



# **CIFS data migration with ACLs from a source storage box to ONTAP**

NetApp Solutions

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# CIFS data migration with ACLs from a source storage box to ONTAP

[Previous: Data migration from 7-Mode to ONTAP.](#)

This section covers the step-by-step procedure for migrating CIFS data with security information from a source to a target ONTAP system.

1. Verify that the target ONTAP system is healthy.

```
C1_sti96-vsim-ucs540m_cluster::> cluster show
Node                               Health  Eligibility
-----
sti96-vsim-ucs540m                true   true
sti96-vsim-ucs540n                true   true
2 entries were displayed.
C1_sti96-vsim-ucs540m_cluster::> node show
Node      Health Eligibility Uptime           Model      Owner      Location
-----
sti96-vsim-ucs540m
           true  true           15 days 21:17 SIMBOX      ahammed    sti
sti96-vsim-ucs540n
           true  true           15 days 21:17 SIMBOX      ahammed    sti
2 entries were displayed.
cluster::> storage failover show
Node      Partner      Takeover
-----
sti96-vsim-ucs540m
           sti96-vsim- true   Connected to sti96-vsim-ucs540n
           ucs540n
sti96-vsim-ucs540n
           sti96-vsim- true   Connected to sti96-vsim-ucs540m
           ucs540m
2 entries were displayed.
C1_sti96-vsim-ucs540m_cluster::>
```

2. Verify that at least one nonroot aggregate exists on the target system. The aggregate is normal.

```

cluster::*> storage aggregate show
Aggregate      Size Available Used% State  #Vols  Nodes      RAID
Status
-----
-----
aggr0_sti96_vsim_ucs540o
      7.58GB    373.3MB   95% online      1 sti96-vsim-
raid_dp,
                                ucs540o
normal
aggr0_sti96_vsim_ucs540p
      7.58GB    373.3MB   95% online      1 sti96-vsim-
raid_dp,
                                ucs540p
normal
aggr_001    103.7GB    93.63GB   10% online      1 sti96-vsim-
raid_dp,
                                ucs540p
normal
sti96_vsim_ucs540o_aggr1
      23.93GB    23.83GB    0% online      1 sti96-vsim-
raid_dp,
                                ucs540o
normal
sti96_vsim_ucs540p_aggr1
      23.93GB    23.93GB    0% online      0 sti96-vsim-
raid_dp,
                                ucs540p
normal
5 entries were displayed.

```



If there is no data aggregate, create a new one using the `storage aggr create` command.

3. Create an SVM on the target cluster system.

```
cluster::*> vservers create -vservers vs1 -rootvolume root_vs1 -aggregate
sti96_vsim_ucs540o_aggr1 -rootvolume-security-style mixed
```

Verify that the SVM was successfully created.

```
C2_sti96_vsim_ucs540o_cluster::*> vservers show -vservers vs1
Vserver: vs1
Vserver Type: data
Vserver Subtype: default
Vserver UUID: f8bc54be-d91b-11e9-b99c-
005056a7e57e
Root Volume: root_vs1
Aggregate: sti96_vsim_ucs540o_aggr1
NIS Domain: NSQA-RTP-NIS1
Root Volume Security Style: mixed
LDAP Client: esisconfig
Default Volume Language Code: C.UTF-8
Snapshot Policy: default
Data Services: data-nfs, data-cifs,
data-flexcache, data-iscsi
Comment: vs1
Quota Policy: default
List of Aggregates Assigned: -
Limit on Maximum Number of Volumes allowed: unlimited
Vserver Admin State: running
Vserver Operational State: running
Vserver Operational State Stopped Reason: -
Allowed Protocols: nfs, cifs, fcp, iscsi, ndmp
Disallowed Protocols: -
Is Vserver with Infinite Volume: false
QoS Policy Group: -
Caching Policy Name: -
Config Lock: false
Volume Delete Retention Period: 0
IPspace Name: Default
Foreground Process: -
Is Msid Preserved for DR: false
Force start required to start Destination in multiple IDP fan-out case:
false
Logical Space Reporting: false
Logical Space Enforcement: false
```

