

# Influence of DC Supply Voltage Unbalances on the Performance of ARCP Inverters

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## Keywords

«DC-AC converter», «Voltage Source Inverter (VSI)», «Soft switching», «ZCZVS converters», «Calculation method»

## Abstract

The auxiliary resonant commutated pole inverter (ARCPI) is an attractive soft switching topology due to its small Electromagnetic Interference (EMI), voltage and current stresses. This topology has previously been investigated for balanced DC input voltages, which not always occur in practical applications. This paper therefore presents an analysis of an ARCPI with unbalanced DC supply voltage to determine necessary conditions to also achieve soft switching for reduced losses in the load current switches under such conditions. First, the necessary timings of the switches are calculated and validated through simulation. Then, possibilities to optimize the behavior of this topology are discussed.

## Introduction

Increasing the switching frequencies of power electronic converters is a proven technique to enable smaller passive components within converters and, consequently, to reach a higher power density. However, switching frequencies cannot be increased to arbitrarily high values due to the switching losses of power semiconductors and EMI radiation that occur in hard-switching topologies. One approach to handle these limitations for power electronic inverters is the Auxiliary Resonant Commutated Pole Inverter (ARCPI), introduced in [1, 2]. The ARCPI (Figure 1) permits zero voltage switching (ZVS) conditions and provides significantly reduced switching losses,  $dv/dt$  and  $di/dt$  for its main switches [3]. To ensure soft switching for real-world applications, the influence of unbalanced input dc voltage should be investigated carefully. In this paper, this effect on the ARCPI operation is analytically analyzed and confirmed through simulation.

For this investigation, the split dc input capacitors are modeled through voltage sources  $V_{S1}$  and  $V_{S2}$ , respectively (see Figure 1). Furthermore, we assume ideal properties for all sources, passive components and switches. A constant output load current  $I_{Load}$  is assumed over the investigated commutation cycle, flowing in the direction marked in Figure 1. The resonance frequency  $\omega_r$ , the effective resonant

capacitance  $C_r$ , the characteristic impedance of the auxiliary resonant circuit  $Z_r$ , and the input DC voltage  $V_{dc}$  are defined through (1) – (4).

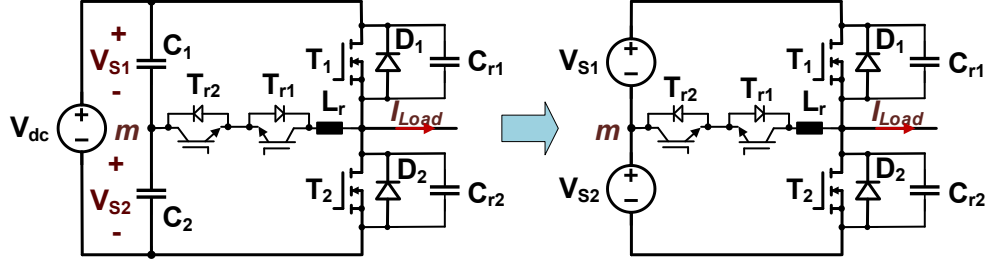


Figure 1: Circuit scheme and equivalent circuit of the auxiliary resonant commutated pole inverter.

$$C_r = 2 C_{r1} = 2 C_{r2} \quad (1)$$

$$\omega_r = \frac{1}{\sqrt{L_r C_r}} \quad (2)$$

$$Z_r = \sqrt{L_r / C_r} \quad (3)$$

$$V_{dc} = V_{S1} + V_{S2} \quad (4)$$

A variable timing method that ensures soft switching with minimized losses over a wide DC input voltage range has been presented in [3]. The timings of the gate-signals of the auxiliary switches  $T_{r1}$  and  $T_{r2}$  vary depending on the load current and dc-link voltage. The length of the overlap between the two gate signals of one of the main switches  $T_1$  and  $T_2$  and the corresponding auxiliary switch is called overlapping time. The overlapping time for a commutation from the high-side switch to the low-side switch is called  $t_{ovp1}$  while the overlapping time for the reverse commutation is called  $t_{ovp2}$ . This paper will focus on the commutation from  $D_2$  through  $T_2$  and  $T_{r2}$  to  $T_1$ , illustrated in separate phases of the commutation in Figure 2, and therefore the calculation of  $t_{ovp2}$ . The pulse width of the auxiliary switch has to be calculated and applied to variable timing control for each commutation process since the output current changes between each switching event, thus altering the necessary timing values [3].

