



# Exact Results in Supersymmetric Gauge Theories

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## Abstract

In this thesis we discuss supersymmetric gauge theories, focusing on exact results achieved using methods of integrability. For the larger portion of the thesis we study the  $\mathcal{N} = 4$  super Yang-Mills theory in the planar limit, a recurring concept being the Konishi anomalous dimension, which is roughly the analogue for the mass of the proton in quantum chromodynamics. The  $\mathcal{N} = 4$  supersymmetric Yang-Mills theory is known to be integrable in the planar limit, which opens up a wealth of techniques one can employ in order to find results in this limit valid at any value of the coupling.

We begin with perturbation theory where the integrability of the theory first manifests itself. Here we are able to find the first exact result, the so-called slope function, which is the linear small spin expansion coefficient of the generalized Konishi anomalous dimension. We then move on to exact results mainly achieved using the novel  $\mathbf{P}_\mu$ -system approach, the method allowing one to find the scaling dimensions of arbitrary operators at any values of the coupling. As an example we find the second coefficient in the small spin expansion after the slope, which we call the curvature function. This allows us to extract non-trivial information about the Konishi operator.

Methods of integrability are also applicable to other supersymmetric gauge theories such as ABJM, which is also known to be integrable and in fact shares many similarities with  $\mathcal{N} = 4$  super Yang-Mills. We briefly review these parallel developments in the last chapter of the thesis.

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## 1 Introduction

*Everything is interesting if you go into it deeply enough.*

– Richard Feynman

The title of this thesis is *Exact Results in Supersymmetric Gauge Theories*. A reasonable question to ask is – why would anyone care about that ? After all there is no evidence that supersymmetry is a true symmetry of nature and supersymmetric theories are mostly toy theories, we can not observe them in particle accelerators, as opposed to the Standard Model of particle physics. And indeed these are all valid points, however there are very good reasons for studying them.

Consider  $\mathcal{N} = 4$  super Yang-Mills, from a pragmatic point of view it is the simplest non-trivial quantum field theory in four spacetime dimensions and since attempts at solving realistic QFTs such as the theory of strong interactions (QCD) have so far been futile, it seems like a good starting point – some go as far as calling it the harmonic oscillator of QFTs.

Another (and probably the main) reason why  $\mathcal{N} = 4$  has been receiving so much attention in the last decades is the long list of mysterious and intriguing properties it seems to possess, making it almost an intellectual pursuit of understanding it. The theory has been surprising the theoretical physics community from the very beginning: it is a rare instance of a conformal theory in dimensions higher than two, it has a dual description in terms of a string theory and more recently it was discovered to be integrable in the planar limit. All of these properties give reasonable hope for actually solving the theory exactly, something that has never been achieved before for any four dimensional interacting QFT.

In the remainder of the section we give a proper introduction to the subject from a historic point of view focusing on  $\mathcal{N} = 4$  SYM and its integrability aspect, for it is integrability that allows one to actually find exact results in the theory. We then give an overview of the thesis itself, emphasizing which parts of the text are reviews of known material and which parts constitute original work.

## 1.1 Brief history of the subject

Quantum field theory has been at the spot light of theoretical physics since the beginning of the century when it was found that electromagnetism is described by the theory of quantum electrodynamics (QED). Since then people have been trying to fit other forces of nature into the QFT framework. Ultimately it worked: the theory of strong interactions, quantum chromodynamics or QCD for short, together with the electroweak theory, spontaneously broken down to QED, collectively make up the *Standard Model* of particle physics, which has been extensively tested in particle accelerators since then.

However nature did not give away her secrets without a fight. For some time it was thought that strong interactions were described by a theory of vibrating strings, as it seemed to incorporate the so-called Regge trajectories observed in experiments [1]. Even after discovering QCD, which is a Yang-Mills gauge theory, stringy aspects of it were still evident and largely mysterious. Most notably lattice gauge theory calculations at strong coupling suggested that surfaces of color-electric fluxes between quarks could be given the interpretation of stretched strings [2], thus an idea of a gauge-string duality was starting to emerge. It was strongly re-enforced by t'Hooft, who showed that the perturbative expansion of  $U(N)$  gauge theories in the large  $N$  limit could be rearranged into a genus expansion of surfaces triangulated by the double-line Feynman graphs, which strongly resembles string theory genus expansions [3].



However it was the work of Maldacena in the end of 1997 that sparked a true revolution in the field [4]. He formulated the first concrete conjecture, now universally referred to as *AdS/CFT*, for a duality between a gauge theory, the maximally supersymmetric  $\mathcal{N} = 4$  super Yang-Mills, and type IIB string theory on  $AdS_5 \times S^5$ . Polyakov had already shown that non-critical string theory in four-dimensions describing gauge fields should be complemented with an extra Liouville-like direction thus enriching the space to a curved five dimensional manifold [5]. Furthermore the gauge theory had to be defined on the boundary of this manifold. Maldacena's conjecture was consistent with this view, as the gauge theory was defined on the boundary of  $AdS_5$ , whereas the

$S^5$  was associated with the internal symmetries of the gauge fields. The idea of a higher dimensional theory being fully described by a theory living on the boundary was also considered before in the context of black hole physics [6, 7] and goes by the name of holography, thus AdS/CFT is also referred to as a holographic duality.

The duality can be motivated by considering a stack of  $N$  parallel D3 branes in type IIB string theory. Open strings moving on the branes can be described by  $\mathcal{N} = 4$  SYM with the gauge group  $SU(N)$ . Roughly the idea is that there are six extra dimensions transverse to the stack of branes, thus a string stretching between two of them can be viewed as a set of six scalar fields  $(\Phi^i)^a_b$  defined in four dimensional spacetime carrying two extra indices denoting the branes it is attached to. These are precisely the indices of the adjoint representation of  $SU(N)$ . A similar argument can be put forward for other fields thus recovering the field content of  $\mathcal{N} = 4$  SYM. Far away from the branes we have closed strings propagating in empty space. In the low energy limit these systems decouple and far away from the branes we are left with ten dimensional supergravity.

Another way of looking at this system is considering the branes as a defect in spacetime, which from the point of view of supergravity is a source of curvature. The supergravity solution carrying D3 brane charge can be written down explicitly [8]. Far away from the branes it is obviously once again the usual flat space ten dimensional supergravity. However the near horizon geometry of the brane system becomes  $AdS_5 \times S^5$ . Since both points of view end up with supergravity far away from the branes, one is tempted to identify the theories close to the branes,  $\mathcal{N} = 4$  SYM and type IIB string theory on  $AdS_5 \times S^5$ . This is exactly what Maldacena did in his seminal paper [4].

By studying the supergravity solution one can identify the parameters of the theories, namely  $\mathcal{N} = 4$  SYM is parametrized by the coupling constant  $g_{YM}$  and the number of colors  $N$ , whereas string theory has the string coupling constant  $g_s$  and the string length squared  $\alpha'$ . These are identified in the following way

$$4\pi g_s = g_{YM}^2 \equiv \frac{\lambda}{N}, \quad \frac{R^4}{\alpha'^2} = \lambda, \quad (1.1)$$

where  $\lambda$  is the t'Hooft coupling and  $R$  is the radius of both  $AdS_5$  and  $S^5$ , which is fixed as only the ratio  $R^2/\alpha'$  is measurable. A few things are to be noted here. First of all, the identification directly implements t'Hooft's idea of large  $N$  expansion of gauge theory, since  $g_s \sim 1/N$ . In fact in the large  $N$  limit only planar Feynman graphs survive and everything simplifies dramatically, a fact that we will take advantage of a lot in this thesis. In this limit the effective coupling constant of the gauge theory is  $\lambda$ .



The supergravity approximation is valid when  $\alpha' \ll R^2$ , which corresponds to strongly coupled gauge theory, thus the conjecture is of the weak-strong type. This fact is a blessing in disguise, since initially it seems very restrictive as one can not easily compare results of the theories. However it provides a possibility to access strongly coupled regimes of both theories, which was beyond reach before. Prescriptions for matching up observables on both sides of the correspondence were given in [9, 10]. However because of the weak-strong nature of the duality initial tests were performed only for BPS states, which are protected from quantum corrections. The first direct match was observed in [10] where it was shown that the spectrum of half-BPS single trace operators matches the Kaluza-Klein modes of type IIB supergravity. Another indirect confirmation of the conjecture was the formulation of type IIB string theory as a super-coset sigma model on the target space  $PSU(2, 2|4)/SO(2, 4) \otimes SO(6)$ , which has the same global symmetries as  $\mathcal{N} = 4$  SYM [11].

The situation changed dramatically in 2002 when Berenstein, Maldacena and Nastase devised a way to go beyond BPS checks [12]. The idea was to take an operator in gauge theory with large R-charge  $J$  and add some impurities, effectively making it “near-BPS”. The canonical example of such an operator is  $\text{Tr}(Z^J X^S)$ , where  $Z$  and  $X$  are two complex scalar fields of  $\mathcal{N} = 4$  SYM, with  $X$  being the impurities ( $S \ll J$ ). Since anomalous dimensions are suppressed like  $\lambda/J^2$ , perturbative gauge theory calculations are valid even at large  $\lambda$ , as long as  $\lambda' \equiv \lambda/J^2 \ll 1$  and  $N$  is large. It is thus possible to compare gauge theory calculations with string theory results. From the string theory point of view this limit corresponds to excitations of point-like strings with angular momentum  $J$  moving at the speed of light around the great circle of  $S^5$ . The background seen by this string is the so-called pp-wave geometry and string theory in this background is tractable.

The discovery of the BMN limit was arguably the first time it was explicitly demonstrated how the world sheet theory of a string can be reconstructed by a physical picture of scalar fields dubbed as “impurities” propagating in a closed single trace operator of “background” scalar fields of the gauge theory. Shortly after this discovery Minahan and Zarembo revolutionized the subject once again by discovering Integrability at the end of 2002 [13]. They showed that in the large  $N$  limit single-trace operators of scalar fields can be identified with spin chains and their anomalous dimensions at one-loop in weak coupling are given by the energies of the corresponding spin chain states. These spin-chain systems are known to be integrable, which in practice allows one to solve

the problem exactly using techniques such as the Bethe ansatz [14]. This discovery sparked a very rapid development of integrability methods in AdS/CFT during the coming years.



Solving a quantum field theory in principle means finding all  $n$ -point correlation functions of all physical observables. Since  $\mathcal{N} = 4$  SYM is conformal it is enough to find all 2-point and 3-point correlators, as all higher point correlation functions can be decomposed in terms of these basic constituents. Due to conformal symmetry the two-point functions only depend on the scaling dimensions of operators, whereas for three point functions one also needs the so called structure constants  $C_{ijk}$  in addition to the scaling dimensions. Integrability methods from the very beginning mainly focused on solving the spectral problem in the large  $N$  limit, that is finding the spectrum of operators with definite anomalous dimensions and their exact numeric values. The initial discovery of [13] was that the spectral problem was analogous to diagonalizing a spin chain Hamiltonian, which was identified with the dilatation operator of the superconformal symmetry of the theory. The eigenstates correspond to operators in the gauge theory and the eigenvalues are their anomalous dimensions.

Soon after the initial discovery of integrability a spin chain formulation at one-loop was found for the full  $PSU(2, 2|4)$  theory, not only the scalar sector [15]. The result was also extended to two and three loops [16]. Integrability was also discovered at strong coupling as it was shown that the Metsaev-Tseytlin sigma model is classically integrable [17]. With integrability methods now being available at both weak and strong coupling it was possible to compare results in the BMN limit. As expected, comparisons in the first two orders of the BMN coupling constant  $\lambda'$  showed promising agreement [18, 19, 20], however an order of limits problem emerged at three loops [16].

All of these results seemed to suggest that integrability may be an all loop phenomenon, only the surface of it being scratched so far. This notion was strongly reinforced when classical string integrability was reformulated in the elegant language of algebraic curves by Kazakov, Marshakov, Minahan and Zarembo (KMMZ), which made the connection with weak coupling more manifest [21]. The algebraic curve was interpreted as the continuum limit of Bethe equations, which made it possible to specu-

late about all loop equations. The first such attempt was made by Beisert, Dippel and Staudacher (BDS), who conjectured a set of Bethe equations and a dispersion relation which together successfully showcased some all-loop features [22]. This result was later extended to all sectors of the theory [23]. The BDS result was quickly shown to be incomplete as it was lacking a so-called dressing phase [24], a scalar function not constrained by symmetry of the problem. It was found to leading order at strong coupling in [24] and later to one-loop in [25]. A crossing equation satisfied by the dressing phase was soon found [26] and eventually solved by Beisert, Hernandez and Lopez (BHL) [27]. Collectively these results are often referred to as the asymptotic Bethe ansatz (ABA), reminding that they are valid only for asymptotically long spin chains. When the states are short so-called wrapping effects become relevant. At weak coupling they manifest as long-range spin chain interactions wrapping around the chain, whereas at strong coupling they are due to virtual particles self-interacting across the circumference of the worldsheet [28, 29].



Once the asymptotic solution was found attention shifted to finite size corrections, which once resolved would in principle complete the solution to the spectral problem for single trace operators. Scattering corrections in finite volume for arbitrary QFTs were first addressed by Lüscher [30], who derived a set of universal formulas. This approach, while very general and not directly related to integrability, was employed to calculate four [31] and five loop anomalous dimension coefficients [32] of the simplest non-BPS operator with length two, the Konishi operator. The results agreed with available diagrammatic four-loop calculations [33] and gave a new prediction for five loops.

An alternative approach more in line with integrability is the Thermodynamic Bethe Ansatz (TBA). Its origins can be traced back to Yang and Yang [34], however it was the work of Alexey Zamolodchikov [35, 36] that brought it to the mainstream. The idea is to consider the partition function of a two dimensional integrable CFT and it's “mirror” image found after exchanging length and time with a modular transformation. At large imaginary times the partition function will be dominated by the ground state energy, whereas in the mirror theory large time means asymptotic length, which is

under control using the asymptotic Bethe ansatz techniques. Thus one can evaluate the partition function using the saddle point method and after rotating back to the original theory compute the exact ground state energy. Excited states can then be reached using analytic continuation. This approach was already proposed as an option for the AdS/CFT system in [29] and was first discussed in depth in [37]. The TBA approach crystallized in 2009 with multiple groups publishing results almost simultaneously [38, 39, 40, 41]. The Konishi anomalous dimension was initially checked at four [40] and five [42, 43] loops by linearising the TBA equations, showing precise agreement with results obtained using the Lüscher method. Ultimately the Konishi anomalous dimension was calculated numerically for a wide range of values of the t'Hooft coupling constant [44].

And so the spectral problem seemed to be solved, at least in the case of Konishi an exact and complete result was finally found, even if only numerically. However it was increasingly becoming clear that the solution was not in its final and most elegant form. Indeed the TBA equations are an infinite set of coupled integral equations, obviously one has to employ various numerical tricks to actually solve them and this mostly works in a case-by-case basis. Cases such as the  $\mathfrak{sl}(2)$  sector of the theory, containing the Konishi operator [40] and cusped Wilson lines [45, 46] have been worked out explicitly, however it still remains a hard problem in general. From the very beginning alternative formulations of the solution were being proposed. An infinite set of non-linear functional equations, the so called Y-system was proposed already in [40], later completed with analytical constraints coming from the TBA equations [47]. Connections of the Y-system with the Hirota bilinear relation were later explored in [48] and the Y-system was reduced to a finite set of non-linear integral equations (FiNLIE). The long sought beauty of the solution to the spectral problem was arguably uncovered with the formulation of the  $\mathbf{P}\mu$ -system [49], also referred to as the quantum spectral curve. The whole TBA construction was ultimately reduced to a Riemann-Hilbert problem for eight  $Q$  functions, which can be thought of as the quantum analogues of quasimomenta found in the algebraic curve construction. The  $\mathbf{P}\mu$ -system quickly showed its potential as previously known results were rederived almost without any effort and new results were being rapidly discovered [50, 51].

Thus one can safely say that the spectral problem in  $\mathcal{N} = 4$  SYM is by now very well understood with numerical results readily available and deeper understanding of the structure being within reach. Integrability methods have also been useful in other areas such as three point functions [52, 53] and scattering amplitudes [54, 55], however

the situation there is still not as complete. Having witnessed the successful resolution of the spectral problem it appears that  $\mathcal{N} = 4$  SYM is within reach of being solved completely. If this programme were to be successfully carried out it would be the first example of a four dimensional interacting quantum field theory being solved exactly. Undoubtedly this would provide a huge boost to our understanding of QFTs in general and hopefully bring us closed to solving QCD.

It turns out that  $\mathcal{N} = 4$  SYM is not the only example of an integrable supersymmetric gauge theory having a dual string description. Probably the most famous example involves the so called ABJM theory, proposed by Aharony, Bergman, Jafferis and Maldacena [56], following [57, 58, 59]. It is a three-dimensional superconformal Chern-Simons gauge theory with  $\mathcal{N} = 6$  supersymmetry. This theory was conjectured to be the effective theory for a stack of M2 branes at a  $Z_k$  orbifold point. In the large  $N$  limit its gravitational dual turns out to be M-theory on  $AdS_4 \times S^7/Z_k$ . For large  $k$  and  $N$  with  $\lambda = N/k$  fixed, the dual theory becomes type IIA superstring theory in  $AdS_4 \times CP^3$ . This duality is also integrable [60] and all of the developments outlined above have been reworked for it almost in parallel.

## 1.2 Thesis overview

The thesis consists of four core chapters, the first three of which cover  $\mathcal{N} = 4$  super Yang-Mills. We start by introducing the theory in chapter 2 where we give its field content, Lagrangian and talk briefly about symmetries and their representations. We introduce the dual string theory in section 2.4 and talk briefly about its formulation as a super-coset sigma model.

Chapter 3 covers integrable structures found in  $\mathcal{N} = 4$  super Yang-Mills at strong and weak coupling, namely we discuss the spin chain picture at weak coupling in section 3.1 and the algebraic curve picture found at strong coupling in section 3.3. A lot of focus in this chapter is put on the folded string solution described in section 3.3.3. It is the strong coupling dual to operators in the  $\mathfrak{sl}(2)$  sector of  $\mathcal{N} = 4$  super Yang-Mills, which are described in section 3.1.2. A key result of the chapter is the calculation of the Konishi anomalous dimension up to two loops at strong coupling achieved by boosting the one-loop result with the help of the exact slope function found in section 3.2.1.

In chapter 4 we move away from the perturbative regime and introduce exact solution methods for the spectral problem of  $\mathcal{N} = 4$  super Yang-Mills – the thermodynamic Bethe ansatz and Y-system in section 4.1 and the novel  $\mathbf{P}\mu$ -system in section 4.2. We then discuss exact solutions found using the  $\mathbf{P}\mu$ -system, the first one being the anomalous dimension of a cusped Wilson line in section 4.3, the classical limit is also discussed in 4.3.1. The slope function is rederived in section 4.4 and the calculation is extended one order further to find the curvature function in 4.5. The chapter concludes by revisiting the Konishi anomalous dimension in section 4.6 and using the curvature function to boost the previously obtained two-loop strong coupling result to three loops.

Chapter 5 switches over from  $\mathcal{N} = 4$  super Yang-Mills to the ABJM theory and roughly follows the same path, however as most of the methods are very similar in spirit we move on much quicker. We introduce the theory in section 5.1 and discuss integrability in section 5.2. Section 5.3 describes the analogue of the folded string solution in ABJM, in particular the semi-classical quantization procedure of the solution. We finish with a short overview of the  $\mathbf{P}\mu$ -system for the theory together with some exact results in section 5.4.

We end the text with conclusions and appendices containing some of the more technical details left out from the main text for brevity. The interdependencies between the chapters and sections of the text are shown in figure 1.

### 1.3 Original work

The thesis contains original work by the author from five papers published in collaboration with fellow colleagues while working towards the PhD degree. Section 3.4 is based on [61], where the two-loop strong coupling Konishi anomalous dimension was first calculated. The calculation relied on semi-classical quantization of the folded string solution in  $\mathcal{N} = 4$  super Yang-Mills, the exact analogous calculation was then performed by the author in [62, 63] for the ABJM theory, which is the basis for section 5.3. Section 4.3.1 describing the classical limit of the cusped Wilson line is based on [64]. The remainder of chapter 4 concerning the slope and curvature functions and their use to find the three-loop Konishi anomalous dimension at strong coupling are based on the work done in [51].

Naturally in order to achieve a uniform flow throughout the text we introduced some filler sections outlining the basics of techniques we utilize later. These sections are kept short and are thoroughly filled with references to original work and/or reviews of the subject matter. We hope the reader is not offended or annoyed by the inhomogeneous level of detail in various sections of the text and enjoys the thesis!

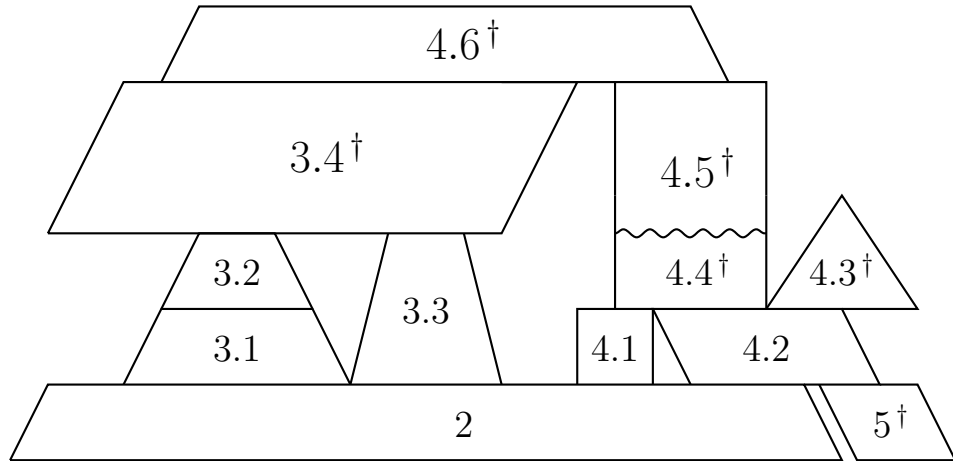


Figure 1: The interdependencies of the chapters and sections in the thesis. Sections containing mostly original work are marked with daggers.

## 2 $\mathcal{N} = 4$ super Yang-Mills

*The devil is in the details.*

– German Proverb

For the most part of this thesis we will be dealing with  $\mathcal{N} = 4$  super Yang-Mills theory. In this section we start off by defining it via its action and discussing its symmetries and observables. We also give an alternative formulation of the theory as a string theory, which is the core idea of the AdS/CFT correspondence. This formulation will later prove to be incredibly useful when discussing integrability and exact solutions.

### 2.1 Action

$\mathcal{N} = 4$  super Yang-Mills theory is a quantum field theory much like the Standard Model of particle physics with a certain field content and interaction pattern. It was first discovered by considering  $\mathcal{N} = 1$  super Yang-Mills theory in  $9 + 1$  spacetime dimensions [65], its action is given by

$$S = \int d^{10}x \operatorname{Tr} \left( -\frac{1}{4} F_{MN} F^{MN} + \frac{1}{2} \bar{\Psi} \Gamma^M \mathcal{D}_M \Psi \right), \quad M = 1 \dots 10, \quad (2.1)$$

where  $\Psi$  is a Majorana-Weyl spinor in  $9 + 1$  dimensions with 16 real components and  $\Gamma^M$  are the appropriate gamma matrices. The covariant derivative  $\mathcal{D}_M$  is defined as

$$\mathcal{D}_M = \partial_M - ig_{YM} [A_M, ], \quad (2.2)$$

where  $g_{YM}$  is the Yang-Mills coupling constant. The gauge group is in principle arbitrary, but we choose  $SU(N)$  in anticipation of the AdS/CFT correspondence. By dimensionally reducing this theory on a flat torus  $T^6$  one recovers the maximally supersymmetric  $\mathcal{N} = 4$  Yang-Mills gauge theory in  $3 + 1$  spacetime dimensions. The reduced action reads

$$S = \int d^4x \operatorname{Tr} \left( -\frac{1}{2} \mathcal{D}_\mu \Phi_I \mathcal{D}^\mu \Phi^I + \frac{g_{YM}^2}{4} [\Phi_I, \Phi_J] [\Phi^I, \Phi^J] - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} - \bar{\psi}^a \sigma^\mu \mathcal{D}_\mu \psi_a + \frac{ig_{YM}}{2} \sigma_I^{ab} \psi_a [\Phi^I, \psi_b] + \frac{ig_{YM}}{2} \sigma_{ab}^I \bar{\psi}^a [\Phi_I, \bar{\psi}^b] \right). \quad (2.3)$$

After dimensional reduction the gauge field  $A_M$  decomposes to the four dimensional gauge field  $A_\mu$  and to six real scalar fields  $\Phi_I$  whereas the Majorana-Weyl spinor  $\Psi_A$



breaks up into four copies of the left and right Weyl spinors in four dimensions

$$\Psi_A \ (A = 1, \dots, 16) \rightarrow \bar{\psi}_{\dot{\alpha}}^a, \psi_{a\alpha} \ (\alpha, \dot{\alpha} = 1, 2, \ a = 1, \dots, 4). \quad (2.4)$$

It also gives rise to the  $SO(6) \simeq SU(4)$  symmetry called *R-symmetry*, which originally was part of the ten dimensional Poincare group, but now acts as an internal symmetry of the supercharges. It permutes the scalars, which live in the fundamental **6** of  $SO(6)$  and the spinors, which live in the fundamental of  $SU(4)$ , namely the lower index  $a$  in  $\psi_{a\alpha}$  transforms in **4**, while  $\bar{\psi}_{\dot{\alpha}}^a$  transforms in  $\bar{\mathbf{4}}$ . From this it follows that we can combine the six real scalars  $\Phi^I$  into three complex scalars  $\Phi^{ab}$ , often denoted as  $X$ ,  $Y$  and  $Z$ , which then transform under the second rank antisymmetric **6** of  $SU(4)$ . The gauge field is a singlet under R-symmetry.

It is now a straightforward but rather tedious task to calculate the beta function for this theory. For any  $SU(N)$  gauge theory at one loop level it is given by [66]

$$\beta(g) = -\frac{g_{YM}^3}{16\pi^2} \left( \frac{11}{3}N - \frac{1}{6} \sum_s C_s - \frac{1}{3} \sum_f \tilde{C}_f \right) \quad (2.5)$$

where the first sum is over the real scalars and the second one over the fermions.  $C_s$  and  $\tilde{C}_f$  are the quadratic Casimirs, which in our case are equal to  $N$  since all fields are in the adjoint representation of the group. It is then easy to see that at least at one loop level the theory is conformally invariant. In fact the  $\beta$  function was shown to be identically zero to all orders in perturbation theory [67, 68], hence  $\mathcal{N} = 4$  super Yang-Mills is fully conformally invariant even after quantization. After discussing the full symmetry algebra of the theory and its representations we will give an elegant argument why this is true.

## 2.2 Observables

The theory has 16 on-shell degrees of freedom which make up the gauge multiplet of  $\mathcal{N} = 4$  supersymmetry, namely  $(\Phi_I, \psi_a, A_\mu)$ . Gauge invariant operators are then formed by taking traces over the gauge group. An important class of operators are the *local operators*, which are traces of fields all evaluated at the same spacetime point. They have the general form

$$\begin{aligned} \mathcal{O}_{i_1 \mu i_2 \alpha \dots i_n \dots j_1 \nu \beta \dots j_n} (x) &= \text{Tr} [\Phi_{i_1}(x) \mathcal{D}_\mu \Phi_{i_2}(x) \psi_\alpha(x) \dots \Phi_{i_n}(x)] \times \dots \\ &\dots \times \text{Tr} [\Phi_{j_1}(x) \mathcal{D}_\nu \psi_\beta(x) \dots \Phi_{j_n}(x)]. \end{aligned} \quad (2.6)$$

In this thesis we will be exclusively focusing on the planar limit, which is the limit when the number of colors  $N$  is sent to infinity. Diagrams involving multi-trace operators are non-planar, hence suppressed in the large  $N$  limit and therefore we will only be considering single trace operators. An example of a non-local operator is the Wilson loop, given by

$$W_L = \text{Tr} \left( \mathcal{P} \exp \oint_C dt \left( iA \cdot \dot{x} + \vec{\Phi} \cdot \vec{n} |\dot{x}| \right) \right), \quad (2.7)$$

which depends on the path  $x^\mu(t)$  in spacetime, hence it is known as a *line operator*. It also depends on the coupling to the scalar fields, which is encoded in the six-dimensional unit vector  $\vec{n}(t)$ . The scalar field term can also be understood by recalling that the scalar fields are a result of dimensional reduction from  $9 + 1$  dimensions, thus the coupling vector  $\vec{n}(t)$  together with the curve  $x^\mu(t)$  make up a path  $x^M(t)$  in  $9 + 1$  dimensional spacetime. In later sections of the text we will be considering cusped Wilson lines with other operators inserted at the cusp. We will be mostly working in these two classes of operators, however in principle one could go on and define surface operators, etc.

### 2.3 Symmetry

Conformal symmetry, supersymmetry and R-symmetry are a part of a bigger group  $PSU(2, 2|4)$ , which is also known as the  $\mathcal{N} = 4$  *superconformal group*. It is the full symmetry group of  $\mathcal{N} = 4$  super Yang-Mills and is unbroken by quantum corrections. It is an example of a *supergroup*, i.e. a graded group containing bosonic and fermionic generators. The theory of supergroups is highly developed (see [69]) and much of the techniques from studying bosonic groups carry over to supergroups with some additional complications, i.e. Dynkin diagrams, root spaces, weights etc.

$PSU(2, 2|4)$  has the bosonic subgroup of  $SU(2, 2) \times SU(4)$ , where  $SU(2, 2) \simeq SO(2, 4)$  is the conformal group in four dimensions and  $SU(4) \simeq SO(6)$  is the R-symmetry. The conformal group has the Poincaré group as a subgroup, which has a total of 10 generators including four translations  $P_\mu$  and six Lorentz transformations  $M_{\mu\nu}$ , in addition there is the generator for dilatations  $D$  and four special conformal generators  $K_\mu$ . Their commutation relations read

$$\begin{aligned} [D, M_{\mu\nu}] &= 0 \quad [D, P_\mu] = -iP_\mu \quad [D, K_\mu] = +iK_\mu, \\ [M_{\mu\nu}, P_\lambda] &= -i(\eta_{\mu\nu}P_\lambda - \eta_{\lambda\nu}P_\mu) \quad [M_{\mu\nu}, K_\lambda] = -i(\eta_{\mu\lambda}K_\nu - \eta_{\lambda\nu}K_\mu), \\ [P_\mu, K_\nu] &= 2i(M_{\mu\nu} - \eta_{\mu\nu}D). \end{aligned} \quad (2.8)$$

$\mathcal{N} = 4$  supersymmetry has 16 supercharges  $Q_{a\alpha}$  and  $\tilde{Q}_{\dot{\alpha}}^a$  where  $\alpha, \dot{\alpha} = 1, 2$  are the Weyl spinor indices and  $a = 1, \dots, 4$  are the R-symmetry indices. These generators have the usual commutation and anti-commutation relations with the Poincaré generators given by

$$\begin{aligned} \{Q_{a\alpha}, \tilde{Q}_{\dot{\alpha}}^b\} &= \gamma_{\alpha\dot{\alpha}}^{\mu} \delta_a^b P_{\mu} \quad \{Q_{a\alpha}, Q_{a\beta}\} = \{\tilde{Q}_{\dot{\alpha}}^a, \tilde{Q}_{\dot{\alpha}}^b\} = 0, \\ [M^{\mu\nu}, Q_{a\alpha}] &= i\gamma_{\alpha\beta}^{\mu\nu} \epsilon^{\beta\gamma} Q_{\gamma a} \quad [M^{\mu\nu}, \tilde{Q}_{\dot{\alpha}}^a] = i\gamma_{\dot{\alpha}\dot{\beta}}^{\mu\nu} \epsilon^{\dot{\beta}\dot{\gamma}} \tilde{Q}_{\dot{\gamma}}^a, \\ [P_{\mu}, Q_{a\alpha}] &= [P_{\mu}, \tilde{Q}_{\dot{\alpha}}^b] = 0, \end{aligned} \quad (2.9)$$

where  $\gamma_{\alpha\beta}^{\mu\nu} = \gamma_{\alpha\dot{\alpha}}^{\mu} \gamma_{\beta\dot{\beta}}^{\nu} \epsilon^{\dot{\alpha}\dot{\beta}}$ . Commutators between supercharges and the conformal generators are also non trivial and introduce new supercharges,

$$\begin{aligned} [D, Q_{a\alpha}] &= -\frac{i}{2} Q_{a\alpha} \quad [D, \tilde{Q}_{\dot{\alpha}}^a] = -\frac{i}{2} \tilde{Q}_{\dot{\alpha}}^a, \\ [K^{\mu}, Q_{a\alpha}] &= \gamma_{\alpha\dot{\alpha}}^{\mu} \epsilon^{\dot{\alpha}\dot{\beta}} \tilde{S}_{\dot{\beta}a} \quad [K^{\mu}, \tilde{Q}_{\dot{\alpha}}^a] = \gamma_{\alpha\dot{\alpha}}^{\mu} \epsilon^{\alpha\beta} S_{\beta}^a, \end{aligned} \quad (2.10)$$

where  $\tilde{S}_{\dot{\alpha}a}$  and  $S_{\alpha}^a$  are the *special conformal supercharges*. They have opposite R-symmetry representations compared to the usual supercharges. The special supercharges bring the total of supercharges to 32. The commutation and anti-commutation relations for the special conformal supercharges are very much like the ones for normal supercharges,

$$\begin{aligned} \{S_{\alpha}^a, \tilde{S}_{\dot{\alpha}b}\} &= \gamma_{\alpha\dot{\alpha}}^{\mu} \delta_b^a K_{\mu} \quad \{S_{\alpha}^a, S_{\alpha}^b\} = \{\tilde{S}_{\dot{\alpha}a}, \tilde{S}_{\dot{\alpha}b}\} = 0, \\ [M^{\mu\nu}, S_{\alpha}^a] &= i\gamma_{\alpha\beta}^{\mu\nu} \epsilon^{\beta\gamma} S_{\gamma}^a \quad [M^{\mu\nu}, \tilde{S}_{\dot{\alpha}a}] = i\gamma_{\dot{\alpha}\dot{\beta}}^{\mu\nu} \epsilon^{\dot{\beta}\dot{\gamma}} \tilde{S}_{\dot{\gamma}a}, \\ [K_{\mu}, S_{\alpha}^a] &= [K_{\mu}, \tilde{S}_{\dot{\alpha}a}] = 0. \end{aligned} \quad (2.11)$$

Finally the anti-commutation relations between the special conformal and usual supercharges close the algebra,

$$\begin{aligned} \{Q_{a\alpha}, S_{\beta}^b\} &= -i\epsilon_{\alpha\beta} \sigma^{IJ}{}_a{}^b R_{IJ} + \gamma_{\alpha\beta}^{\mu\nu} \delta_a^b M_{\mu\nu} - \frac{1}{2} \epsilon_{\alpha\beta} \delta_a^b D \\ \{\tilde{Q}_{\dot{\alpha}}^a, \tilde{S}_{\dot{\beta}b}\} &= +i\epsilon_{\dot{\alpha}\dot{\beta}} \sigma^{IJ}{}_a{}^b R_{IJ} + \gamma_{\dot{\alpha}\dot{\beta}}^{\mu\nu} \delta_a^b M_{\mu\nu} - \frac{1}{2} \epsilon_{\dot{\alpha}\dot{\beta}} \delta_a^b D \\ \{Q_{a\alpha}, \tilde{S}_{\dot{\beta}b}\} &= \{\tilde{Q}_{\dot{\alpha}}^a, S_{\beta}^b\} = 0 \end{aligned} \quad (2.12)$$

where  $R_{IJ}$  are the generators of R-symmetry with  $I, J = 1, \dots, 6$ . All supercharges transform under the two spinor representations of the R-symmetry group and all other generators commute with it. All of the generators can be organized as follows

$$\left( \begin{array}{c|c} K^{\mu}, P^{\mu}, M^{\mu\nu}, D & Q_{a\alpha}, \tilde{S}_{a\dot{\alpha}} \\ \hline S_{\alpha}^a, \tilde{Q}_{\dot{\alpha}}^a & R_{IJ} \end{array} \right) \quad (2.13)$$

where the generators in the diagonal blocks are bosonic and the ones in the anti-diagonal blocks are fermionic. They have a definite dimensions, which are not modified by the radiative corrections

$$[D] = [L] = [\bar{L}] = [R] = 0, \quad [P] = 1, \quad [K] = -1, \quad [Q] = 1/2, \quad [S] = -1/2. \quad (2.14)$$

In contrast, the classical dimensions of fields

$$[\Phi^I] = [A_\mu] = 1, \quad [\psi_a] = \frac{3}{2}, \quad (2.15)$$

do receive radiative corrections and acquire *anomalous dimensions*, which together with the bare dimension make up the conformal dimension

$$\Delta = \Delta_0 + \gamma(g_{YM}). \quad (2.16)$$

The name is justified by the fact that in conformal field theories all two point functions are determined by the scaling dimensions of the fields. More than that, together with the knowledge of all three point functions they are enough to determine any  $n$ -point function. This is why finding conformal dimensions of all operators, i.e. the spectrum of the theory is a very important step in solving it.

### 2.3.1 Superconformal multiplets

Fields of the theory can be organized in unitary representations of the superconformal symmetry group, which are labeled by quantum numbers of the bosonic subgroup

$$SO(1,3) \times SO(1,1) \times SU(4) \\ (s_+, s_-) \quad \Delta \quad [r_1, r_2, r_3] \quad (2.17)$$

where  $(s_+, s_-)$  are the usual positive half-integer spin labels of the Lorentz group,  $\Delta$  is the positive conformal dimension that can depend on the coupling and  $[r_1, r_2, r_3]$  are Dynkin labels of the  $R$ -symmetry. All unitary representations of the superconformal group have been classified into four families [70, 71], here we give a short description of the classification.

Looking at the commutation relations of the conformal subgroup (2.8), we see that the operators  $P_\mu$  and  $K_\mu$  act as raising and lowering operators for the dilatation operator  $D$  – this gives a hint as to how we could construct representations of the group. The dilatation operator  $D$  is the generator of scalings, i.e. upon a rescaling  $x \rightarrow \lambda x$  a local operator in a field theory scales as

$$\mathcal{O}(x) \rightarrow \lambda^{-\Delta} \mathcal{O}(\lambda x) \quad (2.18)$$

where  $\Delta$  is the conformal dimension of the operator  $\mathcal{O}(x)$ . Restricting to the point  $x = 0$ , which is a fixed point of scalings, we see that the conformal dimension is the eigenvalue of the dilatation operator,

$$[D, \mathcal{O}(0)] = -i\Delta\mathcal{O}(0). \quad (2.19)$$

It is now clear that acting on a field with  $K_\mu$  should lower the dimension by one and acting with  $P_\mu$  – raise it by one. We can show this explicitly using the Jacobi identity as

$$[D, [K_\mu, \mathcal{O}(0)]] = [[D, K_\mu], \mathcal{O}(0)] + [K_\mu, [D, \mathcal{O}(0)]] = -i(\Delta - 1) [K_\mu, \mathcal{O}(0)]. \quad (2.20)$$

Since operators in a unitary quantum field theory should have positive dimensions (aside from the identity operator), we should not be able to keep lowering the dimension indefinitely, i.e. there should always be an operator that satisfies

$$[K_\mu, \tilde{\mathcal{O}}(0)] = 0. \quad (2.21)$$

We call such operators *conformal primary operators*. Acting on these with  $P_\mu$  keeps producing operators with a dimension one higher – we call these the *descendants* of  $\tilde{\mathcal{O}}(0)$ . We can also act with the supercharges and looking at the commutators in (2.10) we see that they raise the dimension by 1/2, while the special conformal supercharges lower it by 1/2. Operators annihilated by special conformal supercharges are called *superconformal primaries*, which is a stronger condition than being a conformal primary.

(Super-)conformal primaries and their descendants make up multiplets that constitute the three families of discrete representations in the classification. They are further distinguished by the number of supercharges the primary commutes with. One example is a class of operators that satisfy the condition

$$\Delta = r_1 + r_2 + r_3, \quad (2.22)$$

a canonical representative would be a single-trace symmetrized scalar field operator such as

$$\mathcal{O}^{ij\dots k}(x) = \text{Tr} \left( \Phi(x)^{(i} \Phi(x)^j \dots \Phi(x)^k) \right). \quad (2.23)$$

These operators commute with half of the supercharges, thus they are referred to as half-BPS. A key fact is that operators in the same representation must have the same anomalous dimension, because the generators of the group can only change it by half integer steps and there's only a finite number of generators. What is more, operators

in the discrete BPS representations are protected from quantum corrections, because at any coupling the total dimension is always algebraically related to the Dynkin labels of the R-symmetry, e.g. as in (2.22). Since charges of compact groups are quantized it must mean that the dimension can't continuously depend on the coupling and hence the anomalous dimension must vanish. This is however not true for the fourth continuous non-BPS family of representations, hence operators from these multiplets do acquire anomalous dimensions.

Let us conclude the section with an elegant argument for why the beta function of  $\mathcal{N} = 4$  super Yang-Mills is zero. One can use the algebra and shown that the operators  $\text{Tr } F_+ F_+$  and  $\text{Tr } F_- F_-$ , where  $F_{\pm}$  are the (anti-)self-dual field strengths, belong to the same multiplet as a superconformal primary [72], meaning that the  $\text{Tr } F_{\mu\nu} F^{\mu\nu}$  term in the Lagrangian is protected from quantum corrections, hence so is the coupling constant  $g_{YM}$ . This argument is valid to all orders in perturbation theory, which means that  $\mathcal{N} = 4$  super Yang-Mills is conformally invariant to all orders in perturbation theory.

## 2.4 String description at strong coupling

As already briefly explained in the introduction, the AdS/CFT conjecture states that  $\mathcal{N} = 4$  super Yang-Mills is exactly dual to type IIB string theory on  $AdS_5 \times S^5$ , [4, 9, 10]. To be more precise, the gauge group of the Yang-Mills theory is taken to be  $SU(N)$  and the coupling constant  $g_{YM}$ . The string theory is defined on  $AdS_5 \times S^5$  where both  $AdS_5$  and  $S^5$  have radius  $R$ . The self-dual five-form field  $F_5^+$  has integer flux through the sphere

$$\int_{S^5} F_5^+ = N, \quad (2.24)$$

and  $N$  is identified with the number of colors in the gauge theory. The string theory is further parametrized by the string coupling  $g_s$  and the string length squared  $\alpha'$ . The following relations are conjectured to hold

$$4\pi g_s = g_{YM}^2 \equiv \frac{\lambda}{N}, \quad \frac{R^4}{\alpha'^2} = \lambda, \quad (2.25)$$

where  $\lambda$  is the t'Hooft coupling. We will be working in the planar limit  $N \rightarrow \infty$  with  $\lambda$  fixed. It is easy to see that in this limit  $g_s \rightarrow 0$  and we are left with freely propagating strings. Furthermore, the regime of strongly coupled gauge theory when  $\lambda \rightarrow \infty$  corresponds to the regime of string theory where the supergravity approximation

is valid, namely  $\alpha' \ll R^2$ . The takeaway here is that one can formulate strongly coupled planar  $\mathcal{N} = 4$  super Yang-Mills as a classical theory of free strings on  $AdS_5 \times S^5$ .

