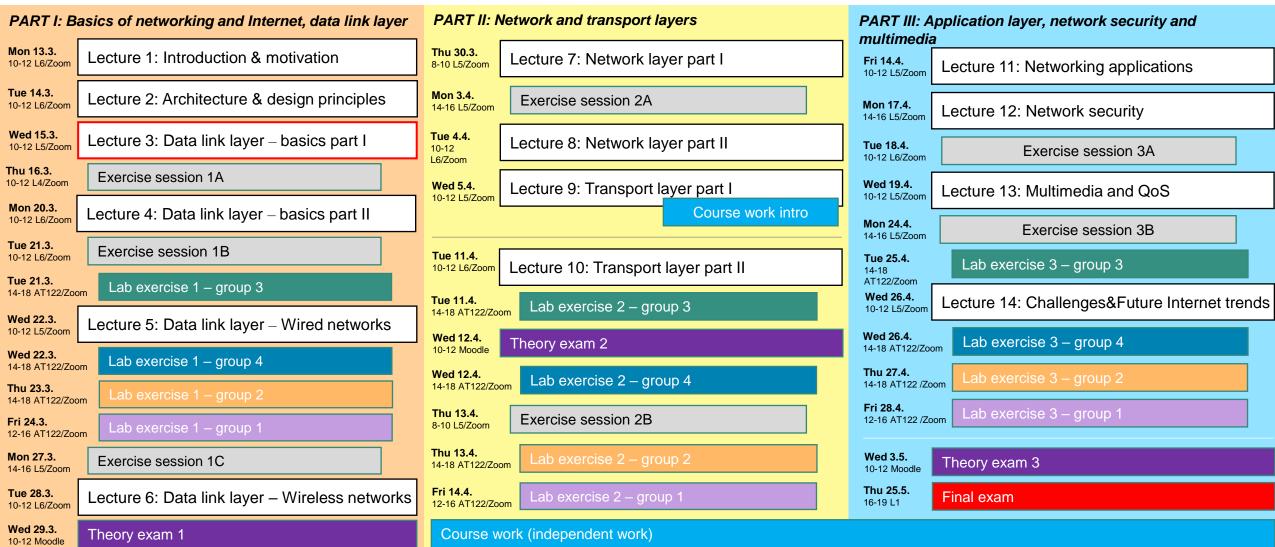


### 521150A Introduction to Internet

Lecture 3 – Data link layer, part I: Architectures, concepts, Medium Access Control



### Schedule of the course



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# Main learning objectives of this lecture

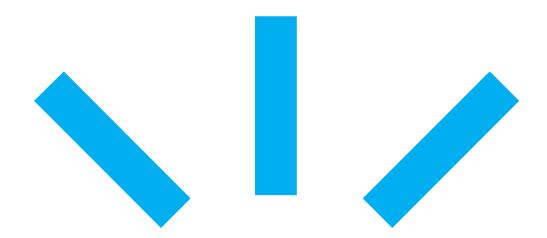
### 1. Know the architecture and basic concepts of data link layer:

- Transmission media types
- Synchronous vs asynchronous transmission
- Framing
- Error detection

## 2. Know the main medium access control (MAC) protocols and understand their basic principles

- Multiple access protocols
  - Channel partitioning protocols
  - Random access protocols
  - "Taking turn"-protocols
- Basics of point-to-point protocols

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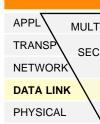
### Architecture and Basic concepts

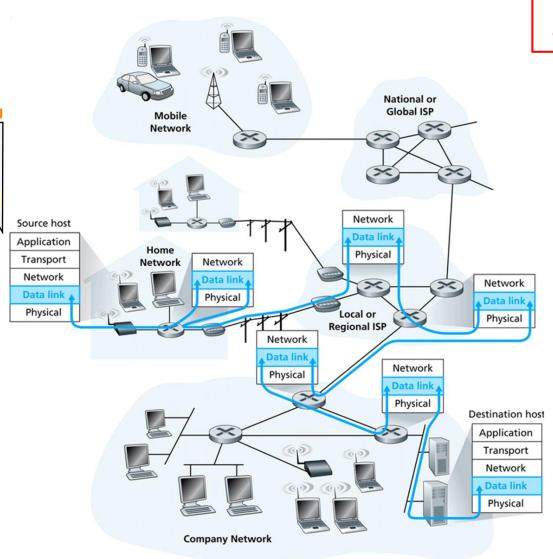
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### Data link layer (L2)







**Data link layer** delivers a frame (encapsulated datagram) from a node to adjacent node over a link

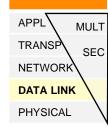
- Nodes: Hosts and routers
- Links: Communication channels that connect adjacent nodes along communication path
  - Wired or wireless
  - E.g. Ethernet, WLAN, LTE
  - Key feature: data rate ("bandwidth") expressed in bps (bits per second)
- Frames: L2 packets which encapsulate datagram provided by L3 (network layer)
- Data link layer functionality is implemented in NIC

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### Implementation of data link layer (1)

In each and every networked host

Implemented in "adapter" (network interface card, NIC)

Integrated on motherboard, Ethernet card, USB stick, etc.

Implements data link layer and physical layer

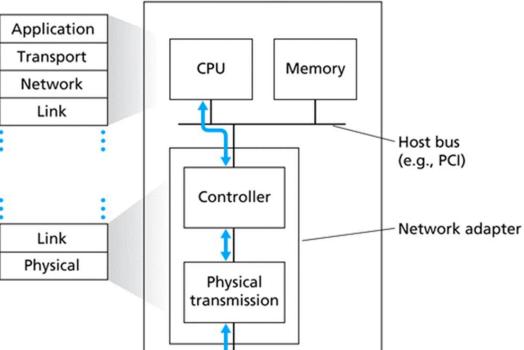
- Typically has unique 48-bit address (so-called MAC address) burned into its ROM

(may be software modifiable)

**Attaches into host's** system buses

Combination of hardware, software and firmware





Host

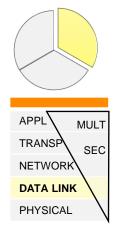
NIC is identified by a **physical** 

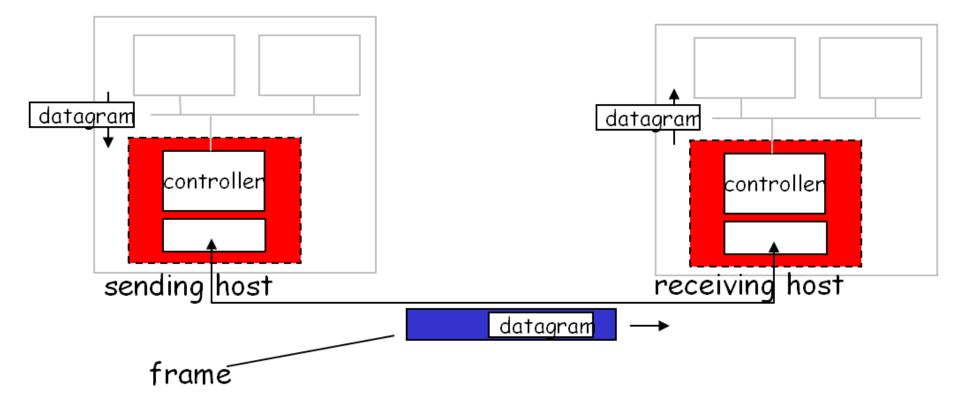
(MAC) address, e.g.

