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## Multivariate Multipoint Evaluation (MME)

Sarwagya Prasad, Rahul Bhardwaj

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# Univariate Multipoint Evaluation over Finite Fields

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### **Definition**

Define the precision function for integers and polynomials as follows :

$$\operatorname{prec}(U) = egin{cases} \deg U + 1 & \text{if } U \text{ is a polynomial,} \\ \log_2 U & \text{if } U \text{ is an integer.} \end{cases}$$

### POLYMULT

Multiplication of two polynomials of degree n and m takes :

$$\frac{9}{2}N\log N + 5N + 1dt$$

time. where N = n + m.

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### Divide and Rule

If the timing function of an algorithm satisfies the recurrence :

$$T(N) = 2T(N/2) + f(N)$$

, where 
$$f(N) = \mathcal{O}(N \log^a(N))$$
, then  $T(N) = \mathcal{O}(N \log^{a+1}(N))$ .

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- ① Let  $p(X) \in \mathbb{F}[X]$  be a polynomial which we want to evaluate at  $x = \alpha \in \mathbb{F}$ .
  - ② By division algorithm, there exist  $q(X), r(X) \in \mathbb{F}[X]$  such that  $\deg r < \deg(x \alpha)$ :

$$p(X) = q(X)(x - \alpha) + r(x).$$

- 3 Hence  $p(\alpha) = r(\alpha)$ , but since deg r = 0, r is a constant polynomial.
- 4 Therefore, evaluating a polynomial at a point  $\alpha$  becomes a problem of how quickly you can find the remainder of the corresponding division of the polynomial by  $x \alpha$ .

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Multivarate Multipoint Evaluation over Integers If we want to evaluate a polynomial p(x) and  $x_1,\ldots,x_N$ , then we try to write  $f(x)=q(x)\prod_{1\leq i\leq N}(x-x_i)+r(x)$ , where < N. Hence,  $f(x_i)=r(x_i)$  for  $1\leq i\leq N$ . Therefore, we've reduced our problem to a simpler problem. It suffices to consider the problem of evaluating polynomials of degree N-1 on N points. Let  $M_1(x)=(x-x_1)\cdots(x-x_{N/2})$  and  $M_2(x)=(x-x_{N/2+1})\cdots(x-x_N)$ . We divide p(x) by  $M_1(x)$  to get  $R_1(x)$  and by  $M_2(x)$  to get  $R_2(x)$ . The problem now reduces to evaluating two polynomials of N/2-1 degree at N/2 points each.

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Multivarate Multipoint Evaluation over Integers Let D be a Euclidean domain, we are given a set of N moduli  $\{m_i\} \in D$  and an element  $U \in D$  for which we wish to compute the set of residues  $u_i \in D$  such that :

$$u_i \equiv U \pmod{m_i}, \quad 1 \leq i \leq N.$$

### **Theorem**

Given N moduli  $m_i \in D$  and  $U \in D$  where  $\operatorname{prec}(U) = N$ , if multiplication and division of N precision elements can be performed in  $\mathcal{O}(N\log^a(N))$  operations, then the N residues  $\{u_i\}$  of U, with respect to  $\{m_i\}$  can be computed in  $\mathcal{O}(N\log^{a+1}(N))$  steps, where  $a \geq 0$ .

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## Modular Form(U,j,k)

### Input:

- the requisite moduli  $M_{jk}$ ,
- the element U where  $prec(U) \le k j + 1$ .

**Output:** the residues  $u_i \equiv U \mod m_i$ ,  $j \le i \le k$ . **Step** 

- ① If j = k, then output U and go to step 4.
- 2 Let  $e := \lfloor (j+k-1)/2 \rfloor$  and f := e+1. Set  $R_1 := U \operatorname{rem} M_{je}$  and  $R_2 := U \operatorname{rem} M_{fk}$ .
- 3 Call Modular Forms( $R_1$ , j, e) and Modular Forms( $R_2$ , f, k).
- 4 Return.

