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New ASN.1 Modules for Cryptographic Message Syntax (CMS) and S/MIME

Abstract

The Cryptographic Message Syntax (CMS) format, and many associated formats, are expressed using ASN.1. The current ASN.1 modules conform to the 1988 version of ASN.1. This document updates those ASN.1 modules to conform to the 2002 version of ASN.1. There are no bits-on-the-wire changes to any of the formats; this is simply a change to the syntax.

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1. Introduction

Some developers would like the IETF to use the latest version of ASN.1 in its standards. Most of the RFCs that relate to security protocols still use ASN.1 from the 1988 standard, which has been deprecated. This is particularly true for the standards that relate to PKIX, CMS, and S/MIME.

This document updates the following RFCs to use ASN.1 modules that conform to the 2002 version of ASN.1 [ASN1-2002]. Note that not all the modules are updated; some are included to simply make the set complete.

- o RFC 3370, CMS Algorithms [RFC3370]
- o RFC 3565, Use of AES in CMS [RFC3565]
- o RFC 3851, S/MIME Version 3.1 Message Specification [RFC3851]
- o RFC 3852, CMS main [RFC3852]
- o RFC 4108, Using CMS to Protect Firmware Packages [RFC4108]
- o RFC 4998, Evidence Record Syntax (ERS) [RFC4998]
- o RFC 5035, Enhanced Security Services (ESS) [RFC5035]
- o RFC 5083, CMS Authenticated-Enveloped-Data Content Type [RFC5083]
- o RFC 5084, Using AES-CCM and AES-GCM Authenticated Encryption in CMS [RFC5084]
- o RFC 5275, CMS Symmetric Key Management and Distribution [RFC5275]

Note that some of the modules in this document get some of their definitions from places different than the modules in the original RFCs. The idea is that these modules, when combined with the modules in [RFC5912] can stand on their own and do not need to import definitions from anywhere else. Also note that the ASN.1 modules in this document have references in their text comments that need to be looked up in original RFCs, and that some of those references may have already been superseded by later RFCs.

The document also includes a module of common definitions called "AlgorithmInformation". These definitions are used here and in [RFC5912].

Note that some of the modules here import definitions from the common definitions module, "PKIX-CommonTypes", in [RFC5912].

1.1. Design Notes

The modules in this document use the object model available in the 2002 ASN.1 documents to a great extent. Objects for each of the different algorithm types are defined. Also, all of the places where the 1988 ASN.1 syntax had ANY holes to allow for variable syntax now use objects.

Much like the way that the PKIX and S/MIME working groups use the prefix of id- for object identifiers, this document has also adopted a set of two-, three-, and four-letter prefixes to allow for quick identification of the type of an object based on its name. This allows, for example, the same back half of the name to be used for the different objects. Thus, "id-shal" is the object identifier, while "mda-shal" is the message digest object for "shal".

One or more object sets for the different types of algorithms are defined. A single consistent name for each different algorithm type is used. For example, an object set named PublicKeys contains the public keys defined in that module. If no public keys are defined, then the object set is not created. When importing these object sets into an ASN.1 module, one needs to be able to distinguish between the different object sets with the same name. This is done by using both the module name (as specified in the IMPORT statement) and the object set name. For example, in the module for RFC 5280:

```
PublicKeys FROM PKIXAlgs-2008 { 1 3 6 1 5 5 7 0 995 }
PublicKeys FROM PKIX1-PSS-OAEP-Algorithms { 1 3 6 1 5 5 7 33 }
```

PublicKeyAlgorithms PUBLIC-KEY ::= { PKIXAlgs-2008.PublicKeys, ..., PKIX1-PSS-OAEP-Algorithms.PublicKeys }