00:A0:C9:14:C8:29



### Implementation of data link layer (2)





#### **Sending host**

- Encapsulates datagram in a frame
- Adds error checking bits, flow control, etc.

#### **Receiving host**

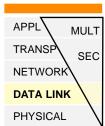
- Error control, flow control, etc.
- Extracts datagram, passes to upper layer

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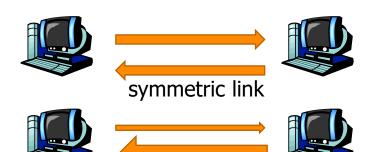


### Link types

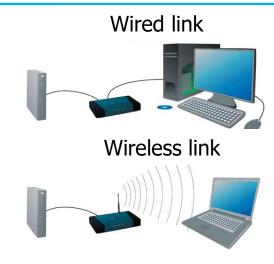


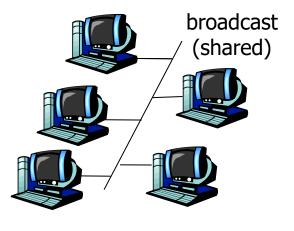


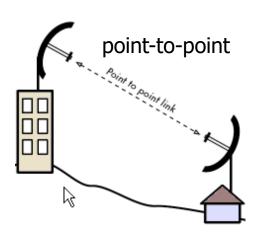
- Guided vs unguided (wireless) links
- Broadcast (shared) vs point-to-point links
- Symmetric vs asymmetric links (up/downlink)
  - 10/10 VDSL is symmetric
  - 8/1 ADSL is asymmetric

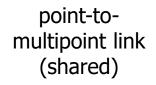


asymmetric link





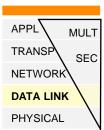




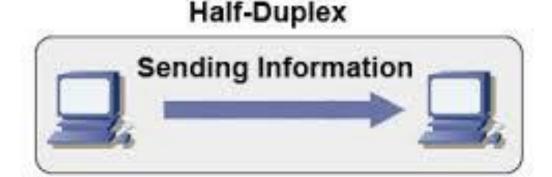


### Half-duplex vs Full-duplex

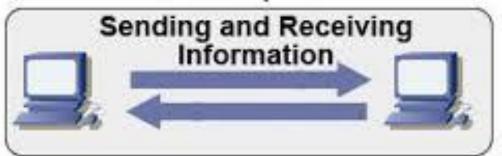




- In a half-duplex system, each party can communicate with the other but not simultaneously; the communication is one direction at a time. An example of a halfduplex device is a walkie-talkie two-way radio that has a "push-to-talk" button.
- In a full-duplex system, both parties can communicate with each other simultaneously. An example of a full-duplex device is a telephone; the parties at both ends of a call can speak and be heard by the other party simultaneously.





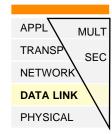


https://en.wikipedia.org/wiki/Duplex\_(telecommunications)

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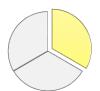
10

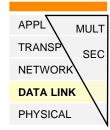
### Link media

- Guided (wire, optical fiber) vs unguided (wireless)
- Key concerns are data rate and distance
- Design factors
  - Frequency bandwidth (higher bandwidth gives higher data rate)
  - Transmission impairments (e.g. attenuation)
  - Interference
  - Number of receivers in guided media
    - Each receiver introduces some attenuation and distortion
- The capacity of a transmission channel is a function of
  - Data rate (in bits per second)
  - Bandwidth (in Hz)
  - Noise (on communications link)
  - Error rate (of corrupted bits)

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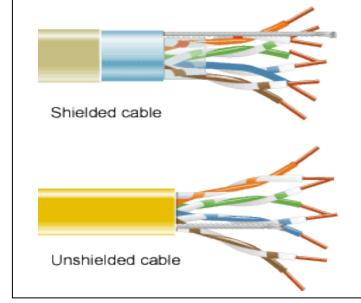


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### Link media: Guided (wired) media

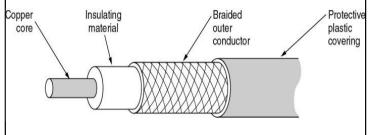
#### **Twisted pair**

- Unshielded vs shielded
- Limited distance and data rate
- Suspect to interference and noise
- Frequency range: 0-1 MHz
- Typical attenuation:0.7 dB/km @ 1 KHz
- Typical delay: 5 μs/km
- Typical repeater spacing: 2 km



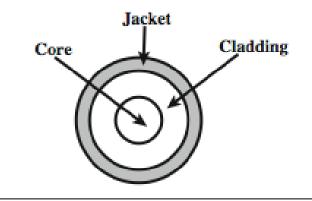
#### **Coaxial cable**

- Superior frequency properties to twisted pair
- Performance limited by attenuation and noise
- Frequency range: 0-500 MHz
- Typical attenuation:7 dB/km @ 10 Mhz
- Typical delay: 4 μs/km
- Typical repeater spacing: 1-9 km depending on frequency



#### **Optical fiber**

- Greater capacity (data rates of hundreds of Gbps)
- Smaller size and weight
- Lower attenuation
- Electromagnetic isolation
- Greater repeater spacing (tens of kms)
- Frequency range: 186-370 THz  $(T \sim 10^{12})$
- Typical attenuation: <0.5 dB/km
- Typical delay: 5 μs/km
- Typical repeater spacing: 40 km

