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Treewidth of Outer k-Planar Graphs

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— Abstract

Treewidth is an important structural graph parameter that quantifies how closely a graph resembles a tree-like structure. It has applications in many algorithmic and combinatorial problems. In this paper, we study treewidth of outer k-planar graphs – graphs admitting a convex drawing where all vertices lie on a circle and each edge crosses at most k other edges. We also consider more general class of outer min-k-planar graphs, which are graphs admitting a convex drawing where for every crossing of two edges at least one of these edges is crossed at most k times.

Firman, Gutowski, Kryven, Okada and Wolff [GD 2024] proved that every outer k-planar graph has treewidth at most 1.5k+2 and provided a lower bound of k+2 for even k. We establish a lower bound of 1.5k+0.5 for every odd k. Additionally, they showed that every outer min-k-planar graph has treewidth at most 3k+1. We improve this upper bound to $3 \cdot \lfloor 0.5k \rfloor + 4$. What about k/2 in stead of 0.5k?

Our approach also allows us to upper bound the *separation number*, a parameter closely related to treewidth, of outer min-k-planar graphs by $2 \cdot \lfloor 0.5k \rfloor + 4$. This improves the previous bound of 2k+1 and achieves a bound with an optimal multiplicative constant.

Keywords and phrases treewidth, outer k-planar graphs, outer min-k-planar graphs, separation number

Category Track 1

1 Introduction

In this paper, we study classes of graphs admitting a *convex drawing* with bounded number of edge crossings. A convex drawing is a straight-line drawing with all vertices drawn on a common circle. Bannister and Eppstein [1, 2] proved that the treewidth of graphs admitting a convex drawing with at most k crossings in total is bounded by a linear function of \sqrt{k} . For a fixed k, they also provided a linear-time algorithm deciding if a given graph admits such drawing (using Courcelle's theorem [6]). Another, studied before, class of graphs in this area is the class of outer k-planar graphs, that is graphs admitting a convex drawing, in which every edge crosses at most k other edges. These graphs have bounded treewidth by a linear function of k, which was first proven by Wood and Telle [14, Proposition 8.5]. The authors of [5], also using Courcelle's theorem, for a fixed k, showed a linear-time algorithm testing whether given graph is maximal outer k-planar. Recently, Kobayashi, Okada and Wolff [11], for a fixed k, provided a polynomial-time algorithm testing whether given graph is outer k-planar and proved that recognising outer k-planar graphs is XNLP-hard.

For disambiguation, we recall the definition of k-outerplanar graphs. A graph is outerplanar if it has a planar drawing with all vertices lying on the outer face. A graph is 1-outerplanar when it is outerplanar. A graph is k-outerplanar for k > 1 when it has a planar drawing such that after removing the vertices of the outer face, each of the remaining components is (k-1)-outerplanar.

We mainly study treewidth of outer k-planar graphs and outer min-k-planar graphs. A graph is outer min-k-planar if it admits a convex drawing; in which for every crossing of two edges at least one of these edges is crossed at most k times. The authors of [8] proved that outer k-planar graphs have treewidth at most 1.5k + 2 and outer min-k-planar graphs have treewidth at most 3k + 1. To obtain these results, they showed that every outer k-planar graph admits a triangulation of the outer cycle such that every edge of the triangulation is

list them once (if space permits)

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crossed at most k times by the edges of the graph. A similar property was proven for outer min-k-planar graphs. 41

Another property closely related to treewidth is the separation number of a graph. 42 A separation of a graph G is a pair (A, B) of subsets of V(G) such that $A \cup B = V(G)$ and 43 44 A separation is balanced if $|A \setminus B| \leq \frac{2}{3}|V(G)|$ and $|B \setminus A| \leq \frac{2}{3}|V(G)|$. The separation number 45 of a graph G, denoted $\operatorname{sn}(G)$, is the minimum integer a such that every subgraph of Ghas a balanced separation of order at most a. Robertson and Seymour [12] proved that $\operatorname{sn}(G) \leq \operatorname{tw}(G) + 1$ for every graph G. From the other side, Dvořák and Norin [7] showed 48 that $tw(G) \leq 15 \operatorname{sn}(G)$. Recently, Houdrouge, Miraftab and Morin [9] provided a more constructive proof of an analogous inequality, but with a worse multiplicative constant.

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Our contribution. The authors of [8] proved that for every outer k-planar graph, its treewidth is at most 1.5k + 2. They also presented a lower bound of k + 2 for every even k. 52 We present an infinite family of outer k-planar graphs with treewidth at least 1.5k + 0.5, showing that the multiplicative constant 1.5 in the upper bound cannot be improved; see Section 3. 55

We also improve the upper bounds for the treewidth and separation number of outer min-k-planar graphs. It was previously known that the treewidth of such graphs is at most 3k + 1 and the separation number is at most 2k + 1 [8]. We give an upper bound of $3 \cdot |0.5k| + 4$ for treewidth; see Section 4, and an upper bound of $2 \cdot |0.5k| + 4$ for the separation number; see Section 5. Both multiplicative constants are optimal, as the lower bounds for outer k-planar graphs also hold for outer min-k-planar graphs – namely, our lower bound of 1.5k + 0.5 for the treewidth and δ lower bound of k + 2 for the separation number the connecting vertices u and v vinaries you use this already in line 43. presented in [8].

Let G be a graph. By V(G) and E(G) we denote the set of vertices and edges of G, respectively. For an edge, we use the compact notation uv, instead of $\{u,v\}$. For a directed edge, we stick to the standard notation (u,v). Let $\deg(v)$ denote the degree of a vertex v_j and $\Delta(G)$ be the maximum degree of a vertex of G.

For a graph G, a subgraph *induced* by a set $U \subseteq V(G)$, denoted G[U], is a subgraph with vertex set U and all edges of G between the vertices of U. A spanning tree of a graph G is a subgraph of G containing all the vertices of G that is a tree. By $dist_G(v, w)$ we denote the distance (i.e. the length of the shortest path) between v and w in a graph G. For any tree Trooted at vertex r, we define the depth of a vertex v as $\operatorname{depth}_T(v) = \operatorname{dist}_T(r, v)$. We may omit subscripts if they are clear from the context.