2. ASN.1 Module AlgorithmInformation

This section contains a module that is imported by many other modules in this document. Note that this module is also given in [RFC5912]. This module does not come from any existing RFC.

```
AlgorithmInformation-2009
    {iso(1) identified-organization(3) dod(6) internet(1) security(5)
    mechanisms(5) pkix(7) id-mod(0)
    id-mod-algorithmInformation-02(58)}
```

```
DEFINITIONS EXPLICIT TAGS ::=
BEGIN
EXPORTS ALL;
IMPORTS
KeyUsage
FROM PKIX1Implicit-2009
    {iso(1) identified-organization(3) dod(6) internet(1)
    security(5) mechanisms(5) pkix(7) id-mod(0)
    id-mod-pkix1-implicit-02(59)};
-- Suggested prefixes for algorithm objects are:
-- mda-
           Message Digest Algorithms
           Signature Algorithms
   sa-
-- sa- Signature Algorithms
-- kta- Key Transport Algorithms (Asymmetric)
-- kaa- Key Agreement Algorithms (Asymmetric)
-- kwa- Key Wrap Algorithms (Symmetric)
-- kda- Key Derivation Algorithms
-- maca- Message Authentication Code Algorithms
-- pk- Public Key
-- cea- Content (symmetric) Encryption Algorithms
-- cap- S/MIME Capabilities
ParamOptions ::= ENUMERATED {
   required, -- Parameters MUST be encoded in structure
   preferredPresent, -- Parameters SHOULD be encoded in structure
   {\tt preferredAbsent, \  \, -- \  \, Parameters \  \, SHOULD \  \, NOT \  \, be \  \, encoded \  \, in \  \, structure}
   absent, -- Parameters MUST NOT be encoded in structure inheritable, -- Parameters are inherited if not present optional, -- Parameters MAY be encoded in the structure
   . . .
}
-- DIGEST-ALGORITHM
-- Describes the basic information for ASN.1 and a digest
--
    algorithm.
-- &id - contains the OID identifying the digest algorithm
-- &Params - if present, contains the type for the algorithm
                  parameters; if absent, implies no parameters
___
-- &paramPresence - parameter presence requirement
___
-- Additional information such as the length of the hash could have
        been encoded. Without a clear understanding of what information
___
        is needed by applications, such extraneous information was not
        considered to be of sufficient importance.
--
```

```
-- Example:
-- mda-shal DIGEST-ALGORITHM ::= {
       IDENTIFIER id-sha1
       PARAMS TYPE NULL ARE preferredAbsent
DIGEST-ALGORITHM ::= CLASS {
           OBJECT IDENTIFIER UNIQUE,
   &Params
                      OPTIONAL,
   &paramPresence
                      ParamOptions DEFAULT absent
} WITH SYNTAX {
   IDENTIFIER &id
    [PARAMS [TYPE &Params] ARE &paramPresence ]
}
-- SIGNATURE-ALGORITHM
-- Describes the basic properties of a signature algorithm
--
-- &id - contains the OID identifying the signature algorithm
-- &Value - contains a type definition for the value structure of
--
               the signature; if absent, implies that no ASN.1
               encoding is performed on the value
-- &Params - if present, contains the type for the algorithm
                parameters; if absent, implies no parameters
-- &paramPresence - parameter presence requirement
-- &HashSet - The set of hash algorithms used with this
                  signature algorithm
--
-- &PublicKeySet - the set of public key algorithms for this
                  signature algorithm
___
-- &smimeCaps - contains the object describing how the S/MIME
--
              capabilities are presented.
-- Example:
-- sig-RSA-PSS SIGNATURE-ALGORITHM ::= {
      IDENTIFIER id-RSASSA-PSS
      PARAMS TYPE RSASSA-PSS-params ARE required
      HASHES { mda-sha1 | mda-md5, ... }
      PUBLIC-KEYS { pk-rsa | pk-rsa-pss }
SIGNATURE-ALGORITHM ::= CLASS {
   &id OBJECT IDENTIFIER UNIQUE,
   &Value OPTIONAL, &Params OPTIONAL,
    &paramPresence ParamOptions DEFAULT absent,
    &HashSet DIGEST-ALGORITHM OPTIONAL,
```

```
&PublicKeySet PUBLIC-KEY OPTIONAL,
    &smimeCaps SMIME-CAPS OPTIONAL
} WITH SYNTAX {
    IDENTIFIER &id
    [VALUE &Value]
    [PARAMS [TYPE &Params] ARE &paramPresence ]
    [HASHES &HashSet]
    [PUBLIC-KEYS & PublicKeySet]
   [SMIME-CAPS &smimeCaps]
}
-- PUBLIC-KEY
-- Describes the basic properties of a public key
-- &id - contains the OID identifying the public key
-- &KeyValue - contains the type for the key value
-- &Params - if present, contains the type for the algorithm
                parameters; if absent, implies no parameters
-- &paramPresence - parameter presence requirement
-- &keyUsage - contains the set of bits that are legal for this
               key type. Note that it does not make any statement
               about how bits may be paired.
-- &PrivateKey - contains a type structure for encoding the private
              key information.
--
-- Example:
-- pk-rsa-pss PUBLIC-KEY ::= {
-- IDENTIFIER id-RSASSA-PSS
      KEY RSAPublicKey
-- PARAMS TYPE RSASSA-PSS-params ARE optional
--
      CERT-KEY-USAGE { .... }
-- }
PUBLIC-KEY ::= CLASS {
   &id OBJECT IDENTIFIER UNIQUE,

&KeyValue OPTIONAL,

&Params OPTIONAL,
    &paramPresence ParamOptions DEFAULT absent,
   &keyUsage KeyUsage OPTIONAL, &PrivateKey OPTIONAL
} WITH SYNTAX {
   IDENTIFIER &id
    [KEY &KeyValue]
    [PARAMS [TYPE &Params] ARE &paramPresence]
    [CERT-KEY-USAGE &keyUsage]
    [PRIVATE-KEY & PrivateKey]
}
```

```
-- KEY-TRANSPORT
-- Describes the basic properties of a key transport algorithm
-- &id - contains the OID identifying the key transport algorithm
-- &Params - if present, contains the type for the algorithm
                parameters; if absent, implies no parameters
___
-- &paramPresence - parameter presence requirement
-- &PublicKeySet - specifies which public keys are used with
                         this algorithm
-- &smimeCaps - contains the object describing how the S/MIME
--
               capabilities are presented.
-- Example:
-- kta-rsaTransport KEY-TRANSPORT ::= {
     IDENTIFIER &id
___
      PARAMS TYPE NULL ARE required
--
       PUBLIC-KEYS { pk-rsa | pk-rsa-pss }
KEY-TRANSPORT ::= CLASS {
                      OBJECT IDENTIFIER UNIQUE,
    &Params
                       OPTIONAL,
   &paramPresence ParamOptions DEFAULT absent,
&PublicKeySet PUBLIC-KEY OPTIONAL,
&smimeCaps SMIME-CAPS OPTIONAL
                       SMIME-CAPS OPTIONAL
    &smimeCaps
} WITH SYNTAX {
    IDENTIFIER &id
    [PARAMS [TYPE &Params] ARE &paramPresence]
    [PUBLIC-KEYS & PublicKeySet]
    [SMIME-CAPS &smimeCaps]
}
-- KEY-AGREE
-- Describes the basic properties of a key agreement algorithm
-- &id - contains the OID identifying the key agreement algorithm
-- &Params - if present, contains the type for the algorithm
                 parameters; if absent, implies no parameters
-- &paramPresence - parameter presence requirement
-- &PublicKeySet - specifies which public keys are used with
                          this algorithm
-- &Ukm - type of user keying material used
-- &ukmPresence - specifies the requirements to define the UKM field
-- &smimeCaps - contains the object describing how the S/MIME
--
                capabilities are presented.
--
```

```
-- Example:
   kaa-dh-static-ephemeral KEY-AGREE ::= {
        IDENTIFIER id-alg-ESDH
        PARAMS TYPE KeyWrapAlgorithm ARE required
        PUBLIC-KEYS {
      {IDENTIFIER dh-public-number KEY DHPublicKey
___
              PARAMS TYPE DHDomainParameters ARE inheritable }
___
--
        - - UKM should be present but is not separately ASN.1-encoded
--
      UKM ARE preferredPresent
-- }
KEY-AGREE ::= CLASS {
    &id OBJECT IDENTIFIER UNIQUE, &Params OPTIONAL.
    &paramPresence ParamOptions DEFAULT absent,
    &PublicKeySet PUBLIC-KEY OPTIONAL,
    &Ukm OPTIONAL,
&ukmPresence ParamOptions DEFAULT absent,
&smimeCaps SMIME-CAPS OPTIONAL
} WITH SYNTAX {
    IDENTIFIER &id
    [PARAMS [TYPE &Params] ARE &paramPresence]
    [PUBLIC-KEYS & PublicKeySet]
    [UKM [TYPE &Ukm] ARE &ukmPresence]
    [SMIME-CAPS &smimeCaps]
}
-- KEY-WRAP
-- Describes the basic properties of a key wrap algorithm
___
-- &id - contains the OID identifying the key wrap algorithm
-- &Params - if present, contains the type for the algorithm
                parameters; if absent, implies no parameters
--
-- &paramPresence - parameter presence requirement
-- &smimeCaps - contains the object describing how the S/MIME
--
                capabilities are presented.
-- Example:
-- kwa-cms3DESwrap KEY-WRAP ::= {
-- IDENTIFIER id-alg-CMS3DESwrap
        PARAMS TYPE NULL ARE required
-- }
KEY-WRAP ::= CLASS {
    &id
                       OBJECT IDENTIFIER UNIQUE,
    &Params
                      OPTIONAL,
```

```
&paramPresence ParamOptions DEFAULT absent,
    &smimeCaps
                      SMIME-CAPS OPTIONAL
} WITH SYNTAX {
    IDENTIFIER &id
    [PARAMS [TYPE &Params] ARE &paramPresence]
    [SMIME-CAPS &smimeCaps]
}
-- KEY-DERIVATION
-- Describes the basic properties of a key derivation algorithm
-- &id - contains the OID identifying the key derivation algorithm
-- &Params - if present, contains the type for the algorithm
                parameters; if absent, implies no parameters
-- &paramPresence - parameter presence requirement
-- &smimeCaps - contains the object describing how the S/MIME
               capabilities are presented.
___
-- Example:
-- kda-pbkdf2 KEY-DERIVATION ::= {
       IDENTIFIER id-PBKDF2
       PARAMS TYPE PBKDF2-params ARE required
KEY-DERIVATION ::= CLASS {
   &id OBJECT IDENTIFIER UNIQUE, &Params OPTIONAL,
   &Params OPTIONAL,
&paramPresence ParamOptions DEFAULT absent,
&smimeCaps SMIME-CAPS OPTIONAL
} WITH SYNTAX {
   IDENTIFIER &id
    [PARAMS [TYPE &Params] ARE &paramPresence]
   [SMIME-CAPS &smimeCaps]
}
-- MAC-ALGORITHM
-- Describes the basic properties of a message
    authentication code (MAC) algorithm
-- &id - contains the OID identifying the MAC algorithm
-- &Params - if present, contains the type for the algorithm
--
                 parameters; if absent, implies no parameters
-- &paramPresence - parameter presence requirement
-- &keyed - MAC algorithm is a keyed MAC algorithm
-- &smimeCaps - contains the object describing how the S/MIME
___
                capabilities are presented.
```

```
-- Some parameters that perhaps should have been added would be
-- fields with the minimum and maximum MAC lengths for
-- those MAC algorithms that allow truncations.
-- Example:
-- maca-hmac-shal MAC-ALGORITHM ::= {
-- IDENTIFIER hMAC-SHA1
      PARAMS TYPE NULL ARE preferredAbsent
-- IS KEYED MAC TRUE
-- SMIME-CAPS {IDENTIFIED BY hMAC-SHA1}
MAC-ALGORITHM ::= CLASS {
   &id OBJECT IDENTIFIER UNIQUE, &Params OPTIONAL,
   &Params OPTIONAL,
&paramPresence ParamOptions DEFAULT absent,
&keyed BOOLEAN,
&smimeCaps SMIME-CAPS OPTIONAL

TH SYNTAX {
} WITH SYNTAX {
    IDENTIFIER &id
    [PARAMS [TYPE &Params] ARE &paramPresence]
    IS-KEYED-MAC &keyed
    [SMIME-CAPS &smimeCaps]
}
-- CONTENT-ENCRYPTION
-- Describes the basic properties of a content encryption
       algorithm
--
___
-- &id - contains the OID identifying the content
    encryption algorithm
-- &Params - if present, contains the type for the algorithm
                parameters; if absent, implies no parameters
--
-- &paramPresence - parameter presence requirement
-- &smimeCaps - contains the object describing how the S/MIME
--
                capabilities are presented.
-- Example:
-- cea-3DES-cbc CONTENT-ENCRYPTION ::= {
-- IDENTIFIER des-ede3-cbc
      PARAMS TYPE IV ARE required
        SMIME-CAPS { IDENTIFIED BY des-ede3-cbc }
--
CONTENT-ENCRYPTION ::= CLASS {
    &id
                      OBJECT IDENTIFIER UNIQUE,
```

```
&Params
                      OPTIONAL,
                    ParamOptions DEFAULT absent,
    &paramPresence
    &smimeCaps
                      SMIME-CAPS OPTIONAL
} WITH SYNTAX {
    IDENTIFIER &id
    [PARAMS [TYPE &Params] ARE &paramPresence]
    [SMIME-CAPS &smimeCaps]
}
-- ALGORITHM
-- Describes a generic algorithm identifier
-- &id - contains the OID identifying the algorithm
-- &Params - if present, contains the type for the algorithm
               parameters; if absent, implies no parameters
-- &paramPresence - parameter presence requirement
-- &smimeCaps - contains the object describing how the S/MIME
              capabilities are presented.
___
-- This would be used for cases where an algorithm of an unknown
-- type is used. In general however, one should either define
-- a more complete algorithm structure (such as the one above)
-- or use the TYPE-IDENTIFIER class.
ALGORITHM ::= CLASS {
   &id OBJECT IDENTIFIER UNIQUE, &Params OPTIONAL,
   &paramPresence ParamOptions DEFAULT absent,
    &smimeCaps SMIME-CAPS OPTIONAL
} WITH SYNTAX {
    IDENTIFIER &id
    [PARAMS [TYPE &Params] ARE &paramPresence]
   [SMIME-CAPS &smimeCaps]
}
-- AlgorithmIdentifier
-- Provides the generic structure that is used to encode algorithm
   identification and the parameters associated with the
     algorithm.
___
-- The first parameter represents the type of the algorithm being
     used.
-- The second parameter represents an object set containing the
-- algorithms that may occur in this situation.
     The initial list of required algorithms should occur to the
      left of an extension marker; all other algorithms should
```

```
occur to the right of an extension marker.
-- The object class ALGORITHM can be used for generic unspecified
      items.
-- If new ALGORITHM classes are defined, the fields &id and &Params
      need to be present as fields in the object in order to use
      this parameterized type.
___
-- Example:
-- SignatureAlgorithmIdentifier ::=
        AlgorithmIdentifier{SIGNATURE-ALGORITHM, {SignatureAlgSet}}
AlgorithmIdentifier{ALGORITHM-TYPE, ALGORITHM-TYPE:AlgorithmSet} ::=
       SEQUENCE {
           algorithm ALGORITHM-TYPE.&id({AlgorithmSet}),
parameters ALGORITHM-TYPE.
                 &Params({AlgorithmSet}{@algorithm}) OPTIONAL
-- S/MIME Capabilities
-- We have moved the SMIME-CAPS from the module for RFC 3851 to here
-- because it is used in RFC 4262 (X.509 Certificate Extension for
-- S/MIME Capabilities)
___
-- This class is used to represent an S/MIME capability. S/MIME
-- capabilities are used to represent what algorithm capabilities
-- an individual has. The classic example was the content encryption
-- algorithm RC2 where the algorithm id and the RC2 key lengths
-- supported needed to be advertised, but the IV used is not fixed.
-- Thus, for RC2 we used
--
-- cap-RC2CBC SMIME-CAPS ::= {
       TYPE INTEGER ( 40 | 128 ) IDENTIFIED BY rc2-cbc }
--
--
-- where 40 and 128 represent the RC2 key length in number of bits.
-- Another example where information needs to be shown is for
-- RSA-OAEP where only specific hash functions or mask generation
-- functions are supported, but the saltLength is specified by the
-- sender and not the recipient. In this case, one can either
-- generate a number of capability items,
-- or a new S/MIME capability type could be generated where
-- multiple hash functions could be specified.
-- SMIME-CAP
```

```
-- This class is used to associate the type that describes the
-- capabilities with the object identifier.
SMIME-CAPS ::= CLASS {
             OBJECT IDENTIFIER UNIQUE,
   &id
              OPTIONAL
   &Type
WITH SYNTAX { [TYPE &Type] IDENTIFIED BY &id }
-- Generic type - this is used for defining values.
-- Define a single S/MIME capability encoding
SMIMECapability{SMIME-CAPS:CapabilitySet} ::= SEQUENCE {
   SMIME-CAPS.&Type({CapabilitySet}
   parameters
                          {@capabilityID}) OPTIONAL
}
-- Define a sequence of S/MIME capability values
SMIMECapabilities { SMIME-CAPS:CapabilitySet } ::=
       SEQUENCE SIZE (1..MAX) OF SMIMECapability{{CapabilitySet}} }
END
3. ASN.1 Module for RFC 3370
  CryptographicMessageSyntaxAlgorithms-2009
      { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
      smime(16) modules(0) id-mod-cmsalg-2001-02(37) }
  DEFINITIONS IMPLICIT TAGS ::=
  BEGIN
  IMPORTS
  ParamOptions, DIGEST-ALGORITHM, SIGNATURE-ALGORITHM,
     PUBLIC-KEY, KEY-DERIVATION, KEY-WRAP, MAC-ALGORITHM,
     KEY-AGREE, KEY-TRANSPORT, CONTENT-ENCRYPTION, ALGORITHM,
     AlgorithmIdentifier{}, SMIME-CAPS
  FROM AlgorithmInformation-2009
      {iso(1) identified-organization(3) dod(6) internet(1) security(5)
      mechanisms(5) pkix(7) id-mod(0)
      id-mod-algorithmInformation-02(58)}
```

```
pk-rsa, pk-dh, pk-dsa, rsaEncryption, DHPublicKey, dhpublicnumber
FROM PKIXAlgs-2009
     {iso(1) identified-organization(3) dod(6)
     internet(1) security(5) mechanisms(5) pkix(7) id-mod(0)
     id-mod-pkix1-algorithms2008-02(56)}
cap-RC2CBC
FROM SecureMimeMessageV3dot1-2009
     {iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
     smime(16) modules(0) id-mod-msg-v3dot1-02(39)};
-- 2. Hash algorithms in this document
MessageDigestAlgs DIGEST-ALGORITHM ::= {
-- mda-md5 | mda-sha1,
     ...}
-- 3. Signature algorithms in this document
SignatureAlgs SIGNATURE-ALGORITHM ::= {
-- See RFC 3279
-- sa-dsaWithSHA1 | sa-rsaWithMD5 | sa-rsaWithSHA1,
-- 4. Key Management Algorithms
-- 4.1 Key Agreement Algorithms
KeyAgreementAlgs KEY-AGREE ::= { kaa-esdh | kaa-ssdh, ...}
KeyAgreePublicKeys PUBLIC-KEY ::= { pk-dh, ...}
-- 4.2 Key Transport Algorithms
KeyTransportAlgs KEY-TRANSPORT ::= { kt-rsa, ... }
-- 4.3 Symmetric Key-Encryption Key Algorithms
KeyWrapAlgs KEY-WRAP ::= { kwa-3DESWrap | kwa-RC2Wrap, ... }
-- 4.4 Key Derivation Algorithms
KeyDerivationAlgs KEY-DERIVATION ::= { kda-PBKDF2, ... }
-- 5. Content Encryption Algorithms
ContentEncryptionAlgs CONTENT-ENCRYPTION ::=
    { cea-3DES-cbc | cea-RC2-cbc, ... }
-- 6. Message Authentication Code Algorithms
```

```
MessageAuthAlgs MAC-ALGORITHM ::= { maca-hMAC-SHA1, ... }
-- S/MIME Capabilities for these items
SMimeCaps SMIME-CAPS ::= {
   kaa-esdh.&smimeCaps
    kaa-ssdh.&smimeCaps
   kt-rsa.&smimeCaps
    kwa-3DESWrap.&smimeCaps
    kwa-RC2Wrap.&smimeCaps
    cea-3DES-cbc.&smimeCaps
    cea-RC2-cbc.&smimeCaps
    maca-hMAC-SHA1.&smimeCaps,
    . . . }
-- Algorithm Identifiers
-- rsaEncryption OBJECT IDENTIFIER ::= { iso(1) member-body(2)
-- us(840) rsadsi(113549) pkcs(1) pkcs-1(1) 1 }
id-alg-ESDH OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840)
   rsadsi(113549) pkcs(1) pkcs-9(9) smime(16) alg(3) 5 }
id-alg-SSDH OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840)
   rsadsi(113549) pkcs(1) pkcs-9(9) smime(16) alg(3) 10 }
id-alg-CMS3DESwrap OBJECT IDENTIFIER ::= { iso(1) member-body(2)
   us(840) rsadsi(113549) pkcs(1) pkcs-9(9) smime(16) alg(3) 6 }
id-alg-CMSRC2wrap OBJECT IDENTIFIER ::= { iso(1) member-body(2)
   us(840) rsadsi(113549) pkcs(1) pkcs-9(9) smime(16) alg(3) 7 }
des-ede3-cbc OBJECT IDENTIFIER ::= { iso(1) member-body(2)
  us(840) rsadsi(113549) encryptionAlgorithm(3) 7 }
rc2-cbc OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840)
   rsadsi(113549) encryptionAlgorithm(3) 2 }
hMAC-SHA1 OBJECT IDENTIFIER ::= { iso(1) identified-organization(3)
   dod(6) internet(1) security(5) mechanisms(5) 8 1 2 }
id-PBKDF2 OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840)
   rsadsi(113549) pkcs(1) pkcs-5(5) 12 }
```

```
-- Algorithm Identifier Parameter Types
KeyWrapAlgorithm ::=
    AlgorithmIdentifier {KEY-WRAP, {KeyWrapAlgs }}
RC2wrapParameter ::= RC2ParameterVersion
RC2ParameterVersion ::= INTEGER
CBCParameter ::= IV
IV ::= OCTET STRING -- exactly 8 octets
RC2CBCParameter ::= SEQUENCE {
    rc2ParameterVersion INTEGER (1..256),
    iv OCTET STRING } -- exactly 8 octets
maca-hMAC-SHA1 MAC-ALGORITHM ::= {
    IDENTIFIER hMAC-SHA1
    PARAMS TYPE NULL ARE preferredAbsent
    IS-KEYED-MAC TRUE
    SMIME-CAPS {IDENTIFIED BY hMAC-SHA1}
PBKDF2-PRFsAlgorithmIdentifier ::= AlgorithmIdentifier { ALGORITHM,
                                       {PBKDF2-PRFs} }
alg-hMAC-SHA1 ALGORITHM ::=
    { IDENTIFIER hMAC-SHA1 PARAMS TYPE NULL ARE required }
PBKDF2-PRFs ALGORITHM ::= { alg-hMAC-SHA1, ... }
PBKDF2-SaltSources ALGORITHM ::= { ... }
PBKDF2-SaltSourcesAlgorithmIdentifier ::=
    AlgorithmIdentifier {ALGORITHM, {PBKDF2-SaltSources}}
defaultPBKDF2 PBKDF2-PRFsAlgorithmIdentifier ::=
    { algorithm alg-hMAC-SHA1.&id, parameters NULL:NULL }
PBKDF2-params ::= SEQUENCE {
    salt CHOICE {
        specified OCTET STRING,
        otherSource PBKDF2-SaltSourcesAlgorithmIdentifier },
    iterationCount INTEGER (1..MAX),
    keyLength INTEGER (1..MAX) OPTIONAL,
    prf PBKDF2-PRFsAlgorithmIdentifier DEFAULT
           defaultPBKDF2
        }
```

```
-- This object is included for completeness. It should not be used
       for encoding of signatures, but was sometimes used in older
       versions of CMS for encoding of RSA signatures.
-- sa-rsa SIGNATURE-ALGORITHM ::= {
-- IDENTIFIER rsaEncryption
         - - value is not ASN.1 encoded
         PARAMS TYPE NULL ARE required
--
         HASHES {mda-sha1 | mda-md5, ...}
         PUBLIC-KEYS { pk-rsa}
-- }
-- No ASN.1 encoding is applied to the signature value
-- for these items
kaa-esdh KEY-AGREE ::= {
    IDENTIFIER id-alg-ESDH
    PARAMS TYPE KeyWrapAlgorithm ARE required
    PUBLIC-KEYS { pk-dh }
    -- UKM is not ASN.1 encoded
    UKM ARE optional
    SMIME-CAPS {TYPE KeyWrapAlgorithm IDENTIFIED BY id-alg-ESDH}
}
kaa-ssdh KEY-AGREE ::= {
     IDENTIFIER id-alg-SSDH
    PARAMS TYPE KeyWrapAlgorithm ARE required
    PUBLIC-KEYS {pk-dh}
    -- UKM is not ASN.1 encoded
    UKM ARE optional
     SMIME-CAPS {TYPE KeyWrapAlgorithm IDENTIFIED BY id-alg-SSDH}
}
dh-public-number OBJECT IDENTIFIER ::= dhpublicnumber
pk-originator-dh PUBLIC-KEY ::= {
   IDENTIFIER dh-public-number
   KEY DHPublicKey
   PARAMS ARE absent
   CERT-KEY-USAGE {keyAgreement, encipherOnly, decipherOnly}
kwa-3DESWrap KEY-WRAP ::= {
     IDENTIFIER id-alg-CMS3DESwrap
    PARAMS TYPE NULL ARE required
    SMIME-CAPS {IDENTIFIED BY id-alg-CMS3DESwrap}
```

```
}
kwa-RC2Wrap KEY-WRAP ::= {
     IDENTIFIER id-alg-CMSRC2wrap
     PARAMS TYPE RC2wrapParameter ARE required
     SMIME-CAPS { IDENTIFIED BY id-alg-CMSRC2wrap }
kda-PBKDF2 KEY-DERIVATION ::= {
   IDENTIFIER id-PBKDF2
   PARAMS TYPE PBKDF2-params ARE required
   -- No S/MIME caps defined
}
cea-3DES-cbc CONTENT-ENCRYPTION ::= {
    IDENTIFIER des-ede3-cbc
   PARAMS TYPE IV ARE required
   SMIME-CAPS { IDENTIFIED BY des-ede3-cbc }
}
cea-RC2-cbc CONTENT-ENCRYPTION ::= {
   IDENTIFIER rc2-cbc
   PARAMS TYPE RC2CBCParameter ARE required
   SMIME-CAPS cap-RC2CBC
}
kt-rsa KEY-TRANSPORT ::= {
    IDENTIFIER rsaEncryption
    PARAMS TYPE NULL ARE required
   PUBLIC-KEYS { pk-rsa }
   SMIME-CAPS {IDENTIFIED BY rsaEncryption}
}
-- S/MIME Capabilities - most have no label.
cap-3DESwrap SMIME-CAPS ::= { IDENTIFIED BY id-alg-CMS3DESwrap }
END
```