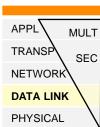


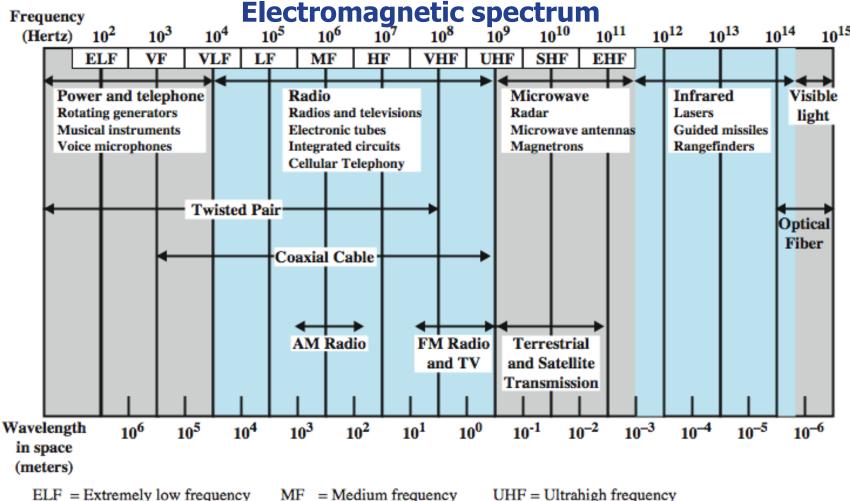
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### Link media: Unguided (wireless) media







ELF = Extremely low frequency

= Voice frequency

VLF = Very low frequency = Low frequency

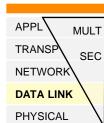
= High frequency VHF = Very high frequency UHF = Ultrahigh frequency SHF = Superhigh frequency

EHF = Extremely high frequency



### Data link layer architecture





Typically data link layer comprises of two

Link control

(LC) sublayer

control (MAC)

sublayer

sublayers:

Link control (LC) sublayer

Error control

Flow control

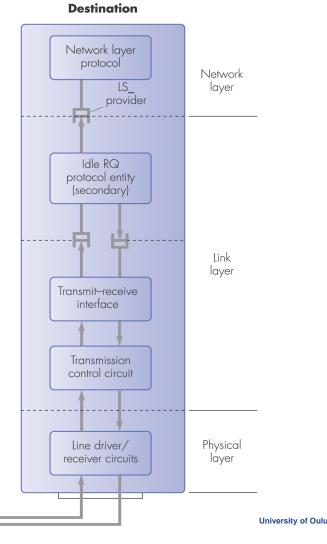
- **Medium access control** (MAC) sublayer
  - Framing
  - **Transmission** (medium access)

LS provider LS\_user TIM\_provider Idle RQ protocol entity Timer (primary) TIM user MAC user MAC provider Transmit-receive interface Medium access Transmission control circuit Line driver/

Network layer

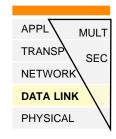
protocol

receiver circuits









### Digital data transmission over a link

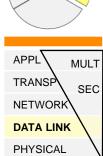
- Need a mechanism to synchronize transmitter and receiver
  - Receiver samples stream at bit intervals
  - If clocks not aligned and drifting, receiver will sample at wrong time after sufficient bits are sent
- Two solutions to synchronizing clocks
  - Asynchronous transmission
  - Synchronous transmission

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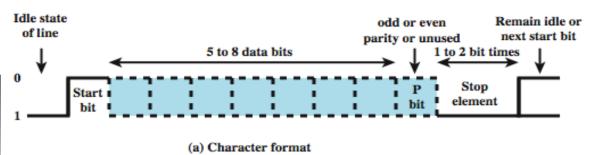
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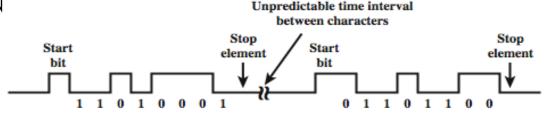


### **Asynchronous transmission**

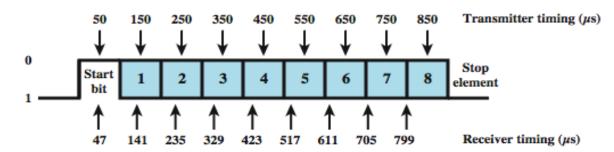


#### Timing/synchronization within each character separately





(b) 8-bit asynchronous character stream



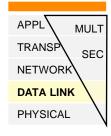
(c) Effect of timing error

- Simple
- Cheap
- Overhead of 2 or 3 bits per char (~20%)
- Good for data with large gaps (e.g. keyboard)

#### Example of a sync error:

Receiver is 6% faster (samples the incoming chars every 94 μs, instead of 100 μs) Last sample fails





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### **Synchronous transmission**

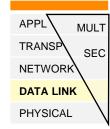
- Block of data transmitted sent as a frame
- Clocks must be synchronized, e.g. by
  - Separate clock line
  - Embedded clock signal in data
- Need to indicate start and end of block
  - Use preamble and postamble
- More efficient (lower overhead) than asynchronous



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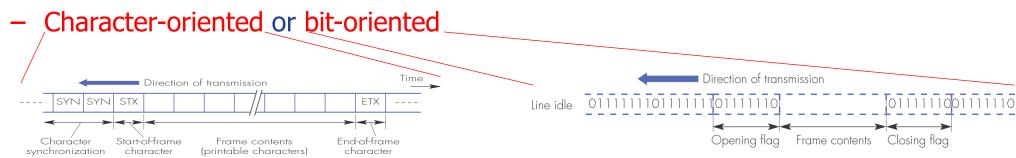




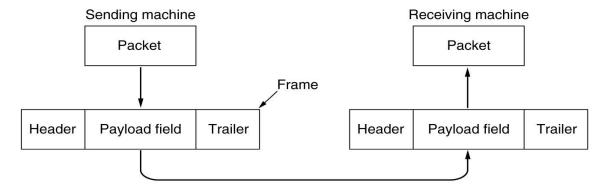


### **Framing**

- Encapsulate datagram into frame, adding header, trailer
  - Frame contains synchronization flag (preamble), which allows receiver to synchronize itself



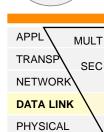
- 'Physical "MAC" addresses' used in frame headers to identify source and destination
  - Do not mix with IP address!



