched vertex in  $R$ , and its edges belong alternately to  $M$  and  $E - M$ . (This definition of an augmenting path is related to, but different from, an augmenting path in a flow network.) In this problem, we treat a path as a sequence of edges, rather than as a sequence of vertices. A shortest augmenting path with respect to a matching  $M$  is an augmenting path with a minimum number of edges.

Given two sets  $A$  and  $B$ , the **symmetric difference**  $A \oplus B$  is defined as  $(A - B) \cup (B - A)$ , that is, the elements that are in exactly one of the two sets.

- a. Show that if  $M$  is a matching and  $P$  is an augmenting path with respect to  $M$ , then the symmetric difference  $M \oplus P$  is a matching and  $|M \oplus P| = |M| + 1$ . Show that if  $P_1, P_2, \dots, P_k$  are vertex-disjoint augmenting paths with respect to  $M$ , then the symmetric difference  $M \oplus (P_1 \cup P_2 \cup \dots \cup P_k)$  is a matching with cardinality  $|M| + k$ .

The general structure of our algorithm is the following:

HOPCROFT-KARP( $G$ )

```

1   $M = \emptyset$ 
2  repeat
3      let  $\mathcal{P} = \{P_1, P_2, \dots, P_k\}$  be a maximal set of vertex-disjoint
        shortest augmenting paths with respect to  $M$ 
4       $M = M \oplus (P_1 \cup P_2 \cup \dots \cup P_k)$ 
5  until  $\mathcal{P} == \emptyset$ 
6  return  $M$ 
```

The remainder of this problem asks you to analyze the number of iterations in the algorithm (that is, the number of iterations in the **repeat** loop) and to describe an implementation of line 3.

- b. Given two matchings  $M$  and  $M^*$  in  $G$ , show that every vertex in the graph  $G' = (V, M \oplus M^*)$  has degree at most 2. Conclude that  $G'$  is a disjoint union of simple paths or cycles. Argue that edges in each such simple path or cycle belong alternately to  $M$  or  $M^*$ . Prove that if  $|M| \leq |M^*|$ , then  $M \oplus M^*$  contains at least  $|M^*| - |M|$  vertex-disjoint augmenting paths with respect to  $M$ .

Let  $l$  be the length of a shortest augmenting path with respect to a matching  $M$ , and let  $P_1, P_2, \dots, P_k$  be a maximal set of vertex-disjoint augmenting paths of length  $l$  with respect to  $M$ . Let  $M' = M \oplus (P_1 \cup \dots \cup P_k)$ , and suppose that  $P$  is a shortest augmenting path with respect to  $M'$ .

- c. Show that if  $P$  is vertex-disjoint from  $P_1, P_2, \dots, P_k$ , then  $P$  has more than  $l$  edges.
- d. Now suppose that  $P$  is not vertex-disjoint from  $P_1, P_2, \dots, P_k$ . Let  $A$  be the set of edges  $(M \oplus M') \oplus P$ . Show that  $A = (P_1 \cup P_2 \cup \dots \cup P_k) \oplus P$  and that  $|A| \geq (k + 1)l$ . Conclude that  $P$  has more than  $l$  edges.
- e. Prove that if a shortest augmenting path with respect to  $M$  has  $l$  edges, the size of the maximum matching is at most  $|M| + |V|/(l + 1)$ .

- f. Show that the number of **repeat** loop iterations in the algorithm is at most  $2\sqrt{|V|}$ . (*Hint*: By how much can  $M$  grow after iteration number  $\sqrt{|V|}$ ?)
- g. Give an algorithm that runs in  $O(E)$  time to find a maximal set of vertex-disjoint shortest augmenting paths  $P_1, P_2, \dots, P_k$  for a given matching  $M$ . Conclude that the total running time of HOPCROFT-KARP is  $O(\sqrt{V}E)$ .

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## Chapter notes

Ahuja, Magnanti, and Orlin [7], Even [103], Lawler [224], Papadimitriou and Steiglitz [271], and Tarjan [330] are good references for network flow and related algorithms. Goldberg, Tardos, and Tarjan [139] also provide a nice survey of algorithms for network-flow problems, and Schrijver [304] has written an interesting review of historical developments in the field of network flows.

The Ford-Fulkerson method is due to Ford and Fulkerson [109], who originated the formal study of many of the problems in the area of network flow, including the maximum-flow and bipartite-matching problems. Many early implementations of the Ford-Fulkerson method found augmenting paths using breadth-first search; Edmonds and Karp [102], and independently Dinic [89], proved that this strategy yields a polynomial-time algorithm. A related idea, that of using “blocking flows,” was also first developed by Dinic [89]. Karzanov [202] first developed the idea of preflows. The push-relabel method is due to Goldberg [136] and Goldberg and Tarjan [140]. Goldberg and Tarjan gave an  $O(V^3)$ -time algorithm that uses a queue to maintain the set of overflowing vertices, as well as an algorithm that uses dynamic trees to achieve a running time of  $O(VE \lg(V^2/E + 2))$ . Several other researchers have developed push-relabel maximum-flow algorithms. Ahuja and Orlin [9] and Ahuja, Orlin, and Tarjan [10] gave algorithms that used scaling. Cheriyan and Maheshwari [62] proposed pushing flow from the overflowing vertex of maximum height. Cheriyan and Hagerup [61] suggested randomly permuting the neighbor lists, and several researchers [14, 204, 276] developed clever derandomizations of this idea, leading to a sequence of faster algorithms. The algorithm of King, Rao, and Tarjan [204] is the fastest such algorithm and runs in  $O(VE \log_{E/(V \lg V)} V)$  time.

The asymptotically fastest algorithm to date for the maximum-flow problem, by Goldberg and Rao [138], runs in time  $O(\min(V^{2/3}, E^{1/2})E \lg(V^2/E + 2) \lg C)$ , where  $C = \max_{(u,v) \in E} c(u, v)$ . This algorithm does not use the push-relabel method but instead is based on finding blocking flows. All previous maximum-flow algorithms, including the ones in this chapter, use some notion of distance (the push-relabel algorithms use the analogous notion of height), with a length of 1