### Short Presentation

#### Saurabh Joshi



Venue: Second SAT+SMT School, Mysore

December 7, 2017

# Generalized Totalizer Encoding for Pseudo-Boolean Constraints

Saurabh Joshi\*, Ruben Martins\*, Vasco Manguinho†

 $^{\star}$ University of Oxford, UK  $^{\dagger}$ INESC-ID / IST, University of Lisbon, Portugal

CP 2015

# Types of Constraints over Boolean variables

Clauses: 
$$l_1 \lor l_2 \lor ... \lor l_n$$
  
 $l_1 \lor l_2 \lor l_3 \lor l_4$ 

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$$I_1 \vee I_2 \vee I_3 \vee I_4$$

Cardinality: 
$$\sum_{j=1}^{n} I_j \le k$$

$$l_1 + l_2 + l_3 + l_4 + l_5 \le 3$$

Pseudo-Boolean: 
$$\sum_{j=1}^{n} w_j . l_j \le k$$

$$3I_1 + 2I_2 + 5I_3 \le 5$$

#### Pseudo-Boolean Constraints

Pseudo-Boolean constraints are used in several application domains:

- Computational Biology
- Upgradeability of Software Systems
- Scheduling
- Automated Test Pattern Generation
- ...
  Problem instances available at the Pseudo-Boolean Solver
  Evaluation website (http://pbeva.computational-logic.org/).

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Also arise in different algorithmic frameworks, e.g. weighted Maximum Satisfiability (MaxSAT) algorithms.

### How to deal with Pseudo-Boolean Constraints

There are two typical approaches in solving formulas with Pseudo-Boolean constraints.

- 1. Use a generalized SAT solver to deal directly with Pseudo-Boolean Constraints
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The latter approach results in a larger formula, but able to take advantage of powerful SAT solver technology.

### **Encodings of Pseudo-Boolean Constraints**

Several encodings of Pseudo-Boolean constraints into CNF have been proposed that use additional auxiliary variables and clauses:

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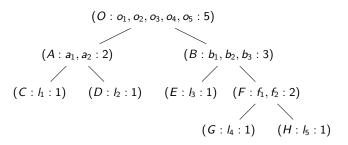
- BDD [Eén and Sorensson, JSAT 2006]
- Sorters
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- Binary Merger [Manthey et al. KI 2014]
- Sequential Weighted Counter [Holldobler et al. KI 2012]

# Generalized Totalizer Encoding (GTE)

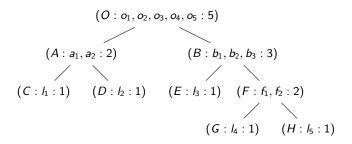
- New encoding of Pseudo-Boolean Constraints into CNF
- Not dependent on the magnitude of the variable coefficients
- Preserves Arc-Consistency
- Exponential in the worst case, but compact and effective in practice
- Based on the Totalizer encoding [Bailleux and Boufkhad, CP 2003]

#### Totalizer Encoding

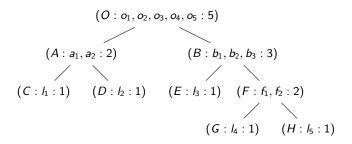
- CNF encoding for cardinality constraints  $\sum_{i=1}^{n} I_i \leq k$
- Count in unary how many of the *n* literals  $(l_1 \dots l_n)$  is set to *true*
- $O(n \lg n)$  new variables
- $O(n^2)$  new clauses
  - $\circ$  Can be improved to  $O(n \ k)$



- Visualize the encoding as a tree
  - Each node is (name : variables : sum)
  - Literals are at the leaves
  - Each node counts in unary how many leaves are set to true in its subtree
  - Example: if at least 2 of the leaves  $(I_3, I_4, I_5)$  are assigned to *true* then  $b_2$  must be *true*.



- Root node has the output variables (o<sub>1</sub>...o<sub>5</sub>) that count how many literals are set to true
- To encode  $l_1 + l_2 + l_3 + l_4 + l_5 \le 3$  just set  $o_4 = 0$  and  $o_5 = 0$

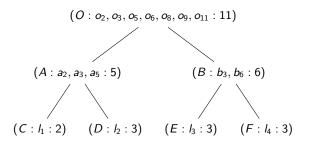


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- To encode  $l_1 + l_2 + l_3 + l_4 + l_5 \le 3$  just set  $o_4 = 0$  and  $o_5 = 0$
- For this constraint, variable  $o_5$  is not necessary (k-simplification technique)

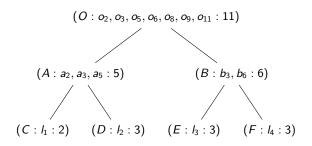
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- GTE only considers the possible sums generated from the weights in the constraint

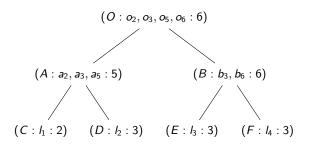
- The goal of the Generalized Totalizer Encoding (GTE) is also to account for the possible values of the left-hand side
- GTE only considers the possible sums generated from the weights in the constraint
- For example, in  $2l_1 + 3l_2 + 3l_3 + 3l_4 \le 5$  it is not possible for the weighted sum to have value 1, 4 or 7



