# Hash functions and hash tables

Hashing, Introduction to hash tables, Hash functions, Collision resolution Techniques, separate Chaining, open addressing, Linear probing, Quadratic probing, Double hashing, rehashing, Chained hash tables.

#### Goal

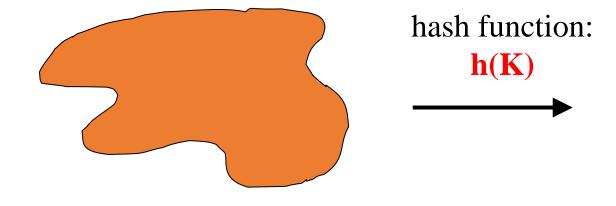
Develop a structure that will allow user to insert/delete/find records in

#### constant average time (O(1))

- structure will be a table (relatively small)
- table completely contained in memory
- implemented by an array
- capitalizes on ability to access any element of the array in constant time

#### **Hash Tables**

- Constant time accesses!
- A hash table is an array of some fixed size, usually a prime number.
- General idea:



key space (e.g., integers, strings)

hash table

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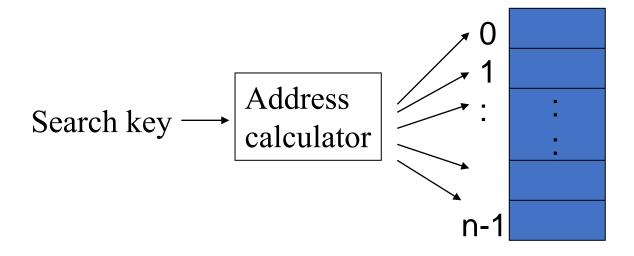
TableSize −1

#### Hashing

- Hashing
  - Enables access to table items in time that is relatively constant and independent of the items
- Hash function
  - Maps the search key of a table item into a location that will contain the item
- Hash table
  - An array that contains the table items, as assigned by a hash function

#### **Hashing Operations**

Address calculator



tableInsert(newItem)

i = the array index that the address calculator gives you for newItem's search key

table[i] = newItem

#### **Hash Function**

- Determines position of key in the array.
- Assume table (array) size is N
- Function f(x) maps any key x to an int between 0 and N-1
- For example, assume that N=15, that key x is a non-negative integer between 0 and MAX\_INT, and hash function f(x) = x % 15.

#### **Hash Function**

Let f(x) = x % 15. Then, if x = 25 129 35 2501 47 36f(x) = 10 9 5 11 2 6

Storing the keys in the array is straightforward:

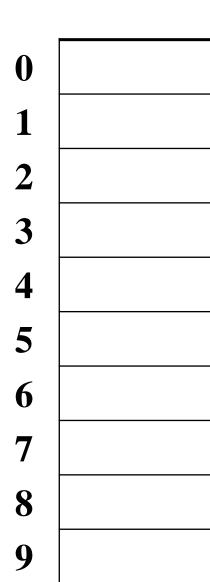
Thus, delete and find can be done in O(1), and also insert, except...

#### **Example**

- key space = integers
- TableSize = 10

•  $h(K) = K \mod 10$ 

• Insert: 7, 18, 41, 94

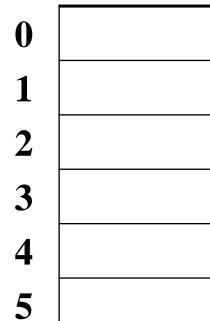


## **Another Example**

- key space = integers
- TableSize = 6

•  $h(K) = K \mod 6$ 

• Insert: 7, 18, 41, 34



#### Hash Function

What happens when you try to insert: x = 65? N=15

$$x = 65$$

$$f(x) = 5$$

This is called a **collision**.

# **Handling Collisions**

 What to do when inserting an element and already something present?



