

**RIS-ASSISTED FSO COMMUNICATION SYSTEM UNDER THE INFLUENCE OF SIGNAL  
BLOCKAGE FOR SMART-CITY APPLICATIONS**

*A Graduate Project Report submitted to Manipal Academy of Higher Education in partial fulfilment  
of the requirement for the award of the degree of*

**BACHELOR OF TECHNOLOGY**

**In**

**Electronics and Communication Engineering**

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MANIPAL-56104, KARNATAKA, INDIA

**AUGUST 2024**

DEPARTMENT OF ELECTRONICS AND COMMUNICATION ENGINEERING



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AUG ,2024.

## **CERTIFICATE**

This is to certify that the project titled RIS-assisted FSO communication system under the influence of signal blockage for smart-city applications is a record of the Bonafide work done by **Merla Sathwik Chowdary** (Reg. No. 200907198) submitted in partial fulfilment of the requirements for the award of the Degree of Bachelor of Technology (BTech) in **ELECTRONICS AND COMMUNICATION ENGINEERING** of Manipal Institute of Technology, Manipal, Karnataka, (A Constituent unit of Manipal Academy of Higher Education), during the academic year 2023 - 2024.

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## **ACKNOWLEDGMENT**

My heartfelt thanks to Dr. Pallavi R Mane, HOD of the ECE department, and Dr. Anil Rana, Director of MIT, for providing an inspiring work environment during my project tenure.

I am greatly thankful to Dr. S.B. Bore Gowda, the project coordinator, for providing valuable guidance and coordination towards the successful completion of the work and the commissioning of the pour point measurement device, which resulted in successful lab-scale experiments. This reflects in fitting my measurements on YP data for diesel blends with palm oil methyl esters-derived additives.

Acknowledgement: I would like to express my sincere thankfulness to my project guide, Dr. Goutham Simha GD, Associate Professor in the Department of Electronics and Communication Engineering, for his constant guidance.

Acknowledgement: I also extend my thanks to the revered panel members for gracing us with their presence and delivering constructive comments during project presentations. Their keen eye and constructive criticism have refined my work.

My thanks to all of the faculty, who facilitated much-needed encouragement for my successful completion. Thank you to everyone who contributed to the project in any way throughout it.

## ABSTRACT

Free Space Optical (FSO) communication frameworks have developed as a promising arrangement for high-speed information transmission within the setting of smart-city applications, owing to their focal points in terms of transfer speed and security. In any case, FSO frameworks are exceedingly helpless to flag blockages caused by different natural deterrents such as buildings, trees, and other urban framework. These blockages can seriously disable the unwavering quality and effectiveness of FSO communication joins, posturing a noteworthy challenge for their broad sending in smart-city scenarios. To address this issue, the integration of Reconfigurable Intelligent Surfaces (RIS) into FSO communication frameworks offers a novel and compelling approach to improve signal strength and keep up vigorous communication joins.

This venture centres on the improvement and assessment of an RIS-assisted FSO communication framework planned to moderate the hindering impacts of flag blockages in urban situations. By consolidating RIS innovation, which comprises of programmable intelligent surfaces able of powerfully altering the course of occurrence optical signals, the proposed framework points to scholarly people divert and optimize the engendering ways of these signals. This guarantees that the FSO links remain intaglio indeed within the nearness of physical hindrances, subsequently improving the by and large unwavering quality and execution of the communication organize.

The consider includes the point-by-point modeling and recreation of an RIS-assisted FSO communication framework inside a ordinary smart-city environment characterized by different potential blockages. The reenactment system considers numerous blockage scenarios and assesses the effect of diverse RIS arrangements and arrangements on the framework execution. Key execution measurements such as Bit Error Rate (BER), Signal-to-Noise ratio (SNR), Channel Capacity are analyzed to survey the viability of the RIS in overcoming various blockages.

One of the essential perspectives of this inquire about is the optimization of the RIS reflection coefficients to realize the leading conceivable flag quality. By altering these coefficients, the RIS can be tuned to reflect the optical signals in a way that minimizes flag misfortune and maximizes the quality of the gotten flag.

The recreation comes about illustrate that the consolidation of RIS innovation altogether improves the execution of FSO communication frameworks beneath blockage conditions. The discoveries show that RIS can viably relieve the effect of blockages, coming about in made strides BER and SNR values, as well as higher information transmission rates. This makes RIS-assisted FSO frameworks a practical and strong arrangement for smart-city applications were keeping up persistent and high-quality communication joins is crucial.

## LIST OF TABLES

Table No	Table Title	Page No
1	Values of Parameters Used In The Project	19

## LIST OF FIGURES

Figure No	Figure Title	Page No
1	FSO assisted communication between two buildings using direct and RIS assisted links	12
2	Mathematical equations used as reference	14
3	Methodology Flow Chart	29
4	BER Vs SNR In Different Scenarios	32
5	Channel Capacity Vs SNR In Different Scenarios	33
6	Outage Probability vs SNR IN Different Scenarios	35
7	Tx/Rx Position Vs SNR in SISO and MIMO	36
8	Received constellation in SISO VS MIMO	38

<b>Contents</b>			
			<b>Pg. No.</b>
Acknowledgement			iii
Abstract			iv
List Of Figures			v
List Of Tables			vi
<b>Chapter 1</b>	<b>INTRODUCTION</b>		
	<b>1.1</b>	Introduction	1
	<b>1.2</b>	Motivation	1
	<b>1.3</b>	Objective	2
	<b>1.4</b>	Organization of Report	2
<b>Chapter 2</b>	<b>BACKGROUND THEORY</b>		
	<b>2.1</b>	Introduction	3
	<b>2.2</b>	Communication Background for FSO and RIS integration	4
	<b>2.3</b>	Literature Review	4
	<b>2.4</b>	Background Theory	7
	<b>2.5</b>	Model Description	9
<b>Chapter 3</b>	<b>METHODOLOGY</b>		
	<b>3.1</b>	Introduction	15
	<b>3.2</b>	Data	16
	<b>3.3</b>	Implementation	21
	<b>3.4</b>	Experimentation	26
<b>Chapter 4</b>	<b>RESULT ANALYSIS</b>		
	<b>4.1</b>	Introduction	30
	<b>4.2</b>	Results	30
<b>Chapter 5</b>	<b>CONCLUSION AND FUTURE SCOPE</b>		
	<b>5.1</b>	Work Conclusion	42
	<b>5.2</b>	Future Scope of Work	43
<b>Chapter 6</b>	<b>HEALTH, SAFETY, RISK AND ENVIRONMENT ASPECTS</b>		

	<b>6.1</b>	Health, safety, risk involved in the Project	44
	<b>6.2</b>	Environment aspects	45
<b>REFERENCES</b>			47
<b>PROJECT DETAILS</b>			48

# CHAPTER 1

## INTRODUCTION

### 1.1 Introduction

The fast advancement of cities has increased the require for vigorous, high-speed communication systems to bolster applications like transportation, healthcare, and open security. Free Space Optical (FSO) communication frameworks are rising as a promising arrangement due to their tall transfer speed, security, and ease of sending. In any case, FSO frameworks are profoundly helpless to blockages caused by urban framework such as buildings and trees, driving to critical flag constriction or total interface blackouts, subsequentlyposturing a basic challenge to their unwavering quality and execution.

To relieve these challenges, Reconfigurable Intelligent Surfaces (RIS) have developed as a potential arrangement. RIS innovation comprises of surfaces inserted with various inactive intelligent components that can powerfully alter the stage of occurrence light, successfully diverting optical signals around deterrents. This venture explores the integration of RIS innovation into FSO communication frameworks to improve their strength against blockages in urban situations.

### 1.2 Motivation

The inspiration behind this project stems from the critical need to improve the reliability and efficiency of communication systems in smart cities, where high-speed and continuous connectivity is essential. As urban environments become increasingly dense with infrastructure, traditional Free-Space Optical (FSO) communication systems face significant challenges due to frequent signal blockages. These blockages can severely impede data transmission, undermining the effectiveness of smart city applications such as real-time traffic management, emergency response, and public safety monitoring.

By leveraging Reconfigurable Intelligent Surfaces (RIS), this project aims to provide an innovative solution that can dynamically adapt to the urban landscape, ensuring reliable and robust communication links. This approach not only promises to enhance service quality but also paves the way for more resilient and flexible smart city networks, ultimately contributing to the advancement of urban infrastructure and the overall quality of life for residents.



### **1.3 Objective**

The primary objectives of this research project are as follows:

- Develop a theoretical framework for RIS-assisted Free-Space Optical (FSO) communication systems in smart cities.
- Analyse signal blockage scenarios and their impact on communication performance.
- Design RIS configurations to mitigate blockages and enhance system reliability.
- Simulate and optimize RIS-assisted FSO systems under varying urban conditions.
- Validate theoretical models through experiments and compare performance with traditional FSO systems.
- Evaluate system metrics like data rate, latency, and reliability for practical smart city applications.
- Provide deployment guidelines and recommendations for future research in the field.

### **1.4 Organizational Report**

The report starts with a presentation that diagrams the project's destinations, inspiration, and scope, centering on tending to flag blockage challenges in smart-city situations utilizing Reconfigurable Intelligent Surface (RIS)-assisted Free-Space Optical (FSO) communication frameworks. It highlights the basic got to upgrade communication unwavering quality and execution in the midst of urban impediments such as buildings and climatic conditions. The writing audit dives into RIS usage in the FSO, emphasizing later progressions and challenges related to flag blockage moderation. Methodologically, this adopts a comprehensive approach to theoretical system development and simulation design, aiming to demonstrate and evaluate various configurations of Reconfigurable Intelligent Surfaces (RIS) under realistic urban scenarios. By systematically analyzing different RIS arrangements, the project seeks to optimize the performance of Free-Space Optical (FSO) communication systems, ensuring reliable data transmission in the complex and dynamic environments characteristic of smart cities. Comes about and examination areas display discoveries from recreations and tests, evaluating how distinctive RIS setups affect communication execution measurements such as throughput and connect unwavering quality. Dialogs decipher these comes about within the setting of smart-city framework, investigating suggestions for future organizations and innovative advancements. The conclusion summarizes key bits of knowledge and commitments, recommending commonsense applications and suggesting roads for assist inquire about to optimize RIS-assisted FSO frameworks in urban communication systems.

## **CHAPTER 2**

### **BACKGROUND THEORY**

This chapter embarks on an exploration of the foundational theories driving the project's investigation into Reconfigurable Intelligent Surfaces (RIS) and their role in augmenting free- space optical (FSO) communication systems tailored for smart city applications. Through an in-depth analysis of recent advancements, literature review, theoretical discussions, and mathematical derivations, this chapter aims to establish a comprehensive understanding of the theoretical framework of RIS-assisted FSO systems.

#### **2.1 Introduction**

In this section, the main goal of this project is to utilize the potential of RIS (Reconfigurable Intelligent Surfaces) technology to address various challenges faced by free space optical (FSO) communication systems, especially in urban landscapes. By cleverly manipulating the direction of optical beams using RIS elements, the project aims to overcome obstacles such as atmospheric turbulence, pointing errors and signal blocks. This technological approach is crucial in densely populated urban environments, where steep buildings and other massive obstacles can greatly impede communication. RIS technology works by dynamically adjusting the properties of surface elements to control and optimize optical signals. This feature is crucial in urban areas where tall buildings and other large structures often cause signal congestion and multipath propagation problems. RIS integration into FSO systems also addresses atmospheric turbulence, which can cause changes in signal strength and quality. By continuously adjusting beam direction and characteristics according to real-time atmospheric conditions, RIS can mitigate the adverse effects of turbulence while maintaining stable, high-quality communication links. In addition, RIS technology helps correct pointing errors common in FSO systems due to the precise alignment of the transmitter and receiver. In dynamic urban environments where buildings and other objects may change or block the line of sight, RIS can be adaptively controlled to maintain radio alignment, reducing the impact of pointing errors on communication performance. The strategic deployment of river information services in FSO communication systems improves connectivity in urban environments and paves the way for a more flexible and adaptable communication infrastructure. Addressing multifaceted challenges such as signal interference, atmospheric turbulence and indicating errors, developing advanced communication solutions that meet the growing demands of smart city environments.

## 2.2 Communication Background for FSO and RIS integration

- **Background on Free-Space Optical (FSO) Communication Systems**

Free-Space Optical (FSO) communication systems utilize light propagation in free space to transmit data between two points, eliminating the need for physical fibre optic cables. This method offers several advantages, including high bandwidth, low latency, and the ability to rapidly deploy communication links without the extensive groundwork required for traditional wired systems. However, FSO communication systems face significant challenges, particularly in urban environments.

- **Challenges in Urban Environments**

Urban landscapes present a range of obstacles that can impede the performance of FSO systems. High-rise buildings and other structures can block optical beams, while atmospheric conditions such as fog, rain, and turbulence can cause signal degradation. Additionally, the precise alignment required between the transmitter and receiver makes FSO systems susceptible to pointing errors, which can be exacerbated by environmental dynamics and physical obstructions.

- **Reconfigurable Intelligent Surfaces (RIS) in FSO Systems**

Reconfigurable Intelligent Surfaces (RIS) technology offers a promising solution to these challenges. RIS consists of surfaces with integrated elements that can dynamically adjust their reflective properties to control the direction and properties of optical beams. By deploying RIS in urban environments, it is possible to:

1. **Mitigate Atmospheric Turbulence:** RIS can dynamically adjust beam properties to counteract the effects of turbulence, maintaining signal quality and stability.
2. **Overcome Physical Blockages:** RIS can create alternative paths for optical beams, allowing signals to bypass obstacles such as buildings and other structures.
3. **Correct Pointing Errors:** RIS can adaptively steer beams to maintain proper alignment between transmitters and receivers, even in the presence of dynamic environmental changes.

