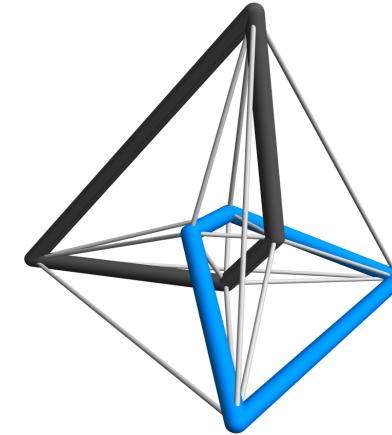


# Non-invertible topological defects in 4-dimensional $Z_2$ pure lattice gauge theory



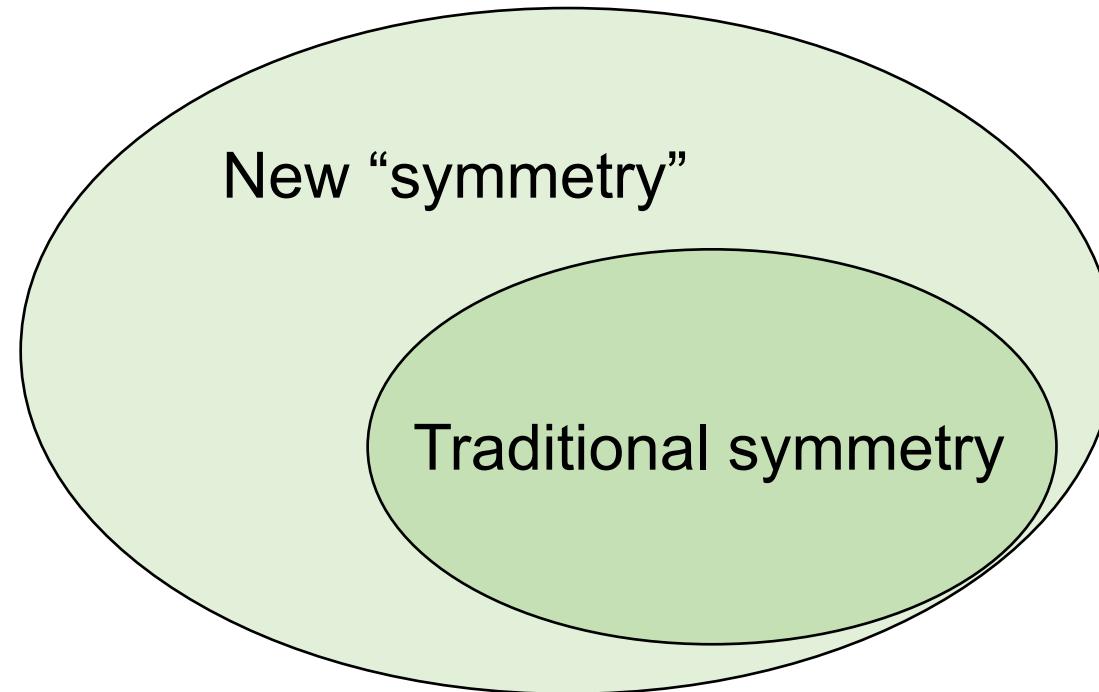
**Satoshi Yamaguchi (Osaka University)**

Based on

M. Koide, Y. Nagoya, SY, arXiv:2109.05992, to appear in PTEP

# Introduction

# Concept of symmetry is changing.



# Generalized symmetry plays an important role in

## ● Phase structure of QFT

[Gaiotto, Kapustin, Seiberg, Willet 14], [Gaiotto, Kapustin, Komargodski, Seiberg 17],...

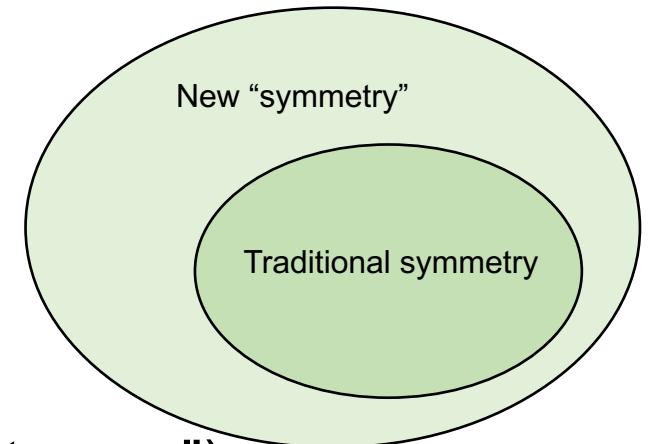
## ● String theory

...[Bergman, Tachikawa, Zafrir 20], [Bah, Bonetti, Minasian 20],  
[Morrison, Schafer-Nameki 20], [Albertini, del Zotto, Garcia Etxebarria, Hosseini 20],  
[Apruzzi, Dierigl, Lin 20], [Benetti Genolini, Tizzano 21], [Apruzzi, van Beest, Gould, Schafer-Nameki 21]...

## ● Physics beyond the standard model (“naturalness”)

# Non-invertible symmetry = a class of new “symmetry”

No group structure



It is proved to be useful at least in 2 dimensions (“fusion category”)

[Verlinde 88], [Moore, Seiberg 88, 89], [Frohlich, Fuchs, Runkel, Schwigert 02--06],  
[Bhardwaj-Tachikawa 17], [Chang, Lin, Shao, Yin 18], [Komargodski, Ohmori, Roumpedakis,  
Seifmashri 20]...

Examples in 3 or higher (in particular 4) dimensions ?

[Ji, Wen 19], [Kong, Lan, Wen, Zhang, Zheng 20], [Rudelius, Shao 20], [Heidenreich et.  
al. 21], [Nguyen, Tanizaki, Unsal 21],...  
[Johnson-Freyd 20]

We find an example of non-invertible symmetry  
in 4 dimensions

[Koide, Nagoya, SY 21]

4-dimensional  $Z_2$  lattice gauge theory

Duality [Wegner 71]

1-form  $Z_2$  center symmetry

**Non-invertible symmetry**

Duality

[Wegner 71]

1-form  $Z_2$  center symmetry



**Non-invertible symmetry**

We also find the “algebra” of this non-invertible symmetry.

This symmetry will not be only a special symmetry of a special theory, but it appears in many theories (we expect).

Important notion [Gaiotto, Kapustin, Seiberg, Willet 14]

# Topological defects

Plan:

- Symmetry  $\Rightarrow$  topological defect
- Generalized symmetry
- Topological defects in 4d  $Z_2$  lattice gauge theory — overview—
- Topological defects in 4d  $Z_2$  lattice gauge theory — detail—
- Summary and discussion

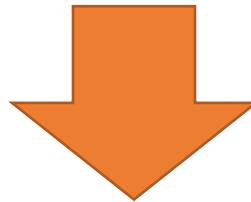
**Symmetry  $\Rightarrow$  topological defect**

# Symmetry

transformation

$$\phi(x) \rightarrow \phi'(x)$$

$$S[\phi'] = S[\phi]$$



## Relations between correlation functions

(Even if you do not know the action, you can start from here.)

1

# Global form



$$\langle O'_1(x_1) O'_2(x_2) \cdots \rangle = \langle O_1(x_1) O_2(x_2) \cdots \rangle$$

Good: Any group symmetry (continuous, discrete or disconnected)

Bad:

- Global (You cannot forget something far away from you)
- Not valid when SSB occurs.

## 2

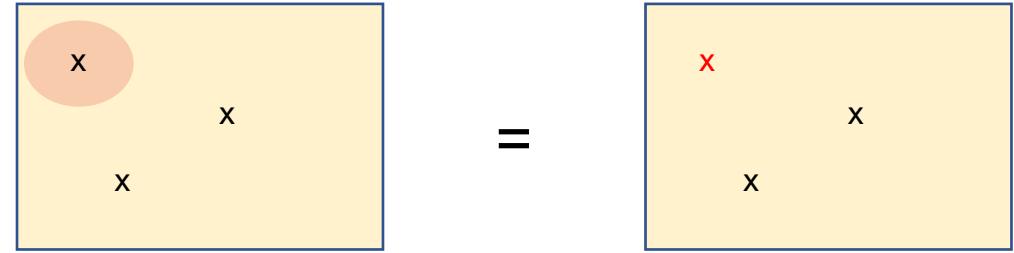
# Local form

Ward-Takahashi identity

$$\langle \epsilon \partial_\mu J^\mu(x) O_1(x_1) \dots \rangle = \delta(x - x_1) \langle \delta O_1(x_1) \dots \rangle$$

infinitesimal parameter

if  $x$  does not coincide with the points where other operators are inserted



Good:

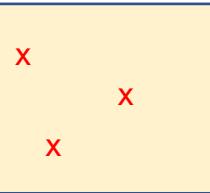
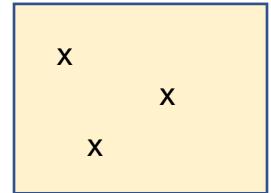
- **Local** (You can forget something far away from you)
- Valid even when SSB occurs.