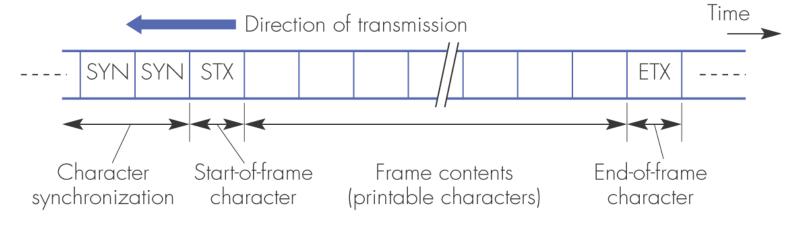


### Framing: Character-oriented



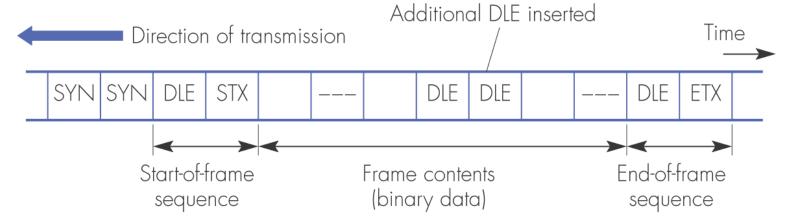


#### Frame structure:



#### Byte stuffing to maintain sychronization:

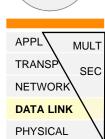
- Extra DLE (Data Link Escape) inserted after each occurrence of DLE byte in data
- Prevents flag bytes appearing in the byte stream, which would destroy synchronization
- Allows data transparency (arbitrary bytes are allowed in data frames)

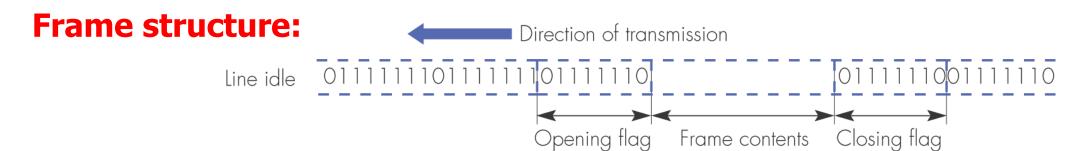




### Framing: Bit-oriented

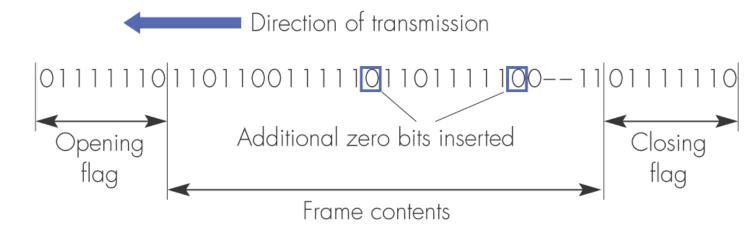






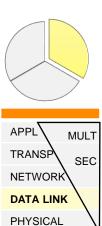
#### Bit stuffing to maintain synchronization (zero bit insertion):

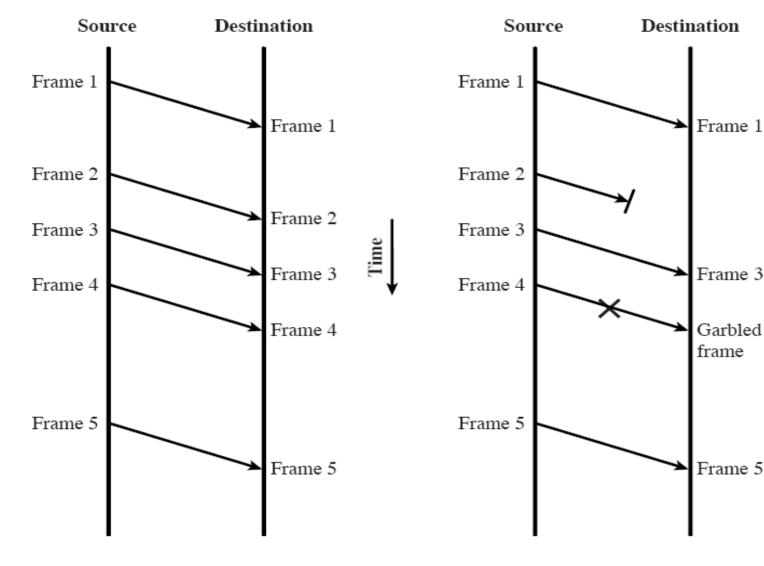
- Extra 0 bit is inserted after each occurrence of five 1's
- Prevents flag fields (01111110) appearing in the bit stream, which would destroy synchronization
- Allows data transparency (arbitrary bit patterns allowed in data frames)





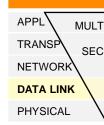
### Transmission may introduce errors:





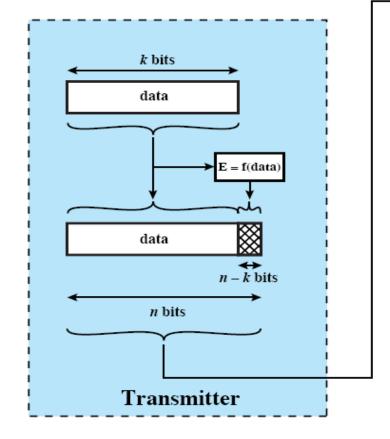


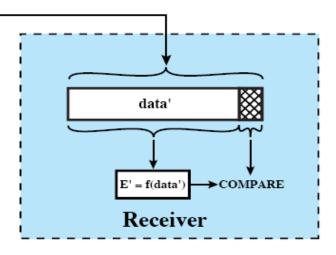




#### **Error detection**

- Transmission error types:
  - Single-bit errors: a single bit changes, e.g. due to white noise
  - Burst errors: cluster of bits where errors occur, although not necessarily all the bits in the cluster suffer an error



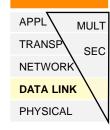


E, E' = error-detecting codes f = error-detecting code function

Error detection: receiver detects presence of errors with error-detecting codes

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### **Transmission error example**

#### Burst errors

- Example of the impact of data rate on burst errors
  - An impulse noise event or a fading event of 1 µs occurs
  - At a data rate of 10 Mbps, there is a resulting error burst of 10 bits
  - At a data rate of 100 Mbps, there is a resulting error burst of 100 bits
- Let P<sub>b</sub> be the probability that a bit is received in error (BER, bit error rate)
  - If we transmit a frame of F bits, the probability that the frame arrives with no bit errors is  $(1-P_h)^F$

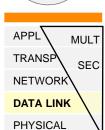
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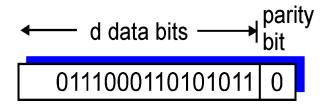
### **Error detection example: Parity check**





#### Single bit parity

#### **Detect** single bit errors

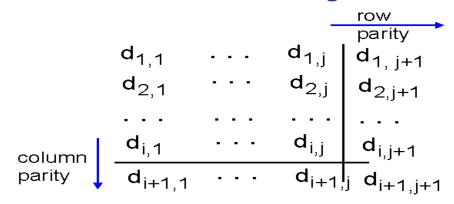


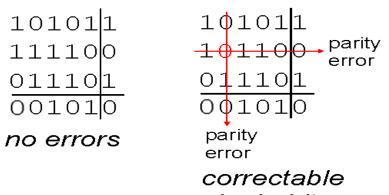
One-bit odd parity ~ total number of 1's in <data,parity bit> is odd