A tree decomposition $\mathcal{T} = (T, B)$ of a graph G is a collection of nodes, called bags, $\{B_x:x\in V(T)\}$ indexed by the vertices of a tree T. Every bag is a subset of V(G) with following properties satisfied:

- 1. for every vertex $v \in V(G)$, the set $\{x : v \in B_x\}$ induces a non-empty subtree in T;
- **2.** for every edge $uv \in E(G)$, there exists a bag containing both u and v.
- The width of a given tree decomposition is the size of the largest bag minus one. The treewidth of a graph G, denoted by tw(G), is the minimum width of any tree decomposition of G. 81
 - A set \mathcal{B} of non-empty subsets of V(G) is a *bramble* if:
 - 1. for every $X \in \mathcal{B}_{\ell}$ the induced subgraph G[X] is connected;

Su other words,

2. for every $X_1, X_2 \in \mathcal{B}$ the induced subgraph $G[X_1 \cup X_2]$ is connected. The means, each $X_1, X_2 \in \mathcal{B}$ share a common vertex or there exists an edge of G incident to both X_1 and X_2 .

A hitting set of a bramble is a set of vertices with non-empty intersection with every element of \mathcal{B} . The order of a bramble is the size of its smallest hitting set. The bramble number of a graph G, denoted by $\operatorname{bn}(G)$, is the maximum order of any bramble of G.

The following result by Seymour and Thomas shows the relation between bramble number and treewidth.

▶ **Theorem 1** (Seymour and Thomas, [13]). For every graph G, tw(G) = bn(G) - 1.

We say that a graph G is a minor of a graph H_v if G can be obtained from H by a sequence of vertex deletions, edge deletions or edge contractions. Edge contraction of an edge uv is an operation that replaces vertices u and v with a new vertex adjacent to every vertex other than u and v that was adjacent to u or v. It is a well-known fact that if G is a minor of H, then $\operatorname{tw}(G) \leq \operatorname{tw}(H)$. The proof of this fact can be found in [3].

In the remainder of this section, we introduce some notation and simple observations regarding drawings. A convex drawing of a graph G is a straight-line drawing where the vertices of G are placed on different points of a circle in the cyclic order (v_1, \ldots, v_n) . We say that an edge $v_i v_j$ with i < j crosses an edge $v_i v_j$ with i' < j' if either $1 \le i < i' < j \le j' \le n$ or $1 \le i' < i < j' < j \le n$. We consider only such convex drawings that no three edges pass through the same point. An outer k-planar drawing of a graph is a convex drawing such that every edge crosses at most k other edges. An outer min-k-planar drawing of a graph is a convex drawing such that for every crossing of two edges at least one of these edges crosses at most k other edges.

An outer k-planar graph G is maximal outer k-planar if, for every $e \in V^2(G) \setminus E(G)$, the graph G + e is not outer k-planar.

▶ **Observation 2.** Let G be a maximal outer k-planar graph with at least three vertices. Then, in every outer k-planar drawing of G, the outer face is bounded by a simple cycle.

Proof. Consider an outer k-planar drawing Γ of G. Let u and v be consecutive vertices in the cyclic order defined by Γ . Suppose that $uv \notin E(G)$. Notice that the graph G + uv has an outer k-planar drawing defined by the same cyclic order as Γ , which contradicts the maximality of G.

A graph G is expanded outer k-planar if G is an outer k-planar graph with $\Delta(G) \leq 3$ and its outer face is bounded by a simple cycle in some outer k-planar drawing of G.

Observation 3. Every outer k-planar graph G is a minor of an expanded outer k-planar graph G'.

Proof. Let us assume that G is maximal outer k-planar. Now, in order to obtain G' from G, we perform the following transformation to every vertex v of G with $\deg(v) \geq 4$. The transformation is depicted in Figure 1. Let $w_0, w_1, \ldots, w_s, w_{s+1}$ be all neighbors of v in clockwise order, with edges vw_0 and vw_{s+1} adjacent to the outer face of G. We replace v with a path v_1, \ldots, v_s , put it on the outer face of G in counter clockwise order, in the place of v. We connect this path to vertices w_0 and w_{s+1} by adding edges v_1w_0 and v_sw_{s+1} . Finally, for every $1 \leq i \leq s$, we add an edge v_iw_i that corresponds to an edge vw_i in the original graph. It is easy to see that G is a minor of G' and the ordering of corresponding edges in G' matches the one in G. The crossings in the resulting graph naturally correspond to the crossings in the original graph.

of V(G)

4 Treewidth of Outer k-Planar Graphs

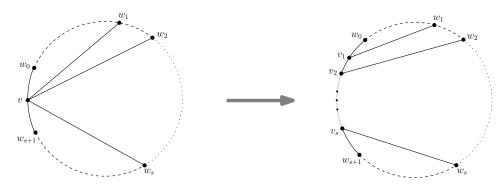


Figure 1 The transformation described in Observation 3.

The vertices v_1, \ldots, v_s defined in the proof are called *images* of v_1 and v is the *origin* of these vertices, denoted $\operatorname{org}(v_i) = v$. If the transformation was not performed for some vertex v of G, i.e. $\deg(v) \leq 3$, then v is an image and origin of itself.

We remark that the analogous definitions and Observations 2, 3 hold for outer min-kplanar graphs. Since adding edges increases neither the treewidth nor the separation number, we are interested in the properties of maximal graphs. Also, taking a minor does not increase the treewidth, so we work with expanded graphs when bounding treewidth.

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Lower bound for treewidth of outer k-planar graphs

In this section, we construct an infinite family of outer k-planar graphs with treewidth at least 1.5k + 0.5. This improves the previous lower bound of k + 2 that was presented in [8]. 139 We begin by defining the necessary graphs. 140

For positive integers m and n, let $X_{m,n}$ denote the grid of m rows and n columns, i.e. a graph with

$$V(X_{m,n}) = \{x_{i,j} : 1 \le i \le m, 1 \le j \le n\} \text{ and } E(X_{m,n}) = \{x_{i,j} x_{k,l} : |i - k| + |j - l| = 1\}.$$

 $V(X_{m,n}) = \{x_{i,j}: 1 \leq i \leq m, 1 \leq j \leq n\} \text{ and } E(X_{m,n}) = \{x_{i,j}x_{k,l}: |i-k|+|j-l|=1\}.$ For a positive integer k, consider Q_k —a copy of grid $X_{2k,2k}$, and R_k —a copy of $X_{2k(k+1),k}$. Let $v_{i,j}$, for $1 \leq i, j \leq 2k$, be a vertex in i-th row and j-th column of Q_k , and $u_{i,j}$, for $1 \leq i \leq 2k(k+1), 1 \leq j \leq k$, be a vertex in i-th row and j-th column of R_k . Let G_k be a graph such that $V(G_k) = V(Q_k) \cup V(R_k)$ and

$$E(G_k) = E(Q_k) \cup E(R_k) \cup \left\{ v_{i,2k} u_{(i-1)(k+1)+j,1} : 1 \le i \le 2k, 1 \le j \le k+1 \right\};$$

see Figure 2. For $1 \leq i \leq 2k(k+1)$, let i-th extended row of G_k be the union of i-th row of R_k and $\lceil \frac{i}{k+1} \rceil$ -th row of Q_k . Notine that each row of Q_k is contained in k+1 extended rows and the graph induced by each extended row is a path.