- The GTE encoding is also a tree with literals at the leaves
  - Each node is (name : variables : sum)
  - sum represents the maximum possible weighted sum of the subtree rooted at that node
  - A node variable  $a_w$  represents if there is a weighted sum of the subtree rooted at that node equal to w
  - Example: if one of the leaves  $(I_3, I_4)$  is assigned to *true* then  $b_3$  must be *true*



- Root node has the output variables (o<sub>2</sub>, o<sub>3</sub>, o<sub>5</sub>, o<sub>6</sub>, o<sub>8</sub>, o<sub>9</sub>, o<sub>11</sub>) that encode the possible value of the weighted sums of the subtree
- To encode  $2l_1 + 3l_2 + 3l_3 + 3l_4 \le 5$  just set variables  $o_6$ ,  $o_8$ ,  $o_9$  and  $o_{11}$  to false



- Root node has the output variables  $(o_2, o_3, o_5, o_6, o_8, o_9, o_{11})$  that encode the possible value of the weighted sums of the subtree
- To encode  $2l_1 + 3l_2 + 3l_3 + 3l_4 \le 5$  just set variables  $o_6$ ,  $o_8$ ,  $o_9$  and  $o_{11}$  to false
- For this constraint, variables  $o_8$ ,  $o_9$  and  $o_{11}$  are not necessary (k-simplification technique)

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$$\circ \sum_{j=1}^n 2^{j-1} I_j \le k$$

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- GTE does not depend on the magnitude of the weights
- The number of variables depends solely on the unique weighted sums can be generated from the constraint weights
- In the worst case, the GTE encoding is exponential
  ∑<sub>j=1</sub><sup>n</sup> 2<sup>j-1</sup> I<sub>j</sub> ≤ k
- If the number of distinct weighted sum combinations is low, GTE should perform better

GTE was implemented on top of the open source PBLib library and compared with a large set of Pseudo-Boolean encodings available at PBLib.

- BDD
- Sorters
- Adders
- WatchDog
- Binary Merger
- Sequential Weighted Counter

GTE encoding should be available soon in PBLib. http://tools.computational-logic.org/content/pblib.php

#### Benchmarks:

- Decision instances from the 2012 Pseudo-Boolean Evaluation (PB'12)
- Pedigree instances used in MaxSAT Evaluation

#### Experimental setup:

- AMD Opteron 6276 processors (2.3 GHz) running Fedora Core 18;
- Timeout: 1,800 seconds
- Memory Limit: 16GB

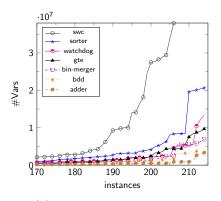
#### Characteristics of Pseudo-Boolean benchmarks

Benchmark	#PB	#lits	k	max w <sub>i</sub>	$\sum w_i$	#diff w <sub>i</sub>
PB'12	164.31	32.25	27.94	12.55	167.14	6.72
pedigree	1.00	10,794.13	11,106.69	456.28	4,665,237.38	2.00

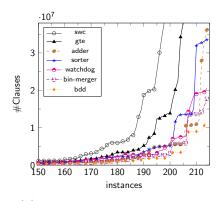
#### Number of solved instances

Benchmark	Result	sorter	swc	adder	watchdog	bin-merger	bdd	gte
PB'12	SAT	72	74	73	79	79	81	81
(214)	UNSAT	74	77	83	85	85	84	84
pedigree	SAT	2	7	6	25	43	82	83
(172)	UNSAT	0	7	6	23	35	72	75
Total	SAT/UNSAT	146	165	172	212	242	319	323

#### Encoding growth on PB'12 Benchmarks

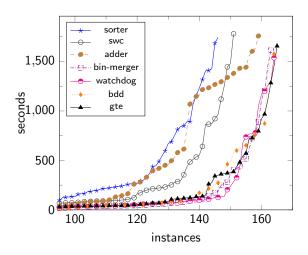


(a) # Variables on PB'12 benchmarks

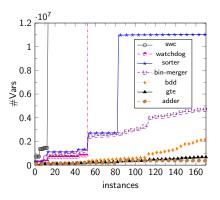


(b) # Clauses on PB'12 benchmarks

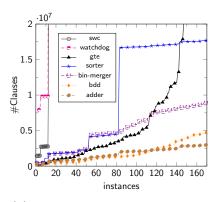
### Running times on PB'12 Benchmarks



#### Encoding growth on pedigree Benchmarks

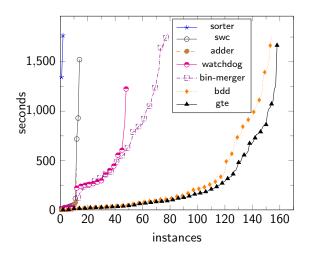


(a) # Variables on pedigree benchmarks



(b) # Clauses on pedigree benchmarks

#### Running times on pedigree Benchmarks



### Conclusions

- GTE: A new encoding of Pseudo-Boolean Constraints into CNF
  - Maintains Arc-Consistency
  - Does not depend on the magnitude of the weights
  - More effective when the number of distinct weights is small
- Results show that GTE is competitive with state of the art encodings for different sets of benchmark instances
  - 3rd rank in Pseudo Boolean 2016 competition

### Future Work

- GTE will become available on the open source PBLib library?
- GTE is being has been integrated into the open source OPEN-WBO solver
  - Available for decision and optimization problems (MaxSAT and Pseudo-Boolean Optimization)
  - New release of OPEN-WBO should be is available soon now
  - https://github.com/sat-group/open-wbo