#### Hashing

- A perfect hash function (ideally ...)
  - Maps each search key into a unique location of the hash table
  - Possible if all the search keys are known
- Collisions
  - Occur when the hash function maps more than one item into the same array location
- Collision-resolution schemes
  - Assign locations in the hash table to items with different search keys when the items are involved in a collision
- Requirements for a hash function
  - Be easy and fast to compute
  - Place items evenly throughout the hash table

#### **Collisions**

- When two values hash to the same array location, this is called a collision
- Collisions are normally treated as "first come, first served"—the first value that hashes to the location gets it
- We have to find something to do with the second and subsequent values that hash to this same location

## Handling Collisions





- Separate Chaining
- Open Addressing
  - Linear Probing
  - Quadratic Probing
  - Double Hashing

#### **Resolving Collisions**

- Two approaches to collision resolution
  - Approach 1: Open addressing
    - A category of collision resolution schemes that probe for an empty, or open, location in the hash table
      - The sequence of locations that are examined is the probe sequence
    - Linear probing
      - Searches the hash table sequentially, starting from the original location specified by the hash function
      - Possible problem
        - Primary clustering

#### **Resolving Collisions**

- Approach 1: Open addressing (Continued)
  - Quadratic probing
    - Searches the hash table beginning with the original location that the hash function specifies and continues at increments of  $1^2$ ,  $2^2$ ,  $3^2$ , and so on
    - Possible problem
      - Secondary clustering
  - Double hashing
    - Uses two hash functions  $h_1$  and  $h_2$ , where  $h_2(key) \neq 0$  and  $h_2 \neq h_1$
    - Searches the hash table starting from the location that one hash function determines and considers every n<sup>th</sup> location, where n is determined from a second hash function
- Increasing the size of the hash table
  - The hash function must be applied to every item in the old hash table before the item is placed into the new hash table

# **Handling Collisions**

**Linear Probing** 

#### Algorithm / Procedure

#### Algorithm:

- 1. Calculate the hash key. i.e., **key = data % size**
- 2.Check, if hashTable[key] is empty
  - •store the value directly by hashTable[key] = data
- 3.If the hash index already has some value then
  - 1. check for next index using key = (key+1) % size
- 4.Check, if the next index is available hashTable[key] then store the value. Otherwise try for next index.
- 5.Do the above process till we find the space.

Let key x be stored in element f(x)=t of the array

What do you do in case of a collision?

If the hash table is not full, attempt to store key in the next array element (in this case (t+1)%N, (t+2)%N, (t+3)%N ...)

until you find an empty slot.

Where do you store 65?

0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 47 35 36 65 129 25 2501 
$$\uparrow \quad \uparrow \quad \uparrow \quad \uparrow \quad$$
 attempts

Where would you store: 29?

If the hash table is not full, attempt to store key in array elements (t+1)%N, (t+2)%N, ...

```
0 1 2 3 4 5 6 7 8 9 10 11 12 13 14
47 35 36 65 129 25 2501 29
↑
attempts
```

Where would you store: 16?

If the hash table is not full, attempt to store key in array elements (t+1)%N, (t+2)%N, ...

Where would you store: 14?

If the hash table is not full, attempt to store key in array elements (t+1)%N, (t+2)%N, ...

Where would you store: 99?

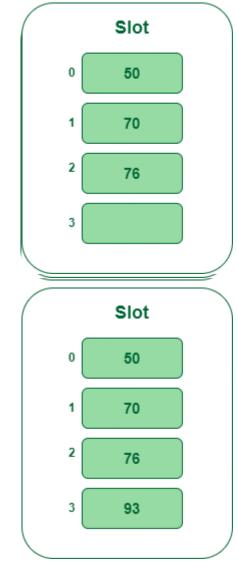
If the hash table is not full, attempt to store key in array elements (t+1)%N, (t+2)%N, ...

Where would you store: 127?

If the hash table is not full, attempt to store key in array elements (t+1)%N, (t+2)%N, ...