### 2.4.1 Sigma model formulation

A very useful formulation of string theory on  $AdS_5 \times S^5$  is the coset space sigma model [11] with the target superspace of

$$\frac{PSU(2, 2|4)}{SO(1, 4) \times SO(5)}. \quad (2.26)$$

The bosonic part of the supercoset where the string moves is given by

$$\frac{SO(2, 4) \times SO(6)}{SO(1, 4) \times SO(5)} = AdS_5 \times S^5, \quad (2.27)$$

which is constructed as the coset between the isometry and isotropy groups of  $AdS_5 \times S^5$ .

The action is then written in terms of the algebra of  $PSU(2, 2|4)$ .

The superalgebra  $\mathfrak{psu}(2, 2|4)$  has no realization in terms of matrices, instead it is the quotient of  $\mathfrak{su}(2, 2|4)$  by matrices proportional to the identity. On the other hand  $\mathfrak{su}(2, 2|4)$  is a matrix superalgebra spanned by  $8 \times 8$  supertraceless matrices

$$M = \left( \begin{array}{c|c} A & B \\ \hline C & D \end{array} \right), \quad (2.28)$$

where the supertrace is defined as

$$S\text{Tr } M = \text{Tr } A - \text{Tr } D. \quad (2.29)$$

$A$  and  $D$  are elements of  $\mathfrak{su}(2, 2)$  and  $\mathfrak{su}(4)$  respectively, whereas the fermionic components are related by

$$C = \left( \begin{array}{c|c} +\mathbb{1}_{2 \times 2} & 0 \\ \hline 0 & -\mathbb{1}_{2 \times 2} \end{array} \right) B^\dagger. \quad (2.30)$$

An important feature of this algebra is the following automorphism

$$\Omega \circ M = \left( \begin{array}{c|c} EA^T E & -EC^T E \\ \hline EB^T E & ED^T E \end{array} \right), \quad E = \begin{pmatrix} 0 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \end{pmatrix}, \quad (2.31)$$

which endows the algebra with a  $\mathbb{Z}_4$  grading, since one can easily check that  $\Omega^4 = 1$ . This in turn means that any element of the algebra can be decomposed under this grading as

$$M = \sum_{i=0}^3 M^{(i)}, \quad (2.32)$$

where

$$\begin{aligned} M^{(0,2)} &= \frac{1}{2} \left( \begin{array}{c|c} A \pm EA^T E & 0 \\ \hline 0 & D \pm ED^T E \end{array} \right) \\ M^{(1,3)} &= \frac{1}{2} \left( \begin{array}{c|c} 0 & B \pm iEC^T E \\ \hline C \mp iEB^T E & 0 \end{array} \right) \end{aligned} \quad (2.33)$$

and the morphism then acts on the elements of the decomposition as

$$\Omega \circ M^{(n)} = i^n M^{(n)}. \quad (2.34)$$

The Metsaev-Tseytlin action for the Green-Schwarz superstring is then given by

$$S = \frac{\sqrt{\lambda}}{4\pi} \int \text{STr} \left( J^{(2)} \wedge *J^{(2)} - J^{(1)} \wedge J^{(3)} + \Lambda \wedge J^{(2)} \right), \quad (2.35)$$

which is written down in terms of the graded elements of the algebra current

$$J = -g^{-1} dg \quad (2.36)$$

where  $g(\sigma, \tau) \in PSU(2, 2|4)$  is a map from the string worldsheet to the supergroup  $PSU(2, 2|4)$ . The last term contains a Lagrange multiplier  $\Lambda$ , which ensures that  $J^{(2)}$  is supertraceless, whereas all other components are manifestly traceless as seen from (2.33). Since the target space is the coset of  $PSU(2, 2|4)$  by  $SO(1, 4) \times SO(5)$ , the map  $g$  has an extra gauge symmetry

$$g \rightarrow gH, \quad H \in SO(1, 4) \times SO(5) \quad (2.37)$$

under which the components of the supercurrent transform as

$$J^{(0)} \rightarrow H^{-1} J^{(0)} H - H^{-1} dH \quad (2.38)$$

$$J^{(i)} \rightarrow H^{-1} J^{(i)} H, \quad i = 1, 2, 3 \quad (2.39)$$

The equations of motion read

$$d * k = 0, \quad (2.40)$$

where  $k = gKg^{-1}$  and

$$K = J^{(2)} + \frac{1}{2} * J^{(1)} - \frac{1}{2} * J^{(3)} - \frac{1}{2} * \Lambda. \quad (2.41)$$

They are equivalent to the conservation of the Noether current associated to the global left  $PSU(2, 2|4)$  multiplication symmetry.



Finally let us briefly remark on how the action reduces to the usual sigma model action if one restricts to bosonic fields. A purely bosonic representative of  $PSU(2, 2|4)$  has the form

$$g = \left( \begin{array}{c|c} A & 0 \\ \hline 0 & D \end{array} \right), \quad (2.42)$$

where  $A \in SO(6) \simeq SU(4)$  and  $D \in SO(2, 4) \simeq SU(2, 2)$ . Then we see that  $AEA^T$  is a good parametrization of

$$\frac{SO(6)}{SO(5)} \simeq \frac{SU(4)}{SP(4)} = S^5, \quad (2.43)$$

since it is invariant under  $A \rightarrow AH$  with  $H \in SP(4)$ . Similarly  $DED^T$  parametrizes  $AdS_5$ . If we now define the coordinates  $u^i$  and  $v^i$  in the following way

$$u^i \Gamma_i^S = AEA^T, \quad v^i \Gamma_i^A = DED^T, \quad (2.44)$$

with  $\Gamma^S$  and  $\Gamma^A$  being the gamma matrices of  $SO(6)$  and  $SO(2, 4)$  respectively, then by construction they will satisfy the following constraints

$$\begin{aligned} 1 &= u \cdot u \equiv +u_1^2 + u_2^2 + u_3^2 + u_4^2 + u_5^2 + u_6^2 \\ 1 &= v \cdot v \equiv -v_1^2 - v_2^2 - v_3^2 - v_4^2 + v_5^2 + v_6^2, \end{aligned} \quad (2.45)$$

and the action (2.35) will read

$$S_b = \frac{\sqrt{\lambda}}{4\pi} \int_0^{2\pi} d\sigma \int d\tau \sqrt{h} (h^{\mu\nu} \partial_\mu u \cdot \partial_\nu u + \lambda_u (u \cdot u - 1) - (u \rightarrow v)), \quad (2.46)$$

which is just the usual non-linear sigma model for a string moving in  $AdS_5 \times S^5$ .

### 3 Perturbative results

*It is better to take many small steps in the right direction than to make a great leap forward only to stumble backward.*

– Old Chinese Proverb

In this section we start attacking the problem of finding the spectrum and as expected we begin with perturbation theory. Starting at weak coupling we quickly stumble upon an amazing feature of the theory, so-called integrability, which allows one to apply numerous techniques that greatly simplify the problem. We demonstrate integrability from the string theoretic perspective at strong coupling as well, which suggests a unified picture of the integrable structure embedded in the theory persisting to all loops. After discussing results achievable via integrability in the perturbative regime we finish off with our first exact result, the slope function, which in turn allows one to extract novel information about the spectrum.

#### 3.1 One loop at weak coupling

We begin with two point correlation functions of local operators. In any conformal field theory they are constrained by symmetry, namely for operators that are eigenvalues of dilatations they have the following form at all loop levels

$$\langle \mathcal{O}(x) \tilde{\mathcal{O}}(y) \rangle \approx \frac{1}{|x - y|^{2\Delta}}, \quad (3.1)$$

where  $\Delta$  is the scaling dimension of the operator and we ignore unphysical normalization factors. Classically  $\Delta = \Delta_0$  is simply the mass dimension, but at the quantum level it receives radiative corrections and acquires an anomalous dimension  $\gamma$ , such that  $\Delta(g_{YM}) = \Delta_0 + \gamma(g_{YM})$ , where the anomalous dimension depends on the coupling. Usually the corrections are small and the correlator can be expanded perturbatively. Of course one has to be careful here, as expanding in  $\gamma$  would result in expressions like  $\log|x - y|$ , which do not make sense. To that end we introduce a scale  $\mu$  and expand the following quantity instead

$$\mu^{-2\gamma} \langle \mathcal{O}(x) \tilde{\mathcal{O}}(y) \rangle \approx \frac{1}{|x - y|^{2\Delta_0}} (1 - \gamma \log \mu^2 |x - y|^2), \quad (3.2)$$

however we will formally assume that the factor  $\mu^{-\gamma}$  is absorbed into the field definition and thus we will ignore it from now on. We can now take some explicit local operator

$\mathcal{O}(x)$ , calculate the correlator using perturbation theory and read off the anomalous dimension  $\gamma$ . Let us start with a very simple chiral primary operator

$$\Psi = \text{Tr } Z^L = Z^a{}_b Z^b{}_c \dots Z^l{}_a, \quad (3.3)$$

where the complex scalar field  $Z$  and its conjugate  $\tilde{Z}$  have the standard tree level correlators

$$\left\langle Z^a{}_b(x) \tilde{Z}^{b'}{}_{a'}(y) \right\rangle_{\text{tree}} \approx \frac{\delta^a{}_{a'} \delta_b{}^{b'}}{|x - y|^2}. \quad (3.4)$$

In order to find the anomalous dimension of the operator  $\Psi$  we must calculate  $\langle \Psi(x) \tilde{\Psi}(x) \rangle$ . We do this by using Wick's theorem and plugging in the two-point correlator (3.4), which produces a lot of terms with delta function contractions between the adjoint indices. Some examples are

$$\dots \delta^{a'}{}_a \delta^a{}_{a'} \delta^{b'}{}_b \delta^b{}_{b'} \delta^{c'}{}_c \delta^c{}_{c'} \dots \quad (3.5a)$$

$$\dots \delta^{a'}{}_c \delta^c{}_{a'} \delta^{b'}{}_a \delta^a{}_{b'} \delta^{c'}{}_b \delta^b{}_{c'} \dots \quad (3.5b)$$

$$\dots \delta^{a'}{}_a \delta^a{}_{b'} \delta^{c'}{}_b \delta^b{}_{a'} \delta^{b'}{}_c \delta^c{}_{c'} \dots \quad (3.5c)$$

These contractions have a graphical interpretation. Consider the scalar field  $Z^a{}_b$  as a dot and each contraction of the adjoint indices as a line connecting these dots, then the chiral primary operator  $\Psi$  is simply a circle due to the trace. Wick's theorem says that in order to find the correlator  $\langle \Psi(x) \tilde{\Psi}(x) \rangle$  we must sum all possible ways we can connect the dots in the circle of  $\Psi$  to the dots in the circle of  $\tilde{\Psi}$ . All the delta function contractions that we get after expanding the correlator represent precisely all the possible ways we can contract the dots in the circles. The three excerpts of contractions shown in (3.5) can be represented graphically as shown in fig. 2. One can immediately notice that the first two are planar, while the third one is intersecting itself. Evaluating the three contractions we immediately see that planar ones produce a factor of  $N^3$  while the non-planar one produces a factor of  $N$ , i.e. non-planar diagrams are suppressed and we can discard them once we take the planar limit  $N \rightarrow \infty$ . All that's left then are cyclic permutations of lines by shifting all of them as seen in fig. 2 while going from (a) to (b). There are  $L - 1$  shifts that can be done in this way, since after making a full circle we return to the initial configuration. Thus finally for the chiral primary correlator at tree level we find

$$\left\langle \Psi(x) \tilde{\Psi}(y) \right\rangle_{\text{tree}} \approx \frac{LN^L}{|x - y|^{2L}}, \quad (3.6)$$

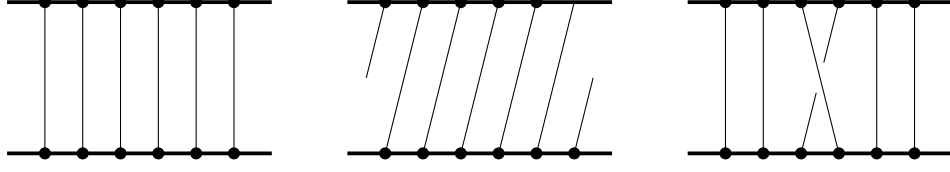


Figure 2: Possible types of Wick contractions (vertical lines) between single trace operators. The constituent scalar fields are represented by dots in the horizontal lines, which represent the successive index contractions due to the trace. First two figures are examples of planar contractions while the last one is an example of a non-planar contraction.

where  $N^L$  comes from the contractions and  $L$  from all the possible planar ways we can contract. This can easily be generalized for correlators of operators with arbitrary scalar fields  $\Phi_{I_1 I_2 \dots I_L}(x) = \text{Tr} [\Phi_{I_1}(x) \Phi_{I_2}(x) \dots \Phi_{I_L}(x)]$  to

$$\left\langle \Phi_{I_1 I_2 \dots I_L}(x) \tilde{\Phi}^{J_1 J_2 \dots J_L}(y) \right\rangle_{\text{tree}} \approx \frac{1}{|x-y|^{2L}} \left( \delta_{I_1}^{J_1} \delta_{I_2}^{J_2} \dots \delta_{I_L}^{J_L} + \text{cycles} \right), \quad (3.7)$$

where “cycles” refers to terms with the  $J$  indices pushed.  $I$  and  $J$  are flavor indices, the color indices are suppressed.

So far so good, but in order to calculate anomalous dimensions we have to go beyond tree level. This may seem like a highly non-trivial thing to do, since we expect not only scalar interactions, but also gluon exchanges and fermion loops appearing. Luckily the symmetry of the theory allows one to calculate all gluon and fermion effects in one go. First let’s concentrate on the bosonic sector of the theory ignoring gluons. The action (2.3) contains a single scalar-only interaction term

$$\begin{aligned} S_\Phi &= \frac{g_{YM}^2}{4} \sum_{I,J} \int d^4x \text{Tr} [\Phi_I, \Phi_J] [\Phi_I, \Phi_J] \\ &= -\frac{g_{YM}^2}{2} \sum_{I,J} \int d^4x (\text{Tr} \Phi_I \Phi_I \Phi_J \Phi_J - \text{Tr} \Phi_I \Phi_J \Phi_I \Phi_J). \end{aligned} \quad (3.8)$$

In order to calculate the correlator (3.7) at one-loop level, one should insert this term and Wick contract. Just like in tree level, we only have to keep planar diagrams. For the interaction terms this means that only neighbouring fields can interact. This drastically reduces the number of terms we get after Wick contracting. Because of that it is enough to consider a length two operator  $\Phi_{I_k I_{k+1}}$  and with a bit of work one can show that at one-loop level we get

$$\begin{aligned} \left\langle \Phi_{I_k I_{k+1}}(x) \tilde{\Phi}^{J_k J_{k+1}}(y) \right\rangle_{\text{one-loop}} &= \frac{\lambda}{16\pi^2} \frac{\log(\mu^2 |x-y|^2)}{|x-y|^{2L}} \times \\ &\times \left( 2\delta_{I_k}^{J_{k+1}} \delta_{I_{k+1}}^{J_k} - \delta_{I_k I_{k+1}} \delta^{J_k J_{k+1}} - \delta_{I_k}^{J_k} \delta_{I_{k+1}}^{J_{k+1}} \right), \end{aligned} \quad (3.9)$$

where  $\lambda = g_{YM}^2 N$  is the t'Hooft coupling. Comparing this to (3.7) we see that effectively the interactions permute and contract the delta function indices. We can introduce exchange and trace operators to make this explicit. The permutation operator, also called the exchange operator,  $\mathcal{P}_{l,l+1}$  is defined by it's action on a set of delta functions as

$$\mathcal{P}_{l,l+1} \delta_{I_1}^{J_1} \dots \delta_{I_l}^{J_l} \delta_{I_{l+1}}^{J_{l+1}} \dots \delta_{I_L}^{J_L} = \delta_{I_1}^{J_1} \dots \delta_{I_l}^{J_{l+1}} \delta_{I_{l+1}}^{J_l} \dots \delta_{I_L}^{J_L} \quad (3.10)$$

and the trace operator  $\mathcal{K}_{l,l+1}$  is defined as

$$\mathcal{K}_{l,l+1} \delta_{I_1}^{J_1} \dots \delta_{I_l}^{J_l} \delta_{I_{l+1}}^{J_{l+1}} \dots \delta_{I_L}^{J_L} = \delta_{I_1}^{J_1} \dots \delta_{I_l}^{J_{l+1}} \delta_{I_{l+1}}^{J_l} \dots \delta_{I_L}^{J_L}. \quad (3.11)$$

Using these operators we can rewrite the correlator in (3.9) in a more compact notation

$$\begin{aligned} \left\langle \Phi_{I_k I_{k+1}}(x) \tilde{\Phi}^{J_k J_{k+1}} \right\rangle_{\text{one-loop}} &= \\ &= \frac{\lambda}{16\pi^2} \frac{\log(\mu^2 |x-y|^2)}{|x-y|^{2L}} (2 \mathcal{P}_{k,k+1} - \mathcal{K}_{k,k+1} - 1) \delta_{I_k}^{J_k} \delta_{I_{k+1}}^{J_{k+1}}. \end{aligned} \quad (3.12)$$

This result includes only interactions with four scalars, however as mentioned before at one-loop level we can also have gluon interactions and fermion loops in scalar propagators. The nice thing about these is that such interactions don't alter the flavor index structure, i.e. there are no permutations or traces. Basically this happens because the gluon transforms trivially under R-symmetry and hence can't change the flavor index (which transforms under R-symmetry). Similarly, fermions can only appear in loops altering scalar self-energies, hence they also leave the flavor structure intact. Thus all of these interactions contribute a constant term  $C$ , which we can determine later. We can generalize our one-loop result with all interactions included for operators of arbitrary length,

$$\begin{aligned} \left\langle \Phi_{I_1 I_2 \dots I_L}(x) \tilde{\Phi}^{J_1 J_2 \dots J_L}(y) \right\rangle_{\text{one-loop}} &= \frac{\lambda}{16\pi^2} \frac{\log(\mu^2 |x-y|^2)}{|x-y|^{2L}} \times \\ &\times \sum_{l=1}^L (2 \mathcal{P}_{l,l+1} - \mathcal{K}_{l,l+1} - 1 + C) \left( \delta_{I_1}^{J_1} \delta_{I_2}^{J_2} \dots \delta_{I_L}^{J_L} + \text{cycles} \right). \end{aligned}$$

Combining this with the tree level result (3.7) and comparing to the general expression of a two-point function at one-loop level (3.2) we can deduce the anomalous dimension  $\gamma$ , which now becomes an operator  $\Gamma$  because of the flavor mixing. It is given by

$$\Gamma = \frac{\lambda}{16\pi^2} \sum_{l=1}^L (-2 \mathcal{P}_{l,l+1} + \mathcal{K}_{l,l+1} + 1 - C). \quad (3.13)$$

At first sight it may seem strange that what was supposed to be a number, i.e. a correction to the mass dimension of an operator has turned out to be an operator acting on the flavor space, i.e. a matrix. But this is very natural and in fact expected, since interactions can change the flavor of fields and we can't be sure that an operator at the quantum level has the same flavor indices as it does at the classical level. This line of thinking may lead to a natural question, why do we have mixing between the scalars only and not between all the fields in the theory including fermions, which miraculously do not appear. It turns out that this is a one-loop feature only and mixing becomes a problem at higher loop levels [13].

Now that we have acknowledged that the anomalous dimension is a matrix and found an expression for it, the next logical step would be diagonalizing it and finding the flavor eigenstates. One example of such an eigenstate is the chiral primary operator  $\Psi$ . Since it contains scalar fields of only one type, the permutation and trace operators act trivially on it. Thus we see that

$$\Gamma \Psi = \frac{\lambda}{16\pi^2} \sum_{l=1}^L (-2 + 1 - C) \Psi, \quad (3.14)$$

but we already saw that a chiral primary has an anomalous dimension of zero, which then fixes the constant  $C$  to  $-1$ . And finally we get

$$\Gamma = \frac{\lambda}{16\pi^2} \sum_{l=1}^L (2 - 2 \mathcal{P}_{l,l+1} + \mathcal{K}_{l,l+1}). \quad (3.15)$$

A keen eye might already notice that this expression resembles a Hamiltonian of a spin chain. In fact, this is hardly surprising, since from the very beginning we were talking about fields as objects in some closed line, which indeed resembles a spin chain. Furthermore the correlators that we were calculating are nothing more than propagators from one state of the chain to another, hence no wonder that the operator describing this evolution looks like a Hamiltonian for a spin chain. This identification is very useful, because the spin chains that appear in AdS/CFT are integrable and can be solved exactly, which gives us hope that we can apply the same techniques here and solve the spectral problem in  $\mathcal{N} = 4$  exactly. The first steps towards this goal were outlined in the seminal paper [13], which launched the integrability program in AdS/CFT. However saying that the spectral problem can be solved exactly in this particular case is too strong, since we are only at one-loop level. Nevertheless one can apply the same techniques going beyond one-loop level, as we shall soon see in the coming sections.

### 3.1.1 The $\mathfrak{su}(2)$ sector

In the previous section we considered single trace operators potentially containing all six scalar fields, we also mentioned that at higher loops the remaining fields of the theory start mixing in, i.e. the scalar sector is only closed at one-loop level. However it is easy to see that there exist sectors that are closed at all loops. The anomalous dimension matrix is simply the dilatation operator minus the bare dimension and from the algebra of the theory we know that dilatations commute with Lorentz and R-symmetries at any value of the coupling. We can thus conclude that only operators with the same bare dimensions, Lorentz charges and R-charges can mix when acting with the anomalous dimension matrix. Furthermore, since this is true at any value of the coupling it must follow that all the coefficients in

$$D = \sum_n \lambda^n D^{(2n)}, \quad (3.16)$$

commute with Lorentz and R-symmetry generators, here  $D^{(2)} \equiv \Gamma$  is the one-loop dilatation operator found in the last section.

Arguably the simplest possible closed sector is the so-called  $\mathfrak{su}(2)$  sector, containing only two scalar fields  $X$  and  $Z$ . An operator with  $M$  and  $L - M$  scalars  $X$  and  $Z$  has the charges  $(0, 0, L; M, L - M, 0)$ , the only other operators with these charges are permutations of this operator, hence the sector is closed. The anomalous dimension operator in this sector is given by

$$\Gamma = \frac{\lambda}{8\pi^2} \sum_{l=1}^L (1 - \mathcal{P}_{l,l+1}), \quad (3.17)$$

which lacks the contraction operator term compared to (3.15). We neglect it since operators in this sector do not contain both scalars and their conjugates, thus no contractions are possible. Up to a constant factor this is the same as the Hamiltonian for the Heisenberg spin chain (also called the XXX spin chain), which is a quantum description of a one dimensional magnet. The Hamiltonian is given by

$$\mathbf{H} = \sum_{l=1}^L (1 - \mathcal{P}_{l,l+1}), \quad (3.18)$$

which can also be rewritten in terms of Pauli matrices as

$$\mathbf{H} = 2 \sum_{l=1}^L \left( \frac{1}{4} - \vec{S}_l \cdot \vec{S}_{l+1} \right), \quad \vec{S}_l = \frac{1}{2} \vec{\sigma}_l. \quad (3.19)$$

Hence solving the spectral problem in  $\mathcal{N} = 4$  SYM translates into solving the Schrödinger equation

$$\mathbf{H}|\psi\rangle = E|\psi\rangle, \quad (3.20)$$

where we now seek to find the energy eigenvalues for the Hamiltonian of the spin chain. If the chain is short, this is a trivial diagonalization problem that can be easily solved by a present day computer. However this problem was first solved analytically by Hans Bethe in a time when computers were still in their infancy. The original solution now goes by the name of *coordinate Bethe ansatz* and it is by far one of the most important and beautiful solutions in physics in the past century, which is still very widely used even to this day. The idea is to make an educated guess for the wave function  $|\psi\rangle$ , plug it in to the Schrödinger equation and determine when does it actually hold. This produces a set of algebraic Bethe ansatz equations for a set of variables unimaginatively called the Bethe roots. All observables can then be expressed in terms of these numbers as simple algebraic functions, thus transforming a diagonalization problem to an algebraic problem. This has an enormous advantage, since in the asymptotic limit, when the spin chain is very large, instead of diagonalizing an infinite matrix, the set of algebraic equations actually simplify and produce integral equations, which can be solved.

In the spin chain language the scalar fields can be treated as up and down spin states, i.e.

$$|\uparrow\rangle = Z = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad |\downarrow\rangle = X = \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \quad (3.21)$$

thus local single trace operators can be treated as states of a spin chain, e.g.

$$\text{Tr}(XXZXZX) \equiv |\downarrow\downarrow\uparrow\downarrow\downarrow\uparrow\rangle. \quad (3.22)$$

Due to the cyclicity of the trace all rotations of the chain are equivalent. We should also specify the periodicity boundary condition

$$\vec{S}_{L+1} = \vec{S}_1. \quad (3.23)$$

The operators  $\vec{S}_l$  act as Pauli matrices on the  $l$ 'th spin site and trivially on all the others. Since a spin “chain” with a single site would have a state space  $\mathbb{C}^2$ , a spin chain of length  $L$  has a state space  $(\mathbb{C}^2)^{\otimes L}$ , which has  $2^L$  basis vectors and the Hamiltonian is then a  $2^L \times 2^L$  matrix, which we need to diagonalize<sup>1</sup>. Working directly with Pauli

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<sup>1</sup>Of course, technically the state space is smaller due to the cyclicity of the chain, however as is common in physics we stick with the redundant description for simplicity.



matrices one can find some simple results directly, e.g. it is trivial to show that the chiral primary operator

$$|\Psi\rangle = \text{Tr } Z^L = |\uparrow\uparrow \dots \uparrow\rangle \quad (3.24)$$

is an eigenstate of the Hamiltonian with zero energy, i.e. it is the ferromagnetic ground state of the spin chain, which we will denote as  $|0\rangle$  from now on. This is expected, since we know that chiral primaries have zero anomalous dimensions. Another eigenstate of the Hamiltonian is the *single magnon* state, defined as

$$|p\rangle = \sum_{n=1}^L e^{ipn} |n\rangle, \quad (3.25)$$

where  $|n\rangle$  is the ground state with the  $n$ 'th spin flipped,

$$|n\rangle = S_n^- |0\rangle = |\uparrow\uparrow\uparrow \dots \downarrow \dots \uparrow\uparrow\uparrow\rangle, \quad (3.26)$$

here  $p$  is formally just a parameter, but it can be interpreted as the momentum of the excitation travelling in the spin chain. Due to the cyclicity of the chain the momentum is quantized,

$$p = \frac{2\pi}{L} n, \quad n \in \mathbb{Z}, \quad (3.27)$$

where  $n$  is the mode number. The energy of the excitation is given by the dispersion relation

$$E(p) = 4 \sin^2 \frac{p}{2}. \quad (3.28)$$

Now consider a two magnon state

$$|\psi\rangle = \sum_{n < m} \psi(n, m) |n, m\rangle, \quad |n, m\rangle = S_n^- S_m^- |0\rangle. \quad (3.29)$$

The situation is not so trivial this time, since the two magnons might scatter among themselves. We now plug this into (3.20) and find the conditions for  $\psi(n, m)$ , which are

$$\begin{aligned} E \psi(n, m) &= 4 \psi(n, m) - \psi(n+1, m) - \psi(n-1, m) \\ &\quad - \psi(n, m+1) - \psi(n, m-1) \end{aligned} \quad (3.30)$$

when  $m > n+1$  and

$$E \psi(n, n+1) = 2 \psi(n, n+1) - \psi(n-1, n+1) - \psi(n, n+2) \quad (3.31)$$

when  $m = n+1$ , i.e. when the two magnons scatter. The solution is now a superposition of single magnon states

$$\psi(n, m) = e^{ikn+ipm} + S(k, p) e^{ipn+ikm}, \quad (3.32)$$

where

$$S(p, k) = \frac{\frac{1}{2}\cot\frac{k}{2} - \frac{1}{2}\cot\frac{p}{2} - i}{\frac{1}{2}\cot\frac{k}{2} - \frac{1}{2}\cot\frac{p}{2} + i} \quad (3.33)$$

is the scattering matrix. As required, such a state is an eigenstate and the energy is given by

$$E = E(p) + E(k), \quad (3.34)$$

i.e. it is simply the sum of the single magnon energies. Finally the spin chain periodicity condition imposes the following equations

$$e^{ikL} S(p, k) = e^{ipL} S(k, p) = 1. \quad (3.35)$$

It is now straightforward to generalize this procedure, which is exactly what Bethe did. The wave function for  $M$  spins down can be written as

$$|\psi\rangle = \sum_{1 \leq l_1 < l_2 < \dots < l_M \leq L} \psi(l_1, l_2, \dots, l_M) S_{l_1}^- S_{l_2}^- \dots S_{l_M}^- |0\rangle. \quad (3.36)$$

The sum is chosen in a way so as not to over count states. The Bethe ansatz is the educated guess of the wave function

$$\psi(l_1, l_2, \dots, l_M) = \sum_{\sigma \in \text{perm}(1, 2, \dots, M)} A(p) e^{ip_{\sigma_1} l_1 + ip_{\sigma_2} l_2 + \dots + ip_{\sigma_M} l_M}, \quad (3.37)$$

where the sum runs over all permutations of the down spin labels  $1, 2, \dots, M$ .  $p_i$  are the momenta of the down spins, which can be treated as excitations moving in the vacuum state of the spin chain. The ansatz then looks like a superposition of plane waves. As in the two magnon case, one should now plug in the ansatz and find the conditions that make it work. The result is a set of algebraic equations, called the *Bethe equations*

$$e^{ip_k L} = - \prod_{\substack{j=1 \\ j \neq k}}^M \frac{e^{ip_j} - e^{ip_k} + 1}{e^{ip_k} - e^{ip_j} + 1} \quad \text{for } k = 1, 2, \dots, M \quad (3.38)$$

and the amplitude is given by

$$A(r) = \text{sign}(\sigma) \prod_{j < k} (e^{ip_j} - e^{ip_k} + 1). \quad (3.39)$$

These equations can be interpreted physically once rewritten as

$$e^{ip_k L} \prod_{\substack{j=1 \\ j \neq k}}^M S(p_j, p_k) = 1, \quad \text{where } S(p_j, p_k) = - \frac{e^{ip_k} - e^{ip_j} + 1}{e^{ip_j} - e^{ip_k} + 1}. \quad (3.40)$$

This is simply saying that if we take a magnon, carry it around the spin chain, the total phase change which is a result of free propagation (represented by  $e^{ip_k L}$ ) and scattering with other magnons (due to  $S(p_j, p_k)$ ) must be trivial. Changing variables to

$$e^{ip_k} = \frac{u_k + i/2}{u_k - i/2}, \quad u_k = \frac{1}{2} \cot \frac{p_k}{2}, \quad (3.41)$$

brings the Bethe equations (3.38) to a more familiar form

$$\left( \frac{u_k + i/2}{u_k - i/2} \right)^L = \prod_{\substack{j=1 \\ j \neq k}}^M \frac{u_k - u_j + i}{u_k - u_j - i}, \quad (3.42)$$

where now one solves for the Bethe roots  $u_k$ , also known as magnon rapidities. It is now straightforward to see that this general solution reproduces the two magnon scenario we discussed earlier. The energy of the  $M$  magnon state is given by

$$E = \sum_{k=1}^M \frac{1}{u_k^2 + 1/4}, \quad (3.43)$$

which also agrees with the single and two magnon examples.

The key thing worth noting in (3.40) is that the spin chain can be fully described in terms of the scattering matrix for just two particles, i.e. the full  $M$  particle scattering matrix factorizes. This is the defining property of integrability, since factorized scattering means that individual momenta are conserved in each two particle scattering producing a tower of conserved quantities – just the thing one would want in an integrable system.

### 3.1.2 The $\mathfrak{sl}(2)$ sector

The  $\mathfrak{su}(2)$  sector has a finite dimensional state space for a given length  $L$  of the spin chain since we are dealing with finite dimensional representations of a compact group. The simplest non-compact closed sector is the  $\mathfrak{sl}(2)$  sector, which consists of operators of the form [73]

$$\mathcal{O} = \text{Tr} (Z^{J-1} \mathcal{D}_+^S Z) + \text{permutations}, \quad (3.44)$$

where  $\mathcal{D}_+ = \mathcal{D}_1 + i\mathcal{D}_2$  is the lightcone covariant derivative with global charges  $(\frac{1}{2}, \frac{1}{2}, 1; 0, 0, 0)$ . Mixing simply redistributes the  $S$  covariant derivative applications among the  $J$  scalars. In this case we are dealing with infinite dimensional representations of  $\mathfrak{sl}(2)$ , namely the number of covariant derivatives is in principle unlimited.

It is convenient to introduce a creation-annihilation operator algebra by defining

$$(\mathbf{a}^\dagger)^n |0\rangle \equiv \frac{1}{n!} (\mathcal{D}_+)^n Z, \quad (3.45)$$

where  $|0\rangle$  is the state annihilated by  $\mathbf{a}$ . The canonical commutator is defined as usual with  $[\mathbf{a}, \mathbf{a}^\dagger] = 1$ . The sector is then invariant under the  $\mathfrak{sl}(2)$  subalgebra of the full supercoformal algebra given by

$$J'_- = \mathbf{a}, \quad J'_3 = \frac{1}{2} + \mathbf{a}^\dagger \mathbf{a}, \quad J'_+ = \mathbf{a} + \mathbf{a}^\dagger \mathbf{a}^\dagger \mathbf{a}, \quad (3.46)$$

with the defining commutation relations among them

$$[J'_+, J'_-] = -2 J'_3, \quad [J'_3, J'_\pm] = \pm J'_\pm. \quad (3.47)$$

Taking a trace of  $J$  operators with  $S$  covariant derivatives is then equivalent to an  $\mathfrak{sl}(2)$  spin chain with  $s = -1/2$  representations at each site. The Hamiltonian density is given by [73]

$$\mathbf{H}_{ij} (\mathbf{a}_i^\dagger)^k (\mathbf{a}_j^\dagger)^m |00\rangle = \sum_{k'=0}^{m+k} \left( \delta_{k=k'} (h(k) + h(m)) - \frac{\delta_{k \neq k'}}{|k - k'|} \right) (\mathbf{a}_i^\dagger)^{k'} (\mathbf{a}_j^\dagger)^{m+k-k'} |00\rangle, \quad (3.48)$$

where  $h(k)$  is the  $k$ 'th harmonic number given by  $\sum_{i=1}^k 1/i$ . The Hamiltonian is a sum of nearest neighbour interactions

$$\mathbf{H} = \sum_{i=1}^J \mathbf{H}_{i,i+1}. \quad (3.49)$$

The spin chain also admits a set of Bethe ansatz equations for the spectrum given by

$$\left( \frac{u_k + i/2}{u_k - i/2} \right)^J = \prod_{\substack{j=1 \\ j \neq k}}^S \frac{u_k - u_j - i}{u_k - u_j + i}, \quad (3.50)$$

which are remarkably similar to the  $\mathfrak{su}(2)$  equations (3.42). Once the Bethe roots are found the energy of the state can be found as

$$E = \sum_{k=1}^S \frac{1}{u_k^2 + 1/4}. \quad (3.51)$$

The most famous  $\mathfrak{sl}(2)$  operator is the so called Konishi operator

$$\mathcal{O}_K \equiv \text{Tr} (\mathcal{D}_+ Z \mathcal{D}_+ Z) - \text{Tr} (Z \mathcal{D}_+^2 Z). \quad (3.52)$$

It has the classical dimension  $\Delta_0 = 4$ , which is obvious from dimensionality. A simple calculation shows that it is an eigenstate of the Hamiltonian (3.49) with eigenvalue 12. The same result can also be found from the Bethe ansatz equations (3.50), (3.51). It turns out that the Konishi operator is an eigenstate of the dilatation operator at all

loops [74], thus it is a very convenient object to study. So far we can summarize our knowledge of its anomalous dimension as a weak coupling expansion

$$\Delta = 4 + 12g^2 + \mathcal{O}(g^4). \quad (3.53)$$

Later sections of this thesis will be mostly concerned with the strong coupling expansion of this anomalous dimension.

### 3.1.3 Arbitrary sectors

The Bethe ansatz equations for the  $\mathfrak{su}(2)$  sector (3.42) and for the  $\mathfrak{sl}(2)$  sector (3.50) look remarkably similar, suggesting that there might be a generalization for arbitrary algebras and representations. And indeed such equations exist, they are given by [75]

$$\left( \frac{u_{i,k} + \frac{i}{2}V_k}{u_{i,k} - \frac{i}{2}V_k} \right)^L = \prod_{l=1}^r \prod_{\substack{j=1 \\ j \neq i}}^{J_l} \frac{u_{i,k} - u_{j,l} + \frac{i}{2}M_{kl}}{u_{i,k} - u_{j,l} - \frac{i}{2}M_{kl}}, \quad (3.54)$$

where  $M_{kl}$  is the Cartan matrix of the symmetry algebra and  $V_k$  is the vector of highest weights for the representation that the spin sites live in. This is a set of equations for the Bethe roots  $u_{k,i}$ , where  $k = 1, \dots, \text{rank}(G)$  and  $i = 1, \dots, J_k$  with  $J_k$  being the number of excitations of type  $k$  (each type corresponds to a different node of the Dynkin diagram, hence  $k$  has  $\text{rank}(G)$  possible values). The total number of excitations is then  $J = \sum J_k$ . All of the conserved charges of the system can now be given in terms of the Bethe roots as

$$Q_r = \frac{i}{r-1} \sum_{l=1}^r \sum_{j=1}^{J_r} \left( \frac{1}{(u_{j,l} + \frac{i}{2}V_l)^{r-1}} - \frac{1}{(u_{j,l} - \frac{i}{2}V_l)^{r-1}} \right). \quad (3.55)$$

In particular energy is simply the second conserved charge,  $E = Q_2$ . It is now trivial to check that these equations reproduce all of the Bethe equations discussed so far. It is also a matter of simple algebra to derive them for other closed sectors, such as  $\mathfrak{su}(2|3)$  or even the full superconformal algebra  $\mathfrak{psu}(2,2|4)$ .

## 3.2 Higher loops and asymptotic length

The next step in solving the spectral problem is increasing the loop level. For the  $\mathfrak{su}(2)$  sector this has first been done for two-loops by using symmetry constraints to fix the structure of the operator. The resulting dilatation operator is given by [16]

$$\Gamma_{2-loop} = \frac{\lambda}{8\pi^2} \sum_{l=1}^L (-4 + 6 \mathcal{P}_{l,l+1} - (\mathcal{P}_{l,l+1} \mathcal{P}_{l+1,l+2} + \mathcal{P}_{l+1,l+2} \mathcal{P}_{l,l+1})). \quad (3.56)$$

In the spin chain picture this corresponds to a Hamiltonian for a long range spin chain with two nearest neighbour interactions. This is hardly surprising, in fact one can expect the range of the spin chain to increase together with the loop level, as can be easily seen from diagrammatic arguments. This long range spin chain is known to be integrable. In fact one can reverse the problem and ask what is the most general form of a long range spin chain Hamiltonian that is still integrable, given its nearest neighbour truncation. The result, up to unknown constant factors, has been worked out [76] and the structure of the Hamiltonian matches loop calculations that are currently available up to five loops. It is now widely believed that the dilatation operator is integrable to all loops.

The method of long range spin chain deformations also predicts how the Bethe ansatz equations get modified at higher loops. Surprisingly the only changes that have to be introduced are the rapidity map

$$u_k + \frac{i}{2}V_k \rightarrow x \left( u_k + \frac{i}{2}V_k \right), \quad u(x) = x + \sum_{k=3}^{\infty} \frac{\alpha_k}{x^{k-2}}, \quad (3.57)$$

and the dressing phase for the scattering matrix

$$S(u_k - u_j) \rightarrow S(u_k - u_j) \exp(2i\theta(u_k, u_j)), \quad (3.58)$$

with

$$\theta(u_k, u_j) = \sum_{s>r=2}^{\infty} \beta_{r,s} (q_r(u_k)q_s(u_j) - q_s(u_k)q_r(u_j)), \quad (3.59)$$

where  $q_r(u_k)$  is the eigenvalue of the conserved charge  $\mathbf{Q}_r$  on the single magnon state  $|u_k\rangle$ . The constants  $\alpha_k$  and  $\beta_{r,s}$  contain dynamical information about the theory and should be determined by other means, such as loop calculations.

Going higher up in loops poses an additional complication, namely the fact that interactions get long ranged and can start wrapping around short operators, this is the so-called *wrapping problem*. For starters it is easiest to avoid it by considering asymptotically long operators. The rapidity map for  $\mathcal{N} = 4$  SYM has been conjectured to be [22]

$$x + \frac{1}{x} = \frac{4\pi}{\sqrt{\lambda}}u, \quad x^{\pm} + \frac{1}{x^{\pm}} = \frac{4\pi}{\sqrt{\lambda}} \left( u \pm \frac{i}{2} \right), \quad (3.60)$$

whereas the dressing phase only appears at four loops [77]. The most general form of the Bethe ansatz equations (3.54) modified by the rapidity map and the dressing phase are referred to as the *asymptotic Bethe ansatz* equations. They have been extensively verified [78, 79] since their original proposal.

### 3.2.1 A glimpse ahead: the slope function

It is now a simple exercise to write down the asymptotic Bethe ansatz equations for the  $\mathfrak{sl}(2)$  sector, which are [24]

$$\left(\frac{x_k^+}{x_k^-}\right)^J = \prod_{j \neq k}^S \frac{x_k^- - x_j^+}{x_k^+ - x_j^-} \frac{1 - 1/(x_k^+ x_j^-)}{1 - 1/(x_k^- x_j^+)} \sigma^2(u_k, u_j), \quad k = 1, \dots, S \quad (3.61)$$

where

$$\Delta = J + S + \gamma(g), \quad \gamma(g) = \frac{i\sqrt{\lambda}}{2\pi} \sum_{j=1}^S \left( \frac{1}{x_j^+} - \frac{1}{x_j^-} \right) \quad (3.62)$$

This asymptotic Bethe ansatz (3.61) is the first non-trivial exact result we encountered so far, even if only valid in the asymptotic limit. In this short paragraph we will demonstrate how it can be used to find the exact *slope function*  $\gamma^{(1)}(g)$ , which is defined as the linear term in the small  $S$  expansion of the anomalous dimension, namely

$$\gamma(g) = \gamma^{(1)}(g) S + \gamma^{(2)}(g) S^2 + \mathcal{O}(S^3). \quad (3.63)$$

The subleading coefficient  $\gamma^{(2)}(g)$  is called the *curvature function* and it will be the main study object of section 4.5, where we also address the question of what it actually means to send an integer quantity  $S$  to zero.

