

Analysis of Algorithms

V. Adamchik

CSCI 570

Lecture 4

University of Southern California

Binomial Heaps Greedy Algorithms

Reading: chapter 3 and 4

Intuition: a kind of heaps

We want to create a heap with a better amortized complexity of insertion. This example will demonstrate that binary heaps do not provide a better upper bound for the worst-case complexity.

Insert $7, 6, 5, 4, 3, 2, 1$ into an empty binary min-heap.



Total # of swaps in a sorted sequence
 $\sum_{k=0}^{\log n - 1} n \cdot 2^k = O(n \log n) \mid AC(insert) = O(\log n)$

heap ordering prop. Binomial Trees B_k

The binomial tree B_k is defined as

1. B_0 is a single node
- 2. B_k is formed by joining two B_{k-1} trees

B_0



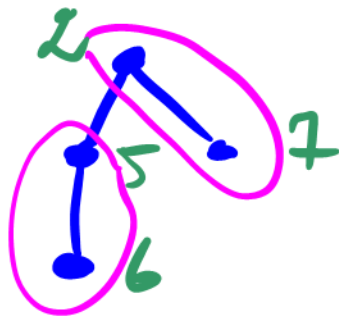
2^0

B_1



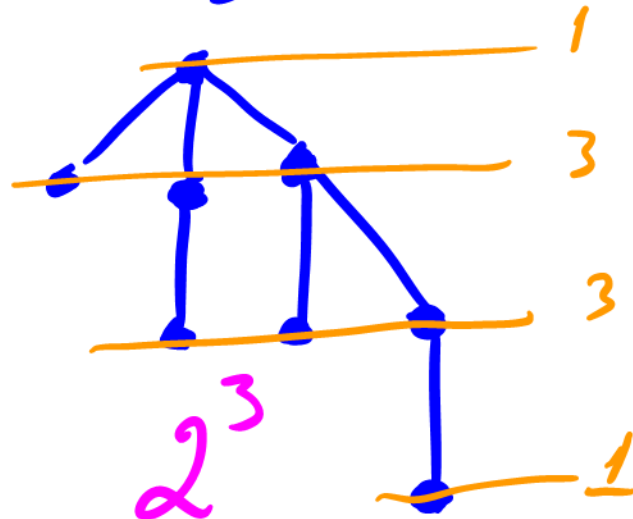
2^1

B_2



2^2

B_3

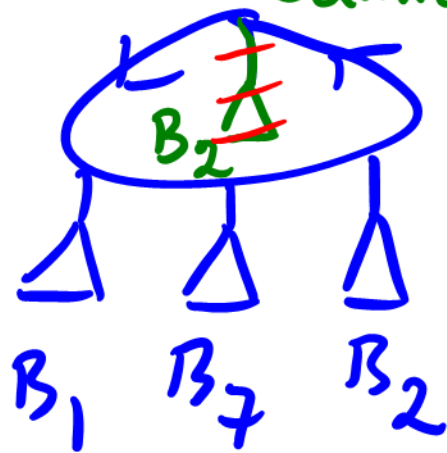


Binomial
numbers

Binomial Heaps

Queue

A binomial heap is a collection (a linked list) of at most $\lceil \log n \rceil$ binomial trees (of unique rank) in increasing order of size where each tree has a heap ordering property. min-heap