**Example:** Let us consider a simple hash function as "key mod 5" and a sequence of keys that are to be inserted are 50, 70, 76, 85, 93.

- •Step1: First draw the empty hash table which will have a possible range of hash values from 0 to 4 according to the hash function provided.
- •Step 2: Now insert all the keys in the hash table one by one. The first key is 50. It will map to slot number 0 because 50%5=0. So insert it into slot number 0.
- •Step 3: The next key is 70. It will map to slot number 0 because 70%5=0 but 50 is already at slot number 0 so, search for the next empty slot and insert it.
- •Step 4: The next key is 76. It will map to slot number 1 because 76%5=1 but 70 is already at slot number 1 so, search for the next empty slot and insert it.
- •Step 5: The next key is 93 It will map to slot number 3 because 93%5=3, So insert it into slot number 3.



- Eliminates need for separate data structures (chains), and the cost of constructing nodes.
- Leads to problem of clustering. Elements tend to cluster in dense intervals in the array.

```
••••••
```

- Search efficiency problem remains.
- Deletion becomes trickier....

# **Handling Collisions**

**Quadratic Probing** 

#### Algorithms/Procedure

Let hash(x) be the slot index computed using the hash function and n be the size of the hash table.

- 1. If the slot hash(x) % n is full, then we try (hash(x) +  $1^2$ ) % n.
- 2. If  $(hash(x) + 1^2)$  % n is also full, then we try  $(hash(x) + 2^2)$  % n.
- 3. If  $(hash(x) + 2^2)$  % n is also full, then we try  $(hash(x) + 3^2)$  % n. This process will be repeated for all the values of **i** until an empty slot is found

Let key x be stored in element f(x)=t of the array

What do you do in case of a collision?

If the hash table is not full, attempt to store key in array elements (t+1<sup>2</sup>)%N, (t+2<sup>2</sup>)%N, (t+3<sup>2</sup>)%N ... until you find an empty slot.

Where do you store 65? f(65)=t=5

Where would you store: 29?

If the hash table is not full, attempt to store key in array elements  $(t+1^2)\%N$ ,  $(t+2^2)\%N$  ...

Where would you store: 16?

If the hash table is not full, attempt to store key in array elements  $(t+1^2)\%N$ ,  $(t+2^2)\%N$  ...

Where would you store: 14?

If the hash table is not full, attempt to store key in array elements  $(t+1^2)\%N$ ,  $(t+2^2)\%N$  ...

Where would you store: 99?

If the hash table is not full, attempt to store key in array elements  $(t+1^2)\%N$ ,  $(t+2^2)\%N$  ...

Where would you store: 127?

If the hash table is not full, attempt to store key in array elements  $(t+1^2)\%N$ ,  $(t+2^2)\%N$  ...

Where would you store: 127?

# Quadratic Probing

- Tends to distribute keys better than linear probing
- Alleviates problem of clustering
- Runs the risk of an infinite loop on insertion, unless precautions are taken.
- E.g., consider inserting the key 16 into a table of size 16, with positions 0, 1, 4 and 9 already occupied.
- When two keys hash to the same location, they will probe to the same alternative location. This may cause secondary clustering.
- In order to avoid this secondary clustering, double hashing method is created where we use extra multiplications and divisions.

# **Handling Collisions**

**Double Hashing** 

- Use a hash function for the decrement value
  - Hash(key, i) =  $H_1(key) (H_2(key) * i)$
- Now the decrement is a function of the key
  - The slots visited by the hash function will vary even if the initial slot was the same
  - Avoids clustering
- Theoretically interesting, but in practice slower than quadratic probing, because of the need to evaluate a second hash function.