The slope function was initially conjectured in [80] and later independently proved in [81] and [82], our derivation will follow the former reference. The starting point is the logarithm of the asymptotic Bethe ansatz (3.61), given by

$$\frac{J}{i} \log \left( \frac{x_k^+}{x_k^-} \right) - \sum_{j \neq k}^S \frac{1}{i} \log \left( \frac{x_k^- - x_j^+}{x_k^+ - x_j^-} \frac{1 - 1/(x_k^+ x_j^-)}{1 - 1/(x_k^- x_j^+)} \sigma^2(u_k, u_j) \right) = 2\pi n_k, \quad (3.64)$$

where  $n_k$  is the mode number of the  $k$ 'th Bethe root. In the small  $S$  limit the number of Bethe roots also tends to zero and in this regime they stop interacting [80], thus we will consider the case when  $n_k = n$  and the general result will simply be a linear combination of terms with different values of  $n_k$ . The key idea of the derivation is assuming that the result only depends on the combination  $\Lambda \equiv n\sqrt{\lambda}$  and taking the small  $n$  limit. Obviously this limit is also the strong coupling limit, as  $\lambda \sim 1/n^2 \rightarrow \infty$ . This considerably simplifies the derivation, for starters we only need the strong coupling expansion of the dressing phase, which is given by [24]

$$\log \sigma(u_k, u_j) \simeq -\log \left( \frac{1 - 1/(x_k^+ x_j^-)}{1 - 1/(x_k^- x_j^+)} \right) + i(u_j - u_k) \log \left( \frac{x_j^- x_k^- - 1}{x_j^+ x_k^+ - 1} \frac{x_j^+ x_k^+ - 1}{x_j^- x_k^- - 1} \right). \quad (3.65)$$

Also, since  $u_k \sim 1/n$  we can simplify the shifts in the rapidities  $u_k$ , namely

$$x_k^\pm = x \left( u_k \pm \frac{i}{2} \right) = x \left( \frac{1}{g} \left( x_k + \frac{1}{x_k} \right) \pm \frac{i}{2} \right) = x_k \pm \frac{i}{2g} \frac{x_k^2}{x_k^2 - 1} + \mathcal{O} \left( \frac{1}{g^2} \right). \quad (3.66)$$

Plugging in the leading order dressing phase expansion and getting rid of the shifts in the rapidities reduces the asymptotic Bethe ansatz equations (3.64) to

$$\sum_{j \neq k} \frac{2}{x_k - x_j} + \frac{1}{x_k} \left( J + \gamma + \frac{2}{1 - x_k^2} \right) = \frac{\Lambda(x_k^2 - 1)}{2x_k^2}, \quad (3.67)$$

which are now starting to resemble equations found in matrix models. In anticipation of this we introduce the resolvent

$$G(x) = \sum_{j=1}^S \frac{1}{x - x_j}, \quad (3.68)$$

the anomalous dimension is then given by

$$\gamma = G(1) - G(-1). \quad (3.69)$$

We now multiply (3.67) by  $(x - x_k)^{-1}$  and sum over  $k$ , which yields

$$G^2(x) + G'(x) + \left( \frac{J + \gamma + 2}{x} - \frac{2x}{x^2 - 1} + \frac{\Lambda}{2} \frac{1 - x^2}{x^2} \right) G(x) = F(x), \quad (3.70)$$

where

$$F(x) = \frac{\Lambda}{2} \frac{G(0) + G'(0)x}{x^2} + (J + \gamma + 2) \frac{G(0)}{x} - \frac{G(1)}{x - 1} - \frac{G(-1)}{x + 1} \quad (3.71)$$

and we used the following well known identity from matrix model literature

$$\sum_{j \neq k} \frac{2}{(x - x_k)(x_k - x_j)} = G^2(x) + G'(x). \quad (3.72)$$

Next we expand (3.70) at large  $x$ , obviously is still has to be satisfied order by order. In this limit  $G(x) \sim S/x$  and at second to leading order we find an equation for  $G'(0)$ ,

$$\Lambda G'(0) = 2G(1) + 2G(-1) - 2G(0)(J + \gamma + 2) - \Lambda S, \quad (3.73)$$

which we then stick back into  $F(x)$  to produce

$$F(x) = \frac{\Lambda}{2} \left( \frac{G(0)}{x^2} - \frac{S}{x} \right) + \frac{G(-1)}{x(x+1)} - \frac{G(1)}{x(x-1)}, \quad (3.74)$$

thus introducing the parameter  $S$ , which in principle could now be non-integer. Finally we take the small  $S$  limit by noting that  $G(x) \sim S$ , keeping only the leading  $S$  term  $\gamma^{(1)} \sim S$  in the anomalous dimension and dropping all sub-leading  $S$  terms. What remains is a first order linear differential equation, which after integrating gives

$$G(x) = \frac{x^2 - 1}{x^{J+2}} e^{\Lambda \frac{x^2+1}{2x}} \int_{x_0}^x dy F(y) \frac{y^{J+2}}{y^2 - 1} e^{-\Lambda \frac{y^2+1}{2y}}, \quad (3.75)$$



where  $x_0$  is the integration constant. We can immediately set it to zero by requiring the resolvent to be finite at the origin. Furthermore we can fix the remaining unknown constants  $G(0)$  and  $G(\pm 1)$  by requiring analyticity of the resolvent, which is manifest in the definition (3.68). The integrand has poles at  $\pm 1$ , which may lead to logarithmic singularities after integrating, unless the residues are zero. This provides the following constraints

$$\Lambda(G(0) - S) - G(+1)(2J + 1) + G(-1) = 0, \quad (3.76a)$$

$$\Lambda(G(0) + S) + G(-1)(2J + 1) - G(+1) = 0. \quad (3.76b)$$

Together with (3.69) we can solve this system of equations for the unknowns  $G(0)$  and  $G(\pm 1)$  in terms of  $S$ ,  $J$ ,  $\Lambda$  and  $\gamma^{(1)}$ . Plugging everything in and integrating by parts we get

$$G(x) = -\frac{\Lambda S}{2J} - \frac{\gamma^{(1)}}{2x} - \frac{\Lambda}{4J} \frac{x^2 - 1}{x^{J+2}} e^{\Lambda \frac{x^2+1}{2x}} \int_0^x dy \left( \gamma^{(1)} J y^{J-1} + \Lambda S y^J \right) e^{-\Lambda \frac{y^2+1}{2y}}. \quad (3.77)$$

We fix the final unknown  $\gamma^{(1)}$  by requiring analyticity at the origin, since in general it can be a branch point. To that end we recall the integral representation of the modified Bessel function

$$I_\nu(\Lambda) = \frac{(-1)^{-\nu}}{2\pi i} \oint dy y^{\nu-1} e^{\Lambda \frac{y^2+1}{2y}}, \quad (3.78)$$

where the integration contour starts at the origin, goes around counter-clockwise and returns to the origin. Since this integral has no branchpoints at the origin, we see that if we tune the integrand of (3.77) in the following way

$$\gamma^{(1)} J (-1)^J I_J(\Lambda) + \Lambda S (-1)^{J+1} I_{J+1}(\Lambda) = 0, \quad (3.79)$$

then  $G(x)$  is also regular at the origin. This condition fixes the leading small  $S$  coefficient of the anomalous dimension, i.e. the slope function  $\gamma^{(1)}$  to be

$$\gamma^{(1)}(\Lambda) = \frac{\Lambda}{J} \frac{I_{J+1}(\Lambda)}{I_J(\Lambda)}. \quad (3.80)$$

This result is exact in the sense that it is valid at any value of the coupling. We will be making use of it later in the text to extract non-trivial information about the Konishi anomalous dimension.

### 3.3 Strong coupling

Since integrability seems to be an all loop phenomenon as exemplified by the asymptotic Bethe ansatz, one would expect to find it at strong coupling as well where  $N = 4$  super

Yang-Mills admits a dual string theory formulation as discussed in section 2.4. In this section we showcase integrability of the classical  $AdS_5 \times S^5$  string using the elegant language of algebraic curves [21, 83].

### 3.3.1 The algebraic curve construction

Recall that classical string theory on  $AdS_5 \times S^5$  can be formulated as a super-coset sigma model, which is defined in terms of the algebra current  $J = -g^{-1}dg$ . This current has the property of being flat,

$$dJ - J \wedge J = 0, \quad (3.81)$$

what is more, one can find a one parameter family of connections [17]

$$\begin{aligned} L(x) = J^{(0)} + \frac{x^2 + 1}{x^2 - 1} J^{(2)} - \frac{2x}{x^2 - 1} \left( *J^{(0)} - \Lambda \right) \\ + \sqrt{\frac{x+1}{x-1}} J^{(1)} + \sqrt{\frac{x-1}{x+1}} J^{(3)}, \end{aligned} \quad (3.82)$$

which are flat for any  $x$ ,

$$dL(x) - L(x) \wedge L(x) = 0. \quad (3.83)$$

Here  $L(x)$  is the *Lax connection* and  $x$  is the spectral parameter. The existence of such a set of connections signals that the theory is at least classically integrable. This can be shown by constructing the monodromy matrix

$$\Omega(x) = \mathcal{P} \exp \oint_{\gamma} L(x), \quad (3.84)$$

where  $\gamma$  is any path wrapping the worldsheet cylinder. Since the connection is flat, by definition it is path independent and we can evaluate the integral along any constant  $\tau$  loop. Furthermore, shifting the  $\tau$  value corresponds to doing a similarity transformation on the monodromy matrix, meaning that the eigenvalues must be time independent. Thus we have an infinite tower of conserved charges, hinting that the theory is integrable. Technically to prove classical integrability one has to also show that the conserved charges are local and that they are in involution with each other [84, 85]. In order to find the eigenvalues of the monodromy matrix one has to solve the characteristic equation, which in this case is a polynomial of order eight. This equation in turn defines an eight-sheeted Riemann surface called the *algebraic curve* where the sheets can be connected with branch cuts of the square root type. Denote the eigenvalues of the monodromy matrix as

$$\{e^{i\hat{p}_1(x)}, e^{i\hat{p}_2(x)}, e^{i\hat{p}_3(x)}, e^{i\hat{p}_4(x)} \mid e^{i\tilde{p}_1(x)}, e^{i\tilde{p}_2(x)}, e^{i\tilde{p}_3(x)}, e^{i\tilde{p}_4(x)}\}, \quad (3.85)$$

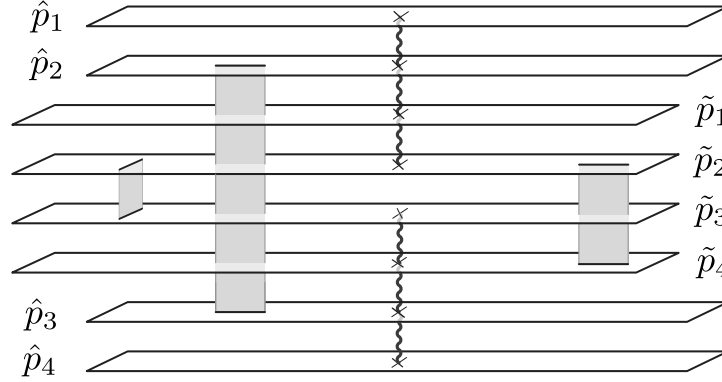


Figure 3: Examples of cuts connecting the eight sheets of the Riemann surface corresponding to the spectral curve for strings in  $AdS_5 \times S^5$ . The wavy line corresponds to the pole at  $x = 1$ .

where the quantities  $p(x)$  are called *quasi-momenta* and we use the convention where hatted quantities correspond to  $AdS_5$  variables and quantities with tildes correspond to  $S^5$ . The quasi-momenta  $p(x)$  being logarithms of the eigenvalues live on the sheets of this Riemann surface. The key idea here is that the quasi-momenta provide an alternative representation of classical string solutions which lends itself better to generalization. Namely, this description of classical solutions is more natural in light of integrability, furthermore it is better suited for quantization.

The physical picture is that each cut between two sheets represents an excitation whose polarization is determined by the sheets it connects, an example is shown in figure 3. Four of the eight sheets correspond to the  $AdS_5$  part of the string target space and the other four to the  $S^5$  part.  $AdS_5 \times S^5$  has  $16 = 8_B + 8_F$  types of excitations, in the algebraic curve language this is implemented as a requirement that only sheets from the following sets be connected

$$i \in \{\tilde{1}, \tilde{2}, \hat{1}, \hat{2}\}, \quad j \in \{\tilde{3}, \tilde{4}, \hat{3}, \hat{4}\}, \quad (3.86)$$

furthermore bosonic excitations correspond to cuts between sheets of the same type (hat or tilde), whereas fermionic excitations connect sheets of different types. Obviously fermions do not exist at the classical level, thus cuts can only represent the 8 types of bosonic excitations. Fermionic excitations start appearing as microscopic cuts, i.e. poles during quantization. Solutions in closed sectors, e.g. strings moving in the  $\mathbb{R} \times S^3$  submanifold of the target space will be limited to cuts between a subset of the eight sheets.

Denote the branch cut between sheets  $i$  and  $j$  as  $\mathcal{C}^{ij}$ , the quasi-momenta on these sheets have discontinuities when going through the cut given by

$$p_i(x + i\epsilon) - p_j(x - i\epsilon) = 2\pi n_{ij}, \quad (3.87)$$

where  $n_{ij}$  is an integer mode number arising due to the logarithm. For each cut we associate the so called *filling fraction* defined by

$$S_{ij} = \pm \frac{\lambda}{8\pi^2 i} \oint_{\mathcal{C}^{ij}} \left(1 - \frac{1}{x^2}\right) p_i(x) dx, \quad (3.88)$$

where the sign is  $+1$  for  $i = \hat{1}, \hat{2}$  and  $-1$  for  $i = \tilde{1}, \tilde{2}$ . These are the action variables of the theory [86], roughly they measure the length of the cut and in the physical picture they correspond to the amplitude of the excitation. They can be shown to take on integer values, which is natural since we anticipate the classical cuts to be collections of large numbers of poles which condense in the classical limit. Thus we see that the algebraic curve construction acts like a Fourier decomposition – string solutions are described as collections of excitations each having definite polarizations, mode numbers and amplitudes.

Let us now review some of the analyticity properties of the quasi-momenta. Since the Lax connection has poles at  $x = \pm 1$ , so do the quasi-momenta (as shown in figure 3). Due to the Virasoro constraint, which comes about from the diffeomorphism invariance of the worldsheet, the residues of the quasi-momenta are constrained to

$$\{\hat{p}_1, \hat{p}_2, \hat{p}_3, \hat{p}_4 \mid \tilde{p}_1, \tilde{p}_2, \tilde{p}_3, \tilde{p}_4\} = \frac{\{\alpha_{\pm}, \alpha_{\pm}, \beta_{\pm}, \beta_{\pm}, \mid \alpha_{\pm}, \alpha_{\pm}, \beta_{\pm}, \beta_{\pm}\}}{x \pm 1}. \quad (3.89)$$

An additional constraint on the quasi-momenta comes from the fact that the algebra  $\mathfrak{psu}(2, 2|4)$  has an automorphism, which is the cause for the  $\mathbb{Z}_4$  grading. The constraints are given by [87]

$$\begin{aligned} \tilde{p}_{1,2}(x) &= -\tilde{p}_{2,1}(1/x) - 2\pi m \\ \tilde{p}_{3,4}(x) &= -\tilde{p}_{4,3}(1/x) + 2\pi m \\ \hat{p}_{1,2,3,4}(x) &= -\hat{p}_{2,1,4,3}(1/x). \end{aligned} \quad (3.90)$$

These relations define an inversion symmetry  $x \rightarrow 1/x$ . Finally one can look at the asymptotics of the quasi-momenta as the spectral parameter becomes infinite. In this limit the Lax connection becomes related to the Noether currents of the theory and hence one can relate the quasi-momenta to the charges of the global symmetry algebra

by [88, 83]

$$\begin{pmatrix} \hat{p}_1 \\ \hat{p}_2 \\ \hat{p}_3 \\ \hat{p}_4 \\ \tilde{p}_1 \\ \tilde{p}_2 \\ \tilde{p}_3 \\ \tilde{p}_4 \end{pmatrix} = \frac{2\pi}{x} \begin{pmatrix} +\mathcal{E} - \mathcal{S}_1 + \mathcal{S}_2 \\ +\mathcal{E} + \mathcal{S}_1 - \mathcal{S}_2 \\ -\mathcal{E} - \mathcal{S}_1 - \mathcal{S}_2 \\ -\mathcal{E} + \mathcal{S}_1 + \mathcal{S}_2 \\ +\mathcal{J}_1 + \mathcal{J}_2 - \mathcal{J}_3 \\ +\mathcal{J}_1 - \mathcal{J}_2 + \mathcal{J}_3 \\ -\mathcal{J}_1 + \mathcal{J}_2 + \mathcal{J}_3 \\ -\mathcal{J}_1 - \mathcal{J}_2 - \mathcal{J}_3 \end{pmatrix}, \quad (3.91)$$

where the charges are rescaled by  $\mathcal{Q} = Q/\sqrt{\lambda}$ . Thus we see that we can characterize the quasi-momenta by describing their behaviour at poles, under symmetries, by their asymptotics and their filling fractions.

Finally one may ask how this picture of Riemann surfaces with cuts emerges from the gauge theory perspective where the spectrum is described by the Bethe ansatz. In the scaling limit when lengths of operators become large the Bethe roots  $u_i$  start condensing in the complex plane and start looking like cuts. Naturally it is tempting to interpret the cuts of the algebraic curve as collections of very large numbers of poles. Ultimately a string Bethe ansatz was proposed describing the distribution of these poles [24],

$$\left(\frac{x_j^+}{x_j^-}\right)^L = \prod_{j \neq i}^M \frac{u_i - u_j + i}{u_i - u_j - i} \sigma_{AFS}^2(u_i, u_j), \quad (3.92)$$

where  $\sigma_{AFS}$  is the dressing phase. Compared to the asymptotic Bethe ansatz for the  $\mathfrak{su}(2)$  sector

$$\left(\frac{x_j^+}{x_j^-}\right)^L = \prod_{j \neq i}^M \frac{u_i - u_j + i}{u_i - u_j - i}, \quad (3.93)$$

it is natural to assume that there should be an interpolating Bethe ansatz valid to all orders of the coupling constant. Indeed the all-loop asymptotic Bethe ansatz for the full superconformal algebra was formulated [23] as we described briefly in the previous sections, which interpolated nicely between gauge theory and the algebraic curve. In particular the dressing phase  $\sigma_{AFS}$  is a limit of the full dressing phase (3.58) one finds when deforming to long range spin chains.

### 3.3.2 Quantization and semi-classics

Consider a classical string solution characterized by some conserved charges, expanding the superstring action around this solution produces a quadratic lagrangian whose quantization yields the semiclassical spectrum

$$E(\{N_{ij,n}\}) = E_{cl} + E_0 + \sum_{ij,n} N_{ij,n} \mathcal{E}_{ij,n}, \quad (3.94)$$

where  $N_{ij,n}$  is the number of excited quanta with energy  $\mathcal{E}_{ij,n}$ . Here  $ij$  label the different polarizations and  $n$  the mode numbers of the excitations. The classical energy is  $E_{cl}$  whereas  $E_0$  is the ground state energy coming from quantization, the last two terms in (3.94) are analogues of  $\frac{1}{2}\omega$  and  $N\omega$  for the harmonic oscillator. Just like in the case of the harmonic oscillator we can infer the ground state energy given the level spacings, it is simply

$$E_0 = \frac{1}{2} \sum_{ij,n} (-1)^{F_{ij}} \mathcal{E}_{ij,n}, \quad (3.95)$$

where  $(-1)^{F_{ij}} = \pm 1$  for bosonic/fermionic excitations. In this section we will review the quantization procedure in the algebraic curve formalism [87], which is equivalent to the semi-classical computation of quadratic fluctuations in the sigma-model [89, 90, 91], yet is significantly more efficient.

Roughly the idea is that given a classical string solution represented by some cuts between sheets, as seen for example in figure 3, we perturb it by adding microscopic cuts, which can be treated as a finite number of poles. Just like before the indices  $ij$  denoting the connected sheets represent the polarization of the excitation, they can take on values given in (3.86), however unlike in the classical setting the excitations can be fermionic as well. The introduction of these fluctuations backreacts on the classical quasi-momenta  $p_k(x)$  shifting them slightly to

$$p_k(x) \rightarrow p_k(x) + \delta_n^{ij} p_k(x). \quad (3.96)$$

The shifted quasi-momenta still have to satisfy (3.87), which determines the positions  $x_n^{ij}$  of the poles

$$p_i(x_n^{ij}) - p_j(x_n^{ij}) = 2\pi n_{ij}. \quad (3.97)$$

The fluctuation will add a pole to the quasi-momentum at this position

$$\delta_n^{ij} p_i = \epsilon_i \frac{\alpha(x_n^{ij})}{x - x_n^{ij}}, \quad (3.98)$$

where the signs are

$$\epsilon_{\hat{1}} = \epsilon_{\hat{2}} = -\epsilon_{\hat{3}} = -\epsilon_{\hat{4}} = -\epsilon_{\bar{1}} = -\epsilon_{\bar{2}} = \epsilon_{\bar{3}} = \epsilon_{\bar{4}} = 1 \quad (3.99)$$

and the residue is chosen such that the filling fraction (3.88) increases by one, namely

$$\alpha(x) = \frac{4\pi}{\sqrt{\lambda}} \frac{x^2}{x^2 - 1}. \quad (3.100)$$

The total shifted quasi-momentum is obtained by summing over all fluctuations

$$\delta p_i \sim \sum_{ij} \epsilon_i N_n^{ij} \frac{\alpha(x_n^{ij})}{x - x_n^{ij}}. \quad (3.101)$$

It still has to satisfy all the analyticity properties outlined the the previous section, this in turn imposes a lot of constraints of the shifts themselves. The Virasoro constraint implies the synchronization of residues (3.89), which for the shifts translates to

$$\{\delta\hat{p}_1, \delta\hat{p}_2, \delta\hat{p}_3, \delta\hat{p}_4 \mid \delta\tilde{p}_1, \delta\tilde{p}_2, \delta\tilde{p}_3, \delta\tilde{p}_4\} = \frac{\{\delta\alpha_{\pm}, \delta\alpha_{\pm}, \delta\beta_{\pm}, \delta\beta_{\pm}, \mid \delta\alpha_{\pm}, \delta\alpha_{\pm}, \delta\beta_{\pm}, \delta\beta_{\pm}\}}{x \pm 1}. \quad (3.102)$$

Similarly the asymptotics of the quasi-momenta encode the global charges as seen in (3.91), for the shifts this translates to

$$\begin{pmatrix} \delta\hat{p}_1 \\ \delta\hat{p}_2 \\ \delta\hat{p}_3 \\ \delta\hat{p}_4 \\ \delta\tilde{p}_1 \\ \delta\tilde{p}_2 \\ \delta\tilde{p}_3 \\ \delta\tilde{p}_4 \end{pmatrix} = \frac{4\pi}{x\sqrt{\lambda}} \begin{pmatrix} +\delta\Delta/2 & +N_{\hat{1}\hat{4}} + N_{\hat{1}\hat{3}} + N_{\hat{1}\bar{3}} + N_{\hat{1}\bar{4}} \\ +\delta\Delta/2 & +N_{\hat{2}\hat{3}} + N_{\hat{2}\hat{4}} + N_{\hat{2}\bar{4}} + N_{\hat{2}\bar{3}} \\ -\delta\Delta/2 & -N_{\hat{2}\hat{3}} - N_{\hat{1}\hat{3}} - N_{\hat{1}\bar{3}} - N_{\hat{2}\bar{3}} \\ -\delta\Delta/2 & -N_{\hat{1}\hat{4}} - N_{\hat{2}\hat{4}} - N_{\hat{2}\bar{4}} - N_{\hat{1}\bar{4}} \\ -N_{\hat{1}\bar{4}} - N_{\hat{1}\bar{3}} - N_{\hat{1}\bar{3}} - N_{\hat{1}\bar{4}} \\ -N_{\hat{2}\bar{3}} - N_{\hat{2}\bar{4}} - N_{\hat{2}\bar{4}} - N_{\hat{2}\bar{3}} \\ +N_{\hat{2}\bar{3}} + N_{\hat{1}\bar{3}} + N_{\hat{1}\bar{3}} + N_{\hat{2}\bar{3}} \\ +N_{\hat{1}\bar{4}} + N_{\hat{2}\bar{4}} + N_{\hat{2}\bar{4}} + N_{\hat{1}\bar{4}} \end{pmatrix} + \mathcal{O}\left(\frac{1}{x^2}\right), \quad (3.103)$$

where  $\delta\Delta$  is the shift of the classical energy  $\mathcal{E}$ . From here one can read off the individual fluctuation frequencies

$$\Omega_n^{ij} = -2\delta_{i,\hat{1}} + \frac{\lambda}{2\pi} \lim_{x \rightarrow \infty} x \delta_n^{ij} p_{\hat{1}}(x) \quad (3.104)$$

and the energy shift is then a sum over all frequencies

$$\delta\Delta = \sum_{ij,n} N_{ij}^n \Omega_n^{ij}. \quad (3.105)$$

The description outlined above is fully sufficient to calculate the semi-classical spectrum around a classical solution – one has to find the locations of poles, find shifts to the

quasi-momenta by utilizing their analyticity properties and finally calculate the 16 fluctuation frequencies and sum them up. This produces the energy shift

$$\delta\Delta = E(\{N_{ij,n}\}) - E(\{\}) = \sum_{ij,n} N_{ij,n}^n \Omega_n^{ij}, \quad (3.106)$$

Another quantity of interest is the one-loop shift

$$E_0 = \frac{1}{2} \sum_{ij,n} (-1)^{F_{ij}} \Omega_n^{ij} \quad (3.107)$$

appearing in the loop expansion of the energy of a string state as

$$E(\{\}) = E_{cl} + E_0 + \mathcal{O}(1/\sqrt{\lambda}), \quad (3.108)$$

where the classical energy  $E_{cl}$  is of order  $\sqrt{\lambda}$  and  $E_0$  is of order 1. One can of course proceed with semi-classical quantization, find all the fluctuation frequencies and sum them up by hand to find the one-loop shift, however it would be nicer to find the result in one go. To that end we introduce the off-shell fluctuations  $\delta^{ij} p_k(x; y)$  which are defined by the same asymptotics as the on-shell fluctuations  $\delta_n^{ij} p_k(x)$  but the position of the pole is left unspecified, namely

$$\delta_n^{ij} p_k(x) = \delta^{ij} p_k(x; y)|_{y=x_n^{ij}}. \quad (3.109)$$

Note that the off-shell quantity depends on the mode number  $n$ , which is a function of the pole position via (3.97), which we simply left unspecified as  $y$  in the off-shell quantity. Similarly we introduce off-shell fluctuation energies

$$\Omega_n^{ij} = \Omega^{ij}(y)|_{y=x_n^{ij}}, \quad (3.110)$$

which can easily be found if the on-shell frequencies are known by

$$\Omega^{ij}(y) = \Omega_n^{ij} \Big|_{n \rightarrow \frac{p_i(y) - p_j(y)}{2\pi}}. \quad (3.111)$$

The main advantage of introducing the off-shell frequencies is that due to the  $\mathbb{Z}_4$  grading of the  $\mathfrak{psu}(2, 2|4)$  algebra the quasi-momenta enjoy an inversion symmetry under  $x \rightarrow 1/x$  as seen in (3.90). This constrains the off-shell frequencies as well. Consider symmetric classical configurations that have pairwise symmetric quasi-momenta

$$p_{\hat{1}, \hat{2}, \hat{1}, \hat{2}} = -p_{\hat{4}, \hat{3}, \hat{4}, \hat{3}}, \quad (3.112)$$

it is known to be the case for all rank one solutions [87], which in particular are dual to states in the  $\mathfrak{su}(2)$  and  $\mathfrak{sl}(2)$  sectors of  $\mathcal{N} = 4$  super Yang-Mills. All of the off-shell



frequencies can then be expressed in terms of two, namely  $\Omega^{\hat{2}\hat{3}}$  and  $\Omega^{\hat{2}\hat{3}}$ , which we denote as the basis of frequencies. The rest of the fluctuations are given by

$$\begin{aligned}
\Omega^{\hat{1}\hat{4}}(y) &= -\Omega^{\hat{2}\hat{3}}(1/y) + \Omega^{\hat{2}\hat{3}}(0) \\
\Omega^{\hat{2}\hat{4}}(y) = \Omega^{\hat{1}\hat{3}}(y) &= \frac{1}{2} \left( \Omega^{\hat{2}\hat{3}}(y) + \Omega^{\hat{1}\hat{4}}(y) \right) = \frac{1}{2} \left( \Omega^{\hat{2}\hat{3}}(y) - \Omega^{\hat{2}\hat{3}}(1/y) + \Omega^{\hat{2}\hat{3}}(0) \right) \\
\Omega^{\hat{1}\hat{4}}(y) &= -\Omega^{\hat{2}\hat{3}}(1/y) - 2 \\
\Omega^{\hat{2}\hat{4}}(y) = \Omega^{\hat{1}\hat{3}}(y) &= \frac{1}{2} \left( \Omega^{\hat{2}\hat{3}}(y) + \Omega^{\hat{1}\hat{4}}(y) \right) = \frac{1}{2} \left( \Omega^{\hat{2}\hat{3}}(y) - \Omega^{\hat{2}\hat{3}}(1/y) \right) - 1 \\
\Omega^{\hat{2}\hat{4}}(y) = \Omega^{\hat{1}\hat{3}}(y) &= \frac{1}{2} \left( \Omega^{\hat{2}\hat{3}}(y) + \Omega^{\hat{1}\hat{4}}(y) \right) = \frac{1}{2} \left( \Omega^{\hat{2}\hat{3}}(y) - \Omega^{\hat{2}\hat{3}}(1/y) + \Omega^{\hat{2}\hat{3}}(0) \right) \\
\Omega^{\hat{2}\hat{4}}(y) = \Omega^{\hat{1}\hat{3}}(y) &= \frac{1}{2} \left( \Omega^{\hat{2}\hat{3}}(y) + \Omega^{\hat{1}\hat{4}}(y) \right) = \frac{1}{2} \left( \Omega^{\hat{2}\hat{3}}(y) - \Omega^{\hat{2}\hat{3}}(1/y) \right) - 1 \\
\Omega^{\hat{1}\hat{4}}(y) = \Omega^{\hat{1}\hat{4}}(y) &= \frac{1}{2} \left( \Omega^{\hat{1}\hat{4}}(y) + \Omega^{\hat{1}\hat{4}}(y) \right) = \frac{1}{2} \left( -\Omega^{\hat{2}\hat{3}}(1/y) - \Omega^{\hat{2}\hat{3}}(1/y) + \Omega^{\hat{2}\hat{3}}(0) \right) - 1 \\
\Omega^{\hat{2}\hat{3}}(y) = \Omega^{\hat{2}\hat{3}}(y) &= \frac{1}{2} \left( \Omega^{\hat{2}\hat{3}}(y) + \Omega^{\hat{2}\hat{3}}(y) \right) .
\end{aligned} \tag{3.113}$$

Knowing the off-shell frequencies and the quasi-momenta one can express the one-loop shift as a contour integral

$$E_0 = \frac{1}{2} \sum_{ij} (-1)^{F_{ij}} \oint \frac{dx}{2\pi i} \left( \Omega^{ij}(x) \partial_x \log \sin \frac{p_i - p_j}{2} \right), \tag{3.114}$$

where the integrand is chosen carefully such that it contains poles at each fluctuation insertion point  $x_n^{ij}$  with residues  $\Omega^{ij}(x_n^{ij})$ , so that the result is equivalent to (3.107). There are three contributions to one loop energy shift that are different by their nature. They can be separated into an “anomaly” contribution, a contribution from the dressing phase and a wrapping contribution, which is missing in the asymptotic Bethe ansatz.

$$E_0 = \delta\Delta_{\text{anomaly}} + \delta\Delta_{\text{dressing}} + \delta\Delta_{\text{wrapping}}, \tag{3.115}$$

where each of these contributions is simply an integral of some closed form expression,

$$\delta\Delta_{\text{anomaly}} = -\frac{4}{ab-1} \int_a^b \frac{dx}{2\pi i} \frac{y(x)}{x^2-1} \partial_x \log \sin p_2, \tag{3.116}$$

$$\delta\Delta_{\text{dressing}} = \sum_{ij} (-1)^{F_{ij}} \int_{-1}^1 \frac{dz}{2\pi i} \left( \Omega^{ij}(z) \partial_z \frac{i(p_i - p_j)}{2} \right), \tag{3.117}$$

$$\delta\Delta_{\text{wrapping}} = \sum_{ij} (-1)^{F_{ij}} \int_{-1}^1 \frac{dz}{2\pi i} \left( \Omega^{ij}(z) \partial_z \log(1 - e^{-i(p_i - p_j)}) \right). \tag{3.118}$$

As always  $i$  takes values  $\hat{1}, \hat{2}, \tilde{1}, \tilde{2}$  whereas  $j$  runs over  $\hat{3}, \hat{4}, \tilde{3}, \tilde{4}$ .

### 3.3.3 Folded string

Operators (3.44) from the  $\mathfrak{sl}(2)$  sector are known to be dual to folded rotating string solutions in  $AdS_5 \times S^5$ , these are closed strings rotating around their center of mass in an  $AdS_3$  subspace of  $AdS_5$  with spin  $S$  [89]. Additionally they orbit the big circle of  $S^5$  with angular momentum  $J$ , also referred to as twist. These parameters as expected correspond to the number of scalars  $J$  and number of derivatives  $S$  in the gauge theory operators. In the classical regime these are assumed to scale as  $\sqrt{\lambda}$ , thus we use  $\mathcal{S} = S/n\sqrt{\lambda}$  and  $\mathcal{J} = J/n\sqrt{\lambda}$  when describing the classical solution, which corresponds to long operators in gauge theory. The number of spikes  $n$  corresponds to the mode number  $n$  in the language of Bethe states.

Given the explicit string solution (see [92] for details) one could follow the steps outlined in the algebraic curve construction, namely calculate the monodromy matrix (3.84), diagonalize it and extract the quasi-momenta. While it is indeed possible to do, we will present an alternative method based on analyticity properties of the quasi-momenta when we discuss the classical limit of cusped Wilson lines in section 4.3.1. Here we present the result [93], which consists of two “basis” quasi-momenta

$$\begin{aligned} p_{\hat{2}} &= \pi n - 2\pi n \mathcal{J} \left( \frac{a}{a^2 - 1} - \frac{x}{x^2 - 1} \right) \sqrt{\frac{(a^2 - 1)(b^2 - x^2)}{(b^2 - 1)(a^2 - x^2)}} \\ &+ \frac{8\pi n a b \mathcal{S} F_1(x)}{(b - a)(ab + 1)} + \frac{2\pi n \mathcal{J}(a - b) F_2(x)}{\sqrt{(a^2 - 1)(b^2 - 1)}}, \\ p_{\bar{2}} &= \frac{2\pi \mathcal{J} x}{x^2 - 1}, \end{aligned} \quad (3.119)$$

while the remaining functions can be determined by utilizing the  $x \rightarrow 1/x$  inversion symmetry as shown in (3.90), resulting in

$$p_{\hat{2}}(x) = -p_{\hat{3}}(x) = -p_{\hat{1}}(1/x) = p_{\hat{4}}(1/x), \quad (3.120)$$

$$p_{\bar{2}}(x) = -p_{\bar{3}}(x) = p_{\bar{1}}(x) = -p_{\bar{4}}(x). \quad (3.121)$$

The functions  $F_1(x)$  and  $F_2(x)$  can be expressed in terms of the elliptic integrals:

$$\begin{aligned} F_1(x) &= iF \left( i \sinh^{-1} \sqrt{\frac{(b - a)(a - x)}{(b + a)(a + x)}} \middle| \frac{(a + b)^2}{(a - b)^2} \right), \\ F_2(x) &= iE \left( i \sinh^{-1} \sqrt{\frac{(b - a)(a - x)}{(b + a)(a + x)}} \middle| \frac{(a + b)^2}{(a - b)^2} \right). \end{aligned}$$

This is a two-cut solution with symmetric cuts on the real axis given by the branch points  $a < b$  (and  $-b < -a$ ). The classical energy  $\Delta$  of the folded string is a function

of the Lorentz spin  $\mathcal{S}$ , twist  $\mathcal{J}$  and the mode number  $n$ . This function can be written in a parametric form in terms of the branch points  $a$  and  $b$  [93, 94, 21, 95]:

$$\begin{aligned} 2\pi\mathcal{S} &= \frac{ab+1}{ab} \left[ bE\left(1 - \frac{a^2}{b^2}\right) - aK\left(1 - \frac{a^2}{b^2}\right) \right], \\ 2\pi\mathcal{J} &= \frac{2\sqrt{(a^2-1)(b^2-1)}}{b} K\left(1 - \frac{a^2}{b^2}\right), \\ 2\pi\mathcal{D} &= \frac{ab-1}{ab} \left[ bE\left(1 - \frac{a^2}{b^2}\right) + aK\left(1 - \frac{a^2}{b^2}\right) \right]. \end{aligned} \quad (3.122)$$

where  $\mathcal{D} = \Delta/n\sqrt{\lambda}$  and  $E, K$  are elliptic integrals of the first kind.

Next we proceed to the semi-classical quantization of the folded string solution, more precisely we are after the one-loop shift. Instead of calculating the 16 fluctuation frequencies  $\Omega_n^{ij}$  we adopt the off-shell frequency formalism outlined above. Once again, the exact formulae for the off-shell frequencies can be found solely from analyticity constraints by first determining the off-shell shifts in quasi-momenta and then using the definitions (3.104) and (3.111). The answer turns out to be surprisingly simple and is given by the two basis frequencies

$$\Omega^{\tilde{2}\tilde{3}}(x) = \frac{2}{ab-1} \frac{\sqrt{a^2-1}\sqrt{b^2-1}}{x^2-1}, \quad (3.123)$$

$$\Omega^{\tilde{2}\tilde{3}}(x) = \frac{2}{ab-1} \left( 1 - \frac{y(x)}{x^2-1} \right). \quad (3.124)$$

where  $y(x) = \sqrt{x-a}\sqrt{a+x}\sqrt{x-b}\sqrt{b+x}$ . The remaining frequencies can be read off from the relations (3.113). What remains in order to find the one-loop shift is performing the sum (3.107) or alternatively evaluating the integral (3.114) numerically. The answer involves an infinite sum over all mode numbers hence it is not very illuminating at this point.

Let us now consider the  $\mathcal{S} \rightarrow 0$  limit. The square of the classical energy has a very nice expansion in this limit

$$\mathcal{D}^2 = \mathcal{J}^2 + 2\mathcal{S}\sqrt{\mathcal{J}^2+1} + \mathcal{S}^2 \frac{2\mathcal{J}^2+3}{2\mathcal{J}^2+2} - \mathcal{S}^3 \frac{\mathcal{J}^2+3}{8(\mathcal{J}^2+1)^{5/2}} + \mathcal{O}(\mathcal{S}^4). \quad (3.125)$$

The one-loop shift expanded up to two orders in  $\mathcal{S}$  reads

$$\Delta \simeq \frac{-\mathcal{S}}{2(\mathcal{J}^3+\mathcal{J})} + \mathcal{S}^2 \left[ \frac{3\mathcal{J}^4+11\mathcal{J}^2+17}{16\mathcal{J}^3(\mathcal{J}^2+1)^{5/2}} - \sum_{\substack{m \geq 0 \\ m \neq n}} \frac{n^3 m^2 (2m^2 + n^2 \mathcal{J}^2 - n^2)}{\mathcal{J}^3 (m^2 - n^2)^2 (m^2 + n^2 \mathcal{J}^2)^{3/2}} \right] \quad (3.126)$$

The next terms in this expansion can be found in (F.1), (F.2). The sum is nothing but a sum over the fluctuation energies, whereas the remaining terms originate from the

“zero”-modes  $m = n$ , which have to be treated separately. The sum can be very easily expanded for small  $\mathcal{J}$ . It is easy to see that the expansion coefficients will be certain combinations of zeta-functions. It is also easy to see that the dependence on the mode number  $n$  is rather nontrivial. The expansion of the one loop energy first in small  $\mathcal{S}$  up to a second order and then in small  $\mathcal{J}$  reads

$$\Delta_{1\text{-loop}} \simeq \begin{cases} -\frac{\mathcal{S}}{2\mathcal{J}} + \mathcal{S}^2 \left( +\frac{1}{2\mathcal{J}^3} - \frac{3\zeta_3}{2\mathcal{J}} - \frac{1}{16\mathcal{J}} \right), & \text{for } n = 1 \\ -\frac{\mathcal{S}}{2\mathcal{J}} + \mathcal{S}^2 \left( +\frac{1}{2\mathcal{J}^3} - \frac{12\zeta_3}{\mathcal{J}} - \frac{17}{16\mathcal{J}} \right), & \text{for } n = 2 \\ -\frac{\mathcal{S}}{2\mathcal{J}} + \mathcal{S}^2 \left( -\frac{5}{8\mathcal{J}^3} - \frac{81\zeta_3}{2\mathcal{J}} - \frac{7}{4\mathcal{J}} \right), & \text{for } n = 3 \end{cases} \quad (3.127)$$

Expansions up to four orders in  $\mathcal{S}$  and then in  $\mathcal{J}$  are given in appendix F. We note that the contributions  $\mathcal{S}^2/\mathcal{J}^3$  are universal for  $n = 1$  and  $n = 2$ , however starting from  $n = 3$  we get some nasty coefficient.