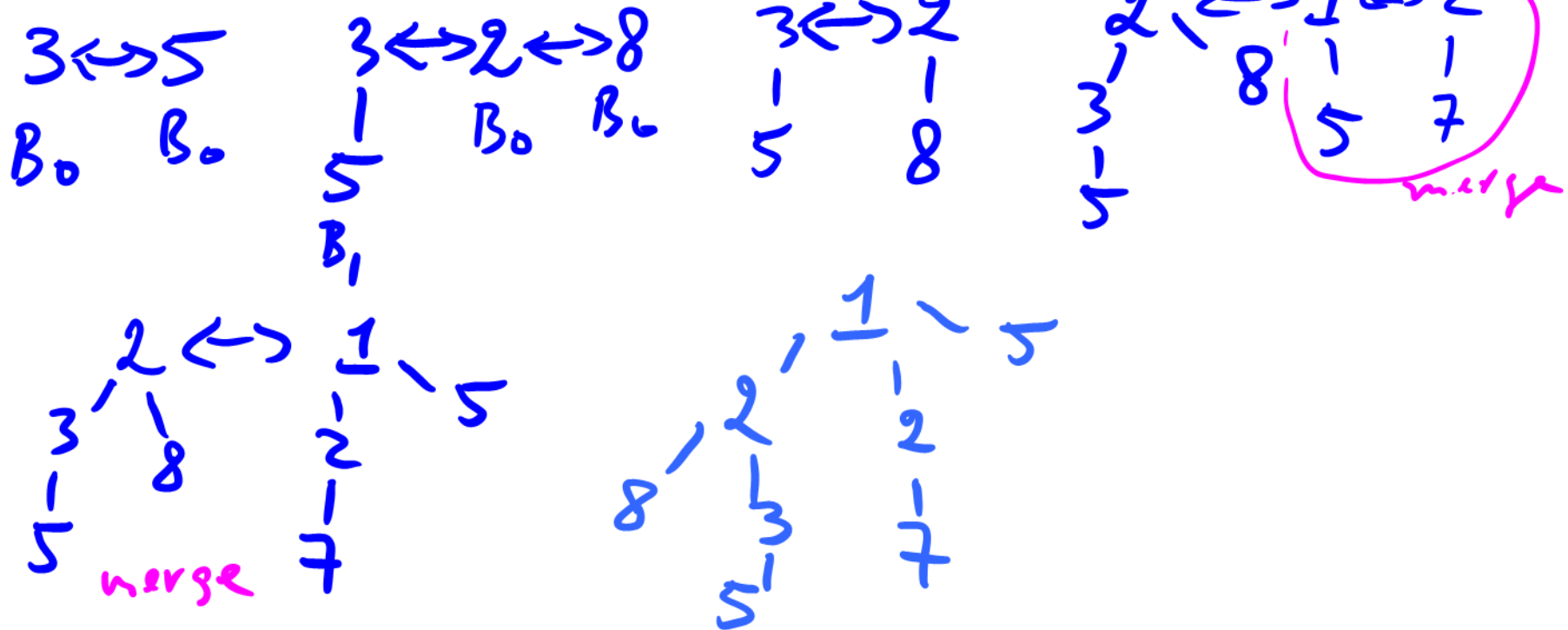
$O(\log n)$ trees
same as the
of bits

LL: $\Delta \leftrightarrow \Delta \leftrightarrow \Delta \leftrightarrow \Delta$

Discussion Problem 1

Given a sequence of numbers: 3, 5, 2, 8, 1, 5, 2, 7.

Draw a binomial heap by inserting the above numbers reading them from left to right



Discussion Problem 2

binary number

How many binomial trees does a binomial heap with 25 elements contain? What are the ranks of those trees?

$$25_2 = (16 + 8 + 1)_2 = \underset{B_4}{1} \underset{B_3}{1} \underset{B_0}{001}$$

$$N_2 = \text{bits} \quad \# \text{ of bits } O(\log N) \leftarrow \# \text{ of bin. trees}$$

Insertion into a heap?
is a binary addition

$$\begin{array}{r} + 11001 \\ \hline 11010 = 26_2 \\ \underset{B_4}{1} \underset{B_3}{1} \underset{B_1}{010} \end{array}$$

Insertion

What is its worst-case runtime complexity?

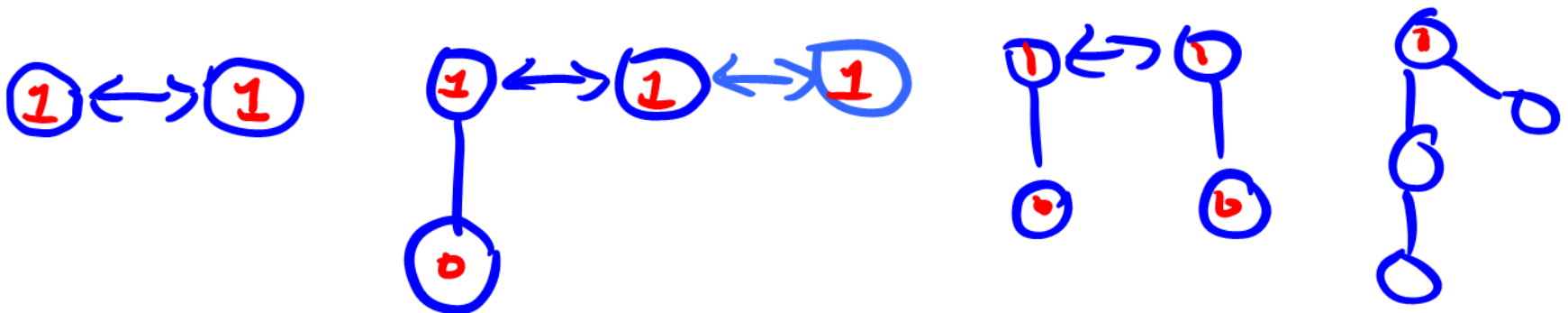
$$\begin{array}{r} 15_2 = 1111 \\ + 1 \\ \hline 16666 \end{array}$$

$$O(\log n)$$

What is its amortized runtime complexity?

Accounting Method, 2 tokens

lecture 2.
 $O(1)$



Building: Binomial vs Binary Heaps

online algo

The cost of inserting n elements into a binary heap, one after the other, is $\Theta(n \log n)$ in the worst-case.