The graph G_k was previously defined by Kammer and Tholey in [10] as an example of tightness of the upper bound for the treewidth of k-outerplanar graphs. They used the copsand robber game to establish the lower bound for treewidth of G_k . Below, we present a proof using brambles.

▶ **Theorem 4** (Kammer and Tholey, [10]). For every $k \ge 1$, $tw(G_k) = 3k - 1$.

Proof. Notice that the drawing of G_k in Figure 2 is k-outerplanar. By the fact that kouterplanar graphs have treewidth at most 3k-1 (Bodlaender, [4]), we get $\operatorname{tw}(G_k) \leq 3k-1$.

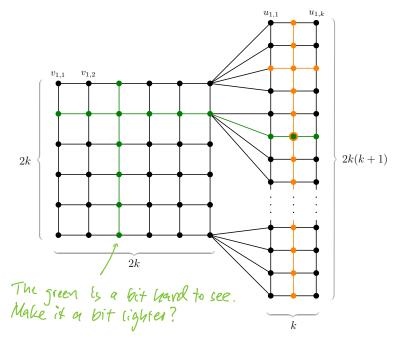


Figure 2 The graph G_k , for k = 3, with a subgraph of \mathcal{B}_1 colored green and a subgraph of \mathcal{B}_2 colored orange.

To prove that $\operatorname{tw}(G_k) \geq 3k-1$, we will construct a bramble of order 3k. Then, using Theorem 1, we will get $\operatorname{tw}(G_k) \geq 3k-1$. Let \mathcal{B}_1 be a family consisting of every subgraph of G_k that is a union of an extended row of G_k and a column of Q_k . Let \mathcal{B}_2 be a family consisting of every subgraph of G_k that is a union of a row of R_k and a column of R_k . The set $\mathcal{B} = \mathcal{B}_1 \cup \mathcal{B}_2$ forms a bramble of G_k , as each subgraph in \mathcal{B} is connected and every two subgraphs have at least one common vertex.

Consider any hitting set S of \mathcal{B} . Let q and r be the number of vertices of S in $V(Q_k)$ and in $V(R_k)$, respectively. Now, we would like to show that $|S| = q + r \geq 3k$. Notice that $r \geq k$, as otherwise there is a row and a column of R_k not containing any element of S, and

thus there is an element of \mathcal{B}_2 not hit by S.

If $q \geq 2k$ then $q + r \geq 3k$. Otherwise, let q = 2k - l for some positive integer l. Now, we can find at least l columns and at least l rows of Q_k not intersecting S. These l rows are contained in l(k+1) extended rows. Every one of them has to intersect S at some vertex of R_k , because otherwise we can find a column of Q_k and an extended row not intersecting S that form an element of \mathcal{B}_1 . The extended rows restricted to R_k are pairwise disjoint so we have $r \geq l(k+1)$. Summing up, we get $q + r \geq 2k - l + l(k+1) = 2k + lk \geq 2k + k = 3k$, which concludes the proof.

Let F_k be the following modification of G_k depicted in Figure 3. We set $\ell(i) = (k-i)(k+1)$ for $1 \le i \le k$, and $\ell(i) = (i-k-1)(k+1)$ for $k+1 \le i \le 2k$. For every $1 \le i \le 2k$, we remove every edge between $v_{i,2k}$ and any vertex of the grid R_k . We add a path Z_i of length $\ell(i)$ on vertices $z_{i,0}z_{i,1}\ldots z_{i,\ell(i)}$. We add a path W_i of length k on vertices $w_{i,1}w_{i,2}\ldots w_{i,k+1}$. We connect $v_{i,2k}$ with Z_i by adding an edge $v_iz_{i,0}$; Z_i with W_i by adding an edge $z_{i,\ell(i)}w_{i,k+1}$ for $1 \le i \le k$ or an edge $z_{i,\ell(i)}w_{i,1}$ for $k+1 \le i \le 2k$. Finally, we connect W_i with R_k by adding an edge $w_{i,j}u_{(i-1)(k+1)+j,1}$ for every $1 \le j \le k+1$.

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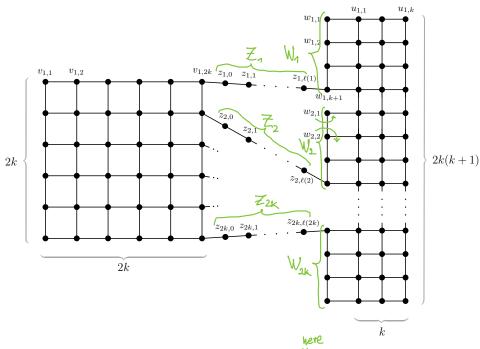


Figure 3 The graph F_k – a modification of the graph G_k , for k=3.

To see that G_k is a minor of F_k it is enough to contract, for every $1 \leq i \leq 2k$, vertex $v_{i,2k}$ with vertices of paths Z_i and W_i . Since taking a minor does not increase the treewidth, we obtain the following corollary.

- ▶ Corollary 5. For every $k \ge 1$, $\operatorname{tw}(F_k) \ge 3k 1$. ▶ Theorem 6. The graph F_k has an outer (2k 1)-planar drawing for every $k \ge 1$. 191

Proof. We describe an outer (2k-1)-planar drawing of F_k as depicted in Figure 4. We call the set of vertices $\{v_{i,j}: 1 \leq i \leq k, 1 \leq j \leq 2k\}$ the upper part of Q_k . The other vertices of Q_k are called the lower part of Q_k . We define a cyclic order of the vertices of F_k by arranging them in a clockwise direction from some selected starting point on a circle.