4. Create a new read-write data volume on the destination SVM. Verify that the security style, language settings, and capacity requirements match the source volume.

```
CLUSTER CLUSTER::> vol create -vserver vs1 -volume dest_vol -aggregate
aggr_001 -size 150g type RW -state online -security-style ntfs
```

5. Create a data LIF to serve SMB client requests.

```
CLUSTER::> network interface create -vserver vs1 -lif sti96-vsim-
ucs540o_data1 -address 10.237.165.87 -netmask 255.255.240.0 -role data
-data-protocol nfs,cifs -home-node sti96-vsim-ucs540o -home-port e0d
```

Verify that the LIF was successfully created.

```
cluster::*> network interface show -vserver vs1
```

Current Is	Logical	Status	Network	Current
Vserver	Interface	Admin/Oper	Address/Mask	Node
Home				Port
vs1	sti96-vsim-ucs540o_data1	up/up	10.237.165.87/20	sti96-vsim-ucs540o e0d
true				

6. If required, create a static route with the SVM.

```
Network route create -vserver dest -destination 0.0.0.0/0 -gateway
10.237.160.1
```

Verify that the route was successfully created.

```
cluster::*> network route show -vserver vs1
```

Vserver	Destination	Gateway	Metric
vs1	0.0.0.0/0	10.237.160.1	20
	::/0	fd20:8b1e:b255:9155::1	20

2 entries were displayed.

7. Mount the target data volume in the SVM namespace.

```
CLUSTER::> volume mount -vserver vs1 -volume dest_vol -junction-path
/dest_vol -active true
```

Verify that the volume is successfully mounted.

```
cluster::*> volume show -vserver vs1 -fields junction-path
vserver volume    junction-path
-----
vs1      dest_vol /dest_vol
vs1      root_vs1 /
2 entries were displayed.
Note: You can also specify the volume mount options (junction path) with
the volume create command.
```

#### 8. Start the CIFS service on the target SVM.

```
cluster::*> vserver cifs start -vserver vs1
Warning: The admin status of the CIFS server for Vserver "vs1" is
already "up".
```

Verify that the service is started and running.

```
cluster::*>
Verify the service is started and running
C2_sti96-vs1m-ucs540o_cluster::*> cifs show
```

Vserver	Server Name	Status Admin	Domain/Workgroup Name	Authentication Style
vs1	D60AB15C2AFC4D6	up	CTL	domain

#### 9. Verify that the default export policy is applied to the target SVM.

```
CLUSTER::> vserver export-policy show -vserver dest
```

Vserver	Policy Name
dest	default

If required, create a new custom export policy for the target SVM.

```
CLUSTER::> vsserver export-policy create -vsserver vs1 -policyname  
xcpexport
```

10. Modify the export policy rules to allow access to CIFS clients.

```
CLUSTER::> export-policy rule modify -vsserver dest -ruleindex 1  
-policyname xcpexportpolicy -clientmatch 0.0.0.0/0 -rorule any -rwrule  
any -anon 0
```

Verify that the policy rules are modified.



```

cluster::*> export-policy rule show -instance
                Vserver: vs1
                Policy Name: default
                Rule Index: 1
                Access Protocol: any
List of Client Match Hostnames, IP Addresses, Netgroups, or Domains:
0.0.0.0/0
                RO Access Rule: any
                RW Access Rule: any
User ID To Which Anonymous Users Are Mapped: 65534
                Superuser Security Types: any
                Honor SetUID Bits in SETATTR: true
                Allow Creation of Devices: true
                NTFS Unix Security Options: fail
Vserver NTFS Unix Security Options: use_export_policy
                Change Ownership Mode: restricted
Vserver Change Ownership Mode: use_export_policy
                Policy ID: 12884901889
                Vserver: vs1
                Policy Name: default
                Rule Index: 2
                Access Protocol: any
List of Client Match Hostnames, IP Addresses, Netgroups, or Domains:
0:0:0:0:0:0:0:0/0
                RO Access Rule: any
                RW Access Rule: any
User ID To Which Anonymous Users Are Mapped: 65534
                Superuser Security Types: none
                Honor SetUID Bits in SETATTR: true
                Allow Creation of Devices: true
                NTFS Unix Security Options: fail
Vserver NTFS Unix Security Options: use_export_policy
                Change Ownership Mode: restricted
Vserver Change Ownership Mode: use_export_policy
                Policy ID: 12884901889
2 entries were displayed.

