Programming Language (9) Garbage Collection

田浦

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Topics on Mark&Sweep GCs

Free Area Management Improving mark&sweep GCs Separated Mark Bits Lazy Sweep

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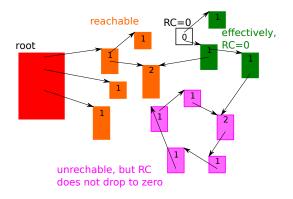
Conservative GC

Evaluating GCs

- 1. preciseness:
 - ▶ garbage that can be collected
- 2. memory allocation cost:
 - ▶ the work (including GC) required to allocate memory
- 3. pause time:
 - ▶ the (worst case) time the mutator has to (temporarily) suspend for GC to function
- 4. mutator overhead:
 - ▶ the overhead imposed on the mutator for GC to function

Criteria #1: preciseness

- ► reference counting cannot reclaim cyclic garbage
- ▶ reference count < traversing GC (traversing GC is better)



Criteria #2: memory allocation cost

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 - ▶ the cost is determined by the ratio "reachable objects" / "unreachable (reclaimed) objects" (later)
 - totally depending on apps and memory size, it can be anywhere from the minimum to infinity
 - ▶ an advanced technique: generational GC

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 - ▶ totally depending on apps and memory size, it can be anywhere from the minimum to infinity
 - ▶ an advanced technique: generational GC
- reference counting:
 - the cost of reclaiming an object once its RC drop to zero is small and constant
 - ▶ it is constant even if memory is scarce (good)

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 - why so? troubled if the mutator runs (= changes the graph of objects) during traversing
 - ▶ a solution: incremental GC
 - ▶ generational GCs mitigate it too

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- reference counting:
 - when an object's RC drops to zero (as a result of mutator's action), it can be reclaimed immediately
 - reclaim garbage as they arise

Criteria #4: mutator overhead

- ▶ traversing < reference counting (traversing GC is better)
- reference counting has a large overhead for updating RCs

```
object * p, * q;
p = q;
```

will do:

Moreover,

- ▶ what about multithreaded programs?
- ▶ what if the counter overflows (how to check it)?
- ▶ techniques: deferred reference counting, sticky reference counting, 1 bit reference counting
- remark: some traversing GCs (e.g., generational and incremental) add overhead to pointer updates too

Summary

	traversing	reference counting
preciseness	+	_
allocation cost	? (*)	+
pause time	– (†)	+
mutator overhead	+ (‡)	_

- (*) depends on size of reachable graph and memory; generational garbage collector helps
- (†) incremental garbage collector helps
- (‡) both generational and incremental garbage collectors impose some mutator overheads

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mark&sweep GC vs. copying GC

they differ in what to do on reachable objects

► mark&sweep GC: mark them as "visited"

mark&sweep GC vs. copying GC

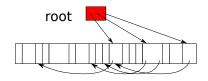
they differ in what to do on reachable objects

- ► mark&sweep GC: mark them as "visited"
- ▶ copying GC: copy them into a distinct (contiguous) region

1. mark-phase:

- traverses objects from the root, marking objects it encounters
- ▶ maintains mark stack (not shown in the figure), marked objects whose children may have not been marked (= light gray objects)

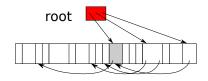
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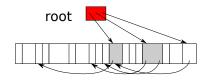
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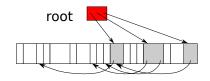
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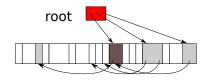
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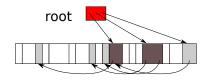
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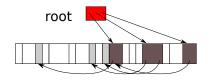
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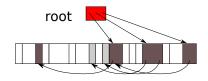
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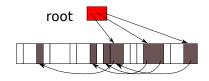
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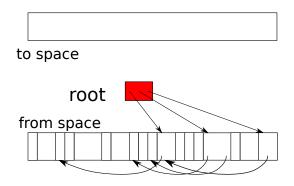
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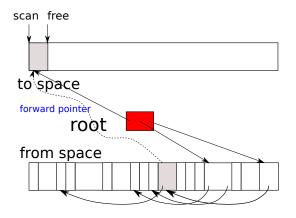
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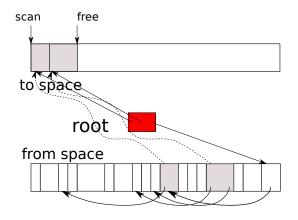
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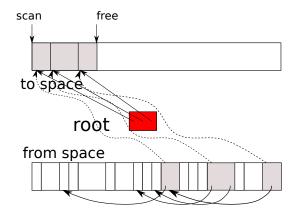
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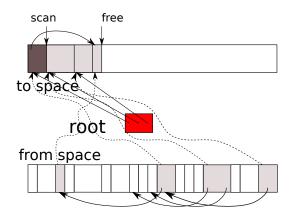
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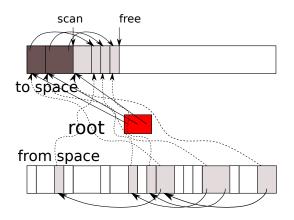
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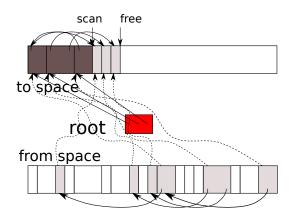
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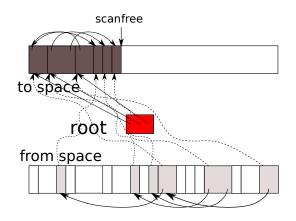
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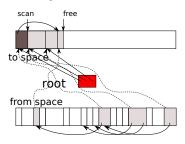