One-bit even parity ~ total number of 1's in <data, parity bit> is even

#### Two dimensional bit parity

#### Detect *and correct* single bit errors





single bit error



### **Error detection: Cyclic Redundancy Check (CRC)**



TRANSP

NETWORK

**DATA LINK** 

PHYSICAL

MULT

View data bits, D, as a binary number

- Choose generator G (r+1 bit pattern)
- Goal: choose r CRC bits, R, such that
  - <D,R> exactly divisible by G
    - Modulo 2 arithmetic: 1+1=0, 0+0=0, 1+0=1, 0+1=1
  - Receiver knows G, divides <D,R> by G
    - If non-zero remainder: error detected!
  - Can detect all burst errors less than r+1 bits
- Widely used in practice
  - IEEE 802: CRC-32:  $x^{32}+x^{26}+x^{23}+x^{22}+x^{16}+x^{12}+x^{11}+x^{10}+x^8+x^7+x^5+x^4+x^2+x^1+1$



D: data bits to be sent | R: CRC bits

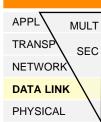
bit pattern

D\*2<sup>r</sup> XOR R

mathematical formula







### CRC: Example (1)

Data <D>: <101110>

Want:

 $D \cdot 2^r XOR R = nG$ 

#### **Equivalently:**

 $D \cdot 2^r = nG XOR R$ 

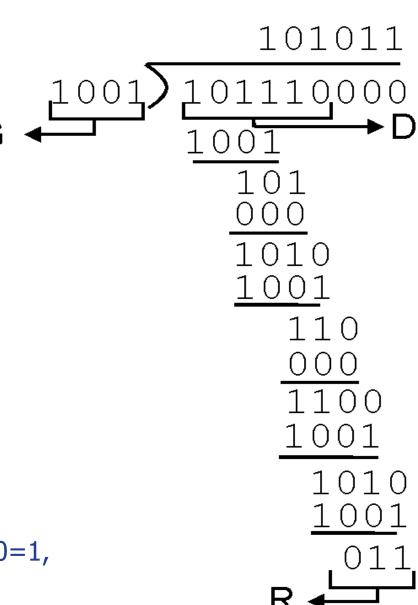
#### **Equivalently:**

if we divide D.2<sup>r</sup> by G, want remainder R

$$R = remainder \left[ \frac{D \cdot 2^r}{G} \right]$$

Transmitted frame <D,R>: 101110011

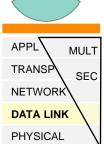
Obs! Modulo 2 arithmetic: 1+1=0, 0+0=0, 1+0=1, 0+1=1





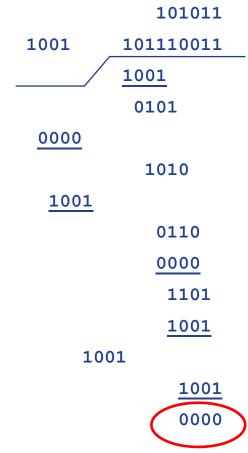
### CRC: Example (2)





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- Transmitted frame < D,R>: 101110011
- Received frame: 101110011

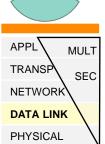


Successful transmission

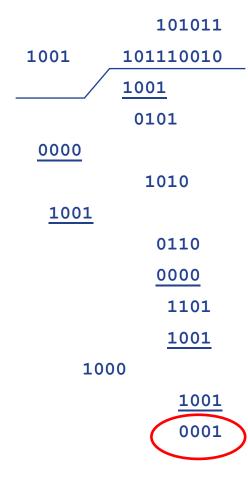


### CRC: Example (3)



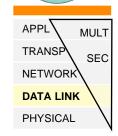


- Transmitted frame <D,R>: 101110011
- Received frame: 101110010



Transmission error!





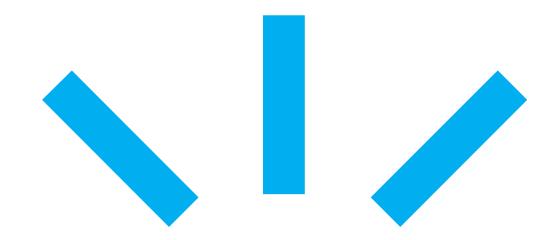
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### CRC: Example (4)





- Say, I want to transmit an information/number
   3000:
  - 1. I divide it by a chosen number like 7 for instance ...
    - Gives me 428 and a remainder of 4 (3000=428x7+4)
  - 2. I take the remainder as my **checksum**
  - 3. I transmit 3000 (information) followed by 4 (checksum).
  - 4. A receiver gets it, takes the number 3000 divides it by 7
    - If remainder is 4 (checksum) then it assumes that no error has occurred
    - Otherwise, retransmit.



### Medium Access Control (MAC)

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### **Medium access control**



## APPL MULT TRANSP SEC

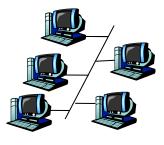
NETWORK

**DATA LINK** 

PHYSICAL

Two basic types of links and protocols:

- Broadcast links (shared wire or medium)
  - Shared Ethernet
  - Wireless networks
    - → Multiple access protocols
- Point-to-point links
  - Dial-up access
  - xDSL (Digital Subscriber Line)
  - Switched Ethernet
    - → Point-to-point protocols



shared wire (e.g., cabled Ethernet)









shared RF (satellite)



shared RF (e.g., 802.11 WiFi)



Analogy: humans at a cocktail party (shared air, acoustical)

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### Multiple access



Most of today's wired and wireless technologies use multiple access technology

## APPL MULT TRANSP SEC NETWORK DATA LINK

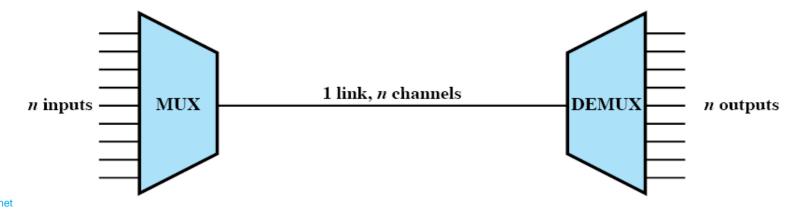
PHYSICAL

#### Several nodes share a single shared communication channel

- Only one node can successfully send at a time
- => Channel sharing principles need to be agreed

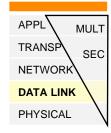
#### Multiple access protocol

- Distributed algorithm that determines how nodes share channel (i.e. when node can transmit)
- Channel sharing communication must use the channel itself
  - No out-of-band channel for coordination









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### Multiple access protocols: Assumptions

#### 1. Station model

- N independent stations (terminals, nodes), which generate frames for transmission