offline algo

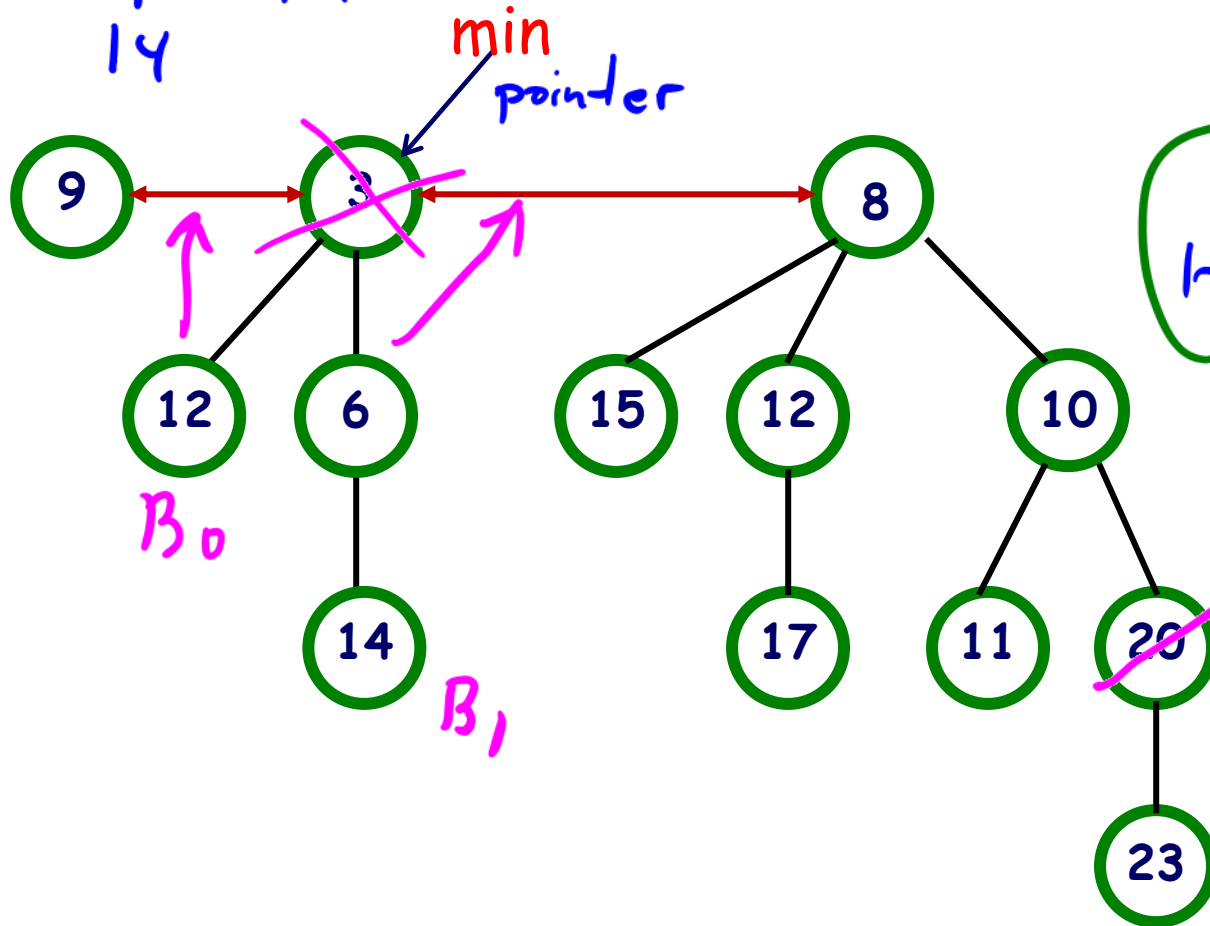
If n is known in advance, we run heapify, so a binary heap can be constructed in time $\Theta(n)$.

The cost of inserting n elements into a binomial heap, one after the other, is $\Theta(n)$ (amortized cost), even if n is not known in advance.

findMin - $O(1)$

deleteMin()

$9 \leftrightarrow 12 \leftrightarrow 6 \leftrightarrow 8$
merge
14



deleteMin()

Algo:

1. delete the min, $O(1)$
2. move subtrees to the top level
3. traverse a collection and merge trees of the same rank, $O(\log n)$

Runtime Complexity, $O(\log n)$

4. update the min pointer, $O(\log n)$

$O(n)$ for binary heap

$O(\log n)$ for binomial heap

Discussion Problem 3

Devise an algorithm for merging two binomial heaps and discuss its complexity. Merge $B_0 B_1 B_2 B_4$ with $B_1 B_4$.

Algo:

1. merge two LL, $O(1)$
2. traverse and merge binomial trees of the same rank, $O(\log n)$

$$\begin{array}{r} 10111 \\ + 10010 \\ \hline 101001 \end{array}$$

$B_5 \quad B_3 \quad B_0$

$$LL: B_0 \leftrightarrow B_3 \leftrightarrow B_5$$

Heaps

"lazy"
Binomial heap
↑

	Binary	Binomial	Fibonacci
findMin	$\Theta(1)$	$\Theta(1)$ pointer	
deleteMin	$\Theta(\log n)$	$\Theta(\log n)$	
insert	$\Theta(\log n)$	$\Theta(1)$ (ac)	
decreaseKey	$\Theta(\log n)$	$\Theta(\log n)$?	$O(1)$ ac
merge	$\Theta(n)$	$\Theta(\log n)$ ↑	

ac - amortized cost.

see slide 9.

Lazy vs. Eager algorithms

FIBONACCI HEAPS

Idea: relaxed (lazy) binomial heaps

Goal: decreaseKey in $O(1)$ ac.