Bad: only for infinitesimal transformation

1

Global form

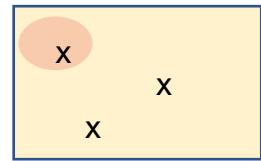
$$\langle O'_1(x_1) O'_2(x_2) \cdots \rangle = \langle O_1(x_1) O_2(x_2) \cdots \rangle$$



2

Local form

$$\langle \epsilon \partial_\mu J^\mu(x) O_1(x_1) \cdots \rangle = \delta(x - x_1) \langle \delta O_1(x_1) \cdots \rangle$$



2

is much more convenient than

1

**Any local form for discrete or disconnected group symmetry?**

**Let's try!**

## Example: Ising model

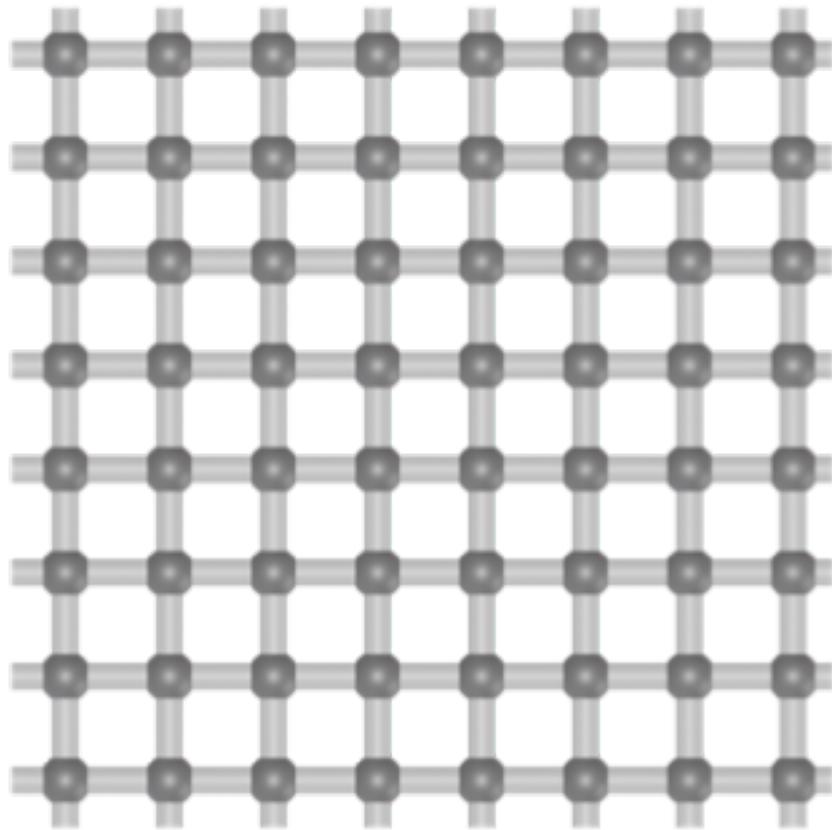
$$\sigma(x) = \pm 1 \quad x: \text{label of a site.}$$

$$Z = \sum_{\{\sigma\}} \exp(-S(\sigma))$$

$$S(\sigma) = -K \sum_{\langle xy \rangle: \text{links}} \sigma(x)\sigma(y)$$

$\mathbb{Z}_2$  symmetry

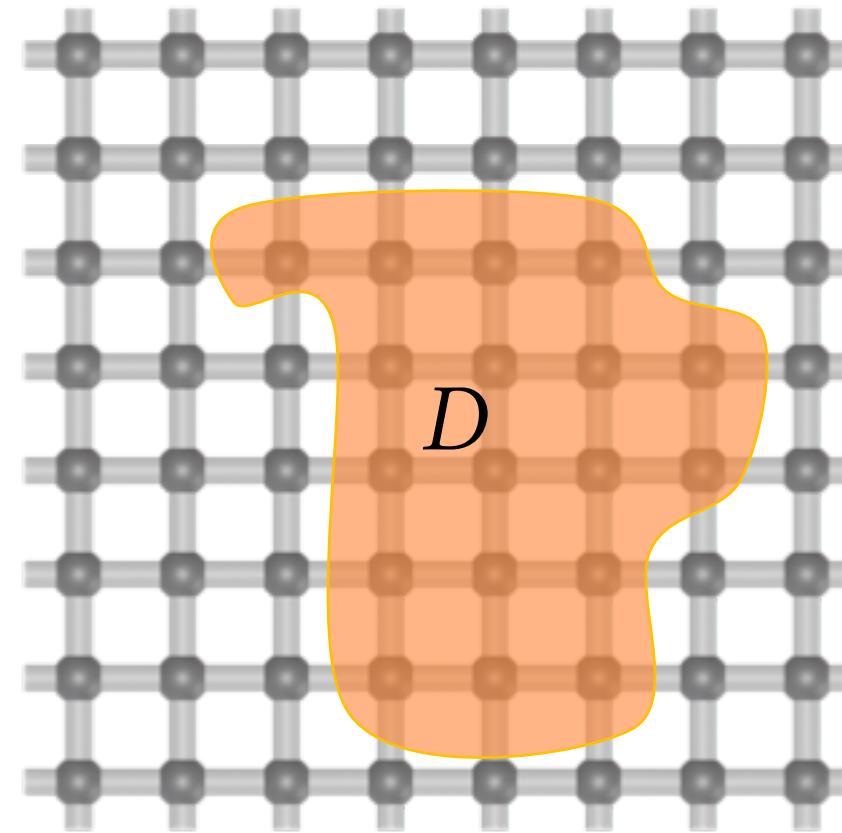
$$\sigma(x) \rightarrow \sigma'(x) = -\sigma(x)$$



## Spacetime dependent transformation

region  $D$

$$\sigma'(x) = \begin{cases} -\sigma(x) & (x \in D) \\ \sigma(x) & (x \notin D) \end{cases}$$



$$\sigma'(x) = \begin{cases} -\sigma(x) & (x \in D) \\ \sigma(x) & (x \notin D) \end{cases}$$