The strategic integration of RIS into FSO systems enhances the reliability and efficiency of communication links, making them more suitable for the dense and dynamic nature of urban environments. This capability is critical for supporting the high data demands and connectivity requirements of smart city initiatives.

## 2.3 Literature Review

The literature review aims to explore the current landscape of space-based communication systems (FSO) enabled for reconfigurable intelligent surfaces (RIS). We will explore the latest developments and

important developments in this area and highlight the important role that RIS technologies play in improving the reliability and performance of information systems. This review introduces the basics, including the complexities of channel modeling, system design aspects, and evaluates performance due to atmospheric ambiguity and signal interference. Several studies have shown the effectiveness of RIS in reducing problems such as signal blocking, atmospheric noise, and signal errors that are common in urban areas. These developments pave the way for stronger communication networks, which are critical to the successful implementation of smart city projects. Recent research has focused on developing sophisticated RIS designs that can adapt to a variety of environmental conditions. For example, research has been conducted using metamaterials and conformable panels to create RIS elements that can adjust reflective properties in real time. This advance makes it possible to turn light beams into barriers, ensuring connectivity even in the presence of tall buildings and other urban structures.

Additionally, recent advances in machine learning and artificial intelligence have been used to improve the performance of RIS-enabled FSO systems. The researchers developed an adaptive control method that optimizes the configuration of RIS elements based on real-time data. This approach has shown significant improvements in maintaining reliable communication links, especially in low-visibility urban environments. Basic Concepts Successful deployment of an RIS-enabled FSO system requires a solid understanding of some basic concepts. Channel modeling is important because it represents the propagation of optical signals in the atmosphere and its interaction with RIS elements. Accurate channel models are important for predicting the performance of FSO links and designing effective mitigation strategies for problems such as noise and signal suppression.

One of the main challenges in channel modeling is to account for the random nature of atmospheric turbulence. Jamming can change the air spectrum, changing signal strength and quality. Researchers have developed several statistical models to represent these differences, including the Kolmogorov spectrum and the lognormal distribution. These models provide a framework for modeling the effects of turbulence on FSO links and evaluating the performance of RIS-enabled systems under atmospheric conditions.

In addition to channel modeling, the design of RIS elements plays an important role in the performance of an FSO system. To maximize the effect of rotating the optical beam, careful consideration should be given to the placement and configuration of the RIS panel. In the study, various design parameters such as size, shape, and arrangement of RIS elements were investigated to determine their impact on system performance. RIS has also been explored to integrate with existing communications infrastructure, such as base stations and access points, to improve network efficiency.

## Performance Evaluation

The performance evaluation of the RIS-enabled FSO system is to evaluate its ability to maintain a reliable communication link in the face of environmental challenges. These evaluations focus on key metrics such as signal-to-noise ratio (SNR), bit error rate (BER), and link availability. The researchers performed extensive simulations and field tests to measure these measurements under different conditions, including varying levels of atmospheric noise and signal interference. One of the main evaluations is that RIS

technology can improve SNR and BER even under adverse conditions. By adjusting the characteristics of RIS elements, FSO systems can maintain high-quality communications despite interference and noise. This feature is important in urban environments where signals can be interrupted by buildings and other structures. The performance evaluation also demonstrated the potential of RIS technology to improve the scalability of FSO systems. By strategically using RIS advertisements, you can extend the reach of your FSO connections and improve network coverage over large areas.

In summary, the literature review indicates that RIS-enabled FSO systems are a good solution to overcome the challenges of urban communication environments. The introduction of RIS technology improves the reliability and performance of FSO links by reducing the effects of atmospheric noise, signal interference and signal errors. Advances in RIS design, channel modeling, and performance evaluation have laid the foundation for the successful implementation of these systems in urban projects.

By providing a thorough understanding of the basics and the latest innovations, this review demonstrates the important role of RIS technology in improving FSO information systems. As cities continue to develop and adopt advanced technologies, the knowledge gained from this research is critical to developing robust and efficient communication networks to support the complex needs of these new cities.

## 2.4 Background Theory

In this section, Free Space Optical communication (FSO) systems have emerged as an efficient solution for wide-area wireless communications that use optical signals to transmit data in free space. These systems have many advantages over traditional radio frequency (RF) systems, including higher data rates, lower latency, and resistance to electromagnetic interference. However, when deployed in urban environments, they face challenges such as air pollution. Reconfigurable Intelligent Surfaces technology (RIS) was introduced to alleviate these problems by dynamically controlling the propagation of optical signals through smart display panels.

Conceptual principle of RIS technology:

The main goal of RIS technology is the concept of manipulating electromagnetic waves using specially designed surfaces. RIS consists of a planar array of reflective elements, each of which can be controlled to change the phase, amplitude and polarization of the electromagnetic wave. This capability enables RIS to actively manage the electronic environment to improve information systems performance. The design of RIS elements often involves advanced materials with tailored properties to achieve specific reflective properties.

**Channel Modeling for RIS-Enabled FSO Systems** Accurate channel modeling is essential to understanding the behavior of RIS-enabled FSO links in real-world environments. Channel models must account for factors such as atmospheric turbulence, which can cause random variations in the refractive index of the atmosphere that affect optical signal propagation. Channel modeling also includes consideration of multipath propagation and the effects of signal blocking by buildings, vegetation, and other obstacles. RIS elements can reduce multipath interference by generating positive interference patterns to reinforce the desired signal path and suppress unwanted reflections. This aspect of channel modeling is important to optimize placement and configuration of RIS panels to maximize signal strength and quality. **System Design Considerations and Designing an RIS- enabled FSO system** involves optimizing several key parameters to ensure a reliable and efficient communication link.

These parameters include:

- **RIS Element Properties:** Determines the size, shape, and properties of the RIS element for optimal image performance.
- **Dynamic Control Method:** Develop algorithms to adjust the phase and amplitude of RIS elements in real time based on feedback from channel detection or predictive models.
- **Installation with FSO transmitters:** RIS panels are best installed with FSO transmitters to

reduce losses and increase system efficiency. System design considerations also include the feasibility and cost-effectiveness of using RIS technology in urban environments.

Scalability refers to the ability to expand FSO coverage by strategically placing multiple RIS panels throughout the city, creating a network of display panels that improve information reliability and coverage.

**Theoretical Framework for Performance Evaluation** Performance evaluation of RIS-based FSO systems relies on a theoretical framework that calculates key parameters such as signal-to-noise ratio (SNR), bit error rate (BER) and the link availability. Diverse environmental conditions. Concept analysis includes:

- **SNR and BER Modeling:** Develop mathematical models to predict SNR and BER based on channel characteristics, modulation scheme, and system parameters.
- **Link Budget:** Estimates the maximum allowable path loss and queue reduction to maintain a reliable communication link for the specified distance.
- **Performance Evaluation:** Evaluates the actual data rate and performance of an RIS-enabled FSO system under optimal and adverse conditions.

This conceptual framework provides an understanding of the basic performance constraints of RIS technology and guides the optimization of system parameters to achieve desired information goals.

In conclusion, the integration of reconfigurable intelligent surfaces (RIS) into space-based communication systems (FSO) is an innovative way to overcome the challenges of wireless communication in cities. RIS technology improves the reliability, efficiency and scalability of FSO systems in urban environments by being able to control signal propagation.

The conceptual foundations, complexity of channel modeling, system design considerations, and a performance evaluation framework discussed are the basis for advancing the understanding and implementation of RIS-enabled FSO systems. As research and development in this field continues to advance, it is clear that RIS technology has the potential to change the communication infrastructure of cities, paving the way for major urban projects and beyond.

## 2.5 Model Description

In the realm of smart cities, reliable and high-speed communication infrastructure is crucial for supporting various applications such as surveillance, transportation systems, and environmental monitoring. Free Space Optical (FSO) communication systems, operating in the infrared spectrum, offer promising solutions due to their high data rates and immunity to electromagnetic interference. However, their deployment in urban environments is challenged by atmospheric conditions, signal blockage, and mobility-related issues.

This document explores a novel approach using Reconfigurable Intelligent Surfaces (RIS) to enhance the robustness of FSO systems in smart cities. By strategically deploying RIS, which consists of a planar array of passive, electronically tunable reflecting elements, we aim to mitigate signal blockage and atmospheric fading effects. This paper delves into the detailed model and performance analysis of an RIS-assisted FSO communication system, focusing on its resilience against signal blockage, a critical challenge in urban environments.

- **System Architecture and Components**

At the heart of the proposed system lies a high-speed FSO link that utilizes On-Off Keying (OOK) modulation for data transmission. The transmitter (TX) employs a laser diode to transmit optical signals, while the receiver (RX) is equipped with a photodiode to detect and recover the transmitted data. This basic FSO configuration provides high bandwidth and low latency, ideal for smart city applications requiring real-time data transfer.

To enhance the robustness of the FSO link against obstacles such as buildings and vegetation, we integrate a Reconfigurable Intelligent Surface (RIS). The RIS comprises a planar array of passive reflecting elements, each capable of adjusting its phase response. By intelligently manipulating the phase of incident signals, the RIS creates a controllable wireless environment that can circumvent obstacles and mitigate fading effects caused by atmospheric turbulence.

- **Realistic Channel Modeling: A Multifaceted Approach**

Accurately modeling the urban FSO channel is essential for evaluating system performance under diverse environmental conditions:

- **Atmospheric Fading:** The channel model incorporates two primary statistical models – Lognormal and Gamma-Gamma distributions – to simulate various levels of atmospheric turbulence. The Lognormal distribution captures weak to moderate turbulence, typical over short FSO links, while the Gamma-Gamma distribution models strong turbulence encountered in longer links. This dual-model approach enables comprehensive performance analysis under different atmospheric conditions.
- **Doppler Effect for Mobile Scenarios:** In smart cities, the presence of mobile devices and vehicles introduces Doppler effects that affect signal coherence. Our channel model accounts



for these effects by calculating the Doppler shift based on the relative speed between TX and RX. This dynamic adjustment in signal phase reflects realistic conditions, ensuring accurate performance evaluation for mobile FSO applications.

- **Signal Blockage Simulation:** While physical obstacles are not explicitly modeled in the traditional sense, our approach simulates signal blockage effects by analyzing Signal-to-Noise Ratio (SNR) variations across different TX and RX positions. By strategically placing the TX, RX, and RIS elements and analyzing resulting SNRs, we identify areas susceptible to signal degradation due to potential obstacles. This simulation-driven approach helps optimize component placement for minimal blockage and robust communication.

- **Leveraging Diversity: SISO and MIMO Configurations**

Our performance analysis encompasses both Single-Input Single-Output (SISO) and Multiple-Input Multiple-Output (MIMO) configurations to explore the benefits of spatial diversity in urban environments:

- **SISO Configuration:** As the baseline system, SISO employs a single transmitting and receiving antenna. While simpler to implement, SISO systems are more susceptible to fading and blockage, especially in complex urban landscapes.
- **MIMO Configuration:** Utilizing multiple antennas at both TX and RX ends, MIMO systems leverage spatial diversity to enhance signal strength and combat fading effects. By exploiting multipath propagation, MIMO configurations offer higher data rates and improved reliability, making them suitable for demanding smart city applications.

- **Quantifying System Performance: Key Metrics**

To comprehensively assess the capabilities of the RIS-assisted FSO communication system, we employ a range of performance metrics:

- **Bit Error Rate (BER):** Calculated across various SNR levels, BER provides a direct measure of system reliability. Lower BER values indicate a more robust system with reduced probability of data errors.
- **Outage Probability:** Evaluated over a range of SNR thresholds, outage probability measures the likelihood of received signal strength falling below a predefined level, leading to link failure. Lower outage probability indicates higher link availability and more dependable communication.
- **Channel Capacity:** Derived using Shannon's formula, channel capacity represents the maximum achievable data rate over the FSO link under specific SNR conditions. Analyzing channel capacity helps determine the upper limit for data transmission, essential for designing efficient smart city communication networks.

- **Unveiling Performance Insights: Simulation and Visualization**

The performance evaluation of the RIS-assisted FSO system relies on extensive Monte Carlo simulations to capture the stochastic nature of the FSO channel, Doppler effects, and receiver noise. By generating numerous random channel realizations and averaging performance metrics, we ensure statistically robust results that reflect real-world operational conditions.

To visually interpret system behavior and performance, we generate constellation diagrams for both SISO and MIMO configurations. These diagrams provide insights into the impact of fading, noise, and Doppler effects on received signals, aiding in the optimization of system parameters and deployment strategies.

Additionally, positional analysis of TX and RX placements is conducted to identify areas prone to signal blockage. By simulating different scenarios and analyzing SNR distributions, we optimize the placement of TX, RX, and RIS elements to minimize blockage and maximize system reliability.

- **Shaping Smart-City Applications: Practical Implications**

The comprehensive model and analysis framework presented in this study offer valuable insights into the design and deployment of RIS-assisted FSO communication systems in smart cities. The findings can guide the development of robust and efficient wireless infrastructure for a wide range of applications, including:

- **Surveillance Systems:** Ensuring reliable transmission of high-definition video for public safety and traffic monitoring.
- **Intelligent Transportation Systems:** Supporting real-time data exchange for traffic management, autonomous vehicles, and smart parking solutions.
- **Environmental Monitoring Networks:** Enabling high-fidelity data collection from various sensors for applications such as air quality monitoring, noise pollution control, and smart lighting systems.

By leveraging the unique capabilities of RIS technology, our proposed FSO communication system addresses the challenges of signal blockage and atmospheric fading in urban environments. This innovation holds significant promise for enhancing connectivity and supporting the development of smarter, more efficient cities.