It allows trees of the same rank
and those trees are not binomial
trees.

CLRS textbook

The algorithm is outside of the scope of this course.

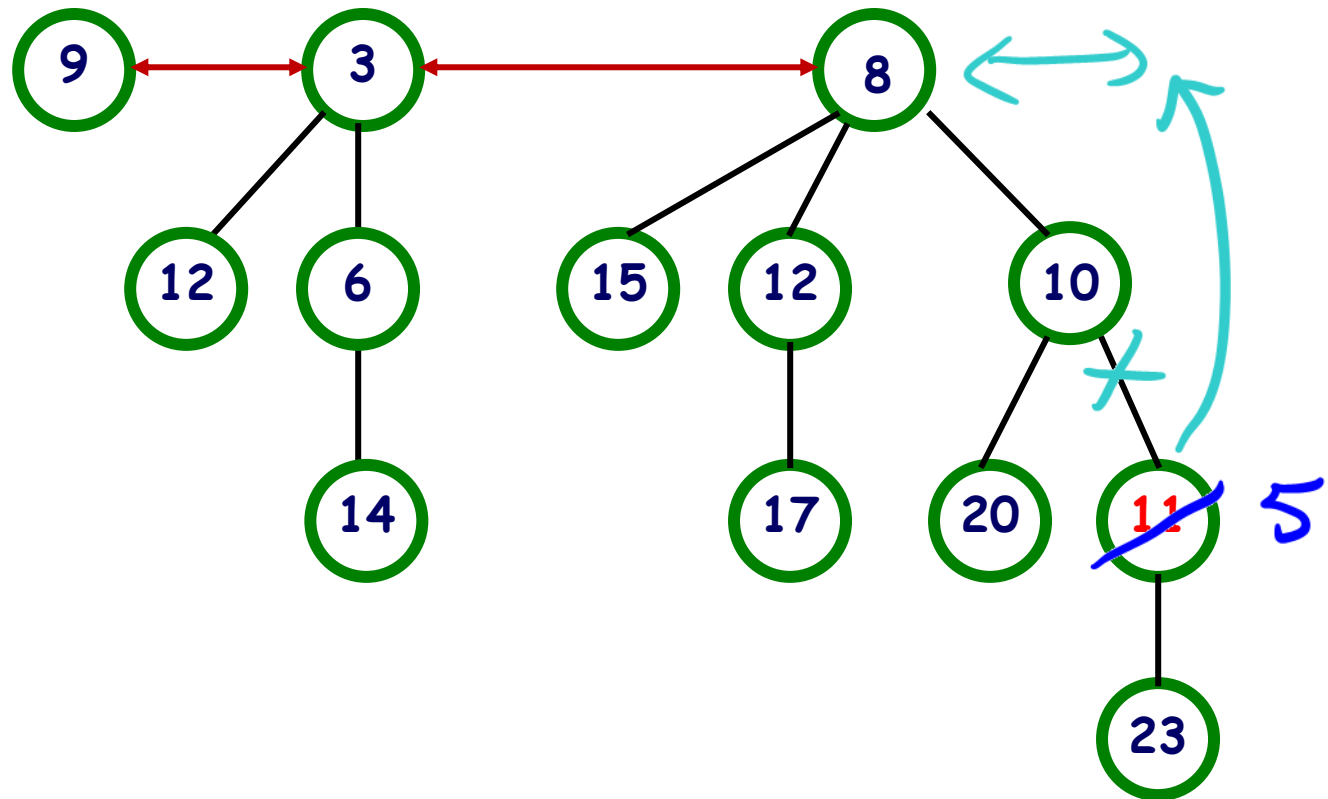
Heaps

	Binary	<u>Binomial</u>	Fibonacci
findMin	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$
<u>deleteMin</u>	$\Theta(\log n)$	$\Theta(\log n)$	$O(\log n)$ (ac)
<u>insert</u>	$\Theta(\log n)$	$\Theta(1)$ (ac)	$\Theta(1)$
<u>decreaseKey</u>	$\Theta(\log n)$	$\Theta(\log n)$	$\Theta(1)$ (ac)
merge	$\Theta(n)$	$\Theta(\log n)$	$\Theta(1)$ (ac)

lazy

decreaseKey: example

Suppose we want to change 11 to 5.