$$Z = \sum_{\{\sigma\}} \exp(-S(\sigma))$$

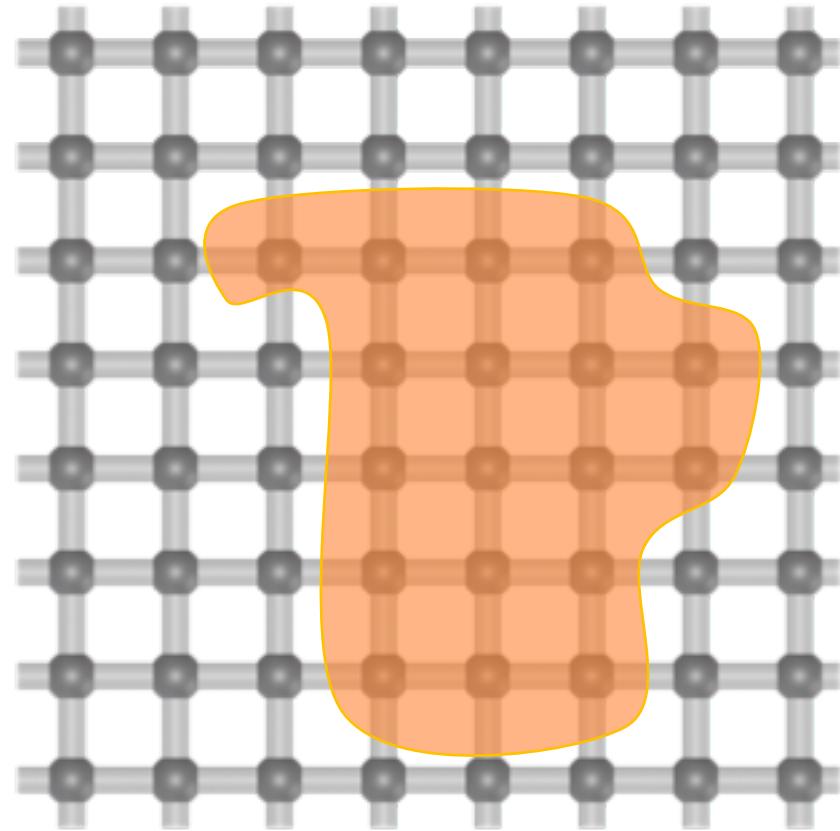
just change the letter

$$= \sum_{\{\sigma'\}} \exp(-S(\sigma'))$$

use this

$$= \sum_{\{\sigma\}} \exp(-S_D(\sigma))$$

$$S_D(\sigma) \neq S(\sigma)$$



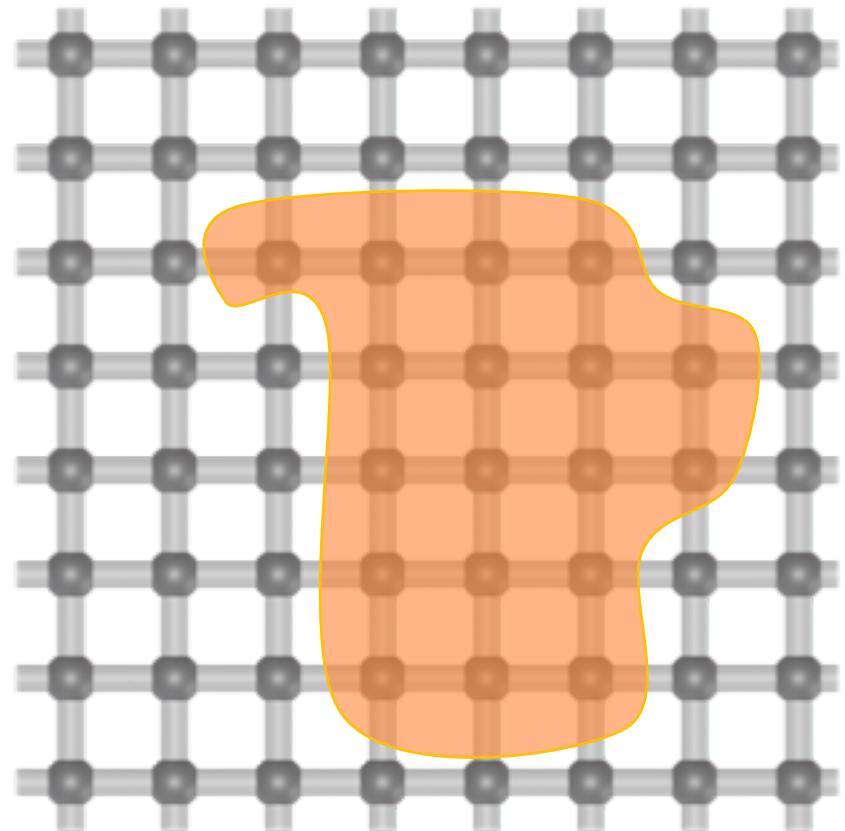
How different?

$$Z = \sum_{\{\sigma\}} \exp(-S(\sigma)) = \sum_{\{\sigma'\}} \exp(-S(\sigma')) = \sum_{\{\sigma\}} \exp(-S_D(\sigma))$$

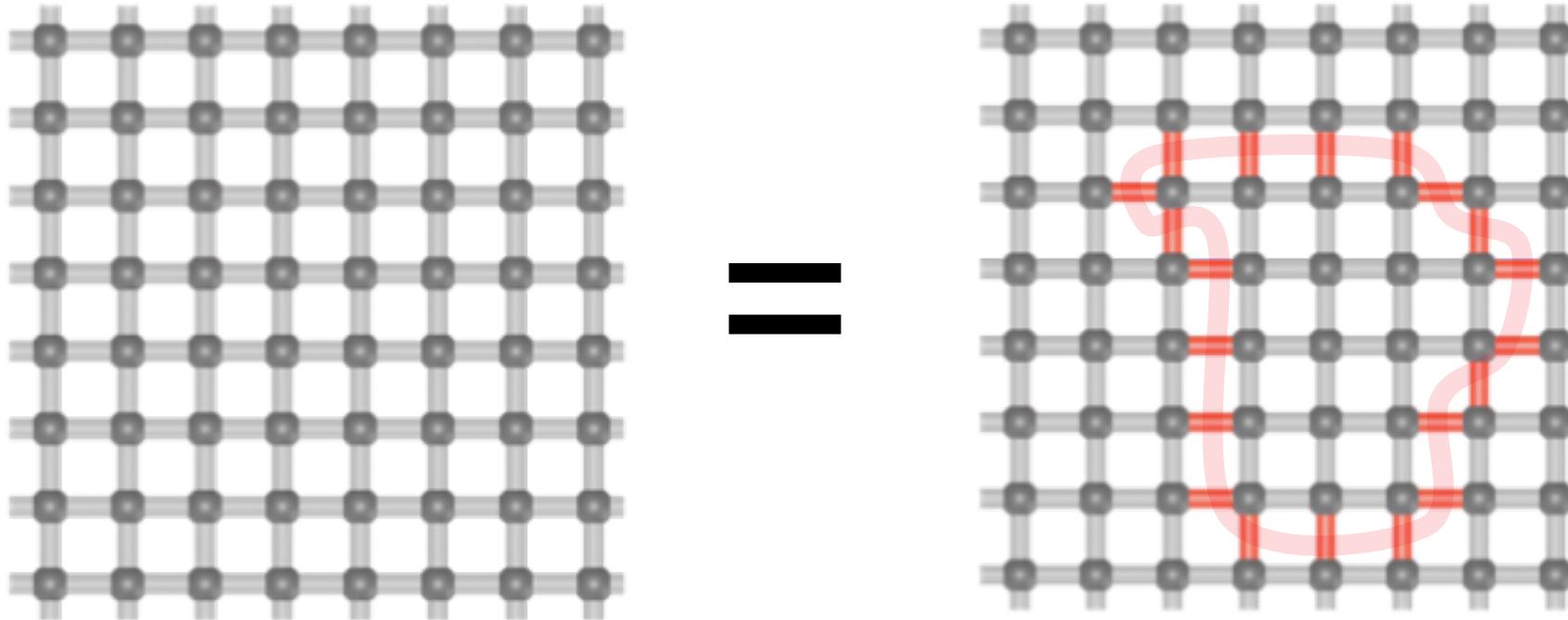
$$\text{[Orange Box]} = \text{[Grey Box]} = \exp(K\sigma(x)\sigma(y))$$

$$= \exp(-K\sigma(x)\sigma(y)) =: \text{[Red Bond]}$$

“Defect”



Such a defect associated to symmetry  
is called a “symmetry defect.”



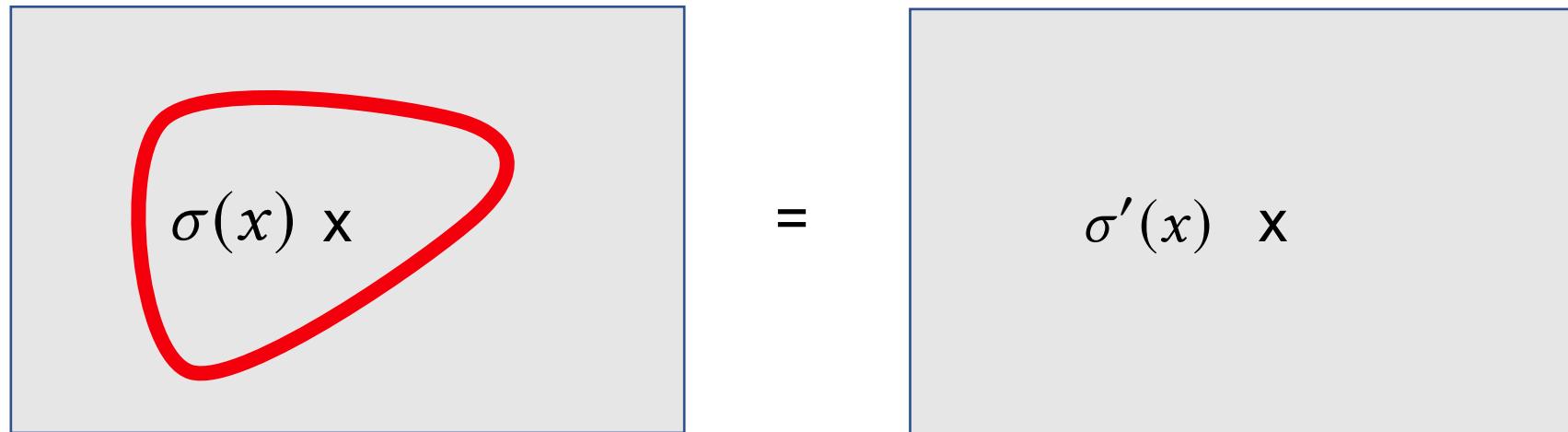
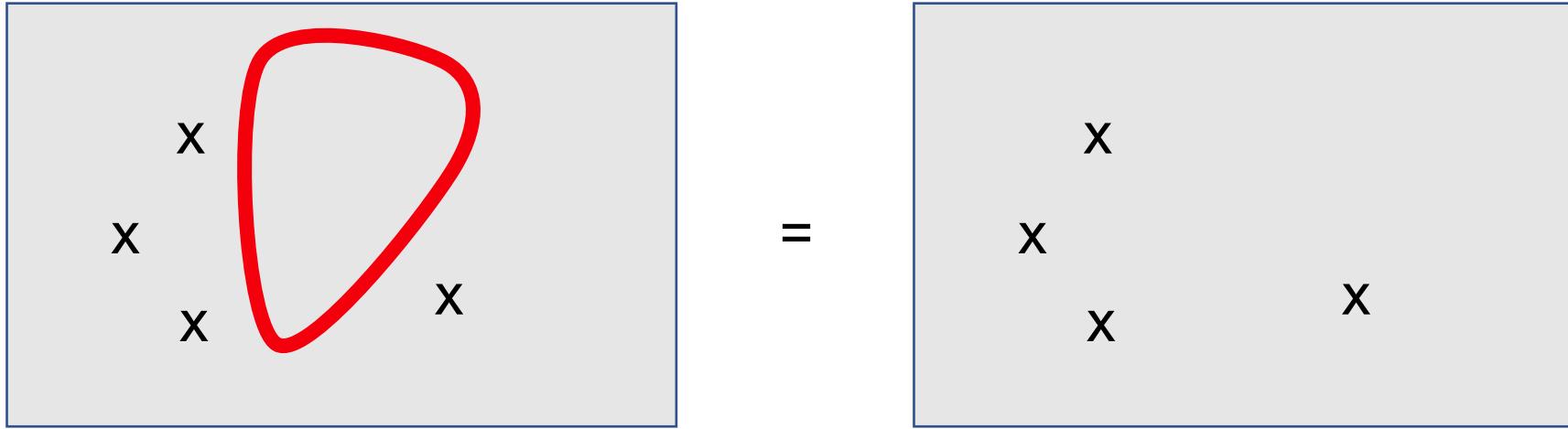
$$Z = \sum_{\{\sigma\}} \exp(-S(\sigma)) = \sum_{\{\sigma\}} \exp(-S_D(\sigma)) = \sum_{\{\sigma\}} \exp(-S(\sigma)) U(\Sigma)$$