Copying GC: algorithm

```
void *free, *scan;
   copy_gc() {
      free = scan = to_space;
      redirect_ptrs(root);
      while (scan < free) {
        redirect_ptrs(scan);
        scan += the size of object scan points to;
   redirect_ptrs(void * o) {
10
11
      for (p \in pointers in o) {
        if (p has been copied) {
12
          p = p's forward pointer;
1.3
        } else {
14
          copy p to free;
          p = free;
16
          p's forward pointer = free;
17
          free += the size of object p points to;
18
19
21
```

invariant

- ▶ $p < \text{scan} \Rightarrow p$ has been reached; so has its direct children
- ▶ p < free ⇒ p has been reached; but its children may not

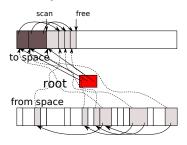


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Mark&sweep vs. copying GC

- copying GC pros:
 - live objects occupy a contiguous region after a GC
 - ightharpoonup ightharpoonup the free region becomes contiguous too
 - ightharpoonup the overhead for memory allocation is small (no need to "search" the free region)
- copying GC cons:
 - copy is expensive, obviously
 - the free region must be reserved to accommodate objects copied (low memory utilization)
 - ▶ must ensure "size of objects that may be copied" ≤ "size of the region to copy them into"
 - ightharpoonup "from space" = "to space"
 - pointers must be "precisely" distinguished from non-pointers (ambiguous pointers are not allowed)
 - pointers are updated to the destinations of copies
 - a disaster occurs if you update non-pointers

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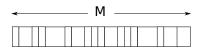
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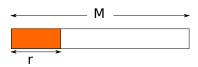
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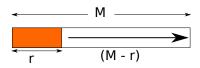
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- assume:
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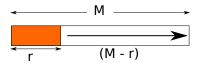


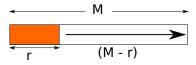
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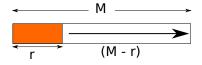


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- ▶ a key observation

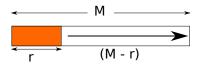
the time (cost) of a single GC is roughly proportional to the amount of reached objects (i.e., $\propto r$)





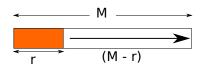


: the cost of allocating a byte

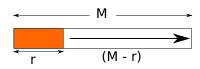


:. the cost of allocating a byte $= \alpha + \frac{\text{the amount of time spent on a GC}}{\text{the amount of space reclaimed by a GC}}$

 \blacktriangleright α : a constant cost needed anyway, even if you don't need to reclaim memory at all



- the cost of allocating a byte $= \alpha + \frac{\text{the amount of time spent on a GC}}{\text{the amount of space reclaimed by a GC}}$ $= \alpha + \beta \frac{\text{the amount of space visited by a GC}}{\text{the amount of space reclaimed by a GC}}$
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- $ightharpoonup \alpha$: a constant cost needed anyway, even if you don't need to reclaim memory at all
- β: an average cost to examine a single byte
 copy it (in a copying GC)
 - see if it is a pointer to an unvisited object

Note on copying GC vs mark-sweep GC

▶ the key observation the time (cost) of a single GC is roughly proportional to the amount of reached objects (i.e., $\propto r$)

ignores the cost of so-called "sweep phase"