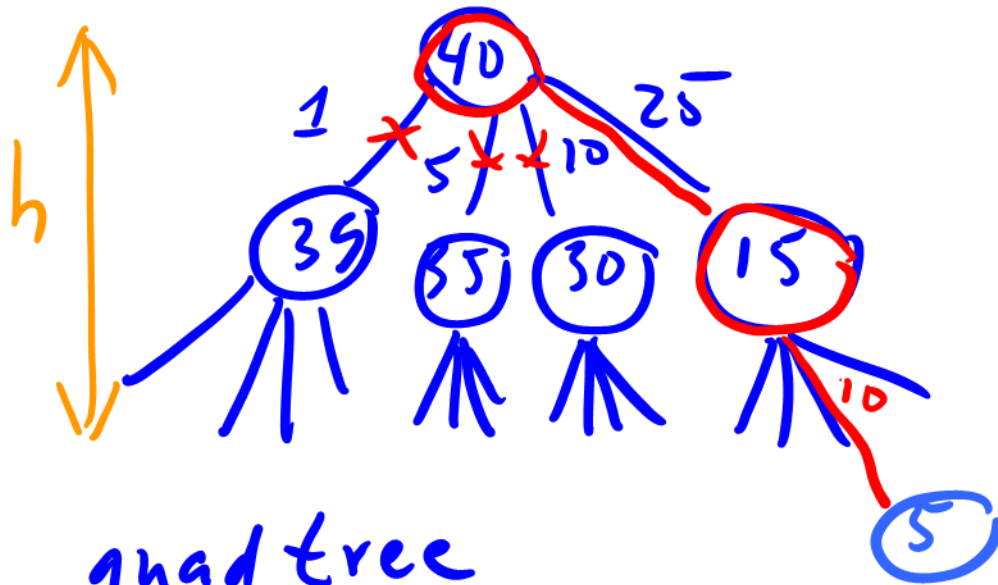
Greedy Algorithms

$$40 = 25 + 10 + 5$$

The Money Changing Problem

We are to make a change of \$0.40 using US currency and assuming that there is an unlimited supply of coins.

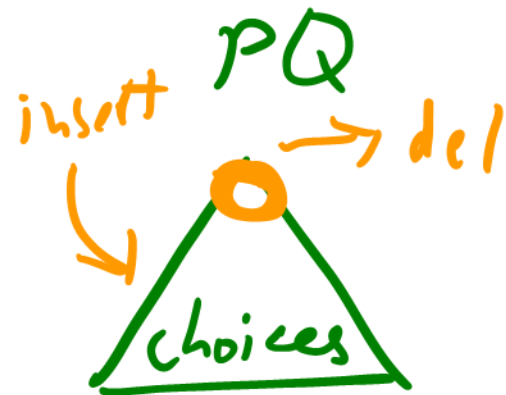
penny, nickel, dime, ...



greedy algo
 $O(h)$

quad tree
brute-force: consider all choices

$O(4^h)$ exponential



SubOptimal solution

Greedy Algorithm does not always yield the global optimal solution.

denominations: $1, 5, 10, \underline{20}, 25, 50, 100$

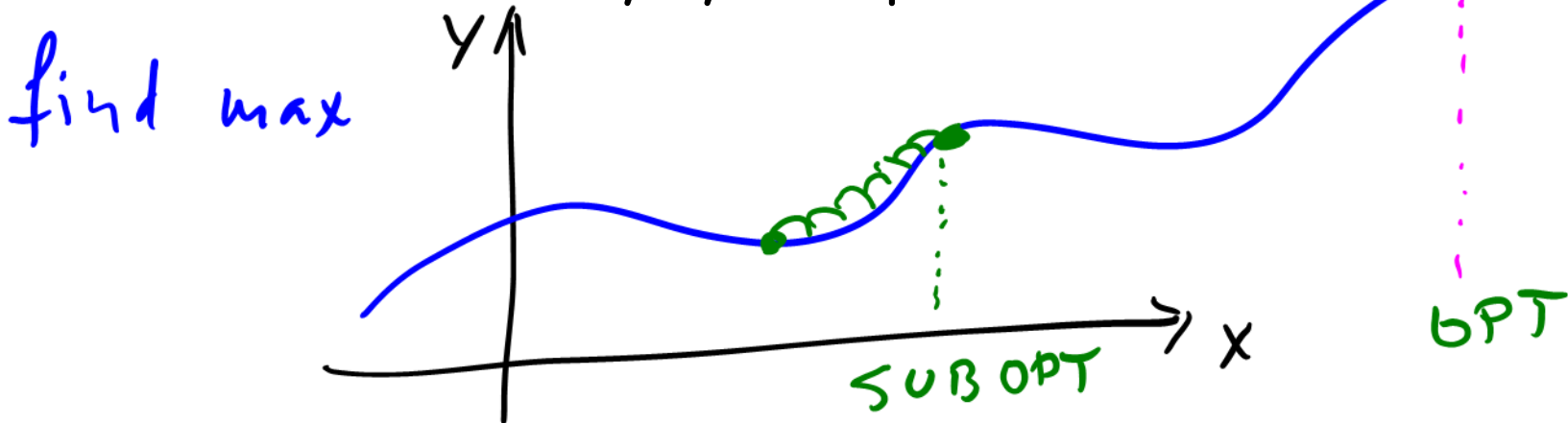
greedy: $40 = 25 + 10 + 5$

OPT: $40 = 20 + 20$

What is Greedy Algorithm?

There is no formal definition...