First, we put vertices from the upper part of Q_k , in the column-by-column order (see 201 Figure 4b):

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v_{k,1},\ldots,v_{2,1},v_{1,1},
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             v_{k,2},\ldots,v_{2,2},v_{1,2},
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             v_{k,2k},\ldots,v_{2,2k},v_{1,2k}.
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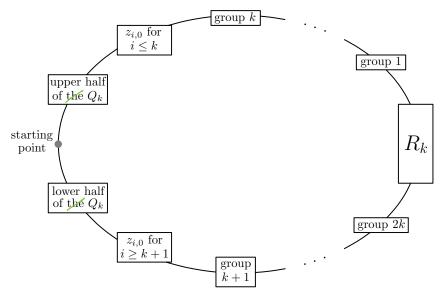
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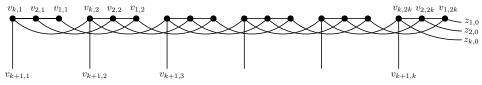
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After that, we put vertices $z_{k,0}, \ldots, z_{2,0}, z_{1,0}$, in this order. 207

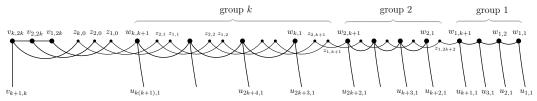
We divide the remaining vertices of paths Z_i and W_i , for $1 \le i \le k$, into k groups, as 208 follows. The *i*-th group contains vertices of W_i , and if $i \geq 2$, it also includes vertices $z_{a,b}$ for 209 $1 \le a < i$ and $(k-i)(k+1) < b \le (k-i+1)(k+1)$. Next, on the drawing, we respectively put the groups of indices $k, k-1, \ldots, 1$. We arrange the vertices in the *i*-th group, for



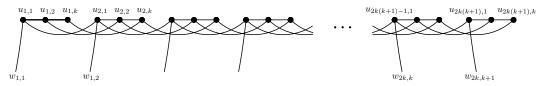
(a) Overview of the outer (2k-1)-planar drawing of F_k .



(b) Drawing of the upper part of the grid Q_k .



(c) Drawing of the groups $k, k-1, \ldots, 1$ connected to the upper part of Q_k .



(d) Drawing of the grid R_k .

Figure 4 Fragments of the outer (2k-1)-planar drawing of F_k , where k=3.

cross no other edges.

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2 \le i \le k, in the order (see Figure 4c):
         w_{i,k+1}, z_{i-1,(k-i)(k+1)+1}, z_{i-2,(k-i)(k+1)+1}, \dots, z_{1,(k-i)(k+1)+1},
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         w_{i,k}, z_{i-1,(k-i)(k+1)+2}, z_{i-2,(k-i)(k+1)+2}, \dots, z_{1,(k-i)(k+1)+2}, \dots
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         w_{i,1}, z_{i-1,(k-i+1)(k+1)}, z_{i-2,(k-i+1)(k+1)}, \dots, z_{1,(k-i+1)(k+1)}.
    The group of index 1 has vertices arranged in the order: w_{1,k+1}, w_{1,k}, \ldots, w_{1,1}.
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        Next, we put the vertices of R_k in the row-by-row order (see Figure 4d):
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         u_{1,1}, u_{1,2}, \ldots, u_{1,k},
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         u_{2,1}, u_{2,2}, \ldots, u_{2,k},
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         u_{2k(k+1),1}, u_{2k(k+1),2}, \dots, u_{2k(k+1),k}.
     The vertices of F_k that are not placed yet are in the lower part of Q_k or in paths Z_i, W_i
    for k+1 \le i \le 2k. We arrange them in a counter clockwise direction from the starting point
    and place them between the starting point and the vertices of R_k. The order is symmetric,
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    with respect to the starting point, to the one used to arrange the upper part of Q_k and the
    paths Z_i, W_i for 1 \le i \le k. Every vertex v_{i,j}, where k+1 \le i \le 2k and 1 \le j \le 2k, is
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    placed symmetrically to v_{2k-i+1,j}. Verties z_{i,j}, where k+1 \le i \le 2k and 0 \le j \le \ell(i), are
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    placed symmetrically to z_{2k-i+1,j}, and vertices w_{i,j}, where k+1 \leq i \leq 2k and 1 \leq j \leq k+1, is placed
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    symmetrically to w_{2k-i+1,k-j+2}. The symmetrical drawing of the i-th group, for every
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    1 \le i \le k, forms the group of index 2k - i + 1.
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     Now, we will show that every edge crosses at most 2k-1 other edges, partitioning them edges into several types.

1. The "column" edges in the upper or lower part of Q_k—edges v_{i,j}v_{i+1,j}, for 1 \le i \le j
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        2k-1, i \neq k and 1 \leq j \leq 2k. These edges cross no other edges.
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     2. The "column" edges between the upper and the lower part of Q_k – edges v_{k,j}v_{k+1,j}, for
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        1 \leq j \leq 2k. Each of these edges crosses k-1 edges of type 3 from the upper part of Q_k,
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        and k-1 edges from the lower part. The edge v_{k,1}v_{k+1,1} crosses no other edges.
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     3. The "row" edges of Q_k – edges v_{i,j}v_{i,j+1}, for 1 \leq i \leq 2k and 1 \leq j \leq 2k-1. Each of
        these edges crosses 2(k-1) edges of types 3, 4 and additionally at most one edge of
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         type 2.
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     4. Each edge v_{i,2k}z_{i,0}, for 1 \le i \le 2k, crosses 2(k-1) edges either of type 3 or edges incident
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        to vertices z_{i,0}.
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     5. Each edge z_{i,\ell(i)}w_{i,k+1}, for 1 \leq i \leq k, crosses exactly 2(i-1) edges incident to vertices
         z_{i-1,0},\ldots,z_{1,0}. Symmetrically, each edge z_{i,\ell(i)}w_{i,1}, for k+1\leq i\leq 2k, also crosses at
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        most 2(k-1) edges.
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     6. Each edge z_{i,0}z_{i,1}, for 1 \le i \le 2k, i \notin \{k,k+1\}, crosses exactly 2(k-2) other edges from
        the paths Z_j or incident to vertices z_{j,0}; and exactly 3 edges incident to w_{k,k+1} or w_{k+1,1}.
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     7. Every other edge from paths Z_i crosses at most 2(k-2) edges from other paths Z_j and
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        at most 3 edges incident to some vertex w_{a,b}.
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     8. Each edge from paths W_i crosses at most 2(k-1) edges from paths Z_i.
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     9. Each edge w_{i,j}u_{(i-1)(k+1)+j,1}, for 1 \le i \le 2k and 1 \le j \le k+1, crosses at most k-1
         edges from paths Z_a and at most k-1 edges from R_k.
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   10. The "row" edges of R_k - edges u_{i,j}u_{i,j+1}, for 1 \leq 2k(k+1) and 1 \leq j \leq k-1. They
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²⁵⁶ 11. The "column" edges of R_k – edges $u_{i,j}u_{i+1,j}$, for $1 \le 2k(k+1) - 1$ and $1 \le j \le k$. Each of these edges crosses at most 2(k-1) other edges of this type and at most one edge of type 9.