▶ a more accurate quantification will be

the time (cost) of a single
$$GC \approx \beta r + \gamma (M - r)$$
,

which adds a constant (γ) to an allocation cost per byte, which any memory allocator will incur anyway

▶ i.e., the cost will be

$$\alpha + \frac{\beta r + \gamma (M - r)}{M - r}$$
$$= \alpha + \gamma + \beta \frac{r}{M - r}$$

▶ important formula:

allocation cost per byte
$$\propto \text{const.} + \frac{r}{M-r}$$

- ightharpoonup r/(M-r) is often called *mark-cons ratio*. its origin:
 - ▶ mark : the amount of work to *mark* reachable objects
 - cons: the synonym of memory allocation in the ancientLisp language = (cons x y)

$${\rm cost\ per\ byte} \propto {\rm const.} + \frac{r}{M-r}$$

- ightharpoonup r (primarily) depends only on app (not dependent of GCs)
 - ightharpoonup remark: r may fluctuate depending on "when" GCs occur
- ightharpoonup M is an adjustable parameter (up to GC's choice)
- ightharpoonup M is large \rightarrow the cost is small
- ightharpoonup you can reduce the cost by making M (memory usage) larger
- ▶ may sound obvious, but remember that what is important is the cost *per allocation (byte)*, not the frequency of GCs

How large do we make M (memory usage)?

- ightharpoonup alright, the larger we make M, the smaller the cost becomes
 - \rightarrow why don't we make it arbitrarily large (up to physical memory)?
- ightharpoonup we normally set M "modestly", like:

$$M \propto r$$

e.g., choose a constant k > 1 and set:

$$M = kr$$

ightharpoonup a GC measures the amount of reachable objects to get r and set M according to the above formula

How large do we make M (memory usage)?

- ▶ in this setting,
 - ► cost

mark-cons ratio =
$$\frac{r}{kr-r} = \frac{1}{k-1} = \text{const}$$

memory usage

 \propto the size of reachable objects at a point during execution

both are "reasonable"

- ightharpoonup most GCs allow you to set k (or M directly)
- ▶ normally, $k = 1.5 \sim 2$, but it is worth knowing that you can reduce the cost by setting it large

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Generational GC: introduction

- ▶ objective: reduce *mark-cons ratio* in traversing GCs
- ► how: traverse and reclaim only recently created objects (young generation)
 - traverse only young generations often
 - ► traverse the entire heap occasionally when it does not reclaim enough space
- ▶ why does it work?

GC overhead

 \equiv GC's work per allocating a byte

 $\begin{aligned} & \text{GC overhead} \\ & \equiv & \text{GC's work per allocating a byte} \\ & = & \frac{& \text{GC's work}}{& \text{memory allocated}} \end{aligned}$

GC overhead

GC's work per allocating a byte

GC's work

GC's work

memory allocated

(assume a traversing GC; look at a specific GC)

```
GC overhead

≡ GC's work per allocating a byte

= GC's work

= memory allocated

(assume a traversing GC; look at a specific GC)

x space reachable from the root

space reclaimed

= space reachable from the root

space unreachable from the root
```

```
GC overhead

GC's work per allocating a byte

GC's work

memory allocated
(assume a traversing GC; look at a specific GC)

space reachable from the root

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▶ the less reachable space there are, the smaller it becomes

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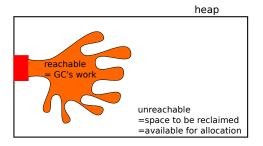
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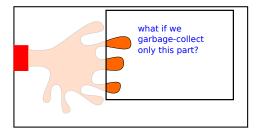
space reclaimed

space reachable from the root

space unreachable from the root
```

- ▶ the less reachable space there are, the smaller it becomes
- ▶ below, we simply say an object is "alive" when it is "reachable from the root" (strictly, not a correct usage)







▶ basic idea: traverse (collect) only a region that has a lesser live object ratio



▶ two problems:



- ▶ two problems:
 - 1. where to target: which region has a lesser live object ratio?



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 - 1. where to target: which region has a lesser live object ratio?
 - 2. correctness: how to find all live objects in a region, by traversing "only" that region?

Problem 1: where generational GC targets

a region holding young (recently created) objects

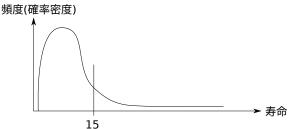
Problem 1: where generational GC targets

a region holding young (recently created) objects

Q: why (or when) is this effective?