- It is used to solve optimization problems
- It makes a local optimal choice at each step
- \rightarrow Earlier decisions are never undone
- \rightarrow Do not always yield optimal solutions



Elements of the greedy strategy

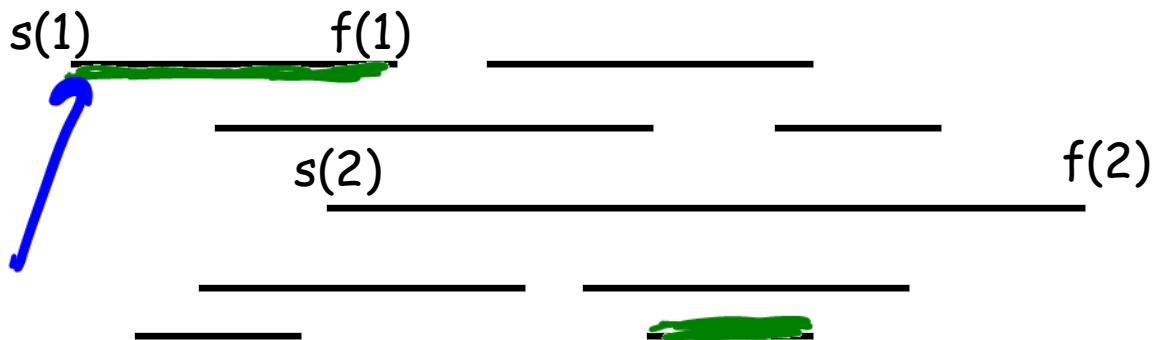
There is no guarantee that such a greedy algorithm exists, however a problem to be solved must obey the following two common properties:

and greedy-choice property
optimal substructure.

Proof. induction, contradiction

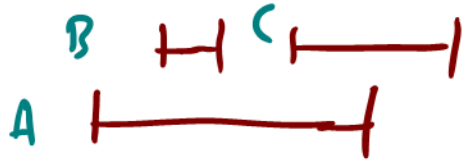
Scheduling Problem

There is a set of n requests. Each request i has a starting time $s(i)$ and finish time $f(i)$. Assume that all requests are equally important and $s(i) \leq f(i)$. Our goal is to develop a greedy algorithm that finds the largest compatible (non-overlapping) subset of requests.



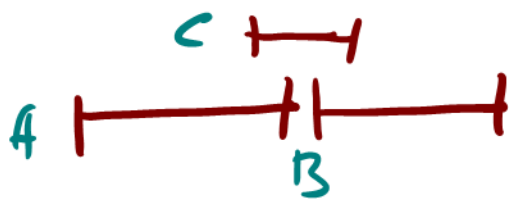
How do we choose requests?

1. Sort by starting time, $s(i)$



ALG: ~~2~~ of requests 1
OPT: 2 (B & C)

2. Sort by $f(i) - s(i)$, shortest first



ALG: 1 (C)
OPT: 2 (A & B)

3. Sort by finish time, $f(i)$

first example: C, A

second example: B, A

Goal: $k=m$

Proof

ALG: i_1, i_2, \dots, i_k

OPT: j_1, j_2, \dots, j_m

Prove $f(i_r) \leq f(j_r)$, for $\forall r \leq k$
by induction OPT. substructure

Base case: $r=1$, $f(i_1) \leq f(j_1)$, it holds
earliest finish time

IH: $f(i_{r-1}) \leq f(j_{r-1})$, for $(r-1)$ request

IS: prove it for r -th request

$$\underbrace{f(i_{r-1}) \leq f(j_{r-1})}_{\text{IH}} \leq \underbrace{s(j_r)}_{\text{cannot overlap}}$$

Prove $K=m$

Proof by contradiction.

ALG: i

OPT: j

Assume $K < m$. conclude $\exists j_{K+1}$

$\begin{cases} f(j_K) \leq s(j_{K+1}), & \text{compatible} \\ f(i_K) \leq f(j_K), & \text{by induction} \end{cases}$

$$f(i_K) \leq s(j_{K+1})$$

It means that j_{K+1} does not overlap with i_1, i_2, \dots, i_K

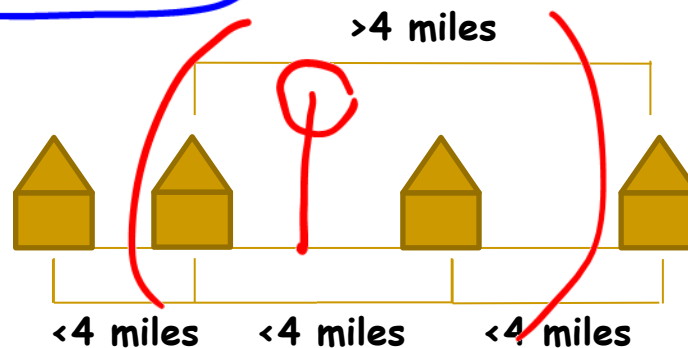
ALG will choose j_{K+1} . Contradiction.

Discussion Problem 4

Let's consider a long, quiet country road with houses scattered very sparsely along it. We can picture the road as a long line segment, with an eastern endpoint and a western endpoint. You want to place cell phone base stations at certain points along the road so that every house is within four miles of one of the base stations.

Give an efficient algorithm that achieves this goal and uses as few base stations as possible.

INPUT:
seq. of houses
 h_1, h_2, \dots, h_k



Algorithm:

1. Sort the sequence of houses (west to east)

2.  repeat

Complexity: given n houses. $O(n \log n)$

Proof of the correctness.