## Operational modes and calculation of the resonant current

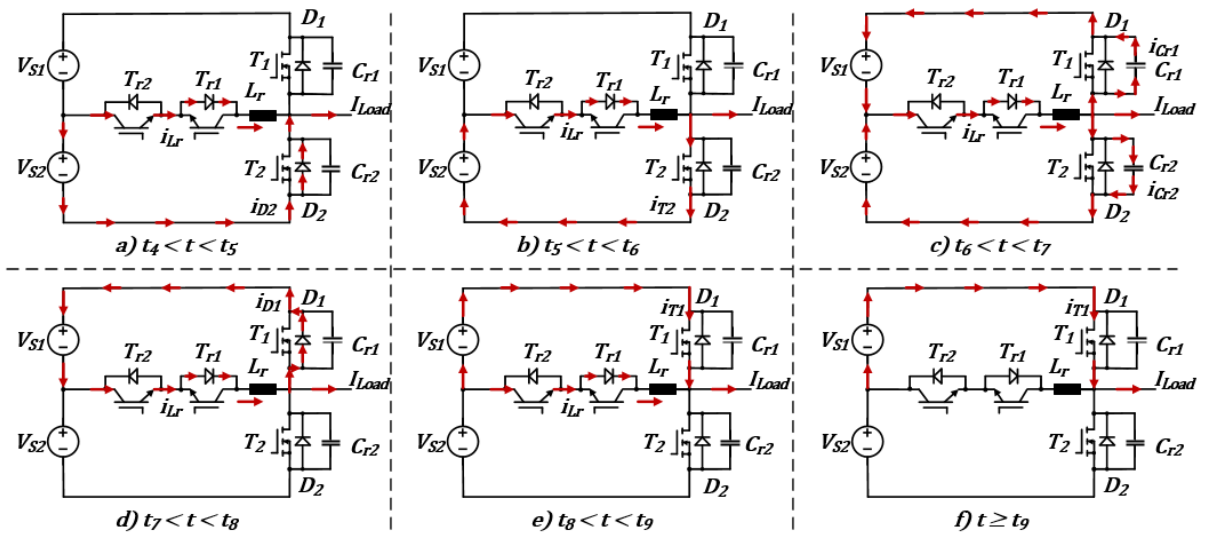


Figure 2: Transition states and time intervals of the commutation from a conducting lower diode  $D_2$  to the upper main switch  $T_1$ .

The circuit can operate both with balanced or unbalanced dc input voltages, if the voltage imbalance is not too large. The following investigation is performed for a positive output current  $I_{Load}$ , starting with both main switches  $T_1$  and  $T_2$  turned off while  $D_2$  conducts the load current (Fig. 2a) (5).