4. ASN.1 Module for RFC 3565

```
CMSAesRsaesOaep-2009 (iso(1) member-body(2) us(840) rsadsi(113549)
   pkcs(1) pkcs-9(9) smime(16) modules(0) id-mod-cms-aes-02(38)}
DEFINITIONS IMPLICIT TAGS ::=
BEGIN
IMPORTS
CONTENT-ENCRYPTION, KEY-WRAP, SMIME-CAPS
FROM AlgorithmInformation-2009
    {iso(1) identified-organization(3) dod(6) internet(1) security(5)
   mechanisms(5) pkix(7) id-mod(0)
   id-mod-algorithmInformation-02(58)};
AES-ContentEncryption CONTENT-ENCRYPTION ::= {
  cea-aes128-cbc | cea-aes192-cbc | cea-aes256-cbc, ...
AES-KeyWrap KEY-WRAP ::= {
  kwa-aes128-wrap | kwa-aes192-wrap | kwa-aes256-wrap, ...
SMimeCaps SMIME-CAPS ::= {
  cea-aes128-cbc.&smimeCaps
  cea-aes192-cbc.&smimeCaps
  cea-aes256-cbc.&smimeCaps
  kwa-aes128-wrap.&smimeCaps
  kwa-aes192-wrap.&smimeCaps
  kwa-aes256-wrap.&smimeCaps, ...
-- AES information object identifiers --
aes OBJECT IDENTIFIER ::=
   { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
   csor(3) nistAlgorithms(4) 1 }
-- AES using CBC mode for key sizes of 128, 192, 256
cea-aes128-cbc CONTENT-ENCRYPTION ::= {
    IDENTIFIER id-aes128-CBC
   PARAMS TYPE AES-IV ARE required
   SMIME-CAPS { IDENTIFIED BY id-aes128-CBC }
id-aes128-CBC OBJECT IDENTIFIER ::= { aes 2 }
cea-aes192-cbc CONTENT-ENCRYPTION ::= {
    IDENTIFIER id-aes192-CBC
```

```
PARAMS TYPE AES-IV ARE required
    SMIME-CAPS { IDENTIFIED BY id-aes192-CBC }
id-aes192-CBC OBJECT IDENTIFIER ::= { aes 22 }
cea-aes256-cbc CONTENT-ENCRYPTION ::= {
    IDENTIFIER id-aes256-CBC
    PARAMS TYPE AES-IV ARE required
   SMIME-CAPS { IDENTIFIED BY id-aes256-CBC }
id-aes256-CBC OBJECT IDENTIFIER ::= { aes 42 }
-- AES-IV is the parameter for all the above object identifiers.
AES-IV ::= OCTET STRING (SIZE(16))
-- AES Key Wrap Algorithm Identifiers - Parameter is absent
kwa-aes128-wrap KEY-WRAP ::= {
    IDENTIFIER id-aes128-wrap
    PARAMS ARE absent
    SMIME-CAPS { IDENTIFIED BY id-aes128-wrap }
id-aes128-wrap OBJECT IDENTIFIER ::= { aes 5 }
kwa-aes192-wrap KEY-WRAP ::= {
    IDENTIFIER id-aes192-wrap
    PARAMS ARE absent
    SMIME-CAPS { IDENTIFIED BY id-aes192-wrap }
id-aes192-wrap OBJECT IDENTIFIER ::= { aes 25 }
kwa-aes256-wrap KEY-WRAP ::= {
   IDENTIFIER id-aes256-wrap
   PARAMS ARE absent
   SMIME-CAPS { IDENTIFIED BY id-aes256-wrap }
id-aes256-wrap OBJECT IDENTIFIER ::= { aes 45 }
END
```

```
5. ASN.1 Module for RFC 3851
 SecureMimeMessageV3dot1-2009
       {iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
       smime(16) modules(0) id-mod-msg-v3dot1-02(39)
 DEFINITIONS IMPLICIT TAGS ::=
 BEGIN
 IMPORTS
 SMIME-CAPS, SMIMECapabilities{}
 FROM AlgorithmInformation-2009
     {iso(1) identified-organization(3) dod(6) internet(1) security(5)
     mechanisms(5) pkix(7) id-mod(0)
     id-mod-algorithmInformation-02(58)}
 ATTRIBUTE
 FROM PKIX-CommonTypes-2009
     {iso(1) identified-organization(3) dod(6) internet(1) security(5)
    mechanisms(5) pkix(7) id-mod(0) id-mod-pkixCommon-02(57)}
 SubjectKeyIdentifier, IssuerAndSerialNumber, RecipientKeyIdentifier
 FROM CryptographicMessageSyntax-2009
     {iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
     smime(16) modules(0) id-mod-cms-2004-02(41)}
 rc2-cbc, SMimeCaps
 FROM CryptographicMessageSyntaxAlgorithms-2009
     {iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
     smime(16) modules(0) id-mod-cmsalg-2001-02(37)}
 SMimeCaps
 FROM PKIXAlgs-2009
     {iso(1) identified-organization(3) dod(6) internet(1) security(5)
    mechanisms(5) pkix(7) id-mod(0)
     id-mod-pkix1-algorithms2008-02(56)}
 SMimeCaps
 FROM PKIX1-PSS-OAEP-Algorithms-2009
      {iso(1) identified-organization(3) dod(6) internet(1)
      security(5) mechanisms(5) pkix(7) id-mod(0)
      id-mod-pkix1-rsa-pkalgs-02(54)};
 SMimeAttributeSet ATTRIBUTE ::=
     { aa-smimeCapabilities | aa-encrypKeyPref, ... }
 -- id-aa is the arc with all new authenticated and unauthenticated
 -- attributes produced by the S/MIME Working Group
```

```
id-aa OBJECT IDENTIFIER ::=
    { iso(1) member-body(2) usa(840) rsadsi(113549) pkcs(1) pkcs-9(9)
    smime(16) attributes(2)}
-- The S/MIME Capabilities attribute provides a method of broadcasting
-- the symmetric capabilities understood. Algorithms SHOULD be ordered
-- by preference and grouped by type
aa-smimeCapabilities ATTRIBUTE ::=
    { TYPE SMIMECapabilities{{SMimeCapsSet}} IDENTIFIED BY
         smimeCapabilities }
smimeCapabilities OBJECT IDENTIFIER ::=
    { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
SMimeCapsSet SMIME-CAPS ::=
    { cap-preferBinaryInside | cap-RC2CBC |
    PKIXAlgs-2009.SMimeCaps
    CryptographicMessageSyntaxAlgorithms-2009.SMimeCaps |
    PKIX1-PSS-OAEP-Algorithms-2009.SMimeCaps, ... }
-- Encryption Key Preference provides a method of broadcasting the
-- preferred encryption certificate.
aa-encrypKeyPref ATTRIBUTE ::=
    { TYPE SMIMEEncryptionKeyPreference
        IDENTIFIED BY id-aa-encrypKeyPref }
id-aa-encrypKeyPref OBJECT IDENTIFIER ::= {id-aa 11}
SMIMEEncryptionKeyPreference ::= CHOICE {
  issuerAndSerialNumber [0] IssuerAndSerialNumber,
  receipentKeyId [1] RecipientKeyIdentifier,
   subjectAltKeyIdentifier [2] SubjectKeyIdentifier
-- receipentKeyId is spelt incorrectly, but kept for historical
-- reasons.
id-smime OBJECT IDENTIFIER ::= { iso(1) member-body(2)
   us(840) rsadsi(113549) pkcs(1) pkcs9(9) 16 }
id-cap OBJECT IDENTIFIER ::= { id-smime 11 }
-- The preferBinaryInside indicates an ability to receive messages
-- with binary encoding inside the CMS wrapper
cap-preferBinaryInside SMIME-CAPS ::=
```

```
{ -- No value -- IDENTIFIED BY id-cap-preferBinaryInside }
 id-cap-preferBinaryInside OBJECT IDENTIFIER ::= { id-cap 1 }
 -- The following list OIDs to be used with S/MIME V3
 -- Signature Algorithms Not Found in [RFC3370]
 ___
 -- md2WithRSAEncryption OBJECT IDENTIFIER ::=
       {iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-1(1)
        2}
 ___
 -- Other Signed Attributes
 -- signingTime OBJECT IDENTIFIER ::=
       {iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
       See [RFC5652] for a description of how to encode the attribute
 ___
      value.
 cap-RC2CBC SMIME-CAPS ::=
     { TYPE SMIMECapabilitiesParametersForRC2CBC
        IDENTIFIED BY rc2-cbc}
 SMIMECapabilitiesParametersForRC2CBC ::= INTEGER (40 | 128, ...)
 -- (RC2 Key Length (number of bits))
 END
6. ASN.1 Module for RFC 3852
  This module has an ASN.1 idiom for noting in which version of CMS
   changes were made from the original PKCS #7; that idiom is "[[v:",
  where "v" is an integer. For example:
  RevocationInfoChoice ::= CHOICE {
      crl CertificateList,
       [[5: other [1] IMPLICIT OtherRevocationInfoFormat ]] }
   Similarly, this module adds the ASN.1 idiom for extensibility (the
   "...,") in all places that have been extended in the past. See the
   example above.
  CryptographicMessageSyntax-2009
      { iso(1) member-body(2) us(840) rsadsi(113549)
     pkcs(1) pkcs-9(9) smime(16) modules(0) id-mod-cms-2004-02(41) }
  DEFINITIONS IMPLICIT TAGS ::=
```