```

11. Verify that the client is allowed access to the volume.

```
cluster::*> export-policy check-access -vserver vs1 -volume dest_vol
-client-ip 10.234.17.81 -authentication-method none -protocol cifs
-access-type read-write
```

Path	Policy	Policy Owner	Policy Owner Type	Rule Index
Access				
-----	-----	-----	-----	-----
/	default	root_vs1	volume	1
read				
/dest_vol	default	dest_vol	volume	1
read-write				

2 entries were displayed.

12. Connect to the Windows client system where XCP is installed. Browse to the XCP install path.

```
C:\WRSHDNT>dir c:\netapp\xcp
dir c:\netapp\xcp
Volume in drive C has no label.
Volume Serial Number is 5C04-C0C7
Directory of c:\netapp\xcp
09/18/2019  09:30 AM    <DIR>          .
09/18/2019  09:30 AM    <DIR>          ..
06/25/2019  06:27 AM                304 license
09/18/2019  09:30 AM    <DIR>          Logs
09/29/2019  08:45 PM      12,143,105 xcp.exe
                2 File(s)      12,143,409 bytes
                3 Dir(s)  29,219,549,184 bytes free
```

13. Query the source node SMB exports by running the `xcp show` command on the XCP Windows client host system.

```

C:\WRSHDNT>c:\netapp\xcp\xcp show \\10.237.165.71
c:\netapp\xcp\xcp show \\10.237.165.71
XCP SMB 1.6; (c) 2020 NetApp, Inc.; Licensed to XXX [NetApp Inc] until
Mon Dec 31 00:00:00 2029
  Shares   Errors   Server
        6         0      10.237.165.71
== SMB Shares ==
  Space   Space   Current
Free    Used    Connections Share Path          Folder Path
9.50GiB 4.57MiB 1      \\10.237.165.71\source_share C:\source_vol
94.3MiB 716KiB 0      \\10.237.165.71\ROOTSHARE   C:\
0        0      N/A    \\10.237.165.71\ipc$       N/A
94.3MiB 716KiB 0      \\10.237.165.71\c$         C:\
== Attributes of SMB Shares ==
  Share                      Types
Remark
  source_share              DISKTREE
  test share                DISKTREE
  test_sh                   DISKTREE
  ROOTSHARE                 DISKTREE          \"Share mapped
to top of Vserver global namespace, created bydeux_init \"
  ipc$                      PRINTQ,SPECIAL,IPC,DEVICE
  c$                        SPECIAL
== Permissions of SMB Shares ==
  Share                      Entity
Type
  source_share              Everyone
Allow/Full Control
  ROOTSHARE                Everyone
Allow/Full Control
  ipc$                      Everyone
Allow/Full Control
  c$                        Administrators
Allow/Full Control/

```

14. Run the help command for copy.

```

C:\WRSHDNT>c:\netapp\xcp\xcp help copy
c:\netapp\xcp\xcp help copy
XCP SMB 1.6; (c) 2020 NetApp, Inc.; Licensed to XXX [NetApp Inc] until
Mon Dec 31 00:00:00 2029
usage: xcp copy [-h] [-v] [-parallel <n>] [-match <filter>] [-preserve-
atime]
                [-acl] [-fallback-user FALLBACK_USER]
                [-fallback-group FALLBACK_GROUP] [-root]
                source target
positional arguments:
  source
  target
optional arguments:
  -h, --help            show this help message and exit
  -v                    increase debug verbosity
  -parallel <n>         number of concurrent processes (default: <cpu-
count>)
  -match <filter>       only process files and directories that match
the
                        filter (see `xcp help -match` for details)
  -preserve-atime       restore last accessed date on source
  -acl                  copy security information
  -fallback-user FALLBACK_USER
                        the name of the user on the target machine to
receive
                        the permissions of local (non-domain) source
machine
                        users (eg. domain\administrator)
  -fallback-group FALLBACK_GROUP
                        the name of the group on the target machine to
receive
                        the permissions of local (non-domain) source
machine
                        groups (eg. domain\administrators)
  -root                copy acl for root directorytxt