$$i_{D2}(t_0) = I_{Load} \quad (5)$$

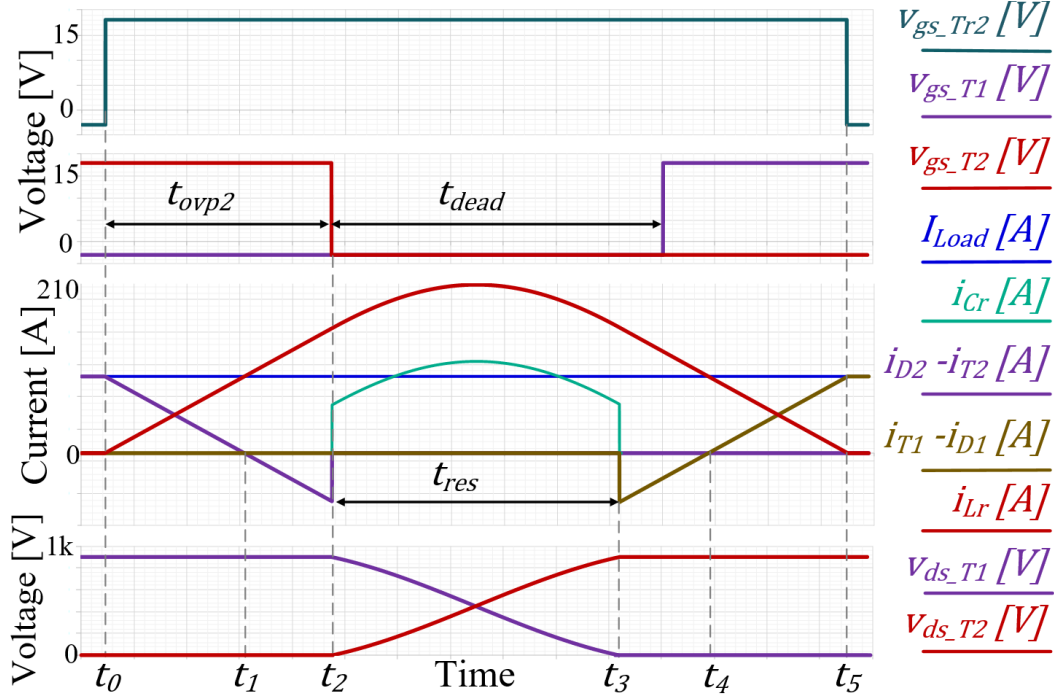


Figure 3: Voltage and current waveforms for commutation from  $D_2$  to  $T_1$  for  $V_{S1} = V_{S2}$  and  $I_{Load} > 0$ .

#### A. Balanced operating mode: $V_{S1} = V_{S2} = V_{dc}/2$

The balanced operation of the ARCPI was previously analyzed in [3]. Figure 3 shows the ideal commutation from  $D_2$  to  $T_1$  and subsequently ZVS and ZCS operation of the main switch  $T_1$ . Referring to [3], the timing values for balanced dc voltages can be calculated as (6) – (8), where  $I_{off\_T2}$  is the cutoff current of  $T_2$  at  $t = t_2$ .

$$t_{ovp2} = t_2 - t_0 = t_5 - t_3 = \frac{2 L_r}{V_{dc}} (I_{Load} + I_{off\_T2}) \quad (6)$$

$$t_{diode\_cond} = t_4 - t_3 = t_2 - t_1 = \frac{2 L_r}{V_{dc}} I_{off\_T2} \quad (7)$$

$$t_{res} = 2\sqrt{L_r C_r} \tan^{-1} \left( \frac{V_{dc}}{2 Z_r I_{off\_T2}} \right) \quad (8)$$

These results will now be derived for and compared to the operation under unbalanced dc input voltages.

#### B. Unbalanced operating mode: $V_{S1} \neq V_{S2}$

The following calculations correspond to the commutation phases shown in Figure 2; the resulting calculated waveforms for unbalanced operation are shown in Figure 5.

##### Phase a and b: $t_0 \leq t \leq t_2$

$T_{r2}$  is switched on and the current of the auxiliary inductance  $L_r$  rises linearly (9). Consequently, the diode current  $I_{D2}$  decreases and reaches zero at  $t = t_1$  (10). The gate of  $T_2$  is activated at or before  $t = t_0$  and has to be kept active until  $t = t_2$  so that  $T_2$  starts conducting in the opposite direction as  $D_2$  (11) and reaches  $I_{off\_T2}$  (12).

$$i_{Lr}(t) = \frac{V_{S2}}{L_r} (t - t_0) \quad (9)$$

$$i_{D2}(t) = I_{Load} - \frac{V_{S2}}{L_r}(t - t_0) \quad (10)$$

$$i_{T2}(t) = \frac{V_{S2}}{L_r}(t - t_1) \quad (11)$$

$$I_{off\_T2} = i_{T2}(t = t_2) = \frac{V_{S2}}{L_r} t_{ovp2} - I_{Load} \quad (12)$$

The overlapping time  $t_{ovp2}$  can be expressed in terms of the cutoff current  $I_{off\_T2}$  of  $T_2$  (13) and the conduction time of  $T_2$  can be calculated as (14) by inserting  $t = t_2$  into (11).

$$t_{ovp2} = \frac{I_{Load} + I_{off\_T2}}{V_{S2}} L_r \quad (13)$$

$$t_2 - t_1 = \frac{I_{off\_T2}}{V_{S2}} L_r \quad (14)$$

**Phase c:**  $t_2 < t \leq t_3$

$T_{r2}$  is still on and  $T_2$  is switched off, thus begins the resonant period. The time interval  $[t_3 - t_2]$  is called resonance time  $t_{res}$ . During this period, the resonant capacitor  $C_{r1}$  will discharge from  $V_{dc}$  to almost zero, while  $C_{r2}$  will charge vice versa. The current of the resonant inductor  $i_{Lr}$  and the voltages of the resonant capacitors  $v_{Cr1}$  and  $v_{Cr2}$  can be obtained through (15) – (17).