#### 2. Single channel

All stations transmit on and receive from the same single channel

#### 3. Collisions

- Multiple transmitted frames overlapping in time interfere with each other resulting in garbled signal = collision
- All stations can detect collisions
- A collided frame must be retransmitted later

#### 4. Time

- Continuous time: frame transmission can begin at any instant
- Slotted time: time is divided into discrete intervals

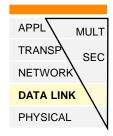
#### 5. Carrier sense

- Yes: Stations can tell if the channel is in use before trying to use it
- No: Stations cannot sense the channel before trying to use

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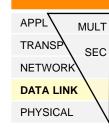
### Multiple access protocols

- Distributed algorithm that determines how nodes share channel, i.e., determine when node can transmit
- Communication about channel sharing must use the channel itself!
  - No out-of-band channel for coordination
- Ideal multiple access protocol:
- Broadcast channel of rate R bps
  - 1. When one node wants to transmit, it can send at rate R
  - 2. When M nodes want to transmit, each can send at average rate R/M
  - 3. Fully decentralized
    - No special node to coordinate transmissions
    - No synchronization of clocks, slots
  - 4. Simple

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### Multiple access protocols: Three broad classes

- Channel partitioning (static allocation) with multiplexing
  - Divide channel into smaller "pieces"
    - Time
    - Frequency
    - Code
    - Wavelength
- Allocate piece to node for exclusive use
- Random access (dynamic allocation)
  - Channel not divided, allow collisions
  - "Recover" from collisions.
- Dynamic allocation (taking turns)
  - Nodes take turns

- Nodes with more to send can take longer turns

Slides 35-39

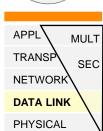
**Slides 40-49** 

**Slides 50-51** 

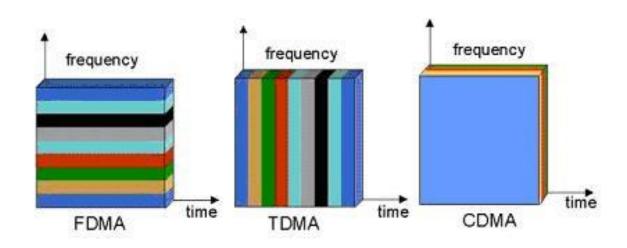


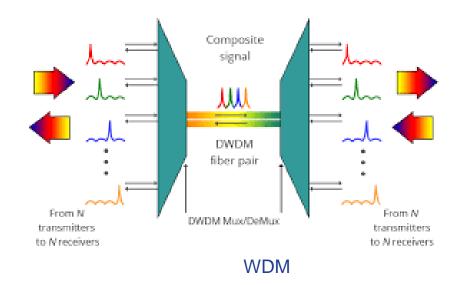
### Channel partitioning MAC protocols





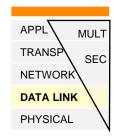
- Widely used multiplexing techniques
  - TDM (Time Division Multiplexing)
  - FDM (Frequency Division Multiplexing)
  - WDM (Wavelength Division Multiplexing)
  - CDM (Code Division Multiplexing)







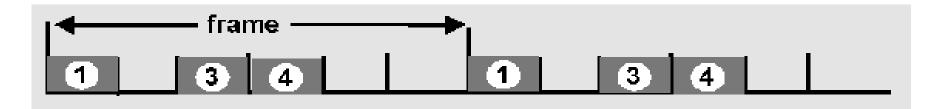




### Channel partitioning MAC protocols: TDM

### **TDM (Time Division Multiplexing)**

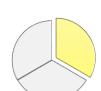
- Access to channel in "rounds"
- Each station gets fixed length slot (length = packet transmission time) in each round
- Unused slots go idle
- Example: 6-station LAN
  - 1,3,4 have packet
  - Slots 2,5,6 idle

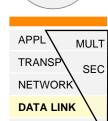


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### Channel partitioning MAC protocols: FDM

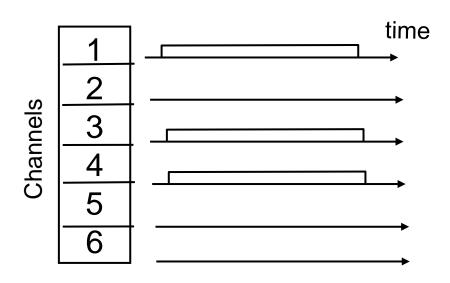




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### FDM (Frequency Division Multiplexing)

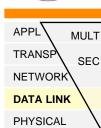
- Available spectrum divided into channels
- Each station assigned fixed channel
- Unused transmission time in channels go idle
- **Example: 6-station LAN** 
  - Channels 1,3,4 have packet
  - Channels 2,5,6 idle





### Channel partitioning MAC protocols: WDM

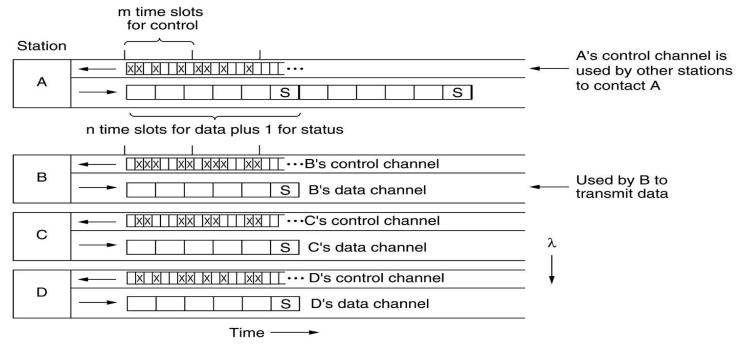




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#### **WDM (Wavelength Division Multiplexing)**

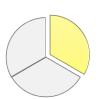
- Spectrum is divided into channels (wavelength bands)
- Each station is assigned two channels (control, data)
- Each channel is divided into groups of time slots
- Used in optical networks



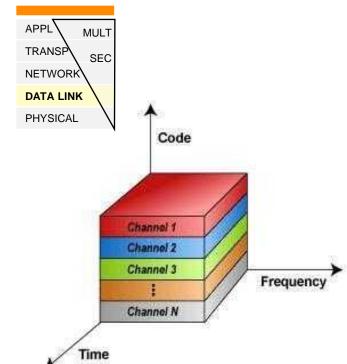
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### Channel partitioning MAC protocols: CDMA



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CDMA in which each channel is assigned a unique code which is orthogonal to codes used by other users.