### 3.4 Short strings

Even though semi-classical results are technically only valid for the charges scaling as  $\sqrt{\lambda}$ , there is evidence that re-expanding the classical energy plus the one-loop shift yields a reasonable result in terms of the unscaled charges  $J$  and  $S$  [96], thus providing one the possibility to probe so-called short string states, the Konishi operator being a prime example. Namely summing up (3.125) and (3.127), introducing the unscaled charges  $S = \sqrt{\mu} \mathcal{S}$ ,  $J = \sqrt{\mu} \mathcal{J}$  and re-expanding in terms of  $\mu \equiv n^2 \lambda$  yields the following one-loop energy for the folded string solution [93]

$$\Delta_{S,J,n} \simeq \sqrt{2S} \mu^{1/4} + \frac{2J^2 + 3S^2 - 2S}{4(2S)^{1/2} \mu^{1/4}}. \quad (3.128)$$

For the Konishi operator we get

$$\Delta_{2,2,1} = 2 \lambda^{1/4} + \frac{2}{\lambda^{1/4}} + \mathcal{O}(\lambda^{-3/4}), \quad (3.129)$$

where the first coefficient of the expansion was first derived in [97]. The sub-leading coefficient was first suggested to be 1 in [96], however a possible issue with the argument was soon pointed out in [98], where numerical calculations indeed confirmed the correct answer to be 2.

In this section we will show how combining the knowledge of the semi-classical one-loop shift (3.126) and the slope function (3.80) can yield further information about the Konishi anomalous dimension. Later in the text when we find the next small spin

coefficient after the slope, which we call the curvature function, we will revisit the techniques presented here in order to boost the obtain results one order further.

### 3.4.1 Structure of small spin expansions

Consider the classical string energy (3.125), the re-expansion of  $\Delta^2$  in the large  $\mu \equiv \lambda n^2$  limit with  $S$  and  $J$  fixed has a particularly nice structure

$$\Delta^2 = J^2 + S \left( 2\sqrt{\mu} + \frac{J^2}{\sqrt{\mu}} + \dots \right) + S^2 \left( \frac{3}{2} - \frac{J^2}{2\sqrt{\mu}} + \dots \right) - S^3 \left( \frac{3}{8\sqrt{\mu}} - \frac{13J^2}{16\sqrt[3]{\mu}} + \dots \right) + \mathcal{O}(S^4) \quad (3.130)$$

where each next term in  $S$  gets more and more suppressed for large  $\mu$ . It was conjectured [80] that a generalization of this result holds for any operator at all values of the coupling constant, more precisely the statement was that making expansions of the scaling dimension squared first in  $S \rightarrow 0$  and then in  $\mu \rightarrow \infty$  should reveal the following structure

$$\Delta^2 = J^2 + S(A_1\sqrt{\mu} + A_2 + \dots) + S^2 \left( B_1 + \frac{B_2}{\sqrt{\mu}} + \dots \right) + S^3 \left( \frac{C_1}{\mu^{1/2}} + \frac{C_2}{\mu^{3/2}} + \dots \right) + \mathcal{O}(S^4), \quad (3.131)$$

where the coefficients  $A_i$ ,  $B_i$ ,  $C_i$ , etc. are some functions of  $J$ .

Indeed it is not hard to understand this constraint. A good entry point is considering the inverse relation  $S(\Delta)$ , frequently encountered in the context of BFKL [104]. It satisfies a few basic properties, namely the curve  $S(\Delta)$  goes through the points  $(\pm J, 0)$  at any coupling, because at  $S = 0$  the operator is BPS. At the same time for non-BPS states one should have  $\Delta(\lambda) \propto \lambda^{1/4} \rightarrow \infty$  [9] which indicates that if  $\Delta$  is fixed,  $S$  should go to zero, thus combining this with the knowledge of fixed points  $(\pm J, 0)$  we conclude that at infinite coupling  $S(\Delta)$  is simply the line  $S = 0$ . As the coupling becomes finite  $S(\Delta)$  starts bending from the  $S = 0$  line and starts looking like a parabola going through the points  $\pm J$ , see figure 4. Based on this qualitative picture and the scaling  $\Delta(\lambda) \propto \lambda^{1/4}$  at  $\lambda \rightarrow \infty$  and fixed  $J$  and  $S$ , one can write down the following ansatz,

$$\begin{aligned} S(\Delta) = & (\Delta^2 - J^2) \left( \alpha_1 \frac{1}{\lambda^{1/2}} + \alpha_2 \frac{1}{\lambda} + (\alpha_3 + \beta_3 \Delta^2) \frac{1}{\lambda^{3/2}} + (\alpha_4 + \beta_4 \Delta^2) \frac{1}{\lambda^2} \right. \\ & \left. + (\alpha_5 + \beta_5 \Delta^2 + \gamma_5 \Delta^4) \frac{1}{\lambda^{5/2}} + (\alpha_6 + \beta_6 \Delta^2 + \gamma_6 \Delta^4) \frac{1}{\lambda^3} + \dots \right). \end{aligned} \quad (3.132)$$

The reason for omitting odd powers of the scaling dimension from the ansatz will become clear later when we discuss the  $\mathbf{P}\mu$ -system, where we will see that only the square of  $\Delta$  enters the equations. We can now invert the relation and express  $\Delta$  in

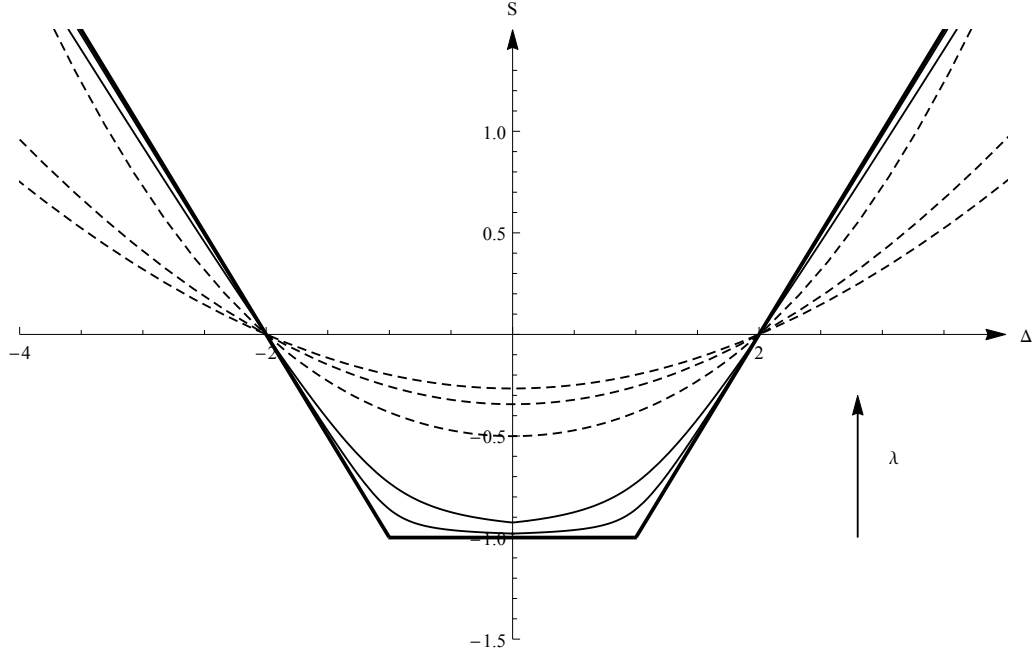


Figure 4: The BFKL trajectories  $S(\Delta)$  for the Konishi operator at various values of the coupling. Solid black lines are obtained using the known two loop weak coupling expansion [99, 100] and dashed lines are obtained using the strong coupling expansion [101, 102, 103].

terms of  $S$  at strong coupling, which exactly reproduces (4.205). There exists a one-to-one mapping between the coefficients  $\alpha_i$ ,  $\beta_i$ , etc. and  $A_i$ ,  $B_i$  etc, which is rather complicated but easy to find. The pattern in (4.205) continues to higher orders in  $S$  with further coefficients  $D_i$ ,  $E_i$ , etc. and powers of  $\mu$  suppressed incrementally. This structure is a non-trivial constraint on  $\Delta$  itself as one easily finds from (4.205) that

$$\begin{aligned} \Delta \simeq & J + \frac{S}{2J} \left( A_1 \sqrt{\mu} + A_2 + \frac{A_3}{\sqrt{\mu}} + \dots \right) \\ & + S^2 \left( -\frac{A_1^2}{8J^3} \mu - \frac{A_1 A_2}{4J^3} \sqrt{\mu} + \left[ \frac{B_1}{2J} - \frac{A_2^2 + 2A_1 A_3}{8J^3} \right] + \left[ \frac{B_2}{2J} - \frac{A_2 A_3 + A_1 A_4}{4J^3} \right] \frac{1}{\sqrt{\mu}} + \dots \right). \end{aligned} \quad (3.133)$$

By definition the coefficients of  $S$  and  $S^2$  are the slope and curvature functions respectively as defined in (3.63), so now we have their expansions at strong coupling in terms of  $A_i$ ,  $B_i$ ,  $C_i$ , etc. Since the  $S$  coefficient only contains the constants  $A_i$ , we can find all of their values by simply expanding the slope function (3.80) that we found earlier at strong coupling. We get

$$A_1 = 2, \quad A_2 = -1, \quad A_3 = J^2 - \frac{1}{4}, \quad A_4 = J^2 - \frac{1}{4} \dots \quad (3.134)$$

Note that in this series the power of  $J$  increases by two at every other member, which is a direct consequence of omitting odd powers of  $\Delta$  from (4.204). We also expect the

same pattern to hold for the coefficients  $B_i$ ,  $C_i$ , etc. It is not hard to see that the sub-leading coefficient in the spin  $S$  only contains  $A_i$  and  $B_i$  coefficients, thus given the  $A$ 's we could in principle fix all  $B$ 's. Thus we conclude that the letters in  $A_i$ ,  $B_i$ ,  $C_i$  etc. are directly linked to the generalizations of the slope function  $\gamma^{(n)}$ .

### 3.4.2 Two-loop prediction

We are interested in the coefficients of the strong coupling expansion of  $\Delta$ , namely

$$\Delta = \Delta^{(0)}\lambda^{\frac{1}{4}} + \Delta^{(1)}\lambda^{-\frac{1}{4}} + \Delta^{(2)}\lambda^{-\frac{3}{4}} + \Delta^{(3)}\lambda^{-\frac{5}{4}} + \dots \quad (3.135)$$

First, we utilize the structure (4.205) and by fixing  $S$  and  $J$  we re-expand the square root of  $\Delta^2$  at strong coupling to find

$$\Delta = \sqrt{A_1 S} \sqrt[4]{\lambda} + \frac{\sqrt{A_1} (J^2 + A_2 S + B_1 S^2)}{2A_1 \sqrt{S}} \frac{1}{\sqrt[4]{\lambda}} + \mathcal{O}\left(\frac{1}{\lambda^{\frac{3}{4}}}\right). \quad (3.136)$$

Thus we reformulate the problem entirely in terms of the coefficients  $A_i$ ,  $B_i$ ,  $C_i$ , etc. For example, the next coefficient in the series, namely the two-loop term is given by

$$\Delta^{(2)} = -\frac{(2A_2 + 4B_1 + J^2)^2 - 16A_1(A_3 + 2B_2 + 4C_1)}{16\sqrt{2}A_2^{3/2}}. \quad (3.137)$$

Further coefficients become more and more complicated, however a very clear pattern can be noticed after looking at these expressions: we see that the term  $\Delta^{(n)}$  only contains coefficients with indices up to  $n+1$ , e.g. the tree level term  $\Delta^{(0)}$  only depends on  $A_1$ , the one-loop term depends on  $A_1$ ,  $A_2$ ,  $B_1$ , etc. Thus we can associate the index of these coefficients with the loop level. Conversely, from the last section we learned that the letter of  $A_i$ ,  $B_i$ , etc. can be associated with the order in  $S$ , i.e. the slope function fixed all  $A_i$  coefficients and the curvature function in principle fixes all  $B_i$  coefficients.

Looking at (4.213) we see that knowing  $A_i$  and  $B_i$  only takes us to one loop, in order to proceed we need to know some coefficients in the  $C_i$  and  $D_i$  series. This is where the knowledge of the classical energy (3.125) and its semi-classical correction (3.126) come in handy. We add up the classical and the 1-loop contributions, take  $S$  and  $J$  fixed at strong coupling and compare the result to (4.205). By requiring consistency

we are able to extract the following coefficients for  $n = 1$ ,

$$\begin{aligned}
A_1 &= 2, & A_2 &= -1 \\
B_1 &= 3/2, & B_2 &= -3\zeta_3 + \frac{3}{8} \\
C_1 &= -3/8, & C_2 &= \frac{1}{16}(60\zeta_3 + 60\zeta_5 - 17) \\
D_1 &= 31/64, & D_2 &= \frac{1}{512}(-5520\zeta_3 - 5120\zeta_5 - 3640\zeta_7 + 901).
\end{aligned} \tag{3.138}$$

As discussed in the previous section, we can in principle extract all coefficients with indices 1 and 2. In order to find e.g.  $B_3$  we would need to extend the quantization of the classical solution to the next order. For general mode numbers  $n$  one can extract the following values for  $B_i$ ,

$$B_1 = \frac{3}{2}, \quad B_2 = \begin{cases} -3\zeta_3 + \frac{3}{8} & , \quad n = 1 \\ -24\zeta_3 - \frac{13}{8} & , \quad n = 2 \\ -81\zeta_3 - \frac{24}{8} & , \quad n = 3 \end{cases} . \tag{3.139}$$

Combining all of this information we find the following result for spin 2 operators

$$\Delta_{2,J,1} = 2\lambda^{1/4} + \frac{\frac{J^2}{4} + 1}{\lambda^{1/4}} + \frac{-\frac{J^4}{64} + \frac{3J^2}{8} - 3\zeta(3) - \frac{3}{4}}{\lambda^{3/4}} + \mathcal{O}\left(\frac{1}{\lambda^{5/4}}\right), \tag{3.140}$$

which for Konishi reads

$$\Delta_{2,2,1} = 2\lambda^{1/4} + \frac{2}{\lambda^{1/4}} + \frac{\frac{1}{2} - 3\zeta_3}{\lambda^{3/4}} + \mathcal{O}\left(\frac{1}{\lambda^{5/4}}\right). \tag{3.141}$$

Generalizing the discussion above we conclude that this procedure yields the  $n$ -loop scaling dimension given the values of

$$A_{1,2,\dots,n+1}, \quad B_{1,2,\dots,n}, \quad C_{1,2,\dots,n-1}, \quad \dots, \quad A_1^{(n+1)}, \tag{3.142}$$

thus e.g. to go to three loops we would need to know  $A_{1;2;3;4}$ ,  $B_{1,2,3}$ ,  $C_{1,2}$ ,  $D_1$ , where the only unknown at this point is  $B_3$ . In order to find it we would either need to find the curvature function  $\gamma^{(2)}$  or quantize the classical string to one more order.

### 3.4.3 Inconsistencies for higher mode numbers

The analysis in the previous sections was done only up to second order in the small  $S$  expansion. The appendix F contains our result for the one-loop quantization of the  $n$ -times folded string up to the order  $S^4$ . For  $n = 1$  our result is in perfect agreement with the conjectured structure (4.205), yet for cases with  $n > 1$  there are inconsistencies. For  $n = 2$  the first inconsistency appears in the  $\frac{S^3\mu}{J^4}$  term and for  $n = 3$  there are



already inconsistencies at order  $S^2$ . We found that for  $n > 1$  one has to modify the structure in (4.205) by including negative coefficients in order for it to be consistent with our one-loop results. E.g. for  $n = 2$  the structure has to be modified starting with the  $S^3$  term, which now becomes

$$\left( C_{-2} \mu + \frac{C_1}{\sqrt{\mu}} + \frac{C_2}{\mu} + \dots \right) S^3 \quad (3.143)$$

with  $C_{-2} = \frac{12}{J^4}$ . To the next order in  $S$  we find

$$\left( D_{-4} \mu^{3/2} + D_{-2} \sqrt{\mu} + \frac{D_0}{\sqrt{\mu}} + \frac{D_1}{\mu} + \dots \right) S^4 \quad (3.144)$$

where  $D_{-4} = -\frac{78}{J^6}$ ,  $D_{-2} = -\frac{36}{J^4}$ ,  $D_0 = \frac{21}{2J^2}$ . For  $n = 3$  the first modification already occurs at order  $S^2$  and it can be resolved if the term  $-\frac{9S^2\sqrt{\mu}}{4J^2}$  is added to (4.205). Thus effectively the conjectured structure (4.205) has to be modified as in the  $n = 2$  case by including negative coefficients, which now depend on  $n$  in a non-trivial way. It is also worth noticing that since inconsistencies start appearing at orders of  $\frac{S^2}{J^2}$  and  $\frac{S^3}{J^4}$  for  $n = 3$  and  $n = 2$  respectively, one might guess that there should be an inconsistency at order  $\frac{S^4}{J^6}$  for  $n = 1$ , however we found no such thing.

This study of inconsistencies reveals that the proposed modifications to the structure of (4.205) have growing powers of  $\mu$ , thus one should resum them together with similar singular terms which may arise in higher loop levels before being able to make justified predictions for short operators ( $S \sim J \sim 1$ ) at strong coupling when  $n > 1$ .

## 4 Exact results

*The less effort, the faster and more powerful you will be.*

– Bruce Lee

In this section we leave the perturbative regime (weak and strong coupling) behind and move on towards exact results in  $\mathcal{N} = 4$  super Yang-Mills, where by “exact” we mean available at any value of the coupling constant. In principle such results are the ultimate goal of the whole AdS/CFT programme and being able to extract them is a remarkable achievement.

One can argue that the spectral problem has been worked out exactly, at least conceptually, as we will review shortly. However in practice one immediately runs into technical difficulties with finding solutions, thus at the moment only certain calculations have been carried out explicitly. In this section we will present a few examples of exact results, most notably the slope and curvature functions, which are the two leading coefficients in the small spin expansion of the anomalous dimension of the folded string. As mentioned before, they are indeed exact in the coupling constant, yet they are somewhat abstract, one might even say not physical and thus of little use. We will argue the opposite by demonstrating how one can use them in order to find new information about physically relevant quantities such as the Konishi anomalous dimension.

We will start the section by reviewing the exact solution to the spectral problem of AdS/CFT, first discussing historic approaches and quickly moving on to the novel  $\mathbf{P}\mu$  approach. We then devote the rest of the section to various exact results, mostly achieved using the  $\mathbf{P}\mu$ -system.

### 4.1 Solution to the spectral problem

Historically the first solution to the spectral problem that fully incorporated finite length corrections was the thermodynamic Bethe ansatz (TBA). Being a set of infinite integral equations it was obviously very hard to use in practice and was thus soon reformulated as an infinite set of functional relations, the so called Y-system. Both of these formulations can now be seen as intermediary steps towards the more elegant  $\mathbf{P}\mu$ -system, which we cover in more depth in the next subsection.

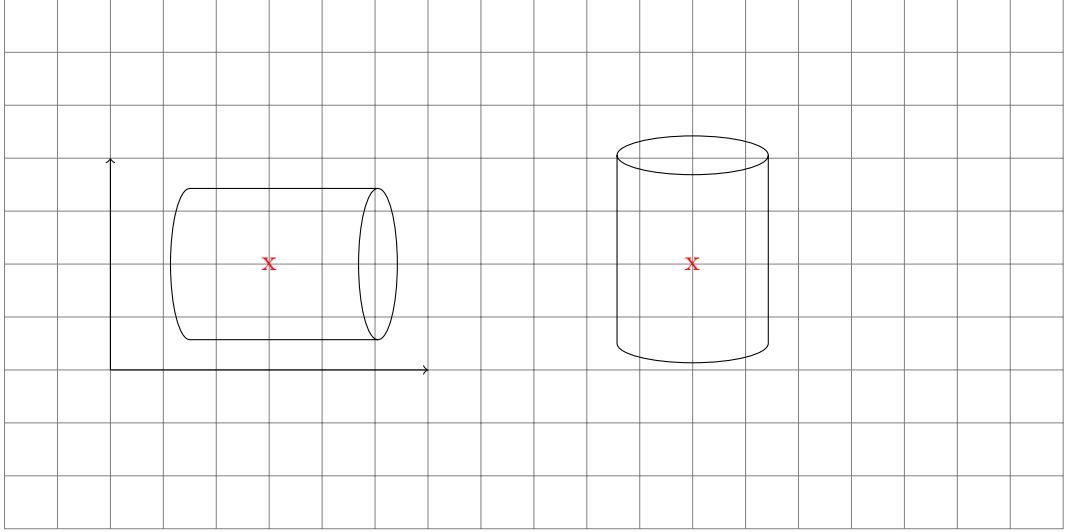


Figure 5: A high level illustration of the idea behind the TBA method: by double Wick rotating the theory one can exchange time with length and thus finite volume scattering with the ground state scattering at finite length.

#### 4.1.1 Thermodynamic Bethe ansatz

The idea here is to consider the mirror theory of the Euclidean version of the original theory, where by Euclidean we mean a theory that is defined by the analytical continuation in the  $y = it$  complex time variable. The mirror theory is then defined by exchanging time and size coordinates with  $x = i\tau$  being the complex version of the mirror time coordinate  $\tau$  and  $y$  being the space coordinate. The mirror theory is obviously different from the original, e.g. for the asymptotic  $SU(2)$  spin chain the original dispersion relation (??) gets mirror inverted to [107]

$$\tilde{E}(\tilde{p}) = 2 \arcsin \frac{\sqrt{\tilde{p}(16\pi^2 + \tilde{p}\lambda)}}{8\pi}, \quad (4.1)$$

the scattering matrix also has a different pole structure, meaning that the theory has different bound states from the original ones. But the remarkable thing is that mirroring a theory preserves integrability, meaning that one can solve it with an asymptotic Bethe ansatz [108]. One can use this fact, since the partition functions for these theories satisfy the obvious identity

$$Z(L, R) = \tilde{Z}(R, L), \quad (4.2)$$

where  $L$  is the length scale of the original theory and  $R$  is the time scale. At asymptotic time scales the partition function is dominated by contributions from the ground state,

this applies to any length scale of the system, thus in the asymptotic time limit

$$Z(L, R) = \text{Tr} e^{-R H(L)} \xrightarrow{R \rightarrow \infty} e^{-R E_0(L)}. \quad (4.3)$$

This limit corresponds to the infinite length limit for the mirror model, which we can solve using the asymptotic Bethe ansatz, thus

$$\tilde{Z}(R, L) = \text{Tr} e^{-L \tilde{H}(R)} \xrightarrow{L \rightarrow \infty} \sum_n e^{-L \tilde{E}_n(R)}, \quad (4.4)$$

where  $\tilde{H}$  is the Hamiltonian of the mirror theory. Now we simply identify the partition functions and solve for the ground state energy in the original theory at any length  $L$ . The result is then a simple integral given by [108]

$$E_0(L) = -\frac{1}{2\pi} \sum_r \int du (\partial_u \tilde{p}) \log \left( 1 + e^{-\epsilon_r(u)} \right), \quad (4.5)$$

where  $\epsilon_r(u)$  is the so called pseudo energy, defined in terms of the density of solutions with some charge  $r$  in the mirror theory and we sum over all charges that describe the solutions. Energies of excited states at finite length can then be found by analytic continuation [109].

The argument for the finite size solution presented here is very sketchy and for the full  $PSU(2, 2|4)$  theory the story is obviously way more complicated, but everything that we discussed has indeed been done for the full theory. The solution is written in terms of a Y-system [44], which is a set of algebraic equations frequently found in integrable systems [110]. The Y-system equations have been verified on numerous occasions and have thus far passed every test [111].

$$\log Y_{a,s}(u) = \delta_s^0 iL p_a(u) + \int dv K_{a,s}^{a',s'}(u, v) \log (1 + Y_{a',s'}(v)) \quad (4.6)$$

$$E = \sum_j \epsilon_1(u_{4,j}) + \sum_{a=1}^{\infty} \int_{-\infty}^{\infty} \frac{du}{2\pi i} \frac{\partial \epsilon_a^*}{\partial u} \log (1 + Y_{a,0}^*(u)) \quad (4.7)$$

#### 4.1.2 The Y-system

The Y-system

$$\frac{Y_{a,s}^+ Y_{a,s}^-}{Y_{a+1,s} Y_{a-1,s}} = \frac{(1 + Y_{a,s+1})(1 + Y_{a,s-1})}{(1 + Y_{a+1,s})(1 + Y_{a-1,s})} \quad (4.8)$$

$$T_{a,s}^+ T_{a,s}^- = T_{a+1,s} T_{a-1,s} + T_{a,s+1} T_{a,s-1} \quad (4.9)$$

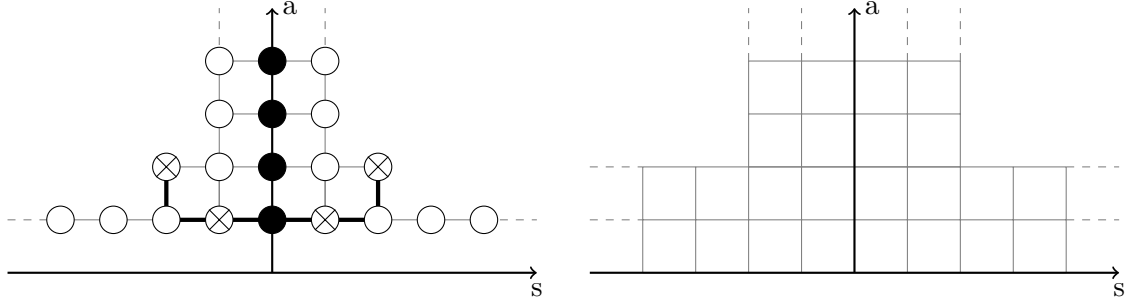


Figure 6: The domains of the  $Y_{a,s}$  and  $T_{a,s}$  functions (left and right respectively). The  $Y_{a,s}$  functions are defined on the nodes, where the type of node signifies the type of excitation. The  $T_{a,s}$  functions are defined on the lattice points of the grid.

$$Y_{a,s} = \frac{T_{a,s+1}T_{a,s-1}}{T_{a+1,s}T_{a-1,s}} \quad (4.10)$$

$$T_{a,s} \rightarrow g_1^{[a+s]} g_2^{[a-s]} g_3^{[s-1]} g_4^{[-a-s]} T_{a,s} \quad (4.11)$$

## 4.2 The $\mathbf{P}\mu$ -system

### 4.2.1 Intuition

### 4.2.2 Technicalities

In this section we review the formulation of the  $\mathbf{P}\mu$ -system, and also discuss its symmetries which will be useful later. Below, we will restrict the discussion to states in the  $sl(2)$  sector as presented in [49]. Remarkably, the general case is not much more complicated and will appear soon in [112].

The  $\mathbf{P}\mu$ -system is a nonlinear system of functional equations for a four-vector  $\mathbf{P}_a(u)$  and a  $4 \times 4$  antisymmetric matrix  $\mu_{ab}(u)$  depending on the spectral parameter  $u$ . For full details about the origin of the construction we refer the reader to [112]. As functions of  $u$ , both  $\mathbf{P}_a$  and  $\mu_{ab}$  have prescribed analyticity properties which play a key role. First,  $\mathbf{P}_a$  must have only a single branch cut in  $u$  going between  $-2g$  and  $2g$ , being analytic in the rest of the complex plane. We call this cut the *short* cut, while the cut on the real line connecting the same two points through infinity is called the *long* cut. The functions  $\mu_{ab}$  have an infinite set of short branch cuts going between  $-2g + in$  and

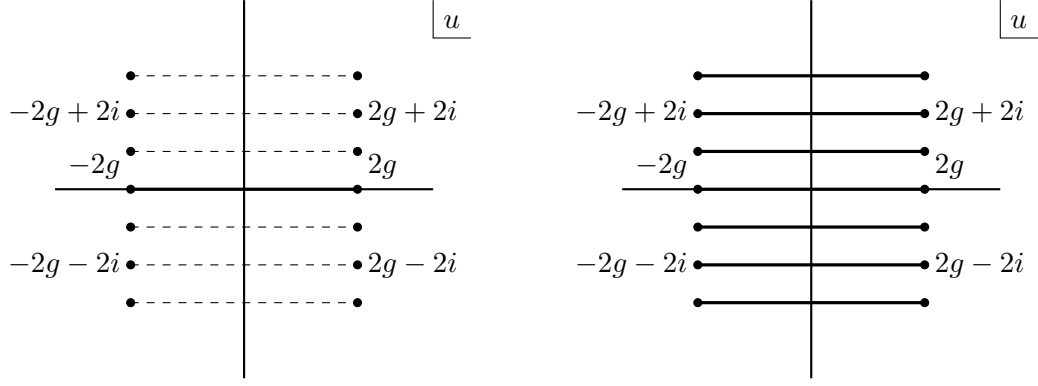


Figure 7: **Cuts in the  $u$  plane.** We show the location of branch cuts in  $u$  for the functions  $\mathbf{P}_a(u)$  (left) and  $\mu_{ab}(u)$  (right). The infinitely many cuts of  $\tilde{\mathbf{P}}_a$  are shown on the left picture with dashed lines.

$2g + in$  for all  $n \in \mathbb{Z}$  (see Fig. 3). Most importantly, the analytic continuation of  $\mathbf{P}_a$  and  $\mu_{ab}$  through these cuts is again expressed in terms of these functions, according to the following equations:

$$\tilde{\mathbf{P}}_a = -\mu_{ab}\chi^{bc}\mathbf{P}_c, \quad \text{with} \quad \chi^{ab} = \begin{pmatrix} 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \\ 0 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}, \quad (4.12)$$

and

$$\tilde{\mu}_{ab} - \mu_{ab} = \mathbf{P}_a \tilde{\mathbf{P}}_b - \mathbf{P}_b \tilde{\mathbf{P}}_a. \quad (4.13)$$

Here we denote by  $\tilde{\mathbf{P}}_a$  and  $\tilde{\mu}_{ab}$  the analytic continuation of  $\mathbf{P}_a$  and  $\mu_{ab}$  through the cut on the real axis. In addition, we have a pseudo-periodicity condition

$$\tilde{\mu}_{ab}(u) = \mu_{ab}(u + i) \quad (4.14)$$

which, actually, means that  $\mu_{ab}(u)$  would be an  $i$ -periodic function if defined with long cuts instead of the short cuts.

The functions  $\mu_{ab}$  are also constrained by the relations

$$\mu_{12}\mu_{34} - \mu_{13}\mu_{24} + \mu_{14}^2 = 1, \quad (4.15)$$

$$\mu_{14} = \mu_{23}, \quad (4.16)$$

the first of which states that the Pfaffian of the matrix  $\mu_{ab}$  is equal to 1. Let us also

write the equations (4.12) explicitly:

$$\tilde{\mathbf{P}}_1 = -\mathbf{P}_3\mu_{12} + \mathbf{P}_2\mu_{13} - \mathbf{P}_1\mu_{14} \quad (4.17)$$

$$\tilde{\mathbf{P}}_2 = -\mathbf{P}_4\mu_{12} + \mathbf{P}_2\mu_{14} - \mathbf{P}_1\mu_{24} \quad (4.18)$$

$$\tilde{\mathbf{P}}_3 = -\mathbf{P}_4\mu_{13} + \mathbf{P}_3\mu_{14} - \mathbf{P}_1\mu_{34} \quad (4.19)$$

$$\tilde{\mathbf{P}}_4 = -\mathbf{P}_4\mu_{14} + \mathbf{P}_3\mu_{24} - \mathbf{P}_2\mu_{34} . \quad (4.20)$$

The above equations ensure that the branch points of  $\mathbf{P}_a$  and  $\mu_{ab}$  are of the square root type, i.e.  $\tilde{\mathbf{P}}_a = \mathbf{P}_a$  and  $\tilde{\mu}_{ab} = \mu_{ab}$ .

Finally, we require that  $\mathbf{P}_a$  and  $\mu_{ab}$  do not have any singularities except these branch points<sup>2</sup>.

The quantum numbers and the energy of the state are encoded in the asymptotics of the functions  $\mathbf{P}_a$  and  $\mu_{ab}$  at large real  $u$ . The generic case is described in [112], while here we are interested in the states in the  $sl(2)$  sector, for which the relations read [49]

$$\mathbf{P}_a \sim (A_1 u^{-J/2}, A_2 u^{-J/2-1}, A_3 u^{J/2}, A_4 u^{J/2-1}) \quad (4.21)$$

$$(\mu_{12}, \mu_{13}, \mu_{14}, \mu_{24}, \mu_{34}) \sim (u^{\Delta-J}, u^{\Delta+1}, u^\Delta, u^{\Delta-1}, u^{\Delta+J}) \quad (4.22)$$

where  $J$  is the twist of the gauge theory operator, and  $\Delta$  is its conformal dimension. With these asymptotics, the equations (4.12)-(4.16) form a closed system which fixes  $\mathbf{P}_a$  and  $\mu_{ab}$ .

Lastly, the spin  $S$  of the operator is related [49] to the leading coefficients  $A_a$  of the  $\mathbf{P}_a$  functions (see (4.21)):

$$A_1 A_4 = \frac{((J+S-2)^2 - \Delta^2)((J-S)^2 - \Delta^2)}{16iJ(J-1)} \quad (4.23)$$

$$A_2 A_3 = \frac{((J-S+2)^2 - \Delta^2)((J+S)^2 - \Delta^2)}{16iJ(J+1)} . \quad (4.24)$$

The  $\mathbf{P}_\mu$ -system enjoys a symmetry preserving all of its essential features. It has the form of a linear transformation of  $\mathbf{P}_a$  and  $\mu_{ab}$  which leaves the system (4.12)-(??) and the asymptotics (4.21), (4.22) invariant. Indeed, consider a general linear transformation  $\mathbf{P}'_a = R_a{}^b \mathbf{P}_b$  with a non-degenerate constant matrix  $R$ . In order to preserve the system (4.12),  $\mu$  should at the same time be transformed as

$$\mu' = -R\mu\chi R^{-1}\chi. \quad (4.25)$$

---

<sup>2</sup>For odd values of  $J$  the functions  $\mathbf{P}_a$  may have an additional branch point at infinity. However, it should cancel in any product of two  $\mathbf{P}_a$ 's, and therefore it will not appear in any physically relevant quantity (see [49], [112]). We will discuss some explicit examples in the text.

Such a transformation also preserves the form of (4.13) if

$$R^T \chi R \chi = -1, \quad (4.26)$$

which also automatically ensures antisymmetry of  $\mu_{ab}$  and (4.15), (4.16). In general, this transformation will spoil the asymptotics of  $\mathbf{P}_a$ . These asymptotics are ordered as  $|\mathbf{P}_2| < |\mathbf{P}_1| < |\mathbf{P}_4| < |\mathbf{P}_3|$ , which implies that the matrix  $R$  must have the following structure<sup>3</sup>

$$R = \begin{pmatrix} * & * & 0 & 0 \\ 0 & * & 0 & 0 \\ * & * & * & * \\ * & * & 0 & * \end{pmatrix}. \quad (4.27)$$

The general form of  $R$  which satisfies (4.26) and does not spoil the asymptotics generates a 6-parametric transformation, which we will call a  $\gamma$ -transformation. The simplest  $\gamma$ -transformation is the following rescaling:

$$\mathbf{P}_1 \rightarrow \alpha \mathbf{P}_1, \quad \mathbf{P}_2 \rightarrow \beta \mathbf{P}_2, \quad \mathbf{P}_3 \rightarrow 1/\beta \mathbf{P}_3, \quad \mathbf{P}_4 \rightarrow 1/\alpha \mathbf{P}_4, \quad (4.28)$$

$$\mu_{12} \rightarrow \alpha\beta\mu_{12}, \quad \mu_{13} \rightarrow \frac{\alpha}{\beta}\mu_{13}, \quad \mu_{14} \rightarrow \mu_{14}, \quad \mu_{24} \rightarrow \frac{\beta}{\alpha}\mu_{24}, \quad \mu_{34} \rightarrow \frac{1}{\alpha\beta}\mu_{34}, \quad (4.29)$$

with  $\alpha, \beta$  being constants.

In all the solutions we consider in this paper all functions  $\mathbf{P}_a$  turn out to be functions of definite parity, so it makes sense to consider  $\gamma$ -transformations which preserve parity.  $\mathbf{P}_1$  and  $\mathbf{P}_2$  always have opposite parity (as one can see from (4.21)) and thus should not mix under such transformations; the same is true about  $\mathbf{P}_3$  and  $\mathbf{P}_4$ . Thus, depending on parity of  $J$  the parity-preserving  $\gamma$ -transformations are either

$$\mathbf{P}_3 \rightarrow \mathbf{P}_3 + \gamma_3 \mathbf{P}_2, \quad \mathbf{P}_4 \rightarrow \mathbf{P}_4 + \gamma_2 \mathbf{P}_1, \quad (4.30)$$

$$\mu_{13} \rightarrow \mu_{13} + \gamma_3 \mu_{12}, \quad \mu_{24} \rightarrow \mu_{24} - \gamma_2 \mu_{12}, \quad \mu_{34} \rightarrow \mu_{34} + \gamma_3 \mu_{24} - \gamma_2 \mu_{13} - \gamma_2 \gamma_3 \mu_{12}$$

for odd  $J$  or

$$\mathbf{P}_3 \rightarrow \mathbf{P}_3 + \gamma_1 \mathbf{P}_1, \quad \mathbf{P}_4 \rightarrow \mathbf{P}_4 - \gamma_1 \mathbf{P}_2, \quad (4.31)$$

$$\mu_{14} \rightarrow \mu_{14} - \gamma_1 \mu_{12}, \quad \mu_{34} \rightarrow \mu_{34} + 2\gamma_1 \mu_{14} - \gamma_1^2 \mu_{12},$$

for even  $J$ .

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<sup>3</sup>This matrix would of course be lower triangular if we ordered  $\mathbf{P}_a$  by their asymptotics.



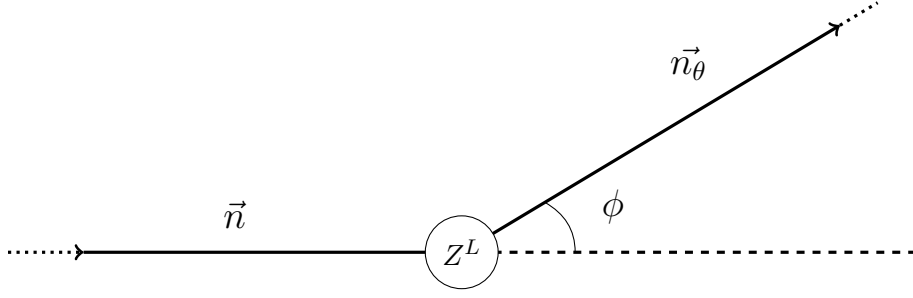


Figure 8: The cusped Wilson line with an operator insertion.

### 4.3 Cusped Wilson line

We now move on to actual examples of the quantum spectral curve construction in action. The very first observable the  $\mathbf{P}\mu$ -system was applied to is the cusped Wilson line operator. It consists of two rays of a supersymmetric Wilson line forming a cusp with the angle  $\phi$  and an operator  $Z^L$  inserted at the cusp, where  $Z$  is a scalar of  $\mathcal{N} = 4$  SYM (see figure 8). To completely define a supersymmetric Wilson line we should also specify the coupling to scalars, which is parametrized by a six-dimensional unit vector  $\vec{n}(t)$  at each point of the line ( $t$  being a parameter on the line). In our case  $\vec{n}(t)$  is constant and equal  $\vec{n}$  on one ray and  $\vec{n}_\theta$  on another ray, so that  $\vec{n} \cdot \vec{n}_\theta = \cos \theta$ . Because of R-symmetry the observable depends on  $\vec{n}, \vec{n}_\theta$  only through  $\theta$ . Explicitly the observable is defined as

$$W_L = \text{P exp} \int_{-\infty}^0 dt \left( iA \cdot \dot{x}_q + \vec{\Phi} \cdot \vec{n} |\dot{x}_q| \right) \times Z^L \times \text{P exp} \int_0^{\infty} dt \left( iA \cdot \dot{x}_{\bar{q}} + \vec{\Phi} \cdot \vec{n}_\theta |\dot{x}_{\bar{q}}| \right). \quad (4.32)$$

Due to the cusp the expectation value of such an observable diverges as

$$\langle W_L \rangle \sim \left( \frac{\Lambda_{IR}}{\Lambda_{UV}} \right)^{\Gamma_L(\lambda)}, \quad (4.33)$$

where  $\Lambda_{IR}$  and  $\Lambda_{UV}$  are the infra-red and ultraviolet cut-offs respectively [114, 115]. The quantity  $\Gamma_L$ , which we will call the cusp anomalous dimension, will be the main object of our study in this section. The AdS/CFT duality allows one to relate the observable in  $\mathcal{N} = 4$  SYM described above to an open string in AdS which ends on a cusped line on the boundary of AdS. In particular, in the classical scaling limit when  $L$  and  $\lambda$  are both taken to infinity with  $L/\sqrt{\lambda}$  fixed, we can match  $\Gamma_L$  with the energy of the classical string [45, 46].

$$\mathbf{P}_a \simeq \left( A_1 u^{-L+1/2}, A_2 u^{-L-1/2}, A_3 u^{+L+3/2}, A_4 u^{+L+1/2} \right) \quad (4.34)$$

$$\tilde{\mathbf{P}}_1 - \mathbf{P}_1 = -C \sinh(2\pi u) \mathbf{P}_3, \quad (4.35)$$

$$\tilde{\mathbf{P}}_2 + \mathbf{P}_2 = -C \sinh(2\pi u) \mathbf{P}_4, \quad (4.36)$$

$$\tilde{\mathbf{P}}_3 + \mathbf{P}_3 = 0 \quad (4.37)$$

$$\tilde{\mathbf{P}}_4 - \mathbf{P}_4 = 0 \quad (4.38)$$

$$\frac{-\mathbf{P}_2}{CA_4\sqrt{u}} = \oint_{-2g}^{2g} \frac{\sqrt{u^2 - 4g^2}}{\sqrt{v^2 - 4g^2}} \frac{\sinh(2\pi v)}{4\pi i(v - u)} = \sum_{n=1}^{\infty} \frac{I_{2n-1}(4\pi g)}{x^{2n-1}} \quad (4.39)$$

$$\Delta = -\phi^2 g^2 \left( 1 - \frac{I_3(4\pi g)}{I_1(4\pi g)} \right) \quad (4.40)$$

#### 4.3.1 Classical limit

Taking the classical limit  $L \rightarrow \infty$  keeping  $L/\sqrt{\lambda}$  fixed becomes considerably easier once we realize that the cusp anomalous dimension (??) can be expressed in terms of an expectation value of some operator in a matrix model. In this section we will show how to use this approach to find the large  $N$  expansion of the determinant of  $\mathcal{M}_N$  defined in (??).