(Weak) generational hypothesis

- ► "most objects die young"
- ▶ it seems to hold in most languages (where all memory allocations are served from the heap)



Studies on (weak) generational hypothesis

- ▶ studies show "a (large) fraction d of objects die before a (young) age y" in various languages
 - ▶ note: an "age" of an object o = the total size of memory allocated after o is created (that is, the time is measured by the amount of memory allocation)

authors	lang.	mortality rate (d)	age (y)
Zorn	Common Lisp	50-90%	10KB
Sanson and Jones	Haskell	75 - 95%	10KB
Hayes	Cedar	99%	721KB
Appel	SML/NJ	98%	varies
Barret and Zorn	C	50%	10KB
	C	90%	32KB

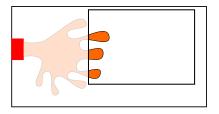
source: Richard Jones and Rafael Lins. "Garbage Collection. Algorithms for Automatic Memory Management" Chapter 7.1

"most objects die young" and a rational of generational GCs

- ▶ say 90% die younger than 10KB, then $mark\text{-cons ratio when traversing most recent } 10KB \approx 0.1$
- ▶ if we use heap 2-3 times larger than the live objects, the ratio when traversing the entire heap $\approx 1/3 \sim 1/2 > 0.1$

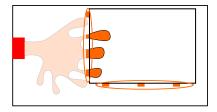
Problem 2: how to make it correct?

- ▶ we need to find all young objects reachable from the root, through "all pointers, young or old"
- ► simply ignoring old objects won't work



Problem 2: how to make it correct?

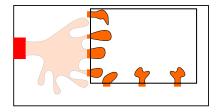
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▶ solution: record "all" pointers from "old \rightarrow young" during the execution and consider them as part of the root

Problem 2: how to make it correct?

- ▶ we need to find all young objects reachable from the root, through "all pointers, young or old"
- ► simply ignoring old objects won't work



- ▶ solution: record "all" pointers from "old \rightarrow young" during the execution and consider them as part of the root
- ▶ note: some may not be reclaimed, despite being unreachable from the root

Write barrier

- \blacktriangleright an intervention in mutator actions to capture all "old \rightarrow young" pointers
- ▶ mutator actions that need an intervention: assignments:

(possibly) old object's field \leftarrow (possibly) young object

▶ in OCaml,

expression	description	need intervention?
o.x <- a	update a mutable field	yes
$ \left\{ x = \ldots; \ldots \right\} $	create a record etc.	no
let b = o.x	initialize a variable	no

hopefully they rarely occur in "mostly functional" languages

Implementing Write Barrier (1) Remembered Set

given

```
1 (o.x <- a;
```

we do

```
if (generation(a) < generation(o)) {
   if (o ∉ R) add(R, o)
}</pre>
```

- ▶ the overhead is large
 - ▶ obtain generation(·) (address comparison in copying GC)
 - \triangleright check if $o \in \mathbb{R}$
 - ► manage R

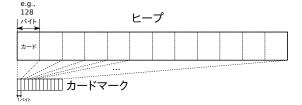
Implementing Write Barrier (2) Card Marking

- basic idea: unconditionally record addresses pointers are written to
- ▶ partition the heap into constant-sized "cards"
 - ▶ a card: a region whose addresses share a number of most significant bits
 - e.g., share the highest 57 of 64 bit addresses
 - ightharpoonup a single card $2^7 = 128$ bytes



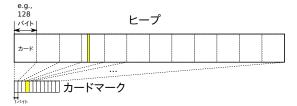
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► record only whether each card receives any pointer write (1 byte/card; card mark)

The overhead of card-marking

• e.g.: given the following pointer update,

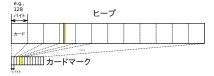
```
1 o->x <- y;
```

unconditionally record "a card containing &o->x is written"

$$C[(\&o->x) >> 9] = 1;$$

C is the base address to obtain the card address. that is,

$$C[\text{heap} >> 9] == card$$



Card-marking : Pros and Cons

ightharpoonup a small write barrier overhead (if you hold C in a register, it takes three RISC instructions)

```
C[(\&o->x) >> 9] = 1;
```

- ▶ memory overhead adjustable by adjusting card size (e.g. a card is 128 bytes $\rightarrow 1/128$)
- > you cannot efficiently list written cards; you must check all cards (\propto heap)
- when any address of a card is written, we must consider all addresses of the card a root