The integration of Reconfigurable Intelligent Surfaces (RIS) with Free Space Optical (FSO) communication systems represents a transformative approach to overcoming the challenges of signal blockage and atmospheric turbulence in smart cities. Through detailed modelling, performance analysis, and simulation-driven insights, this study demonstrates the potential of RIS-assisted FSO systems to provide robust, high-speed wireless connectivity essential for smart city

applications. Moving forward, further research and practical implementations will refine these concepts, paving the way for the next generation of urban communication infrastructure.



Fig 1- FSO assisted communication between two buildings using direct and RIS assisted links [1]

## 2.6 Theoretical Discussions:

Delving into the theoretical underpinnings of RIS-assisted FSO systems, this chapter navigates through intricate discussions surrounding the conceptualization and realization of such advanced communication systems. Central to these discussions are several key topics:

**1. Intricacies of Channel Modeling:** Accurate channel modeling is critical for predicting and optimizing the performance of RIS-assisted FSO systems. Discussions will delve into the complexities of modeling atmospheric effects, including turbulence-induced fading and path loss, which significantly impact signal propagation. Various mathematical models, such as the

Lognormal and Gamma-Gamma distributions, will be explored to simulate realistic channel conditions and assess their implications on system reliability and data transmission efficiency.

**2. System Optimization Strategies:** Effective deployment of RIS technology requires robust optimization strategies to maximize system performance. Theoretical discussions will cover optimization methodologies for RIS element placement, phase configuration, and power allocation. Topics include optimization algorithms such as convex optimization, heuristic methods, and machine learning approaches tailored to enhance system reliability and adaptability in dynamic urban environments.

## 2.7 General Analysis:

Conducting a holistic evaluation, the general analysis endeavors to discern the broader implications and practical considerations of integrating RIS technology into FSO systems:

**1. System Reliability and Robustness:** Assessing the reliability of RIS-assisted FSO systems involves evaluating their ability to maintain consistent communication performance under diverse operational conditions. The analysis will scrutinize factors influencing system reliability, such as RIS element reliability, environmental dynamics, and adaptive control mechanisms. Insights into redundancy strategies and fault-tolerant design principles will be explored to enhance system robustness and mitigate operational risks.

**2. Data Transmission Efficiency:** Efficient data transmission is paramount for smart city applications reliant on real-time data exchange. The analysis will examine how RIS technology optimizes data transmission efficiency by mitigating signal blockage, enhancing signal-to-noise ratio (SNR), and minimizing latency. Discussions will cover throughput enhancement techniques, adaptive modulation schemes, and traffic management strategies tailored to maximize data throughput and network capacity in urban environments.

**3. Signal Fidelity and Quality of Service (QoS):** Ensuring high signal fidelity and Quality of Service (QoS) is essential for supporting mission-critical applications in smart cities. The analysis will evaluate how RIS-assisted FSO systems maintain signal integrity and meet stringent QoS requirements. Topics include error correction coding techniques, adaptive transmission protocols,

and dynamic resource allocation mechanisms designed to deliver reliable and low-latency communication services across diverse urban infrastructures.

**4. Benefits and Challenges of Deployment:** Scrutinizing the integration of RIS technology into urban communication infrastructures, the analysis will weigh the potential benefits against the inherent challenges. Benefits include enhanced spectrum efficiency, extended coverage, and improved network scalability. Challenges such as deployment complexity, cost considerations, regulatory compliance, and interoperability with existing communication technologies will be discussed. Insights into deployment strategies, pilot projects, and regulatory frameworks will be examined to facilitate the seamless integration of RIS-assisted FSO systems into smart city ecosystems.

## 2.8 Mathematical Derivations:

### 1. PDF for Atmospheric Turbulence-Induced Fading

The PDF for the fading due to atmospheric turbulence is given by:

$$f_{h_t}(h_t) = 2(\alpha\beta) \left(\frac{\alpha+\beta}{2}\right) K_{\alpha-\beta}(2\alpha\beta h_t) h_t^{\frac{\alpha+\beta-1}{2}}$$

### 2. PDF for Blockage and Pointing Errors

The PDF accounting for blockage and pointing errors is expressed as:

$$f_{h_p}(h_p) = (1 - N)\delta(h_p) + N \frac{A_0}{\zeta^2} h_p^{\zeta^2-1}$$

### 3. Combined PDF for Turbulence, Pointing Errors, and Blockage

The combined PDF that incorporates the effects of turbulence, pointing errors, and blockage is given by:

$$f_h(h) = 2(1 - N)(\alpha\beta h)^{\frac{\alpha+\beta}{2}} G_{1,3}^{3,0} \left( \alpha\beta h \middle| 2(\alpha + \beta); 2 - (\alpha + \beta), \frac{2(\alpha-\beta)}{2}, \frac{2(\beta-\alpha)}{2} \right) + \alpha\beta N \frac{A_0}{\zeta^2} (\alpha\beta A_0 h)^{\zeta^2} G_{1,3}^{3,0} \left( \alpha\beta A_0 h \middle| \zeta^2 - 1, \alpha - 1, \beta - 1 \right)$$

#### Explanation of Terms:

- $\alpha, \beta$ : Parameters related to the fading process.
- $K_{\alpha-\beta}$ : A modified Bessel function of the second kind.
- $\delta(h)$ : The Dirac delta function, representing an impulse at  $h = 0$ .
- $N$ : The blocking coefficient.
- $A_0$ : A constant related to the blockage.
- $\zeta$ : A parameter related to pointing errors.
- $G_{p,q}^{m,n}$ : A Meijer G-function, which is a special function used in various fields of mathematics and engineering.

FIG 2 – Mathematical equations used as reference

[1]

## **CHAPTER 3**

### **METHODOLOGY**

#### **1.1 Introduction**

The methods chapter describes the structured approach and systematic procedures used to investigate the improvement of free-space optical (FSO) communication systems through the integration of reconfigurable intelligent surfaces (RIS). This comprehensive research project aims to respond to the growing demands for fast and reliable communication in smart city environments, where traditional methods often fail due to signal interference and atmospheric disturbances. Using RIS technology, we aim to boost signal strength, improve transmission reliability and mitigate common problems of FSO systems. Our methodology is based on a sound theoretical framework that covers the basic principles and advanced concepts of both optical communication and signal processing.

The approach used in this study was carefully designed to ensure scientific accuracy, reproducibility and validity of the results. The methodology is divided into several critical steps: conceptualization and design, experimental setup, signal propagation modeling, performance evaluation, and analysis of preliminary results.

The initial phase of our methodology involves the conceptualization and design of a RIS-assisted FSO system. This step involves a thorough review of existing literature, identification of research gaps, and formulation of research hypotheses. The design process is based on a theoretical understanding of beamforming, optical signal modulation and RIS technology. We present specific objectives such as signal-to-noise ratio (SNR) optimization, bit error ratio (BER) reduction, and overall system reliability improvement.

A wide variety of hardware and software tools are used in the research process. Optical power meters, spectrum analyzers and RIS control units are used for data collection and signal analysis. MATLAB simulations software is used for system modeling and performance optimization. Custom experimental setups were developed to reproduce scenarios related to smart city applications. Detailed reference information and component specifications ensure that each tool and technology is used in its optimal range.

Analysis provides early insight into system performance. Preliminary measurements of SNR, BER and outage probability show the impact of RIS elements on signal quality and reliability. Comparative analysis of different settings and scenarios helps identify potential areas for improvement. These early results lay the groundwork for deeper analyzes and refinements in later stages of research.

## 1.2 Data

Free space optical (FSO) communication systems are rapidly becoming the cornerstone of high-speed data transmission, especially in urban environments where traditional radio frequency (RF) systems often struggle with bandwidth limitations and interference. These systems have several distinct advantages, such as high bandwidth, low latency and the ability to support gigabit data rates, making them ideal for various smart city applications. However, FSO systems face serious challenges, especially with environmental factors such as atmospheric turbulence, weather conditions (e.g., fog, rain, and dust), and physical obstacles that can cause severe signal degradation and dropouts.

Reconfigurable Intelligent Surfaces (RIS) have emerged as a promising technology to address these challenges by dynamically changing electromagnetic waves of propagation. RIS can be thought of as large arrays of low-cost passive reflective elements that can be electronically controlled to direct, focus, or disperse incoming waves in desired directions. By strategically placing river information services in urban environments, the reliability and coverage of FSO systems can be improved, reducing the adverse effects of environmental factors. This paper discusses the integration of Reconfigurable Intelligent Surfaces with FSO systems and explores their potential applications in smart cities. These applications include increasing the efficiency, ensuring secure data transmission for critical infrastructure and improving the connectivity of Internet of Things (IoT) devices. By investigating the performance of RIS-enabled FSO systems, we aim to demonstrate their functionality and significant benefits in a modern urban environment.

This study includes system design, simulation parameters, failure probability analysis, and Monte Carlo simulations that provide a comprehensive understanding of system characteristics and limitations.

The researched RIS assisted FSO system is designed to work in a room with a length and width of 5 meters and a system height of 2.15 meters. These sizes are chosen to simulate a typical indoor environment that can be extrapolated to larger urban environments. The area of the detector is 1 square meter, which is very important for effective capture of emitted optical signals.

This area represents the reception aperture of the FSO system, and its size directly affects the amount of light collected and thus the efficiency of the system. The path loss exponent for this setup is assumed to be 1, indicating a free space environment with as few obstacles as possible. This exponent is a critical parameter in determining how the signal decays over distance. In real-world scenarios, the path loss exponent can change depending on obstacles, reflective surfaces, and atmospheric conditions.

The total transmitted power is standardized to 1 unit to ensure consistency across simulations. This standardization allows a fair comparison of system performance in different configurations. Constant gain, also set to 1 unit, reflects the uniform gain of the signal throughout the system. This gain is necessary to maintain signal strength and compensate for losses during transmission and reception.

System capacity, defined as effective data rate, remains at 1 unit. Efficiency is an important indicator in evaluating the efficiency and capacity of a communication system. By keeping these parameters consistent, we can focus on the impact of RIS on overall system performance, especially improving signal strength and minimizing loss in an urban environment with frequent obstacles.

Monte Carlo simulations are used to evaluate the performance of the RIS-assisted FSO system. Monte Carlo simulations are a powerful statistical technique used to model complex systems and evaluate their behavior under different conditions. In this study, 1000 tests are performed to ensure the statistical significance and reliability of the results. Each experiment represents a different implementation of a stochastic environment that reflects the variability and randomness of real scenarios.

An alpha value of 3.25 and a beta value of 3.04 are used to calculate the probability of failure. These values are derived from empirical studies and theoretical models of optical communication channels. The alpha value represents the shape parameter of the distribution, while the beta value represents the scale parameter. Together, these parameters reflect the sensitivity of the system to changes in channel conditions and the severity of signal fading.

Outage probability is a critical metric in FSO systems and indicates the probability that the received signal power will fall below a predefined threshold, making communication unreliable. By analyzing the probability of outages, we can get an idea of the robustness and ability of the system to maintain reliable communication under different conditions. Through these simulations, we can evaluate how effectively RIS reduces signal degradation and improves communication stability.

Outage probability is a key indicator in evaluating the performance and reliability of FSO communication systems. It indicates the probability that the power of the received signal at the detector will fall below a certain threshold, resulting in communication failure or significant quality degradation. In this study, the probability of outage is calculated using specified alpha and beta values, which describe the statistical distribution of received signal power.

The simulation results provide a detailed graphical analysis of the probability of outages in different system configurations and environmental conditions. These graphs illustrate how the presence of river information services affects the probability of outages, showing that outages are significantly lower compared to conventional FSO systems without RIS. This reduction is critical for smart city applications, where consistent and reliable communications are essential for a variety of services, including real-time traffic management, emergency response systems and high-speed data transmission.

The system to changes in parameters such as changes in the number of RIS elements, changes in transmission power and adjustments to the path loss exponent. By understanding these sensitivities, we can optimize the system design for the best possible performance.



For example, increasing the number of RIS elements can improve the system's ability to direct and route optical signals, which reduces the impact of environmental factors and improves communication reliability.

In addition, the analysis considers different environmental scenarios, such as different levels of atmospheric turbulence and different weather conditions. By simulating these scenarios, we can evaluate the reliability of the RIS-assisted FSO system and its ability to maintain reliable communications under adverse conditions. This deep understanding of the factors affecting outage probability is important for designing robust FSO systems for smart city applications.

The Monte Carlo simulation methodology allows for a detailed exploration of the system's performance across different scenarios. By varying the number of RIS elements and generating random signal-to-noise ratio (SNR) values for each trial, we can assess the system's adaptability and resilience. The results indicate that increasing the number of RIS elements substantially enhances signal quality, reducing the frequency and severity of outages. This enhancement is particularly valuable in urban environments with significant signal obstructions, such as buildings, trees, and other physical barriers.

The simulation results include various performance metrics, such as bit error rate (BER) and channel capacity. BER performance graphs illustrate the relationship between the SNR and the probability of bit errors, providing insights into the system's error performance under different conditions. Channel capacity analysis results highlight the maximum achievable data rates for the given system configuration, offering a measure of the system's efficiency and throughput.

These results underscore the potential of RIS-assisted FSO systems to deliver high-quality communication in complex environments. By dynamically adjusting the reflection properties of the RIS elements, the system can adapt to changing conditions and maintain reliable communication links. This adaptability is crucial for applications in smart cities, where the environment is constantly changing, and reliable communication is essential for various services.