One can check that the quantities  $I_n^\theta$  defined in the previous section can be rewritten in the following integral representation

$$I_n^\theta = \frac{1}{2\pi i} \oint \frac{dx}{x^{n+1}} \sinh(2\pi g(x + 1/x)) e^{2g\theta(x-1/x)}, \quad (4.41)$$

where the integration contour is the unit circle and  $g = \frac{\sqrt{\lambda}}{4\pi}$ . This makes it possible to write the determinant of  $\mathcal{M}_N$  as

$$\det \mathcal{M}_N = \oint \prod_{i=1}^{N+1} \frac{dx_i}{2\pi i} e^{2g\theta(x_i - \frac{1}{x_i})} \sinh\left(2\pi g\left(x_i + \frac{1}{x_i}\right)\right) \times \det X, \quad (4.42)$$

where

$$\det X = \begin{vmatrix} x_1^{-2} & x_1^{-1} & \dots & x_1^{N-1} & x_1^{N-2} \\ x_2^{-3} & x_2^{-2} & \dots & x_2^N & x_2^{N-1} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ x_N^{-N-1} & x_N^{-N} & \dots & x_N^{-2} & x_N^{-1} \\ x_{N+1}^{-N-2} & x_{N+1}^{-N-1} & \dots & x_{N+1}^{-3} & x_{N+1}^{-2} \end{vmatrix} = \frac{\prod_{i < j}^{N+1} (x_i - x_j)}{\prod_{i=1}^{N+1} x_i^{i+1}}, \quad (4.43)$$

and we recognize the numerator as the Vandermonde determinant  $\Delta(x_i)$ . We can further simplify the final result by anti-symmetrizing the denominator, which we can do

because everything else in the integrand is anti-symmetric and the integration measure is symmetric w.r.t  $x_i$ , thus under the integral we can replace  $\det X$  by

$$\det X' = \frac{\Delta^2(x_i)}{(N+1)!} \prod_{i=1}^{N+1} \frac{1}{x_i^{N+2}}. \quad (4.44)$$

Thus finally we get the following expression

$$\det \mathcal{M}_N = \frac{1}{(2\pi i)^{N+1}} \oint \prod_{i=1}^{N+1} \frac{dx_i}{x_i^{N+2}} \frac{\Delta^2(x_i)}{(N+1)!} \sinh(2\pi g(x_i + 1/x_i)) e^{2g\theta(x_i - 1/x_i)}, \quad (4.45)$$

which indeed has the structure of a partition function of some matrix model<sup>4</sup>. It now becomes a matter of simple algebra to convince oneself that the cusp anomalous dimension (??) can be written in terms of expectation values in this matrix model, namely

$$\Gamma_L(g) = g \frac{\phi - \theta}{2} \left[ \left\langle \sum_{i=1}^{2L+1} \left( x_i - \frac{1}{x_i} \right) \right\rangle_{2L+1} - \left\langle \sum_{i=1}^{2L-1} \left( x_i - \frac{1}{x_i} \right) \right\rangle_{2L-1} \right], \quad (4.46)$$

where  $\langle \dots \rangle_N$  denotes the normalized expectation value in the matrix model of size  $N$  with the partition function defined in (4.45). Note that this formula is exact and we have not yet taken any limits.

In this section we will explore the classical  $L \sim \sqrt{\lambda} \rightarrow \infty$  limit of the matrix model (4.45). As usual in matrix models, when the size of matrices becomes large, the partition function is dominated by the solution of the saddle point equations. In the leading order it is just equal to the value of the integrand at the saddle point. Here we work in this approximation, leaving the corrections (beyond the first one calculated in section ??) for future work.

The partition function (4.45) can be recast in the form <sup>5</sup>

$$\det \mathcal{M}_{2L} = \frac{1}{(2\pi i)^{2L+1}} \frac{1}{(2L+1)!} \oint \prod_{i=1}^{2L+1} dx_i e^{-S(x_1, x_2, \dots, x_{2L+1})}, \quad (4.47)$$

where the action is given by

$$\begin{aligned} S = & \sum_{i=1}^{2L+1} \left[ 2g\theta \left( x_i - \frac{1}{x_i} \right) - (2L+2) \log x_i \right] + 2 \sum_{i < j}^{2L+1} \log(x_i - x_j) + \\ & + \sum_{i=1}^{2L+1} \log \sinh \left( 2\pi g \left( x_i + \frac{1}{x_i} \right) \right). \end{aligned} \quad (4.48)$$

<sup>4</sup>Namely, it is equal to the partition function of a two-matrix model. We thank I.Kostov for discussions related to this question.

<sup>5</sup>we take  $N = 2L$ .

The saddle point equations  $\partial S/\partial x_j = 0$  now read<sup>6</sup>

$$g\theta \left(1 + \frac{1}{x_j^2}\right) - \frac{L}{x_j} + \sum_{i \neq j}^{2L+1} \frac{1}{x_j - x_i} + \pi g \left(1 - \frac{1}{x_j^2}\right) \coth \left(2\pi g \left(x_j + \frac{1}{x_j}\right)\right) = 0. \quad (4.49)$$

We can further simplify them by noting that a large coupling constant  $g$  appears inside the cotangent and since the roots  $x_i$  are expected to be of order 1, with exponential precision it is possible to replace

$$\coth \left(2\pi g \left(x_j + \frac{1}{x_j}\right)\right) \approx \text{sgn}(\text{Re}(x_j)). \quad (4.50)$$

Finally we bring the equations to a more canonical and convenient form and get the following result,

$$-\theta \frac{x_j^2 + 1}{x_j^2 - 1} + \frac{L}{g} \frac{x_j}{x_j^2 - 1} - \frac{1}{g} \frac{x_j^2}{x_j^2 - 1} \sum_{i \neq j}^{2L+1} \frac{1}{x_j - x_i} = \pi \text{sgn}(\text{Re}(x_j)). \quad (4.51)$$

An alternative way of finding these values  $x_i$  is to consider the following quantity  $P_L(x)$ , which played an important role in [46],

$$P_L(x) = \frac{1}{\det \mathcal{M}_{2L}} \begin{vmatrix} I_1^\theta & I_0^\theta & \cdots & I_{2-2L}^\theta & I_{1-2L}^\theta \\ I_2^\theta & I_1^\theta & \cdots & I_{3-2L}^\theta & I_{2-2L}^\theta \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ I_{2L}^\theta & I_{2L-1}^\theta & \cdots & I_1^\theta & I_0^\theta \\ x^{-L} & x^{1-L} & \cdots & x^{L-1} & x^L \end{vmatrix}. \quad (4.52)$$

The numerator is the same as  $\det \mathcal{M}_{2L}$  except in the last line  $x_{2L+1}$  is replaced by  $x$  which is not integrated over. In the classical limit all integrals are saturated by their saddle point values, i.e. one can remove the integrals by simply replacing  $x_i \rightarrow x_i^{cl}$ . If we replace  $x$  with any saddle point value  $x_i^{cl}$  the determinant will contain two identical rows and will automatically become zero, thus the zeros of  $P_L(x)$  are the saddle point values. On the complex plane they are distributed on two arcs as shown in figure 9. As expected, for the case  $\theta = 0$  we recover two symmetric arcs on the unit circle [46].

Now, following [117],[83],[46], we introduce the quasimomentum  $p(x)$  as

$$p(x) = -\theta \frac{x^2 + 1}{x^2 - 1} + \frac{L}{g} \frac{x}{x^2 - 1} - \frac{2L}{g} \frac{x^2}{x^2 - 1} G_L(x), \quad (4.53)$$

where the resolvent  $G_L(x)$  is

$$G_L(x) = \frac{1}{2L} \sum_{k=1}^{2L+1} \frac{1}{x - x_k}. \quad (4.54)$$

---

<sup>6</sup>Technically the  $x_j^{-1}$  term has a coefficient of  $L + 1$ , but since we are taking  $L \rightarrow \infty$  we chose to neglect it for simplicity.

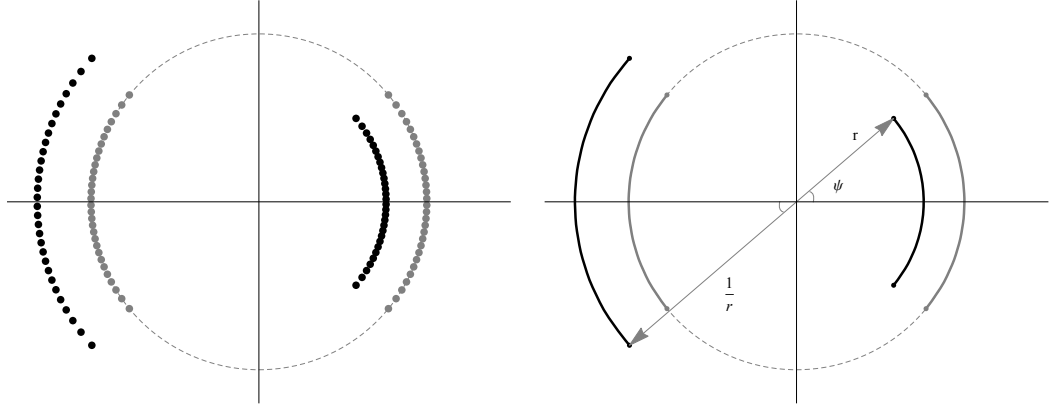


Figure 9: Distribution of roots on the complex plane for  $\theta = 0$  (gray) and  $\theta = 1$  (black) on the left and the condensation of the roots to corresponding smooth cuts on the right with the algebraic curve parameters  $r$  and  $\psi$  identified. The dashed circle is the unit circle.

The motivation for introducing  $p(x)$  is that the saddle point equations (4.51) expressed through  $p(x)$  take a very simple form

$$\frac{1}{2} (p(x_i + i\epsilon) + p(x_i - i\epsilon)) = \pi \operatorname{sgn}(\operatorname{Re}(x_i)). \quad (4.55)$$

In the classical limit the poles in the quasimomentum condense and form two cuts. The shifts  $\pm i\epsilon$  in the equation above refer to taking the argument of the quasimomentum to one or the other side of the cut.

The quasimomentum (4.53) introduced in the previous section is a convenient object to consider when taking the classical limit  $L \sim \sqrt{\lambda} \rightarrow \infty$ , because in this limit it is related to the algebraic curve of the corresponding classical solution. In this section we will construct this curve explicitly.

In the classical limit the poles of  $p(x)$ , which we denote as  $x_i$ , are governed by the saddle-point equation and condense on two cuts in the complex plane, as shown in figure 9. The saddle-point equation (4.51) has a symmetry  $x \rightarrow -1/x$ , so does the set of poles  $x_i$ . For the quasimomentum (4.53) this symmetry manifests as the identity  $p(x) = -p(-1/x)$ . Thus we conclude that the two cuts are related by an  $x \rightarrow -1/x$  transformation. This and the invariance of the saddle-point equation under complex conjugation implies that the four branch points can be parameterized as  $\{r e^{i\psi}, r e^{-i\psi}, -1/r e^{i\psi}, -1/r e^{-i\psi}\}$ . Note that in the case  $\theta = 0$  the symmetry is enhanced to  $p(x) = -p(-x)$  and  $p(1/x) = p(x)$ , which is not true for arbitrary  $\theta$ .

The crucial point to notice is that while  $p(x)$  satisfies the equation (4.55) which has

different constants on the right hand side for the two different cuts, the corresponding equation for  $p'(x)$  has a zero on the right hand side for both cuts, thus we expect  $p'(x)$  to have a simpler form than  $p(x)$ . Our strategy is to write down an ansatz for the derivative  $p'(x)$  using the symmetries and analytical properties of  $p(x)$  and then integrate it. The form of the expression we get is analogous to the curve constructed in [21], which also helps us to construct the ansatz.

First,  $p(x)$  has four branch points and according to (4.55) its derivative changes sign on each cut, hence all the cuts are of square-root type. One can write  $p'(x) \propto 1/y(x)$ , where

$$y(x) = \sqrt{x - re^{i\psi}} \sqrt{x - re^{-i\psi}} \sqrt{x + \frac{1}{r}e^{i\psi}} \sqrt{x + \frac{1}{r}e^{-i\psi}}. \quad (4.56)$$

Second, since the algebraic curve is obtained from (4.53) in the classical limit,  $p(x)$  should have simple poles at  $x = \pm 1$ . Finally, from (4.53) we can get the behaviour at infinity:

$$p'(x) \approx \frac{L}{g} \frac{1}{x^2} + \mathcal{O}\left(\frac{1}{x^3}\right). \quad (4.57)$$

By using the knowledge about these singularities and asymptotics we can fix  $p(x)$  completely. Based on what we know up to now we write down our ansatz for the derivative

$$p'(x) = \frac{A_1 x^4 + A_2 x^3 + A_3 x^2 + A_4 x + A_5}{(x^2 - 1)^2 \sqrt{x - re^{i\psi}} \sqrt{x - re^{-i\psi}} \sqrt{x + \frac{1}{r}e^{i\psi}} \sqrt{x + \frac{1}{r}e^{-i\psi}}}. \quad (4.58)$$

The polynomial in the numerator is of order four in order to maintain the correct asymptotics, and below we fix its coefficients using the properties of the quasimomentum.<sup>7</sup>

The  $x \rightarrow -1/x$  symmetry for the derivative implies that  $A_1 = A_5$  and  $A_2 = -A_4$ . Next, simple poles at  $x = \pm 1$  in  $p(x)$  require zero residues of  $p'(x)$  at  $x = \pm 1$ , which fixes  $A_2$  to be

$$A_2 = -\frac{(2A_1 + A_3)r(r^2 - 1)\cos\psi}{r^4 - 2r^2\cos 2\psi + 1}. \quad (4.59)$$

We fix the two remaining unknowns  $A_1$  and  $A_3$  after integrating the  $p'(x)$ . We don't write the intermediate results of the integration as the expressions are enormous without any apparent structure. Looking back at (4.55) we see that at the branchpoints

$$p(x_{bp}) = \pm\pi. \quad (4.60)$$

---

<sup>7</sup>Comparing with the asymptotic one can immediately see that  $A_1 = L/g$ , however our objective is to express  $p(x)$  solely in terms of  $r$  and  $\psi$ , which parameterize the algebraic curve.

We use this condition to fix  $A_1$  and we get

$$A_1 = \frac{A_3}{2} \frac{K_1 - E_1}{E_1 + K_1 - 2a^2 K_1 \cos^2(\psi)}, \quad (4.61)$$

where

$$E_1 = \mathbb{E}(a^2 \sin^2(\psi)), \quad K_1 = \mathbb{K}(a^2 \sin^2(\psi)), \quad a = \frac{2r}{r^2 + 1}. \quad (4.62)$$

Finally we can use the  $x \rightarrow -1/x$  symmetry on the quasimomentum itself, as before we only used it on the derivative. Imposing the symmetry yields

$$A_3 = \frac{8}{a} (E_1 + K_1 - 2a^2 \cos^2(\psi) K_1). \quad (4.63)$$

As expected, after plugging these coefficients into  $p(x)$  (and using the identities from the Appendix ??) the whole expression simplifies enormously and we are left with our main result

$$p(x) = \pi - 4i E_1 \mathbb{F}_1(x) + 4i K_1 \mathbb{F}_2(x) - a \left( \frac{x + r e^{-i\psi}}{x + \frac{1}{r} e^{i\psi}} \right) \left( \frac{2/r e^{i\psi}}{x^2 - 1} \right) y(x) K_1, \quad (4.64)$$

where

$$\mathbb{F}_1(x) = \mathbb{F} \left( \sin^{-1} \sqrt{\left( \frac{x - r e^{-i\psi}}{x + \frac{1}{r} e^{i\psi}} \right) \left( \frac{e^{i\psi}}{i a r \sin \psi} \right)} \middle| a^2 \sin^2(\psi) \right), \quad (4.65)$$

$$\mathbb{F}_2(x) = \mathbb{E} \left( \sin^{-1} \sqrt{\left( \frac{x - r e^{-i\psi}}{x + \frac{1}{r} e^{i\psi}} \right) \left( \frac{e^{i\psi}}{i a r \sin \psi} \right)} \middle| a^2 \sin^2(\psi) \right). \quad (4.66)$$

We verified this result numerically by comparing it to the extrapolation of the discrete quasimomentum (4.53) at large  $L$  and got an agreement up to thirty digits. We also compared this expression at  $\theta = 0$  with the quasimomentum obtained in [46] and the expressions agree perfectly.

The resulting quasimomentum is parameterized in terms of the branchpoints, i.e. the parameters are the radius  $r$  and angle  $\psi$ . They are determined in terms of  $L/g$  and  $\theta$ , which are parameters of the matrix model. We already mentioned that  $L/g$  is simply the constant  $A_1$ , which we found to be

$$\frac{L}{g} = 4 \frac{K_1 - E_1}{a}, \quad (4.67)$$

and looking back at (4.53) we see that  $\theta = p(0) = -p(\infty)$ , hence

$$\begin{aligned} \theta &= -\pi + \frac{2a}{r} e^{i\psi} K_1 \\ &- 4i K_1 \mathbb{E} \left( \sin^{-1} \sqrt{\frac{e^{i\psi}}{ia r \sin \psi}} \middle| a^2 \sin^2(\psi) \right) \\ &+ 4i E_1 \mathbb{F} \left( \sin^{-1} \sqrt{\frac{e^{i\psi}}{ia r \sin \psi}} \middle| a^2 \sin^2(\psi) \right). \end{aligned} \quad (4.68)$$

In the next section the two equations above will be matched with two analogous equations following from the classical string equations of motion.

As we have mentioned before, in the classical  $L \sim \sqrt{\lambda} \rightarrow \infty$  limit  $\Gamma_L(\lambda)$  can be matched with the energy of an open string. In this section we will describe the corresponding string solution and find the classical energy.

The class of string solutions we are interested in was introduced in [45] and generalized in [46]. It is a string in  $AdS_3 \times S^3$  governed by the parameters  $\theta, \phi$ ,  $AdS_3$  charge  $E$  and  $S^3$  charge  $L$ ; the four parameters are restricted by the Virasoro constraint. The ansatz for the embedding coordinates of  $AdS^3$  and  $S^3$  is

$$y_1 + iy_2 = e^{i\kappa\tau} \sqrt{1 + r^2(\sigma)}, \quad y_3 + iy_4 = r(\sigma) e^{i\phi(\sigma)}, \quad (4.69)$$

$$x_1 + ix_2 = e^{i\gamma\tau} \sqrt{1 + \rho^2(\sigma)}, \quad x_3 + ix_4 = r(\sigma) e^{if(\sigma)}. \quad (4.70)$$

The range of the worldsheet coordinate is  $-s/2 < \sigma < s/2$ , where  $s$  is to be found dynamically. The angles  $\theta$  and  $\phi$  parameterizing the cusp enter the string solution through the boundary conditions  $\phi(\pm s/2) = \pm(\pi - \phi)/2$  and  $f(\pm s/2) = \pm\theta/2$ . The equations of motion and Virasoro constraints lead to the following system of equations (see Appendix E of [46] for more details, also [118]):

$$f(\gamma, l_\theta) = f(\kappa, l_\phi), \quad (4.71)$$

$$h(\gamma, l_\theta) = \theta, \quad h(\kappa, l_\phi) = \phi, \quad (4.72)$$

$$g(\gamma, l_\theta) = L, \quad g(\kappa, l_\phi) = E, \quad (4.73)$$



where

$$f(\gamma, l) = \frac{2\sqrt{2}}{\sqrt{\gamma^2 + k^2 + 1}} \mathbb{K} \left( \frac{-k^2 + \gamma^2 + 1}{k^2 + \gamma^2 + 1} \right), \quad (4.74)$$

$$h(\gamma, l) = \frac{2l}{k(1 + k^2 - \gamma^2)} \left[ (1 + \gamma^2 + k^2) \Pi \left( \frac{k^2 - 2l^2 - \gamma^2 + 1}{2k^2} \middle| \frac{k^2 - \gamma^2 - 1}{2k^2} \right) - 2\gamma^2 \mathbb{K} \left( \frac{k^2 - \gamma^2 - 1}{2k^2} \right) \right], \quad (4.75)$$

$$g(\gamma, l) = -2\sqrt{2} \frac{\sqrt{\gamma^2 + k^2 + 1}}{\gamma} \left[ \mathbb{E} \left( \frac{-k^2 + \gamma^2 + 1}{k^2 + \gamma^2 + 1} \right) - \mathbb{K} \left( \frac{-k^2 + \gamma^2 + 1}{k^2 + \gamma^2 + 1} \right) \right], \quad (4.76)$$

$$k^4 = \gamma^4 - 2\gamma^2 + 4\gamma^2 l^2 + 1.$$

One can see that the variables  $\theta, l_\theta, \gamma$  and  $L$  are responsible for the  $S^3$  part of the solution, while  $\phi, l_\phi, \kappa$  and  $E$  are their analogues for  $AdS_3$ . The two parts of the solution are connected only by the Virasoro condition which leads to (4.71). We are interested in the limit when  $\theta \approx \phi$ . In this limit the two groups of variables responsible for  $S^3$  and  $AdS_3$  parts of the solution become close to each other, namely  $l_\theta \approx l_\phi$  and  $E \approx L$ . The cusp anomalous dimension should be compared with the difference  $E - L$ , because  $L$  is the classical part of the dimension of the observable  $W_L$ . To find  $E - L$  we linearize the system (4.74),(4.75),(4.76) around  $\phi \approx \theta$ , which yields

$$E - L = (\phi - \theta) \left| \frac{\partial(g, f)}{\partial(l, \kappa)} \right| / \left| \frac{\partial(h, f)}{\partial(l, \kappa)} \right|. \quad (4.77)$$

Plugging in here the explicit form of  $g, f$  and  $h$  one gets as a result an extremely complicated expression with a lot of elliptic functions. However, there exists a parametrization in which the result looks surprisingly simple: this parametrization comes from comparison of the string conserved charges with the corresponding quantities of the algebraic curve. One can notice that the equations for  $\theta$  and  $L/g$  in the end of the last section have the same structure as the equations (4.72) and (4.73). Indeed, it is possible to match them precisely if one chooses the correct identification of parameters of the string solution  $l_\theta, \gamma$  with the parameters of the algebraic curve  $r, \psi$ . We used the elliptic identities presented in the appendix ?? to bring the equations to identical form after the following identifications

$$\gamma = \frac{2r}{\sqrt{r^4 - 2r^2 \cos 2\psi + 1}}, \quad l_\theta = \frac{(r^2 - 1) \cos \psi}{\sqrt{r^4 - 2r^2 \cos 2\psi + 1}}. \quad (4.78)$$

As another confirmation of correctness of this identification, after plugging it into (4.77) the complicated expression reduces to the following simple formula for the classical energy

$$E - L = g(\phi - \theta)(r - 1/r) \cos \psi. \quad (4.79)$$

Notice that this can be rewritten as a sum over the branch points of the algebraic curve

$$E - L = \frac{g}{2}(\phi - \theta) \sum_i a_i, \quad (4.80)$$

where  $a_i = \{r e^{i\psi}, r e^{-i\psi}, -1/r e^{i\psi}, -1/r e^{-i\psi}\}$ .

In this section we will find the classical limit of the cusp anomalous dimension from the algebraic curve. At large  $L$  the formula (??) can be rewritten as

$$\Gamma_L(g) = \frac{\phi - \theta}{4} \partial_\theta \partial_L \det \mathcal{M}_{2L}. \quad (4.81)$$

Use the integral representation (4.45) for  $\det \mathcal{M}_L$  we can notice that

$$\partial_\theta \log \det \mathcal{M}_L = \left\langle 2g \sum_{i=1}^{2L} (x_i - 1/x_i) \right\rangle, \quad (4.82)$$

where by the angular brackets we denoted an expectation value in the matrix model with the partition function (4.45). In the quasiclassical approximation the expectation value is determined by the saddle-point, i.e. the previous expression is equal to  $2g \sum_{i=1}^{2L} (x_i - 1/x_i)$ , where the roots  $x_i$  are the solutions of the saddle-point equation (4.51). Since the set of the roots has a  $x \rightarrow -1/x$  symmetry, the two terms in the sum give the same contribution. Thus

$$\partial_\theta \log \det \mathcal{M}_L = -4g \sum_{i=1}^{2L} \frac{1}{x_i} = 8g L G(0), \quad (4.83)$$

where we used the resolvent (4.54).

Using the relation (4.53) between the resolvent and the quasimomentum we find  $G(0) = \frac{g}{L} (p''(0)/4 - \theta)$ , so the final expression for the cusp anomalous dimension in terms of the quasimomentum is

$$\Gamma_L(g) = -\frac{g^2}{2} \partial_L p_L''(0). \quad (4.84)$$

The formula for  $p(x)$  presented in the previous section is given in terms of the parameters of the branch points  $r$  and  $\psi$ . They are implicitly defined through  $L/g$  and  $\theta$  by the equations (4.67) and (4.68). In order to get  $\Gamma_L$  we express  $\partial_L$  through  $\partial_r$  and  $\partial_\psi$  and then apply (4.84) to (4.64). Finally we obtain a very simple result in terms of  $r$  and  $\psi$

$$\Gamma_L(g) = g(\phi - \theta) (r - 1/r) \cos \psi \quad (4.85)$$

which exactly coincides with the calculation from the string solution!

Here we will check our formula (4.85) in the limit  $\phi = 0$  and  $\theta \rightarrow 0$  considered in section E.2 of [46]. As the angles go to zero, the branch points approach the unit circle:  $r \rightarrow 1$ , thus the formula (4.85) gives

$$\Gamma_L(g) = 2g\theta(r-1)\cos\psi. \quad (4.86)$$

In this limit  $r-1 \propto \theta$ , and the coefficient of proportionality can be found by expanding<sup>8</sup> the equation (4.68) for  $\theta$  around  $r = 1$ :

$$2(1-r)\frac{\mathbb{E}(\sin^2\psi)}{\cos\psi} = \theta/2. \quad (4.87)$$

Plugging it into the formula above we get

$$\Gamma_L(g) = g\theta^2 \frac{\cos^2\psi}{2\mathbb{E}(\sin^2\psi)} \quad (4.88)$$

which perfectly agrees with (190) of [46].

Now that the classical limit of the cusp anomalous dimension is calculated, we can consider corrections to it. In the limit  $L \sim \sqrt{\lambda} \rightarrow \infty$  which we are studying here the perturbative expansion around the classical value can be written as

$$\Gamma_L(g) = \sum_{n=0}^{\infty} g^{1-n} b_n(L/g) + \text{non-perturbative terms}. \quad (4.89)$$

The classical energy is  $g b_0(L/g)$  and other corrections are suppressed by powers of  $g$ . A symmetry of the formula for  $\Gamma_L(g)$  found in [119] allows one to express the even terms in the expansion (4.89) through the odd ones and the other way round. In particular,  $b_1$  can be obtained from  $b_0$  by differentiating with respect to  $L/g$ . Since the classical energy is

$$\Gamma_L^{cl}(g) = g(\phi - \theta)(r - 1/r)\cos\psi \quad (4.90)$$

by differentiating it with respect to  $L/g$  we find that the perturbative part of energy in the first two orders in the classical expansion is

$$\Gamma_L(g) = g(\phi - \theta)(r - 1/r)\cos\psi \left(1 + \frac{1}{g}f(r, \psi)\right), \quad (4.91)$$

where

$$f(r, \psi) = \frac{r + 1/r}{4} \frac{|r^2 e^{2i\psi} + 1|^2 K_1 - r^2 |r + \frac{1}{r} + e^{i\psi} - e^{-i\psi}|^2 E_1}{|(r + \frac{1}{r})(r^2 e^{2i\psi} - 1)E_1 - (r - \frac{1}{r})(r^2 e^{2i\psi} + 1)K_1|^2}, \quad (4.92)$$

---

<sup>8</sup>The equation (4.68) is written in the approximation  $\phi \approx \theta$  and now on the top of it we want to take a limit  $\theta \rightarrow 0$ . Since before we have neglected the terms  $\mathcal{O}(\theta - \phi)^2$ , the result, which is now of the order  $\mathcal{O}(\theta)^2$  will not generally be reproduced. However, we found that here and in several other formulas correct small angle limit is reproduced if before taking  $\theta, \phi$  to zero we replace  $\theta$  and  $\phi$  by the middle angle  $\phi_0 = \frac{\phi + \theta}{2}$ , which is in our case equal to  $\theta/2$ .

and  $E_1, K_1$  are defined in (4.62). We have checked this formula and the classical energy (4.79) against a numerical extrapolation of the exact expression (??) and found an agreement up to more than thirty digits.

#### 4.4 Revisiting the slope function

In this section we will find the solution of the  $\mathbf{P}\mu$ -system (4.12)-(4.16) corresponding to the  $sl(2)$  sector operators at leading order in small  $S$ . Based on this solution we will compute the slope function  $\gamma^{(1)}(g)$  for any value of the coupling.

The solution of the  $\mathbf{P}\mu$ -system is a little simpler for even  $J$ , because for odd  $J$  extra branch points at infinity will appear in  $\mathbf{P}_a$  due to the asymptotics (4.21). Let us first consider the even  $J$  case.

The description of the  $\mathbf{P}\mu$ -system in the previous section was done for physical operators. Our goal is to take some peculiar limit when the (integer) number of covariant derivatives  $S$  goes to zero. As we will see this requires some extension of the asymptotic requirement for  $\mu$  functions. In this section we will be guided by principles of naturalness and simplicity to deduce these modifications which we will summarize in section ???. There we also give a concrete prescription for analytical continuation in  $S$ , which we then use to derive the curvature function.

We will start by finding  $\mu_{ab}$ . Recalling that  $\Delta = J + \mathcal{O}(S)$ , from (4.23), (4.24) we see that  $A_1A_4$  and  $A_2A_3$  are of order  $S$  for small  $S$ , so we can take the functions  $\mathbf{P}_a$  to be of order  $\sqrt{S}$ . This is a key simplification, because now (4.13) indicates that the discontinuities of  $\mu_{ab}$  on the cut are small when  $S$  goes to zero. Thus at leading order in  $S$  all  $\mu_{ab}$  are just periodic entire functions without cuts. For power-like asymptotics of  $\mu_{ab}$  like in (4.22) the only possibility is that they are all constants. However, we found that in this case there is only a trivial solution, i.e.  $\mathbf{P}_a$  can only be zero. The reason for this is that for physical states  $S$  must be integer and thus cannot be arbitrarily small, nevertheless, it is a sensible question how to define an analytical continuation from integer values of  $S$ .<sup>9</sup>

Thus we have to relax the requirement of power-like behavior at infinity. The first possibility is to allow for  $e^{2\pi u}$  asymptotics at  $u \rightarrow +\infty$ . We should, however, remember about the constraints (4.15) and (4.16) which restrict our choice and the fact that we

<sup>9</sup>Restricting the large positive  $S$  behavior one can achieve uniqueness of the continuation.

can also use  $\gamma$ -symmetry. Let us show that by allowing  $\mu_{24}$  to have exponential behavior and setting it to  $\mu_{24} = C \sinh(2\pi u)$  we arrive to the correct result. We analyze the reason for this choice in detail in section ??.

To simplify the constant part of  $\mu_{ab}$  let us now make use of the  $\gamma$ -transformation, described in section ?. This allows us to set  $\mu_{12} = 1$ ,  $\mu_{34} = 0$  and the constant  $C$  to 1 then the constraint (4.15) imposes  $\mu_{13} = 0$  and  $\mu_{14} = -1$ .

Having fixed all  $\mu$ 's at leading order we get the following system of equations for  $\mathbf{P}_a$ :

$$\tilde{\mathbf{P}}_1 = -\mathbf{P}_3 + \mathbf{P}_1, \quad (4.93)$$

$$\tilde{\mathbf{P}}_2 = -\mathbf{P}_4 - \mathbf{P}_2 - \mathbf{P}_1 \sinh(2\pi u), \quad (4.94)$$

$$\tilde{\mathbf{P}}_3 = -\mathbf{P}_3, \quad (4.95)$$

$$\tilde{\mathbf{P}}_4 = +\mathbf{P}_4 + \mathbf{P}_3 \sinh(2\pi u). \quad (4.96)$$

Recalling that the functions  $\mathbf{P}_a$  only have a single short cut, we see from these equations that  $\tilde{\mathbf{P}}_a$  also have only this cut! This means that we can take all  $\mathbf{P}_a$  to be infinite Laurent series in the Zhukovsky variable  $x(u)$ , which rationalizes the Riemann surface with two sheets and one cut. It is defined as

$$x + \frac{1}{x} = \frac{u}{g} \quad (4.97)$$

where we pick the solution with a short cut, i.e.

$$x(u) = \frac{1}{2} \left( \frac{u}{g} + \sqrt{\frac{u}{g} - 2} \sqrt{\frac{u}{g} + 2} \right). \quad (4.98)$$

Solving the equations (4.94) and (4.95) with the asymptotics (4.21) we uniquely fix  $\mathbf{P}_1 = \epsilon x^{-J/2}$  and  $\mathbf{P}_3 = \epsilon (x^{-J/2} - x^{+J/2})$ , where  $\epsilon$  is a constant yet to be fixed; we expect it to be proportional to  $\sqrt{S}$ . Thus the equations (4.94) and (4.96) become

$$\tilde{\mathbf{P}}_2 + \mathbf{P}_2 = -\mathbf{P}_4 - \epsilon x^{-J/2} \sinh(2\pi u), \quad (4.99)$$

$$\tilde{\mathbf{P}}_4 - \mathbf{P}_4 = \epsilon (x^{-J/2} - x^{+J/2}) \sinh(2\pi u). \quad (4.100)$$

We will first solve the second equation. It is useful to introduce operations  $[f(x)]_+$  and  $[f(x)]_-$ , which take parts of Laurent series with positive and negative powers of  $x$  respectively. Taking into account that

$$\sinh(2\pi u) = \sum_{n=-\infty}^{\infty} I_{2n+1} x^{2n+1}, \quad (4.101)$$

where  $I_k \equiv I_k(4\pi g)$  is the modified Bessel function of the first kind, we can write  $\sinh(2\pi u)$  as

$$\sinh(2\pi u) = \sinh_+ + \sinh_-, \quad (4.102)$$

where explicitly

$$\sinh_+ = [\sinh(2\pi u)]_+ = \sum_{n=1}^{\infty} I_{2n-1} x^{2n-1} \quad (4.103)$$

$$\sinh_- = [\sinh(2\pi u)]_- = \sum_{n=1}^{\infty} I_{2n-1} x^{-2n+1}. \quad (4.104)$$

We now take the following ansatz for  $\mathbf{P}_4$

$$\mathbf{P}_4 = \epsilon(x^{J/2} - x^{-J/2}) \sinh_- + Q_{J/2-1}(u), \quad (4.105)$$

where  $Q_{J/2-1}$  is a polynomial of degree  $J/2 - 1$  in  $u$ . It is easy to see that this ansatz solves (4.100) and has correct asymptotics. The polynomial  $Q_{J/2-1}$  can be fixed from the equation (4.99) for  $\mathbf{P}_2$ . Indeed, from the asymptotics of  $\mathbf{P}_2$  we see that the lhs of (4.99) does not have powers of  $x$  from  $-J/2 + 1$  to  $J/2 - 1$ . This fixes

$$Q_{J/2-1}(x) = -\epsilon \sum_{k=1}^{J/2} I_{2k-1} \left( x^{\frac{J}{2}-2k+1} + x^{-\frac{J}{2}+2k-1} \right). \quad (4.106)$$

Once  $Q_{J/2-1}$  is found, we set  $\mathbf{P}_2$  to be the part of the right hand side of (4.99) with powers of  $x$  less than  $-J/2$ , which gives

$$\mathbf{P}_2 = -\epsilon x^{+J/2} \sum_{n=\frac{J}{2}+1}^{\infty} I_{2n-1} x^{1-2n}. \quad (4.107)$$

This completes the solution for even  $J$ , we summarize it below:

$$\mu_{12} = 1, \mu_{13} = 0, \mu_{14} = -1, \mu_{24} = \sinh(2\pi u), \mu_{34} = 0, \quad (4.108)$$

$$\mathbf{P}_1 = \epsilon x^{-J/2} \quad (4.109)$$

$$\mathbf{P}_2 = -\epsilon x^{+J/2} \sum_{n=J/2+1}^{\infty} I_{2n-1} x^{1-2n} \quad (4.110)$$

$$\mathbf{P}_3 = \epsilon \left( x^{-J/2} - x^{+J/2} \right) \quad (4.111)$$

$$\mathbf{P}_4 = \epsilon \left( x^{J/2} - x^{-J/2} \right) \sinh_- - \epsilon \sum_{n=1}^{J/2} I_{2n-1} \left( x^{\frac{J}{2}-2n+1} + x^{-\frac{J}{2}+2n-1} \right). \quad (4.112)$$

In the next section we fix the remaining parameter  $\epsilon$  of the solution in terms of  $S$  and find the energy, but now let us briefly discuss the solution for odd  $J$ . As we mentioned

above the main difference is that the functions  $\mathbf{P}_a$  now have a branch point at  $u = \infty$ , which is dictated by the asymptotics (4.21). In addition, the parity of  $\mu_{ab}$  is different according to the asymptotics of these functions (4.22). The solution is still very similar to the even  $J$  case, and we discuss it in detail in Appendix B. Let us present the result here:

$$\mu_{12} = 1, \mu_{13} = 0, \mu_{14} = 0, \mu_{24} = \cosh(2\pi u), \mu_{34} = 1 \quad (4.113)$$

$$\mathbf{P}_1 = \epsilon x^{-J/2}, \quad (4.114)$$

$$\mathbf{P}_2 = -\epsilon x^{J/2} \sum_{k=-\infty}^{-\frac{J+1}{2}} I_{2k} x^{2k}, \quad (4.115)$$

$$\mathbf{P}_3 = -\epsilon x^{J/2}, \quad (4.116)$$

$$\mathbf{P}_4 = \epsilon x^{-J/2} \cosh_- - \epsilon x^{-J/2} \sum_{k=1}^{\frac{J-1}{2}} I_{2k} x^{2k} - \epsilon I_0 x^{-J/2}. \quad (4.117)$$

Note that now  $\mathbf{P}_a$  include half-integer powers of  $x$ .

**Fixing the global charges of the solution.** Finally, to fix our solution completely we have to find the value of  $\epsilon$  and find the energy in terms of the spin using (??) and (4.24). For this we first extract the coefficients  $A_a$  of the leading terms for all  $\mathbf{P}_a$  (see the asymptotics (4.21)). From (4.109)-(4.112) or (4.114)-(4.117) we get

$$A_1 = g^{J/2} \epsilon, \quad (4.118)$$

$$A_2 = -g^{J/2+1} \epsilon I_{J+1}, \quad (4.119)$$

$$A_3 = -g^{-J/2} \epsilon, \quad (4.120)$$

$$A_4 = -g^{-J/2+1} \epsilon I_{J-1}. \quad (4.121)$$

Expanding (4.23), (4.24) at small  $S$  with  $\Delta = J + S + \gamma$ , where  $\gamma = \mathcal{O}(S)$ , we find at linear order

$$\gamma = i(A_1 A_4 - A_2 A_3) \quad (4.122)$$

$$S = i(A_1 A_4 + A_2 A_3). \quad (4.123)$$

Plugging in the coefficients (4.118)-(4.121) we find that

$$\epsilon = \sqrt{\frac{2\pi i S}{J I_J(\sqrt{\lambda})}} \quad (4.124)$$

and we obtain the anomalous dimension at leading order,

$$\gamma = \frac{\sqrt{\lambda} I_{J+1}(\sqrt{\lambda})}{J I_J(\sqrt{\lambda})} S + \mathcal{O}(S^2), \quad (4.125)$$

which is precisely the slope function of Basso [80].

While the above discussion concerned the ground state, i.e. the  $sl(2)$  sector operator with the lowest anomalous dimension at given twist  $J$ , it can be generalized for higher mode numbers. In the asymptotic Bethe ansatz for such operators we have two symmetric cuts formed by Bethe roots, with corresponding mode numbers being  $\pm n$  (for the ground state  $n = 1$ ). To describe these operators within the  $\mathbf{P}\mu$ -system we found that we should take  $\mu_{24} = C \sinh(2\pi n u)$  instead of  $\mu_{24} = C \sinh(2\pi u)$  (and for odd  $J$  we similarly use  $\mu_{24} = C \cosh(2\pi n u)$  instead of  $\mu_{24} = C \cosh(2\pi u)$ ). Then the solution is very similar to the one above, and we find

$$\gamma = \frac{n\sqrt{\lambda}I_{J+1}(n\sqrt{\lambda})}{JI_J(n\sqrt{\lambda})}S, \quad (4.126)$$

which reproduced the result of [80] for non-trivial mode number  $n$ . In Appendix E.1 we also show how using the  $\mathbf{P}\mu$ -system one can reproduce the slope function for a configuration of Bethe roots with arbitrary mode numbers and filling fractions.

In summary, we have shown how the  $\mathbf{P}\mu$ -system correctly computes the energy at linear order in  $S$ . In section ?? we will compute the next,  $S^2$  term in the anomalous dimension.

## 4.5 The curvature function

In this section we use the  $\mathbf{P}\mu$ -system to compute the  $S^2$  correction to the anomalous dimension, which we call the curvature function  $\gamma^{(2)}(g)$ . First we will discuss the case  $J = 2$  in detail and then describe the modifications of the solution for the cases  $J = 3$  and  $J = 4$ , more details on which can be found in appendix C.

### 4.5.1 Iterative procedure for the small $S$ expansion of the $\mathbf{P}\mu$ -system

For convenience let us repeat the leading order solution of the  $\mathbf{P}\mu$ -system for  $J = 2$  (see (4.108)-(4.112))

$$\mathbf{P}_1^{(0)} = \epsilon \frac{1}{x} \quad , \quad \mathbf{P}_2^{(0)} = +\epsilon I_1 - \epsilon x [\sinh(2\pi u)]_- \quad , \quad (4.127)$$

$$\mathbf{P}_3^{(0)} = \epsilon \left( \frac{1}{x} - x \right) \quad , \quad \mathbf{P}_4^{(0)} = -2\epsilon I_1 - \epsilon \left( \frac{1}{x} - x \right) [\sinh(2\pi u)]_- \quad . \quad (4.128)$$

Here  $\epsilon$  is a small parameter, proportional to  $\sqrt{S}$  (see (4.124)), and by  $\mathbf{P}_a^{(0)}$  we denote the  $\mathbf{P}_a$  functions at leading order in  $\epsilon$ .



The key observation is that the  $\mathbf{P}\mu$ -system can be solved iteratively order by order in  $\epsilon$ . Let us write  $\mathbf{P}_a$  and  $\mu_{ab}$  as an expansion in this small parameter:

$$\mathbf{P}_a = \epsilon \mathbf{P}_a^{(0)} + \epsilon^3 \mathbf{P}_a^{(1)} + \epsilon^5 \mathbf{P}_a^{(2)} + \dots \quad (4.129)$$

$$\mu_{ab} = \mu_{ab}^{(0)} + \epsilon^2 \mu_{ab}^{(1)} + \epsilon^4 \mu_{ab}^{(2)} + \dots \quad (4.130)$$

This structure of the expansion is dictated by the equations (4.12), (4.13) of the  $\mathbf{P}\mu$ -system (as we will soon see explicitly). Since the leading order  $\mathbf{P}_a$  are of order  $\epsilon$ , equation (4.13) implies that the discontinuity of  $\mu_{ab}$  on the cut is of order  $\epsilon^2$ . Thus to find  $\mu_{ab}$  in the next to leading order (NLO) we only need the functions  $\mathbf{P}_a$  at leading order. After this, we can find the NLO correction to  $\mathbf{P}_a$  from equations (4.13). This will be done below, and having thus the full solution of the  $\mathbf{P}\mu$ -system at NLO we will find the energy at order  $S^2$ .