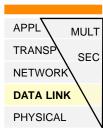
#### **CDMA (Code Division Multiple Access)**

- Unique "code" assigned to each user; i.e., code set partitioning
- Used mostly in wireless broadcast channels (cellular, satellite, etc.)
- All users share same frequency, but each user has own "chipping" sequence (i.e., code) to encode data
- Encoded signal = (original data) X (chipping sequence)
  - **Decoding:** inner-product of encoded signal and chipping sequence
  - Allows multiple users to "coexist" and transmit simultaneously with minimal interference (if codes are "orthogonal")



### Random access protocols





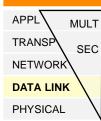
- When node has packet to send
  - Transmit at full channel data rate R
  - No a priori coordination among nodes
- Two or more transmitting nodes → "collision"
  - Resend after a random waiting time ("contend" for the channel)
- Random access MAC protocol specifies
  - How to detect collisions.
  - How to recover from collisions (e.g., via delayed retransmissions)
- Examples of random access MAC protocols
  - Pure (unslotted) ALOHA and slotted ALOHA
  - CSMA, CSMA/CD (used in IEEE 802.3 Ethernet)
  - CSMA/CA (used in IEEE 802.11 WLAN)

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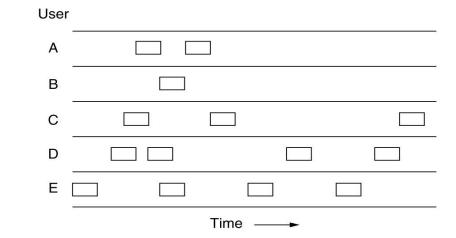


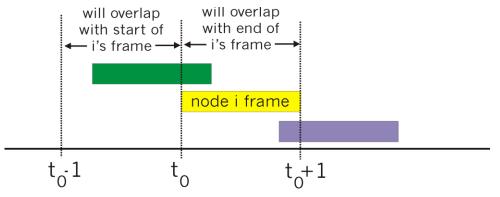
### Random access protocols: Pure ALOHA (1)





- Abramson 1970
- When user has frame to send, transmit immediately
- Collisions
- Frame sent at t<sub>0</sub> collides with other frames sent in [t<sub>0</sub>-1,t<sub>0</sub>+1]
- If collision, resend after random waiting time
- Simple
- No global synchronization between users

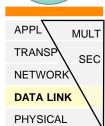






### Random access protocols: Pure ALOHA (2)





**Efficiency** is the long-run fraction of successful slots when there are many nodes, each with many frames to send

- Suppose N nodes with many frames to send, each transmits with probability p
- P (success by given node)

```
= P (node transmits)
```

× P (no other node transmits in  $[t_0-1,t_0]$ )

× P (no other node transmits in  $[t_0,t_0+1]$ )

$$= p \times (1-p)^{N-1} \times (1-p)^{N-1}$$

$$= p \times (1-p)^{2(N-1)}$$

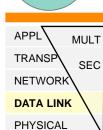
... choosing optimum p and then letting  $n \rightarrow$  infinity ...

$$= 1/(2e) \approx 0.18$$



### Random access protocols: Slotted ALOHA (1)



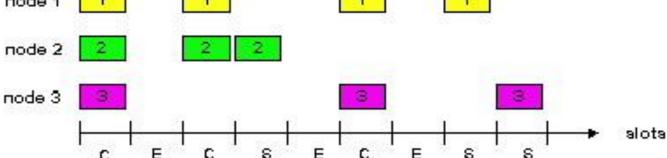


#### Assumptions

- All frames of same size
- Time is divided into equal size slots, time to transmit 1 frame
- Nodes start to transmit frames only at beginning of slots
- Nodes are synchronized
- If 2 or more nodes transmit in slot, all nodes detect collision

#### - Operation

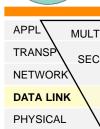
- When node obtains fresh frame, it transmits in next slot
- No collision, node can send new frame in next slot
- If collision, node retransmits frame in each subsequent slot with probability *p* until succe





### Random access protocols: Slotted ALOHA (2)





#### - Pros

- Single active node can continuously transmit at full rate
- Highly decentralized: only slots in nodes need to be in sync
- Simple

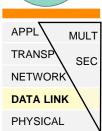
#### Cons

- Collisions, wasting slots
- Idle slots
- Nodes may be able to detect collision in less than time to transmit packet
- Clock synchronization between nodes



### Random access protocols: Slotted ALOHA (3)



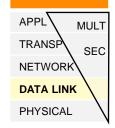


**Efficiency** is the long-run fraction of successful slots when there are many nodes, each with many frames to send

- Suppose N nodes with many frames to send, each transmits in slot with probability p
- Probability that node 1 has success in a slot = p(1-p)<sup>N-1</sup>
- Probability that any node has a success =  $Np(1-p)^{N-1}$
- For max efficiency with N nodes, find p\* that maximizes Np(1-p)<sup>N-1</sup>
- For many nodes, take limit of Np\*(1-p\*)<sup>N-1</sup> as N goes to infinity

... gives  $1/e \approx 0.37$ 





### Random access protocols: CSMA (1)

CSMA (Carrier Sense Multiple Access):
 Listen before transmit

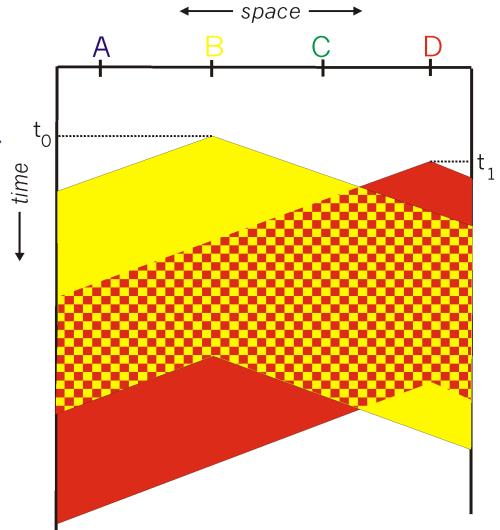
- If channel sensed idle, transmit entire frame
- If channel sensed busy, defer transmission for random waiting time
- Human analogy: don't interrupt others!