```

15. On the target ONTAP system, get the list of local user and local group names that you need to provide as values for the fallback-user and fallback-group arguments path.

```

cluster::*> local-user show
(vserver cifs users-and-groups local-user show)
Vserver      User Name      Full Name
Description
-----
vs1          D60AB15C2AFC4D6\Administrator
                                           Built-in
administrator account
C2_sti96-vsim-ucs540o_cluster::*> local-group show
(vserver cifs users-and-groups local-group show)
Vserver      Group Name      Description
-----
vs1          BUILTIN\Administrators      Built-in Administrators
group
vs1          BUILTIN\Backup Operators      Backup Operators group
vs1          BUILTIN\Guests      Built-in Guests Group
vs1          BUILTIN\Power Users      Restricted
administrative privileges
vs1          BUILTIN\Users      All users
5 entries were displayed

```

16. To migrate the CIFS data with ACLs from the source to target, run the `xcp copy` command with the `-acl` and `-fallback-user/group` options.

For the `fallback-user/group` options, specify any user or group that can be found in Active Directory or local user/group to target system.

```

C:\WRSHDNT>c:\netapp\xcp\xcp copy -acl -fallback-user
D60AB15C2AFC4D6\Administrator -fallback-group BUILTIN\Users
\\10.237.165.79\source_share \\10.237.165.89\dest_share
c:\netapp\xcp\xcp copy -acl -fallback-user D60AB15C2AFC4D6\Administrator
-fallback-group BUILTIN\Users \\10.237.165.79\source_share
\\10.237.165.89\dest_share
XCP SMB 1.6; (c) 2020 NetApp, Inc.; Licensed to XXX [NetApp Inc] until
Mon Dec 31 00:00:00 2029
753 scanned, 0 errors, 0 skipped, 0 copied, 0 (0/s), 8s
753 scanned, 0 errors, 0 skipped, 0 copied, 0 (0/s), 13s
753 scanned, 0 errors, 0 skipped, 0 copied, 0 (0/s), 18s
ERROR failed to obtain fallback security principal "BUILTIN\Users".
Please check if the principal with the name "BUILTIN\Users" exists on
"D60AB15C2AFC4D6".
ERROR failed to obtain fallback security principal
"D60AB15C2AFC4D6\Administrator". Please check if the principal with the
name "D60AB15C2AFC4D6\Administrator" exists on "D60AB15C2AFC4D6".
ERROR failed to obtain fallback security principal "BUILTIN\Users".
Please check if the principal with the name "BUILTIN\Users" exists on
"D60AB15C2AFC4D6".
ERROR failed to obtain fallback security principal "BUILTIN\Users".
Please check if the principal with the name "BUILTIN\Users" exists on
"D60AB15C2AFC4D6".
ERROR failed to obtain fallback security principal "BUILTIN\Users".
Please check if the principal with the name "BUILTIN\Users" exists on
"D60AB15C2AFC4D6".
753 scanned, 0 errors, 0 skipped, 0 copied, 0 (0/s), 23s
ERROR failed to obtain fallback security principal
"D60AB15C2AFC4D6\Administrator". Please check if the principal with the
name "D60AB15C2AFC4D6\Administrator" exists on "D60AB15C2AFC4D6".
ERROR failed to obtain fallback security principal
"D60AB15C2AFC4D6\Administrator". Please check if the principal with the
name "D60AB15C2AFC4D6\Administrator" exists on "D60AB15C2AFC4D6".
ERROR failed to obtain fallback security principal
"D60AB15C2AFC4D6\Administrator". Please check if the principal with the
name "D60AB15C2AFC4D6\Administrator" exists on "D60AB15C2AFC4D6".
753 scanned, 0 errors, 0 skipped, 0 copied, 0 (0/s), 28s
753 scanned, 0 errors, 0 skipped, 249 copied, 24.0KiB (4.82KiB/s), 33s
753 scanned, 0 errors, 0 skipped, 744 copied, 54.4KiB (6.07KiB/s), 38s
753 scanned, 0 errors, 0 skipped, 746 copied, 54.5KiB (20/s), 43s
753 scanned, 0 errors, 0 skipped, 752 copied, 54.7KiB (1.23KiB/s), 44s
C:\WRSHDNT>