ALG: s_1, s_2, \dots, s_k OPT: t_1, t_2, \dots, t_m

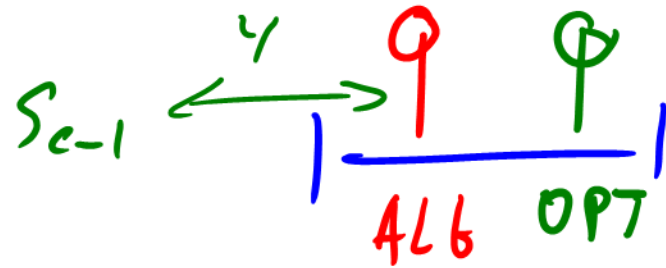
INDUCTION

Base case: for one house, it is true

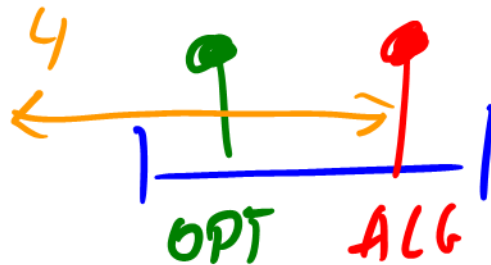
IH: assume for $c-1$ houses

IH: prove it for c houses

$s_1, s_2, \dots, s_{c-1}, \Delta$



impossible



possible

OPT size \geq ALG size

Brute-force: find all spanning trees, find min
exponential The Minimum Spanning Tree

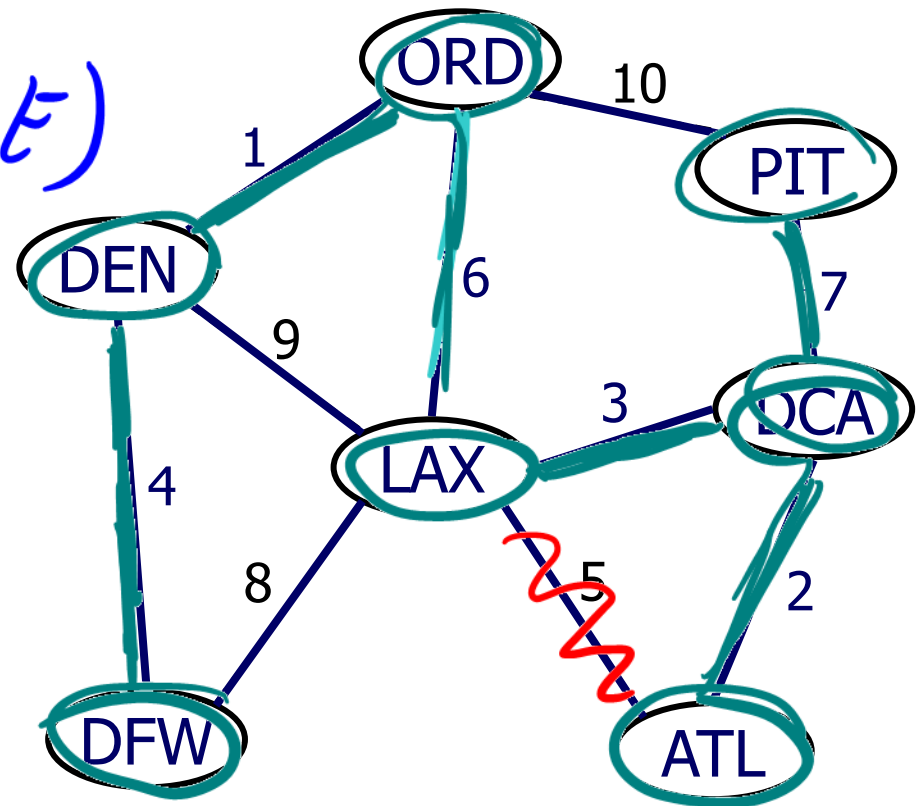
Find a spanning tree of the minimum total weight.

$$MST = 1 + 2 + 3 + 4 + 6 + 7 = 23$$

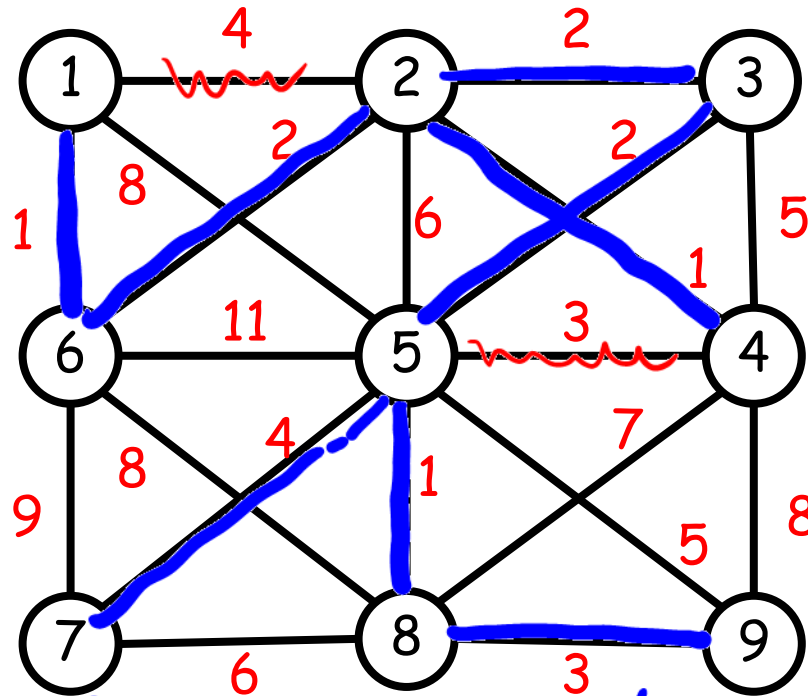
Algo:

1. sort edges, $O(E \log E)$
2. process edges in ascending order
 - a. make sure that ~~A~~ cycle, $O(V)$

Runtime: $O(E \log E + E \cdot V)$



ATT $O(E \log E + E \cdot V)$
 Kruskal's Algorithm



Assume that you do not sort edges
 Runtime: $O(E \cdot E + E \cdot V)$

T/F Questions

- F 1. Every graph has a spanning tree.
- F 2. A Minimum Spanning Tree is unique.
- F 3. Kruskal's algorithm can fail in the presence of negative cost edges.

Discussion Problem 5

You are given a graph G with all distinct edge costs. Let T be a minimum spanning tree for G . Now suppose that we replace each edge cost c_e by its square, c_e^2 , thereby creating a new graph G_1 with the different distinct costs. Prove or disprove whether T is still an MST for this new graph G_1 .

$$c_e \rightarrow c_e^2$$

FALSE

$$MST(G) = T$$

$$MST(G_1) = ?$$

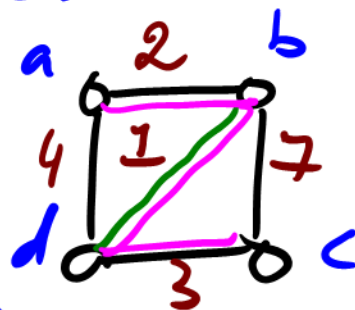
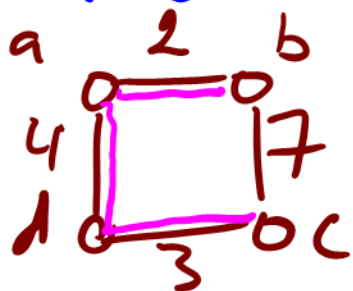
$$\text{if } c_e \geq 0, MST(G) = MST(G_1)$$

Proof. sorting order does not change.

Discussion Problem 6

You are given a minimum spanning tree T in a graph $G = (V, E)$. Suppose we add a new edge (without introducing any new vertices) to G creating a new graph G_1 . Devise a linear time algorithm to find an MST in G_1 .

$$MST(G) = T, \quad MST(G_1) = ?$$



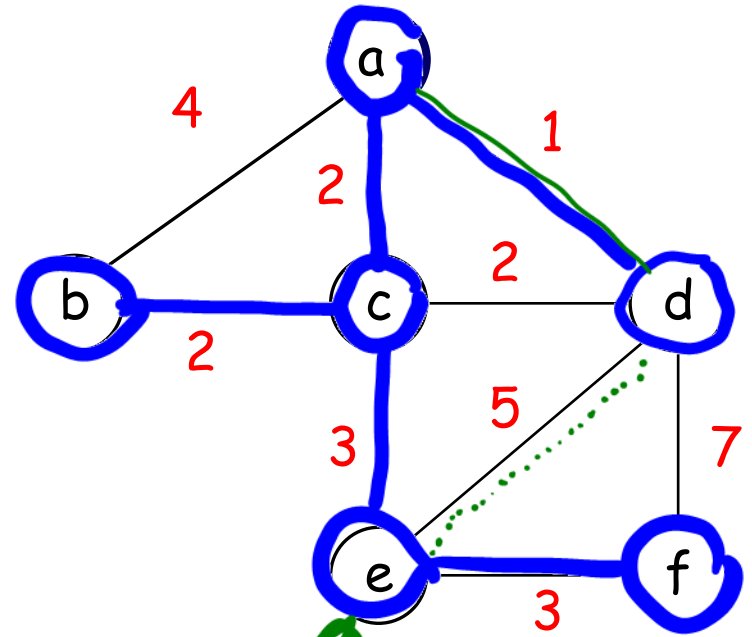
Algorithm: (b,d)
 1. add that new edge to T , $O(1)$
 2. traverse the cycle (d,b,a) , delete the largest edge, $O(V)$

Prim's Algorithm

heap of vertices
first step:



insert vertices
delete Min
decrease Key for
updating edges



$$(c, e) = 3$$
$$(d, e) = 5$$

Complexity of Prim's Algorithm

Also:

1. deleteMin $O(\log V)$
on each vertex $O(V \cdot \log V)$

2. decreaseKey $O(\log V)$
update each edge $O(E \cdot \log V)$

$O(V \cdot \log V + E \cdot \log V)$, binary heap

$O(V \cdot \log V + E)$, Fibonacci heap