#### Collisions can still occur

- Propagation delay means two nodes may not hear each other's transmission
- Collision → entire packet transmission time wasted

#### Note

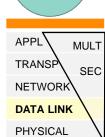
 Role of node distance and propagation delay in determining collision probability





### Random access protocols: CSMA (2)





#### 1-persistent CSMA

- Transmits with probability of 1 if the channel is sensed idle
- If channel sensed busy, waits until free and sends immediately

#### Nonpersistent CSMA

- Similar to 1-persistent in case of idle channel
- If channel sensed busy, fixed wait-time before re-sensing

#### p-persistent CSMA (for slotted channels)

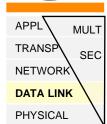
- Channel sensed idle → transmit with probability p
   (i.e. defer to next slot with probability q=1-p)
- Channel sensed busy → random wait before re-sensing

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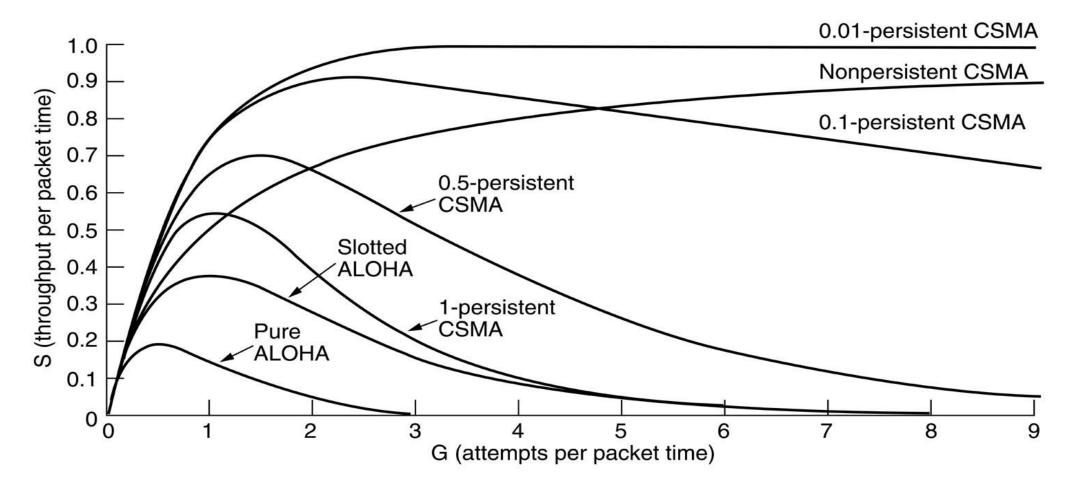
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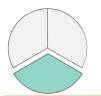


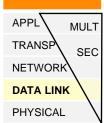
# Random access protocols:Performance comparison between ALOHA & CSMA





### Random access protocols: CSMA/CD

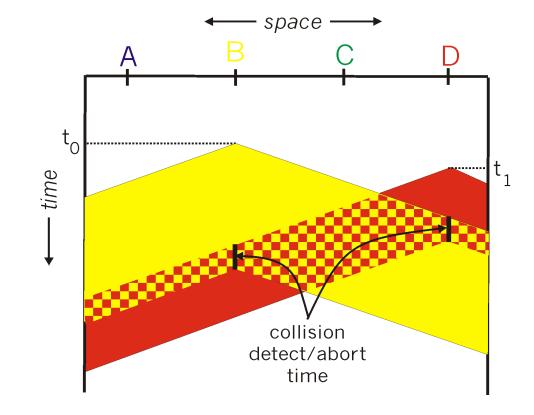


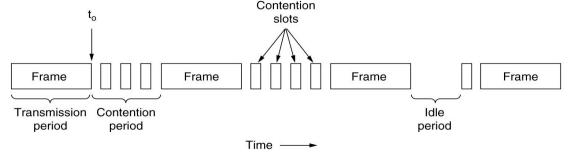


- Carrier sensing with Collision Detection, deferral as in CSMA
  - Collisions detected within short time
  - Colliding transmissions aborted (reducing channel wastage)
  - Human analogy: the polite conversationalist

#### Collision detection

- Easy in wired LANs: measure signal strengths, compare transmitted, received signals
- Difficult in wireless LANs:
   receiver shut off while
   transmitting





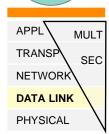
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### "Taking turns" MAC protocols (1)





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#### Channel partitioning MAC protocols

- Share channel efficiently and fairly at high load
- Inefficient at low load: delay in channel access, 1/N bandwidth allocated even if only 1 active node!

#### Random access MAC protocols

- Efficient at low load: single node can fully utilize channel
- High load: collision overhead

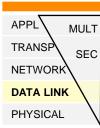
#### "Taking turns" protocols

Look for best of both worlds!



### "Taking turns" MAC protocols (2)





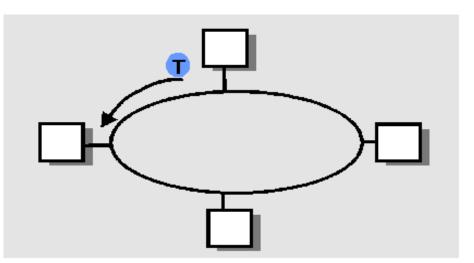
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#### **Polling**

- Master node "invites" slave nodes to transmit in turn
- Concerns
  - Polling overhead
  - Latency
  - Single point of failure (master)

#### **Token passing**

- Control token passed from one node to next sequentially
- Token message
- Concerns
  - Token overhead
  - Latency
  - Single point of failure (token)





### PPP: Point-to-point protocols

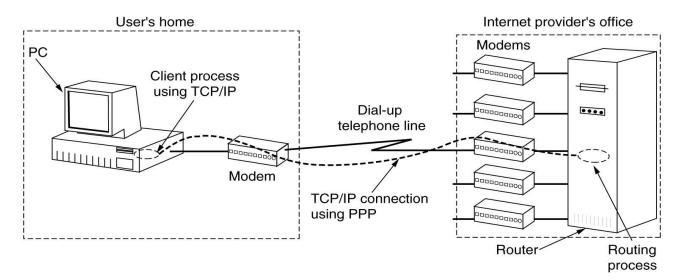


APPL MULT
TRANSP SEC
NETWORK

DATA LINK
PHYSICAL

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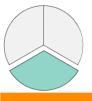
- One sender, one receiver, one link
- Easier than shared broadcast link
  - No medium access control
  - No need for explicit MAC addressing
  - E.g., dialup link, ISDN line

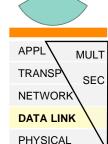


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### **PPP: Variants**





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- PPP over SONET/SDH (RFC 2615)
  - Used for POS (Packet over SONET/SDH) communication
  - E.g. in FUNET 2.5 Gbps and 622 Mbps backbone links
- PPPoE (PPP over Ethernet)
  - Encapsulate PPP frames inside Ethernet frames
  - Used mainly with xDSL services
- PPPoA (PPP over ATM)
  - Encapsulate PPP frames inside ATM frames
  - Used mainly with xDSL services

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## Key points to remember

## 1. Architecture and basic concepts of data link layer:

- Transmission media types
- Synchronous vs asynchronous transmission
- Framing
- Error detection

## 2. Medium access control (MAC) protocols and their basic principles

- Multiple access protocols
  - Channel partitioning protocols
  - Random access protocols
  - "Taking turn"-protocols
- Basics of point-to-point protocols

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