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### Problem Statement

Given a univariate polynomial  $f \in \mathbb{C}[x]$  of degree d with  $||f|| \leq 2^{\tau}$ , and d points  $x_1, x_2 \cdots x_d$  with absolute value less than 1, return the approximate evaluation of f on these points,  $y_1, y_2 \cdots y_k$  such that  $|f(x_i) - y_i| \leq ||f|| 2^{-m}$ 

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# Nearly Linear Time

### Theorem

[Mor21]: The above problem can be solved in  $\tilde{O}(d(\tau+m))$  bit operations.

<sup>[</sup>Mor21]: Guillaume Moroz. New data structure for univariate polynomial approximation and appications in root isolation, numerical multipoint evaluation and other problems

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### Theorem

[KS16]: Let f be a polynomial of degree d, with  $||f||_1 \leq 2^{\tau}$ , and let  $x_1, x_2 \cdots x_d \in \mathbb{C}$  be complex points with absolute values bounded by 1. Then, computing  $y_k$  such that  $|f(x_k) - y_k| \leq 2^{-m}$  is possible in  $\tilde{O}(d(m + \tau + d))$  bit operations.

<sup>[</sup>KS16]: Alexander Kobel and Michael Sagraloff. Fast approximate polynomial multipoint evaluation and many applications  $\bullet$   $\bullet$   $\bullet$   $\bullet$ 

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- If m < d, the previous algorithm is optimal.
- If we had a m degree approximation of f, we could use the previous algorithm to get the required nearly linear time algorithm.
- However, we cannot hope a single m degree approximation to stay close to f. Thus we can make a partition, or more generally, a covering, of the unit disk with many small parts, and have approximations g for each small part such that g stays close to f in that region.
- Need to limit the number of parts, e.g., have O(d/m) parts.

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### Definition

[Mor21]: Given a positive integer N, an N-hyperbolic covering of the unit disk is the set of disks of centres  $\gamma_n e^{2\pi i \frac{k}{K_n}}$  and radii  $\rho_n$ ,  $0 \le n \le N$ ,  $0 \le k \le K_n$  where:

$$egin{aligned} r_n &= egin{cases} 1 - rac{1}{2^n} &, 0 \leq n < N \ 1 &, n = N \end{cases} \ \gamma_n &= rac{1}{2}(r_n + r_{n+1}) \ 
ho_n &= rac{3}{4}(r_{n+1} - r_n) \ K_n &= egin{cases} 4 &, n = 0 \ \lceil rac{3\pi}{\sqrt{r_n}} 
ceil_n 
ceil \end{cases} , otherwise \end{aligned}$$

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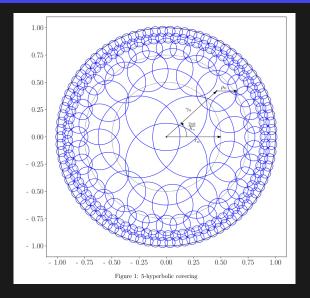
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# Illustration of Hyperbolic Covering



# m-hyperbolic Approximation

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Multivarate Multipoint Evaluation over Integers Given a polynomial of degree d with  $||f|| \le 2^{\tau}$ , and an integer m > 1, an m-hyperbolic approximation  $H_{d,m}$  of f is a finite set of pairs (g, a) where g is an m degree polynomial, and a is an affine transformation such that:

- The set of disks a(D(0,1)) is the N-hyperbolic covering, with  $N = \lceil \log_2\left(\frac{3ed}{m}\right\rceil\right)$ , i.e.,  $a(X) = (\gamma_n + \rho_n X) e^{2\pi i \frac{k}{K_n}}$
- $||f \circ a g|| \le 3||f||2^{-m}$

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### Lemma

Given two integers d and m > 1, let  $N = \lceil \log_2\left(\frac{3ed}{m}\rceil\right)$ . Then, the number of disks in the N-hyperbolic covering is in O(d/m) and the union of the disks contains the unit disk.

### Proof

Total number of disks  $t = \sum_{0}^{N-1} K_n$ We have  $K_n \le 2^{n+4}$ ,  $\Rightarrow t \le 2^{N-4} \le 16 \cdot 3e^{\frac{d}{m}}$ Therefore, t = O(d/m)CLAIM: For any ring  $R_n = D(0, r_{n+1}) \setminus D(0, t_n)$ 

CLAIM: For any ring  $R_n = D(0, r_{n+1}) \setminus D(0, r_n)$ , the union of disks centered at  $\gamma e^{2\pi i \frac{k}{K_n}}$  with radius  $\rho_n$  contains  $R_n$ .