$$i_{Lr}(t) = I_{Load} + I_{off\_T2} \cos(\omega_r(t - t_2)) + \frac{V_{S2}}{Z_r} \sin(\omega_r(t - t_2)) \quad (15)$$

$$v_{Cr1}(t) = V_{S1} + V_{S2} \cos(\omega_r(t - t_2)) - I_{off\_T2} Z_r \sin(\omega_r(t - t_2)) \quad (16)$$

$$v_{Cr2}(t) = V_{S2} - V_{S2} \cos(\omega_r(t - t_2)) + I_{off\_T2} Z_r \sin(\omega_r(t - t_2)) \quad (17)$$

At the end of the resonant period, i.e. at  $t = t_3$ , the resonant capacitor  $C_{r1}$  is almost discharged. Therefore, the resonant time can be calculated from the equations above, through evaluation at  $t = t_3$ , as (18).

$$t_{res} = t_3 - t_2 = 2\sqrt{L_r C_r} \tan^{-1} \left( \frac{-I_{off\_T2} Z_r + \sqrt{(I_{off\_T2} Z_r)^2 + V_{S2}^2 - V_{S1}^2}}{V_{S2} - V_{S1}} \right) \quad (18)$$

To ensure resonant transition under the unbalanced operating conditions, the radical expression of (18) must be zero or positive. If  $V_{S1} \leq V_{S2}$  this requirement is automatically fulfilled. However, if  $V_{S1} > V_{S2}$ , the necessary condition (19) can be obtained. Substituting (12) into (19), the requirement for the overlapping time can be given as (20).

$$I_{off\_T2} > \sqrt{\frac{C_r}{L_r} \frac{V_{dc}}{2}} (V_{S1} - V_{S2}) \quad (19)$$

$$t_{ovp2} > \sqrt{L_r C_r} \sqrt{\left(\frac{V_{S1}}{V_{S2}}\right)^2 - 1} + \frac{I_{Load}}{V_{S2}} L_r \quad (20)$$

Equation (20) is a necessary requirement to achieve ZVS if the load current  $I_{Load}$  is positive and if  $V_{S1} > V_{S2}$ . Similarly, this requirement can be expressed for negative load currents and for  $V_{S1} < V_{S2}$  as (21).

$$t_{ovp2} > \sqrt{L_r C_r} \sqrt{\left(\frac{V_{S2}}{V_{S1}}\right)^2 - 1} + \frac{|I_{Load}|}{V_{S1}} L_r \quad (21)$$

**Phase d:  $t_3 < t \leq t_4$** 

At  $t = t_3$ , the resonant period of the auxiliary circuit is finished. Substituting (18) into (15) yields (22) and the current of the resonant inductor for  $t > t_3$  can be expressed as (23). At  $t = t_3$ , a negative linear ramp current starts to flow through  $D_1$  at the same rate as  $i_{Lr}$  (24). After the gate of  $T_1$  is activated, this current commutates to the channel of  $T_1$ . At  $t = t_4$ , the current of  $D_1$  reaches zero, hence the diode conduction time can be calculated by rearranging (23) as (25).

$$I_{Lr}(t_{res}) = |i_{Lr}(t = t_3)| \quad (22)$$

$$i_{Lr}(t) = I_{Lr}(t_{res}) - \frac{V_{S1}}{L_r}(t - t_3) \quad (23)$$

$$i_{D1}(t) = I_{Lr}(t_{res}) - I_{Load} - \frac{V_{S1}}{L_r}(t - t_3) \quad (24)$$

$$t_{diode\_cond} = t_4 - t_3 = \frac{I_{Lr}(t_{res}) - I_{Load}}{V_{S1}} L_r \neq t_2 - t_1 \quad (25)$$

Comparing the result of the balanced operation (14) with the result of the unbalanced operation (25) reveals that the diode conduction times are not identical. To achieve ZVS turn-on,  $T_1$  must be switched on during this time interval. In other words, the diode conduction time must be sufficiently long so that  $T_1$  can be switched on.