↓

$$U(\Sigma) := \exp(-S_D(\sigma) + S(\sigma)) \quad \quad \Sigma := \partial D$$

$$1 = \langle U(\Sigma) \rangle$$

## Relation to other operator



(Local form of) Ward-Takahashi identity

# Ordinary symmetry defect

- Codimension 1

- Topological

$$\text{O} = \text{O}$$

- Invertible

The expectation value is the same if the difference is the boundary of a region without any insertion.

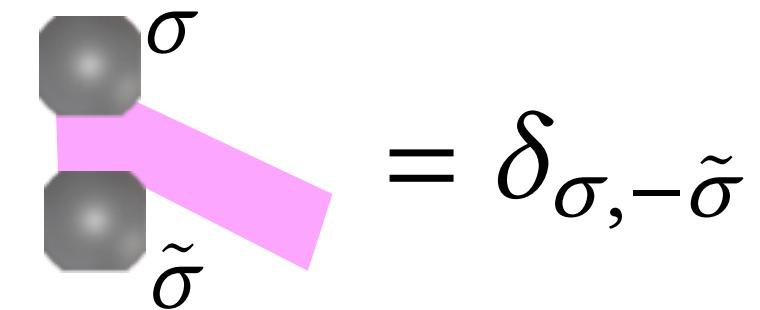
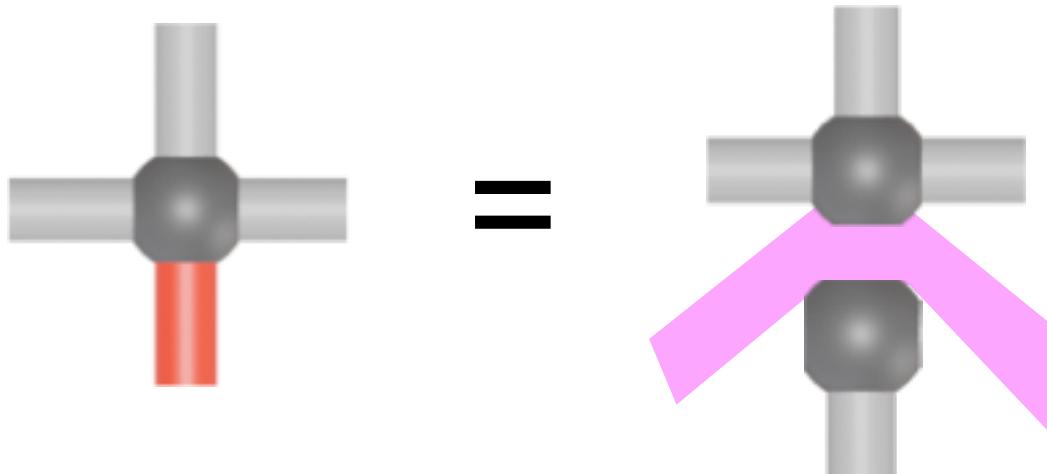
$$x \text{O} \text{O} = \text{O} x$$

$$\text{O} = \text{O} \text{O}$$

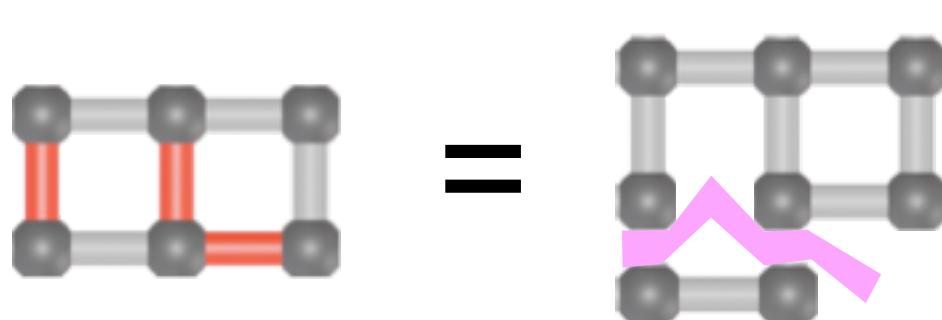
Technical remark

**There are many ways to realize symmetry defect.**

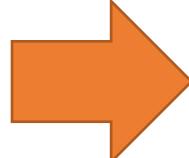
Eg.



(It does not include any information of the action)



Plan:

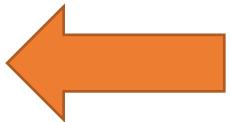
- 
- Symmetry  $\Rightarrow$  topological defect
  - Generalized symmetry
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# **Generalized symmetry**

So far

**Symmetry**  **Topological defect**

**How about this way?**



Not always. But WT-like identity exists for an arbitrary topological defect.

**Topological defects should be able to  
be used in a similar way to symmetry!**

Ordinary symmetry topological defect

● Codimension 1

● Invertible

Generalize

Codimension  $q+1$ :  $q$ -form symmetry

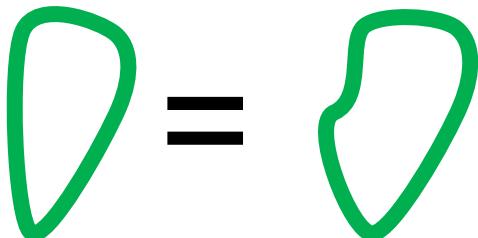
Non-invertible:

# Non-invertible symmetry

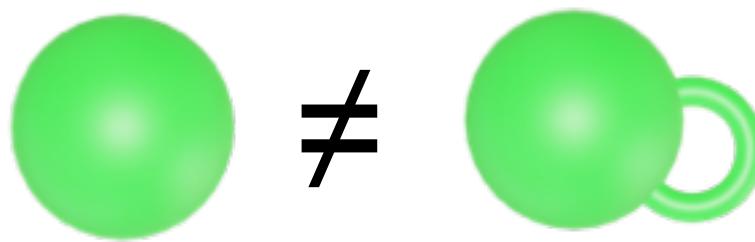
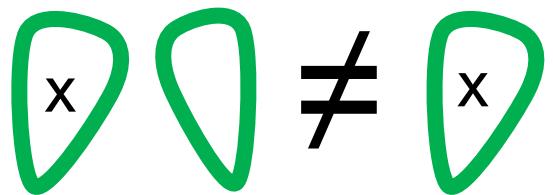
(General topological defect)

# Non-invertible symmetry

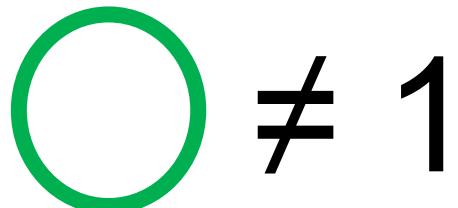
Topological



Non-invertible



In particular



Example:

A lot of examples in 2 dimensions. Eg. Verlinde line in rational conformal field theory.



It is actually useful to investigate the phase structure of two dimensional quantum field theories.

[Chang, Lin, Shao, Yin 18], [Komargodski, Ohmori, Roumpedakis, Seifmashri 20],  
[Nguyen, Tanizaki, Unsal 21],...

It would be nice to have such a tool in 4 dimensions.

(We do not have rational conformal field theory...)

Example:

Kramers–Wannier duality in 2 dimensional Ising model.  
(An example of Verlinde lines)

Lattice approach [Aasen, Mong, Fendley 16]

Explicitly constructed the duality defect.