Theorem 7. For every odd positive integer k, there exists an outer k-planar graph G with $\mathrm{tw}(G) \geq 1.5k + 0.5$.

Proof. By Theorem 6, the graph $F_{\frac{k+1}{2}}$ is outer k-planar, and by Corollary 5 has treewidth at least $3\frac{k+1}{2}-1=1.5k+0.5$.

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4 Upper bound for treewidth of outer min-k-planar graphs

In this section, we upper bound the treewidth of outer min-k-planar graphs. We improve the previous bound of 3k + 1 presented in [8] to $3 \cdot \lfloor 0.5k \rfloor + 4$. We begin by introducing required notation.

For an outer min-k-planar graph G with a given drawing Γ , we define a crossing graph G_C as a graph, in which the vertex set is a union of V(G) and all crossing points of the edges of G. We say that a vertex $w \in V(G_C)$ lies on an edge $uv \in E(G)$ if w is an endpoint of uv or the crossing point corresponding to w belongs to the segment that is a drawing of the edge uv in Γ . Graph G_C contains an edge between two vertices if and only if they are consecutive vertices lying on the drawing of some edge of G. Observe that G_C is a planar graph. We say that an edge $xy \in E(G_C)$ lies on an edge $uv \in E(G)$ if both x and y lie on uv in Γ . Furthermore, we say that a vertex $v \in V(G_C)$ is outer if it is adjacent to the outer face of G_C . Otherwise, v is an inner vertex. As we consider only maximal graphs G, the outer vertices of G_C are exactly the vertices of G. By f_Q we will denote the outer face of G_C .

For a planar graph G, denote G^* as the graph dual to G. By $f^* \in V(G^*)$ we denote the vertex dual to the face f of G and $e^* e^* \in E(G^*)$ we denote the edge dual to an edge $e \in E(G)$. We remark that G^* can be drawn on the drawing of G in a way that f^* is on the face f and the drawing of e^* is a curve that passes through the edge e and faces corresponding to the endpoints of e^* .

The following lemma shows a bijection between a spanning tree T of a planar graph G and a spanning tree of G^* , that we denote by $T^* = \text{dual}(T)$. We also use notation $\text{dual}(T^*)$ for T.

▶ **Lemma 8** (Folklore). Let T be a spanning tree of a planar graph G. Then T^* with $V(T^*) = V(G^*)$ and $E(T^*) = \{e^* : e \in E(G) \setminus E(T)\}$ is a spanning tree of G^* .

The next lemma proves that there exists a spanning tree preserving shortest paths from a given vertex. Such tree can be found via a BFS algorithm. Wellth-first Search.

▶ **Lemma 9** (Folklore). Let G be a graph and let r be a vertex of G. Then there exists a spanning tree T of G rooted at r such that $\operatorname{depth}_T(v) = \operatorname{dist}_G(r, v)$ for every vertex v of G.

Lemma 10. Let G be an expanded outer min-k-planar graph with its crossing graph G_C .

Then $\operatorname{dist}(f^*, f_o^*) \leq \lfloor 0.5k \rfloor + 1$ for every vertex $f^* \in V(G_C^*)$.

Proof. Let f be a non-outer face of G_C . If f is adjacent to f_o , then $\operatorname{dist}(f^*, f_o^*) = 1$.

Otherwise, let v be a vertex of G_C adjacent to f. As G is expanded, the vertex v is inner, so it lies on an edge e of G that crosses at most k other edges. Let $v_0, v_1, \ldots, v_s, v_{s+1}, \ldots, v_{s+t+1}$ be all vertices lying on e, listed in the consecutive order, where $v_s = v$ and v_{s+1} is a neighbor

commonly called "planarize-tion" of G

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of v that is adjacent to f. We may assume that $s \le t$, i.e. v_s is closer to an endpoint of the edge e than v_{s+1} to the other endpoint of e. Notice that at most k+2 vertices lie on e (two endpoints and at most k crossing points), so $s+t+2 \le k+2$. Together with the previous inequality, this implies $s \le 0.5k$. The number s is an integer, so $s \le \lfloor 0.5k \rfloor$.

We inductively define a sequence $w_s, w_{s-1}, \ldots, w_0$ of vertices. Vertex w_s is the neighbor of v_s that is adjacent to f and not lying on e. For every $i \in [s-1,\ldots,0]$, the vertex w_i is one of the two neighbors of v_i not lying on e such that w_i, v_i, v_{i-1} and w_{i-1} are adjacent to the same face of G_C . Let e_i denote the edge $v_i w_i$. Now, notice that the path formed by the edges e_s^*, \ldots, e_0^* connects f^* with f_o^* (e_0 is adjacent to f_o). So, $\operatorname{dist}(f^*, f_o^*) \leq s + 1 \leq \lfloor 0.5k \rfloor + 1$.

Let v be an inner vertex of G_C with neighbors w_1, w_2, w_3, w_4 in clockwise order. As we forbid common crossing points of three edges of G, every such vertex has degree 4. We subdivide the vertex v by replacing it with two vertices v_1 and v_2 connected with an edge, and adding edges v_1w_1 , v_1w_2 and v_2w_3 , v_2w_4 . We fix a planar embedding of the new graph by drawing v_1 , v_2 very close to where v was drawn. We say that vertices v_1 and v_2 lie on the same edges as the vertex v. Moreover, we say that the edge v_1v_2 in an auxiliary edge. After subdividing a vertex, every edge of G_C has an edge corresponding to it and every face of G_C corresponds to a new one in a natural way. Also, the dual graph has one additional edge dual to v_1v_2 .

Let By G_S we denote the subdivided crossing graph the graph G_C with all inner vertices subdivided. See Figure 5 for an example. Observe that there is a one-to-one correspondence between $V(G_C^*)$ and $V(G_S^*)$. Further, every edge of G_C^* has a corresponding edge of G_S^* . The following lemma shows how we can preserve the properties of a spanning tree T_C of G_C and a spanning tree dual(T_C) of the dual graph after subdividing vertices of G_C .