**Phase e:  $t_4 < t \leq t_5$** 

When the gate signal of the upper switch  $T_1$  is applied during the diode conduction time,  $T_1$  starts conducting under ZVS conditions and a linear ramp current with a positive slope starts flowing through  $T_1$  (26). At  $t = t_5$ , the resonant current  $i_{Lr}$  reaches zero and consequently, the current of the upper switch  $T_1$  reaches the load current. Therefore, the commutation is completed and since the current slope in  $L_r$  is constant between not only  $t_4$  and  $t_5$ , but also between  $t_3$  and  $t_5$ , the time interval  $[t_5 - t_3]$  can be derived as (27).

$$i_{T1}(t) = \frac{V_{S1}}{L_r}(t - t_4) \quad (26)$$

$$t_5 - t_3 = \frac{I_{Lr}(t_{res})}{V_{S1}} L_r \quad (27)$$

Again comparing the balanced operation result (13) to (27), it can be concluded that the length of the last linear ramp in the time interval  $t_3 \leq t \leq t_5$  is not equal to the overlapping time (28). Through these equations, the waveforms for the possible voltage imbalances  $V_{S1} < V_{S2}$  and  $V_{S1} > V_{S2}$  can be illustrated as shown in Figure 5.

$$t_{ovp2} = t_2 - t_0 \neq t_5 - t_3 \quad (28)$$

**Simulation results**

To check the accuracy of the presented equations, a single phase ARCPI model was created in Matlab/Simulink using the ‘‘Specialized Power Systems Blockset’’, investigating its operation at  $I_{Load} = 95 \text{ A}$  and  $V_{dc} = 900 \text{ V}$ . In order to maintain a low current stress in the auxiliary circuit, the design strategy presented in [3] was adopted. The resonant tank parameters were then calculated to  $L_r = 625 \text{ nH}$  and  $C_r = 29 \text{ nF}$ .

Figure 4 shows the schematic of the simulated circuit. This schematic is derived from the one shown in Fig. 1.

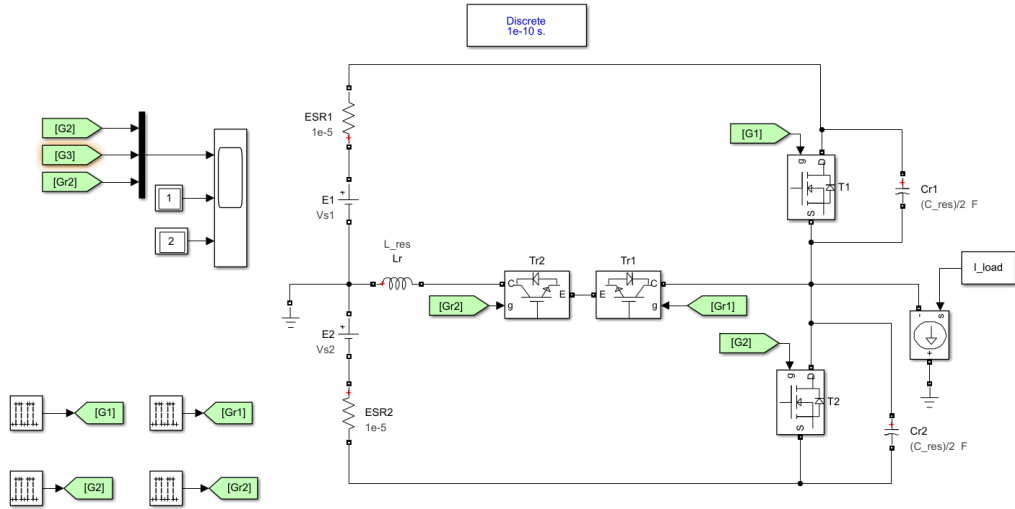


Figure 4: The simulated circuit

Simulink solver is able to work with a variable- or fixed-step sample time. Fixed-step discrete solver computes the time of the next simulation step by adding a fixed step size to the current time, and the accuracy of the resulting simulation depends on the size of the steps taken by the simulation. The smaller the step size, the more accurate the results are but the longer the simulation takes. To achieve a high accuracy, a fixed- step discrete solver with a step size of  $\Delta t = 100 \text{ ps}$  was selected.