#### 4.5.2 Correcting $\mu_{ab} \dots$

In this subsection we find the NLO corrections  $\mu_{ab}^{(1)}$  to  $\mu_{ab}$ . As follows from (4.13) and (4.14), they should satisfy the equation

$$\mu_{ab}^{(1)}(u+i) - \mu_{ab}^{(1)}(u) = \mathbf{P}_a^{(0)} \tilde{\mathbf{P}}_b^{(0)} - \mathbf{P}_b^{(0)} \tilde{\mathbf{P}}_a^{(0)}, \quad (4.131)$$

in which the right hand is known explicitly. For that reason let us define an apparatus for solving equations of this type, i.e.

$$f(u+i) - f(u) = h(u). \quad (4.132)$$

More precisely, we consider functions  $f(u)$  and  $h(u)$  with one cut in  $u$  between  $-2g$  and  $2g$ , and no poles. Such functions can be represented as infinite Laurent series in the Zhukovsky variable  $x(u)$ , and we additionally restrict ourselves to the case where for  $h(u)$  this expansion does not have a constant term<sup>10</sup>.

One can see that the general solution of (??) has a form of a particular solution plus an arbitrary  $i$ -periodic function, which we also call a zero mode. First we will describe the construction of the particular solution and later deal with zero modes. The linear operator which gives the particular solution of (??) described below will be denoted as  $\Sigma$ .

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<sup>10</sup>The r.h.s. of (4.131) has the form  $F(u) - \tilde{F}(u)$  and therefore indeed does not have a constant term in its expansion, as the constant in  $F$  would cancel in the difference  $F(u) - \tilde{F}(u)$ .

Notice that given the explicit form (??) of  $\mathbf{P}_a^{(0)}$ , the right hand side of (4.131) can be represented in a form

$$\alpha(x) \sinh(2\pi u) + \beta(x), \quad (4.133)$$

where  $\alpha(x), \beta(x)$  are power series in  $x$  growing at infinity not faster than polynomially. Thus for such  $\alpha$  and  $\beta$  we define

$$\Sigma \cdot [\alpha(x) \sinh(2\pi u) + \beta(x)] \equiv \sinh(2\pi u) \Sigma \cdot \alpha(x) + \Sigma \cdot \beta(x). \quad (4.134)$$

We also define  $\Sigma \cdot x^{-n} = \Gamma' \cdot x^{-n}$  for  $n > 0$ , where the integral operator  $\Gamma'$  defined as

$$(\Gamma' \cdot h)(u) \equiv \oint_{-2g}^{2g} \frac{dv}{4\pi i} \partial_u \log \frac{\Gamma[i(u-v)+1]}{\Gamma[-i(u-v)]} h(v). \quad (4.135)$$

This requirement is consistent because of the following relation <sup>11</sup>

$$(\Gamma' \cdot h)(u+i) - (\Gamma' \cdot h)(u) = -\frac{1}{2\pi i} \oint_{-2g}^{2g} \frac{h(v)}{u-v} dv = h_-(u) - \widetilde{h}_+(u). \quad (4.136)$$

What is left is to define  $\Sigma$  on positive powers of  $x$ . We do it by requiring

$$\Sigma \cdot [x^a + 1/x^a] \equiv p'_a(u) \quad (4.137)$$

where  $p'_a(u)$  is a polynomial in  $u$  of degree  $a+1$ , which is a solution of

$$p'_a(u+i) - p'_a(u) = \frac{1}{2} (x^a + 1/x^a) \quad (4.138)$$

and satisfies the following additional properties:  $p'_a(0) = 0$  for odd  $a$  and  $p'_a(i/2) = 0$  for even  $a$ . One can check that this definition is consistent and defines  $p'_a(u)$  uniquely. Explicit form of the first few  $p'_a(u)$ , which we call periodized Chebyshev polynomials, can be found in appendix A.

From this definition of  $\Sigma$  one can see that the result of its action on expressions of the form (??) can again be represented in this form - what is important for us is that no exponential functions other than  $\sinh(2\pi u)$  appear in the result.

A good illustration of how the definitions above work would be the following two simple examples. Suppose one wants to calculate  $\Sigma \cdot (x - \frac{1}{x})$ , then it is convenient to split the argument of  $\Sigma$  in the following way:

$$\Sigma \cdot \left(x - \frac{1}{x}\right) = \Sigma \cdot \left(x + \frac{1}{x}\right) - 2\Sigma \cdot \frac{1}{x}. \quad (4.139)$$

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<sup>11</sup>We remind that  $f_+$  and  $f_-$  stand for the part of the Laurent expansion with, respectively, positive and negative powers of  $x$ , while  $\tilde{f}$  is the analytic continuation around the branch point at  $u = 2g$  (which amounts to replacing  $x \rightarrow \frac{1}{x}$ )

In the first term we recognize  $p'_1(u) = \frac{iu(u-i)}{2g}$ , whereas in the second the argument of  $\Sigma$  is decaying at infinity, thus  $\Sigma$  is equivalent to  $\Gamma'$  in this context. Notice also that  $\Gamma' \cdot \frac{1}{x} = -\Gamma' \cdot x$ . All together, we get

$$\Sigma \cdot \left(x - \frac{1}{x}\right) = \Sigma \cdot \left(x + \frac{1}{x}\right) - 2\Sigma \cdot \frac{1}{x} = 2p'_1(u) + 2\Gamma' \cdot x \quad (4.140)$$

In a similar way, in order to calculate  $\Sigma \cdot \frac{\sinh_- - \sinh_+}{2}$ , one can write  $\frac{\sinh_- - \sinh_+}{2} = \sinh_- - \frac{1}{2} \sinh(2\pi u)$ . Notice that since  $\sinh_-$  decays at infinity,

$$\Sigma \cdot \sinh_- = \Gamma' \cdot \sinh_- . \quad (4.141)$$

Also, since  $i$ -periodic functions can be factored out of  $\Sigma$ ,

$$\Sigma \cdot \sinh(2\pi u) = \sinh(2\pi u) \Sigma \cdot 1 = \sinh(2\pi u) p'_0(u)/2. \quad (4.142)$$

Finally,

$$\Sigma \cdot \frac{\sinh_- - \sinh_+}{2} = \Gamma' \cdot (\sinh_-) - \frac{1}{2} \sinh(2\pi u) p'_0(u). \quad (4.143)$$

As an example we present the particular solution for two components of  $\mu_{ab}$  (below we will argue that  $\pi_{12}$  and  $\pi_{13}$  can be chosen to be zero, see (4.152))

$$\begin{aligned} \mu_{13}^{(1)} - \pi_{13} &= \Sigma \cdot (\mathbf{P}_1 \tilde{\mathbf{P}}_3 - \mathbf{P}_3 \tilde{\mathbf{P}}_1) = \epsilon^2 \Sigma \cdot \left(x^2 - \frac{1}{x^2}\right) = \epsilon^2 (\Gamma' \cdot x^2 + p'_2(u)) \\ \mu_{12}^{(1)} - \pi_{12} &= \Sigma \cdot (\mathbf{P}_1 \tilde{\mathbf{P}}_2 - \mathbf{P}_2 \tilde{\mathbf{P}}_1) = \\ &= -\epsilon^2 \left[ 2I_1 \Gamma' \cdot x - \sinh(2\pi u) \Gamma' \cdot x^2 - \Gamma' \cdot \left( \sinh_- \left( x^2 + \frac{1}{x^2} \right) \right) \right]. \end{aligned} \quad (4.144, 4.145)$$

Now let us apply  $\Sigma$  defined above to (4.131), writing that its general solution is

$$\mu_{ab}^{(1)} = \Sigma \cdot (\mathbf{P}_a^{(0)} \tilde{\mathbf{P}}_b^{(0)} - \mathbf{P}_b^{(0)} \tilde{\mathbf{P}}_a^{(0)}) + \pi_{ab}, \quad (4.146)$$

where the zero mode  $\pi_{ab}$  is an arbitrary  $i$ -periodic entire function, which can be written similarly to the leading order as  $c_{1,ab} \cosh 2\pi u + c_{2,ab} \sinh 2\pi u + c_{3,ab}$ . Again, many of the coefficients  $c_{i,ab}$  can be set to zero. First, the prescription from section ?? implies that non-vanishing at infinity part of coefficients of  $\sinh(2\pi u)$  and  $\cosh(2\pi u)$  in  $\mu_{12}$  is zero. As one can see from the explicit form (??) of the particular solution which we choose for  $\mu_{12}$ , it does not contain  $\cosh(2\pi u)$  and the coefficient of  $\sinh(2\pi u)$  is decaying at infinity. So in order to satisfy the prescription, we have to set  $c_{2,12}$  and  $c_{2,12}$  to zero. Second, since the coefficients  $c_{n,ab}$  are of order  $S$ , we can remove some of them by making an infinitesimal  $\gamma$ -transformation, i.e. with  $R = 1 + \mathcal{O}(S)$  (see section

?? and Eq. (??). Further, the Pfaffian constraint (??) imposes 5 equations on the remaining coefficients, which leaves the following 2-parametric family of zero modes

$$\pi_{12} = 0, \pi_{13} = 0, \pi_{14} = \frac{1}{2}c_{1,34} \cosh 2\pi u, \quad (4.147)$$

$$\pi_{24} = c_{1,24} \cosh 2\pi u, \pi_{34} = c_{1,34} \cosh 2\pi u. \quad (4.148)$$

Let us now look closer at the exponential part of  $\mu_{14}$  and  $\mu_{24}$ . Combining the leading order (4.108) and the perturbation (4.146) and taking into account the fact that operator  $\Sigma$  does not produce terms proportional to  $\cosh 2\pi u$ , we obtain

$$\mu_{14} = \frac{1}{2}c_{1,34} \cosh 2\pi u + \mathcal{O}(\epsilon) \sinh 2\pi u + \mathcal{O}(\epsilon^2) + \dots, \quad (4.149)$$

$$\mu_{24} = \frac{1}{2}c_{1,24} \cosh 2\pi u + (1 + \mathcal{O}(\epsilon)) \sinh 2\pi u + \mathcal{O}(\epsilon^2) + \dots, \quad (4.150)$$

where dots stand for powers-like terms or exponential terms suppressed by powers of  $u$ .

As we remember from section ??, only the 2nd solution of the 5th order Baxter equation (??) can contribute to the exponential part of  $\mu_{14}$  and  $\mu_{24}$ , which means that  $\mu_{14}$  and  $\mu_{24}$  are proportional to the same linear combination of  $\sinh 2\pi u$  and  $\cosh 2\pi u$ . From the second equation one can see that this linear combination can be normalized to be  $\frac{1}{2}c_{1,24} \cosh 2\pi u + (1 + \mathcal{O}(\epsilon)) \sinh 2\pi u$ . Then  $\mu_{14} = C \left( \frac{1}{2}c_{1,24} \cosh 2\pi u + (1 + \mathcal{O}(\epsilon)) \sinh 2\pi u \right)$ , where  $C$  is some constant, which is of order  $\mathcal{O}(\epsilon)$ , because the coefficient of  $\sinh 2\pi u$  in the first equation is  $\mathcal{O}(\epsilon)$ . Taking into account that  $c_{1,24}$  is  $\mathcal{O}(\epsilon)$  itself, we find that  $c_{1,34} = \mathcal{O}(\epsilon^2)$ , i.e. it does not contribute at the order which we are considering. So the final form of the zero mode in (4.146) is

$$\pi_{12} = 0, \pi_{13} = 0, \pi_{14} = 0, \quad (4.151)$$

$$\pi_{24} = c_{1,24} \cosh 2\pi u, \pi_{34} = 0. \quad (4.152)$$

In this way, using the particular solution given by  $\Sigma$  and the form of zero modes (4.152) we have computed all the functions  $\mu_{ab}^{(1)}$ . The details and the results of the calculation can be found in appendix C.1.

### 4.5.3 Correcting $\mathbf{P}_a \dots$

In the previous section we found the NLO part of  $\mu_{ab}$ . Now, according to the iterative procedure described in section 4.5.1, we can use it to write a closed system of equations

for  $\mathbf{P}_a^{(1)}$ . Indeed, expanding the system (4.20) to NLO we get

$$\tilde{\mathbf{P}}_1^{(1)} - \mathbf{P}_1^{(1)} = -\mathbf{P}_3^{(1)} + r_1, \quad (4.153)$$

$$\tilde{\mathbf{P}}_2^{(1)} + \mathbf{P}_2^{(1)} = -\mathbf{P}_4^{(1)} - \mathbf{P}_1^{(1)} \sinh(2\pi u) + r_2, \quad (4.154)$$

$$\tilde{\mathbf{P}}_3^{(1)} + \mathbf{P}_3^{(1)} = r_3, \quad (4.155)$$

$$\tilde{\mathbf{P}}_4^{(1)} - \mathbf{P}_4^{(1)} = \mathbf{P}_3^{(1)} \sinh(2\pi u) + r_4, \quad (4.156)$$

where the free terms are given by

$$r_a = -\mu_{ab}^{(1)} \chi^{bc} \mathbf{P}_c^{(0)}. \quad (4.157)$$

Notice that  $r_a$  does not change if we add a matrix proportional to  $\mathbf{P}_a^{(0)} \tilde{\mathbf{P}}_b^{(0)} - \mathbf{P}_b^{(0)} \tilde{\mathbf{P}}_a^{(0)}$  to  $\mu_{ab}^{(1)}$ , due to the relations

$$\mathbf{P}_a \chi^{ab} \mathbf{P}_b = 0, \quad \mathbf{P}_a \chi^{ab} \tilde{\mathbf{P}}_b = 0, \quad (4.158)$$

which follow from the  $\mathbf{P}\mu$ -system equations. In particular we can use this property to replace  $\mu_{ab}^{(1)}$  in (4.157) by  $\mu_{ab}^{(1)} + \frac{1}{2} \left( \mathbf{P}_a^{(0)} \tilde{\mathbf{P}}_b^{(0)} - \mathbf{P}_b^{(0)} \tilde{\mathbf{P}}_a^{(0)} \right)$ . This will be convenient for us, since in expressions for  $\mu_{ab}^{(1)}$  in terms of  $p_a$  and  $\Gamma$  (see (4.144), (4.145) and appendix C.1) this change amounts to simply replacing  $\Gamma'$  by a convolution with a more symmetric kernel:

$$\Gamma' \rightarrow \Gamma, \quad (4.159)$$

$$(\Gamma \cdot h)(u) \equiv \oint_{-2g}^{2g} \frac{dv}{4\pi i} \partial_u \log \frac{\Gamma[i(u-v)+1]}{\Gamma[-i(u-v)+1]} h(v), \quad (4.160)$$

while at the same time replacing

$$p'_a(u) \rightarrow p_a(u), \quad (4.161)$$

$$p_a(u) = p'_a(u) + \frac{1}{2} (x^a(u) + x^{-a}(u)). \quad (4.162)$$

Having made this comment, we will now develop tools for solving the equations (4.153) - (4.156). Notice first that if we solve them in the order (4.155), (4.153), (4.156), (4.154), substituting into each subsequent equation the solution of all the previous, then at each step the problem we have to solve has a form

$$\tilde{f} + f = h \quad \text{or} \quad \tilde{f} - f = h, \quad (4.163)$$

where  $h$  is known,  $f$  is unknown and both the right hand side and the left hand side are power series in  $x$ . It is obvious that equations (4.163) have solutions only for  $h$  such

that  $h = \tilde{h}$  and  $h = -\tilde{h}$  respectively. On the class of such  $h$  a particular solution for  $f$  can be written as

$$f = [h]_- + [h]_0/2 \equiv H \cdot h \Rightarrow \tilde{f} + f = h \quad (4.164)$$

and

$$f = [h]_- \equiv K \cdot h \Rightarrow \tilde{f} - f = h, \quad (4.165)$$

where  $[h]_0$  is the constant part of Laurent expansion of  $h$  (it does not appear in the second equation, because  $h$  such that  $h = -\tilde{h}$  does not have a constant part). The operators  $K$  and  $H$  introduced here can be also defined by their integral kernels

$$H(u, v) = -\frac{1}{4\pi i} \frac{\sqrt{u-2g}\sqrt{u+2g}}{\sqrt{v-2g}\sqrt{v+2g}} \frac{1}{u-v}, \quad (4.166)$$

$$K(u, v) = +\frac{1}{4\pi i} \frac{1}{u-v}, \quad (4.167)$$

which are equivalent to (4.164), (4.165) of the classes of  $h$  such that  $h = \tilde{h}$  and  $h = -\tilde{h}$  respectively<sup>12</sup>. The particular solution  $f = K \cdot h$  of the equation  $\tilde{f} + f = h$  is unique in the class of functions  $f$  decaying at infinity, and the solution  $f = H \cdot h$  of  $\tilde{f} - f = h$  is unique for non-growing  $f$ . In all other cases the general solution will include zero modes, which, in our case are fixed by asymptotics of  $\mathbf{P}_a$ .

Now it is easy to write the explicit solution of the equations (4.153)-(4.156):

$$\mathbf{P}_3^{(1)} = H \cdot r_3, \quad (4.168)$$

$$\mathbf{P}_1^{(1)} = \frac{1}{2}\mathbf{P}_3^{(1)} + K \cdot \left(r_1 - \frac{1}{2}r_3\right), \quad (4.169)$$

$$\mathbf{P}_4^{(1)} = K \cdot \left(-\frac{1}{2}(\tilde{\mathbf{P}}_3^{(1)} - \mathbf{P}_3^{(1)}) \sinh(2\pi u) + \frac{2r_4 + r_3 \sinh(2\pi u)}{2}\right) - 2\delta, \quad (4.170)$$

$$\begin{aligned} \mathbf{P}_2^{(1)} = & H \cdot \left(-\frac{1}{2}(\mathbf{P}_4^{(1)} + \sinh(2\pi u)\mathbf{P}_1^{(1)} + \tilde{\mathbf{P}}_4^{(1)} + \sinh(2\pi u)\tilde{\mathbf{P}}_1^{(1)}) + \right. \\ & \left. + \frac{r_4 + \sinh(2\pi u)r_1 + 2r_2}{2}\right) + \delta, \end{aligned} \quad (4.171)$$

where  $\delta$  is a constant fixed uniquely by requiring  $\mathcal{O}(1/u^2)$  asymptotics for  $\mathbf{P}_2$ . This asymptotic also sets the last coefficient  $c_{1,24}$  left in  $\pi_{12}$  to zero. Thus in the class of functions with asymptotics (4.21) the solution for  $\mu_{ab}$  and  $\mathbf{P}_a$  is unique up to a  $\gamma$ -transformation.

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<sup>12</sup>We denote e.g.  $K \cdot h = \oint_{-2g}^{2g} K(u, v)h(v)dv$  where the integral is around the branch cut between  $-2g$  and  $2g$ .

#### 4.5.4 Result for $J = 2$

In order to obtain the result for the anomalous dimension, we again use the formulas (4.23), (4.24) which connect the leading coefficients of  $\mathbf{P}_a$  with  $\Delta$ ,  $J$  and  $S$ . After plugging in  $A_i$  which we find from our solution, we obtain the result for the  $S^2$  correction to the anomalous dimension:

$$\begin{aligned} \gamma_{J=2}^{(2)} = & \frac{\pi}{g^2(I_1 - I_3)^3} \oint \frac{du_x}{2\pi i} \oint \frac{du_y}{2\pi i} \left[ \frac{8I_1^2(I_1 + I_3)(x^3 - (x^2 + 1)y)}{(x^3 - x)y^2} \right. \\ & + \frac{8\text{sh}_-^x \text{sh}_-^y (x^2 y^2 - 1)(I_1(x^4 y^2 + 1) - I_3 x^2(y^2 + 1))}{x^2(x^2 - 1)y^2} \\ & - \frac{4(\text{sh}_-^y)^2 x^2 (y^4 - 1)(I_1(2x^2 - 1) - I_3)}{(x^2 - 1)y^2} \\ & + \frac{8I_1^2 \text{sh}_-^y x (2(x^3 - x)(y^3 + y) - 2x^2(y^4 + y^2 + 1) + y^4 + 4y^2 + 1)}{(x^2 - 1)y^2} \\ & - \frac{8(I_1 - I_3)I_1 \text{sh}_-^y x(x - y)(xy - 1)}{(x^2 - 1)y} \\ & \left. - \frac{4(I_1 - I_3)(\text{sh}_-^x)^2 (x^2 + 1)y^2}{(x^2 - 1)} \right] \frac{1}{4\pi i} \partial_u \log \frac{\Gamma(iu_x - iu_y + 1)}{\Gamma(1 - iu_x + iu_y)}. \end{aligned} \quad (4.172)$$

Here the integration contour goes around the branch cut at  $(-2g, 2g)$ . We also denote  $\text{sh}_-^x = \sinh_-(x)$ ,  $\text{sh}_-^y = \sinh_-(y)$  (recall that  $\sinh_-$  was defined in (4.104)). This is our final result for the curvature function at any coupling.

It is interesting to note that our result contains the combination  $\log \frac{\Gamma(iu_x - iu_y + 1)}{\Gamma(1 - iu_x + iu_y)}$  which plays an essential role in the construction of the BES dressing phase. We will use this identification in section ?? to compute the integral in (4.172) numerically with high precision.

In the next subsections we will describe generalizations of the  $J = 2$  result to operators with  $J = 3$  and  $J = 4$ .

#### 4.5.5 Results for higher $J$

Solving the  $\mathbf{P}\mu$ -system for  $J = 3$  is similar to the  $J = 2$  case described above, except for several technical complications, which we will describe here, leaving the details for the appendix C.2. As in the previous section, the starting point is the LO solution of the  $\mathbf{P}\mu$  system, which for  $J = 3$  reads

$$\mathbf{P}_1 = \epsilon x^{-3/2}, \quad \mathbf{P}_3 = -\epsilon x^{3/2}, \quad (4.173)$$

$$\mathbf{P}_2 = -\epsilon x^{3/2} \cosh_- + \epsilon x^{-1/2} I_2, \quad (4.174)$$

$$\mathbf{P}_4 = -\epsilon x^{1/2} I_2 - \epsilon x^{-3/2} I_0 - \epsilon x^{-3/2} \cosh_-, \quad (4.175)$$

$$\mu_{12} = 1, \mu_{13} = 0, \mu_{14} = 0, \mu_{24} = \cosh(2\pi u), \mu_{34} = 1. \quad (4.176)$$

The first step is to construct  $\mu_{ab}^{(1)}$  from its discontinuity given by the equation (4.131). The full solution consists of a particular solution and a general solution of the corresponding homogeneous equation, i.e. zero mode  $\pi_{ab}$ . In our case the zero mode can be an  $i$ -periodic function, i.e. a linear combination of  $\sinh(2\pi u)$ ,  $\cosh(2\pi u)$  and constants. As in the case of  $J = 2$ , we use a combination of the Pfaffian constraint, prescription from section ?? and a  $\gamma$ -transformation to reduce all the parameters of the zero mode to just one, sitting in  $\mu_{24}$ :

$$\pi_{12} = 0, \pi_{13} = 0, \pi_{14} = 0, \pi_{24} = c_{24,2} \sinh(2\pi u), \pi_{34} = 0. \quad (4.177)$$

As in the previous section, the next step is to find  $\mathbf{P}_a^{(1)}$  from the  $P\mu$  system expanded to the first order, namely from

$$\tilde{\mathbf{P}}_1^{(1)} + \mathbf{P}_3^{(1)} = r_1, \quad (4.178)$$

$$\tilde{\mathbf{P}}_2^{(1)} + \mathbf{P}_4^{(1)} + \mathbf{P}_1^{(1)} \cosh(2\pi u) = r_2, \quad (4.179)$$

$$\tilde{\mathbf{P}}_3^{(1)} + \mathbf{P}_1^{(1)} = r_3, \quad (4.180)$$

$$\tilde{\mathbf{P}}_4^{(1)} + \mathbf{P}_2^{(1)} - \mathbf{P}_3^{(1)} \cosh(2\pi u) = r_4, \quad (4.181)$$

where  $r_a$  are defined by (4.157) and for  $J = 3$  are given explicitly in appendix C.2. In attempt to solve this system, however, we encounter another technical complication. As one can see from (??)-(??), the LO solution contains half-integer powers of  $J$ , meaning that the  $\mathbf{P}_a$  now have an extra branch point at infinity. However, the operations  $H$  and  $K$  defined by (4.167) work only for functions which have Laurent expansion in integer powers of  $x$ . In order to solve equations of the type (4.131) on the class of functions which allow Laurent-like expansion in  $x$  with only half-integer powers  $x$ , we introduce operations  $H^*, K^*$ :

$$H^* \cdot f \equiv \frac{x+1}{\sqrt{x}} H \cdot \frac{\sqrt{x}}{x+1} f, \quad (4.182)$$

$$K^* \cdot f \equiv \frac{x+1}{\sqrt{x}} K \cdot \frac{\sqrt{x}}{x+1} f. \quad (4.183)$$



In terms of these operations the solution of the system (4.178)-(4.181) is

$$\mathbf{P}_1^{(1)} = \frac{1}{2} (H^*(r_1 + r_3) + K^*(r_1 - r_3)) + \mathbf{P}_1^{\text{zm}}, \quad (4.184)$$

$$\mathbf{P}_3^{(1)} = \frac{1}{2} (H^*(r_1 + r_3) - K^*(r_1 - r_3)) + \mathbf{P}_2^{\text{zm}}, \quad (4.185)$$

$$\begin{aligned} \mathbf{P}_2^{(1)} &= \frac{1}{2} (H^*(r_2 + r_4) + K^*(r_2 - r_4) - \\ &\quad - H^*(\cosh(2\pi u)K^*(r_1 - r_3)) - K^*(\cosh(2\pi u)H^*(r_1 + r_3))) + \mathbf{P}_3^{\text{zm}} \end{aligned} \quad (4.186)$$

$$\begin{aligned} \mathbf{P}_4^{(1)} &= \frac{1}{2} (H^*(r_2 + r_4) - K^*(r_2 - r_4) - \\ &\quad - H^*(\cosh(2\pi u)K^*(r_1 - r_3)) + K^*(\cosh(2\pi u)H^*(r_1 + r_3))) + \mathbf{P}_4^{\text{zm}} \end{aligned} \quad (4.187)$$

where  $\mathbf{P}_a^{\text{zm}}$  is a solution of the system (4.178)-(4.181) with right hand side set to zero, whose explicit form  $\mathbf{P}_a^{\text{zm}}$  is given in Appendix C.2 (see (??)-(??)) and which is parametrized by four constants  $L_1, L_2, L_3, L_4$ , e.g.

$$\mathbf{P}_1^{\text{zm}} = L_1 x^{-1/2} + L_3 x^{1/2}. \quad (4.188)$$

These constants are fixed by requiring correct asymptotics of  $\mathbf{P}_a$ , which also fixes the parameter  $c_{24,2}$  in the zero mode (4.177) of  $\mu_{ab}$ <sup>13</sup>. Indeed, a priori  $\mathbf{P}_2$  and  $\mathbf{P}_1$  have wrong asymptotics. Imposing a constraint that  $\mathbf{P}_2$  decays as  $u^{-5/2}$  and  $\mathbf{P}_1$  decays as  $u^{-3/2}$  produces five equations, which fix all the parameters uniquely.

Skipping the details of the intermediate calculations, we present the final result for the anomalous dimension:

$$\begin{aligned} \gamma_{J=3}^{(2)} &= \oint \frac{du_x}{2\pi i} \oint \frac{du_y}{2\pi i} i \frac{1}{g^2(I_2 - I_4)^3} \left[ \frac{2(x^6 - 1)y(\text{ch}_-^y)^2(I_2 - I_4)}{x^3(y^2 - 1)} - \right. \\ &\quad - \frac{4\text{ch}_-^x \text{ch}_-^y (x^3 y^3 - 1)(I_2 x^5 y^3 + I_2 - I_4 x^2 (xy^3 + 1))}{x^3(x^2 - 1)y^3} + \\ &\quad + \frac{(y^2 - 1)(\text{ch}_-^y)^2 I_2 ((x^8 + 1)(2y^4 + 3y^2 + 2) - (x^6 + x^2)(y^2 + 1)^2)}{x^3(x^2 - 1)y^3} - \\ &\quad - \frac{(y^2 - 1)(\text{ch}_-^y)^2 I_4 ((x^8 + 1)y^2 + (x^6 + x^2)(y^4 + 1))}{x^3(x^2 - 1)y^3} - \\ &\quad - \frac{4I_2 \text{ch}_-^y (x - y)(xy - 1)(I_2((x^6 + 1)(y^3 + y) + (x^5 + x)(y^4 + y^2 + 1) - x^3(y^4 + 1)) + I_4 x^3 y^2)}{x^3(x^2 - 1)y^3} \\ &\quad \left. - \frac{I_2^2 (y^2 - 1)(x - y)(xy - 1)(I_2((x^6 + x^4 + x^2 + 1)y + 2x^3(y^2 + 1)) + I_4(x^5 + x)(y^2 + 1))}{x^3(x^2 - 1)y^3} \right] \\ &\quad + \frac{1}{4\pi i} \partial_u \log \frac{\Gamma(iu_x - iu_y + 1)}{\Gamma(1 - iu_x + iu_y)}. \end{aligned} \quad (4.189)$$

<sup>13</sup>Actually in this way  $c_{24,2}$  is fixed to be zero.

We defined  $\text{ch}_-^x = \cosh_-(x)$  and  $\text{ch}_-^y = \cosh_-(y)$ , where  $\cosh_-(x)$  is the part of the Laurent expansion of  $\cosh(g(x + 1/x))$  vanishing at infinity, i.e.

$$\cosh_-(x) = \sum_{k=1}^{\infty} I_{2k} x^{-2k}. \quad (4.190)$$

The result for  $J = 4$  is given in appendix C.4.

#### 4.5.6 Weak coupling expansion

Our results for the curvature function  $\gamma^{(2)}(g)$  at  $J = 2, 3, 4$  (Eqs. (4.172), (4.189), (C.32)) are straightforward to expand at weak coupling. We give expansions to 10 loops in appendix D. Let us start with the  $J = 2$  case, for which we found

$$\begin{aligned} \gamma_{J=2}^{(2)} &= -8g^2\zeta_3 + g^4 \left( 140\zeta_5 - \frac{32\pi^2\zeta_3}{3} \right) + g^6 (200\pi^2\zeta_5 - 2016\zeta_7) \\ &+ g^8 \left( -\frac{16\pi^6\zeta_3}{45} - \frac{88\pi^4\zeta_5}{9} - \frac{9296\pi^2\zeta_7}{3} + 27720\zeta_9 \right) \\ &+ g^{10} \left( \frac{208\pi^8\zeta_3}{405} + \frac{160\pi^6\zeta_5}{27} + 144\pi^4\zeta_7 + 45440\pi^2\zeta_9 - 377520\zeta_{11} \right) + \dots \end{aligned} \quad (4.191)$$

Remarkably, at each loop order all contributions have the same transcendentality, and only simple zeta values (i.e.  $\zeta_n$ ) appear. This is also true for the  $J = 3$  and  $J = 4$  cases.

We can check this expansion against known results, as the anomalous dimensions of twist two operators have been computed up to five loops for arbitrary spin [120, 121, 122, 123, 124, 125, 126, 127] (see also [128] and the review [129]). To three loops they can be found solely from the ABA equations, while at four and five loops wrapping corrections need to be taken into account which was done in [126, 127] by utilizing generalized Luscher formulas. All these results are given by linear combinations of harmonic sums

$$S_a(N) = \sum_{n=1}^N \frac{(\text{sign}(a))^n}{n^{|a|}}, \quad S_{a_1, a_2, a_3, \dots}(N) = \sum_{n=1}^N \frac{(\text{sign}(a_1))^n}{n^{|a_1|}} S_{a_2, a_3, \dots}(n) \quad (4.192)$$

with argument equal to the spin  $S$ . To make a comparison with our results we expanded these predictions in the  $S \rightarrow 0$  limit. For this lengthy computation, as well as to simplify the final expressions, we used the **Mathematica** packages HPL [130], the package [131] provided with the paper [132], and the HarmonicSums package [133].

In this way we have confirmed the coefficients in (4.191) to four loops. Let us note that expansion of harmonic sums leads to multiple zeta values (MZVs), which however cancel in the final result leaving only  $\zeta_n$ .

Importantly, the part of the four-loop coefficient which comes from the wrapping correction is essential for matching with our result. This is a strong confirmation that our calculation based on the  $\mathbf{P}\mu$ -system is valid beyond the ABA level. Additional evidence that our result incorporates all finite-size effects is found at strong coupling (see section ??).

For operators with  $J = 3$ , our prediction at weak coupling is

$$\begin{aligned} \gamma_{J=3}^{(2)} &= -2g^2\zeta_3 + g^4 \left( 12\zeta_5 - \frac{4\pi^2\zeta_3}{3} \right) + g^6 \left( \frac{2\pi^4\zeta_3}{45} + 8\pi^2\zeta_5 - 28\zeta_7 \right) \\ &+ g^8 \left( -\frac{4\pi^6\zeta_3}{45} - \frac{4\pi^4\zeta_5}{15} - 528\zeta_9 \right) + \dots \end{aligned} \quad (4.193)$$

The known results for any spin in this case are available at up to six loops, including the wrapping correction which first appears at five loops [134, 135, 136]. Expanding them at  $S \rightarrow 0$  we have checked our calculation to four loops.<sup>14</sup>

For future reference, in appendix D we present an expansion of known results for  $J = 2, 3$  up to order  $S^3$  at first several loop orders. In particular, we found that multiple zeta values appear in this expansion, which did not happen at lower orders in  $S$ .

Let us now discuss the  $J = 4$  case. The expansion of our result reads:

$$\begin{aligned} \gamma_{J=4}^{(2)} &= g^2 \left( -\frac{14\zeta_3}{5} + \frac{48\zeta_5}{\pi^2} - \frac{252\zeta_7}{\pi^4} \right) \\ &+ g^4 \left( -\frac{22\pi^2\zeta_3}{25} + \frac{474\zeta_5}{5} - \frac{8568\zeta_7}{5\pi^2} + \frac{8316\zeta_9}{\pi^4} \right) \\ &+ g^6 \left( \frac{32\pi^4\zeta_3}{875} + \frac{3656\pi^2\zeta_5}{175} - \frac{56568\zeta_7}{25} + \frac{196128\zeta_9}{5\pi^2} - \frac{185328\zeta_{11}}{\pi^4} \right) \\ &+ g^8 \left( -\frac{4\pi^6\zeta_3}{175} - \frac{68\pi^4\zeta_5}{75} - \frac{55312\pi^2\zeta_7}{125} + \frac{1113396\zeta_9}{25} - \frac{3763188\zeta_{11}}{5\pi^2} \right. \\ &\quad \left. + \frac{3513510\zeta_{13}}{\pi^4} \right) + \dots \end{aligned} \quad (4.194)$$

Unlike for the  $J = 2$  and  $J = 3$  cases, we could not find a closed expression for the energy at any spin  $S$  in literature even at one loop, however there is another way to check our result. One can expand the asymptotic Bethe ansatz equations at large  $J$  for fixed values of  $S = 2, 4, 6, \dots$  and then extract the coefficients in the expansion which are polynomial in  $S$ . This was done in [137] (see appendix C there) where at one loop

<sup>14</sup>As a further check it would be interesting to expand to order  $S^2$  the known results for twist 2 operators at five loops, and for twist 3 operators at five and six loops – all of which are given by huge expressions.

the expansion was found up to order  $1/J^6$ :

$$\gamma(S, J) = g^2 \left( \frac{S}{2J^2} - \left( \frac{S^2}{4} + \frac{S}{2} \right) \frac{1}{J^3} + \left[ \frac{3S^3}{16} + \left( \frac{1}{8} - \frac{\pi^2}{12} \right) S^2 + \frac{S}{2} \right] \frac{1}{J^4} + \dots \right) + \mathcal{O}(g^4) \quad (4.195)$$

Now taking the part proportional to  $S^2$  and substituting  $J = 4$  one may expect to get a numerical approximation to the 1-loop coefficient in our result (4.194), i.e.  $-\frac{14\zeta_3}{5} + \frac{48\zeta_5}{\pi^2} - \frac{252\zeta_7}{\pi^4}$ . To increase the precision we extended the expansion in (4.195) to order  $1/J^8$ . Remarkably, in this way we confirmed the 1-loop part of the  $\mathbf{P}\mu$  prediction (4.194) with about 1% accuracy! In Fig. ?? one can also see that the ABA result converges to our prediction when the order of expansion in  $1/J$  is being increased.

Also, in contrast to  $J = 2$  and  $J = 3$  cases we see that negative powers of  $\pi$  appear in (4.194) (although still all the contributions at a given loop order have the same transcendentality). It would be interesting to understand why this happens from the gauge theory perspective, especially since expansion of the leading  $S$  term (??) has the same structure for all  $J$ ,

$$\gamma_J^{(1)} = \frac{8\pi^2 g^2}{J(J+1)} - \frac{32\pi^4 g^4}{J(J+1)^2(J+2)} + \frac{256\pi^6 g^6}{J(J+1)^3(J+2)(J+3)} + \dots \quad (4.196)$$

The change of structure at  $J = 4$  might be related to the fact that for  $J \geq 4$  the ground state anomalous dimension even at one loop is expected to be an irrational number for integer  $S > 0$  (see [138], [139]), and thus cannot be written as a linear combination of harmonic sums with integer coefficients.

In the next section we will discuss tests and applications of our results at strong coupling.

#### 4.5.7 Strong coupling expansion

In this section we will present the strong coupling expansion of our results for the curvature function, and link these results to anomalous dimensions of short operators at strong coupling. We will also obtain new predictions for the BFKL pomeron intercept.

To obtain the strong coupling expansion of our exact results for the curvature function, we evaluated it numerically with high precision for a range of values of  $g$  and then made a fit to find the expansion coefficients. It would also be interesting to carry out the expansion analytically, and we leave this for the future.

For numerical study it is convenient to write our exact expressions (4.172), (4.189),

(C.32) for  $\gamma^{(2)}(g)$ , which have the form

$$\gamma^{(2)}(g) = \oint du_x \oint du_y f(x, y) \partial_{u_x} \log \frac{\Gamma(iu_x - iu_y + 1)}{\Gamma(1 - iu_x + iu_y)} \quad (4.197)$$

where the integration goes around the branch cut between  $-2g$  and  $2g$ , in a slightly different way (we remind that we use notation  $x + \frac{1}{x} = \frac{u_x}{g}$  and  $y + \frac{1}{y} = \frac{u_y}{g}$ ). Namely, by changing the variables of integration to  $x, y$  and integrating by parts one can write the result as

$$\gamma^{(2)}(g) = \oint dx \oint dy F(x, y) \log \frac{\Gamma(iu_x - iu_y + 1)}{\Gamma(iu_y - iu_x + 1)} \quad (4.198)$$

where  $F(x, y)$  is some polynomial in the following variables:  $x, 1/x, y, 1/y, \text{sh}_-^x$  and  $\text{sh}_-^y$  (for  $J = 3$  it includes  $\text{ch}_-^x, \text{ch}_-^y$  instead of the  $\text{sh}_-$  functions). The integral in (4.198) is over the unit circle. The advantage of this representation is that plugging in  $\text{sh}_-^x, \text{sh}_-^y$  as series expansions (truncated to some large order), we see that it only remains to compute integrals of the kind

$$C_{r,s} = \frac{1}{i} \oint \frac{dx}{2\pi} \oint \frac{dy}{2\pi} x^r y^s \log \frac{\Gamma(iu_x - iu_y + 1)}{\Gamma(iu_y - iu_x + 1)} \quad (4.199)$$

These are nothing but the coefficients of the BES dressing phase [77, 140, 27, 141].

They can be conveniently computed using the strong coupling expansion [77]

$$C_{r,s} = \sum_{n=0}^{\infty} \left[ - \frac{2^{-n-1} (-\pi)^{-n} g^{1-n} \zeta_n (1 - (-1)^{r+s+4}) \Gamma(\frac{1}{2}(n-r+s-1)) \Gamma(\frac{1}{2}(n+r+s+1))}{\Gamma(n-1) \Gamma(\frac{1}{2}(-n-r+s+3)) \Gamma(\frac{1}{2}(-n+r+s+5))} \right] \quad (4.200)$$

However this expansion is only asymptotic and does not converge. For fixed  $g$  the terms will start growing with  $n$  when  $n$  is greater than some value  $N$ , and we only summed the terms up to  $n = N$  which gives the value of  $C_{r,s}$  with very good precision for large enough  $g$ .

Using this approach we computed the curvature function for a range of values of  $g$  (typically we took  $7 \leq g \leq 30$ ) and then fitted the result as an expansion in  $1/g$ . This gave us only numerical values of the expansion coefficients, but in fact we found that with very high precision the coefficients are as follows. For  $J = 2$

$$\begin{aligned} \gamma_{J=2}^{(2)} &= -\pi^2 g^2 + \frac{\pi g}{4} + \frac{1}{8} - \frac{1}{\pi g} \left( \frac{3\zeta_3}{16} + \frac{3}{512} \right) - \frac{1}{\pi^2 g^2} \left( \frac{9\zeta_3}{128} + \frac{21}{512} \right) \\ &+ \frac{1}{\pi^3 g^3} \left( \frac{3\zeta_3}{2048} + \frac{15\zeta_5}{512} - \frac{3957}{131072} \right) + \dots, \end{aligned} \quad (4.201)$$

then for  $J = 3$

$$\begin{aligned} \gamma_{J=3}^{(2)} &= -\frac{8\pi^2 g^2}{27} + \frac{2\pi g}{27} + \frac{1}{12} - \frac{1}{\pi g} \left( \frac{1}{216} + \frac{\zeta_3}{8} \right) - \frac{1}{\pi^2 g^2} \left( \frac{3\zeta_3}{64} + \frac{743}{13824} \right) \\ &+ \frac{1}{\pi^3 g^3} \left( \frac{41\zeta_3}{1024} + \frac{35\zeta_5}{512} - \frac{5519}{147456} \right) + \dots, \end{aligned} \quad (4.202)$$

and finally for  $J = 4$

$$\begin{aligned} \gamma_{J=4}^{(2)} = & -\frac{\pi^2 g^2}{8} + \frac{\pi g}{32} + \frac{1}{16} - \frac{1}{\pi g} \left( \frac{3\zeta_3}{32} + \frac{15}{4096} \right) - \frac{0.01114622551913}{g^2} \\ & + \frac{0.004697583899}{g^3} + \dots \end{aligned} \quad (4.203)$$

To fix coefficients for the first four terms in the expansion we were guided by known analytic predictions which will be discussed below, and found that our numerical result matches these predictions with high precision. Then for  $J = 2$  and  $J = 3$  we extracted the numerical values obtained from the fit for the coefficients of  $1/g^2$  and  $1/g^3$ , and plugging them into the online calculator EZFace [142] we obtained a prediction for their exact values as combinations of  $\zeta_3$  and  $\zeta_5$ . Fitting again our numerical results with these exact values fixed, we found that the precision of the fit at the previous orders in  $1/g$  increased. This is a highly nontrivial test for the proposed exact values of  $1/g^2$  and  $1/g^3$  terms. For  $J = 2$  we confirmed the coefficients of these terms with absolute precision  $10^{-17}$  and  $10^{-15}$  at  $1/g^2$  and  $1/g^3$  respectively (at previous orders of the expansion the precision is even higher). For  $J = 3$  the precision was correspondingly  $10^{-15}$  and  $10^{-13}$ .