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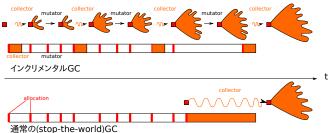
Conservative GC

Incremental GC

- ▶ objective: reduce the "pause time" of traversing GC
 - good for applications that need real time or interactive responses
- ▶ recall that pause time \approx time to traverse all reachable objects

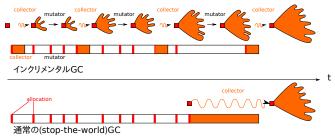
Incremental GC

- ▶ objective: reduce the "pause time" of traversing GC
 - good for applications that need real time or interactive responses
- ► recall that pause time ≈ time to traverse all reachable objects
- ▶ how: by traversing reachable objects "a little bit at a time"
 - ▶ instead of traversing 1 GB in one stroke, traverse 10 MB at a time, 100 times



Challenges in incremental GC

➤ (from GC's view point) the object graph changes while GC is traversing it



- ▶ how to guarantee it does not miss any reachable object?
- \triangleright \Rightarrow we'll get back to the basics of graph traversal

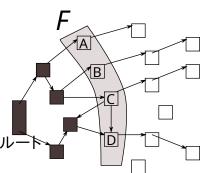
Assumptions for later discussions

- ▶ only a single mutator (the app is single-threaded)
- ▶ the mutator and the collector run "alternately" (not at the same time)
 - the collector does a little bit of its work upon a memory allocation
- ▶ i.e., we do not consider race conditions that would happen when they are truly concurrent

Graph traversal : basics

- ▶ traversing $GC \approx \text{graph traversal}$
- ▶ the principle is the same whether it's mark&sweep or copying
- ▶ omitting details, it is:

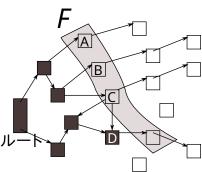
```
F = { root };
while (F is not empty) {
    o = pop(F);
    for (all pointers p in o)
        if (!marked(p)) {
        mark(p);
        add(F, p);
    }
}
```



Graph traversal : basics

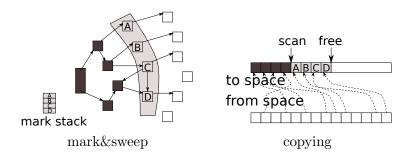
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```



Key data: the frontier

- ightharpoonup F: frontier
- ► the set of objects that have been visited but whose children may have not
- the actual data structure
 - ► mark&sweep : mark stack
 - copying: a part of the to space



The issue that an incremental GC must address

- ordinary GC: the while loop runs until the end keeping the mutator stopped → the object graph does not change during the loop
- ▶ incremental GC:
 - the collector gets interrupted by the mutator every once in a while
 - ... and continues after a while
 - ► that is, the issues is how to do with the fact that the graph may change between iterations of the while loop

The tri-color abstraction

- likens a graph traversal to coloring its nodes
- ▶ visiting an object \approx coloring an object
 - black: the object and its children have been visited
 - ▶ gray: it has been visited but its children may not
 - ▶ white : it has not been visited
- ▶ the graph traversal using the tri-color abstraction

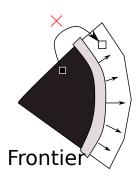
```
gray the root;
while (there is a gray object) {
    o = pick a gray object and blacken it;
    for (all pointers in o)
    if (p points to a white object)
        gray it;
    the mutator changes the graph; }
```



► correctness of the algorithm: when there are no gray objects, all objects reachable from the root are black (i.e., white objects are unreachable)

A problematic mutation to the graph

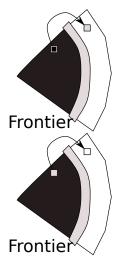
- ► intuitively, the issue seems the mutator may create "black → white" pointers
 - black: GC thinks it has "done" with it
 - white: going to be reclaimed, unless found in other paths
- ▶ ⇒ prevent "black → white pointers" from being created



Two approaches to preventing black→white

capture the point where "black \rightarrow white " is about to be created

- 1. approach #1: gray the white (make black → gray)
 - pros: the frontier always progresses
 - pros: easier to work with for copying GCs
 - ➤ cons: reclaim less objects. if p becomes unreachable due to another update to o, it won't be reclaimed (by the current GC)
- 2. approach #2: get the black back to gray (make gray → white)
 - pros: reclaim more objects
 - cons: the frontier retreats