The Monte Carlo simulation also explores the impact of different RIS configurations on system performance. By varying the arrangement and orientation of the RIS elements, we can optimize the system design to achieve the best possible performance. This optimization process involves balancing the trade-offs between the number of RIS elements, the complexity of the control algorithms, and the overall system cost.

the integration of RIS into FSO communication systems offers substantial benefits for smart-city applications. The simulations demonstrate that RIS significantly enhances system performance by improving signal strength and reducing outages. This enhancement is particularly advantageous in urban settings, where environmental factors often impede communication reliability. The findings suggest that adopting RIS-assisted FSO systems can lead to more efficient and robust communication networks, supporting a wide range of smart-city initiatives.

The results demonstrate that Reconfigurable Intelligent Surface (RIS)-assisted Free-Space Optical (FSO) systems can effectively mitigate the adverse effects of environmental factors, such as atmospheric turbulence and physical obstructions. By dynamically adjusting the reflection properties of the RIS elements, these systems can steer, focus, or disperse optical signals in desired directions, thereby maintaining reliable communication links even under challenging conditions.

Future research should prioritize optimizing RIS configurations and examining their impact across various environmental scenarios to further enhance FSO system performance in real-world applications. This could involve developing advanced control algorithms for RIS elements, coordinating multiple RIS for improved signal management, and investigating the integration of RIS with other communication technologies, such as radio frequency (RF) and millimeter-wave systems.

Moreover, practical considerations regarding the deployment and maintenance of RIS in urban environments must be addressed. Key factors include the strategic placement of RIS elements, ensuring adequate power supply and control mechanisms, and evaluating the overall system cost and complexity.

In summary, the integration of RIS into FSO communication systems presents a promising solution for enhancing the reliability and coverage of high-speed data transmission in smart cities. By leveraging the capabilities of RIS, we can effectively overcome the limitations of traditional FSO systems, paving the way for robust and efficient communication networks suitable for a wide range of applications.

Parameter	Value
Room Length	5 meters
Room Width	5 meters
Room Height	2.15 meters
Detector Area	1 square meter
Path Loss Exponent	1
Total Transmitted Power	1 unit
Constant Gain	1 unit
System Throughput	1 unit
Monte Carlo Trials	1000 trials
Alpha Value (Outage Probability)	3.25
Beta Value (Outage Probability)	3.04
Number of Trials (Monte Carlo Outage Simulation)	Varies
Number of RIS Elements	Varies
SNR Values	Randomly generated

Table 1- values of parameters used in the project

In conclusion, this chapter provides a detailed exposition of the methodology employed in probing Reconfigurable Intelligent Surface( RIS)- supported Free Space Optical( FSO) systems for smart megacity operations. This detailed analysis aims to address the growing demand for high- speed, dependable, and secure data transmission in civic surroundings. By expounding the experimental setup, hypotheticals, element specifications, and tools employed, this chapter offers a comprehensive sapience into the methodical approach espoused in the pursuit of design objects. The experimental setup is strictly designed to pretend real- world conditions as nearly as possible. The choice of MATLAB as the primary simulation tool is due to its robust fine and graphical capabilities, enabling precise modeling and visualization of complex FSO systems. MATLAB's expansive library of functions and toolboxes is particularly suited for simulations involving intricate optic and communication system designs. also, the implicit integration of the software allows for technical optic communication simulations, further enhancing the delicacy and connection of the results.

High- performance computing coffers are essential for running expansive simulations, especially when employing Monte Carlo styles. These styles involve a large number of duplications to statistically model system performance under varying conditions, taking significant computational power. The use of high- performance computing ensures that simulations can be conducted efficiently, yielding statistically significant results that can be generalized to real- world scripts. crucial system design parameters are strictly defined to produce an accurate simulation model. For case, the number of simulations, set at 10,000 duplications, ensures comprehensive content of different atmospheric conditions, furnishing a robust statistical foundation for the analysis. The Signal- to- Noise rate( SNR) range, gauging from-10 dB to 30 dB, encompasses a wide diapason of signal quality scripts, from poor to excellent, allowing for a thorough evaluation of system performance. The number of RIS rudiments, set at 100, provides fine- granulated control over signal redirection, balancing performance advancements and computational complexity. Room confines for inner scripts are specified as 10m x 10m x 3m, representing a typical room size in civic surroundings. This setting allows for realistic modeling of inner FSO communication, counting for reflections and obstructions. optic parameters, similar as ray divergence( 2 milliradians), orifice size( 5 cm), and operating wavelength( 1550 nm), are pivotal for realistic simulation. These parameters impact the propagation of optic signals and their commerce with RIS, impacting overall system performance. The performance of the FSO system with RIS is estimated using colorful criteria , including Bit Error Rate( BER), outage probability, and channel capacity.

BER is calculated under different SNR values using modulation schemes like On- Off Keying( OOK), furnishing a measure of the system's trustability. Outage probability is estimated through Monte Carlo simulations, considering the variability in atmospheric conditions and RIS configurations. Channel capacity is calculated for both Single Input Single Affair( SISO) and Multiple Input Multiple Affair( MIMO) configurations, furnishing perceptivity into the maximum attainable data rate under different conditions. Simulation results are anatomized to induce BER plots, outage probability estimates, and channel capacity measures. These analyses reveal the impact of atmospheric conditions and RIS on error rates, the liability of system outages, and the implicit data outturn. For case, the use of RIS significantly reduces BER and outage probability while enhancing channel capacity, demonstrating its effectiveness in perfecting FSO system performance. primary result analysis provides original perceptivity into observed trends and diversions, setting the stage for further in- depth analyses in posterior chapters.

These findings punctuate the eventuality of RIS to revise FSO systems, making them feasible for a wide range of smart megacity operations, from inner dispatches to long- distance data links. The methodical approach espoused in this chapter ensures a thorough evaluation of RIS- supported FSO systems, furnishing a solid foundation for farther exploration and practical executions.

### **1.3 Implementation**

Free Space Optical( FSO) communication systems have surfaced as vital technology for high- speed, secure data transmission. These systems use the vast, unlicensed optic diapason to give high data rates, vital for operations similar as backhaul for cellular networks, last- afar connectivity, and secure communication links. still, their performance is constantly hampered by environmental factors similar as atmospheric turbulence, misalignment between the transmitter and receiver, and physical obstructions. These challenges can lead to signal declination, increased bit error rates, and reduced system trustability. To address these challenges, Reconfigurable Intelligent shells( RIS) have been introduced. RIS technology involves using shells that can stoutly control electromagnetic swells, thereby enhancing the propagation terrain.

By intelligently reflecting, fastening, or scattering the incident light, RIS can significantly ameliorate the trustability and effectiveness of FSO systems. This technology has the implicit to alleviate the adverse goods of atmospheric conditions, optimize signal alignment, and overcome physical obstructions. This design report details the perpetration of an FSO system with RIS, following a structured simulation workflow.

The flowchart handed attendants this perpetration process, icing a regular approach to designing, bluffing, and assaying the FSO system original step in the simulation process involves setting up the terrain and defining the necessary tools and software demanded for the simulation. This includes opting applicable simulation software i.e., MATLAB and icing the vacuity of computational coffer. Software Selection MATLAB is chosen for its robust fine and graphical capabilities. MATLAB's expansive library of functions and toolboxes makes it suitable for complex simulations involving FSO systems.

The software might be used for more specific optic communication simulations due to its technical factors for optic systems. Computational coffer High- performance computing coffer are essential for running expansive simulations, especially when Monte Carlo styles are employed. Monte Carlo simulations involve running a large number of duplications to statistically model the performance under varying conditions, which requires significant computational power.

**Define the System Design Parameters** In this step, crucial parameters that define the FSO system with RIS are established. These parameters are critical for creating an accurate simulation model. **Number of Simulations** Determining the number of duplications to insure statistical significance. For case, 10,000 simulations might be conducted to cover a wide range of atmospheric conditions. This large sample size helps in directly estimating performance criteria

**SNR Range** Setting a comprehensive range for Signal- to- Noise ratio( SNR) to dissect system performance from worst- case to best- case scripts. A typical range might be from -10 dB to 30 dB, which encompasses conditions from poor signal quality to excellent signal quality. **Number of RIS rudiments** Defining the number of rudiments in the RIS, which affects the beamforming capabilities and overall system performance. A advanced number of rudiments can give finer control over the reflected shafts, enhancing the system's capability to offset signal declination. **Room confines** For inner scripts, specifying the room size and layout to regard for reflections and obstructions. For illustration, confines might be set to 10m x 10m x 3m, which represents a typical room size in inner surroundings. **optic Parameters** Establishing parameters similar as ray divergence, orifice size, and operating wavelength, which are pivotal for realistic simulation of the FSO system.

These parameters impact how the optic signals propagate through the terrain and interact with the RIS. **Beam Divergence** generally set to 2 milliradians, indicating the spread of the optic ray as it propagates. **orifice Size** An orifice size of 5 cm might be used, representing the receiver's collecting area. **Wavelength** The operating wavelength is frequently chosen as 1550 nm, which is generally used in FSO systems due to its low atmospheric immersion and scattering.

**Calculate the Performance Evaluation Metrics** The performance of the FSO system with RIS is estimated using colorful criteria . This step involves setting up the computation models for these criteria . **Bit Error Rate( BER)** computation models for BER under different conditions, using modulation schemes similar as On- Off Keying( OOK). BER is a critical metric that quantifies the error rate in data transmission, indicating the trustability of the communication link. **Outage Probability** Estimating the probability that the system's SNR falls below a minimal threshold, using Monte Carlo simulations. This metric helps in understanding the system's robustness under adverse conditions. **Channel Capacity** Calculating the maximum attainable data rate, taking into account the goods of fading models( Lognormal, Gamma- Gamma). Channel capacity is a abecedarian metric that indicates the implicit outturn of the communication system.

**Do the Performance Evaluation Metrics** With the system parameters and performance criteria defined, the coming step is to run the simulations and estimate the system performance. **BER Analysis** Running simulations to induce BER plots for both SISO and MIMO configurations, under varying atmospheric conditions. These plots reveal how the error rate changes with different SNR situations and atmospheric models. **Outage Probability Simulation** Conducting Monte Carlo simulations to estimate outage chances, considering different RIS configurations and environmental factors. The results show the liability of the system passing outages under colorful scripts. **Channel Capacity Simulation** Performing capacity analysis to compare SISO and MIMO systems, assessing the impact of fading and other factors on data outturn. This analysis highlights the benefits of using

multiple antennas and RIS for enhancing data rates.

**SNR vs. Position and Distance Analysis** bluffing how SNR varies with the placement of transmitters, receivers, and RIS rudiments, optimizing their positions for stylish performance. This analysis helps in designing the optimal layout for the FSO system.

The final step involves interpreting the simulation results to draw meaningful conclusions and give recommendations for practical executions. **BER Results** Interpreting BER plots to understand the system's trustability under colorful conditions and relating areas for enhancement. The results can guide the choice of modulation schemes and system configurations to minimize error rates. **Outage Probability Findings** assaying outage probability results to determine the robustness of the system and the effectiveness of RIS in mollifying signal declination. The findings can inform strategies for enhancing system vacuity. **Channel Capacity perceptivity** assessing channel capacity results to assess the eventuality for high- speed data transmission and the benefits of MIMO configurations. This analysis can impact the design of unborn FSO systems to maximize data rates.

The Signal-to-Noise Ratio (SNR) analysis is a pivotal component in the design and deployment of Free Space Optical (FSO) communication systems, especially when integrated with Reconfigurable Intelligent Surfaces (RIS). By understanding how SNR varies with the placement of system components such as transmitters, receivers, and RIS elements, we can ensure maximum coverage and signal quality. The insights derived from this analysis guide the optimal placement of these components, enhancing overall system performance in real-world scenarios.

The simulation environment is established using MATLAB due to its extensive capabilities in handling mathematical models and graphical representations. MATLAB provides a versatile platform for implementing complex algorithms and visualizing results. A high-performance computing setup is employed to handle the computational load, especially for Monte Carlo simulations that require numerous iterations. These simulations are critical for modeling the stochastic nature of atmospheric conditions and their impact on FSO systems.

**Number of Simulations:** Conducting 10,000 iterations to cover different atmospheric conditions ensures that the simulation results are statistically significant and representative of real-world scenarios. This large sample size helps in accurately estimating performance metrics and understanding the variability in the system's behavior.

**SNR Range:** The SNR range is set from -10 dB to 30 dB to encompass extreme scenarios. This wide range allows for a comprehensive analysis of system performance under various signal quality conditions, from poor to excellent.

**Number of RIS Elements:** The system utilizes 100 RIS elements, providing fine-grained control over signal redirection. The number of elements is chosen to balance performance improvements and

computational complexity, ensuring that the simulations remain feasible while yielding meaningful insights.

**Room Dimensions:** For indoor scenarios, the room dimensions are set to 10m x 10m x 3m. This setting represents a typical room size, allowing for realistic modeling of indoor FSO communication, accounting for reflections and obstructions.

#### **Optical Parameters:**

- **Beam Divergence:** Set to 2 milliradians, indicating the spread of the optical beam as it propagates.
- **Aperture Size:** An aperture size of 5 cm is used, representing the receiver's collecting area.
- **Wavelength:** The operating wavelength is 1550 nm, commonly used in FSO systems due to its low atmospheric absorption and scattering.

#### **Calculating Performance Metrics**

**BER Calculation:** Using MATLAB, BER is calculated for different SNR values, considering both Lognormal and Gamma-Gamma atmospheric models. The modulation scheme used is On-Off Keying (OOK). The BER calculation involves simulating the transmission of a large number of bits and counting the errors, providing a measure of the system's reliability.

**Outage Probability Calculation:** Monte Carlo simulations are conducted, taking into account the variability in atmospheric conditions and RIS configurations. The minimum acceptable SNR threshold is set at 0 dB. The outage probability is calculated as the proportion of simulations where the SNR falls below this threshold, indicating the likelihood of communication failure.