BEGIN

```
IMPORTS
ParamOptions, DIGEST-ALGORITHM, SIGNATURE-ALGORITHM,
    PUBLIC-KEY, KEY-DERIVATION, KEY-WRAP, MAC-ALGORITHM,
    KEY-AGREE, KEY-TRANSPORT, CONTENT-ENCRYPTION, ALGORITHM,
    AlgorithmIdentifier
FROM AlgorithmInformation-2009
    {iso(1) identified-organization(3) dod(6) internet(1) security(5)
    mechanisms(5) pkix(7) id-mod(0)
    id-mod-algorithmInformation-02(58)}
SignatureAlgs, MessageDigestAlgs, KeyAgreementAlgs,
   MessageAuthAlgs, KeyWrapAlgs, ContentEncryptionAlgs,
    KeyTransportAlgs, KeyDerivationAlgs, KeyAgreePublicKeys
FROM CryptographicMessageSyntaxAlgorithms-2009
    { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
    smime(16) modules(0) id-mod-cmsalg-2001-02(37) }
Certificate, CertificateList, CertificateSerialNumber,
   Name, ATTRIBUTE
FROM PKIX1Explicit-2009
    { iso(1) identified-organization(3) dod(6) internet(1)
    security(5) mechanisms(5) pkix(7) id-mod(0)
    id-mod-pkix1-explicit-02(51) }
AttributeCertificate
FROM PKIXAttributeCertificate-2009
    { iso(1) identified-organization(3) dod(6) internet(1)
    security(5) mechanisms(5) pkix(7) id-mod(0)
    id-mod-attribute-cert-02(47) }
AttributeCertificateV1
FROM AttributeCertificateVersion1-2009
    { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
    smime(16) modules(0) id-mod-v1AttrCert-02(49) } ;
-- Cryptographic Message Syntax
-- The following are used for version numbers using the ASN.1
    idiom "[[n:"
    Version 1 = PKCS #7
    Version 2 = S/MIME V2
    Version 3 = RFC 2630
   Version 4 = RFC 3369
-- Version 5 = RFC 3852
CONTENT-TYPE ::= TYPE-IDENTIFIER
ContentType ::= CONTENT-TYPE.&id
```