Mutator actions that need to be captured

naively all pointer movements must be captured

write a pointer into an object field (write barrier)

```
1 \quad o->x = p
```

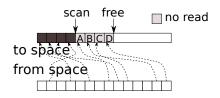
 ▶ write a pointer into a root ≡ write a pointer to a variable (read barrier)

```
p = o \rightarrow x
```

the latter is so frequent that some approaches avoid them (example #2: Boehm GC)

Example #1: Appel-Ellis-Li

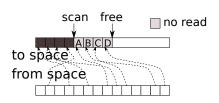
- ► copying GC + incremental
- ▶ based on the approach # 1. more precisely, maintain the following invariant the mutator never sees a pointer to white
- ► how?
 - ▶ intervene in reading a field from gray objects (read barrier)
- ▶ read-protect the region of gray objects \subset scan \sim free, by the virtual memory primitive of operating systems



Appel-Ellis-Li: the read barrier in action

▶ when a field of a gray object is read, blacken objects in the page containing it (= scan those objects → they become gray)

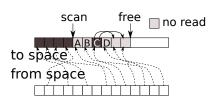
```
trap_read_from_grey(a) {
   page = the page including a;
   for (all objects o in the page) {
      scan(o); // copy o's children
   }
   unprotect(page);
}
```



Appel-Ellis-Li: the read barrier in action

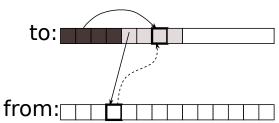
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}
```



Remark: it's easier for copying GC

- ▶ during a copying GC, there are two versions of each visited object (one in the from space and the other in the to space)
- ▶ immutable objects do not care which one the mutator sees, but mutable ones do
- ▶ it will eventually see the one in to space anyways, so it's natural to maintain "it never sees the one in the from space"
- ightharpoonup ightharpoonup it's natural to let the mutator never see (get a pointer to) a white object



Example #2: Boehm GC

- \triangleright conservative GC (\rightarrow mark&sweep) + incremental
- invariants:
 - ightharpoonup "non-root black \rightarrow white" pointers never exist
- ▶ how?
 - ► capture "writing to an object field" (write barrier)
- ightharpoonup remark: "root \rightarrow white" pointers may exist
 - ▶ prevention requires us to capture writing to the root \rightarrow reading from an object
 - ▶ the overhead is so large that it deserves a separate treatment (covered later)

Write barrier in Boehm GC

- ► capture writing into objects by virtual memory (the only choice in C/C++)
- ▶ gray the "written-to" object
 - push it onto the mark stack
- ▶ no read barriers \rightarrow "root (black) \rightarrow white" pointers are allowed
- ▶ at the end of a mark phase, it traverses from the root again
- ightharpoonup during this second traversal, the mutator is stopped ightharpoonup it may cause a long pause time

Appendix: a more rigorous correctness proof

- ▶ while it is clear "black→white" pointers cause a problem, it is not trivial that preventing them is sufficient to solve the problem
- ▶ the proposition to prove: after the following algorithm finished,

reachable from the root \rightarrow black

```
gray the root;

while (there are gray objects) {

o = pick and blacken a gray object;

for (pointers p in o)

if (p points to a white object)

gray it;

the mutator changes the graph;

}
```

The key invariant

- ▶ the following "always" holds during the execution (GC or mutator)
 - (I): all "white" objects reachable from the root are reachable from some "gray" objects
- ▶ if this is true,
 - (I) and the termination condition (i.e. there are no grays)
 - \rightarrow no white objects are reachable from the root
 - \rightarrow white objects can be reclaimed and we are done. the only remaining task is to prove (I).

Proof of (I)

 \triangleright say w is a white object reachable from the root



▶ since the root is always black or gray and there are no "black \rightarrow white" pointers (*), there must be a gray object on each path P from the root to w (QED).



 \blacktriangleright (*): you need to show that not only the mutator but also the collector never creates "black \rightarrow white" pointers. it's easy and left as an exercise.

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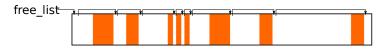
Managing and finding free space

- ▶ in any method except for copying GC (mark&sweep GC, reference counting, malloc/free), free space are not contiguous
- ightharpoonup op tracking and managing free blocks is required
- ► goal:
 - good allocation speed: quickly find a region that fits the request size
 - good memory utilization: do not waste available space
- basic data structure: free list (list of free blocks)



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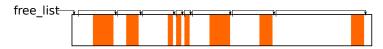


Free list

list of free blocks (or cells)