▶ Lemma 11. Let G be an expanded outer min-k-planar graph with its subdivided crossing graph G_S . Then there exists a spanning tree T_S of G_S and a spanning tree $T_S^* = \operatorname{dual}(T_S)$ of G_S^* rooted at f_o^* , such that $\operatorname{depth}(f^*) \leq \lfloor 0.5k \rfloor + 1$ for every vertex $f^* \in V(G_S^*)$ and $E(T_S)$ contains all auxiliary edges of G_S .

Proof. Let G_C be a crossing graph of G. By Lemmas 9 and 10 there exists a spanning tree T_C^* of G_C^* that is rooted at f_o^* and with maximal depth of a vertex being at most $\lfloor 0.5k \rfloor + 1$. Let $T_C = \operatorname{dual}(T_C^*)$ be spanning tree of G_C .

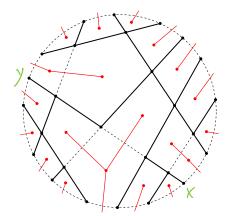
Let G_S denote the graph obtained from G_C by subdividing every inner vertex. After this transformation, let T_S^* be a tree constructed of edges corresponding to the edges of T_C^* . Clearly, T_S^* is a spanning tree of the graph G_S^* . The spanning tree $T_S = \text{dual}(T_S^*)$ of G_S contains all auxiliary edges of G_S , because none of the duals of auxiliary edges are in $E(T_S^*)$, as they were not in $E(T_C^*)$.

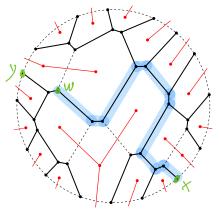
Now, we are ready to prove the main result of this section.

▶ Theorem 12. Let G be an outer min-k-planar graph. Then $tw(G) \le 3 \cdot \lfloor 0.5k \rfloor + 4$.

Proof. By Observation 3 we may assume that G is an expanded outer min-k-planar graph. Let G_S be the subdivided crossing graph of G. By Lemma 11 there exists a spanning tree T_S of G_S and a spanning tree $T_S^* = \text{dual}(T_S)$ of G_S^* rooted at f_o^* such that $\text{depth}(f^*) \leq \lfloor 0.5k \rfloor + 1$ for every vertex $f^* \in V(G_S^*)$ and $E(T_S)$ contains all auxiliary edges of G_S .

We Let's orient every edge of G. Edges adjacent to the outer face are oriented clockwise, the other edges are oriented arbitrarily. Observe that every vertex of G has at most two incoming edges.





(a) The graph G_C with its spanning tree T_C col- (b) The graph G_S with its spanning tree T_S colored black and a spanning tree T_S^* of G_S^* in red. ored black and a spanning tree T_S^* of G_S^* in red.

Figure 5 Drawings of an example graphs with their spanning trees and spanning trees of the dual graphs, the vertex f_o^* is missing on both figures.

Now, we construct the tree decomposition $\mathcal{T} = (T_S, B)$ of the graph G. The bags of \mathcal{T} are indexed by the vertices of T_S . We put vertices of G into bags using the following rules.

- 1. For every outer vertex $v \in V(G_S)$, we put v in the bag B_v .
- 2. For every oriented edge (x, y) of G, we put x in the bag B_y .
- 3. For every inner vertex $v \in V(G_S)$, lying on the edge (x,y) of G, we put x in the bag B_v .
- **4.** For every vertex $v \in V(G_S)$, every non-outer face f adjacent to v and every edge e^* belonging to the path from f^* to f_o^* in T_S^* that is dual to the edge e of G_S lying on the edge (x, y) of G, we put x in the bag B_v .

Notice that, in rule 4, the edge e is not in $E(T_S)$, so it is not an auxiliary edge, which implies that it is lying only on a single edge of G.

For every edge (x,y) of G, by rules 1 and 2, the bag B_y contains both x and y. Also, every vertex of G is present in some bag. So to prove that \mathcal{T} is a proper tree decomposition of G it is enough to prove that for every vertex $x \in V(G)$ the set $\{w : x \in B_w\}$ induces a connected subtree in T_S .

Lets fix a vertex x and an edge (x,y) of G. Let e=uv be an edge lying on (x,y) such that $e \notin E(T_S)$. We assume that $e^* = f_1^* f_2^*$ and $\operatorname{depth}_{T_c^*}(f_2^*) = \operatorname{depth}_{T_c^*}(f_1^*) + 1$. Let T_e^* be a subtree of T_S^* induced on all descendants of f_2^* , including f_2^* . Define $F_e^* = \{f : f^* \in V(T_e^*)\}$ and let boundary (F_e) be the set of vertices adjacent to some face in F_e . Notice that, by rule ~ 4 $\Psi_{\mathbf{v}}$ x is put into all bags indexed by boundary(F_e). The set boundary(F_e) induces a connected subgraph of T_S , i.e. $T_S[boundary(F_e)]$ is connected, containing both u and v. Observe that the bags of \mathcal{T} , into which we put x by rule 4, are exactly the bags of vertices of T_S that are in boundary (F_e) for some edge $e \notin E(T_S)$ lying on some edge (x, y) of G.

By rules 1, 2 and 3, x is contained in all bags B_w such that w lies on (x,y) for some edge (x, y) of G. We claim that the vertices indexing these bags, together with the vertices indexing bags we put x into by rule 4, form a connected subgraph of T_S . To see that, we show that for every vertex w (such that) $x \in B_w$, w is connected to x by a walk in T_S such that bags indexed by the vertices of this walk contain x.

If w lies on an edge (x,y) of G then, in order to construct this walk, we start at vertex w. We iterate over consecutive edges lying on (x,y) between w and x, starting at the edge incident to w. If given edge e is in $E(T_S)$ than we extend the walk by e. Otherwise, $e \notin E(T_S)$.

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As $T_S[boundary(F_e)]$ is connected and every bag of a vertex in boundary(F_e) contains x, we can extend the walk by some path in $T_S[boundary(F_e)]$ connecting the endpoints of e.

If w is in the set boundary (F_e) for some e lying on (x, y), than we begin the walk with a path contained in boundary (F_e) between w and an endpoint v of e. We extend this walk by a walk between v and x, which existence we have already proven.