ContentInfo ::= SEQUENCE {

contentType CONTENT-TYPE.

```
&id({ContentSet}),
                     [0] EXPLICIT CONTENT-TYPE.
      content
                     &Type({ContentSet}{@contentType})}
  ContentSet CONTENT-TYPE ::= {
     -- Define the set of content types to be recognized.
      ct-Data | ct-SignedData | ct-EncryptedData | ct-EnvelopedData |
      ct-AuthenticatedData | ct-DigestedData, ... }
  SignedData ::= SEQUENCE {
     version CMSVersion,
     digestAlgorithms SET OF DigestAlgorithmIdentifier,
      encapContentInfo EncapsulatedContentInfo,
      certificates [0] IMPLICIT CertificateSet OPTIONAL,
      crls [1] IMPLICIT RevocationInfoChoices OPTIONAL,
      signerInfos SignerInfos }
  SignerInfos ::= SET OF SignerInfo
  EncapsulatedContentInfo ::= SEQUENCE {
     eContentType CONTENT-TYPE.&id({ContentSet}),
                        [0] EXPLICIT OCTET STRING
      eContent
             ( CONTAINING CONTENT-TYPE.
                 &Type({ContentSet}{@eContentType})) OPTIONAL }
  SignerInfo ::= SEQUENCE {
     version CMSVersion,
      sid SignerIdentifier,
     digestAlgorithm DigestAlgorithmIdentifier,
      signedAttrs [0] IMPLICIT SignedAttributes OPTIONAL,
      signatureAlgorithm SignatureAlgorithmIdentifier,
      signature Signature Value,
     unsignedAttrs [1] IMPLICIT Attributes
          {{UnsignedAttributes}} OPTIONAL }
  SignedAttributes ::= Attributes {{ SignedAttributesSet }}
  SignerIdentifier ::= CHOICE {
      issuerAndSerialNumber IssuerAndSerialNumber,
      [[3: subjectKeyIdentifier [0] SubjectKeyIdentifier ]] }
  SignedAttributesSet ATTRIBUTE ::=
      { aa-signingTime | aa-messageDigest | aa-contentType, ... }
  UnsignedAttributes ATTRIBUTE ::= { aa-countersignature, ... }
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                             Informational
                                                               [Page 26]
```

```
SignatureValue ::= OCTET STRING
EnvelopedData ::= SEQUENCE {
   version CMSVersion,
   originatorInfo [0] IMPLICIT OriginatorInfo OPTIONAL,
   recipientInfos RecipientInfos,
   encryptedContentInfo EncryptedContentInfo,
    [[2: unprotectedAttrs [1] IMPLICIT Attributes
       {{ UnprotectedAttributes }} OPTIONAL ]] }
OriginatorInfo ::= SEQUENCE {
   certs [0] IMPLICIT CertificateSet OPTIONAL,
   crls [1] IMPLICIT RevocationInfoChoices OPTIONAL }
RecipientInfos ::= SET SIZE (1..MAX) OF RecipientInfo
EncryptedContentInfo ::= SEQUENCE {
   contentEncryptionAlgorithm ContentEncryptionAlgorithmIdentifier,
   encryptedContent [0] IMPLICIT OCTET STRING OPTIONAL }
-- If you want to do constraints, you might use:
-- EncryptedContentInfo ::= SEQUENCE {
-- contentType CONTENT-TYPE.&id({ContentSet}),
-- contentEncryptionAlgorithm ContentEncryptionAlgorithmIdentifier,
-- encryptedContent [0] IMPLICIT ENCRYPTED {CONTENT-TYPE.
-- &Type({ContentSet}{@contentType}) OPTIONAL }
-- ENCRYPTED {ToBeEncrypted} ::= OCTET STRING ( CONSTRAINED BY
         { ToBeEncrypted } )
UnprotectedAttributes ATTRIBUTE ::= { ... }
RecipientInfo ::= CHOICE {
   ktri
                KeyTransRecipientInfo,
   [[3: kari [1] KeyAgreeRecipientInfo ]],
   [[4: kekri [2] KEKRecipientInfo]],
    [[5: pwri [3] PasswordRecipientInfo,
        ori [4] OtherRecipientInfo ]] }
EncryptedKey ::= OCTET STRING
KeyTransRecipientInfo ::= SEQUENCE {
   version CMSVersion, -- always set to 0 or 2
   rid RecipientIdentifier,
   \verb"keyEncryptionAlgorithm" Algorithm Identifier
       {KEY-TRANSPORT, {KeyTransportAlgorithmSet}},
```

```
encryptedKey EncryptedKey }
KeyTransportAlgorithmSet KEY-TRANSPORT ::= { KeyTransportAlgs, ... }
RecipientIdentifier ::= CHOICE {
    issuerAndSerialNumber IssuerAndSerialNumber,
    [[2: subjectKeyIdentifier [0] SubjectKeyIdentifier ]] }
KeyAgreeRecipientInfo ::= SEQUENCE {
   version CMSVersion, -- always set to 3
   originator [0] EXPLICIT OriginatorIdentifierOrKey,
    ukm [1] EXPLICIT UserKeyingMaterial OPTIONAL,
   keyEncryptionAlgorithm AlgorithmIdentifier
        {KEY-AGREE, {KeyAgreementAlgorithmSet}},
    recipientEncryptedKeys RecipientEncryptedKeys }
KeyAgreementAlgorithmSet KEY-AGREE ::= { KeyAgreementAlgs, ... }
OriginatorIdentifierOrKey ::= CHOICE {
    issuerAndSerialNumber IssuerAndSerialNumber,
    subjectKeyIdentifier [0] SubjectKeyIdentifier,
    originatorKey [1] OriginatorPublicKey }
OriginatorPublicKey ::= SEQUENCE {
    algorithm AlgorithmIdentifier {PUBLIC-KEY, {OriginatorKeySet}},
   publicKey BIT STRING }
OriginatorKeySet PUBLIC-KEY ::= { KeyAgreePublicKeys, ... }
RecipientEncryptedKeys ::= SEQUENCE OF RecipientEncryptedKey
RecipientEncryptedKey ::= SEQUENCE {
   rid KeyAgreeRecipientIdentifier,
    encryptedKey EncryptedKey }
KeyAgreeRecipientIdentifier ::= CHOICE {
    issuerAndSerialNumber IssuerAndSerialNumber,
    rKeyId [0] IMPLICIT RecipientKeyIdentifier }
RecipientKeyIdentifier ::= SEQUENCE {
    subjectKeyIdentifier SubjectKeyIdentifier,
    date GeneralizedTime OPTIONAL,
   other OtherKeyAttribute OPTIONAL }
SubjectKeyIdentifier ::= OCTET STRING
KEKRecipientInfo ::= SEQUENCE {
   version CMSVersion, -- always set to 4
```

```
kekid KEKIdentifier,
   keyEncryptionAlgorithm KeyEncryptionAlgorithmIdentifier,
    encryptedKey EncryptedKey }
KEKIdentifier ::= SEQUENCE {
   keyIdentifier OCTET STRING,
   date GeneralizedTime OPTIONAL,
   other OtherKeyAttribute OPTIONAL }
PasswordRecipientInfo ::= SEQUENCE {
   version CMSVersion, -- always set to 0
   keyDerivationAlgorithm [0] KeyDerivationAlgorithmIdentifier
                            OPTIONAL,
   keyEncryptionAlgorithm KeyEncryptionAlgorithmIdentifier,
    encryptedKey EncryptedKey }
OTHER-RECIPIENT ::= TYPE-IDENTIFIER
OtherRecipientInfo ::= SEQUENCE {
   oriType OTHER-RECIPIENT.
          &id({SupportedOtherRecipInfo}),
    oriValue OTHER-RECIPIENT.
            &Type({SupportedOtherRecipInfo}{@oriType})}
SupportedOtherRecipInfo OTHER-RECIPIENT ::= { ... }
DigestedData ::= SEQUENCE {
   version CMSVersion,
    digestAlgorithm DigestAlgorithmIdentifier,
    encapContentInfo EncapsulatedContentInfo,
   digest Digest, ... }
Digest ::= OCTET STRING
EncryptedData ::= SEQUENCE {
   version CMSVersion,
   {\tt encryptedContentInfo} \ {\tt EncryptedContentInfo},
    [[2: unprotectedAttrs [1] IMPLICIT Attributes
        {{UnprotectedAttributes}} OPTIONAL ]] }
AuthenticatedData ::= SEQUENCE {
   version CMSVersion,
    originatorInfo [0] IMPLICIT OriginatorInfo OPTIONAL,
   recipientInfos RecipientInfos,
   macAlgorithm MessageAuthenticationCodeAlgorithm,
   digestAlgorithm [1] DigestAlgorithmIdentifier OPTIONAL,
   encapContentInfo EncapsulatedContentInfo,
   authAttrs [2] IMPLICIT AuthAttributes OPTIONAL,
```

```
mac MessageAuthenticationCode,
   unauthAttrs [3] IMPLICIT UnauthAttributes OPTIONAL }
AuthAttributes ::= SET SIZE (1..MAX) OF Attribute
    {{AuthAttributeSet}}
AuthAttributeSet ATTRIBUTE ::= { aa-contentType | aa-messageDigest
                                    | aa-signingTime, ...}
MessageAuthenticationCode ::= OCTET STRING
UnauthAttributes ::= SET SIZE (1..MAX) OF Attribute
    {{UnauthAttributeSet}}
UnauthAttributeSet ATTRIBUTE ::= {...}
-- General algorithm definitions
DigestAlgorithmIdentifier ::= AlgorithmIdentifier
    {DIGEST-ALGORITHM, {DigestAlgorithmSet}}
DigestAlgorithmSet DIGEST-ALGORITHM ::= {
    CryptographicMessageSyntaxAlgorithms-2009.MessageDigestAlgs, ... }
SignatureAlgorithmIdentifier ::= AlgorithmIdentifier
    {SIGNATURE-ALGORITHM, {SignatureAlgorithmSet}}
SignatureAlgorithmSet SIGNATURE-ALGORITHM ::=
    { SignatureAlgs, ... }
KeyEncryptionAlgorithmIdentifier ::= AlgorithmIdentifier
    {KEY-WRAP, {KeyEncryptionAlgorithmSet}}
KeyEncryptionAlgorithmSet KEY-WRAP ::= { KeyWrapAlgs, ... }
ContentEncryptionAlgorithmIdentifier ::= AlgorithmIdentifier
    {CONTENT-ENCRYPTION, {ContentEncryptionAlgorithmSet}}
ContentEncryptionAlgorithmSet CONTENT-ENCRYPTION ::=
    { ContentEncryptionAlgs, ... }
MessageAuthenticationCodeAlgorithm ::= AlgorithmIdentifier
    {MAC-ALGORITHM, {MessageAuthenticationCodeAlgorithmSet}}
MessageAuthenticationCodeAlgorithmSet MAC-ALGORITHM ::=
    { MessageAuthAlgs, ... }
```

```
KeyDerivationAlgorithmIdentifier ::= AlgorithmIdentifier
    {KEY-DERIVATION, {KeyDerivationAlgs, ...}}
RevocationInfoChoices ::= SET OF RevocationInfoChoice
RevocationInfoChoice ::= CHOICE {
   crl CertificateList,
    [[5: other [1] IMPLICIT OtherRevocationInfoFormat ]] }
OTHER-REVOK-INFO ::= TYPE-IDENTIFIER
OtherRevocationInfoFormat ::= SEQUENCE {
   otherRevInfoFormat OTHER-REVOK-INFO.
           &id({SupportedOtherRevokInfo}),
    otherRevInfo OTHER-REVOK-INFO.
            &Type({SupportedOtherRevokInfo}{@otherRevInfoFormat})}
SupportedOtherRevokInfo OTHER-REVOK-INFO ::= { ... }
CertificateChoices ::= CHOICE {
    certificate Certificate,
    extendedCertificate [0] IMPLICIT ExtendedCertificate,
         -- Obsolete
    [[3: vlAttrCert [1] IMPLICIT AttributeCertificateV1]],
         -- Obsolete
    [[4: v2AttrCert [2] IMPLICIT AttributeCertificateV2]],
    [[5: other [3] IMPLICIT OtherCertificateFormat]] }
AttributeCertificateV2 ::= AttributeCertificate
OTHER-CERT-FMT ::= TYPE-IDENTIFIER
OtherCertificateFormat ::= SEQUENCE {
   otherCertFormat OTHER-CERT-FMT.
           &id({SupportedCertFormats}),
    otherCert
                   OTHER-CERT-FMT.
           &Type({SupportedCertFormats}{@otherCertFormat})}
SupportedCertFormats OTHER-CERT-FMT ::= { ... }
CertificateSet ::= SET OF CertificateChoices
IssuerAndSerialNumber ::= SEQUENCE {
    issuer Name,
    serialNumber CertificateSerialNumber }
```

```
CMSVersion ::= INTEGER { v0(0), v1(1), v2(2), v3(3), v4(4), v5(5) }
UserKeyingMaterial ::= OCTET STRING
KEY-ATTRIBUTE ::= TYPE-IDENTIFIER
OtherKeyAttribute ::= SEQUENCE {
   keyAttrId KEY-ATTRIBUTE.
           &id({SupportedKeyAttributes}),
   keyAttr KEY-ATTRIBUTE.
           &Type({SupportedKeyAttributes}{@keyAttrId})}
SupportedKeyAttributes KEY-ATTRIBUTE ::= { ... }
-- Content Type Object Identifiers
id-ct-contentInfo OBJECT IDENTIFIER ::= { iso(1) member-body(2)
  us(840) rsadsi(113549) pkcs(1) pkcs9(9) smime(16) ct(1) 6 }
ct-Data CONTENT-TYPE ::= {OCTET STRING IDENTIFIED BY id-data}
id-data OBJECT IDENTIFIER ::= { iso(1) member-body(2)
  us(840) rsadsi(113549) pkcs(1) pkcs7(7) 1 }
ct-SignedData CONTENT-TYPE ::=
    { SignedData IDENTIFIED BY id-signedData}
id-signedData OBJECT IDENTIFIER ::= { iso(1) member-body(2)
  us(840) rsadsi(113549) pkcs(1) pkcs7(7) 2 }
ct-EnvelopedData CONTENT-TYPE ::=
    { EnvelopedData IDENTIFIED BY id-envelopedData}
id-envelopedData OBJECT IDENTIFIER ::= { iso(1) member-body(2)
  us(840) rsadsi(113549) pkcs(1) pkcs7(7) 3 }
ct-DigestedData CONTENT-TYPE ::=