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### Theorem

Given a polynomial f of degree d, and an integer m>1, the m-hyperbolic approximation of f can be computed in  $\tilde{O}(d(m+\tau))$ 

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# Approximation Algorithm

### Algorithm 1: Hyperbolic approximation data structure

**Input:** A polynomial  $f(X) = \sum_{k=0}^{d} f_k X^k$  of degree d with  $||f||_1 \leq 2^{\tau}, \tau \geq 1$ , and an integer m > 1

Output: An m-hyperbolic approximation of f (see Definition 2)

- $1 \ \widetilde{m} \leftarrow \min(m-1,d)$
- $2 N \leftarrow \lceil \log_2(3ed/\widetilde{m}) \rceil$
- 3 for n from 0 to N-1 do
  - # Compute  $(g_{n,k}, a_{n,k})$  for the disks covering  $D(0, r_{n+1}) \setminus D(0, r_n)$
  - # The precision of the arithmetic operations is in  $\Theta(m+\tau+\log d)$
  - # A. Compute  $r_n, \gamma_n, 
    ho_n$  and  $K_n$  for the  $a_{n,k}(X) = (\gamma_n + 
    ho_n X) e^{i2\pi rac{k}{K_n}}$
- $r_n \leftarrow 1 1/2^n$
- $r_{n+1} \leftarrow 1 1/2^{n+1}$  if n < N-2 else 1
  - $\gamma_n \leftarrow (r_n + r_{n+1})/2$
- $\rho_n \leftarrow \frac{3}{4}(r_{n+1} r_n)$  $K_n \leftarrow \left\lceil \frac{3\pi}{\sqrt{5}} \frac{r_{n+1}}{\rho_n} \right\rceil$

# B. Compute 
$$g_{n,k}(X) pprox f\left((\gamma_n + 
ho_n X)e^{i2\pi rac{k}{K_n}}
ight) \mod X^m$$

- # B.1. Truncate f at  $d_n$  such that  $(\gamma_n + \rho_n)^{d_n+1} \leq 1/2^{m+1}$
- $d_n \leftarrow \min\left(d, \lceil \frac{8}{3}\log(2)(m+1)2^n \rceil 1\right) \text{ if } n < N-1 \text{ else } d$
- $p \leftarrow f_0 + \cdots + f_{d_n} X^{d_n}$ 10
- # B.2. Gather the coefficients in Y of  $p(YZ) \mod Z^{K_n}-1$ ,
  - where Y and Z are symbolic variables
- for k from 0 to  $K_n 1$  do
- $p_k(Y^{K_n})Y^k \leftarrow \text{coefficients of } Z^k \text{ of } p(YZ) \mod Z^{K_n} 1$ 12

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# Approximation Algorithm

```
q_0(X) \leftarrow 1
13
          for k from 1 to K_n do
14
           q_k(X) \leftarrow q_{k-1}(X) \cdot (\gamma_n + \rho_n X) \mod X^{\widetilde{m}}
15
          # B.4. Compute r_k(X) = p_k\left((\gamma_n + \rho_n X)^{K_n}\right) \cdot (\gamma_n + \rho_n X)^k \mod X^{\widetilde{m}}
          for k from 0 to K_n - 1 do
16
           r_{k,0} + \cdots + r_{k \tilde{m}-1} X^{\tilde{m}-1} \leftarrow p_k(q_{K_n}(X)) \cdot q_k(X) \mod X^{\tilde{m}}
17
          # B.5. Compute g_{n,k}(X)=r_0(X)+\cdots+r_{K-1}(X)e^{i2\pi\frac{k}{K_n}(K_n-1)}
          for \ell from 0 to \widetilde{m} - 1 do
18
                s_{\ell}(Z) \leftarrow r_{0,\ell} + \cdots + r_{K_{-}-1} \ell Z^{K_{n}-1}
19
           g_{n,0,\ell},\ldots,g_{n,K_n-1,\ell}\leftarrow s_{\ell}(e^{i2\pi\frac{0}{K_n}}),\ldots,s_{\ell}(e^{i2\pi\frac{K_n-1}{K_n}})
20
          # B.6. Append the pair to the result list
          for k from 0 to K_n - 1 do
21
                g_{n,k}(X) \leftarrow g_{n,k,0} + \dots + g_{n,k,\widetilde{m}-1}X^{\widetilde{m}-1}
22
               a_{n,k}(X) \leftarrow (\gamma_n + \rho_n X)e^{i2\pi \frac{k}{K_n}}
23
               Append the pair (q_{n,k}, a_{n,k}) to the list L
24
25 return L
```