```

17. If xcp copy results in the error message ERROR failed to obtain fallback security principal, add the destination box in the hosts file (C:\Windows\System32\drivers\etc\hosts).

Use the following format for the NetApp storage destination box entry.

```
<data vservers data interface ip> 1 or more white spaces <cifs server
name>
```

```
cluster::*> cifs show
      Server      Status      Domain/Workgroup Authentication
Vserver  Name      Admin      Name      Style
-----
vs1      D60AB15C2AFC4D6 up      CTL      domain
C2_sti96-vsim-ucs540o_cluster::*> network interface show
      Logical      Status      Network      Current
Current Is
Cluster
      sti96-vsim-ucs540p_clus1
      up/up      192.168.148.136/24 sti96-vsim-ucs540p
      e0a
true
      sti96-vsim-ucs540p_clus2
      up/up      192.168.148.137/24 sti96-vsim-ucs540p
      e0b
true
vs1
      sti96-vsim-ucs540o_data1
      up/up      10.237.165.87/20      sti96-vsim-ucs540o
      e0d
true
      sti96-vsim-ucs540o_data1_inet6
      up/up      fd20:8b1e:b255:9155::583/64
      sti96-vsim-ucs540o
      e0d
true
      sti96-vsim-ucs540o_data2
      up/up      10.237.165.88/20      sti96-vsim-ucs540o
      e0e
true
10.237.165.87 D60AB15C2AFC4D6 -> destination box entry to be added in
hosts file.
```

18. If you still get the error message ERROR failed to obtain fallback security principal after adding the destination box entry in the hosts files, then the user/group does not exist in the target system.

```

C:\WRSHDNT>c:\netapp\xcp\xcp copy -acl -fallback-user
D60AB15C2AFC4D6\unknown_user -fallback-group BUILTIN\Users
\\10.237.165.79\source_share \\10.237.165.89\dest_share
c:\netapp\xcp\xcp copy -acl -fallback-user D60AB15C2AFC4D6\unknown_user
-fallback-group BUILTIN\Users \\10.237.165.79\source_share
\\10.237.165.89\dest_share
XCP SMB 1.6; (c) 2020 NetApp, Inc.; Licensed to XXX [NetApp Inc] until
Mon Dec 31 00:00:00 2029
ERROR failed to obtain fallback security principal
"D60AB15C2AFC4D6\unknown_user". Please check if the principal with the
name "D60AB15C2AFC4D6\unknown_user" exists on "D60AB15C2AFC4D6".
ERROR failed to obtain fallback security principal
"D60AB15C2AFC4D6\unknown_user". Please check if the principal with the
name "D60AB15C2AFC4D6\unknown_user" exists on "D60AB15C2AFC4D6".
ERROR failed to obtain fallback security principal
"D60AB15C2AFC4D6\unknown_user". Please check if the principal with the
name "D60AB15C2AFC4D6\unknown_user" exists on "D60AB15C2AFC4D6".
ERROR failed to obtain fallback security principal
"D60AB15C2AFC4D6\unknown_user". Please check if the principal with the
name "D60AB15C2AFC4D6\unknown_user" exists on "D60AB15C2AFC4D6".
753 scanned, 0 errors, 0 skipped, 0 copied, 0 (0/s), 5s
753 scanned, 0 errors, 0 skipped, 0 copied, 0 (0/s), 10s
753 scanned, 0 errors, 0 skipped, 0 copied, 0 (0/s), 15s
753 scanned, 0 errors, 0 skipped, 284 copied, 27.6KiB (5.54KiB/s), 20s
753 scanned, 0 errors, 0 skipped, 752 copied, 54.7KiB (2.44KiB/s), 22s
C:\WRSHDNT>