For  $J = 4$  we were not able to get a stable fit for the  $1/g^2$  and  $1/g^3$  coefficients from EZFace, so above we gave their numerical values (with uncertainty in the last digit). However below we will see that based on  $J = 2$  and  $J = 3$  results one can make a prediction for these coefficients, which we again confirmed by checking that precision of the fit at the previous orders in  $1/g$  increases. The precision of the final fit at orders  $1/g^2$  and  $1/g^3$  is  $10^{-16}$  and  $10^{-14}$  respectively.

## 4.6 Update on short strings

Here we will find an analytic expression for the strong coupling expansion of the curvature function which generalizes the formulas (4.201) and (4.202) to any  $J$ . To this end it will be beneficial to consider the structure of classical expansions of the scaling dimension. A good entry point is considering the inverse relation  $S(\Delta)$ , frequently encountered in the context of BFKL. It satisfies a few basic properties, namely the curve  $S(\Delta)$  goes through the points  $(\pm J, 0)$  at any coupling, because at  $S = 0$  the operator is BPS. At the same time for non-BPS states one should have  $\Delta(\lambda) \propto \lambda^{1/4} \rightarrow \infty$  [9] which indicates that if  $\Delta$  is fixed,  $S$  should go to zero, thus combining this with the

knowledge of fixed points  $(\pm J, 0)$  we conclude that at infinite coupling  $S(\Delta)$  is simply the line  $S = 0$ . As the coupling becomes finite  $S(\Delta)$  starts bending from the  $S = 0$  line and starts looking like a parabola going through the points  $\pm J$ , see fig. ???. Based on this qualitative picture and the scaling  $\Delta(\lambda) \propto \lambda^{1/4}$  at  $\lambda \rightarrow \infty$  and fixed  $J$  and  $S$ , one can write down the following ansatz,

$$S(\Delta) = (\Delta^2 - J^2) \left( \alpha_1 \frac{1}{\lambda^{1/2}} + \alpha_2 \frac{1}{\lambda} + (\alpha_3 + \beta_3 \Delta^2) \frac{1}{\lambda^{3/2}} + (\alpha_4 + \beta_4 \Delta^2) \frac{1}{\lambda^2} \right. \\ \left. + (\alpha_5 + \beta_5 \Delta^2 + \gamma_5 \Delta^4) \frac{1}{\lambda^{5/2}} + (\alpha_6 + \beta_6 \Delta^2 + \gamma_6 \Delta^4) \frac{1}{\lambda^3} + \dots \right). \quad (4.204)$$

We omit odd powers of the scaling dimension from the ansatz, as only the square of  $\Delta$  enters the  $\mathbf{P}\mu$ -system. We can now invert the relation and express  $\Delta$  in terms of  $S$  at strong coupling, which gives

$$\Delta^2 = J^2 + S \left( A_1 \sqrt{\lambda} + A_2 + \dots \right) + S^2 \left( B_1 + \frac{B_2}{\sqrt{\lambda}} + \dots \right) + S^3 \left( \frac{C_1}{\lambda^{1/2}} + \frac{C_2}{\lambda} + \dots \right) + \mathcal{O}(S^4), \quad (4.205)$$

where the coefficients  $A_i$ ,  $B_i$ ,  $C_i$  are some functions of  $J$ . There exists a one-to-one mapping between the coefficients  $\alpha_i$ ,  $\beta_i$ , etc. and  $A_i$ ,  $B_i$  etc, which is rather complicated but easy to find. We note that this structure of  $\Delta^2$  coincides with Basso's conjecture in [80] for mode number  $n = 1$ <sup>15</sup>. The pattern in (4.205) continues to higher orders in  $S$  with further coefficients  $D_i$ ,  $E_i$ , etc. and powers of  $\lambda$  suppressed incrementally. This structure is a nontrivial constraint on  $\Delta$  itself as one easily finds from (4.205) that

$$\Delta = J + \frac{S}{2J} \left( A_1 \sqrt{\lambda} + A_2 + \frac{A_3}{\sqrt{\lambda}} + \dots \right) \\ + S^2 \left( -\frac{A_1^2}{8J^3} \lambda - \frac{A_1 A_2}{4J^3} \sqrt{\lambda} + \left[ \frac{B_1}{2J} - \frac{A_2^2 + 2A_1 A_3}{8J^3} \right] + \left[ \frac{B_2}{2J} - \frac{A_2 A_3 + A_1 A_4}{4J^3} \right] \frac{1}{\sqrt{\lambda}} + \dots \right). \quad (4.206)$$

By definition the coefficients of  $S$  and  $S^2$  are the slope and curvature functions respectively, so now we have their expansions at strong coupling in terms of  $A_i$ ,  $B_i$ ,  $C_i$ , etc. Since the  $S$  coefficient only contains the constants  $A_i$ , we can find all of their values by simply expanding the slope function (4.125) at strong coupling. We get

$$A_1 = 2, \quad A_2 = -1, \quad A_3 = J^2 - \frac{1}{4}, \quad A_4 = J^2 - \frac{1}{4} \dots \quad (4.207)$$

Note that in this series the power of  $J$  increases by two at every other member, which is a direct consequence of omitting odd powers of  $\Delta$  from (4.204). We also expect the same pattern to hold for the coefficients  $B_i$ ,  $C_i$ , etc.

<sup>15</sup>The generalization of (4.205) for  $n > 1$  is not fully clear, as noted in [61], and this case will be discussed in appendix E.2.

The curvature function written in terms of  $A_i$ ,  $B_i$ , etc. is given by

$$\begin{aligned} \gamma_J^{(2)}(g) = & -\frac{2\pi^2 g^2 A_1^2}{J^3} - \frac{\pi g A_1 A_2}{J^3} - \frac{A_2^2 + 2A_1 A_3 - 4B_1 J^2}{8J^3} - \frac{A_2 A_3 + A_1 A_4 - 2B_2 J^2}{16\pi g J^3} \\ & - \frac{A_3^2 + 2A_2 A_4 + 2A_1 A_5 - 4B_3 J^2}{128\pi^2 g^2 J^3} - \frac{A_3 A_4 + A_2 A_5 + A_1 A_6 - 2B_4 J^2}{256\pi^3 g^3 J^3} + \mathcal{O}\left(\frac{1}{g^4}\right). \end{aligned} \quad (4.208)$$

The remaining unknowns here (up to order  $1/g^4$ ) are  $B_1$ ,  $B_2$ , which we expect to be constant due to the power pattern noticed above and  $B_3$ ,  $B_4$ , which we expect to have the form  $aJ^2 + b$  with  $a$  and  $b$  constant. These unknowns are immediately fixed by comparing the general curvature expansion (4.208) to the two explicit cases that we know for  $J = 2$  and  $J = 3$ . We find

$$B_1 = 3/2, \quad B_2 = -3\zeta_3 + \frac{3}{8}, \quad (4.209)$$

and

$$B_3 = -\frac{J^2}{2} - \frac{9\zeta_3}{2} + \frac{5}{16}, \quad B_4 = \frac{3}{16}J^2(16\zeta_3 + 20\zeta_5 - 9) - \frac{15\zeta_5}{2} - \frac{93\zeta_3}{8} - \frac{3}{16} \quad (4.210)$$

Having fixed all the unknowns we can write the strong coupling expansion of the curvature function for arbitrary values of  $J$  as

$$\begin{aligned} \gamma_J^{(2)}(g) = & -\frac{8\pi^2 g^2}{J^3} + \frac{2\pi g}{J^3} + \frac{1}{4J} + \frac{1 - J^2(24\zeta_3 + 1)}{64\pi g J^3} - \frac{8J^4 + J^2(72\zeta_3 + 11) - 4}{512g^2(\pi^2 J^3)} \\ & + \frac{3(8J^4(16\zeta_3 + 20\zeta_5 - 7) - 16J^2(31\zeta_3 + 20\zeta_5 + 7) + 25)}{16384\pi^3 g^3 J^3} + \mathcal{O}\left(\frac{1}{g^4}\right) \end{aligned} \quad (4.211)$$

Expanding  $\gamma_{J=4}^{(2)}$  defined in (C.32) at strong coupling numerically we were able to confirm the above result with high precision.

In this section we will use the knowledge of slope functions  $\gamma_J^{(n)}$  at strong coupling to find the strong coupling expansions of scaling dimensions of operators with finite  $S$  and  $J$ , in particular we will find the three loop coefficient of the Konishi operator by utilizing the techniques of [80, 61]. What follows is a quick recap of the main ideas in these papers.

We are interested in the coefficients of the strong coupling expansion of  $\Delta$ , namely

$$\Delta = \Delta^{(0)}\lambda^{\frac{1}{4}} + \Delta^{(1)}\lambda^{-\frac{1}{4}} + \Delta^{(2)}\lambda^{-\frac{3}{4}} + \Delta^{(3)}\lambda^{-\frac{5}{4}} + \dots \quad (4.212)$$

First, we use Basso's conjecture (4.205) and by fixing  $S$  and  $J$  we re-expand the square root of  $\Delta^2$  at strong coupling to find

$$\Delta = \sqrt{A_1 S} \sqrt[4]{\lambda} + \frac{\sqrt{A_1}(J^2 + A_2 S + B_1 S^2)}{2A_1 \sqrt{S}} \frac{1}{\sqrt[4]{\lambda}} + \mathcal{O}\left(\frac{1}{\lambda^{\frac{3}{4}}}\right). \quad (4.213)$$



Thus we reformulate the problem entirely in terms of the coefficients  $A_i$ ,  $B_i$ ,  $C_i$ , etc. For example, the next coefficient in the series, namely the two-loop term is given by

$$\Delta^{(2)} = -\frac{(2A_2 + 4B_1 + J^2)^2 - 16A_1(A_3 + 2B_2 + 4C_1)}{16\sqrt{2}A_2^{3/2}}. \quad (4.214)$$

Further coefficients become more and more complicated, however a very clear pattern can be noticed after looking at these expressions: we see that the term  $\Delta^{(n)}$  only contains coefficients with indices up to  $n+1$ , e.g. the tree level term  $\Delta^{(0)}$  only depends on  $A_1$ , the one-loop term depends on  $A_1$ ,  $A_2$ ,  $B_1$ , etc. Thus we can associate the index of these coefficients with the loop level. Conversely, from the last section we learned that the letter of  $A_i$ ,  $B_i$ , etc. can be associated with the order in  $S$ , i.e. the slope function fixed all  $A_i$  coefficients and the curvature function in principle fixes all  $B_i$  coefficients.

Looking at (4.213) we see that knowing  $A_i$  and  $B_i$  only takes us to one loop, in order to proceed we need to know some coefficients in the  $C_i$  and  $D_i$  series. This is where the next ingredient in this construction comes in, which is the knowledge of the classical energy and its semiclassical correction in the Frolov-Tseytlin limit, i.e. when  $\mathcal{S} \equiv S/\sqrt{\lambda}$  and  $\mathcal{J} \equiv J/\sqrt{\lambda}$  remain fixed, while  $S, J, \lambda \rightarrow \infty$ . Additionally we will also be taking the limit  $\mathcal{S} \rightarrow 0$  in all of the expressions that follow. In particular, the square of the classical energy has a very nice form in these limits and is given by [93, 61]

$$\mathcal{D}_{\text{classical}}^2 = \mathcal{J}^2 + 2\mathcal{S}\sqrt{\mathcal{J}^2 + 1} + \mathcal{S}^2 \frac{2\mathcal{J}^2 + 3}{2\mathcal{J}^2 + 2} - \mathcal{S}^3 \frac{\mathcal{J}^2 + 3}{8(\mathcal{J}^2 + 1)^{5/2}} + \mathcal{O}(\mathcal{S}^4) \quad (4.215)$$

where  $\mathcal{D}_{\text{classical}} \equiv \Delta_{\text{classical}}/\sqrt{\lambda}$ . The 1-loop correction to the classical energy is given by

$$\Delta_{sc} \simeq \frac{-\mathcal{S}}{2(\mathcal{J}^3 + \mathcal{J})} + \mathcal{S}^2 \left[ \frac{3\mathcal{J}^4 + 11\mathcal{J}^2 + 17}{16\mathcal{J}^3(\mathcal{J}^2 + 1)^{5/2}} - \sum_{\substack{m>0 \\ m \neq n}} \frac{n^3 m^2 (2m^2 + n^2 \mathcal{J}^2 - n^2)}{\mathcal{J}^3 (m^2 - n^2)^2 (m^2 + n^2 \mathcal{J}^2)^{3/2}} \right] \quad (4.216)$$

If the parameters  $\mathcal{S}$  and  $\mathcal{J}$  are fixed to some values then the sum can be evaluated explicitly in terms of zeta functions. We now add up the classical and the 1-loop contributions<sup>16</sup>, take  $S$  and  $J$  fixed at strong coupling and compare the result to (4.205).

By requiring consistency we are able to extract the following coefficients,

$$\begin{aligned} A_1 &= 2, & A_2 &= -1 \\ B_1 &= 3/2, & B_2 &= -3\zeta_3 + \frac{3}{8} \\ C_1 &= -3/8, & C_2 &= \frac{1}{16}(60\zeta_3 + 60\zeta_5 - 17) \\ D_1 &= 31/64, & D_2 &= \frac{1}{512}(-5520\zeta_3 - 5120\zeta_5 - 3640\zeta_7 + 901) \end{aligned}$$

<sup>16</sup>Note that they mix various orders of the coupling.

$(S, J)$	$\lambda^{-5/4}$ prediction	$\lambda^{-5/4}$ fit	error	fit order
(2, 2)	$\frac{15\zeta_5}{2} + 6\zeta_3 - \frac{1}{2} = 14.48929958$	14.12099034	2.61%	6
(2, 3)	$\frac{15\zeta_5}{2} + \frac{63\zeta_3}{8} - \frac{1131}{512} = 15.03417190$	14.88260078	1.02%	5
(2, 4)	$\frac{21\zeta_3}{2} + \frac{15\zeta_5}{2} - \frac{25}{8} = 17.27355565$	16.46106336	4.94%	7

Table 1: Comparisons of strong coupling expansion coefficients for  $\lambda^{-5/4}$  obtained from fits to TBA data versus our predictions for various operators. The fit order is the order of polynomials used for the rational fit function (see [61] for details).

As discussed in the previous section, we can in principle extract all coefficients with indices 1 and 2. In order to find e.g.  $B_3$  we would need to extend the quantization of the classical solution to the next order. Note that the coefficients  $A_1, A_2$  and  $B_1, B_2$  have the same exact values that we extracted from the slope and curvature functions.

The key observation in [61] was that once written in terms of the coefficients  $A_i, B_i, C_i$ , the two-loop term  $\Delta^{(2)}$  only depends on  $A_{1,2,3}, B_{1,2}, C_1$  as can be seen in (4.214). As discussed in the last section, the one-loop result fixes all of these constants except  $A_3$ , which in principle is a contribution from a true two-loop calculation. However we already fixed it from the slope function and thus we are able to find

$$\Delta^{(2)} = \frac{-21 S^4 + (24 - 96 \zeta_3) S^3 + 4 (5 J^2 - 3) S^2 + 8 J^2 S - 4 J^4}{64 \sqrt{2} S^{3/2}}. \quad (4.217)$$

Now that we know the strong coupling expansion of the curvature function and thus all the coefficients  $B_i$ , we can do the same trick and find the three loop strong coupling scaling dimension coefficient  $\Delta^{(3)}$ , which now depends on  $A_{1;2;3;4}, B_{1,2,3}, C_{1,2}, D_1$ . We find it to be

$$\begin{aligned} \Delta^{(3)} = & \frac{187 S^6 + 2 (624 \zeta_3 + 480 \zeta_5 - 193) S^5 + (-146 J^2 - 4 (336 \zeta_3 - 41)) S^4}{512 \sqrt{2} S^{5/2}} + \\ & + \frac{(32 (6 \zeta_3 + 7) J^2 - 88) S^3 + (-28 J^4 + 40 J^2) S^2 - 24 J^4 S + 8 J^6}{512 \sqrt{2} S^{5/2}}, \end{aligned} \quad (4.218)$$

for  $S = 2$  it simplifies to

$$\Delta_{S=2}^{(3)} = \frac{1}{512} (J^6 - 20 J^4 + 48 J^2 (4 \zeta_3 - 1) + 192 (12 \zeta_3 + 20 \zeta_5 + 1)) \quad (4.219)$$

and finally for the Konishi operator, which has  $S = 2$  and  $J = 2$  we get<sup>17</sup>

$$\Delta_{S=2, J=2}^{(3)} = \frac{15 \zeta_5}{2} + 6 \zeta_3 - \frac{1}{2}. \quad (4.220)$$

<sup>17</sup>The  $\zeta_3$  and  $\zeta_5$  terms are coming from semi-classics and were already known before [143] and match our result.

In order to compare our predictions with data available from TBA calculations [144], we employed Padé type fits as explained in [61]. The fit results are shown in table 1, we see that our predictions are within 5% error bounds, which is a rather good agreement. However we must be honest that for the  $J = 3$  and especially  $J = 4$  states we did not have as many data points as for the  $J = 2$  state and the fit is somewhat shaky.

## 5 Developments in ABJM

*Insanity: doing the same thing over and over again and expecting different results.*

– Albert Einstein

bla

### 5.1 Short introduction

The  $CFT_3$  part in this duality is the so called ABJM theory, originally proposed by Aharony, Bergman, Jafferis and Maldacena in [56] following [57] [145] [146]. It is a three-dimensional superconformal Chern-Simons gauge theory with  $\mathcal{N} = 6$  supersymmetry. The field content consists of four complex scalars  $\phi^I$ , four Dirac fermions  $\psi_I$ , their adjoints  $\phi_I = \phi^{I\dagger}$ ,  $\psi^I = \psi_I^\dagger$ , and two gauge fields,  $A_\mu$  and  $\hat{A}_\mu$ . The index  $I$  is an  $SU(4)$  R-symmetry index. The gauge group is  $U(N) \times U(N)$ , where  $A_\mu$  and  $\hat{A}_\mu$  are the associated gauge fields. The fields  $\phi^I$  and  $\psi_I$  transform in the  $(\bar{N}, N)$  representation of the gauge group and their adjoints transform in the  $(N, \bar{N})$  representation. As before, we are interested in gauge invariant single trace operators.

This theory was conjectured in [56] to be the effective theory for a stack of M2 branes at a  $Z_k$  orbifold point. In the large  $N$  limit, the gravitational dual becomes M-theory on  $AdS_4 \times S^7/Z_k$ . For large  $k$  and  $N$  with

$$\lambda = N/k \equiv 8g^2 \tag{5.1}$$

fixed, the dual theory becomes type IIA superstring theory in  $AdS_4 \times CP^3$ , which is the  $AdS_4$  part of the correspondence. Just as in  $AdS_5/CFT_4$ , for the string side we have a supercoset sigma model whose target space is

$$\frac{OSp(2, 2|6)}{SO(3, 1) \times SU(3) \times U(1)} \tag{5.2}$$

which has  $AdS_4 \times CP^3$  as its bosonic part. In [147, 148] the superstring coset sigma model was constructed and shown to be classically integrable. We will discuss what that means in the next section on integrability.

## 5.2 Integrability

### 5.2.1 Weak coupling

### 5.2.2 Strong coupling

We give in this section a compact self-contained summary of the results of [149] using the language of off-shell fluctuation energies [87]. We shall work in the algebraic curve regularization and write all equations in terms of the  $\sigma$ -model coupling  $g$ . For large  $g$ , it is related to the 't Hooft coupling by

$$\lambda = N/k = 8g^2, \quad (5.3)$$

but, contrary to the  $AdS_5 \times S^5$  case, this relation will get corrections at finite  $g$ . The classical algebraic curve for  $AdS_4 \times \mathbb{CP}^3$  is a 10-sheeted Riemann surface. The spectral parameter moves on it and we shall consider 10 symmetric quasi momenta  $q_i(x)$

$$(q_1, q_2, q_3, q_4, q_5) = (-q_{10}, -q_9, -q_8, -q_7, -q_6). \quad (5.4)$$

They can have branch cuts connecting the sheets with

$$q_i^+ - q_j^- = 2\pi n_{ij}. \quad (5.5)$$

In the terminology of [149], the physical polarizations  $(ij)$  can be split into *heavy* and *light* ones and are summarized in the following table:

	AdS <sub>4</sub>	Fermions	$\mathbb{CP}^3$
heavy	(1, 10)(2, 9)(1, 9)	(1, 7)(1, 8)(2, 7)(2, 8)	(3, 7)
light		(1, 5)(1, 6)(2, 5)(2, 6)	(3, 5)(3, 6)(4, 5)(4, 6)

Virasoro constraints require that the poles of the quasi-momenta  $q_i(x)$  at  $x = \pm 1$  are synchronized according to

$$(q_1, q_2, q_3, q_4, q_5) = \frac{\alpha_+}{x-1} (1, 1, 1, 1, 0) + \dots = \frac{\alpha_-}{x+1} (1, 1, 1, 1, 0) + \dots \quad (5.6)$$

Inversion symmetry reads

$$q_1(x) = -q_2(1/x), \quad q_3(x) = 2\pi m - q_4(1/x), \quad q_5(x) = q_5(1/x), \quad (5.7)$$

where  $m \in \mathbb{Z}$  is a winding number. The asymptotic values of the quasi-momenta for a length  $L$  state with energy and spin  $E, S$  are

$$\begin{pmatrix} q_1(x) \\ q_2(x) \\ q_3(x) \\ q_4(x) \\ q_5(x) \end{pmatrix} = \frac{1}{2gx} \begin{pmatrix} L + E + S \\ L + E - S \\ L - M_r + M_s \\ L + M_r - M_u - M_v \\ M_v - M_u \end{pmatrix} + \cdots, \quad (5.8)$$

where  $M_{r,u,v}$  are related to the  $SU(4)$  representation of the state

$$[d_1, d_2, d_3] = [L - 2M_u + M_r, M_u + M_v - 2M_r + M_s, L - 2M_v + M_r]. \quad (5.9)$$

Semiclassical quantization is achieved by perturbing quasi-momenta introducing extra poles that shift the quasi-momenta  $q_i \rightarrow q_i + \delta q_i$ . Virasoro constraints and inversion properties of the variations  $\delta q_i$  follow from those of the  $q_i$ 's. In order to find the asymptotic expression of  $\delta q_i$  in terms of the number  $N_{ij}$  of extra fluctuations we can look at the details of polarized states and obtain

$$\begin{pmatrix} \delta q_1(x) \\ \delta q_2(x) \\ \delta q_3(x) \\ \delta q_4(x) \\ \delta q_5(x) \end{pmatrix} = \frac{1}{2gx} \begin{pmatrix} \delta E + N_{19} + 2N_{1,10} & +N_{15} + N_{16} + N_{17} + N_{18} \\ \delta E + 2N_{29} + N_{19} & +N_{25} + N_{26} + N_{27} + N_{28} \\ & -N_{18} - N_{28} & -N_{35} - N_{36} - N_{37} \\ & -N_{17} - N_{27} & -N_{45} - N_{46} - N_{37} \\ & +N_{15} - N_{16} + N_{25} - N_{26} & +N_{35} - N_{36} + N_{45} - N_{46} \end{pmatrix} \quad (5.10)$$

The off-shell frequencies  $\Omega^{ij}(x)$  are defined in order to have

$$\delta E = \sum_{n,ij} N_n^{ij} \Omega^{ij}(x_n^{ij}), \quad (5.11)$$

where the sum is over all pairs  $(ij) \equiv (ji)$  of physical polarizations and integer values of  $n$  with

$$q_i(x_n^{ij}) - q_j(x_n^{ij}) = 2\pi n. \quad (5.12)$$

Also, the residues at the extra poles are

$$\delta q_i(x) = k_{ij} N_n^{ij} \frac{\alpha(x_n^{ij})}{x - x_n^{ij}}, \quad \text{with} \quad \alpha(x) = \frac{1}{2g} \frac{x^2}{x^2 - 1}, \quad (5.13)$$

and  $k_{ij} = 0, \pm 1, \pm 2$  are the coefficients of  $N_{ij}$  in (5.10). By linear combination of frequencies and inversion (as in the  $\text{AdS}_5/\text{CFT}_4$  case), we can derive all the off-shell frequencies in terms of two fundamental ones

$$\Omega_A(x) = \Omega^{15}(x), \quad \Omega_B(x) = \Omega^{45}(x). \quad (5.14)$$

Their explicit expressions turns out to be

$$\begin{aligned}
\Omega^{29} &= 2 [-\Omega_A(1/x) + \Omega_A(0)], \\
\Omega^{1,10} &= 2 \Omega_A(x), \\
\Omega^{19} &= \Omega_A(x) - \Omega_A(1/x) + \Omega_A(0), \\
\Omega^{37} &= \Omega_B(x) - \Omega_B(1/x) + \Omega_B(0), \\
\Omega^{35} = \Omega^{36} &= -\Omega_B(1/x) + \Omega_B(0), \\
\Omega^{45} = \Omega^{46} &= \Omega_B(x), \\
\Omega^{17} &= \Omega_A(x) + \Omega_B(x), \\
\Omega^{18} &= \Omega_A(x) - \Omega_B(1/x) + \Omega_B(0), \\
\Omega^{27} &= \Omega_B(x) - \Omega_A(1/x) + \Omega_A(0), \\
\Omega^{28} &= -\Omega_A(1/x) + \Omega_A(0) - \Omega_B(1/x) + \Omega_B(0), \\
\Omega^{15} = \Omega^{16} &= \Omega_A(x), \\
\Omega^{25} = \Omega^{26} &= -\Omega_A(1/x) + \Omega_A(0).
\end{aligned} \tag{5.15}$$

### 5.3 Folded string in $AdS_4 \times \mathbb{CP}^3$

We present the algebraic curve for the folded string in  $AdS_4 \times \mathbb{CP}^3$  closely following the notation of [150]. In terms of the semiclassical variables

$$\mathcal{S} = \frac{S}{4\pi g}, \quad \mathcal{J} = \frac{J}{4\pi g}, \tag{5.16}$$

the energy of the folded string can be expanded according to

$$E = 4\pi g \mathcal{E}_0(\mathcal{J}, \mathcal{S}) + E_1(\mathcal{J}, \mathcal{S}) + \mathcal{O}\left(\frac{1}{g}\right), \tag{5.17}$$

where the small  $\mathcal{S}$  expansion of the classical contribution  $\mathcal{E}_0$  reads

$$\mathcal{E}_0 = \mathcal{J} + \frac{\sqrt{\mathcal{J}^2 + 1}}{\mathcal{J}} \mathcal{S} - \frac{\mathcal{J}^2 + 2}{4\mathcal{J}^3(\mathcal{J}^2 + 1)} \mathcal{S}^2 + \frac{3\mathcal{J}^6 + 13\mathcal{J}^4 + 20\mathcal{J}^2 + 8}{16\mathcal{J}^5(\mathcal{J}^2 + 1)^{5/2}} \mathcal{S}^3 + \dots \tag{5.18}$$

The quasi momenta are closely related to those of the  $AdS_5 \times S^5$  folded string since motion is still in  $AdS_3 \times S^1$  and the  $\mathbb{CP}^3$  part of the background plays almost no role.

The only non trivial case is

$$\begin{aligned}
q_1(x) &= \pi f(x) \left\{ -\frac{J}{4\pi g} \left( \frac{1}{f(1)(1-x)} - \frac{1}{f(-1)(1+x)} \right) + \right. \\
&\quad - \frac{4}{\pi(a+b)(a-x)(a+x)} \left[ (x-a) \mathbb{K} \left( \frac{(b-a)^2}{(b+a)^2} \right) + \right. \\
&\quad \left. \left. + 2a \Pi \left( \frac{(b-a)(a+x)}{(a+b)(x-a)} \middle| \frac{(b-a)^2}{(b+a)^2} \right) \right] \right\} - \pi.
\end{aligned} \tag{5.19}$$

where the branch points obey  $1 < a < b$  and

$$f(x) = \sqrt{x-a} \sqrt{x+a} \sqrt{x-b} \sqrt{x+b}, \quad (5.20)$$

$$\begin{aligned} S &= 2g \frac{ab+1}{ab} \left[ b \mathbb{E} \left( 1 - \frac{a^2}{b^2} \right) - a \mathbb{K} \left( 1 - \frac{a^2}{b^2} \right) \right], \\ J &= \frac{4g}{b} \sqrt{(a^2-1)(b^2-1)} \mathbb{K} \left( 1 - \frac{a^2}{b^2} \right), \\ E &= 2g \frac{ab-1}{ab} \left[ b \mathbb{E} \left( 1 - \frac{a^2}{b^2} \right) + a \mathbb{K} \left( 1 - \frac{a^2}{b^2} \right) \right]. \end{aligned} \quad (5.21)$$

The other quasi-momenta are

$$q_2(x) = -q_1(1/x), \quad (5.22)$$

$$q_3(x) = q_4(x) = \frac{J}{2g} \frac{x}{x^2-1}. \quad (5.23)$$

$$q_5(x) = 0. \quad (5.24)$$

The above expressions are valid for a folded string with minimal winding. Adding winding is trivial at the classical level, but requires non trivial changes at the one-loop level (see for instance [61] for a detailed analysis of the  $AdS_5 \times S^5$  case).

The independent off-shell frequencies can be determined by the methods of [87]. The result is rather simple and reads <sup>18</sup>

$$\Omega_A(x) = \frac{1}{ab-1} \left( 1 - \frac{f(x)}{x^2-1} \right), \quad (5.25)$$

$$\Omega_B(x) = \frac{\sqrt{a^2-1} \sqrt{b^2-1}}{ab-1} \frac{1}{x^2-1}. \quad (5.26)$$

The one-loop shift of the energy is given in full generality by the following sum of zero point energies

$$E_1 = \frac{1}{2} \sum_{n=-\infty}^{\infty} \sum_{ij} (-1)^{F_{ij}} \omega_n^{ij}, \quad \omega_n^{ij} = \Omega^{ij}(x_n^{ij}), \quad (5.27)$$

where the sum over  $ij$  is over the  $8_B + 8_F$  physical polarizations and  $x_n^{ij}$  is the unique solution to the equation (5.12) under the condition  $|x_n^{ij}| > 1$  <sup>19</sup>.

In the same spirit as [93, 61], the infinite sum over on-shell frequencies can be evaluated by contour integration in the complex plane. The result is quite similar to the  $AdS_5 \times S^5$  one and reads

$$E_1 = E_1^{\text{anomaly},1} + E_1^{\text{anomaly},2} + E_1^{\text{dressing}} + E_1^{\text{wrapping}}, \quad (5.28)$$

<sup>18</sup>Notice the important relation  $\Omega_B(x) = -\Omega_B(1/x) + \Omega_B(0)$ .

<sup>19</sup> If it happens that for some  $ij$  and  $n$  the above equation has no solution, then we shall say that the polarization  $(ij)$  has the missing mode  $n$ . Missing modes can be treated according to the procedure discussed in [87].



with <sup>20</sup>

$$E_1^{\text{anomaly},1} = 2 \int_a^b \frac{dx}{2\pi i} [\Omega^{1,10}(x) - \Omega^{1,10}(a)] \partial_x \log \sin q_1(x), \quad (5.29)$$

$$E_1^{\text{anomaly},2} = -2 \times 2 \int_a^b \frac{dx}{2\pi i} [\Omega^{1,5}(x) - \Omega^{1,5}(a)] \partial_x \log \sin \frac{q_1(x)}{2}, \quad (5.30)$$

$$E_1^{\text{dressing}} = \sum_{ij} (-1)^{F_{ij}} \int_{-1}^1 \frac{dz}{2\pi i} \Omega^{ij}(z) \partial_z \frac{i [q_i(z) - q_j(z)]}{2}, \quad (5.31)$$

$$E_1^{\text{wrapping}} = \sum_{ij} (-1)^{F_{ij}} \int_{-1}^1 \frac{dz}{2\pi i} \Omega^{ij}(z) \partial_z \log(1 - e^{-i(q_i(z) - q_j(z))}), \quad (5.32)$$

As in  $AdS_5 \times S^5$ , the labeling of the various contributions reminds their physical origin. In particular, dressing and wrapping contributions have been separated in order to split the asymptotic contribution from finite size effects. As in  $AdS_5 \times S^5$ , the anomaly terms are special contributions arising from the deformation of contours and ultimately due to the presence of the algebraic curve cuts. The representation (5.27) is a compact formula for  $E_1$  and can be evaluated numerically with minor effort. In order to understand it better, we shall now analyze the short and long string limit. In the former case, we shall evaluate the explicit sum over frequencies clarifying the relation with the contour integrals. In the latter, we shall extract the analytical expansion at large spin directly from (5.27).

### 5.3.1 Short string limit

The short string limit is generically  $\mathcal{S} \rightarrow 0$ . Regarding  $\mathcal{J}$ , we shall consider two cases. The first amounts to keeping  $\mathcal{J}$  fixed, expanding in the end each coefficient of powers of  $\mathcal{S}$  at small  $\mathcal{J}$ . This is precisely the procedure worked out in [61] in  $AdS_5 \times S^5$ . In the second case, we shall keep the ratio  $\rho = \mathcal{J}/\sqrt{\mathcal{S}}$  fixed as in [151]. The two expansions are related, but not equivalent and provide useful different information.

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<sup>20</sup>Here,  $x(z) = z + \sqrt{z^2 - 1}$ . Also the anomaly contributions are computed integrating on the upper half complex plane.

After a straightforward computation, our main result is

$$\begin{aligned}
E_1 = & \left( -\frac{1}{2\mathcal{J}^2} + \frac{\log(2) - \frac{1}{2}}{\mathcal{J}} + \frac{1}{4} + \mathcal{J} \left( -\frac{3\zeta(3)}{8} + \frac{1}{2} - \frac{\log(2)}{2} \right) - \frac{3\mathcal{J}^2}{16} + \right. \\
& + \mathcal{J}^3 \left( \frac{3\zeta(3)}{16} + \frac{45\zeta(5)}{128} - \frac{1}{2} + \frac{3\log(2)}{8} \right) + \dots \Big) \mathcal{S} + \\
& + \left( \frac{3}{4\mathcal{J}^4} + \frac{\frac{1}{2} - \log(2)}{\mathcal{J}^3} - \frac{1}{8\mathcal{J}^2} + \frac{\frac{1}{16} - \frac{3\zeta(3)}{4}}{\mathcal{J}} - \frac{1}{8} + \mathcal{J} \left( \frac{69\zeta(3)}{64} + \frac{165\zeta(5)}{128} - \frac{27}{32} + \frac{\log(2)}{2} \right) + \right. \\
& + \frac{3\mathcal{J}^2}{8} + \mathcal{J}^3 \left( -\frac{163\zeta(3)}{128} - \frac{405\zeta(5)}{256} - \frac{875\zeta(7)}{512} + \frac{235}{128} - \log(2) \right) + \dots \Big) \mathcal{S}^2 + \\
& + \left( -\frac{5}{4\mathcal{J}^6} + \frac{\frac{3\log(2)}{2} - \frac{3}{4}}{\mathcal{J}^5} + \frac{\frac{9\zeta(3)}{16} + \frac{1}{16}}{\mathcal{J}^3} + \frac{1}{16\mathcal{J}^2} + \frac{\frac{45\zeta(3)}{64} + \frac{75\zeta(5)}{256} - \frac{7}{32} + \frac{\log(2)}{8}}{\mathcal{J}} + \frac{11}{64} + \right. \\
& + \mathcal{J} \left( -\frac{89\zeta(3)}{32} - \frac{745\zeta(5)}{256} - \frac{3815\zeta(7)}{2048} + 2 - \frac{33\log(2)}{32} \right) - \frac{465\mathcal{J}^2}{512} + \\
& \left. + \mathcal{J}^3 \left( \frac{5833\zeta(3)}{1024} + \frac{1585\zeta(5)}{256} + \frac{98035\zeta(7)}{16384} + \frac{259455\zeta(9)}{65536} - \frac{405}{64} + \frac{775\log(2)}{256} \right) + \dots \right) \mathcal{S}^3 + \dots
\end{aligned} \tag{5.3}$$

This expansion is rather similar to the one derived in [61] for  $AdS_5 \times S^5$ , but there are two remarkable differences:

1. The leading terms at small  $\mathcal{J}$  are  $\mathcal{O}(\mathcal{S}^n/\mathcal{J}^{2n})$ . Instead, they were  $\mathcal{O}(\mathcal{S}^n/\mathcal{J}^{2n-1})$  in  $AdS_5 \times S^5$ . Also, there are terms with all parities in  $\mathcal{J}$  while in  $AdS_5 \times S^5$ , there appear only terms odd under  $\mathcal{J} \rightarrow -\mathcal{J}$ . The additional terms are important and we shall discuss them in more details later. Remarkably, they imply that if one scales  $\mathcal{J} \sim \sqrt{\mathcal{S}}$  they give a constant contribution in the short string limit. This is different from  $AdS_5 \times S^5$  where the energy correction vanishes like  $\sqrt{\mathcal{S}}$  in this regime.
2. There are terms proportional to  $\log(2)$ . As we discuss in App. (??), these terms can be removed by expressing the energy correction in terms of the coupling in the world-sheet scheme. The scheme dependence is universal and agrees with that found in [152] for a circular string solution and in [153] for the giant magnon.

The result in this limit is

$$E_1 = -\frac{1}{2} \mathcal{C}(\rho, \mathcal{S}) + a_{01}(\rho) \sqrt{\mathcal{S}} + a_{1,1}(\rho) \mathcal{S}^{3/2} + \mathcal{O}(\mathcal{S}^{5/2}), \tag{5.34}$$

where

$$a_{1,0}(\rho) = \frac{2 \log(2) - 1}{2 \sqrt{\rho^2 + 2}}, \tag{5.35}$$

$$a_{1,1}(\rho) = -\frac{\log(2) (2\rho^4 + 6\rho^2 + 3)}{4 (\rho^2 + 2)^{3/2}} + \frac{8\rho^4 + 25\rho^2 + 16}{16 (\rho^2 + 2)^{3/2}} - \frac{3 (\rho^2 + 3) \zeta(3)}{8 \sqrt{\rho^2 + 2}}, \tag{5.36}$$

and  $\mathcal{C}$  is related to the branch cut endpoints by the formula

$$\mathcal{C} = \frac{\sqrt{(a^2 - 1)(b^2 - 1)}}{1 - ab} + 1. \quad (5.37)$$

Its expansion at small  $\mathcal{S}$  with fixed  $\rho = \mathcal{J}/\sqrt{\mathcal{S}}$  is

$$\mathcal{C} = 1 - \frac{\rho}{\sqrt{\rho^2 + 2}} - \frac{2\rho^3 + 5\rho}{4(\rho^2 + 2)^{3/2}} \mathcal{S} + \frac{\rho(12\rho^6 + 68\rho^4 + 126\rho^2 + 73)}{32(\rho^2 + 2)^{5/2}} \mathcal{S}^2 + \dots \quad (5.38)$$

Expanding  $E_1$  at large  $\rho$  we partially resum the calculation at fixed  $\mathcal{J}$ . Just to give an example, from the expansion

$$-\frac{1}{2} \left( 1 - \frac{\rho}{\sqrt{\rho^2 + 2}} \right) = -\frac{1}{2\rho^2} + \frac{3}{4\rho^4} - \frac{5}{4\rho^6} + \frac{35}{16\rho^8} - \frac{63}{16\rho^{10}} + \dots, \quad (5.39)$$

we read the coefficients of **all** terms  $\sim \mathcal{S}^n/\mathcal{J}^{2n}$ . The first ones are of course in agreement with (5.33). As another non trivial example, the large  $\rho$  expansion of  $a_{11}(\rho)$  is

$$\begin{aligned} a_{11}(\rho) = & \rho \left( -\frac{3\zeta(3)}{8} + \frac{1}{2} - \frac{\log(2)}{2} \right) + \frac{\frac{1}{16} - \frac{3\zeta(3)}{4}}{\rho} + \frac{\frac{9\zeta(3)}{16} + \frac{1}{16}}{\rho^3} + \\ & + \frac{-\frac{3\zeta(3)}{4} - \frac{1}{32} - \frac{\log(2)}{4}}{\rho^5} + \frac{\frac{75\zeta(3)}{64} - \frac{5}{32} + \frac{15\log(2)}{16}}{\rho^7} + \dots, \end{aligned} \quad (5.40)$$

and allows to read the coefficients of all terms  $\sim \mathcal{S}^n/\mathcal{J}^{2n-3}$ .