Three different simulations were conducted.

1.  $V_{S1} = 300 \text{ V}$  and  $V_{S2} = 600 \text{ V}$
2.  $V_{S1} = 450 \text{ V}$  and  $V_{S2} = 450 \text{ V}$
3.  $V_{S1} = 600 \text{ V}$  and  $V_{S2} = 300 \text{ V}$

The semiconductors and the passive components are modeled using the “Specialized Power Systems” device models. The two halves of the DC-link are modeled using ideal voltage sources and no parasitic properties are taken into consideration.

Table 1: Input parameters and the resulting calculated and simulated values.

Input Parameters				Results			
Case	$V_{S1} \text{ [V]}$	$V_{S2} \text{ [V]}$	$t_{ovp2} \text{ [ns]}$		$ \hat{I}_{Lr} $	$t_{res2}$	$t_{diode\_cond}$
1	300	600	160	Calculated	236,91 A	217,82 ns	263,21 ns
				Simulated	236,52 A	217,3 ns	262,1 ns
				Difference	-0,1 %	-0,2 %	-0,4 %
2	450	450	215	Calculated	208,9 A	274,11 ns	83,06 ns
				Simulated	208,6 A	271,9 ns	84,2 ns
				Difference	-0,1 %	-0,8 %	+1,4 %
3	600	300	460	Calculated	236,43 A	219,07 ns	59,82 ns
				Simulated	236,36 A	217,8 ns	60,3 ns
				Difference	-0,03 %	- 0,06 %	+0,8 %

Table 1 summarizes important input parameters and the simulation results. Cases 1 and 3 represent extreme imbalances in the input voltages, while case 2 investigates the balanced operation. For the critical case 3 with  $V_{S1} > V_{S2}$ , the minimum value of  $t_{ovp2}$  can be obtained using (20):

$$t_{ovp2\_min} = 431 \text{ ns} \quad (29)$$

If this minimum time was selected, then the time interval to turn on  $T_2$  under ZVS condition would be close to zero. Therefore, the overlapping time for the simulation is selected to  $t_{ovp2} = 460 \text{ ns}$ , which also provides a safety margin to account for component tolerances in physical setups. Table 1 indicates

a high precision for the proposed approach with less than 1.5 % deviation between the calculated and simulated data, confirming the derived formula.

Figures 5 and 6 shows the simulated voltage and current waveforms of the ARCP inverter under the unbalanced input voltage cases. Figure 6 shows the resonant currents  $i_{Lr}(t)$  for these cases and compares them to the operation with balanced input voltage.

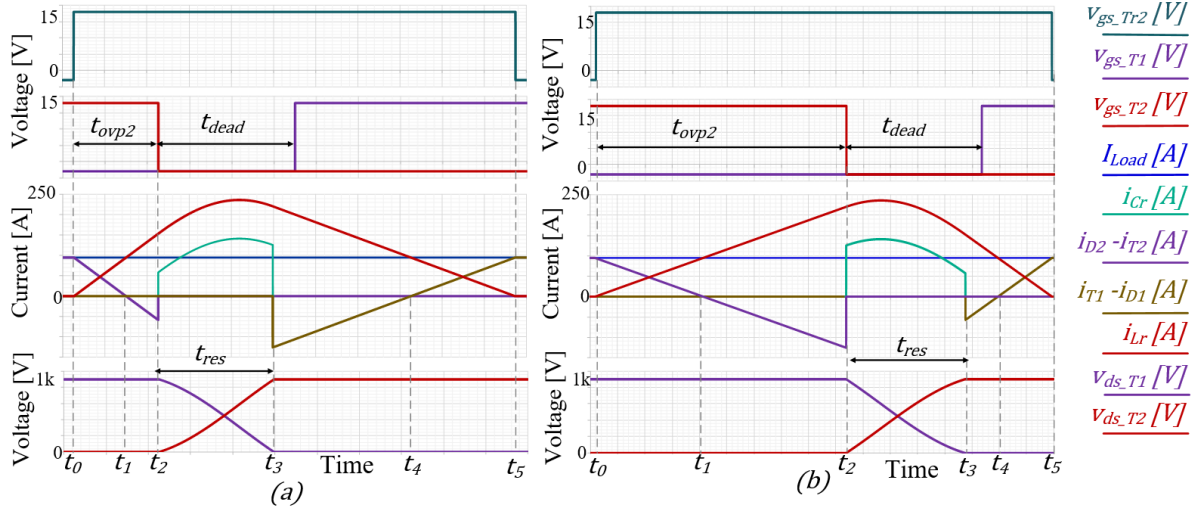


Figure 5: Voltage and current waveforms for positive load current commutation from  $D_2$  to  $T_1$  (a) for  $V_{S1} < V_{S2}$ , (b) for  $V_{S1} > V_{S2}$ .