```

19. Use `xcp copy` to migrate CIFS data with ACLs (with or without the root folder).

Without the root folder, run the following commands:



```

C:\WRSHDNT>c:\netapp\xcp\xcp copy -acl -fallback-user
D60AB15C2AFC4D6\Administrator -fallback-group BUILTIN\Users
\\10.237.165.79\source_share \\10.237.165.89\dest_share
c:\netapp\xcp\xcp copy -acl -fallback-user
D60AB15C2AFC4D6\Administrator -fallback-group BUILTIN\Users
\\10.237.165.79\source_share \\10.237.165.89\dest_share
XCP SMB 1.6; (c) 2020 NetApp, Inc.; Licensed to XXX [NetApp Inc] until
Mon Dec 31 00:00:00 2029
753 scanned, 0 errors, 0 skipped, 0 copied, 0 (0/s), 5s
753 scanned, 0 errors, 0 skipped, 0 copied, 0 (0/s), 10s
753 scanned, 0 errors, 0 skipped, 0 copied, 0 (0/s), 15s
753 scanned, 0 errors, 0 skipped, 210 copied, 20.4KiB (4.08KiB/s), 20s
753 scanned, 0 errors, 0 skipped, 752 copied, 54.7KiB (2.38KiB/s), 22s
C:\WRSHDNT>

```

With the root folder, run the following commands:

```

C:\WRSHDNT>c:\netapp\xcp\xcp copy -acl -root -fallback-user
D60AB15C2AFC4D6\Administrator -fallback-group BUILTIN\Users
\\10.237.165.79\source_share \\10.237.165.89\dest_share
c:\netapp\xcp\xcp copy -acl -root -fallback-user
D60AB15C2AFC4D6\Administrator -fallback-group BUILTIN\Users
\\10.237.165.79\source_share \\10.237.165.89\dest_share
XCP SMB 1.6; (c) 2020 NetApp, Inc.; Licensed to XXX [NetApp Inc] until
Mon Dec 31 00:00:00 2029
753 scanned, 0 errors, 0 skipped, 0 copied, 0 (0/s), 5s
753 scanned, 0 errors, 0 skipped, 0 copied, 0 (0/s), 10s
753 scanned, 0 errors, 0 skipped, 0 copied, 0 (0/s), 15s
753 scanned, 0 errors, 0 skipped, 243 copied, 23.6KiB (4.73KiB/s), 20s
753 scanned, 0 errors, 0 skipped, 752 copied, 54.7KiB (6.21KiB/s), 25s
753 scanned, 0 errors, 0 skipped, 752 copied, 54.7KiB (0/s), 30s
753 scanned, 0 errors, 0 skipped, 752 copied, 54.7KiB (0/s), 35s
753 scanned, 0 errors, 0 skipped, 752 copied, 54.7KiB (0/s), 40s
753 scanned, 0 errors, 0 skipped, 752 copied, 54.7KiB (0/s), 45s
753 scanned, 0 errors, 0 skipped, 752 copied, 54.7KiB (0/s), 50s
753 scanned, 0 errors, 0 skipped, 752 copied, 54.7KiB (0/s), 55s
753 scanned, 0 errors, 0 skipped, 752 copied, 54.7KiB (0/s), 1m0s
753 scanned, 0 errors, 0 skipped, 752 copied, 54.7KiB (0/s), 1m5s
753 scanned, 0 errors, 0 skipped, 752 copied, 54.7KiB (817/s), 1m8s
C:\WRSHDNT>

```

Next: [Best practice guidelines and recommendations.](#)

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