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## Algorithm 1: APPROX-MULTIPOINT-EVAL Algorithm

**Data:** polynomial f of degree d, d numbers  $x_i \in D(0,1)$ , precision m

**Result:** List of evaluations  $y_i$  such that

$$|y_i-f(x_i)|\leq ||f||2^{-m}$$

- 1 *L* ← {}
- 2  $Q \leftarrow$  data structure constructed from  $x_i$ , for fast disk range search
- $G \leftarrow H_{d,m+2}(f)$
- 4 for  $(g_k, a_k)$  in G do

$$v_1, \cdots v_{n_k} \leftarrow \text{query Q for range } a_k$$

6 
$$y_1, \cdots y_{n_k} \leftarrow g_k\left(a_k^{-1}(v_1)\right) \cdots g_k\left(a_k^{-1}(v_{n_k})\right)$$

- 7 Append  $y_1, \dots y_k$  to L
- 8 end
- 9 return *L*;

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### Lemma

Fast CRT Modulation Computation [GG13]: There is an algorithm that when given as input coprime positive integeres  $p_1, \dots p_r$  and a positive integer  $N < \Pi p_i < 2^c$  computes the remainders  $a_i \equiv N \mod p_i$  in  $\tilde{O}(c)$  time

### Lemma

Fast CRT Reconstruction [GG13]: There is an algorithm that when given input coprime positive integers  $p_1, \dots p_r$  and  $a_1 \dots a_r$  such that  $0 \le a_i < p_i$  outputs the unique integer  $0 \le N < \prod p_i < 2^c$  such that  $N \equiv a_i \mod p_i$  in  $\tilde{O}(c)$  time.

<sup>[</sup>GG13]: Joachim Von Zur Gathen and Jurgen Gerhard: Modern Computer Algebra

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### Definition

**Kronecker Map:** The c-variate Kronecker Map for base-d denoted by  $\Phi_{d,m;c}$  maps cm-variate polynomials into a c-variate polynomials via:

$$\Phi_{d,m;c}(f(x_{11},\cdots x_{1m},\cdots x_{cm}))=f(1,y_1^d,y_1^{d^2}\cdots y_1^{d^{m-1}},\cdots y_c^{d^{m-1}})$$

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### **Theorem**

Given m-variate polynomial  $f \in \mathbb{F}_p[x_1, \dots x_m]$  with degree at most d-1 in each variable and  $\alpha_1 \dots \alpha_{N-1}$ , then there exists a deterministic algorithm that outputs f(i) in time:

$$O(m(d^m + p^m + N)poly(\log p))$$

### Proof

- ① Compute the reduction  $\bar{f}$  of f modulo  $x_i^p x$
- 2 Use an FFT to compute  $\bar{f}(\alpha)$  for all  $\alpha \in \mathbb{F}_p^m$
- 3 Look up and return  $f(\alpha_i)$

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return  $\{b_i : i \in [M]\}$ ;