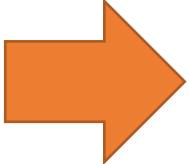
(The construction does not depend on rational CFT)

$$\begin{array}{c} \text{Diagram: Two gray circles labeled } \sigma \text{ and } \tilde{\sigma} \text{ connected by a green line segment.} \\ \sigma \quad \tilde{\sigma} \end{array} = \begin{cases} -1 & (\sigma = \tilde{\sigma} = -1) \\ +1 & (\text{others}) \end{cases}$$

$$\begin{array}{c} \text{Diagram: Two gray circles labeled } \sigma \text{ and } \tilde{\sigma} \text{ connected by a pink line segment.} \\ \sigma \quad \tilde{\sigma} \end{array} = \delta_{\sigma, -\tilde{\sigma}} \quad \dots$$

$$\xrightarrow{\hspace{1cm}} \bigcirc = \sqrt{2} \neq 1$$

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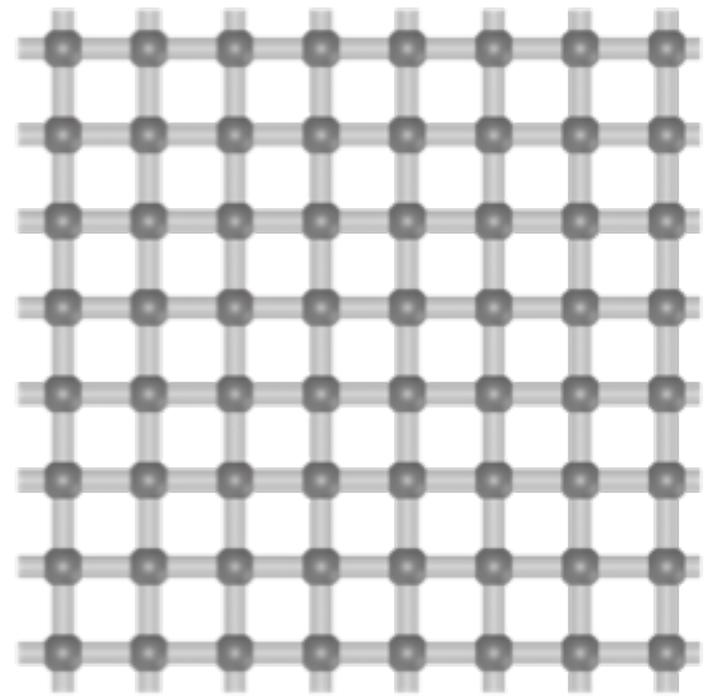
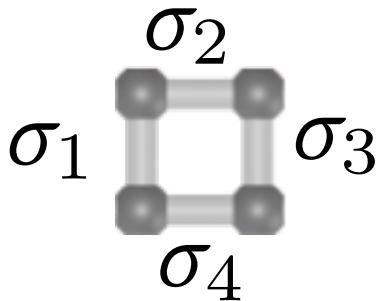
# Topological defects in 4 dimensional $\mathbb{Z}_2$ lattice gauge theory

— overview —

# 4-dimensional $Z_2$ lattice gauge theory

$$Z = \sum_{\{\sigma\}} \exp \left[ K \sum_{\text{all } \square} \sigma_1 \sigma_2 \sigma_3 \sigma_4 \right]$$

$$K \sim \frac{1}{g^2}$$

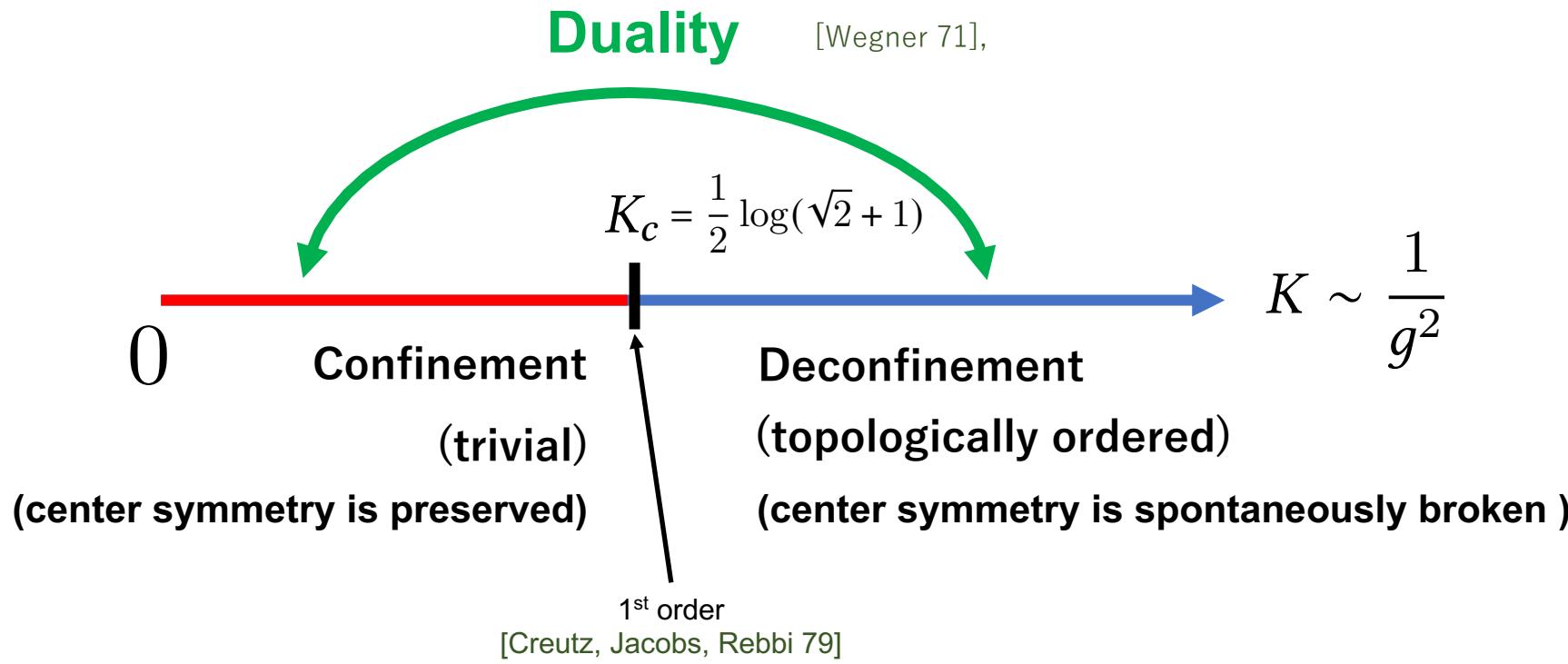


4-dimensional cubic lattice

Put a spin at each link

$$\sigma = \pm 1$$

# 4-dimensional $\mathbb{Z}_2$ lattice gauge theory

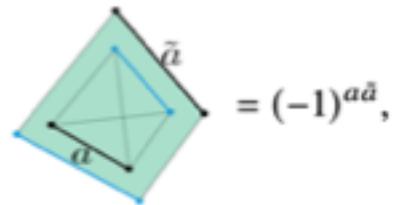


**Center symmetry: 1-form  $\mathbb{Z}_2$  symmetry**

We constructed

## ● topological defects corresponding to the duality and the center symmetry

codim 1

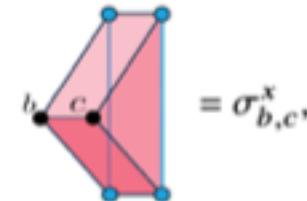


$$= (-1)^{a\bar{a}},$$

$$\bullet - \bullet = \frac{1}{\sqrt{2}}, \quad \bullet = \frac{1}{\sqrt{2}},$$

$$\bullet - \bullet = 1, \quad \bullet = 1,$$

codim 2

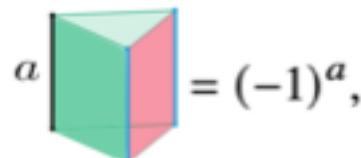


$$= \sigma_{b,c}^x,$$

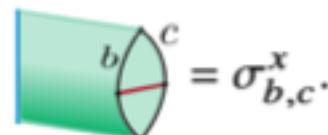


$$= \sqrt{2}.$$

## ● Junctions



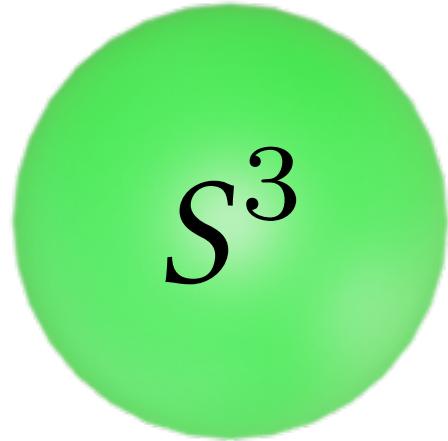
$$a = (-1)^a,$$



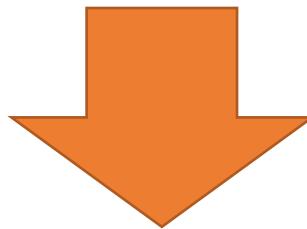
$$b - c = \sigma_{b,c}^x.$$

※ Since we are working in lattice, these results are rigorous.