Next, we bound the size of bags in \mathcal{T} . Consider an inner vertex v of T_S . It lies on exactly two edges of G, so by rule 3 we put two vertices into B_v . Also, v is adjacent to three non-outer faces of G_S . For every such face f and every edge e^* belonging to the path from f^* to f_o^* in T_S^* , by rule 4, we put one vertex into B_v . Every such path has at most $\lfloor 0.5k \rfloor + 1$ edges. So $|B_v| \leq 2 + 3 \cdot (\lfloor 0.5k \rfloor + 1)$. Now, let v be an outer vertex of T_S . By rules 1 and 2, the bag B_v contains v and at most two other endpoints of the edges incoming to v in G. Also, v is adjacent to two non-outer faces of G_S . Thus, we derive a bound $|B_v| \leq 3 + 2 \cdot (\lfloor 0.5k \rfloor + 1)$. The width of the constructed tree decomposition is at most

$$\max\left\{2+3\cdot\left(\left\lfloor 0.5k\right\rfloor+1\right),3+2\cdot\left(\left\lfloor 0.5k\right\rfloor+1\right)\right\}-1=2+3\cdot\left(\left\lfloor 0.5k\right\rfloor+1\right)-1=3\cdot\left\lfloor 0.5k\right\rfloor+4.$$

Separation number of outer min-k-planar graphs

The inequality $\operatorname{sn}(G) \leq \operatorname{tw}(G) + 1$ bounding the separation number holds for every graph G. We remark that Theorem 12 directly implies $\operatorname{sn}(G) \leq 3 \cdot \lfloor 0.5k \rfloor + 5$ for every outer $\operatorname{min-}k$ -planar graph G. By carefully choosing some bag B_x of the tree decomposition we can construct such balanced separation (C,D) satisfying $C \cap D = B_x$. To establish a better upper bound, first we prove a general lemma showing how from a tree decomposition satisfying some additional properties, we can obtain a balanced separation (C,D) such that $C \cap D = B_x \cap B_y$ for some two neighboring vertices x,y of the tree decomposition.

Lemma 13. Let $\mathcal{T}=(T,B)$ be a tree decomposition of a graph G. Assume that $\Delta(T)\leq 3$ and every vertex $v\in V(G)$ is in at least two bags of \mathcal{T} . Let a be an integer such that $|B_x\cap B_y|\leq a$ for any edge $xy\in E(T)$. Then G has a balanced separation of order at most a.

Proof. For every edge $xy \in E(T)$, after removing it from T_j we obtain two connected components C_x and C_y of T such that $x \in V(C_x)$ and $y \in V(C_y)$. We define $S_{x,y} = \bigcup_{v \in V(C_x)} B_v$ and $S_{y,x} = \bigcup_{v \in V(C_y)} B_v$. It is a well known fact that the pair $(S_{x,y}, S_{y,x})$ is a separation of G of order $|S_{x,y} \cap S_{y,x}| = |B_x \cap B_y| \le a$.

We claim that there exists an edge $xy \in E(T)$ such that $(S_{x,y}, S_{y,x})$ is a balanced separation of G. Suppose the contrary Now, we orient every edge of T for every $xy \in E(T)$ it holds that $|S_{x,y} \setminus S_{y,x}| > \frac{2}{3}n$ or $|S_{y,x} \setminus S_{x,y}| > \frac{2}{3}n$, where n = |V(G)|. If the first inequality holds then we orient xy as (y,x), in the other case as (x,y). Also, notice that $|S_{x,y} \setminus S_{y,x}| > \frac{2}{3}n$ is equivalent to $|S_{y,x}| < \frac{1}{3}n$.

The tree T with oriented edges in an acyclic graph, so there exists a vertex in T such that all edges incident to x are oriented towards x. Let $\{y_1, \ldots, y_d\}$, where $d \leq 3$, be all neighbors of x in T. We have $|S_{y_i,x}| < \frac{1}{3}n$. Also $\bigcup_{1 \leq i \leq d} S_{y_i,x} = \bigcup_{v \in V(T) \setminus \{x\}} B_v = V(G)$, as every vertex of G is in at least two bags of T. We obtain the following inequalities

$$|V(G)| = \left| \bigcup_{1 \le i \le d} S_{y_i, x} \right| \le \sum_{1 \le i \le d} |S_{y_i, x}| < d \cdot \frac{1}{3} n \le n,$$

which gives a contradiction.

— better: i∈ {1,..., s-1}

Now, we are ready to upper bound the separation number of outer min-k-planar graphs.

▶ **Theorem 14.** Let G be an outer min-k-planar graph. Then $\operatorname{sn}(G) \leq 2 \cdot |0.5k| + 4$.

Proof. The class of outer min-k-planar graphs is closed under taking subgraphs. So it is enough to find a balanced separation of order at most $2 \cdot |0.5k| + 4$ for every maximal outer $\min -k$ -planar graph G. Let H be an expanded outer $\min -k$ -planar graph obtained from G by Observation 3. By Theorem 12, there exists a tree decomposition $\mathcal{T}' = (T_S, B')$ of H, where T_S is a spanning tree of subdivided graph H. From the proof of Theorem 12, it follows that $\Delta(T_S) \leq 3$ and every vertex $v \in V(H)$ is in at least two bags of \mathcal{T}' (there is an oriented edge (v, w) in H, so $v \in B'_v$ and $v \in B'_w$).

We construct a tree decomposition $\mathcal{T} = (T_S, B)$ of G with $B_x = \{ \operatorname{org}(v) : v \in B_x' \}$. Every vertex $v \in V(G)$ is in at least two bags of \mathcal{T} as every image of v is in at least two bags of \mathcal{T}' . Every edge $vw \in E(G)$ is realised in some bag of \mathcal{T} , because in H there is an edge corresponding to vw between an image of v and an image of w. To prove that for every vertex v of G, the bags of \mathcal{T} containing v are spanning a connected subtree of T_S , lets denote all images of v by v_1, \ldots, v_s , in the consecutive order. As H is maximal, for every $1 \le i < s$ there is an edge $v_i v_{i+1}$ in E(H). So the two subtrees of T_S induced by bags of \mathcal{T}' containing v_i , and bags of \mathcal{T}' containing v_{i+1} share a common vertex. Bags containing v in \mathcal{T} are spanning a connected subtree of T_S , because this subtree is a union of subtrees spanned by you wear in the sense of Lemma [3? the images of v. So \mathcal{T} is a proper tree decomposition of G.

We say that a vertex v was put into a bag B'_x of \mathcal{T}' due to rule 4 of constructing the tree decomposition being applicable to a vertex v and a face f adjacent to x, if there exists an edge e lying on an edge (v, w) of H such that e^* belongs to the path in T_S^* between f_o^* and f^* . Now, we want to show that for every edge $xy \in E(T_S)$ we have $|B_x \cap B_y| \le 2 \cdot \lfloor 0.5k \rfloor + 4$. Let f_1 and f_2 be the faces of H_S adjacent to xy.