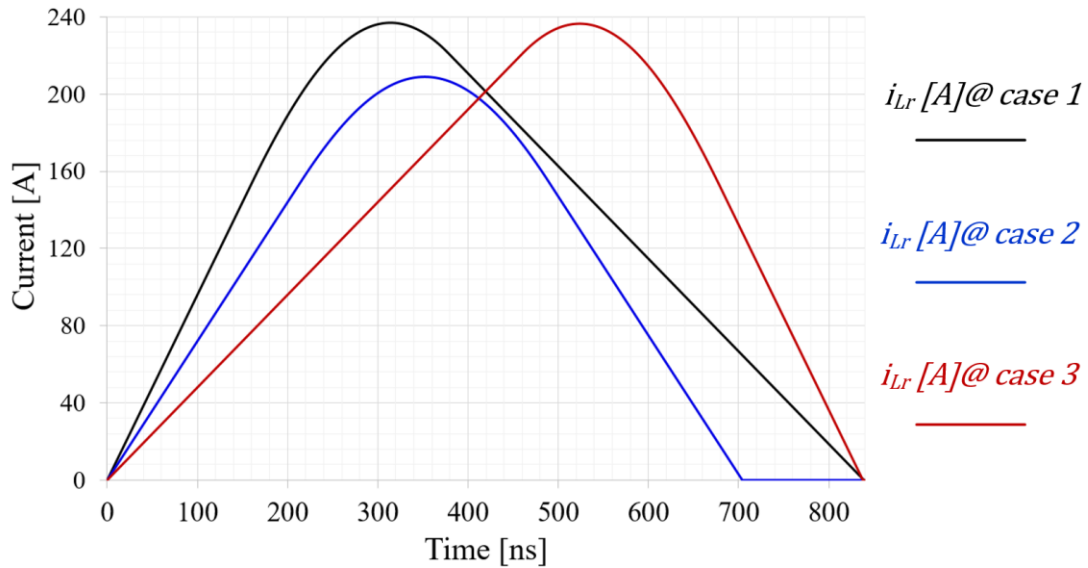


Figure 6: Comparison of the simulated resonant currents in the investigated input voltage scenarios for  $L_r = 625 \text{ nH}$ ,  $C_r = 29 \text{ nF}$  and  $I_{Load} = 95 \text{ A}$ .

As shown in Figure 6, the resonant currents differ considerably from each other. The minimum commutation time occurs under the balanced operating condition (blue curve). From Figures 5 and 6, it can be seen that wide variations of commutation time can be expected when input supply voltage unbalances occur.

## Comparing results for different overlapping times

As previously mentioned, to achieve ZVS, the overlapping time must meet the requirement (20). If the selected overlapping time is less than the minimum value (20), then the resonant capacitor  $C_{r1}$  cannot fully discharge during the resonant period because the radical expression of (18) would be negative. Thus the diode  $D_1$  cannot be biased in forward direction and when the main switch  $T_1$  turns on, it quickly



discharges  $C_{r1}$ , i.e. the capacitor voltage is forced to zero. This leads to an inrush current through the main switch  $T_1$  and subsequently causes additional switching losses in the switch  $T_1$ , since the voltage across  $T_1$  has not yet fully dissipated at  $t = t_3$ , as shown in Figure 7 (b).