## **Algorithms/ Procedure**

- You must perform the following steps to find an empty slot:
  - 1. Verify if hash1(key) is empty. If yes, then store the value on this slot.
  - 2. If hash1(key) is not empty, then find another slot using hash2(key).
  - 3. Verify if hash1(key) + hash2(key) is empty. If yes, then store the value on this slot.
  - 4. Keep incrementing the counter and repeat with hash1(key)+2hash2(key), hash1(key)+3hash2(key), and so on, until it finds an empty slot.

Let key x be stored in element f(x)=t of the array

What do you do in case of a collision?

Define a second hash function  $f_2(x)=d$ . Attempt to store key in array elements (t+d)%N, (t+2d)%N, (t+3d)%N ...

until you find an empty slot.

Typical second hash function

$$f_2(x)=R-(x\% R)$$

where R is a prime number, R < N

```
Where do you store 65? f(65)=t=5
Let f_2(x) = 11 - (x \% 11) f_2(65) = d = 1
Note: R=11, N=15
  Attempt to store key in array elements (t+d)%N, (t+2d)%N,
    (t+3d)\%N...
Array:
   0 1 2 3 4 5 6 7 8 9 10 11 12 13 14
           35 36 65 129 25 2501
                  t t+1 t+2
                  attempts
```

If the hash table is not full, attempt to store key in array elements (t+d)%N, (t+d)%N ...

Let 
$$f_2(x) = 11 - (x \% 11)$$
  $f_2(29) = d = 4$ 

Where would you store: 29?

```
Array:

0 1 2 3 4 5 6 7 8 9 10 11 12 13 14
47 35 36 65 129 25 2501 29

t attempt
```

If the hash table is not full, attempt to store key in array elements (t+d)%N, (t+d)%N ...

Let 
$$f_2(x) = 11 - (x \% 11)$$
  $f_2(16) = d = 6$ 

Where would you store: 16?

Array:

Where would you store: 14?

If the hash table is not full, attempt to store key in array elements (t+d)%N, (t+d)%N ...

Let 
$$f_2(x) = 11 - (x \% 11)$$
  $f_2(14) = d = 8$ 

attempts

Where would you store: 99?

If the hash table is not full, attempt to store key in array elements (t+d)%N, (t+d)%N ...

Let 
$$f_2(x) = 11 - (x \% 11)$$
  $f_2(99) = d = 11$ 

Where would you store: 127?

If the hash table is not full, attempt to store key in array elements (t+d)%N, (t+d)%N ...

Let 
$$f_2(x) = 11 - (x \% 11)$$
  $f_2(127) = d = 5$ 

Array:

Infinite loop!

- The advantage of Double hashing is that it is one of the best forms of probing, producing a uniform distribution of records throughout a hash table.
- This technique does not yield any clusters.
- It is one of the effective methods for resolving collisions.
- The disadvantages are: Double hashing is more difficult to implement than any other. Double hashing can cause thrashing.

# **Handling Collisions**

Separate Chaining

#### Algorithms/Procedure

- 1. Declare an array of a linked list with the hash table size.
- 2. Initialize an array of a linked list to NULL.
- 3. Find hash key.
- 4. If chain[key] == NULL
   Make chain[key] points to the key node.
- 5. Otherwise(collision), Insert the key node at the end of the chain[key].

# Separate Chaining

Let each array element be the head of a chain.

Where would you store: 29, 16, 14, 99, 127?