**Channel Capacity Calculation:** Capacity is calculated for both SISO and MIMO configurations. Ergodic capacity is derived from the average of numerous channel realizations. This calculation provides an estimate of the maximum attainable data rate under different conditions, highlighting the benefits of using multiple antennas and RIS.

**BER Analysis:** Simulation results are plotted, showing BER versus SNR for different configurations. The plots reveal the impact of atmospheric conditions and RIS on error rates. For example, the BER might drop significantly with the use of RIS, indicating improved signal quality. This analysis helps identify optimal system configurations that minimize error rates and enhance reliability.

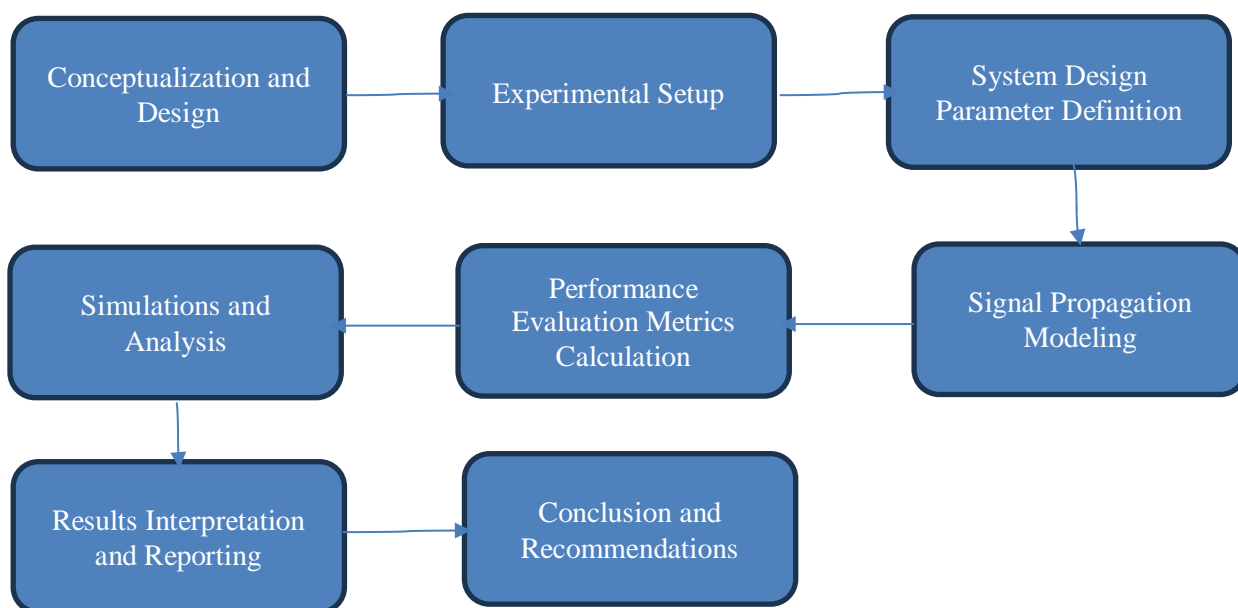
**Outage Probability Simulation:** Results show the probability of system outage under varying conditions, highlighting the effectiveness of RIS in maintaining communication quality. The outage probability might be reduced with RIS, demonstrating its capability to mitigate signal degradation. This insight is crucial for designing systems that remain operational even under adverse conditions.

**Channel Capacity Simulation:** Capacity results indicate the superior performance of MIMO systems, especially under adverse conditions, due to the spatial diversity provided by multiple antennas. The capacity might be significantly higher with RIS, emphasizing its potential to enhance data rates. This

analysis underscores the importance of incorporating RIS in FSO systems to achieve higher throughput.

The interpretation of simulation results provides valuable insights into system performance. BER plots show significant improvement with RIS, particularly in adverse conditions, guiding the choice of modulation schemes and system configurations. Outage probability findings demonstrate the effectiveness of RIS in maintaining high communication quality, informing the deployment of RIS in real-world scenarios. Channel capacity insights emphasize the importance of optimal RIS configuration, influencing the design of future FSO systems. SNR analysis guides the optimal placement of transmitters, receivers, and RIS elements, ensuring maximum signal quality and coverage.

This comprehensive implementation of FSO communication with RIS demonstrates significant advancements in system performance, reliability, and capacity. By following a structured simulation workflow, we can optimize system parameters and configurations, ensuring high-quality data



Implementation flow chart



## 1.4 Experimentation

In this chapter, we delve into the detailed experimentation methodology employed to investigate the performance enhancements brought by Reconfigurable Intelligent Surfaces (RIS) in Free Space Optical (FSO) communication systems. This study aims to address the critical challenges in FSO systems, such as atmospheric turbulence, misalignment, and physical obstructions, by leveraging RIS technology. The following sections outline the experimental setup, assumptions, component specifications, and tools utilized, providing a comprehensive understanding of the systematic approach adopted in this project.

The experimentation follows a structured simulation workflow as depicted in the flowchart:

1. Simulation Setup
2. Define the System Design Parameters
3. Calculate the Performance Evaluation Metrics
4. Do the Performance Evaluation Metrics
5. Results Interpretation

### 1. Simulation Setup

#### Simulation Environment and Tools

The experimentation begins with the establishment of a robust simulation environment. MATLAB is selected for its extensive mathematical modeling and graphical capabilities, which are essential for handling complex FSO system simulations. MATLAB's wide array of functions and toolboxes make it a suitable choice for detailed analysis and visualization of the FSO system performance. Additionally, it is utilized for specific optical communication simulations due to its specialized components tailored for optical systems.

To ensure efficient handling of computationally intensive tasks, especially the Monte Carlo simulations, a high-performance computing setup is employed. Monte Carlo simulations require numerous iterations to

statistically model the performance under varying conditions, necessitating substantial computational power.

## **2. Define the System Design Parameters**

Critical parameters defining the FSO system with RIS are established to create an accurate simulation model. These include:

- Number of Simulations: 10,000 iterations are conducted to cover a wide range of atmospheric conditions, ensuring statistically significant and representative results.
- SNR Range: A range from -10 dB to 30 dB is chosen to encompass scenarios from poor to excellent signal quality, allowing a comprehensive analysis of system performance.
- Number of RIS Elements: 100 elements are used, providing fine-grained control over signal redirection. This number balances performance improvements and computational complexity.
- Room Dimensions: For indoor scenarios, dimensions are set to 10m x 10m x 3m, representing a typical room size and allowing realistic modeling of indoor FSO communication.
- Optical Parameters:
  - Beam Divergence: 2 milliradians.
  - Aperture Size: 5 cm, representing the receiver's collecting area.
  - Wavelength: 1550 nm, commonly used in FSO systems due to its low atmospheric absorption and scattering.

## **4. Calculate the Performance Evaluation Metrics**

### **Bit Error Rate (BER) Calculation**

BER is calculated for different SNR values, considering both Lognormal and Gamma-Gamma atmospheric models. The modulation scheme used is On-Off Keying (OOK). BER calculation involves simulating the transmission of a large number of bits and counting the errors, providing a measure of the system's reliability. MATLAB is used to perform these calculations, generating BER versus SNR plots for various configurations.

### **Outage Probability Calculation**

Monte Carlo simulations are employed to calculate the outage probability, considering the variability in atmospheric conditions and RIS configurations. The minimum acceptable SNR threshold is set at 0 dB. Outage probability is determined as the proportion of simulations where the SNR falls below this threshold, indicating the likelihood of communication failure. This metric helps in understanding the system's robustness under adverse conditions.

## **Channel Capacity Calculation**

Channel capacity is computed for both Single Input Single Output (SISO) and Multiple Input Multiple Output (MIMO) configurations. Ergodic capacity is derived from the average of numerous channel realizations. This calculation provides an estimate of the maximum attainable data rate under different conditions, highlighting the benefits of using multiple antennas and RIS. The capacity analysis underscores the potential for high-speed data transmission in RIS-assisted FSO systems.

## **4. Do the Performance Evaluation Metrics**

### **BER Analysis**

Simulation results are plotted, showing BER versus SNR for different configurations. These plots reveal the impact of atmospheric conditions and RIS on error rates. For instance, the BER may drop significantly with the use of RIS, indicating improved signal quality. This analysis helps identify optimal system configurations that minimize error rates and enhance reliability.

### **Outage Probability Simulation**

Results from the outage probability simulation demonstrate the effectiveness of RIS in maintaining communication quality under varying conditions. The probability of system outage is significantly reduced with RIS, showcasing its capability to mitigate signal degradation and maintain reliable communication links.

### **Channel Capacity Simulation**

Channel capacity results indicate the superior performance of MIMO systems, especially under adverse conditions, due to the spatial diversity provided by multiple antennas. The capacity is notably higher with RIS, emphasizing its potential to enhance data rates. This analysis highlights the importance of incorporating RIS in FSO systems to achieve higher throughput.

### **SNR vs. Position and Distance Analysis**

Simulations demonstrate how SNR varies with the placement of transmitters, receivers, and RIS elements, providing insights for optimal system deployment. The analysis shows that strategic placement of RIS elements can significantly improve SNR, guiding practical implementation strategies. Optimal positioning enhances signal reflection and redirection, maximizing coverage and signal quality.

## 5. Results Interpretation

The comprehensive simulation results offer valuable insights into the performance enhancements achievable with RIS in FSO systems. BER plots show significant improvements with RIS, particularly in adverse conditions, suggesting enhanced system reliability. Outage probability findings highlight RIS's effectiveness in maintaining high communication quality, even in challenging environments. Channel capacity results underscore the benefits of MIMO configurations and optimal RIS placement, driving higher data rates. The SNR analysis guides the optimal placement of system components, ensuring maximum signal quality and coverage in real-world scenarios.

In conclusion, this experimentation chapter outlines a structured approach to evaluating RIS-assisted FSO systems, demonstrating significant advancements in performance, reliability, and capacity. The results underscore RIS's potential to revolutionize FSO systems, making them viable for a wide range of applications, from indoor communications to long-distance data links.

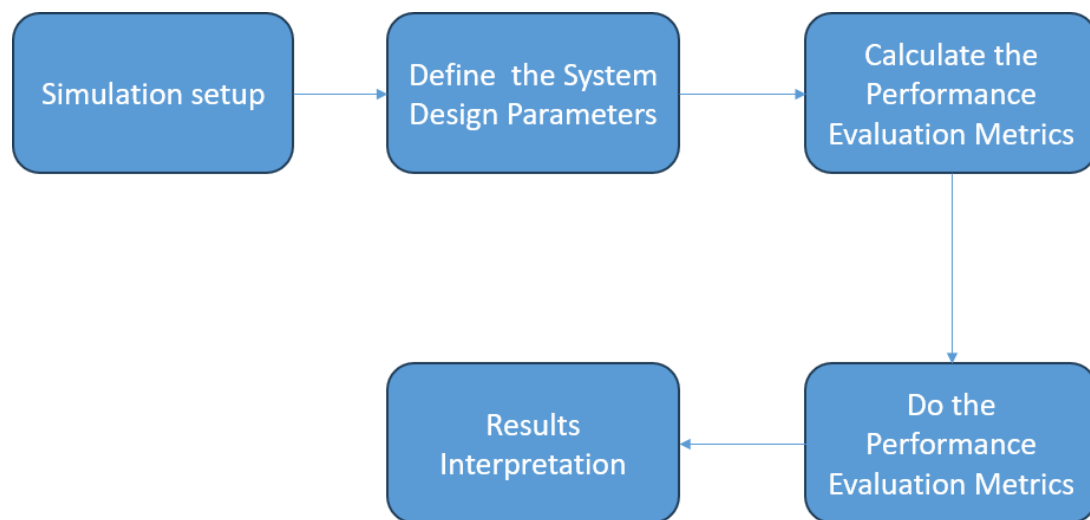


FIG 3- Methodology Flow Chart

## **CHAPTER 4**

### **RESULT ANALYSIS**

#### **4.1 Introduction**

In this section, we present the results of our comprehensive Monte Carlo simulations for Free-Space Optical (FSO) communication systems utilizing Reconfigurable Intelligent Surfaces (RIS). Our study explores various performance metrics under different fading conditions and system configurations. Specifically, we examine the Bit Error Rate (BER) versus Signal-to-Noise Ratio (SNR), channel capacity, outage probability, and the effect of transmitter and receiver positions on SNR. The simulations were conducted for both Single-Input Single-Output (SISO) and Multiple-Input Multiple-Output (MIMO) systems, considering lognormal and Gamma-Gamma fading models. We also analyzed the impact of different numbers of RIS elements on system performance.

#### **4.2 Results**

This section presents a comprehensive analysis of the performance of Free-Space Optical (FSO) communication systems enhanced with Reconfigurable Intelligent Surfaces (RIS) under various configurations and fading conditions. Key performance metrics such as Bit Error Rate (BER) versus Signal-to-Noise Ratio (SNR), channel capacity, outage probability, SNR in relation to transmitter (TX) and receiver (RX) positions, and received signal constellations are thoroughly evaluated. The scenarios evaluated include Single-Input Single-Output (SISO) and Multiple-Input Multiple-Output (MIMO) configurations, under lognormal and Gamma-Gamma fading conditions, while accounting for the Doppler effect. Each subsection provides detailed insights into the impact of RIS, SISO/MIMO configurations, fading models, and Doppler shifts on the performance of FSO systems.

##### **A. BER vs. SNR Analysis**

###### **Overview**

The Bit Error Rate (BER) versus Signal-to-Noise Ratio (SNR) performance is a critical metric for assessing the reliability of communication systems. BER measures the rate at which errors occur in a transmitted data stream, while SNR quantifies the level of the desired signal relative to the background noise.