```
typedef struct cell {
   struct cell * next;
   size_t sz;
   /* other info as necessary */
} cell;
cell * free_list;
```

- ▶ allocation (malloc) \approx
 - 1. (linearly) search for a cell large enough for the requested size
 - 2. if a free space remains in the cell, put it back to the free list
- ightharpoonup reclamation (free) \approx
 - 1. put it back to the free list (issue: how to know its size?)
 - 2. if the cell just freed is adjacent to another free cell, merge them (coalescing)



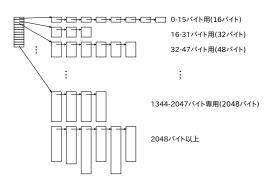
Issues in the simple method

- ▶ allocation:
 - ▶ needs to traverse a fair amount of cells (until you find a cell that fits)
 - ightharpoonup make many free lists, one for a fixed size (*segregated free lists*)
- reclamation:
 - ▶ needs to check if coalescing is possible
 - ▶ needs to know the size of the freed cell
 - ▶ → manage memory in a larger unit (page) and dedicate a page to a single size ($Big\ Bags\ of\ Pages;\ BiBOP$)



Segregated free lists

- for small sizes (e.g., < 2KB), make a free list for various representative sizes
- a single list for large sizes
- ex:
 - ▶ 16, 32, 48, 64, ..., 448, 512, 672, 800, 1024, 1344, 2048
 - ➤ 2048 bytes or larger



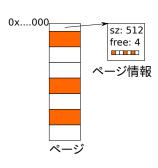
Allocation sequence

- ► Colored: a fast path for small objects
- blue: the overhead removable if the size (sz) is a compile-time constant
- red: the essential cost. traverse a list once (6-7 instructions)
- ▶ note: in multithreaded programs, we either have to
 - ► let each thread have its own free_lists, or
 - atomically perform the read-modify-write on free_lists (this hinders scalability)

```
void ** free_lists;
    void * malloc(size t sz) {
      if (SMALL(sz)) {
        size t idx =
              bytes_to_idx(sz);
6
        cell * a
              free lists[idx]:
7
        if (a) {
          free_lists[idx] =
8
              a->next;
9
          return a;
        } else {
10
          return malloc_slow(sz);
11
12
      } else {
13
        return malloc_slow(sz);
14
1.5
16
```

Big Bags of Pages

- manage the heap by dividing it into constant-sized blocks (page)
 - ▶ a page: a set of addresses sharing a number of highest bits
 - ▶ e.g. 64 bit addresses, sharing the highest 52 bits $\rightarrow 2^{12} = 4096$ bytes/page
- each page is either
 - completely free or
 - used only for a single size (e.g., only for 48 bytes)
 - ► Coalescing: repurpose a page only when the page becomes completely empty
 - \triangleright \rightarrow only need to count the number of free cells in the page
 - ▶ does not require per-object size field either



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Improving performance of mark&sweep GC

- overall structure:
 - 1. mark phase: traverses pointers from the root, marking reached objects along the way
 - 2. sweep phase: reclaims unmarked objects \rightarrow pushes them back to an appropriate free list
- **b**asics:
 - segregated free lists
 - ► BiBOP
 - ▶ mark bits separated from objects
 - ► lazy sweep

Separated mark bits

- ▶ question: where do you put the mark bit of an object?
- ▶ Method 1: use a word within an object
- ► Method 2: use a separate space dedicated for mark-bits outside objects
 - ▶ where is the separate space, exactly? → page header; holds mark bits of all the objects in the page together (1 byte/object)

```
mark(void * o) {
   page * page = o & 0xFFF...000; /* page header address */
   page->header->mark[(o & 0x000...FFF) >> 4] = 1;
}
```

▶ point: gather spaces that are written

Lazy sweep

- ▶ why do we need to sweep: reclaim space that has become free
- ▶ naturally, you would put them back to an appropriate free list (cf. BiBOP)
- ▶ lazy sweep: defer this operation until you need to allocate them

Overview of the sweep phase

- ▶ after a mark phase is finished, a page is either
 - empty: zero objects have been reached
 - ▶ partial: > 0 objects have been reached, > 0 objects have not been reached
 - ▶ full: zero objects have not been reached
- ▶ a naive implementation of a sweep phase:

```
for (all pages p) {
   if (p is empty) {
     put p in the empty page list;
     /* can be repurposed for any size */
} else if (p is partial) {
   sz = the size of objects in the page;
   put free cells in p to the free list fo sz bytes;
}

put free cells in p to the free list fo sz bytes;
}
```

Lazy sweep

- ▶ does not rebuild free lists immediately
- ▶ instead puts the page into the list of "to-reclaim" pages

```
for (all pages p) {
   if (p is empty) {
     put p in the empty page list;
   /* can be repurposed for any size */
   } else if (p is partial) {
     sz = the size of objects in the page;
     put p into the reclaim list for sz bytes;
   }
}
```

Reclaim list

- ▶ list of pages that have at least one free cell
- ▶ like free lists, there is a list per size
- ▶ when an allocation finds the free list empty, look at the reclaim list and if there is any page, move free cells of a page into the free list

What's the point?

- ➤ simply deferring the task you need to do anyway? not exactly so
- ▶ make more coalescing opportunities:
 - ▶ after a few GCs, a page may become empty before it needs to be reused for allocation
- ▶ improve temporal locality of references:
 - ▶ by touching free cells to put them back to free list, closely before they are used by the mutator
- ▶ shorten the pause time due to the sweep phase

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- conservative GC
 - $\blacktriangleright \approx$ GC for languages designed without assuming GC, such as C/C++
 - ➤ ≈ GC in the presence of words that may or may not be pointers (conservatively assumed to be pointers)
- ▶ antonym: accurate GC
 - does not necessarily reclaim all dead (no longer used) objects
 - ► accurate or conservative refers to whether "pointer identifications" are accurate or not
 - ▶ languages that implement an accurate GC normally use a data representation in which looking at a single word can tell you whether it is a pointer or not
 - ightharpoonup ex: the last bit = 0 (pointer), = 1 (non-pointer)

A challenge in C/C++: pointer ambiguity

- ▶ a pointer and a non-pointers cannot be told apart; a word "7596272344674820427 ($101101001011011011011011\cdots011000010100101_2$)" can be any of the following
 - ▶ a pointer to an object at address 7596272344674820427,
 - ▶ an integer 7596272344674820427,
 - ▶ a part of a string ("Kawasaki"),
 - ▶ a double precision floating point number $(6.549545... \times 10^{199})$,
 - **.**..

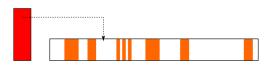
A challenge in C/C++: pointer ambiguity

- ▶ a pointer and a non-pointers cannot be told apart; a word "7596272344674820427 ($101101001011011011011011\cdots011000010100101_2$)" can be any of the following
 - ▶ a pointer to an object at address 7596272344674820427,
 - ▶ an integer 7596272344674820427,
 - a part of a string ("Kawasaki"),
 - ▶ a double precision floating point number $(6.549545... \times 10^{199})$,
 - **.**..
- ▶ the basic principle:
 - ▶ if a word is an address of a block being used, it is assumed to be the pointer to it
 - a non-pointer may be misidentified as a pointer
 - a method to minimize the loss (leak) caused by misidentified pointers → blacklisting

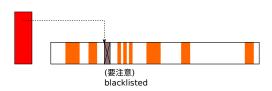
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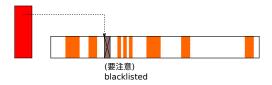
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- ▶ note that we already lose (a memory associated with) p, but it would be much worse and devastating to allocate p and make p and all objects reachable from p uncollectable (the domino effect)

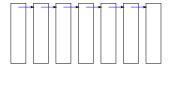


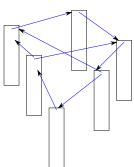
Other tips in conservative GC

- 1. see http://hboehm.info/gc/gcinterface.html
- 2. GC_MALLOC_ATOMIC:
 - ▶ same as GC_MALLOC, except you indicate (declare) you never put pointers in it (good for strings and numerical arrays)
 - reduce the probability of pointer misidentification
 - reduce the space that must be traversed
- 3. GC_MALLOC_IGNORE_OFF_PAGE: declares "you never put pointers except in the first 512 bytes"
- 4. clear pointers no longer necessary with NULL
 - pointers within a data structure
 - prevent the domino effect when a single object is mistakenly kept alive
- 5. tips in how you link data structures
 - ▶ data structures less prone to the domino effect due to a pointer misidentification

Data structure (not) prone to the domino effect

- ▶ suppose you make link lists, trees and graphs
- ▶ (NG): directly link large nodes with payload
- ► (GOOD): separate the structure linking nodes (the spine) and the payloads → misidentifying a payload does not lead to another object





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