    { DigestedData IDENTIFIED BY id-digestedData}
id-digestedData OBJECT IDENTIFIER ::= { iso(1) member-body(2)
  us(840) rsadsi(113549) pkcs(1) pkcs7(7) 5 }
ct-EncryptedData CONTENT-TYPE ::=
    { EncryptedData IDENTIFIED BY id-encryptedData}
id-encryptedData OBJECT IDENTIFIER ::= { iso(1) member-body(2)
   us(840) rsadsi(113549) pkcs(1) pkcs7(7) 6 }
```

```
ct-AuthenticatedData CONTENT-TYPE ::=
    { AuthenticatedData IDENTIFIED BY id-ct-authData}
id-ct-authData OBJECT IDENTIFIER ::= { iso(1) member-body(2)
  us(840) rsadsi(113549) pkcs(1) pkcs-9(9) smime(16) ct(1) 2 }
-- The CMS Attributes
MessageDigest ::= OCTET STRING
SigningTime ::= Time
Time ::= CHOICE {
   utcTime UTCTime,
   generalTime GeneralizedTime }
Countersignature ::= SignerInfo
-- Attribute Object Identifiers
aa-contentType ATTRIBUTE ::=
    { TYPE ContentType IDENTIFIED BY id-contentType }
id-contentType OBJECT IDENTIFIER ::= { iso(1) member-body(2)
  us(840) rsadsi(113549) pkcs(1) pkcs9(9) 3 }
aa-messageDigest ATTRIBUTE ::=
   { TYPE MessageDigest IDENTIFIED BY id-messageDigest}
id-messageDigest OBJECT IDENTIFIER ::= { iso(1) member-body(2)
  us(840) rsadsi(113549) pkcs(1) pkcs9(9) 4 }
aa-signingTime ATTRIBUTE ::=
   { TYPE SigningTime IDENTIFIED BY id-signingTime }
id-signingTime OBJECT IDENTIFIER ::= { iso(1) member-body(2)
  us(840) rsadsi(113549) pkcs(1) pkcs9(9) 5 }
aa-countersignature ATTRIBUTE ::=
   { TYPE Countersignature IDENTIFIED BY id-countersignature }
id-countersignature OBJECT IDENTIFIER ::= { iso(1) member-body(2)
  us(840) rsadsi(113549) pkcs(1) pkcs9(9) 6 }
-- Obsolete Extended Certificate syntax from PKCS#6
ExtendedCertificateOrCertificate ::= CHOICE {
   certificate Certificate,
```

```
extendedCertificate [0] IMPLICIT ExtendedCertificate }
  ExtendedCertificate ::= SEQUENCE {
      extendedCertificateInfo ExtendedCertificateInfo,
      signatureAlgorithm SignatureAlgorithmIdentifier,
      signature Signature }
  ExtendedCertificateInfo ::= SEQUENCE {
     version CMSVersion,
     certificate Certificate,
     attributes UnauthAttributes }
  Signature ::= BIT STRING
  Attribute { ATTRIBUTE: AttrList } ::= SEQUENCE {
     attrType ATTRIBUTE.
            &id({AttrList}),
     attrValues SET OF ATTRIBUTE.
             &Type({AttrList}{@attrType}) }
  Attributes { ATTRIBUTE: AttrList } ::=
      SET SIZE (1..MAX) OF Attribute {{ AttrList }}
  END
7. ASN.1 Module for RFC 4108
  CMSFirmwareWrapper-2009
     { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
      smime(16) modules(0) id-mod-cms-firmware-wrap-02(40) }
  DEFINITIONS IMPLICIT TAGS ::=
  BEGIN
  IMPORTS
 OTHER-NAME
  FROM PKIX1Implicit-2009
     { iso(1) identified-organization(3) dod(6) internet(1) security(5)
     mechanisms(5) pkix(7) id-mod(0) id-mod-pkix1-implicit-02(59) }
  EnvelopedData, CONTENT-TYPE, ATTRIBUTE
  FROM CryptographicMessageSyntax-2009
      { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
      smime(16) modules(0) id-mod-cms-2004-02(41) };
  FirmwareContentTypes CONTENT-TYPE ::= {
         ct-firmwarePackage | ct-firmwareLoadReceipt |
         ct-firmwareLoadError,... }
```

```
FirmwareSignedAttrs ATTRIBUTE ::= {
        aa-firmwarePackageID | aa-targetHardwareIDs |
        aa-decryptKeyID | aa-implCryptoAlgs | aa-implCompressAlgs |
        aa-communityIdentifiers | aa-firmwarePackageInfo,... }
FirmwareUnsignedAttrs ATTRIBUTE ::= {
        aa-wrappedFirmwareKey, ... }
FirmwareOtherNames OTHER-NAME ::= {
        on-hardwareModuleName, ... }
-- Firmware Package Content Type and Object Identifier
ct-firmwarePackage CONTENT-TYPE ::=
        { FirmwarePkgData IDENTIFIED BY id-ct-firmwarePackage }
id-ct-firmwarePackage OBJECT IDENTIFIER ::= {
    iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9)
    smime(16) ct(1) 16 
FirmwarePkgData ::= OCTET STRING
-- Firmware Package Signed Attributes and Object Identifiers
aa-firmwarePackageID ATTRIBUTE ::=
    { TYPE FirmwarePackageIdentifier IDENTIFIED BY
        id-aa-firmwarePackageID }
id-aa-firmwarePackageID OBJECT IDENTIFIER ::= {
    iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9)
    smime(16) aa(2) 35 }
FirmwarePackageIdentifier ::= SEQUENCE {
   name PreferredOrLegacyPackageIdentifier,
    stale PreferredOrLegacyStalePackageIdentifier OPTIONAL }
PreferredOrLegacyPackageIdentifier ::= CHOICE {
   preferred PreferredPackageIdentifier,
    legacy OCTET STRING }
PreferredPackageIdentifier ::= SEQUENCE {
    fwPkgID OBJECT IDENTIFIER,
   verNum INTEGER (0..MAX) }
PreferredOrLegacyStalePackageIdentifier ::= CHOICE {
   preferredStaleVerNum INTEGER (0..MAX),
    legacyStaleVersion OCTET STRING }
aa-targetHardwareIDs ATTRIBUTE ::=
```

```
{ TYPE TargetHardwareIdentifiers IDENTIFIED BY
        id-aa-targetHardwareIDs }
id-aa-targetHardwareIDs OBJECT IDENTIFIER ::= {
    iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9)
    smime(16) aa(2) 36 }
TargetHardwareIdentifiers ::= SEQUENCE OF OBJECT IDENTIFIER
aa-decryptKeyID ATTRIBUTE ::=
        { TYPE DecryptKeyIdentifier IDENTIFIED BY id-aa-decryptKeyID}
id-aa-decryptKeyID OBJECT IDENTIFIER ::= {
    iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9)
    smime(16) aa(2) 37 }
DecryptKeyIdentifier ::= OCTET STRING
aa-implCryptoAlgs ATTRIBUTE ::=
    { TYPE ImplementedCryptoAlgorithms IDENTIFIED BY
       id-aa-implCryptoAlgs }
id-aa-implCryptoAlgs OBJECT IDENTIFIER ::= {
    iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9)
    smime(16) aa(2) 38 }
ImplementedCryptoAlgorithms ::= SEQUENCE OF OBJECT IDENTIFIER
aa-implCompressAlgs ATTRIBUTE ::=
    { TYPE ImplementedCompressAlgorithms IDENTIFIED BY
       id-aa-implCompressAlgs }
id-aa-implCompressAlgs OBJECT IDENTIFIER ::= {
    iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9)
    smime(16) aa(2) 43 }
ImplementedCompressAlgorithms ::= SEQUENCE OF OBJECT IDENTIFIER
aa-communityIdentifiers ATTRIBUTE ::=
    { TYPE CommunityIdentifiers IDENTIFIED BY
       id-aa-communityIdentifiers }
id-aa-communityIdentifiers OBJECT IDENTIFIER ::= {
    iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9)
    smime(16) aa(2) 40 }
CommunityIdentifiers ::= SEQUENCE OF CommunityIdentifier
```

```
CommunityIdentifier ::= CHOICE {
    communityOID OBJECT IDENTIFIER,
   hwModuleList HardwareModules }
HardwareModules ::= SEQUENCE {
   hwType OBJECT IDENTIFIER,
   hwSerialEntries SEQUENCE OF HardwareSerialEntry }
HardwareSerialEntry ::= CHOICE {
   all NULL,
   single OCTET STRING,
   block SEQUENCE {
       low OCTET STRING,
       high OCTET STRING
}
aa-firmwarePackageInfo ATTRIBUTE ::=
    { TYPE FirmwarePackageInfo IDENTIFIED BY
        id-aa-firmwarePackageInfo }
id-aa-firmwarePackageInfo OBJECT IDENTIFIER ::= {
    iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9)
    smime(16) aa(2) 42 }
FirmwarePackageInfo ::= SEQUENCE {
    fwPkgType INTEGER OPTIONAL,
    dependencies SEQUENCE OF
    PreferredOrLegacyPackageIdentifier OPTIONAL }
-- Firmware Package Unsigned Attributes and Object Identifiers
aa-wrappedFirmwareKey ATTRIBUTE ::=
    { TYPE WrappedFirmwareKey IDENTIFIED BY
       id-aa-wrappedFirmwareKey }
id-aa-wrappedFirmwareKey OBJECT IDENTIFIER ::= {
    iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9)
    smime(16) aa(2) 39 }
WrappedFirmwareKey ::= EnvelopedData
-- Firmware Package Load Receipt Content Type and Object Identifier
ct-firmwareLoadReceipt CONTENT-TYPE ::=
    { FirmwarePackageLoadReceipt IDENTIFIED BY
        id-ct-firmwareLoadReceipt }
id-ct-firmwareLoadReceipt OBJECT IDENTIFIER ::= {
    iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9)
    smime(16) ct(1) 17 }
```

```
FirmwarePackageLoadReceipt ::= SEQUENCE {
    version FWReceiptVersion DEFAULT v1,
    hwType OBJECT IDENTIFIER,
    hwSerialNum OCTET STRING,
    fwPkgName PreferredOrLegacyPackageIdentifier,
    trustAnchorKeyID OCTET STRING OPTIONAL,
    decryptKeyID [1] OCTET STRING OPTIONAL }
FWReceiptVersion ::= INTEGER { v1(1) }
-- Firmware Package Load Error Report Content Type
-- and Object Identifier
ct-firmwareLoadError CONTENT-TYPE ::=
    { FirmwarePackageLoadError
        IDENTIFIED BY id-ct-firmwareLoadError }
id-ct-firmwareLoadError OBJECT IDENTIFIER ::= {
    iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9)
    smime(16) ct(1) 18 }
FirmwarePackageLoadError ::= SEQUENCE {
    version FWErrorVersion DEFAULT v1,
    hwType OBJECT IDENTIFIER,
    hwSerialNum OCTET STRING,
    errorCode FirmwarePackageLoadErrorCode,
    vendorErrorCode VendorLoadErrorCode OPTIONAL,
    fwPkgName PreferredOrLegacyPackageIdentifier OPTIONAL,
    config [1] SEQUENCE OF CurrentFWConfig OPTIONAL }
FWErrorVersion ::= INTEGER { v1(1) }
CurrentFWConfig ::= SEQUENCE {
    fwPkgType INTEGER OPTIONAL,
    fwPkgName PreferredOrLegacyPackageIdentifier }
FirmwarePackageLoadErrorCode ::= ENUMERATED {
    decodeFailure
                                  (1),
    badContentInfo
                                  (2),
                                 (3),
   badSignedData
badEncapContent
badCertificate
                              (4),
(5),
(6),
(7),
   badSignerInfo
badSignedAttrs (7),
badUnsignedAttrs (8),
missingContent (9),
Third+Anchor (10),
(11),
   notAuthorized
                                (11),
   badDigestAlgorithm (12),
```

```
badSignatureAlgorithm
                                      (13),
(14),
     unsupportedKeySize
    contentTypeMismatch (15), badEncryptedData (17)
    badEncryptedData (17),
unprotectedAttrsPresent (18),
badEncryptContent (19),
badEncryptAlgorithm (20),
missingCiphertext (21),
noDecryptKey (22),
    noDecryptKey (22),
decryptFailure (23),
badCompressAlgorithm (24),
missingCompressedContent (25),
decompressFailure (26),
    wrongHardware
stalePackage
notInCommunity
                                         (27),
                                         (28),
                                         (29),
    unsupportedPackageType (30),
missingDependency (31),
    missingDependency (31, wrongDependencyVersion (32), insufficientMemory (33),
     badFirmware
                                         (34),
                                      (35),
     unsupportedParameters
     breaksDependency
                                          (36),
                                          (99) }
     otherError
VendorLoadErrorCode ::= INTEGER
-- Other Name syntax for Hardware Module Name
on-hardwareModuleName OTHER-NAME ::=
          { HardwareModuleName IDENTIFIED BY id-on-hardwareModuleName }
id-on-hardwareModuleName OBJECT IDENTIFIER ::= {
     iso(1) identified-organization(3) dod(6) internet(1) security(5)
     mechanisms(5) pkix(7) on(8) 4 }
HardwareModuleName ::= SEQUENCE {
     hwType OBJECT IDENTIFIER,
     hwSerialNum OCTET STRING }
```