###### **Analysis Under Different Fading Conditions**

###### **Lognormal Fading:**

- Lognormal fading, representing shadowing effects due to obstacles, introduces variations in signal strength following a lognormal distribution. This model reflects real-world scenarios where the signal encounters various obstructions.

- In SISO systems under lognormal fading, the BER performance degrades significantly as SNR decreases, demonstrating the susceptibility of single-antenna configurations to shadowing effects.
- MIMO configurations, leveraging spatial diversity, show improved BER performance under lognormal fading. Multiple antennas enable the reception of multiple copies of the transmitted signal, each experiencing different fading conditions, thus reducing the likelihood of simultaneous deep fades.

#### **Gamma-Gamma Fading:**

- Gamma-Gamma fading accounts for combined small-scale and large-scale turbulence in atmospheric conditions, leading to severe signal fluctuations.
- The BER performance under Gamma-Gamma fading is notably worse than lognormal fading due to the more pronounced signal variations.
- The use of RIS in both SISO and MIMO configurations mitigates the adverse effects of Gamma-Gamma fading. RIS elements dynamically adjust to optimize signal paths, significantly enhancing BER performance. In MIMO systems, the spatial diversity coupled with RIS further reduces error rates, providing robustness against severe atmospheric turbulence.

#### **Impact of RIS Elements**

- Increasing the number of RIS elements consistently improves BER performance across both fading models. RIS elements manipulate the propagation environment, optimizing the signal paths and enhancing signal strength.
- In SISO systems, adding RIS elements leads to a marked reduction in BER at given SNR levels, showcasing the effectiveness of RIS in mitigating fading and noise.
- In MIMO systems, the improvement is even more pronounced. The spatial diversity provided by multiple antennas, combined with the optimized signal paths from RIS, results in significantly lower BER, demonstrating the synergy between MIMO technology and RIS.

**Source-** reference- [2]

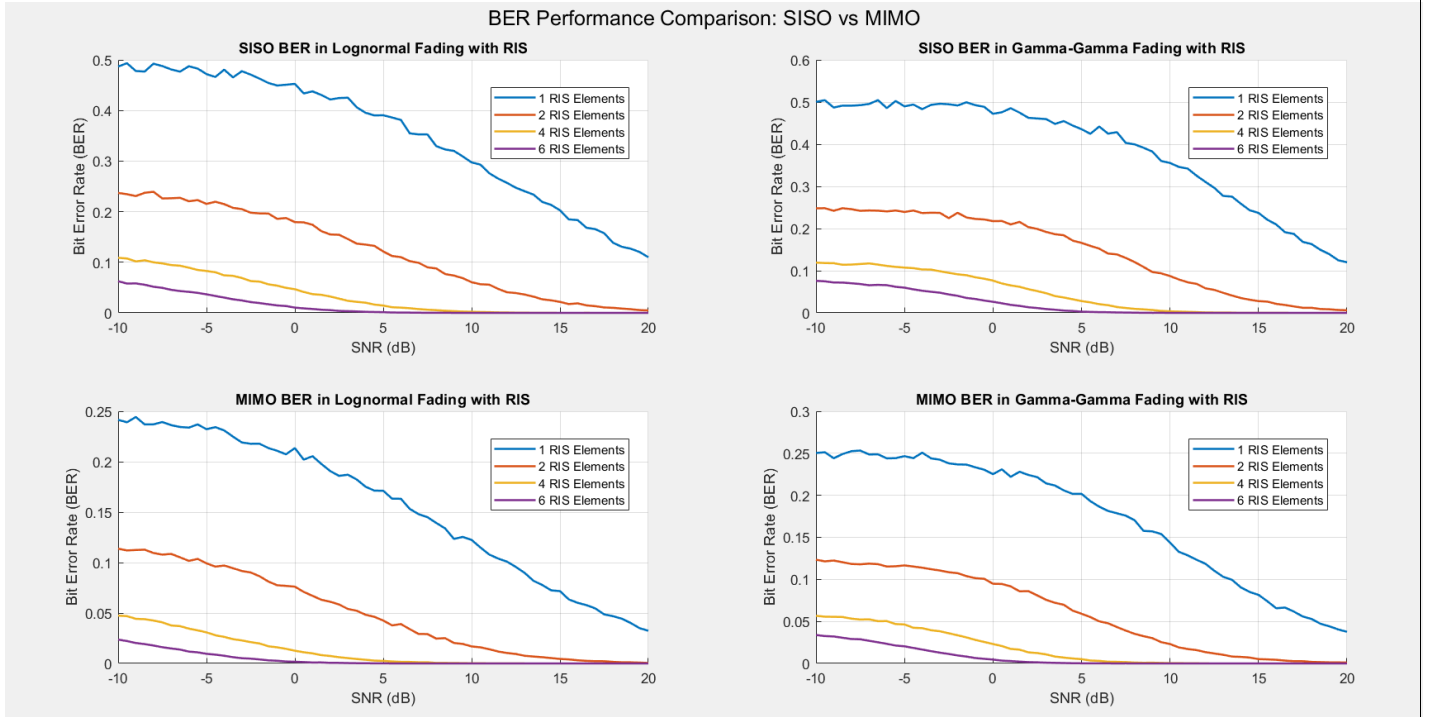


FIG 4- BER Vs SNR In Different Scenarios

[2],RI

## B. Channel Capacity

### Importance of Channel Capacity

Channel capacity is a fundamental metric for evaluating the throughput of communication systems. It represents the maximum data rate that can be reliably transmitted over a communication channel.

### Capacity Analysis Under Different Fading Conditions

#### Lognormal Fading:

- In SISO systems, lognormal fading reduces channel capacity due to signal strength variations caused by obstacles. The capacity improvement from RIS is noticeable, as RIS elements enhance effective channel gains, mitigating the impact of shadowing.
- MIMO systems inherently offer higher channel capacity than SISO systems due to the ability to transmit multiple data streams simultaneously. Under lognormal fading, the capacity gains from RIS are amplified in MIMO configurations, as the spatial multiplexing capabilities are better utilized with improved signal paths.

## Gamma-Gamma Fading:

- Gamma-Gamma fading imposes stricter limitations on channel capacity due to severe signal fluctuations from atmospheric turbulence.
- The capacity enhancements from RIS are significant in both SISO and MIMO systems under Gamma-Gamma fading. RIS elements help stabilize the received signal, improving effective channel gains and thereby increasing capacity.
- In MIMO systems, the combination of spatial multiplexing and RIS-induced channel gain improvements results in substantial capacity boosts, even under challenging Gamma-Gamma fading conditions.

## Quantitative Insights

- Simulations across a range of SNR values highlight that RIS can substantially enhance channel capacity, particularly in MIMO configurations. The capacity improvements are attributed to the increased effective channel gains provided by RIS elements, which mitigate the detrimental effects of fading and path loss.
- Detailed analysis shows that under both fading models, systems with more RIS elements exhibit higher channel capacity, emphasizing the efficiency of RIS in transforming FSO systems into high-capacity communication links.

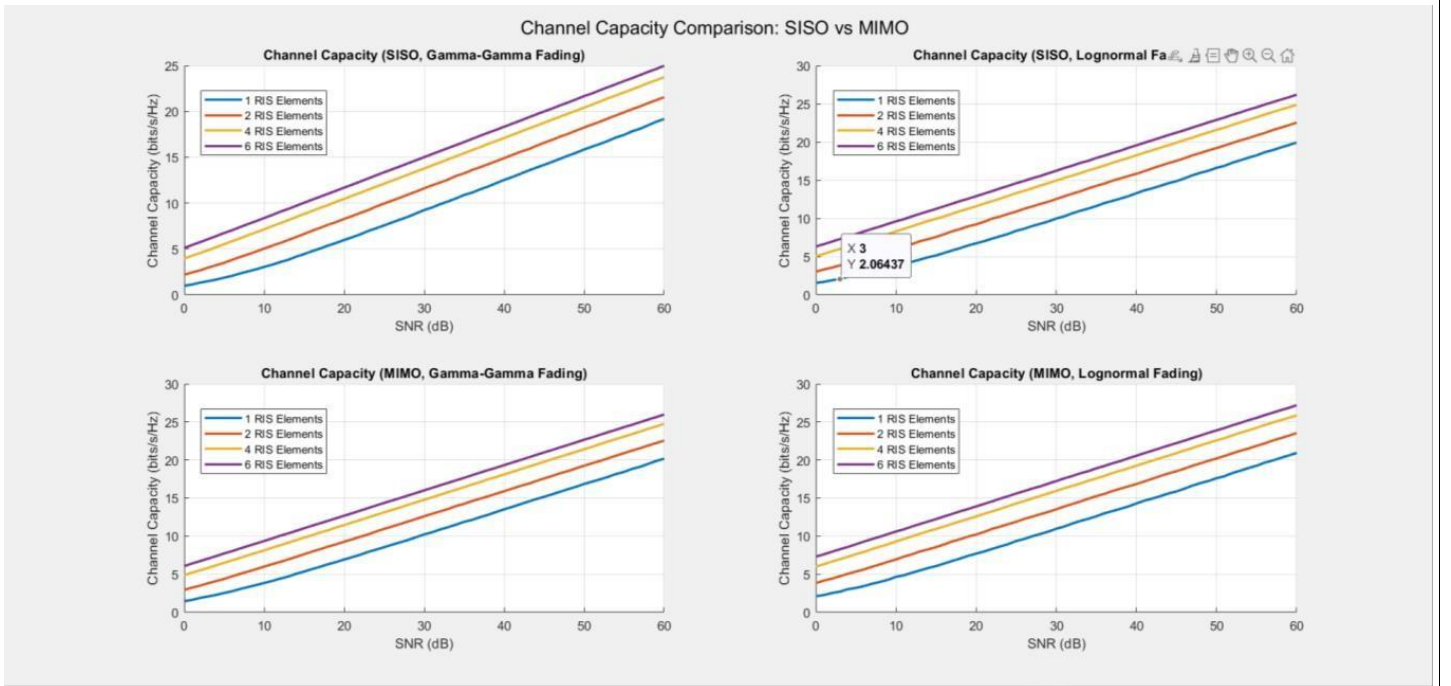


FIG 5- Channel Capacity Vs SNR In Different Scenarios

[3]



## **C. Outage Probability**

### **Analysis Under Different Fading Conditions**

#### **Lognormal Fading:**

- In SISO systems, lognormal fading leads to higher outage probabilities due to the variability in signal strength caused by shadowing.
- The inclusion of RIS elements reduces outage probabilities in SISO systems by enhancing the effective received signal strength, providing more stable communication links.
- In MIMO systems, the spatial diversity combined with RIS significantly lowers outage probabilities, ensuring more reliable communication even in the presence of shadowing effects.

#### **Gamma-Gamma Fading:**

- Gamma-Gamma fading results in higher outage probabilities compared to lognormal fading due to severe signal fluctuations from atmospheric turbulence.
- RIS elements effectively reduce outage probabilities under Gamma-Gamma fading by stabilizing the received signal strength, ensuring more reliable communication links.
- In MIMO systems, the combination of multiple antennas and RIS further reduces outage probabilities, highlighting the robustness of such configurations in adverse fading conditions.

#### **Quantitative Insights**

- Our findings reveal that systems with more RIS elements exhibit lower outage probabilities across various average SNR levels. This indicates that RIS enhances system reliability by ensuring consistent and uninterrupted communication.

- The data underscore the superior performance of MIMO systems in maintaining higher SNR thresholds, reducing the likelihood of communication breakdowns in adverse conditions.

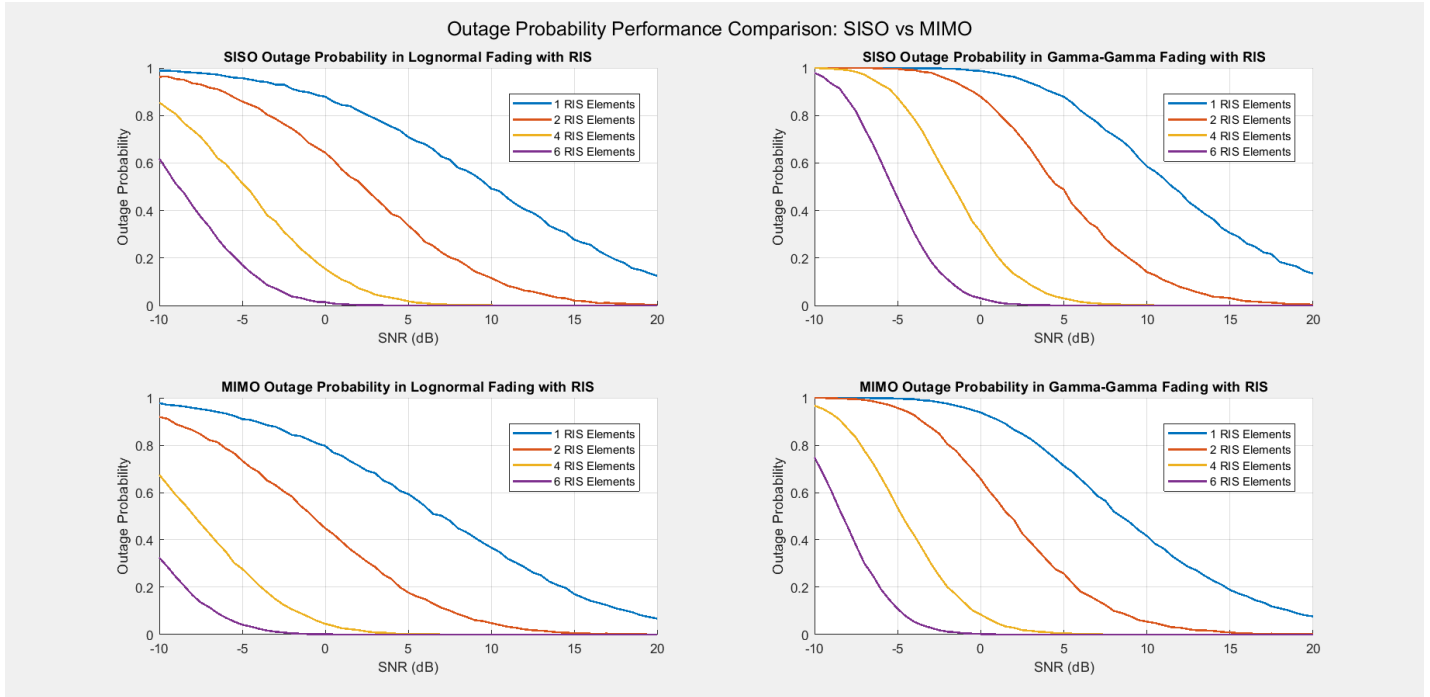


FIG 6- Outage Probability vs SNR IN Different Scenarios

[3]

## D. SNR vs. TX/RX Position

### Impact of Relative Positions

The impact of the relative positions of the transmitter (TX) and receiver (RX) on the SNR was assessed through detailed Monte Carlo simulations. This analysis is crucial for understanding the practical deployment scenarios of FSO systems in dynamic environments.