We calculated



$$= \frac{1}{\sqrt{2}} \neq 1$$



**The duality defect is non-invertible!**

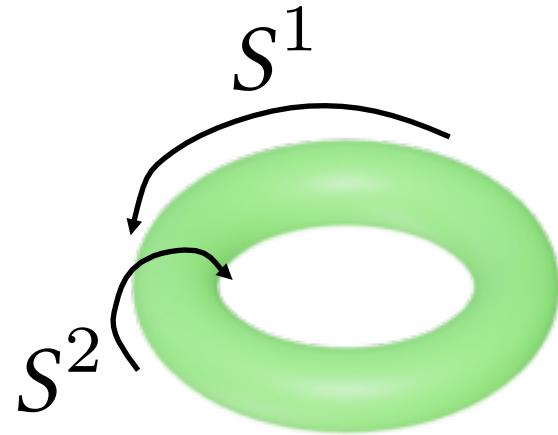
## Crossing relations (algebra of the symmetry)

$$D^3 \begin{array}{c} \text{green} \\ \diagup \quad \diagdown \\ \text{red} \end{array} S^1 = D^3 \begin{array}{c} \text{green} \\ \diagdown \quad \diagup \\ \text{red} \end{array} D^2$$

$$D^3 \begin{array}{c} \text{green} \\ \diagup \quad \diagdown \\ \text{green} \end{array} = \frac{1}{\sqrt{2}} \begin{array}{c} I \\ \text{green} \end{array} S^2$$

$$D^2 \begin{array}{c} \text{green} \\ \diagup \quad \diagdown \\ \text{green} \end{array} S^1 = \frac{1}{\sqrt{2}} \left\{ \begin{array}{c} D^2 \\ \text{green} \\ \diagup \quad \diagdown \\ \text{green} \end{array} S^1 + \begin{array}{c} \text{green} \\ \diagup \quad \diagdown \\ \text{green} \\ \text{red} \end{array} \right\}$$

## An example of expectation values



$$= \sqrt{2}$$



$$= 1$$

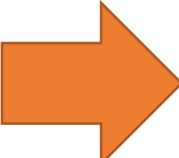
$$D^3 \quad | \quad | \quad = \frac{1}{\sqrt{2}} \quad | \quad S^2$$

A box containing two vertical green shapes, followed by an equals sign, then a fraction  $\frac{1}{\sqrt{2}}$ , followed by another vertical green shape, and finally the label  $S^2$ . An orange arrow points from the top of the  $S^2$  label to the  $S^2$  label above the torus.

$$S^3 = \frac{1}{\sqrt{2}}$$

A box containing a single green circle, followed by an equals sign, then a fraction  $\frac{1}{\sqrt{2}}$ . An orange arrow points from the top of the  $S^3$  label to the  $S^3$  label above the torus.

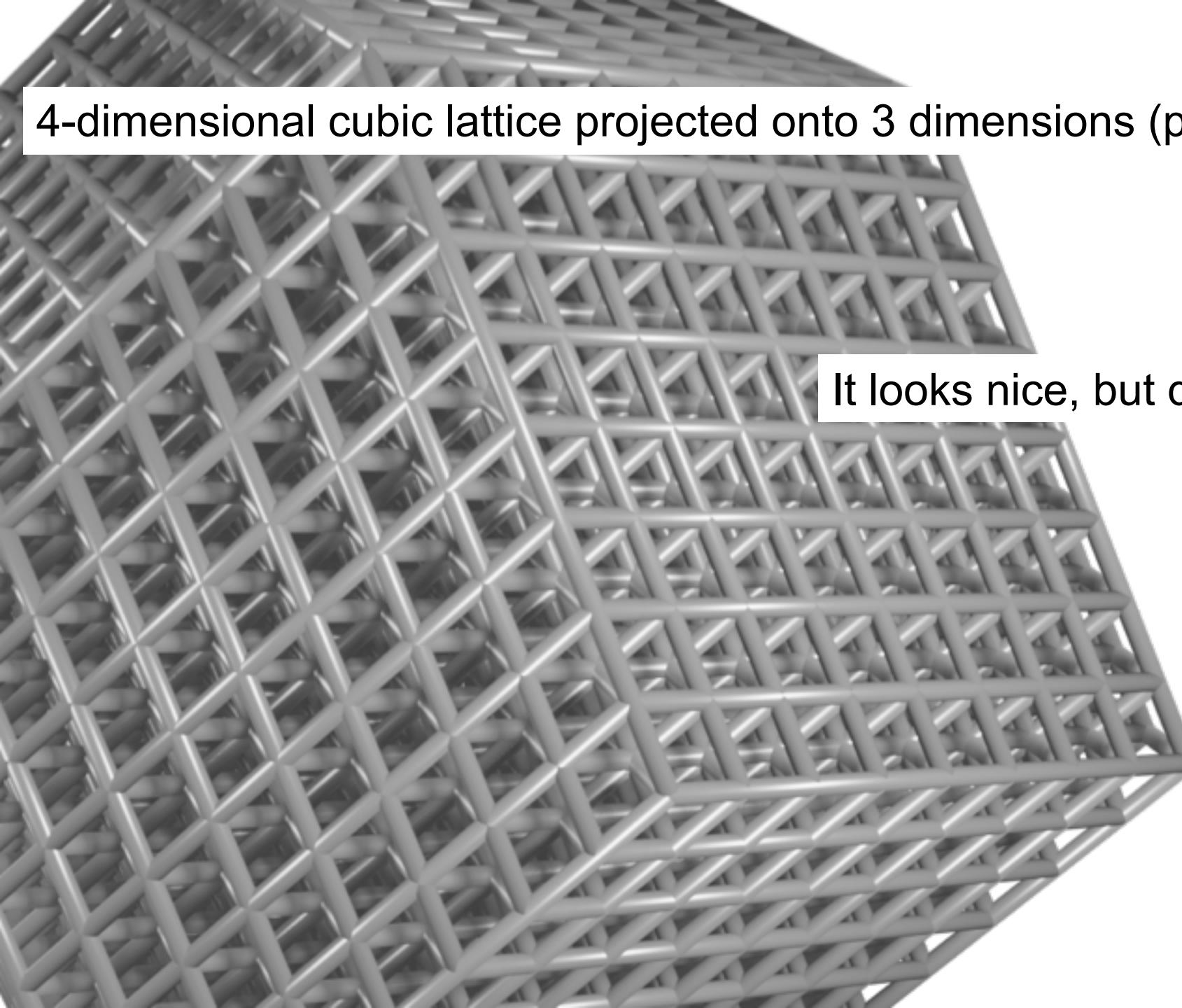
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# Topological defects in 4 dimensional $Z_2$ lattice gauge theory

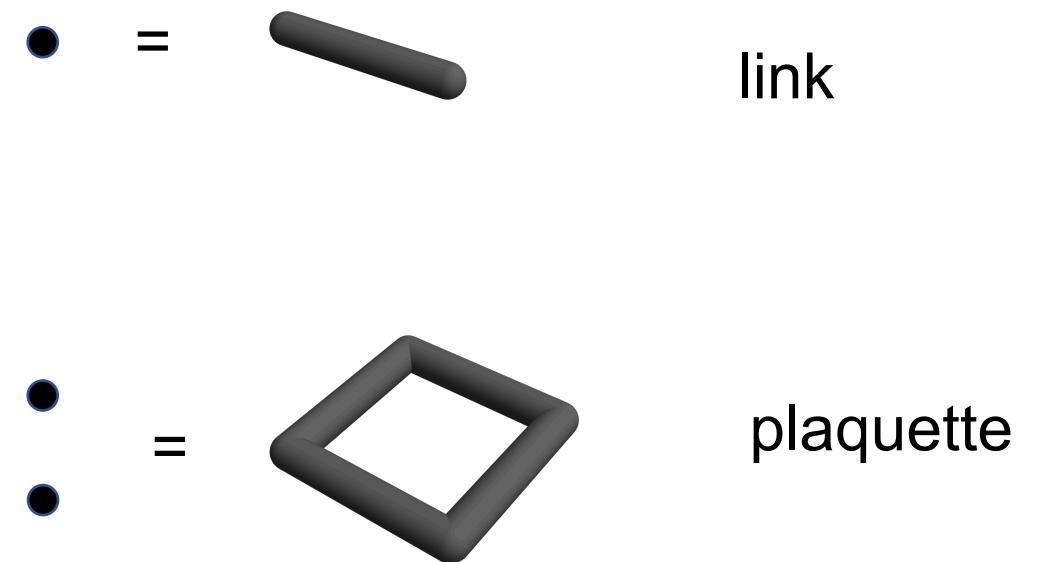
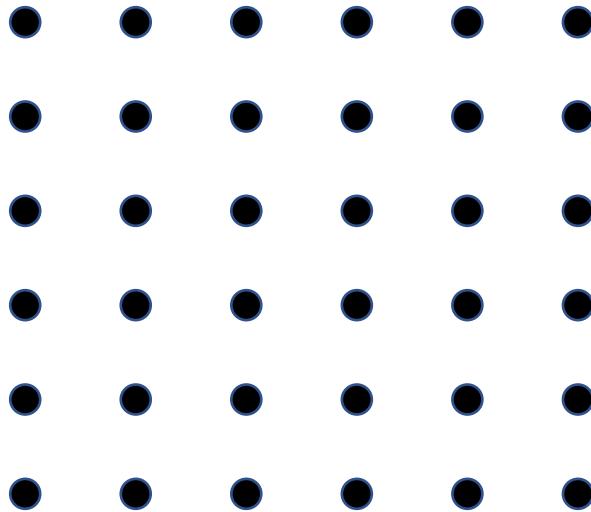
— Detail —