Claim. If $v \in B_x \cap B_y$ then there is an image v_t of v such that xy lies on an edge (v_t, w) of H or v_t was put into both B'_x and B'_y due to rule 4 of constructing \mathcal{T}' being applicable to v_t and face f_1 or f_2 . face then f_1 or f_2 then f_1 or f_2 then f_1 or f_2 then f_3 is f_4 or f_5 and f_6 and f_8 is f_8 and f_8 are then f_8 is f_8 .

adjacent to the vertex x. Similarly, if deg(y) = 3 in H_S , let f_y be the face of H_S , different from f_1 and f_2 such that f_y is adjacent to the vertex y. Assume that $v \in B_x \cap B_y$, but that no images of v were put into B'_x and B'_y due to the reasons in the claim statement. So there exist v_{i_x} and v_{i_y} that are, not necessarily distinct, images of v such that $v_{i_x} \in B'_x$ and $v_{i_y} \in B'_y$. For $t \in \{x, y\}$, vertex v_{i_t} was put in B'_t because

1. t lies on an edge (v_{i_t}, w_t) of H such that xy is not lying on $v_{i_t}w_t$; or

2. when $\deg(t) = 3$ in H_S , rule 4 of constructing \mathcal{T}' is applicable to vertex v_{i_t} and face f_t , i.e. there exists an edge (v_{i_t}, w_t) of H and an edge e_t of H_S lying on $v_{i_t}w_t$ such that e_t^* belongs to the path between f_t^* and f_o^* in T_S^* .

Now, we draw a curve \mathcal{C} on the drawing of H_S . \mathcal{C} consists of the drawing of the edge xyand the drawing of an arc of the outer face between v_{i_x} and v_{i_y} that contains only images of v (images of v are spanning a single arc of the outer face). Next, we add to $\mathcal C$ curves connecting v_{i_x} with x and v_{i_y} with y. For $t \in \{x, y\}$, to determine how to draw these curves, we case over the reason v_{i_t} was put in B'_t , in the order as listed above.

- 1. We draw along the drawing of (v_{i_t}, w_t) , starting at vertex v_{i_t} and ending at vertex t.
- 2. Let p_t be a path between f_t^* and f_o^* in T_S^* . We draw along the drawing of (v_{i_t}, w_t) , staring at vertex v_{i_t} and ending at the crossing point with the drawing of p_t . We continue along 462 p_t till vertex f_t^* . Finally, we connect vertices f_t^* and t with a segment.

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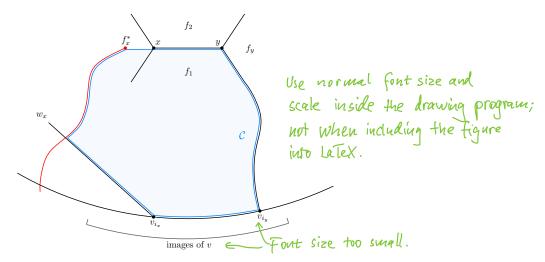
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14 Treewidth of Outer k-Planar Graphs



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Figure 6 Drawing of an example curve \mathcal{C} .

Note that if $x = v_{i_x}$ and $y = v_{i_y}$, then \mathcal{C} is degenerated to the arc between v_{i_x} and v_{i_y} , implying that xy connects two consecutive images of v – contradiction. Otherwise, we claim that one of the closed regions induced by \mathcal{C} contains f_1 or f_2 . Indeed, \mathcal{C} follows edges of H_S and edges of T_S^* , but cannot contain f_1^* nor f_2^* , because then rule 4 of constructing \mathcal{T}' would be applicable to vertex v_{i_x} or v_{i_y} and face f_1 or f_2 . The segments between f_t^* and t does not intersect f_1 nor f_2 . We may assume that f_1 is contained inside a closed region induced by \mathcal{C} . Consider a path p_1 between f_1^* and f_o^* in T_S^* . As f_1^* is inside \mathcal{C} and f_o^* is outside \mathcal{C} , drawing of p_1 has to intersect \mathcal{C} . We consider where the first intersection point is located.

Path p_1 cannot intersect e nor the segments between f_t^* and t.

If p_1 intersects an edge (v_{i_t}, w_t) then rule 4 of constructing \mathcal{T}' is applicable to v_{i_t} and f_1 .

If p_1 intersects p_t then p_1 follows along p_t up to the intersection point with (v_{i_t}, w_t) , so the previous case applies.

If p_1 intersects the arc of the outer face between v_{i_x} and v_{i_y} then it has to intersect an edge (v_r, v_{r+1}) , where v_r and v_{r+1} are consecutive images of v on the outer face. So rule 4 of constructing \mathcal{T}' is applicable to v_r and f_1 .

In each case we obtained a contradiction.

We proved that if $v \in B_x \cap B_y$, then there is an image v_t of v such that xy lies on an edge (v_t, w) of H or v_t was put into both B'_x and B'_y due to rule 4 of constructing the tree decomposition \mathcal{T}' being applicable to vertex v_t and face f_1 or f_2 . Notice that xy lies on at most two edges of H (two if xy is an auxiliary edge, one otherwise) and each of the paths from f_o^* to f_1^* or f_2^* in T_S^* has at most $\lfloor 0.5k \rfloor + 1$ edges. So $|B_x \cap B_y| \leq 2 + 2 \cdot (\lfloor 0.5k \rfloor + 1) = 2 \cdot \lfloor 0.5k \rfloor + 4$. By Lemma 13 applied to \mathcal{T} , we get that G has a balanced separation of order at most $2 \cdot \lfloor 0.5k \rfloor + 4$.

To give a lower bound, we define a graph called *stacked prism*. A stacked prism $Y_{m,n}$ is an $m \times n$ grid with additional edges connecting the vertices of the first and the last row that are in the same column. The $Y_{m,n}$ has an outer (2n-2)-planar drawing, thus also an outer min-(2n-2)-planar drawing. In the cyclic order of the drawing, we place rows consecutively, one after another. The edges from rows cross no other edges and the edges from columns cross exactly 2n-2 other edges. Authors of [8] showed that for every number n and sufficiently large even number m, sn $(Y_{m,n}) = 2n$. This leads to the following theorem.

for every

▶ Theorem 15. For every even number k, there exists an outer min-k-planar graph G such that $\operatorname{sn}(G) = k + 2$.

We remark that the multiplicative constant of 1 in the upper bound given in Theorem 14 is tight, as it matches that of the lower bound in Theorem 15.

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