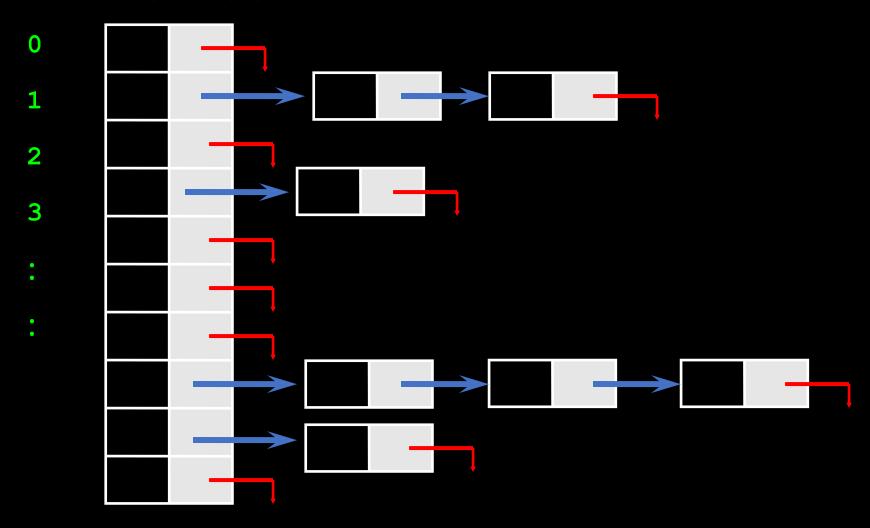
## Separate Chaining

Let each array element be the head of a chain:

Where would you store: 29, 16, 14, 99, 127?

New keys go at the front of the relevant chain.

#### hash table



#### Separate Chaining: Disadvantages

- Parts of the array might never be used.
- As chains get longer, search time increases to O(n) in the worst case.
- Constructing new chain nodes is relatively expensive (still constant time, but the constant is high).
- Is there a way to use the "unused" space in the array instead of using chains to make more space?

### Factors affecting efficiency

- Choice of hash function
- Collision resolution strategy
- Load Factor

 Hashing offers excellent performance for insertion and retrieval of data.

### The Efficiency of Hashing

- An analysis of the average-case efficiency of hashing involves the load factor
  - Load factor  $\alpha$ 
    - Ratio of the current number of items in the table to the maximum size of the array table
    - Measures how full a hash table is
    - Should not exceed 2/3
  - Hashing efficiency for a particular search also depends on whether the search is successful
    - Unsuccessful searches generally require more time than successful searches

### Performance of Hashing

- m = Length of Hash Table
- n = Total keys to be inserted in the hash table
- Load Factor and Rehashing :
  - Load factor is defined as (m/n) where n is the total size of the hash table and m is the preferred number of entries which can be inserted before a increment in size of the underlying data structure is required.
- Load factor If = n/m
- Expected time to search = O(1 +lf)
- Expected time to insert/delete = O(1 + If)
- The time complexity of search insert and delete is
- O(1) if If is O(1)

#### Hashing in Data Structures

- Insert T[ h(key) ] = value;
- Delete T[ h(key) ] = NULL;
- Search return T[ h(key) ];
- Open Hashing (Separate Chaining)
- h(key) = key%table size
- Closed Hashing (Open Addressing):
- Linear Probing:
- rehash(key) = (n+1)%tablesize
- Quadratic Probing:
- rehash(key) = (n+ k<sup>2</sup> ) % tablesize
- Double Hashing:
- h2(key) != 0 and h2 != h1

#### Python Implementation of Hashing

```
# Function to display hashtable
def display hash(hashTable):
    for i in range(len(hashTable)):
        print(i, end = " ")
        for j in hashTable[i]:
            print("-->", end = " ")
            print(j, end = " ")
        print()
# Creating Hashtable as
# a nested list.
HashTable = [[] for in range(10)]
# Hashing Function to return
# key for every value.
def Hashing(keyvalue):
    return keyvalue % len(HashTable)
```

```
# Insert Function to add
# values to the hash table
def insert(Hashtable, keyvalue, value):
    hash key = Hashing(keyvalue)
    Hashtable[hash key].append(value)
# Driver Code
insert(HashTable, 10, 'Allahabad')
insert(HashTable, 25, 'Mumbai')
insert(HashTable, 20, 'Mathura')
insert(HashTable, 9, 'Delhi')
insert(HashTable, 21, 'Punjab')
insert(HashTable, 21, 'Noida')
display hash (HashTable)
```

Any questions?