4-dimensional cubic lattice projected onto 3 dimensions (projected onto 2 dimensions)

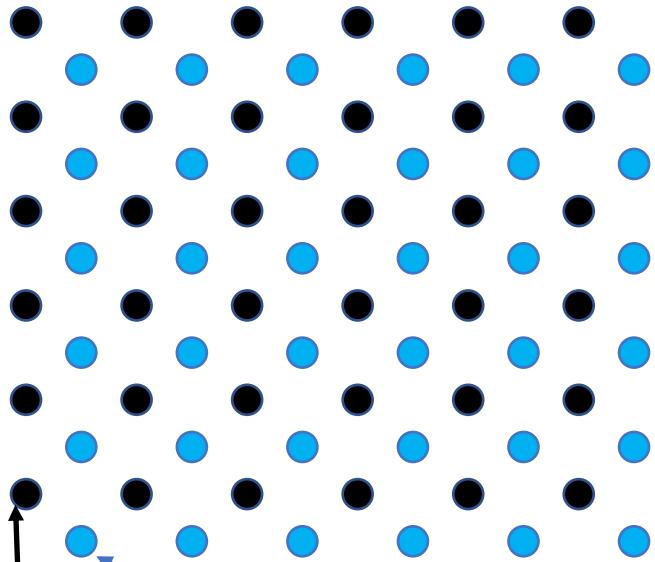


It looks nice, but does not help understanding.

We use 2D illustration for 4D.

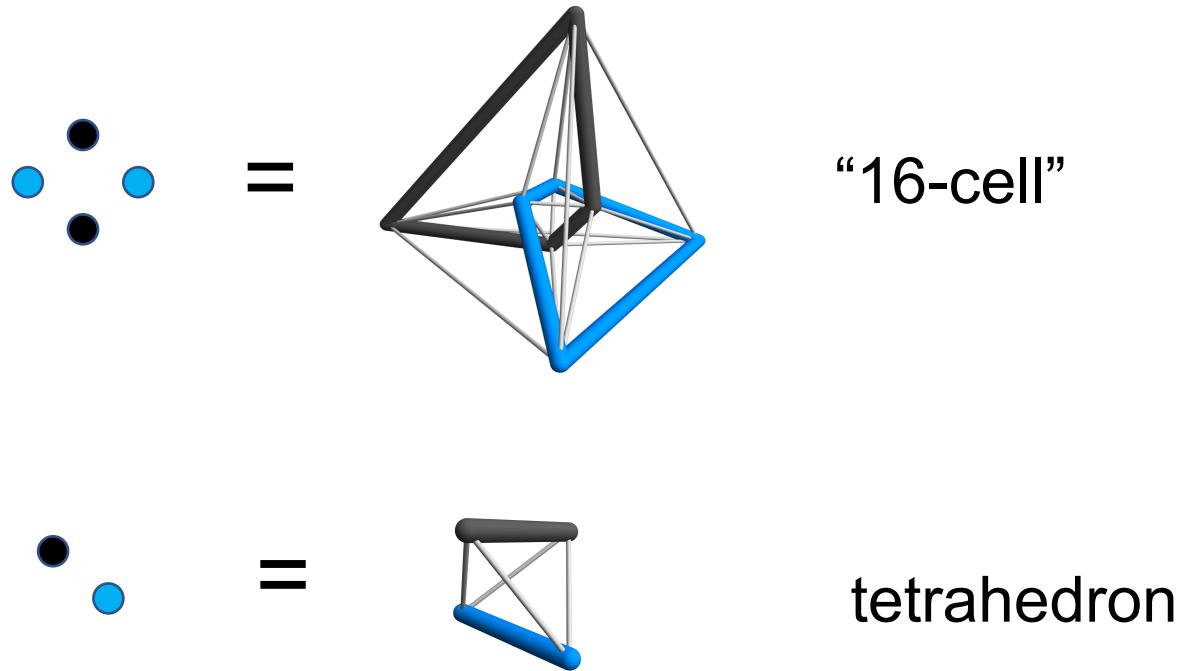


We prepare an auxiliary lattice which is dual to the original lattice.



Active link: link variable is assigned.

Inactive link: link variable is not assigned.

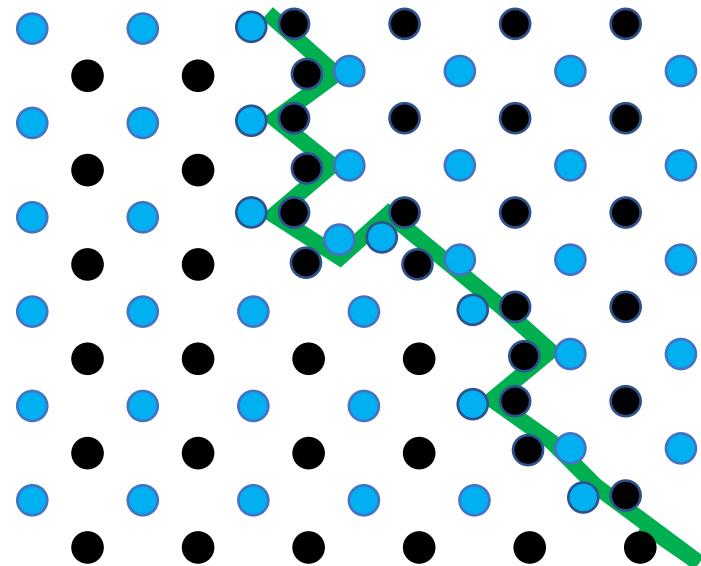


We assign the Boltzmann weight for each 16-cell.  
It is equivalent to assign one for each plaquette.

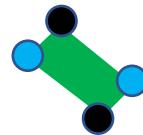
# Duality defect

Double the links on the duality defect.

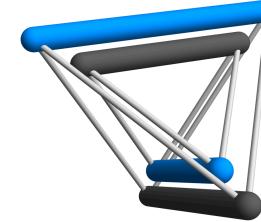
Exchange active and inactive links across the duality defect



Building block



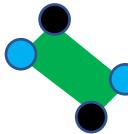
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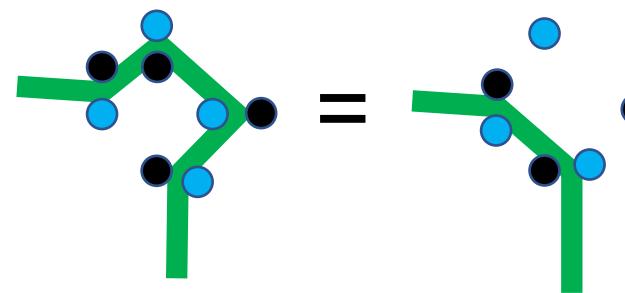
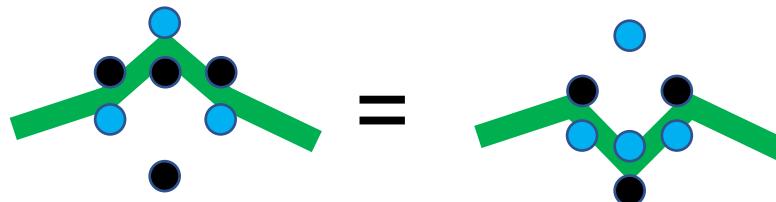
tetrahedral prism

assign the weight for each building block

Assign the weight for each building block , so that the defect is topological.



Require



Lots of such relations. Highly overdetermined.

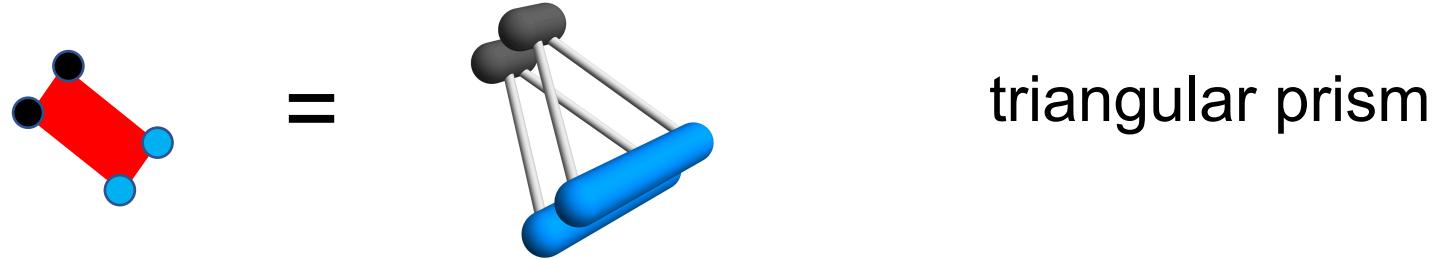
There is a unique physically sensible solution up to some sign conventions.