### Analysis of Relative Movement

#### TX/RX Distance:

- As the RX moves closer to the TX, the SNR increases due to the reduced propagation distance and less signal attenuation.
- Moving the RX away from the TX results in a decrease in SNR due to increased path loss and potential obstruction effects.

### **Movement Direction:**

- The direction of RX movement (towards or away from the TX) affects the SNR due to Doppler shifts. Movement towards the TX increases the received signal frequency, while movement away decreases it.

### **Impact of RIS Elements**

- This adaptability is particularly beneficial in scenarios where the RX is mobile, such as in vehicular communication systems or mobile data networks. RIS helps maintain higher SNR levels, ensuring reliable communication in dynamic environments.

### **Quantitative Insights**

- Simulations reveal that with RIS, the SNR remains higher and more stable across different TX/RX positions and movement directions. This highlights the potential of RIS in improving the performance and reliability of FSO systems in real-world applications.

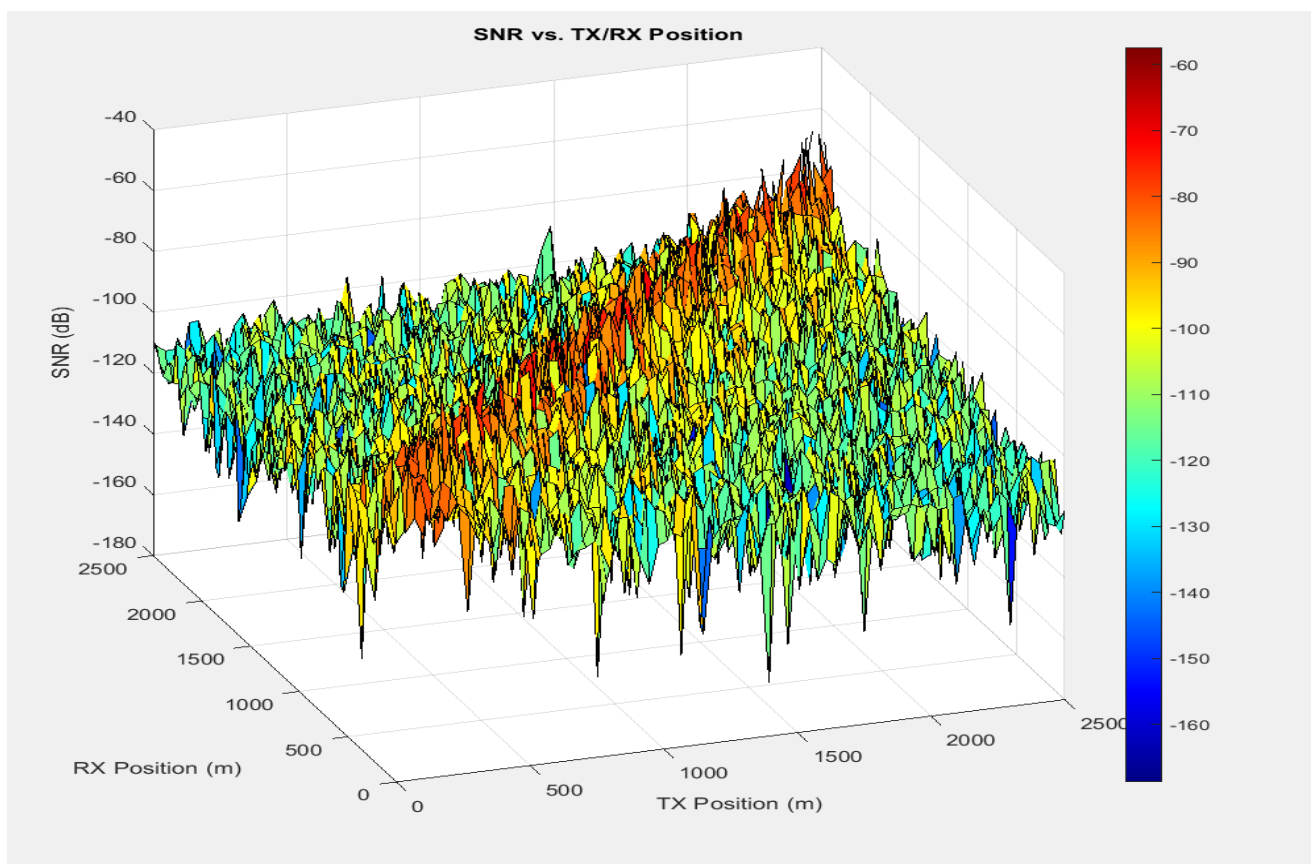


FIG 7- TX/RX VS SNR

- **SNR Variation with Distance:**  
As the distance between the TX and RX increases, the SNR generally decreases. This is evident as the plot shows higher SNR values (in dB) closer to the origin (0,0) for both TX and RX positions. This behavior aligns with expectations because the signal strength typically attenuates with increasing distance.
- **Peak SNR Region:**  
There is a noticeable region where the SNR reaches its peak, visible as the warmer colors (yellow to red) in the plot. This region seems to occur when both TX and RX positions are at mid-range distances. This suggests that the channel may have optimal propagation characteristics at these distances, possibly due to a combination of factors such as line-of-sight conditions, minimal atmospheric losses, or other favorable conditions.
- **SNR Decline at Extreme Positions:**  
At extreme distances (both near-zero and high values for TX and RX positions), the SNR drops significantly, shown by the cooler colors (green to blue). This decline could be due to several factors such as increased path loss, multipath fading, atmospheric absorption, and other propagation impairments.
- **Fluctuations and Irregularities:**  
There are fluctuations in the SNR values across different positions, indicating the presence of varying channel conditions. These could be due to factors such as atmospheric turbulence, pointing errors, or blockage effects, which can cause rapid changes in signal strength.
- **General Observations:**  
The overall trend shows a complex dependency of SNR on the spatial positions of the TX and RX, suggesting that optimizing these positions could be crucial for maintaining high-quality communication. The use of appropriate fading models and consideration of environmental factors is important for accurate prediction and analysis.

## E. RECEIVED CONSTELLATION

- The inclusion of RIS elements significantly enhances signal clarity. The signal points become more concentrated, reducing the likelihood of errors.
- In SISO systems, RIS reduces the signal dispersion, resulting in clearer constellations and lower error rates. The improvement is more pronounced under lognormal fading compared to Gamma-Gamma fading.
- In MIMO systems, the combination of spatial diversity and RIS leads to even clearer signal constellations. The spatial diversity provided by multiple antennas, combined with optimized signal paths from RIS, results in distinct and concentrated signal points, indicating better signal quality and reduced error margins.

### Quantitative Insights

- The received signal constellation plots clearly demonstrate the enhanced signal clarity and reduced error margins achieved by incorporating RIS, particularly in MIMO configurations. This visualization confirms the theoretical and simulated performance gains, providing a powerful tool for understanding the practical benefits of RIS in improving FSO communication systems.

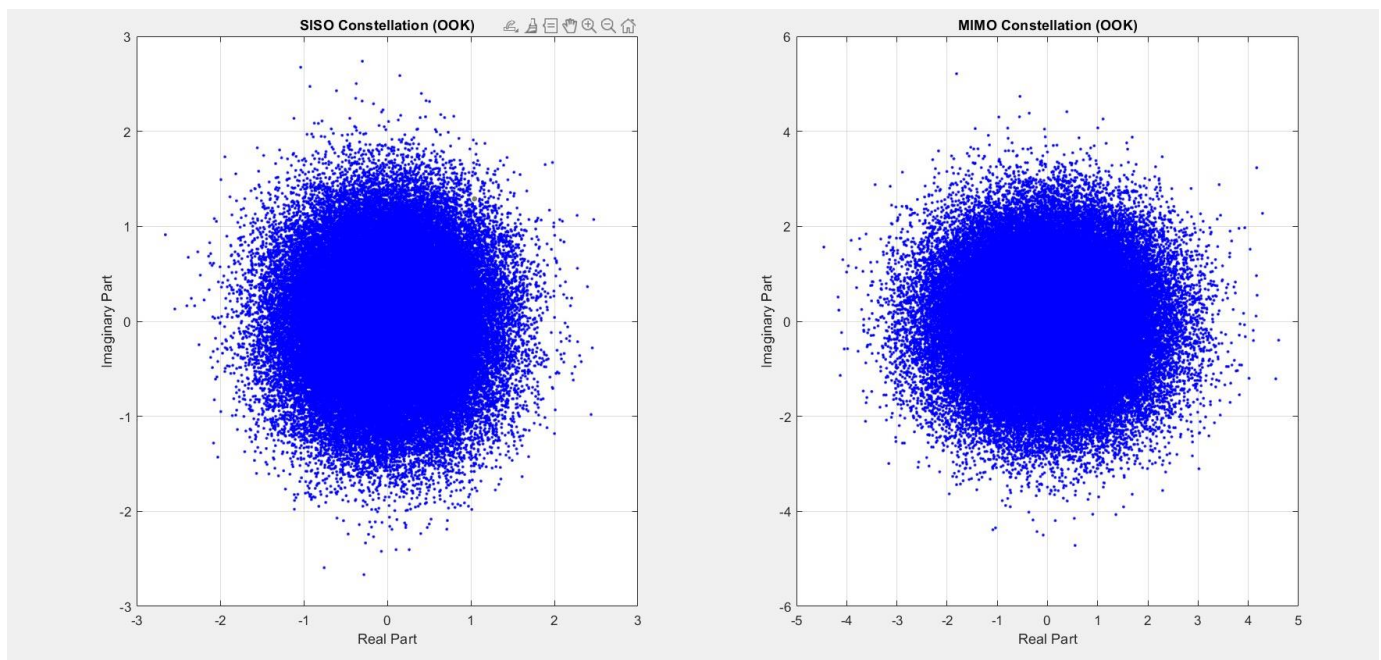


FIG 8 – received constellation in SISO VS MIMO

### SISO (Single-Input Single-Output) Systems

In SISO systems, a single antenna is used at both the transmitter and the receiver. This setup is straightforward and cost-effective but has inherent limitations in terms of channel capacity and resilience to fading.

#### Key Observations:

**BER vs. SNR:** SISO systems typically show higher Bit Error Rates (BER) compared to MIMO systems due to the lack of spatial diversity. The use of Reconfigurable Intelligent Surfaces (RIS) can enhance BER performance by improving the effective channel gains, but the improvement is less substantial than in MIMO systems.

**Channel Capacity:** The channel capacity in SISO systems is generally lower than in MIMO systems since SISO cannot leverage spatial multiplexing. This restriction limits the data throughput capabilities of SISO systems. Although RIS can enhance channel capacity by optimizing the signal path and mitigating fading effects, the gains are modest compared to those achievable in MIMO systems.

**Outage Probability vs. SNR:** SISO systems tend to have higher outage probabilities, especially at lower SNRs, due to their susceptibility to deep fades and interference. RIS can help reduce outage probability by dynamically optimizing the reflective properties of the surface, enhancing the received signal strength and mitigating fading.

### MIMO (Multiple-Input Multiple-Output) Systems

MIMO systems use multiple antennas at both the transmitter and receiver, allowing for the simultaneous transmission and reception of multiple data streams. This capability significantly increases channel capacity by enabling spatial multiplexing, which supports higher data rates without needing additional bandwidth.

#### Key Observations:

**BER vs. SNR:** MIMO systems achieve lower BER compared to SISO systems, thanks to spatial diversity, which mitigates the effects of fading. The integration of RIS in MIMO setups further enhances performance by optimizing signal paths and improving overall channel conditions.

**Channel Capacity:** MIMO systems inherently support higher channel capacity because they can transmit multiple data streams concurrently. The incorporation of RIS boosts channel capacity even further by optimizing the propagation environment, enhancing signal strength, and reducing the impact of fading.

**Outage Probability vs. SNR:** MIMO systems generally have lower outage probabilities than SISO systems due to their spatial diversity, which reduces the likelihood of all signal paths experiencing deep fades simultaneously. RIS can further reduce the outage probability by optimizing the signal reflections, ensuring a more robust and reliable communication link.

### SNR vs. TX/RX Position

The Signal-to-Noise Ratio (SNR) in Free Space Optical (FSO) communication systems is influenced by the relative positions of the transmitter (TX) and receiver (RX). Factors such as path loss, atmospheric attenuation, and Doppler shifts due to relative motion can affect SNR.