END

security(5) mechanisms(5) ltans(11) id-mod(0) id-mod-ers(1)

ERS {iso(1) identified-organization(3) dod(6) internet(1)

8. ASN.1 Module for RFC 4998

id-mod-ers-v1(1) }
DEFINITIONS IMPLICIT TAGS ::=

BEGIN **IMPORTS** AttributeSet{}, ATTRIBUTE FROM PKIX-CommonTypes {iso(1) identified-organization(3) dod(6) internet(1) security(5) mechanisms(5) pkix(7) id-mod(0) id-mod-pkixCommon-02(57) } AlgorithmIdentifier{}, ALGORITHM, DIGEST-ALGORITHM FROM AlgorithmInformation-2009 {iso(1) identified-organization(3) dod(6) internet(1) security(5) mechanisms(5) pkix(7) id-mod(0) id-mod-algorithmInformation-02(58)} ContentInfo FROM CryptographicMessageSyntax2004 { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9) smime(16) modules(0) id-mod-cms-2004-02(41) }; aa-er-Internal ATTRIBUTE ::= { TYPE EvidenceRecord IDENTIFIED BY id-aa-er-internal } id-aa-er-internal OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9) smime(16) id-aa(2) 49 } aa-er-External ATTRIBUTE ::= { TYPE EvidenceRecord IDENTIFIED BY id-aa-er-external } id-aa-er-external OBJECT IDENTIFIER ::= $\{ iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9) \}$ smime(16) id-aa(2) 50 } ltans OBJECT IDENTIFIER ::= {iso(1) identified-organization(3) dod(6) internet(1) security(5) mechanisms(5) ltans(11) } EvidenceRecord ::= SEQUENCE { INTEGER $\{ v1(1) \}$, version SEQUENCE OF AlgorithmIdentifier digestAlgorithms {DIGEST-ALGORITHM, {...}}, cryptoInfos [0] CryptoInfos OPTIONAL, encryptionInfo [1] EncryptionInfo OPTIONAL, archiveTimeStampSequence ArchiveTimeStampSequence Hoffman & Schaad Informational [Page 40]

```
}
  CryptoInfos ::= SEQUENCE SIZE (1..MAX) OF AttributeSet{{...}}
  ArchiveTimeStampSequence ::= SEQUENCE OF ArchiveTimeStampChain
  ArchiveTimeStampChain ::= SEQUENCE OF ArchiveTimeStamp
  ArchiveTimeStamp ::= SEQUENCE {
     digestAlgorithm [0] AlgorithmIdentifier{DIGEST-ALGORITHM, {...}}
                             OPTIONAL,
     attributes [1] Attributes OPTIONAL,
     reducedHashtree [2] SEQUENCE OF PartialHashtree OPTIONAL,
     timeStamp ContentInfo
  PartialHashtree ::= SEQUENCE OF OCTET STRING
  Attributes ::= SET SIZE (1..MAX) OF AttributeSet{{...}}
  EncryptionInfo ::=
                             SEQUENCE {
      encryptionInfoType ENCINFO-TYPE.
                               &id({SupportedEncryptionAlgorithms}),
      encryptionInfoValue ENCINFO-TYPE.
                               &Type({SupportedEncryptionAlgorithms}
                                   {@encryptionInfoType})
  }
  ENCINFO-TYPE ::= TYPE-IDENTIFIER
  SupportedEncryptionAlgorithms ENCINFO-TYPE ::= { ... }
  END
9. ASN.1 Module for RFC 5035
  Section numbers in the module refer to the sections of RFC 2634 as
  updated by RFC 5035.
 ExtendedSecurityServices-2009
     { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
     smime(16) modules(0) id-mod-ess-2006-02(42) }
 DEFINITIONS IMPLICIT TAGS ::=
 BEGIN
 IMPORTS
 AttributeSet{}, ATTRIBUTE, SECURITY-CATEGORY, SecurityCategory{}
 FROM PKIX-CommonTypes-2009
     { iso(1) identified-organization(3) dod(6) internet(1) security(5)
Hoffman & Schaad
                             Informational
                                                              [Page 41]
```

```
mechanisms(5) pkix(7) id-mod(0) id-mod-pkixCommon-02(57) }
AlgorithmIdentifier{}, ALGORITHM, DIGEST-ALGORITHM
FROM AlgorithmInformation-2009
    {iso(1) identified-organization(3) dod(6) internet(1) security(5)
   mechanisms(5) pkix(7) id-mod(0)
    id-mod-algorithmInformation-02(58)}
ContentType, IssuerAndSerialNumber, SubjectKeyIdentifier,
   CONTENT-TYPE
FROM CryptographicMessageSyntax-2009
    { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
    smime(16) modules(0) id-mod-cms-2004-02(41) }
CertificateSerialNumber
FROM PKIX1Explicit-2009
    { iso(1) identified-organization(3) dod(6) internet(1) security(5)
   mechanisms(5) pkix(7) id-mod(0) id-mod-pkix1-explicit-02(51) }
PolicyInformation, GeneralNames
FROM PKIX1Implicit-2009
    { iso(1) identified-organization(3) dod(6) internet(1) security(5)
   mechanisms(5) pkix(7) id-mod(0) id-mod-pkix1-implicit-02(59)}
mda-sha256
FROM PKIX1-PSS-OAEP-Algorithms-2009
     { iso(1) identified-organization(3) dod(6)
       internet(1) security(5) mechanisms(5) pkix(7) id-mod(0)
      id-mod-pkix1-rsa-pkalgs-02(54) } ;
EssSignedAttributes ATTRIBUTE ::= {
   aa-receiptRequest | aa-contentIdentifier | aa-contentHint |
    aa-msgSigDigest | aa-contentReference | aa-securityLabel |
    aa-equivalentLabels | aa-mlExpandHistory | aa-signingCertificate |
    aa-signingCertificateV2, ... }
EssContentTypes CONTENT-TYPE ::= { ct-receipt, ... }
-- Extended Security Services
-- The construct "SEQUENCE SIZE (1..MAX) OF" appears in several ASN.1
-- constructs in this module. A valid ASN.1 SEQUENCE can have zero or
-- more entries. The SIZE (1..MAX) construct constrains the SEQUENCE
-- to have at least one entry. MAX indicates the upper bound is
-- unspecified. Implementations are free to choose an upper bound
-- that suits their environment.
-- Section 2.7
```

```
aa-receiptRequest ATTRIBUTE ::=
    { TYPE ReceiptRequest IDENTIFIED BY id-aa-receiptRequest}
ReceiptRequest ::= SEQUENCE {
    signedContentIdentifier ContentIdentifier,
    receiptsFrom ReceiptsFrom,
   receiptsTo SEQUENCE SIZE (1..ub-receiptsTo) OF GeneralNames
}
ub-receiptsTo INTEGER ::= 16
aa-contentIdentifier ATTRIBUTE ::=
   { TYPE ContentIdentifier IDENTIFIED BY id-aa-contentIdentifier}
id-aa-receiptRequest OBJECT IDENTIFIER ::=
   { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
    smime(16) id-aa(2) 1}
ContentIdentifier ::= OCTET STRING
id-aa-contentIdentifier OBJECT IDENTIFIER ::= { iso(1) member-body(2)
    us(840) rsadsi(113549) pkcs(1) pkcs-9(9) smime(16) id-aa(2) 7}
ct-receipt CONTENT-TYPE ::=
    { Receipt IDENTIFIED BY id-ct-receipt }
id-ct-receipt OBJECT IDENTIFIER ::=
   { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
    smime(16) id-ct(1) 1}
ReceiptsFrom ::= CHOICE {
    allOrFirstTier [0] AllOrFirstTier,
        -- formerly "allOrNone [0]AllOrNone"
    receiptList [1] SEQUENCE OF GeneralNames }
AllOrFirstTier ::= INTEGER { -- Formerly AllOrNone
    allReceipts (0),
    firstTierRecipients (1) }
-- Section 2.8
Receipt ::= SEQUENCE {
   version
                            ESSVersion,
   contentType
                            ContentType,
   signedContentIdentifier ContentIdentifier,
   originatorSignatureValue OCTET STRING
ESSVersion ::= INTEGER { v1(1) }
```

```
-- Section 2.9
aa-contentHint ATTRIBUTE ::=
   { TYPE ContentHints IDENTIFIED BY id-aa-contentHint }
id-aa-contentHint OBJECT IDENTIFIER ::=
   \{ iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9) \}
    smime(16) id-aa(2) 4}
ContentHints ::= SEQUENCE {
   contentDescription UTF8String (SIZE (1..MAX)) OPTIONAL,
   contentType ContentType }
-- Section 2.10
aa-msgSigDigest ATTRIBUTE ::=
   { TYPE MsgSigDigest IDENTIFIED BY id-aa-msgSigDigest }
id-aa-msgSigDigest OBJECT IDENTIFIER ::= { iso(1) member-body(2)
   us(840) rsadsi(113549) pkcs(1) pkcs-9(9) smime(16) id-aa(2) 5}
MsgSigDigest ::= OCTET STRING
-- Section 2.11
aa-contentReference ATTRIBUTE ::=
    { TYPE ContentReference IDENTIFIED BY id-aa-contentReference }
id-aa-contentReference OBJECT IDENTIFIER ::=
    { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
    smime(16) id-aa(2) 10 }
ContentReference ::= SEQUENCE {
   contentType ContentType,
    signedContentIdentifier ContentIdentifier,
    originatorSignatureValue OCTET STRING }
-- Section 3.2
aa-securityLabel ATTRIBUTE ::=
   { TYPE ESSSecurityLabel IDENTIFIED BY id-aa-securityLabel }
id-aa-securityLabel OBJECT IDENTIFIER ::=
   { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
    smime(16) id-aa(2) 2}
ESSSecurityLabel ::= SET {
   security-policy-identifier SecurityPolicyIdentifier,
    security-classification SecurityClassification OPTIONAL,
   privacy-mark ESSPrivacyMark OPTIONAL,
   security-categories SecurityCategories OPTIONAL }
```

```
SecurityPolicyIdentifier ::= OBJECT IDENTIFIER
SecurityClassification ::= INTEGER {
   unmarked (0),
   unclassified (1),
   restricted (2),
   confidential (3),
   secret (4),
   top-secret (5)
} (0..ub-integer-options)
ub-integer-options INTEGER ::= 256
ESSPrivacyMark ::= CHOICE {
   pString PrintableString (SIZE (1..ub-privacy-mark-length)),
   utf8String UTF8String (SIZE (1..MAX))
}
ub-privacy-mark-length INTEGER ::= 128
SecurityCategories ::=
    SET SIZE (1..ub-security-categories) OF SecurityCategory
        {{SupportedSecurityCategories}}
ub-security-categories INTEGER ::= 64
SupportedSecurityCategories SECURITY-CATEGORY ::= { ... }
-- Section 3.4
aa-equivalentLabels ATTRIBUTE ::=
   { TYPE EquivalentLabels IDENTIFIED BY id-aa-equivalentLabels }
id-aa-equivalentLabels OBJECT IDENTIFIER ::=
    { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
    smime(16) id-aa(2) 9}
EquivalentLabels ::= SEQUENCE OF ESSSecurityLabel
-- Section 4.4
aa-mlExpandHistory ATTRIBUTE ::=
   { TYPE MLExpansionHistory IDENTIFIED BY id-aa-mlexpandHistory }
id-aa-mlExpandHistory OBJECT IDENTIFIER ::=
   { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
    smime(16) id-aa(2) 3 }
MLExpansionHistory ::= SEQUENCE
    SIZE (1..ub-ml-expansion-history) OF MLData
```

```
ub-ml-expansion-history INTEGER ::= 64
MLData ::= SEQUENCE {
   mailListIdentifier EntityIdentifier,
    expansionTime GeneralizedTime,
   mlReceiptPolicy MLReceiptPolicy OPTIONAL }
EntityIdentifier ::= CHOICE {
    issuerAndSerialNumber IssuerAndSerialNumber,
    subjectKeyIdentifier SubjectKeyIdentifier }
MLReceiptPolicy ::= CHOICE {
                [0] NULL,
   none
                [1] SEQUENCE SIZE (1..MAX) OF GeneralNames,
    instead0f
    inAdditionTo [2] SEQUENCE SIZE (1..MAX) OF GeneralNames }
-- Section 5.4
aa-signingCertificate ATTRIBUTE ::=
    { TYPE SigningCertificate IDENTIFIED BY
       id-aa-signingCertificate }
id-aa-signingCertificate OBJECT IDENTIFIER ::=
    \{ iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9) \}
    smime(16) id-aa(2) 12 }
SigningCertificate ::= SEQUENCE {
   certs SEQUENCE OF ESSCertID,
               SEQUENCE OF PolicyInformation OPTIONAL
   policies
aa-signingCertificateV2 ATTRIBUTE ::=
    { TYPE SigningCertificateV2 IDENTIFIED BY
       id-aa-signingCertificateV2 }
id-aa-signingCertificateV2 OBJECT IDENTIFIER ::=
    \{ iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9) \}
    smime(16) id-aa(2) 47 }
SigningCertificateV2 ::= SEQUENCE {
   certs SEQUENCE OF ESSCertIDv2,
                SEQUENCE OF PolicyInformation OPTIONAL
   policies
HashAlgorithm ::= AlgorithmIdentifier{DIGEST-ALGORITHM,
                      {mda-sha256, ...}}
ESSCertIDv2 ::= SEQUENCE {
   hashAlgorithm HashAlgorithm
                       DEFAULT { algorithm mda-sha256.&id },
```

```
certHash Hash, issuerSerial OPTIONAL
 ESSCertID ::= SEQUENCE {
                   Hash,
     certHash
     issuerSerial IssuerSerial OPTIONAL
 Hash ::= OCTET STRING
 IssuerSerial ::= SEQUENCE {
     issuer GeneralNames,
     serialNumber CertificateSerialNumber
 END
10. ASN.1 Module for RFC 5083
  CMS-AuthEnvelopedData-2009
      {iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
      smime(16) modules(0) id-mod-cms-authEnvelopedData-02(43)}
  DEFINITIONS IMPLICIT TAGS ::=
  BEGIN
  IMPORTS
  AuthAttributes, CMSVersion, EncryptedContentInfo,
      MessageAuthenticationCode, OriginatorInfo, RecipientInfos,
      UnauthAttributes, CONTENT-TYPE
  FROM CryptographicMessageSyntax-2009
      {iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
      smime(16) modules(0) id-mod-cms-2004-02(41)};
  ContentTypes CONTENT-TYPE ::= {ct-authEnvelopedData, ... }
  ct-authEnvelopedData CONTENT-TYPE ::= {
     AuthEnvelopedData IDENTIFIED BY id-ct-authEnvelopedData
  id-ct-authEnvelopedData OBJECT IDENTIFIER ::=
       {iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
      smime(16) ct(1) 23
  AuthEnvelopedData ::= SEQUENCE {
      version CMSVersion,
      originatorInfo [0] IMPLICIT OriginatorInfo OPTIONAL,
      recipientInfos RecipientInfos,
      authEncryptedContentInfo EncryptedContentInfo,
```

```
authAttrs [1] IMPLICIT AuthAttributes OPTIONAL,
       mac MessageAuthenticationCode,
       unauthAttrs [2] IMPLICIT UnauthAttributes OPTIONAL
   END
11. ASN.1 Module for RFC 5084
  CMS-AES-CCM-and-AES-GCM-2009
      { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1)
      pkcs-9(9) smime(16) modules(0) id-mod-cms-aes-ccm-gcm-02(44) }
  DEFINITIONS IMPLICIT TAGS ::=
  BEGIN
  EXPORTS ALL;
  IMPORTS
  CONTENT-ENCRYPTION, SMIME-CAPS
  FROM AlgorithmInformation-2009
      {iso(1) identified-organization(3) dod(6) internet(1) security(5)
      mechanisms(5) pkix(7) id-mod(0)
      id-mod-algorithmInformation-02(58)};
  -- Add this algorithm set to include all of the algorithms defined in
          this document