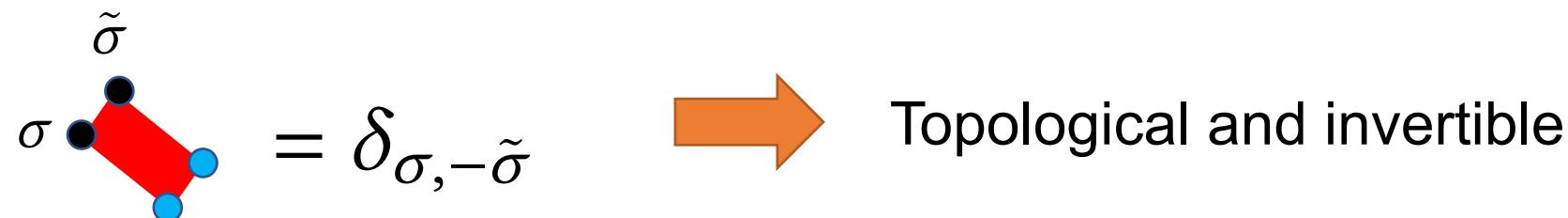
$$\begin{array}{c} \sigma \\ \text{---} \\ \tilde{\sigma} \end{array} = \begin{cases} -1 & (\sigma = \tilde{\sigma} = -1) \\ +1 & (\text{others}) \end{cases}$$

(⌘We also have to assign some weights for active and inactive sites and links)

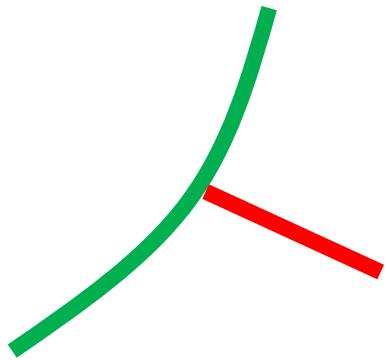
# 1-form $Z_2$ center symmetry defect

Codimension 2

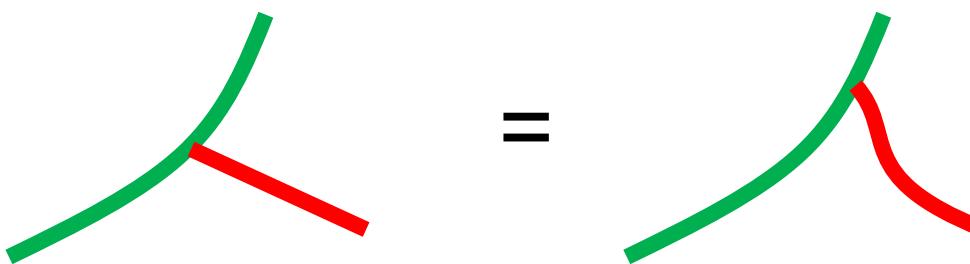



$$\sigma \cdot \tilde{\sigma} = \delta_{\sigma, -\tilde{\sigma}} \rightarrow \text{Topological and invertible}$$

# Junctions

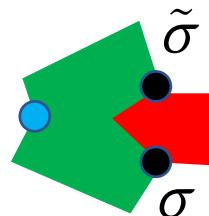


Weights are determined so that the junction is topological

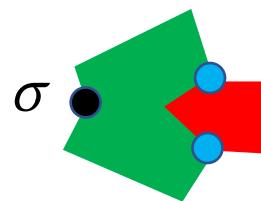


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Two kinds



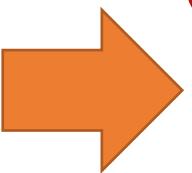
$$= \delta_{\sigma, -\tilde{\sigma}}$$



$$= \sigma$$

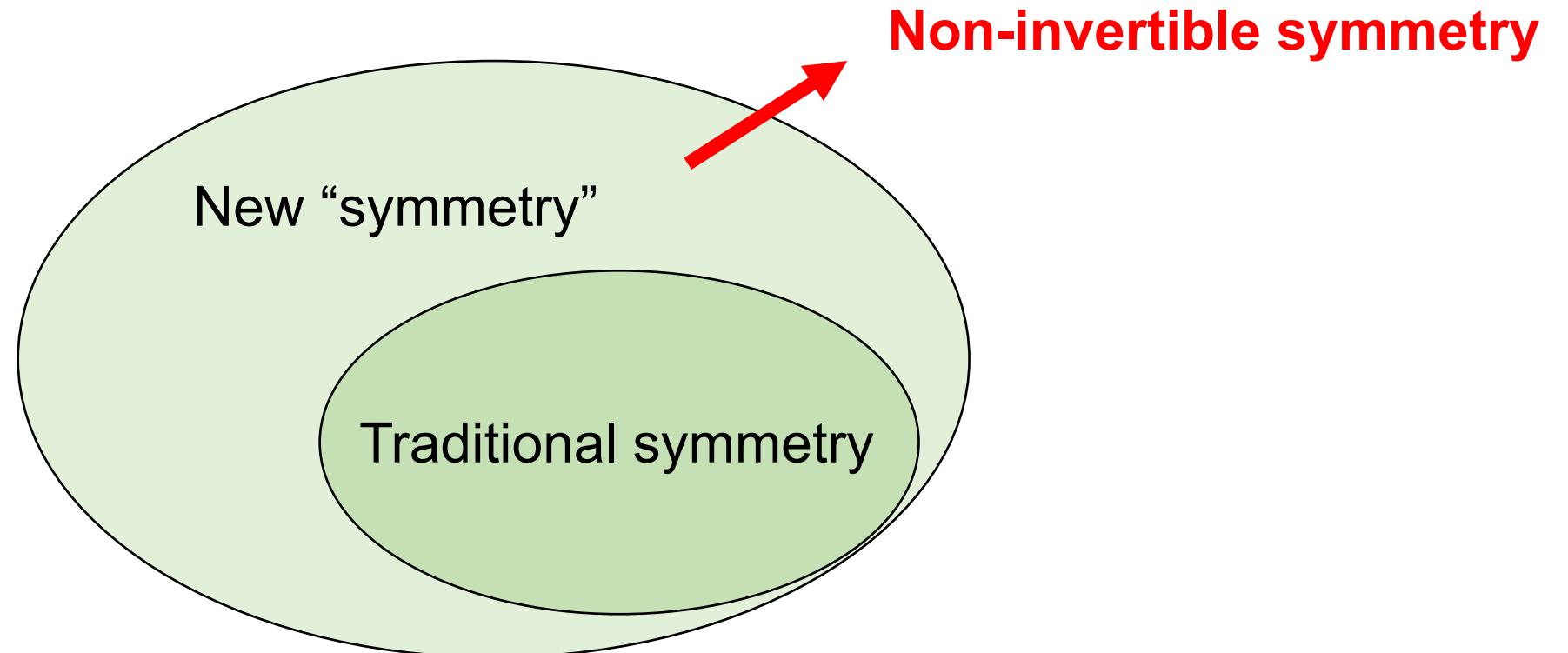
We can calculate arbitrary configuration of these defects.

Plan:

- Symmetry  $\Rightarrow$  topological defect
- Generalized symmetry
- Topological defects in 4d  $Z_2$  lattice gauge theory — overview—  

- Topological defects in 4d  $Z_2$  lattice gauge theory — detail—
- Summary and discussion

# **Summary and discussion**

# Concept of symmetry is changing.



We find an example of non-invertible symmetry  
in 4 dimensions

[Koide, Nagoya, SY 21]

4-dimensional  $Z_2$  lattice gauge theory

Duality [Wegner 71]

1-form  $Z_2$  center symmetry

Non-invertible symmetry

Crossing relations and some expectation values are calculated.

This symmetry will not be only a special symmetry of a special theory, but it appears in many theories as KW duality in two dimensions.

## Discussions

### Applications?

Recently, a lot of examples of such non-invertible duality defects in 4-dimensional continuum quantum field theory have been found.

[Choi, Cordova, Hsin, Lam, Shao 21], [Kaidi, Ohmori, Zheng 21]

They should be useful to analyze phase structures of QFTs.

AdS/CFT correspondence  $\Rightarrow$  string theory

The duality of N=4 SU(N) SYM is non-invertible.



The duality of type IIB string is non-invertible?

(cf anomaly for the duality of IIB [Debray, Dierigl, Heckman, Montero] )