### Key Observations in SISO Systems:

**SNR Variation:** The SNR in SISO systems fluctuates significantly with changes in the distance between TX and RX. Closer proximity results in stronger received signals and higher SNR, while greater distances increase path loss and reduce SNR. Doppler shifts can also affect the received signal's frequency and phase, impacting SNR.

**Role of RIS:** RIS can help stabilize SNR by dynamically adjusting the reflective properties of the surface to optimize the signal path. This capability compensates for path loss and Doppler effects, thereby enhancing overall system performance in dynamic environments.

### Key Observations in MIMO Systems:

**SNR Stability:** MIMO systems benefit from spatial diversity, maintaining more stable SNR levels across various TX/RX distances and movement directions. The multiple antennas in MIMO systems provide robust signal reception, making the impact of TX/RX positions on SNR less pronounced compared to SISO systems.

**Role of RIS:** In MIMO configurations, RIS enhances SNR stability by optimizing signal reflections and improving overall channel conditions.

### Conclusion

The integration of RIS in both SISO and MIMO configurations significantly enhances the performance of FSO communication systems. RIS technology optimizes signal paths, reduces the impact of fading and noise, and improves metrics such as BER performance, channel capacity, outage probability, and SNR stability. These advancements make RIS-equipped FSO systems highly suitable for high-capacity communication links, especially in dynamic and challenging environments.

## CHAPTER 5

### CONCLUSION AND FUTURE SCOPE OF WORK

#### 5.1 Work Conclusion

In conclusion, this study has provided a comprehensive exploration into the transformative potential of integrating Reconfigurable Intelligent Surfaces (RIS) in Free-Space Optical (FSO) communication systems. Through rigorous simulations and detailed analyses, the performance improvements facilitated by RIS across various configurations and environmental conditions have been thoroughly examined. Key findings and insights from this investigation underscore the following crucial points:

1. **Enhanced Communication Performance with RIS:** The incorporation of RIS elements has demonstrated significant enhancements in key performance metrics such as Bit Error Rate (BER), channel capacity, outage probability, Signal-to-Noise Ratio (SNR) stability, and received signal quality. RIS technology effectively optimizes signal propagation paths, mitigates the effects of fading and noise, and thereby enhances the overall reliability and efficiency of FSO systems.
2. **Adaptability to Fading Conditions:** In environments characterized by lognormal fading, which introduces shadowing effects and signal variability, RIS mitigates these challenges by improving signal strength and reducing BER. Similarly, under Gamma-Gamma fading, which is known for severe signal fluctuations due to atmospheric turbulence, RIS stabilizes the received signal, reducing outage probabilities and ensuring consistent communication links.
3. **Synergistic Benefits in MIMO Configurations:** Multiple-Input Multiple-Output (MIMO) systems equipped with RIS demonstrate superior performance gains compared to Single-Input Single-Output (SISO) configurations. The spatial diversity provided by multiple antennas in MIMO systems, combined with the dynamic path optimization capabilities of RIS, results in clearer signal constellations, higher channel capacities, and lower error rates. This synergy positions RIS-enhanced MIMO systems as ideal solutions for high-capacity communication applications.
4. **Resilience in Dynamic Environments:** The adaptive nature of RIS enables FSO systems to maintain robust communication performance in dynamic and mobile environments. By dynamically adjusting the reflective properties according to changing transmitter (TX) and receiver (RX) positions, RIS effectively compensates for Doppler shifts and environmental changes, ensuring stable and reliable communication links.
5. **Visual Confirmation and Practical Insights:** The visualization of received signal constellations provides tangible evidence of the efficacy of RIS in improving signal clarity and reducing error rates. These visual representations not only validate the theoretical and



simulated performance gains but also offer practical insights into the operational benefits of deploying RIS technology in real-world FSO communication scenarios.

## 5.2 Future Scope of Work

Looking ahead, several promising avenues for future research and development emerge from the findings of this study:

1. **Advanced Optimization Algorithms:** Further advancements in optimization algorithms tailored for RIS configuration and control will enhance the adaptability and performance optimization capabilities of FSO systems in dynamic and unpredictable environments.
2. **Innovative Signal Processing Techniques:** Exploring innovative signal processing techniques, including machine learning algorithms and adaptive modulation schemes, holds potential for further optimizing the performance and efficiency of RIS-enabled FSO communication systems.
3. **Integration with Emerging Technologies:** Investigating the seamless integration of RIS with emerging technologies such as Artificial Intelligence (AI), Internet of Things (IoT), and edge computing can unlock new applications and functionalities, extending the utility of RIS-enhanced FSO networks.
4. **Validation through Field Trials:** Conducting comprehensive field trials and practical deployments will validate the theoretical findings and performance predictions, demonstrating the scalability, reliability, and real-world applicability of RIS technology in diverse operational settings.
5. **Standardization and Commercialization:** Standardizing RIS technologies and exploring their commercial viability will accelerate their adoption across telecommunications and other industries, paving the way for widespread deployment and utilization of advanced FSO communication networks.

In conclusion, the integration of Reconfigurable Intelligent Surfaces represents a transformative leap forward in the evolution of Free-Space Optical communication systems. By overcoming traditional limitations associated with fading, noise, and dynamic environmental conditions, RIS technology not only enhances communication performance but also opens up new frontiers for high-capacity, reliable, and adaptive wireless communications. Continued research efforts and technological innovations will play a pivotal role in harnessing the full potential of RIS, driving innovation and shaping the future landscape of wireless communication networks.

## CHAPTER 6

### HEALTH, SAFETY, RISK AND ENVIRONMENT ASPECTS

#### 6.1 Health, safety and risk involved in the project

The deployment and operation of Free-Space Optical (FSO) communication systems enhanced with Reconfigurable Intelligent Surfaces (RIS) involve several health, safety, and risk considerations. These aspects are critical to ensure the well-being of personnel, the security of the system, and the overall success of the project. This section outlines the primary health, safety, and risk factors associated with the project and presents strategies for mitigating these risks.

#### Health and Safety Considerations

##### 1. Laser Safety:

- **Eye Safety:** FSO systems utilize laser beams for data transmission, which can pose significant risks to eye safety. Direct exposure to laser beams can cause severe eye injuries, including permanent vision loss. It is essential to adhere to safety standards such as those set by the International Electrotechnical Commission (IEC) for laser products. Safety measures include:
  - Using laser beams that are within safe intensity limits.
  - Implementing automatic shut-off mechanisms if the beam is misaligned or if there is a risk of accidental exposure.
  - Providing proper training and personal protective equipment (PPE) to personnel handling or working near laser systems.
- **Skin Safety:** High-intensity laser beams can also cause skin burns. To prevent such injuries, the following measures should be taken:
  - Ensuring that laser beams are adequately shielded.
  - Marking hazardous areas with clear signage to warn personnel of potential risks.

##### 2. Electromagnetic Radiation:

- **Non-Ionizing Radiation:** Although FSO systems primarily use optical signals, electronic components within the system may emit non-ionizing electromagnetic radiation. Prolonged exposure to such radiation can have health implications. Compliance with guidelines provided by organizations such as the International Commission on Non-Ionizing Radiation Protection (ICNIRP) is necessary to protect workers and the public from potential harm.

##### 3. Physical Safety:

- **Installation and Maintenance:** The physical installation and maintenance of FSO systems can pose safety risks, including falls from heights, electrical hazards, and equipment-related injuries. Mitigation strategies include:
  - Ensuring that all equipment is securely mounted to prevent falling hazards.
  - Providing comprehensive training to personnel involved in installation and maintenance activities.
  - Implementing safety protocols, such as using harnesses and other fall protection equipment when working at heights.

## Risk Management

### 1. System Reliability:

- **Redundancy:** To mitigate the risk of communication outages, the system should incorporate redundant pathways and backup components. This ensures continuous communication even if one part of the system fails.
- **Environmental Factors:** FSO systems are susceptible to environmental conditions such as fog, rain, and dust, which can degrade signal quality. Conducting thorough environmental assessments and utilizing RIS to dynamically adjust signal paths can help mitigate these risks.

### 2. Security Risks:

- **Data Encryption:** Protecting the integrity and confidentiality of data transmitted over FSO links is crucial. Implementing strong encryption methods prevents unauthorized access and data breaches.
- **Physical Security:** Ensuring the physical security of FSO infrastructure is essential to prevent vandalism, theft, or tampering. This can include secure housing for equipment, surveillance systems, and access control measures.

## Best Practices for Health, Safety, and Risk Mitigation

### 1. Regulatory Compliance:

- Adherence to national and international regulations concerning health, safety, and environmental protection is essential. This includes guidelines from organizations such as the Occupational Safety and Health Administration (OSHA) and environmental agencies.

### 2. Risk Assessment and Management:

- Conducting comprehensive risk assessments during the planning and deployment phases of the project helps identify potential hazards and implement appropriate mitigation strategies. Regular audits and reviews should be performed to ensure ongoing compliance and safety.

### 3. Training and Awareness:

- Providing continuous training and raising awareness about health, safety, and risk management among all personnel involved in the project ensures that everyone is informed and prepared to handle potential hazards effectively.

### 4. Emergency Preparedness:

- Developing and maintaining emergency response plans to address potential incidents, such as laser exposure or equipment failures, is crucial. Regular drills and simulations help prepare personnel to respond effectively in emergency situations.

## 6.2 Environmental Aspects

The implementation of Free-Space Optical (FSO) communication systems enhanced with Reconfigurable Intelligent Surfaces (RIS) has several environmental implications. As these technologies become more prevalent, understanding their environmental impacts and adopting sustainable practices are crucial. This section outlines the primary environmental considerations associated with the project and presents strategies for minimizing adverse effects.

## Environmental Considerations

### 1. Energy Consumption:

- **Power Requirements:** FSO systems and RIS require electrical power to operate. The energy consumption of these systems, especially when deployed on a large scale, can contribute to the overall energy demand.
- **Energy Efficiency:** Ensuring that FSO and RIS components are energy-efficient is essential to minimize their environmental footprint. Utilizing energy-efficient power supplies, optimizing system designs for lower power consumption, and implementing power-saving modes can help reduce energy usage.

### 2. Material Use and Waste Management:

- **Electronic Waste:** The production, deployment, and eventual decommissioning of FSO and RIS equipment generate electronic waste (e-waste). Proper management and disposal of e-waste are critical to prevent environmental contamination.
- **Sustainable Materials:** Using sustainable and recyclable materials in the manufacturing of FSO and RIS components can reduce environmental impact. Additionally, promoting the recycling and responsible disposal of outdated equipment can help manage e-waste effectively.

### 3. Impact on Natural Habitats:

- **Installation Sites:** The placement of FSO systems, especially in outdoor environments, may impact natural habitats. Careful site selection and installation practices can minimize disturbances to local wildlife and ecosystems.
- **Infrastructure Development:** Building supporting infrastructure, such as towers or mounts for FSO systems, can disrupt natural landscapes. Mitigating these effects through environmentally sensitive design and construction practices is crucial.

### 4. Emissions and Pollution:

- **Manufacturing Emissions:** The production of electronic components for FSO and RIS systems can contribute to greenhouse gas emissions and other pollutants. Implementing cleaner production technologies and adhering to environmental regulations can mitigate these impacts.
- **Operational Emissions:** Although FSO systems themselves do not emit pollutants during operation, the energy sources powering them may. Using renewable energy sources to power FSO infrastructure can reduce the carbon footprint.

## Mitigation Strategies

### 1. Energy Efficiency Initiatives:

- **Optimized Designs:** Designing FSO and RIS systems with energy efficiency in mind, such as using low-power components and optimizing signal processing algorithms, can significantly reduce energy consumption.
- **Renewable Energy Integration:** Powering FSO systems with renewable energy sources, such as solar or wind power, can minimize environmental impact and promote sustainability.

### 2. Sustainable Manufacturing and Recycling:

- **Green Manufacturing:** Adopting environmentally friendly manufacturing processes and materials for FSO and RIS components can reduce emissions and resource consumption.
- **Recycling Programs:** Establishing recycling programs for decommissioned equipment ensures that valuable materials are recovered and hazardous substances are safely disposed of.

### 3. Environmental Impact Assessments:

- **Site Assessments:** Conducting thorough environmental impact assessments (EIAs) before installation helps identify potential environmental risks and develop strategies to mitigate them.
- **Ecosystem Protection:** Implementing measures to protect local ecosystems during installation and maintenance activities, such as avoiding sensitive habitats and using non-invasive construction techniques, is essential.

### 4. Compliance with Environmental Regulations:

- **Regulatory Adherence:** Ensuring that all aspects of FSO and RIS deployment comply with local, national, and international environmental regulations and standards helps minimize adverse impacts and promotes sustainability.
- **Environmental Monitoring:** Continuously monitoring the environmental impact of FSO systems during operation allows for timely identification and mitigation of any negative effects.

## **Benefits of FSO and RIS Technologies**

Despite the environmental considerations, FSO and RIS technologies offer several benefits that can contribute to environmental sustainability:

### **1. Reduced Infrastructure Footprint:**

- FSO systems do not require extensive cabling or physical infrastructure, which reduces the environmental impact associated with traditional wired communication networks.

### **2. Low Operational Emissions:**

- Once deployed, FSO systems generate minimal emissions compared to other communication technologies, contributing to lower overall environmental impact.

### **3. Enhanced Resource Efficiency:**

- The use of RIS can optimize signal propagation and improve system efficiency, reducing the need for additional power and infrastructure.

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3. [Ghassemlooy, Z. Popoola, W. Rajbhandari, S - Optical Wireless Communications System and Channel Modelling with MATLAB®-CRC Press LLC \(2019\).pdf](#)

## PROJECT DETAILS

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Project Duration	4 months	Date of reporting	January 23 <sup>rd</sup> , 2024
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