### **Algorithm 2:** MME-FINITE-FIELD

```
Data: f(x_1, \ldots, x_n) \in \mathbb{F}_p[x_1, \ldots, x_n] and \mathbf{a}^{(1)}, \ldots, \mathbf{a}^{(N)} \in \mathbb{F}_p^N
    Result: b_i = f(\mathbf{a}^{(i)}) for i \in [M].
1 Adjust d and m such that \log\log d \le m \le d^{o(1)} Let \tilde{L}=(dm+1)logp+mlogd. Compute first \tilde{L} prime
       numbers \{p_1, \cdots p_{\tilde{i}}\}
2 Let L \leq s be the smallest integer such that p_1 \cdots p_L =: M > d^m \cdot p^{dm+1}
3 for e \in \{0,\ldots,d-1\}^m do
            Compute f_e^{(l)} = f_e \mod p_l for l \in L.
5 end
  for i \in [M], k \in [m] do
             Compute a_{i,k,l} = \mathbf{a}_{l,l}^{(l)} \mod p_l for l \in L.
8 end
9 for l \in [L] do
            Let f^{(l)}(x_1, \ldots, x_m) = \sum_{\mathbf{e}} f^{(l)}_{\mathbf{e}} \mathbf{x}^{\mathbf{e}} \in \mathbb{F}_{p_l}[\mathbf{x}]
             Let \mathbf{a}^{(i,l)} = (a_{i,1,l}, \dots, a_{i,m,l}) \in \mathbb{F}_{p_l}^m for each i \in [N].
             Compute f_{(l)}(\alpha) for all \alpha \in \mathbb{F}_p^m
             Look up and store f^{(I)}(a^{(i,I)})
    end
    for i \in [M] do
             Compute the unique b_i \in [-M/2, M/2] such that b_i = b_{i,l} \mod p_l for all l \in [L]
    end
```

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### **Problem Statement**

**Input**: An integer s > 0, a polynomial  $f(x_1, ..., x_n) \in \mathbb{Z}[x_1, ..., x_m]$  of individual degree less than d, given as a list of  $d^m$  integer coefficients, a set of points  $\mathbf{a}^{(1)}, ..., \mathbf{a}^{(N)} \in \mathbb{Z}^m$  with each coordinate of magnitude at most  $2^s$ , with the guarantee that all coefficients of f, coordinates of  $\mathbf{a}^{(i)}$ 's, and evaluations  $f(\mathbf{a}^{(i)})$  are bounded in magnitude by  $2^s$ .

**Output :** Integers  $b_1, \ldots, b_N$  that are the evaluations, i.e.  $b_i = f(\mathbf{a}^{(i)})$  for  $i \in [N]$ .

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### **Theorem**

There is a deterministic algorithm that on input as mentioned above returns the required output as mentioned above and runs in deterministic time  $((d^m + Nm) \cdot s)^{1+o(1)}$  for all  $m \in \mathbb{N}$  and sufficiently large  $d \in \mathbb{N}$ .

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### **Algorithm 3:** Exact-MME-Integers

```
Data: f(x_1, \ldots, x_n) \in \mathbb{Z}[x_1, \ldots, x_n] and a^{(1)}, \ldots, a^{(N)} \in \mathbb{Z}^N, and an integer s > 0 such that
              |\mathbf{a}^{(i)}| < 2^s and |f(\mathbf{a}^{(i)})| < 2^s.
     Result: b_i = f(\mathbf{a}^{(i)}) for i \in [M]
1 Compute the first s prime numbers \{p_1, \ldots, p_s\}.
2 Let L \le s be the smallest integer such that p_1 \cdots p_l =: M > 2^{s+1}.
3 for e \in \{0, ..., d-1\}^m do
             Compute f_e^{(I)} = f_e \mod p_I for I \in L.
    end
    for i \in [M], k \in [M] do
            Compute a_{l,k,l} = \mathbf{a}_{k}^{(l)} \mod p_{l} for l \in L.
8 end
9 for l \in [L] do
            Let f^{(l)}(x_1,\ldots,x_m) = \sum_{\mathbf{r}} f^{(l)}_{\mathbf{r}} \mathbf{x}^{\mathbf{e}} \in \mathbb{F}_{n_l}[\mathbf{x}]
10
             Let \mathbf{a}^{(i,l)} = (a_{i,1,l}, \ldots, a_{i,m,l}) \in \mathbb{F}_{p_l}^m for each i \in [N].
             Compute b_{i,l} = f^{(l)}(\mathbf{a}^{(i,l)}) for all i \in [N] using Finite MME algorithm.
    end
    for i \in [M] do
             Compute the unique b_i \in [-M/2, M/2] such that b_i = b_{i,l} \mod p_l for all l \in [L].
    end
    return \{b_i : i \in [N]\};
```