  ContentEncryptionAlgs CONTENT-ENCRYPTION ::= {
     cea-aes128-CCM | cea-aes192-CCM | cea-aes256-CCM |
cea-aes128-GCM | cea-aes192-GCM | cea-aes256-GCM, ... }
  SMimeCaps SMIME-CAPS ::= {
    cea-aes128-CCM.&smimeCaps
    cea-aes192-CCM.&smimeCaps
    cea-aes256-CCM.&smimeCaps
    cea-aes128-GCM.&smimeCaps
    cea-aes192-GCM.&smimeCaps
    cea-aes256-GCM.&smimeCaps,
  }
  -- Defining objects
  aes OBJECT IDENTIFIER ::= { joint-iso-itu-t(2) country(16) us(840)
      organization(1) gov(101) csor(3) nistAlgorithms(4) 1 }
  cea-aes128-CCM CONTENT-ENCRYPTION ::= {
          IDENTIFIER id-aes128-CCM
          PARAMS TYPE CCMParameters ARE required
```

```
SMIME-CAPS { IDENTIFIED BY id-aes128-CCM }
id-aes128-CCM OBJECT IDENTIFIER ::= { aes 7 }
cea-aes192-CCM CONTENT-ENCRYPTION ::= {
       IDENTIFIER id-aes192-CCM
        PARAMS TYPE CCMParameters ARE required
        SMIME-CAPS { IDENTIFIED BY id-aes192-CCM }
id-aes192-CCM OBJECT IDENTIFIER ::= { aes 27 }
cea-aes256-CCM CONTENT-ENCRYPTION ::= {
       IDENTIFIER id-aes256-CCM
        PARAMS TYPE CCMParameters ARE required
        SMIME-CAPS { IDENTIFIED BY id-aes256-CCM }
id-aes256-CCM OBJECT IDENTIFIER ::= { aes 47 }
cea-aes128-GCM CONTENT-ENCRYPTION ::= {
       IDENTIFIER id-aes128-GCM
        PARAMS TYPE GCMParameters ARE required
        SMIME-CAPS { IDENTIFIED BY id-aes128-GCM }
id-aes128-GCM OBJECT IDENTIFIER ::= { aes 6 }
cea-aes192-GCM CONTENT-ENCRYPTION ::= {
        IDENTIFIER id-aes128-GCM
        PARAMS TYPE GCMParameters ARE required
        SMIME-CAPS { IDENTIFIED BY id-aes192-GCM }
id-aes192-GCM OBJECT IDENTIFIER ::= { aes 26 }
cea-aes256-GCM CONTENT-ENCRYPTION ::= {
       IDENTIFIER id-aes128-GCM
       PARAMS TYPE GCMParameters ARE required
       SMIME-CAPS { IDENTIFIED BY id-aes256-GCM }
id-aes256-GCM OBJECT IDENTIFIER ::= { aes 46 }
-- Parameters for AlgorithmIdentifier
CCMParameters ::= SEQUENCE {
   aes-nonce OCTET STRING (SIZE(7..13)),
   aes-ICVlen AES-CCM-ICVlen DEFAULT 12 }
AES-CCM-ICVlen ::= INTEGER (4 | 6 | 8 | 10 | 12 | 14 | 16)
GCMParameters ::= SEQUENCE {
```

```
aes-nonce
                     OCTET STRING, -- recommended size is 12 octets
     aes-ICVlen
                     AES-GCM-ICVlen DEFAULT 12 }
  AES-GCM-ICVlen ::= INTEGER (12 | 13 | 14 | 15 | 16)
  END
12. ASN.1 Module for RFC 5275
  SMIMESymmetricKeyDistribution-2009
      {iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
      smime(16) modules(0) id-mod-symkeydist-02(36)}
  DEFINITIONS IMPLICIT TAGS ::=
  BEGIN
  EXPORTS ALL;
  IMPORTS
  AlgorithmIdentifier{}, ALGORITHM, DIGEST-ALGORITHM, KEY-WRAP,
         SMIMECapability{}, SMIMECapabilities{}, SMIME-CAPS
  FROM AlgorithmInformation-2009
      {iso(1) identified-organization(3) dod(6) internet(1) security(5)
     mechanisms(5) pkix(7) id-mod(0)
      id-mod-algorithmInformation-02(58)}
  GeneralName
  FROM PKIX1Implicit-2009
      { iso(1) identified-organization(3) dod(6) internet(1) security(5)
     mechanisms(5) pkix(7) id-mod(0) id-mod-pkix1-implicit-02(59) }
  Certificate
  FROM PKIX1Explicit-2009
      { iso(1) identified-organization(3) dod(6) internet(1) security(5)
      mechanisms(5) pkix(7) id-mod(0) id-mod-pkix1-explicit-02(51) }
  RecipientInfos, KEKIdentifier, CertificateSet
  FROM CryptographicMessageSyntax-2009
      {iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
      smime(16) modules(0) id-mod-cms-2004-02(41) }
  cap-3DESwrap
  FROM CryptographicMessageSyntaxAlgorithms
      { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
      smime(16) modules(0) id-mod-cmsalg-2001-02(37) }
  AttributeCertificate
  FROM PKIXAttributeCertificate-2009
      { iso(1) identified-organization(3) dod(6) internet(1) security(5)
     mechanisms(5) pkix(7) id-mod(0) id-mod-attribute-cert-02(47) }
```

```
CMC-CONTROL, EXTENDED-FAILURE-INFO
FROM EnrollmentMessageSyntax
    { iso(1) identified-organization(3) dod(6) internet(1) security(5)
   mechanisms(5) pkix(7) id-mod(0) id-mod-cmc2002-02(53) }
kwa-aes128-wrap, kwa-aes192-wrap, kwa-aes256-wrap
FROM CMSAesRsaesOaep-2009
   { iso(1) member-body(2) us(840) rsadsi(113549)
   pkcs(1) pkcs-9(9) smime(16) modules(0) id-mod-cms-aes-02(38) };
-- This defines the group list (GL symmetric key distribution OID arc
id-skd OBJECT IDENTIFIER ::=
    { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9)
    smime(16) skd(8)
SKD-ControlSet CMC-CONTROL ::= {
    skd-glUseKEK | skd-glDelete | skd-glAddMember |
    skd-glDeleteMember | skd-glRekey | skd-glAddOwner |
    skd-glRemoveOwner | skd-glKeyCompromise |
    skd-glkRefresh | skd-glaQueryRequest | skd-glProvideCert |
    skd-glManageCert | skd-glKey, ... }
-- This defines the GL Use KEK control attribute
skd-gluseKEK CMC-CONTROL ::=
    { GLUSEKEK IDENTIFIED BY id-skd-gluseKEK }
id-skd-gluseKEK OBJECT IDENTIFIER ::= { id-skd 1}
GLUSEKEK ::= SEQUENCE {
   glInfo GLInfo, glOwnerInfo SEQUENCE SIZE (1..MAX) OF GLOwnerInfo,
    glAdministration GLAdministration DEFAULT managed,
   glKeyAttributes GLKeyAttributes OPTIONAL
}
GLInfo ::= SEQUENCE {
   glName GeneralName,
   glAddress GeneralName
GLOwnerInfo ::= SEQUENCE {
   glOwnerName GeneralName,
   glOwnerAddress GeneralName,
   certificates Certificates OPTIONAL
}
GLAdministration ::= INTEGER {
```

```
unmanaged (0),
    managed (1),
    closed
              (2)
}
-- The advertised set of algorithm capabilities for the document
SKD-Caps SMIME-CAPS ::= {
    cap-3DESwrap | kwa-aes128-wrap.&smimeCaps |
    kwa-aes192-wrap.&smimeCaps | kwa-aes256-wrap.&smimeCaps, ...
}
cap-aes128-cbc KeyWrapAlgorithm ::=
    { capabilityID kwa-aes128-wrap.&smimeCaps.&id }
-- The set of key wrap algorithms supported by this specification
KeyWrapAlgorithm ::= SMIMECapability{{SKD-Caps}}
GLKeyAttributes ::= SEQUENCE {
   rekeyControlledByGLO [0] BOOLEAN DEFAULT FALSE,
    recipientsNotMutuallyAware [1] BOOLEAN DEFAULT TRUE,
   duration [2] INTEGER DEFAULT 0, generationCounter [3] INTEGER DEFAULT 2, requestedAlgorithm [4] KeyWrapAlgorithm
                 DEFAULT cap-aes128-cbc
}
-- This defines the Delete GL control attribute.
-- It has the simple type GeneralName.
skd-glDelete CMC-CONTROL ::=
    { DeleteGL IDENTIFIED BY id-skd-glDelete }
id-skd-glDelete OBJECT IDENTIFIER ::= { id-skd 2}
DeleteGL ::= GeneralName
-- This defines the Add GL Member control attribute
skd-glAddMember CMC-CONTROL ::=
    { GLAddMember IDENTIFIED BY id-skd-glAddMember }
id-skd-glAddMember OBJECT IDENTIFIER ::= { id-skd 3}
GLAddMember ::= SEQUENCE {
```

```
glName GeneralName,
   glMember GLMember
GLMember ::= SEQUENCE {
   glMemberName GeneralName,
   glMemberAddress GeneralName OPTIONAL,
   certificates Certificates OPTIONAL
Certificates ::= SEQUENCE {
   pKC [0] Certificate OPTIONAL,
                 -- See RFC 5280
   aC
             [1] SEQUENCE SIZE (1.. MAX) OF
                  AttributeCertificate OPTIONAL,
                 -- See RFC 3281
   certPath [2] CertificateSet OPTIONAL
                 -- From RFC 3852
}
-- This defines the Delete GL Member control attribute
skd-glDeleteMember CMC-CONTROL ::=
   { GLDeleteMember IDENTIFIED BY id-skd-glDeleteMember }
id-skd-glDeleteMember OBJECT IDENTIFIER ::= { id-skd 4}
GLDeleteMember ::= SEQUENCE {
   glName
                    GeneralName,
   glMemberToDelete GeneralName
}
-- This defines the Delete GL Member control attribute
skd-glRekey CMC-CONTROL ::=
   { GLRekey IDENTIFIED BY id-skd-glRekey }
id-skd-glRekey OBJECT IDENTIFIER ::= { id-skd 5}
GLRekey ::= SEQUENCE {
                     GeneralName,
   glName
   glAdministration GLAdministration OPTIONAL,
   glNewKeyAttributes GLNewKeyAttributes OPTIONAL,
   glRekeyAllGLKeys BOOLEAN OPTIONAL
GLNewKeyAttributes ::= SEQUENCE {
   rekeyControlledByGLO [0] BOOLEAN OPTIONAL,
```

```
recipientsNotMutuallyAware [1] BOOLEAN OPTIONAL,
   duration [2] INTEGER OPTIONAL, generationCounter [3] INTEGER OPTIONAL, requestedAlgorithm [4] KeyWrapAlgorithm OPTIONAL
-- This defines the Add and Delete GL Owner control attributes
skd-glAddOwner CMC-CONTROL ::=
    { GLOwnerAdministration IDENTIFIED BY id-skd-qlAddOwner }
id-skd-glAddOwner OBJECT IDENTIFIER ::= { id-skd 6}
skd-qlRemoveOwner CMC-CONTROL ::=
    { GLOwnerAdministration IDENTIFIED BY id-skd-glRemoveOwner }
id-skd-glRemoveOwner OBJECT IDENTIFIER ::= { id-skd 7}
GLOwnerAdministration ::= SEQUENCE {
   glName GeneralName,
    glOwnerInfo GLOwnerInfo
-- This defines the GL Key Compromise control attribute.
-- It has the simple type GeneralName.
skd-glKeyCompromise CMC-CONTROL ::=
    { GLKCompromise IDENTIFIED BY id-skd-glKeyCompromise }
id-skd-glKeyCompromise OBJECT IDENTIFIER ::= { id-skd 8}
GLKCompromise ::= GeneralName
-- This defines the GL Key Refresh control attribute.
skd-qlkRefresh CMC-CONTROL ::=
    { GLKRefresh IDENTIFIED BY id-skd-glkRefresh }
id-skd-glkRefresh OBJECT IDENTIFIER ::= { id-skd 9}
GLKRefresh ::= SEQUENCE {
   glName GeneralName,
    dates SEQUENCE SIZE (1..MAX) OF Date
}
Date ::= SEQUENCE {
   start GeneralizedTime,
   end GeneralizedTime OPTIONAL
}
```

```
-- This defines the GLA Query Request control attribute.
skd-glaQueryRequest CMC-CONTROL ::=
    { GLAQueryRequest IDENTIFIED BY id-skd-glaQueryRequest }
id-skd-glaQueryRequest OBJECT IDENTIFIER ::= { id-skd 11}
SKD-QUERY ::= TYPE-IDENTIFIER
SkdQuerySet SKD-QUERY ::= {skd-AlgRequest, ...}
GLAQueryRequest ::= SEQUENCE {
   glaRequestType SKD-QUERY.&id ({SkdQuerySet}),
   glaRequestValue SKD-QUERY.
                        &Type ({SkdQuerySet}{@glaRequestType})
-- This defines the GLA Query Response control attribute.
skd-glaQueryResponse CMC-CONTROL ::=
    { GLAQueryResponse IDENTIFIED BY id-skd-glaQueryResponse }
id-skd-glaQueryResponse OBJECT IDENTIFIER ::= { id-skd 12}
SKD-RESPONSE ::= TYPE-IDENTIFIER
SkdResponseSet SKD-RESPONSE ::= {skd-AlgResponse, ...}
GLAQueryResponse ::= SEQUENCE {
   glaResponseType SKD-RESPONSE.
                         &id({SkdResponseSet}),
    glaResponseValue SKD-RESPONSE.
                         &Type({SkdResponseSet}{@glaResponseType})}
-- This defines the GLA Request/Response (glaRR) arc for
-- glaRequestType/glaResponseType.
id-cmc-glaRR OBJECT IDENTIFIER ::=
    { iso(1) identified-organization(3) dod(6) internet(1) security(5)
    mechanisms(5) pkix(7) cmc(7) glaRR(99) 
-- This defines the Algorithm Request
skd-AlgRequest SKD-QUERY ::= {
  SKDAlgRequest IDENTIFIED BY id-cmc-gla-skdAlgRequest
id-cmc-gla-skdAlgRequest OBJECT IDENTIFIER ::= { id-cmc-glaRR 1 }
SKDAlgRequest ::= NULL
```

```
-- This defines the Algorithm Response
skd-AlgResponse SKD-RESPONSE ::= {
   SMIMECapability{{SKD-Caps}} IDENTIFIED BY
       id-cmc-gla-skdAlgResponse
}
id-cmc-gla-skdAlgResponse OBJECT IDENTIFIER ::= { id-cmc-glaRR 2 }
-- Note that the response for algorithmSupported request is the
-- smimeCapabilities attribute as defined in RFC 3851.
-- This defines the control attribute to request an updated
-- certificate to the GLA.
skd-glProvideCert CMC-CONTROL ::=
   { GLManageCert IDENTIFIED BY id-skd-glProvideCert }
id-skd-glProvideCert OBJECT IDENTIFIER ::= { id-skd 13}
GLManageCert ::= SEQUENCE {
   glName GeneralName,
   glMember GLMember
}
-- This defines the control attribute to return an updated
-- certificate to the GLA. It has the type GLManageCert.
skd-glManageCert CMC-CONTROL ::=
   { GLManageCert IDENTIFIED BY id-skd-glManageCert }
id-skd-qlManageCert OBJECT IDENTIFIER ::= { id-skd 14}
-- This defines the control attribute to distribute the GL shared
-- KEK.
skd-glKey CMC-CONTROL ::=
   { GLKey IDENTIFIED BY id-skd-glKey }
id-skd-glKey OBJECT IDENTIFIER ::= { id-skd 15}
GLKey ::= SEQUENCE {
   glName GeneralName,
   glIdentifier KEKIdentifier, -- See RFC 3852
   glkWrapped RecipientInfos, -- See RFC 3852
   glkAlgorithm KeyWrapAlgorithm,
   glkNotBefore GeneralizedTime,
   glkNotAfter GeneralizedTime
}
```

```
-- This defines the CMC error types
skd-ExtendedFailures EXTENDED-FAILURE-INFO ::= {
   SKDFailInfo IDENTIFIED BY id-cet-skdFailInfo
id-cet-skdFailInfo OBJECT IDENTIFIER ::=
   { iso(1) identified-organization(3) dod(6) internet(1) security(5)
    mechanisms(5) pkix(7) cet(15) skdFailInfo(1) }
SKDFailInfo ::= INTEGER {
   unspecified
                          (0),
   closedGL
                          (1),
   unsupportedDuration (2),
   noGLACertificate (3),
                          (4),
    invalidCert
   unsupportedAlgorithm (5),
   noGLONameMatch (6),
   invalidGLName (7),
nameAlreadyInUse (8),
noSpam (9),
   alreadyAMember (11), notAMember (12)
   alreadyAnOwner (13),
notAnOwner (14) }
```

13. Security Considerations

END

Even though all the RFCs in this document are security-related, the document itself does not have any security considerations. The ASN.1 modules keep the same bits-on-the-wire as the modules that they replace.

14. Normative References

```
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