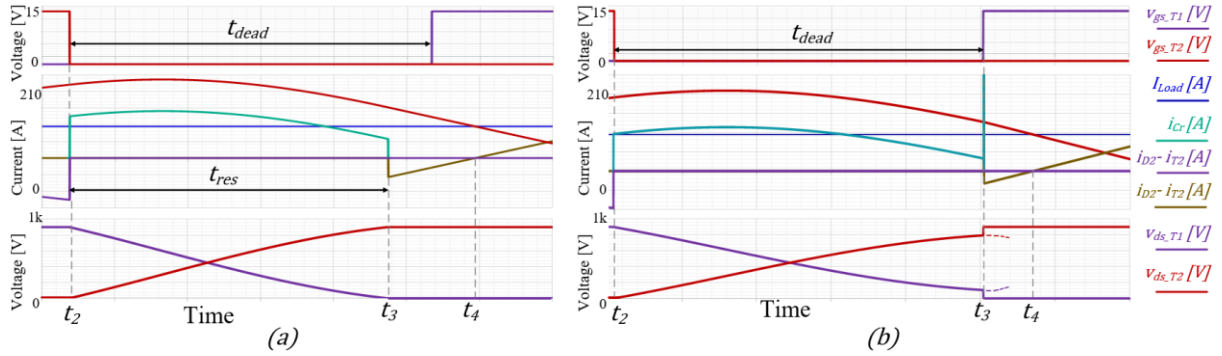


Figure 7 Extended waveforms for positive load current commutation and unbalanced input voltages  $V_{S1} > V_{S2}$ . (a)  $t_{ovp2} = 460 \text{ ns} > t_{ovp2\_min}$ , (b)  $t_{ovp2} = 420 \text{ ns} < t_{ovp2\_min}$

From Figure 7 (b), it can be seen that no full ZVS is possible when the overlapping time is selected as  $t_{ovp2} < t_{ovp2\_min}$ . If the selected overlapping time remains below the minimum overlapping time (29), additional switching losses occur, which remarkably decrease the efficiency of the ARCP inverter and limit the applicable switching frequency. To prevent this from occurring, two other important design parameters can be considered:

#### (a) Increasing only the dead time $t_{dead}$

Looking at the voltage waveform of the main switches in Figure 7(b), it could be concluded that a longer dead time could further decrease the voltage across the main switch  $T_1$ . However, since  $L_r$  and  $C_r$  form a resonant network, this voltage would not further decrease, but continue to oscillate if the resonant period is not interrupted. Therefore, the resonant capacitor  $C_{r1}$  would begin to charge in the opposite direction (dashed line), leading to even higher switching losses than in the investigated case where the resonant period is interrupted at the minimum possible voltage at the end of the dead time. This approach therefore cannot overcome the incomplete ZVS problem.

#### (b) Decreasing the resonant inductance $L_r$

As previously analyzed in [3], choosing an appropriate value of  $L_r$  can minimize the total conduction losses of the auxiliary circuit. Equation (20) shows that a reduction in the resonant inductance causes the minimum allowed overlapping time to decrease further, but simultaneously increases conduction losses of the auxiliary circuit. Consequently, this requires more robust components for the auxiliary circuit, likely increasing their size.

In other words, the advantages of ZVS can only be fully realized for unbalanced input voltage operation when the resonant inductance properly decreases, at the cost of increased conduction losses.

From these investigations, it can be concluded that the best solution to achieve true ZVS commutation is to increase the overlapping time  $t_{ovp2}$  to values larger than  $t_{ovp2\_min}$ , with a suitable safety margin to account for component tolerances etc.

In addition, it can be clearly seen that the imbalances in the input supply voltages have a significant influence on the commutation timing and consequently on the inverter performance in terms of efficiency. Therefore, both input supply voltages should be monitored for each switching cycle in addition to the load current. Depending on these acquired data, the timing of the output signals have to be calculated accordingly to achieve true ZVS commutation.



## Conclusion

Effects of imbalances in the supply voltage of the ARCP inverter based on the variable timing control have been explored in this paper. The major conclusion is that the imbalances in the DC input voltage can significantly affect the performance of an ARCPI inverter and have a large influence on the timing control of the ARCPI to achieve ZVS.

Therefore, the DC input voltage sources should be monitored, and applied to the variable timing control of the ARCPI on a cycle-by-cycle basis. Due to these imbalances, a minimum overlapping time must be observed to ensure soft switching. It was shown that simulation results have good agreement with the results of the theoretical analysis and they reconfirm the presented theoretical analysis.

An optimized design method of the ARCPI should be investigated in the future, which takes into account the imbalances in input supply voltage and its effect on the selection and dimensioning of the relevant component.

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