### 5.3.2 Summation issues

The explicit sum over the infinite number of on-shell frequencies requires some care and a definite prescription since the sums are not absolutely convergent due to physically sensible cancellations between bosonic and fermionic contributions. As discussed in [150], the following summation prescription is natural from the point of view of the algebraic curve (see [154] for a different prescription) <sup>21</sup>

$$E_1 = \sum_{n=1}^{\infty} K_n, \quad (5.41)$$

where  $K_n$  is a particular grouping of heavy and light modes

$$K_n = \begin{cases} \omega_n^{\text{heavy}} + \omega_{n/2}^{\text{light}} & n \in 2\mathbb{Z} \\ \omega_n^{\text{heavy}} & n \notin 2\mathbb{Z}, \end{cases} \quad (5.42)$$

<sup>21</sup>Notice that we exploit the  $x \rightarrow -x$  symmetry of the classical algebraic curve as well as triviality of zero mode corrections.

with

$$\omega_n^{\text{heavy}} = \omega_n^{(AdS,1)} + \omega_n^{(AdS,2)} + \omega_n^{(AdS,3)} + \omega_n^{(\mathbb{CP},1)} - 2\omega_n^{(F,1)} - 2\omega_n^{(F,2)}, \quad (5.43)$$

$$\omega_n^{\text{light}} = 4\omega_n^{(\mathbb{CP},2)} - 2\omega_n^{(F,3)} - 2\omega_n^{(F,4)}. \quad (5.44)$$

The short string expansion of  $K_n$  takes the form

$$K_p = (-1)^p \mathcal{C} + \widehat{K}_p \quad (5.45)$$

where  $\mathcal{C}$ , given in (5.37), is independent on  $p$  and the sum of  $\widehat{K}_p$  (which start at  $\mathcal{O}(\mathcal{S})$ ) is convergent. The alternating constant  $\mathcal{C}$  poses some problems because we have to give a meaning to

$$-\mathcal{C} + \mathcal{C} - \mathcal{C} + \mathcal{C} + \dots. \quad (5.46)$$

An analysis of the integral representation shows that it automatically selects the choice

$$-\mathcal{C} + \mathcal{C} - \mathcal{C} + \mathcal{C} + \dots \equiv -\frac{1}{2}\mathcal{C} \quad (5.47)$$

Later, we shall provide various consistency checks of this prescription. In particular, we shall see that it is necessary in order to match the asymptotic Bethe Ansatz equations when wrapping effects are subtracted. Notice also that the expansion of  $\mathcal{C}$  at fixed  $\mathcal{J}$  is

$$\mathcal{C} = \frac{\mathcal{S}}{\mathcal{J}^2 \sqrt{\mathcal{J}^2 + 1}} - \frac{(3\mathcal{J}^4 + 11\mathcal{J}^2 + 6)\mathcal{S}^2}{4\mathcal{J}^4 (\mathcal{J}^2 + 1)^2} + \frac{12\mathcal{J}^8 + 75\mathcal{J}^6 + 173\mathcal{J}^4 + 140\mathcal{J}^2 + 40}{16\mathcal{J}^6 (\mathcal{J}^2 + 1)^{7/2}} \mathcal{S}^3 + \dots, \quad (5.48)$$

so, upon expanding at small  $\mathcal{J}$ , it provides precisely the terms with even/odd  $\mathcal{J}$  exponents in the coefficients of the odd/even powers of  $\mathcal{S}$  in (5.33).

Apart from the  $\mathcal{C}$  term, the integral representation implements the Gromov-Mikhailov (GM) prescription. The reason is that the singularities at  $|x| = 1$  are avoided by implicitly encircling them by a small circumference. This cut-off on  $|x - 1|$  translates in a bound on the highest mode  $n$  that correlates heavy/light polarizations according to GM. In other words the highest mode for light polarizations is asymptotically half the highest mode for heavy polarizations.

As a numerical check of the agreement between the integral representation and the series expansion, we fix  $\rho = 1$  in table (2) and show the value of  $E_1$  from our analytical resummation and result from the integral. The agreement is very good already at moderately small  $\mathcal{S}$ .

A similar check at fixed  $\mathcal{J}$  is shown in Fig. (??) where we plot the asymptotic expansion (5.33) and the exact numerical  $E_1$  as functions of  $\mathcal{S}$  at  $\mathcal{J} = 1/5$ .

$\mathcal{S}$	$E_1$ from (5.34)	$E_1$
1/10	-0.18790	-0.17987
1/50	-0.19461	-0.19443
1/100	-0.19934	-0.19930
1/300	-0.20449	-0.20448
1/500	-0.206075	-0.206075

Table 2: Comparison between resummation at fixed ratio  $\rho = 1$  and integral representation. The asymptotic value for  $\mathcal{S} \rightarrow 0$  is  $(\sqrt{3} - 3)/6 \simeq -0.211$ , but already at  $\mathcal{S} = 1/500$  we have 6 digits agreement.

### 5.3.3 The slope function

The one-loop correction  $E_1$  tends to zero linearly with  $\mathcal{S}$  when  $\mathcal{S} \rightarrow 0$  at fixed  $\mathcal{J}$ . The slope ratio

$$\sigma(\mathcal{J}) = \lim_{\mathcal{S} \rightarrow 0} \frac{E_1(\mathcal{S}, \mathcal{J})}{\mathcal{S}}, \quad (5.49)$$

is an important quantity related to the conjectures in [80]<sup>22</sup>. It is known that it does not receive dressing corrections both in  $AdS_5 \times S^5$  and in  $AdS_4 \times \mathbb{CP}^3$  since such contributions start at order  $\mathcal{S}^2$  [80]. It also does not receive wrapping corrections in  $AdS_5$ . Instead, in the case of  $AdS_4$  the slope has a non vanishing wrapping contribution. For instance, a rough evaluation at  $\mathcal{J} = 1$  gives a definitely non zero value around  $-0.042$ .

Indeed, an analytical calculation shows that the wrapping contribution to the slope in  $AdS_4 \times \mathbb{CP}^3$  is exactly

$$\sigma^{\text{wrap}}(\mathcal{J}) = \sum_{n=-\infty}^{\infty} \sigma_n = -\frac{1}{2\mathcal{J}} \sum_{n=-\infty}^{\infty} \frac{(-1)^n}{\sqrt{\mathcal{J}^4 + (n^2 + 1)} \mathcal{J}^2 + n^2}. \quad (5.50)$$

This formula is in perfect agreement with numerics since for instance

$$\sigma^{\text{wrap}}(\mathcal{J} = 1) = -0.041777654879558824814 \dots \quad (5.51)$$

The large  $\mathcal{J}$  limit of this expression is exponentially suppressed as it should

$$\sigma^{\text{wrap}}(\mathcal{J}) = -\frac{\sqrt{2}}{\mathcal{J}^{5/2}} e^{-\pi \mathcal{J}} + \dots \quad (5.52)$$

<sup>22</sup>The exact slope mentioned in [80] is the coefficient of  $S$  in the expansion of  $E^2$ . This line of analysis is suggested by the simplicity of the marginality condition in  $AdS_5 \times S^5$  (see [155] for a general discussion). Here, it is simpler to discuss the quantity  $\sigma(\mathcal{J})$ .

To analyze the small  $\mathcal{J}$  limit it is convenient to split this contribution into the  $n = 0$  term plus the rest. The result is very intriguing. For the  $n = 0$  term, we find

$$\sigma_{n=0}^{\text{wrap}} = -\frac{1}{2\mathcal{J}^2\sqrt{\mathcal{J}^2+1}} = -\frac{1}{2\mathcal{J}^2} + \frac{1}{4} - \frac{3\mathcal{J}^2}{16} + \frac{5\mathcal{J}^4}{32} - \frac{35\mathcal{J}^6}{256} + \frac{63\mathcal{J}^8}{512} + \dots \quad (5.53)$$

This is precisely the set of terms even under  $\mathcal{J} \rightarrow -\mathcal{J}$  in the full slope which is the first term of (5.33). Similarly, we can consider the rest of  $\sigma^{\text{wrap}}$  and expand at small  $\mathcal{J}$ . We find

$$\begin{aligned} \sum_{n \neq 0} \sigma_n^{\text{wrap}} &= \frac{\log(2)}{\mathcal{J}} + \mathcal{J} \left( -\frac{3\zeta(3)}{8} - \frac{\log(2)}{2} \right) + \mathcal{J}^3 \left( \frac{3\zeta(3)}{16} + \frac{45\zeta(5)}{128} + \frac{3\log(2)}{8} \right) + \\ &+ \mathcal{J}^5 \left( -\frac{9\zeta(3)}{64} - \frac{45\zeta(5)}{256} - \frac{315\zeta(7)}{1024} - \frac{5\log(2)}{16} \right) + O(\mathcal{J}^6). \end{aligned} \quad (5.54)$$

Comparing again with (5.33), we see that we are reproducing all the irrational terms of the slope, involving zeta functions or  $\log(2)$ . The remaining terms are the same as in  $AdS_5 \times S^5$  <sup>23</sup>,

$$\sigma(\mathcal{J}) - \sigma^{\text{wrap}}(\mathcal{J}) = -\frac{1}{2\mathcal{J}} + \frac{\mathcal{J}}{2} - \frac{\mathcal{J}^3}{2} + \dots \quad (5.55)$$

Thus, we are led to the following expression for the one-loop full slope

$$\sigma(\mathcal{J}) = -\frac{1}{2\mathcal{J}} \left[ \frac{1}{\mathcal{J}^2+1} + \sum_{n=-\infty}^{\infty} \frac{(-1)^n}{\sqrt{\mathcal{J}^4 + (n^2+1)\mathcal{J}^2 + n^2}} \right]. \quad (5.56)$$

The above analysis of the slope is a confirmation that the various terms in (5.33) are organized in the expected way. The asymptotic contribution is precisely the same as in  $AdS_5 \times S^5$ , while wrapping is different and is exponentially suppressed for large operators. This is a property of the integral representation and a confirm that the prescription (5.47) is correct.

### 5.3.4 Weak coupling

It is interesting to evaluate the slope at weak coupling. In principle, this requires the knowledge of the anomalous dimensions of short  $\mathfrak{sl}(2)$  operators in closed form as a function of the spin at a certain length (*i.e.* twist, in the gauge theory dictionary). This information is available for the asymptotic contribution, but not for the wrapping, which is only known as a series expansion at large spin and low twist [156, 157]. Nevertheless, if we are interested in the correction to the slope only (so, just the first

<sup>23</sup> This is due to the fact that the BAE are essentially the same as for  $\mathfrak{sl}(2)$  sector in  $AdS_5 \times S^5$ . This is however a nontrivial test that all is done correctly.

term at small spin), then the Lüscher form of the wrapping correction presented in [156] is enough <sup>24</sup>. At twist-1, and following the notation of [156], the wrapping correction enters at four loops and is expressed by the following function of the integer spin  $N$  of the gauge theory operator

$$\gamma_4^{\text{wrapping}}(N) = \gamma_2(N) \mathcal{W}(N), \quad \gamma_2(N) = 4[S_1(N) - S_{-1}(N)]. \quad (5.57)$$

Here,  $S_a(N)$  are generalized harmonic sums while  $\mathcal{W}(N)$  is a complicated expression depending on the Baxter polynomial  $Q_N(u)$  associated with the Bethe roots. The first factor  $\gamma_2(N)$  is nothing but the two-loop anomalous dimension of the twist-1 operators. In the small  $N$  limit, it starts at  $\mathcal{O}(N)$ . Thus, the factor  $\mathcal{W}(N)$  can be evaluated at  $N = 0$  where the Baxter polynomial trivializes  $Q_0(u) = 1$ . After a straightforward calculation, one finds that (on the even  $N$  branch),

$$\gamma_4^{\text{wrapping}}(N) = -\frac{\pi^4}{3} N + \mathcal{O}(N^2). \quad (5.58)$$

So, even at weak coupling, we find a correction to the slope coming from the wrapping terms <sup>25</sup>.

### 5.3.5 Prediction for short states

We can provide a prediction for the strong coupling expansion of the energy of short states that in principle could be tested by TBA calculations. To this aim, we can start from our results at fixed  $\rho = \mathcal{J}/\sqrt{S}$ , and re-expand at large  $\Lambda$  the sum of the (scaled) classical energy

$$\mathcal{E}_0 = \sqrt{(\rho^2 + 2)S} \left[ 1 + \frac{2\rho^2 + 3}{4(\rho^2 + 2)} S - \frac{4\rho^6 + 20\rho^4 + 34\rho^2 + 21}{32(\rho^2 + 2)^2} S^2 + \dots \right] \quad (5.59)$$

and the one-loop contribution (5.34). The result is

$$E = (4\pi g)^{1/2} \sqrt{2S} - \frac{1}{2} + \frac{\sqrt{2S}}{(4\pi g)^{1/2}} \left( \frac{J(J+1)}{4S} + \frac{3S}{8} - \frac{1}{4} + \frac{1}{2} \log(2) \right) + \dots \quad (5.60)$$

The same expansion where we remark that one of the effect of the  $\mathcal{C}$  term is the constant  $\mathcal{O}(\tilde{\Lambda}^0)$  contribution.

The same expansion can be written in terms of the coupling  $g_{\text{WS}}$  in the world-sheet regularization whose relation with  $g$  is [152, 153]

$$g = g_{\text{WS}} - \frac{\log(2)}{4\pi} + \dots \quad (5.61)$$

<sup>24</sup>We kindly thank B. Basso for this important remark.

<sup>25</sup>Notice that the reason why such a contribution is absent in  $AdS_5 \times S^5$  is simply that the factor analogous to  $\gamma_2(N)$  is squared in the wrapping contribution. This leads immediately to a contribution to the slope of order  $\mathcal{O}(N^2)$ .

After this replacement, eq.(5.60) reads

$$E = (4\pi g_{\text{WS}})^{1/2} \sqrt{2S} - \frac{1}{2} + \frac{\sqrt{2S}}{(4\pi g_{\text{WS}})^{1/2}} \left( \frac{J(J+1)}{4S} + \frac{3S}{8} - \frac{1}{4} \right) + \dots, \quad (5.62)$$

without  $\log(2)$  term. This is correct since in world-sheet regularization all modes are treated with uniform cutoff.

#### 5.4 The $\mathbf{P}_\mu$ -system and exact results

bla



## 6 Conclusions

Conclude with a tearful and heroic description about the journey of Konishi through the land of integrability - from weak to strong coupling.

## A Summary of notation and definitions

In this appendix we summarize some notation used throughout the paper.

### Laurent expansions in $x$

We often represent functions of the spectral parameter  $u$  as a series in  $x$

$$f(u) = \sum_{n=-\infty}^{\infty} f_n x^n \quad (\text{A.1})$$

with

$$u = g(x + 1/x). \quad (\text{A.2})$$

We denote by  $[f]_+$  and  $[f]_-$  part of the series with positive and negative powers of  $x$ :

$$[f]_+ = \sum_{n=1}^{\infty} f_n x^n, \quad (\text{A.3})$$

$$[f]_- = \sum_{n=1}^{\infty} f_{-n} x^{-n}. \quad (\text{A.4})$$

As a function of  $u$ ,  $x(u)$  has a cut from  $-2g$  to  $2g$ . For any function  $f(u)$  with such a cut we denote another branch of  $f(u)$  obtained by analytic continuation (from  $\Im u > 0$ ) around the branch point  $u = 2g$  by  $\tilde{f}(u)$ . In particular,  $\tilde{x} = 1/x$ .

### Functions $\sinh_{\pm}$ and $\cosh_{\pm}$

We define  $I_k = I_k(4\pi g)$ , where  $I_k(u)$  is the modified Bessel function of the first kind. Then

$$\sinh_+ = [\sinh(2\pi u)]_+ = \sum_{k=1}^{\infty} I_{2k-1} x^{2k-1}, \quad (\text{A.5})$$

$$\sinh_- = [\sinh(2\pi u)]_- = \sum_{k=1}^{\infty} I_{2k-1} x^{-2k+1}, \quad (\text{A.6})$$

$$\cosh_+ = [\cosh(2\pi u)]_+ = \sum_{k=1}^{\infty} I_{2k} x^{2k}, \quad (\text{A.7})$$

$$\cosh_- = [\cosh(2\pi u)]_- = \sum_{k=1}^{\infty} I_{2k} x^{-2k}. \quad (\text{A.8})$$

In some cases we denote for brevity

$$\text{sh}_-^x = \sinh_-(x), \quad \text{ch}_-^x = \cosh_-(x). \quad (\text{A.9})$$

### Integral kernels

In order to solve for  $\mathbf{P}_a^{(1)}$  in section 4.5.3 we introduce integral operators  $H$  and  $K$  with kernels

$$H(u, v) = -\frac{1}{4\pi i} \frac{\sqrt{u-2g}\sqrt{u+2g}}{\sqrt{v-2g}\sqrt{v+2g}} \frac{1}{u-v} dv, \quad (\text{A.10})$$

$$K(u, v) = +\frac{1}{4\pi i} \frac{1}{u-v} dv, \quad (\text{A.11})$$

which satisfy

$$\tilde{f} + f = h, \quad f = H \cdot h \quad \text{and} \quad \tilde{f} - f = h, \quad f = K \cdot h. \quad (\text{A.12})$$

Since the purpose of  $H$  and  $K$  is to solve equations of the type A.12,  $H$  usually acts on functions  $h$  such that  $\tilde{h} = h$ , whereas  $K$  acts on  $h$  such that  $\tilde{h} = -h$ . On the corresponding classes of functions  $H$  and  $K$  can be represented by kernels which are equal up to a sign?

$$H(u, v) = -\frac{1}{2\pi i} \frac{1}{x_u - x_v} dx_v \Big|_{\tilde{h}=h}, \quad (\text{A.13})$$

$$K(u, v) = \frac{1}{2\pi i} \frac{1}{x_u - x_v} dx_v \Big|_{\tilde{h}=-h}. \quad (\text{A.14})$$

In order to be able to deal with series in half-integer powers of  $x$  in section 4.5.5 we introduce modified kernels:

$$H^* \cdot f \equiv \frac{x+1}{\sqrt{x}} H \cdot \frac{\sqrt{x}}{x+1} f, \quad (\text{A.15})$$

$$K^* \cdot f \equiv \frac{x+1}{\sqrt{x}} K \cdot \frac{\sqrt{x}}{x+1} f. \quad (\text{A.16})$$

Finally, to write the solution to equations of the type (4.131), we introduce the operator  $\Gamma'$  and its more symmetric version  $\Gamma$

$$(\Gamma' \cdot h)(u) \equiv \oint_{-2g}^{2g} \frac{dv}{4\pi i} \partial_u \log \frac{\Gamma[i(u-v)+1]}{\Gamma[-i(u-v)]} h(v), \quad (\text{A.17})$$

$$(\Gamma \cdot h)(u) \equiv \oint_{-2g}^{2g} \frac{dv}{4\pi i} \partial_u \log \frac{\Gamma[i(u-v)+1]}{\Gamma[-i(u-v)+1]} h(v). \quad (\text{A.18})$$

### Periodized Chebyshev polynomials

Periodized Chebyshev polynomials appearing in  $\mu_{ab}^{(1)}$  are defined as

$$p'_a(u) = \Sigma \cdot [x^a + 1/x^a] = 2\Sigma \cdot \left[ T_a \left( \frac{u}{2g} \right) \right], \quad (\text{A.19})$$

$$p_a(u) = p'_a(u) + \frac{1}{2} (x^a(u) + x^{-a}(u)), \quad (\text{A.20})$$

where  $T_a(u)$  are Chebyshev polynomials of the first kind. Here is the explicit form for the first five of them:

$$p'_0 = -i(u - i/2), \quad (\text{A.21})$$

$$p'_1 = -i \frac{u(u - i)}{4g}, \quad (\text{A.22})$$

$$p'_2 = -i \frac{(u - i/2)(-6g^2 + u^2 - iu)}{6g^2}, \quad (\text{A.23})$$

$$p'_3 = -i \frac{u(u - i)(-6g^2 + u(u - i))}{8g^3}, \quad (\text{A.24})$$

$$p'_4 = -i \frac{(u - \frac{i}{2})(30g^4 - 20g^2u^2 + 20ig^2u + 3u^4 - 6iu^3 - 2u^2 - iu)}{30g^4}. \quad (\text{A.25})$$

## B The slope function for odd $J$

Here we give details on solving the  $\mathbf{P}\mu$ -system for odd  $J$  at leading order in the spin. First, the parity of the  $\mu_{ab}$  functions is different from the even  $J$  case, which can be seen from the asymptotics (4.22). Following arguments similar to the discussion for even  $J$  in section ??, we obtain

$$\mu_{12} = 1, \mu_{13} = 0, \mu_{14} = 0, \mu_{24} = \cosh(2\pi u), \mu_{34} = 1. \quad (\text{B.1})$$

Plugging these  $\mu_{ab}$  into (4.20) we get a system of equations for  $\mathbf{P}_a$

$$\tilde{\mathbf{P}}_1 = -\mathbf{P}_3, \quad (\text{B.2})$$

$$\tilde{\mathbf{P}}_2 = -\mathbf{P}_4 - \mathbf{P}_1 \cosh(2\pi u), \quad (\text{B.3})$$

$$\tilde{\mathbf{P}}_3 = -\mathbf{P}_1, \quad (\text{B.4})$$

$$\tilde{\mathbf{P}}_4 = -\mathbf{P}_2 + \mathbf{P}_3 \cosh(2\pi u). \quad (\text{B.5})$$

This system can be solved in a similar way to the even  $J$  case. The only important difference is that due to asymptotics (4.21) the  $\mathbf{P}_a$  acquire an extra branch point at  $u = \infty$ .

Let us first rewrite the equations for  $\mathbf{P}_1, \mathbf{P}_3$  as

$$\tilde{\mathbf{P}}_1 + \tilde{\mathbf{P}}_3 = -(\mathbf{P}_1 + \mathbf{P}_3) \quad (\text{B.6})$$

$$\tilde{\mathbf{P}}_1 - \tilde{\mathbf{P}}_3 = \mathbf{P}_1 - \mathbf{P}_3. \quad (\text{B.7})$$

This, together with the asymptotics (4.21) implies  $\mathbf{P}_1 = \epsilon x^{-J/2}$ ,  $\mathbf{P}_3 = -\epsilon x^{J/2}$  where  $\epsilon$  is a constant. Let us note that these  $\mathbf{P}_1, \mathbf{P}_3$  contain half-integer powers of  $x$ , and the analytic continuation around the branch points at  $\pm 2g$  replaces  $\sqrt{x} \rightarrow 1/\sqrt{x}$ . Now, taking the sum and difference of the equations for  $\mathbf{P}_2, \mathbf{P}_4$  we get

$$\tilde{\mathbf{P}}_2 + \tilde{\mathbf{P}}_4 + \mathbf{P}_2 + \mathbf{P}_4 = -a_1 \left( x^{J/2} + x^{-J/2} \right) \cosh 2\pi u \quad (\text{B.8})$$

$$\tilde{\mathbf{P}}_2 - \tilde{\mathbf{P}}_4 - (\mathbf{P}_2 - \mathbf{P}_4) = a_1 \left( x^{J/2} - x^{-J/2} \right) \cosh 2\pi u \quad (\text{B.9})$$

We can split the expansion

$$\cosh 2\pi u = \sum_{k=-\infty}^{\infty} I_{2k} x^{2k} \quad (\text{B.10})$$

into the positive and negative parts according to

$$\cosh 2\pi u = \cosh_- + \cosh_+ + I_0 \quad (\text{B.11})$$

where

$$\cosh_+ = \sum_{k=1}^{\infty} I_{2k} x^{2k}, \quad \cosh_- = \sum_{k=1}^{\infty} I_{2k} x^{-2k}. \quad (\text{B.12})$$

Then we can write

$$\mathbf{P}_2 + \mathbf{P}_4 = -a_1 (x^{J/2} + x^{-J/2}) \cosh_- - a_1 I_0 x^{-J/2} + Q, \quad (\text{B.13})$$

$$\mathbf{P}_2 - \mathbf{P}_4 = -a_1 (x^{J/2} - x^{-J/2}) \cosh_- + a_1 I_0 x^{-J/2} + P, \quad (\text{B.14})$$

where  $Q$  and  $P$  are some polynomials in  $\sqrt{x}, 1/\sqrt{x}$  satisfying

$$\tilde{Q} = -Q, \quad \tilde{P} = P. \quad (\text{B.15})$$

We get

$$\mathbf{P}_2 = -a_1 x^{J/2} \cosh_- + \frac{Q + P}{2}, \quad (\text{B.16})$$

$$\mathbf{P}_4 = a_1 x^{-J/2} \cosh_- - a_1 I_0 x^{-J/2} + \frac{Q - P}{2}. \quad (\text{B.17})$$

Now imposing the correct asymptotics of  $\mathbf{P}_2$  we find

$$\frac{P + Q}{2} = a_1 x^{J/2} \sum_{k=1}^{\frac{J-1}{2}} I_{2k} x^{-2k} \quad (\text{B.18})$$

Due to (B.15) this relation fixes  $Q$  and  $P$  completely, and we obtain the solution given in section 2.1,

$$\mu_{12} = 1, \mu_{13} = 0, \mu_{14} = 0, \mu_{24} = \cosh(2\pi u), \mu_{34} = 1, \quad (\text{B.19})$$

$$\mathbf{P}_1 = a_1 x^{-J/2}, \quad (\text{B.20})$$

$$\mathbf{P}_2 = -a_1 x^{J/2} \sum_{k=-\infty}^{-\frac{J+1}{2}} I_{2k} x^{2k}, \quad (\text{B.21})$$

$$\mathbf{P}_3 = -a_1 x^{J/2}, \quad (\text{B.22})$$

$$\mathbf{P}_4 = a_1 x^{-J/2} \cosh_- - a_1 x^{-J/2} \sum_{k=1}^{\frac{J-1}{2}} I_{2k} x^{2k} - a_1 I_0 x^{-J/2}. \quad (\text{B.23})$$

Notice that the branch point at infinity is absent from the product of any two  $\mathbf{P}$ 's, as it should be [49, 112]. One can check that this solution gives again the correct result (4.125) for the slope function.

## C NLO solution of $P\mu$ system: details

In this appendix we will provide more details on the solution of the  $\mathbf{P}\mu$ -system and calculation of curvature function for  $J = 2, 3, 4$  which was presented in the main text in sections 4.5.1, 4.5.5, C.4.

### C.1 NLO corrections to $\mu_{ab}$ for $J = 2$

Here we present some details of calculation of NLO corrections to  $\mu_{ab}$  for  $J = 2$  omitted in the main text. As described in section 4.5.2,  $\mu_{ab}^{(1)}$  are found as solutions of (4.131) with appropriate asymptotics. The general solution of this equation consists of a general solution of the corresponding homogeneous equation (which can be reduced to one-parametric form (4.152)) and a particular solution of the inhomogeneous one. The latter can be taken to be

$$\mu_{ab}^{disc} = \Sigma \cdot \left( \mathbf{P}_a^{(1)} \tilde{\mathbf{P}}_b^{(1)} - \mathbf{P}_b^{(1)} \tilde{\mathbf{P}}_a^{(1)} \right). \quad (\text{C.1})$$

One can get rid of the operation  $\Sigma$ , expressing  $\mu_{ab}^{disc}$  in terms of  $\Gamma'$  and  $p'_a$ . This procedure is based on two facts: the definition (4.137) of  $p'_a$  and the statement that on

functions decaying at infinity  $\Sigma$  coincides with  $\Gamma'$  defined by (4.135). After a straightforward but long calculation we find

$$\mu_{31}^{disc} = \epsilon^2 \Sigma \left( \frac{1}{x^2} - x^2 \right) = -\epsilon^2 (\Gamma \cdot x^2 + p_2), \quad (\text{C.2})$$

$$\mu_{41}^{disc} = \epsilon^2 \left[ -2I_1 p_1 - 4I_1 \Gamma \cdot x + \sinh(2\pi u) (\Gamma \cdot x^2 + p_0) + \Gamma \cdot \sinh_- \left( x - \frac{1}{x} \right)^2 \right] \quad (\text{C.3})$$

$$\mu_{43}^{disc} = -2\epsilon^2 \left[ -2I_1 p_1 - 4I_1 \Gamma \cdot x + \sinh(2\pi u)(p_2 - p_0) + \Gamma \cdot \sinh_- \left( x - \frac{1}{x} \right)^2 \right] \quad (\text{C.4})$$

$$\mu_{21}^{disc} = \epsilon^2 \left[ 2I_1 \Gamma \cdot x - \sinh(2\pi u) \Gamma \cdot x^2 - \Gamma \cdot \sinh_- \left( x^2 + \frac{1}{x^2} \right) \right], \quad (\text{C.5})$$

$$\mu_{24}^{disc} = \epsilon^2 \left[ 2I_1 \Gamma \cdot \sinh_- \left( x + \frac{1}{x} \right) + I_1^2 p_0 + \right. \quad (\text{C.6})$$

$$\left. + \sinh(2\pi u) \Gamma \cdot \sinh_- \left( x^2 - \frac{1}{x^2} \right) - \Gamma \cdot \sinh_-^2 \left( x^2 - \frac{1}{x^2} \right) \right]. \quad (\text{C.7})$$

Here we write  $\Gamma$  and  $p_a$  instead of  $\Gamma'$  and  $p'_a$  taking into account the discussion between equations (4.157) - (4.162).

## C.2 NLO solution of the $\mathbf{P}\mu$ -system at $J = 3$

In this appendix we present some intermediate formulas for the calculation of curvature function for  $J = 3$  in section 4.5.5 omitted in the main text.

- The particular solution of the inhomogeneous equation (4.131) which we construct as  $\mu_{31}^{disc} = \Sigma \cdot \left( \mathbf{P}_a^{(1)} \tilde{\mathbf{P}}_b^{(1)} - \mathbf{P}_b^{(1)} \tilde{\mathbf{P}}_a^{(1)} \right)$  can be written using the operation  $\Gamma$  and  $p_a$  defined by (4.162) and (4.160)<sup>26</sup>

$$\mu_{31}^{disc} = \Sigma \cdot (\mathbf{P}_3 \tilde{\mathbf{P}}_1 - \mathbf{P}_1 \tilde{\mathbf{P}}_3) = -2\epsilon^2 [\Gamma x^3 + p_3], \quad (\text{C.8})$$

$$\mu_{41}^{disc} = -\epsilon^2 [2p_2 I_2 + 2I_2 \Gamma x^2 + 2\Gamma \cdot \cosh_- + (I_0 - \cosh(2\pi u))p_0], \quad (\text{C.9})$$

$$\mu_{34}^{disc} = \epsilon^2 [2I_2 \Gamma x + I_0 \Gamma x^3 - \Gamma \cdot (x^3 + x^{-3}) \cosh_- + \cosh(2\pi u)(2p_3 + \Gamma x^3)] \quad (\text{C.10})$$

$$\mu_{21}^{disc} = \epsilon^2 [2I_2 \Gamma x + (I_0 - \cosh(2\pi u))\Gamma x^3 - \Gamma \cdot ((x^3 + x^{-3}) \cosh(2\pi u))] \quad (\text{C.11})$$

$$\mu_{24}^{disc} = -2\epsilon^2 \left[ -\frac{1}{2} \Gamma \cdot \cosh_-^2 (x^3 - x^{-3}) + \left( \frac{\cosh(2\pi u)}{2} - I_0 \right) \Gamma \cdot \frac{\cosh_-}{x^3} \right] \quad (\text{C.12})$$

$$-I_2 \Gamma \cdot \left( x + \frac{1}{x} \right) \cosh_- - \frac{1}{2} \cosh(2\pi u) \Gamma \cdot x^3 \cosh_- + \quad (\text{C.13})$$

$$+ \frac{I_0}{2} (I_0 - \cosh(2\pi u)) \Gamma \cdot x^3 + \frac{I_1 I_2}{2\pi g} \Gamma x - I_2^2 p_1 \Big]. \quad (\text{C.14})$$

<sup>26</sup> Alternatively one can use  $p'_a$  and  $\Gamma'$  instead of  $p_a$  and  $\Gamma$  - see the discussion between the equations (4.157) - (4.162)

- The zero mode of the system (??)-(??), which we added to the solution in Eqs. (??)-(??) to ensure correct asymptotics, is

$$\begin{aligned}
 \mathbf{P}_1^{\text{zm}} &= L_1 x^{-1/2} + L_3 x^{1/2}, \\
 \mathbf{P}_2^{\text{zm}} &= -L_1 x^{1/2} \text{ch}_- + L_2 x^{-1/2} - L_3 x^{-1/2} \left( \text{ch}_- + \frac{1}{2} I_0 \right) + L_4 \left( x^{1/2} - x^{-1/2} \right), \\
 \mathbf{P}_3^{\text{zm}} &= -L_1 x^{1/2} - L_3 x^{1/2}, \\
 \mathbf{P}_4^{\text{zm}} &= -L_1 \left( I_0 x^{-1/2} + x^{-1/2} \cosh_- \right) - L_2 x^{1/2} + L_4 (x^{1/2} - x^{-1/2}) \\
 &\quad - L_3 x^{1/2} \left( \text{ch}_- + \frac{1}{2} I_0 \right).
 \end{aligned} \tag{C.15}$$

### C.3 NLO solution of the $\mathbf{P}\mu$ -system at $J = 4$

Solution of the  $\mathbf{P}\mu$  system at NLO for  $J = 4$  is completely analogous to the case of  $J = 2$ . The starting point is the LO solution (4.109)-(4.112). As described in section C.1, from LO  $\mathbf{P}_a$  we can find  $\mu_{ab}$  at NLO. Its discontinuous part is

$$\mu_{31}^{\text{disc}} = -\epsilon^2 (\Gamma \cdot x^4 + p_4), \tag{C.16}$$

$$\mu_{41}^{\text{disc}} = \frac{1}{2} \epsilon^2 \left( \sinh(2\pi u) (p_0 + \Gamma \cdot x^4) + 2(I_1 p_1 + I_3 p_3) + \right. \tag{C.17}$$

$$\left. + \Gamma \cdot \sinh_- \left( x^2 - \frac{1}{x^2} \right)^2 - 2(I_1 + I_3) (\Gamma \cdot x^3 + \Gamma \cdot x) \right), \tag{C.18}$$

$$\mu_{43}^{\text{disc}} = \epsilon^2 \left( (p_4 - p_0) \sinh(2\pi u) + 2(I_1 p_1 + I_3 p_3) - \right. \tag{C.19}$$

$$\left. - \Gamma \cdot \sinh_- \left( x^2 - \frac{1}{x^2} \right)^2 + 2(I_1 + I_3) (\Gamma \cdot x^3 + \Gamma \cdot x) \right), \tag{C.20}$$

$$\mu_{21}^{\text{disc}} = \epsilon^2 \left( -\frac{1}{2} \sinh(2\pi u) \Gamma \cdot x^4 + I_1 p_3 + I_3 p_1 - \right. \tag{C.21}$$

$$\left. - \frac{1}{2} \Gamma \cdot \sinh_- \left( x^4 + \frac{1}{x^4} \right) + I_1 \Gamma \cdot x^3 + I_3 \Gamma \cdot x \right), \tag{C.22}$$

$$\mu_{24}^{\text{disc}} = \epsilon^2 \left( \frac{1}{2} \sinh(2\pi u) \Gamma \cdot \sinh_- \left( x^4 - \frac{1}{x^4} \right) + I_3^2 p_2 + I_1 I_3 p_0 - \right. \tag{C.23}$$

$$\left. - \frac{1}{2} \Gamma \cdot \sinh_-^2 \left( x^4 - \frac{1}{x^4} \right) + I_1 \Gamma \cdot \sinh_- \left( x^3 + \frac{1}{x^3} \right) + \right. \tag{C.24}$$

$$\left. + I_3 \Gamma \cdot \sinh_- \left( x + \frac{1}{x} \right) + (I_3^2 - I_1^2) \Gamma \cdot x^2 \right), \tag{C.25}$$

and as discussed for  $J = 2$  the zero mode can be brought to the form

$$\pi_{12} = 0, \pi_{13} = 0, \pi_{14} = 0, \tag{C.26}$$

$$\pi_{24} = c_{1,24} \cosh 2\pi u, \pi_{34} = 0. \tag{C.27}$$



After that, we calculate  $r_a$  by formula (4.157) and solve the expanded to NLO  $\mathbf{P}_\mu$  system for  $\mathbf{P}_a^{(1)}$  as

$$\mathbf{P}_3^{(1)} = H \cdot r_3, \quad (\text{C.28})$$

$$\mathbf{P}_1^{(1)} = \frac{1}{2}\mathbf{P}_3^{(1)} + K \cdot \left(r_1 - \frac{1}{2}r_3\right), \quad (\text{C.29})$$

$$\mathbf{P}_4^{(1)} = K \cdot \left[(H \cdot r_3) \sinh(2\pi u) + r_4 - \frac{1}{2}r_3 \sinh(2\pi u)\right] - C(x + 1/x), \quad (\text{C.30})$$

$$\mathbf{P}_2^{(1)} = H \cdot \left[-\mathbf{P}_4^{(1)} - \mathbf{P}_1^{(1)} \sinh(2\pi u) + r_2\right] + C/x, \quad (\text{C.31})$$

where  $C$  is a constant which is fixed by requiring correct asymptotics of  $\mathbf{P}_2$ . Finally we find leading coefficients  $A_a$  of  $\mathfrak{P}_a^{(1)}$  and use expanded up to  $\mathcal{O}(S^2)$  formulas (4.23), (4.24) in the same way as in section 4.5.4 to obtain the result (C.32).

#### C.4 Result for $J = 4$

The final result for the curvature function at  $J = 4$  reads

$$\begin{aligned} \gamma_{J=4}^{(2)} = \oint \frac{du_x}{2\pi i} \oint \frac{du_y}{2\pi i} \frac{1}{ig^2(I_3 - I_5)^3} \left[ \right. & (\text{C.32}) \\ & \frac{2(\text{sh}_-^x)^2 y^4 (I_3(x^{10} + 1) - I_5 x^2(x^6 + 1))}{x^4(x^2 - 1)} - \frac{2(\text{sh}_-^y)^2 x^4(y^8 - 1)(I_3 x^2 - I_5)}{(x^2 - 1)y^4} + \\ & + \frac{4\text{sh}_-^x \text{sh}_-^y (x^4 y^4 - 1)(I_3 + I_3 x^6 y^4 - I_5 x^2(x^2 y^4 + 1))}{x^4(x^2 - 1)y^4} \\ & + \text{sh}_-^y ((y^4 + y^{-4})x^{-1}((I_1 I_5 - I_3^2)(3x^4 + 1) - 2I_1 I_3 x^6) + \\ & + \frac{2I_3 x^2(I_5(x^2 + 1)x^2 + I_1(1 - x^2)) - I_1 I_5(x^2 - 1)^2 + I_3^2(-2x^6 + x^4 + 1)}{x(x^2 - 1)} + \\ & + 2(y^3 + y^{-3}) \frac{I_1 I_3 x^6 - I_1 I_5 x^4 - I_3^2(x^2 - 1)}{x^2 - 1} - \\ & - 2I_3(y + y^{-1}) \frac{I_1(x^2 - 1) - I_3(x^6 - x^2 + 1) + I_5(x^4 - x^2 + 1)}{x^2 - 1}) + \\ & + \frac{4x^6 y^2 I_3(I_3^2 - I_1^2)}{x^2 - 1} + \frac{4xy I_1(I_3 y^2 + I_1)(I_3 + I_5)}{x^2 - 1} + \\ & \frac{2y^4(I_1 + I_3)(I_1 I_5 - I_3^2)}{x^2 - 1} - \frac{2y(y^2 + 1)(I_1 + I_3)(I_1 I_5 - I_3^2)}{x(x^2 - 1)} - \\ & - \frac{2x^3 y(I_1 + I_3)(I_1(2I_3 + (3y^2 + 1)I_5) - I_3(2I_5 y^2 + (y^2 + 3)I_3))}{x^2 - 1} \\ & + \frac{2x^2 y^4(-I_3^3 - I_1(3I_3 + I_5)I_3 + I_1^2 I_5)}{x^2 - 1} + \frac{2x^4 y(I_1^2(2yI_5 - 2y^3 I_3) - 2y(y^2 + 1)I_3^2 I_5)}{x^2 - 1} + \\ & \left. + \frac{4x^5 y I_3(2I_1^2 y^2 + I_3(I_5 - I_3)y^2 + I_1(I_3 + I_5))}{x^2 - 1} \right] \frac{1}{4\pi i} \partial_u \log \frac{\Gamma(iu_x - iu_y + 1)}{\Gamma(1 - iu_x + iu_y)} \end{aligned}$$

where, similarly to  $J = 2, 3$ , the integrals go around the branch between  $-2g$  and  $2g$ .

## D Weak coupling expansion – details

First, we give the expansion of our results for the slope-to slope functions  $\gamma_J^{(2)}$  to 10 loops. We start with  $J = 2$ :

$$\begin{aligned}
 \gamma_{J=2}^{(2)} = & -8g^2\zeta_3 + g^4 \left( 140\zeta_5 - \frac{32\pi^2\zeta_3}{3} \right) + g^6 (200\pi^2\zeta_5 - 2016\zeta_7) \\
 & + g^8 \left( -\frac{16\pi^6\zeta_3}{45} - \frac{88\pi^4\zeta_5}{9} - \frac{9296\pi^2\zeta_7}{3} + 27720\zeta_9 \right) \\
 & + g^{10} \left( \frac{208\pi^8\zeta_3}{405} + \frac{160\pi^6\zeta_5}{27} + 144\pi^4\zeta_7 + 45440\pi^2\zeta_9 - 377520\zeta_{11} \right) \\
 & + g^{12} \left( -\frac{7904\pi^{10}\zeta_3}{14175} - \frac{17296\pi^8\zeta_5}{4725} - \frac{128\pi^6\zeta_7}{15} - \frac{6312\pi^4\zeta_9}{5} \right. \\
 & \quad \left. - 653400\pi^2\zeta_{11} + 5153148\zeta_{13} \right) \\
 & + g^{14} \left( \frac{1504\pi^{12}\zeta_3}{2835} + \frac{106576\pi^{10}\zeta_5}{42525} - \frac{18992\pi^8\zeta_7}{405} - \frac{16976\pi^6\zeta_9}{15} \right. \\
 & \quad \left. + \frac{25696\pi^4\zeta_{11}}{9} + \frac{28003976\pi^2\zeta_{13}}{3} - 70790720\zeta_{15} \right) \\
 & + g^{16} \left( -\frac{178112\pi^{14}\zeta_3}{382725} - \frac{239488\pi^{12}\zeta_5}{127575} + \frac{2604416\pi^{10}\zeta_7}{42525} + \frac{8871152\pi^8\zeta_9}{4725} \right. \\
 & \quad \left. + \frac{30157072\pi^6\zeta_{11}}{945} + \frac{8224216\pi^4\zeta_{13}}{45} - 133253120\pi^2\zeta_{15} \right. \\
 & \quad \left. + 979945824\zeta_{17} \right) \\
 & + g^{18} \left( \frac{147712\pi^{16}\zeta_3}{382725} + \frac{940672\pi^{14}\zeta_5}{637875} - \frac{490528\pi^{12}\zeta_7}{8505} - \frac{358016\pi^{10}\zeta_9}{189} \right. \\
 & \quad \left. - \frac{37441312\pi^8\zeta_{11}}{945} - \frac{9616256\pi^6\zeta_{13}}{15} - \frac{16988608\pi^4\zeta_{15}}{3} \right. \\
 & \quad \left. + 1905790848\pi^2\zeta_{17} - 13671272160\zeta_{19} \right) \\
 & + g^{20} \left( -\frac{135748672\pi^{18}\zeta_3}{442047375} - \frac{103683872\pi^{16}\zeta_5}{88409475} + \frac{1408423616\pi^{14}\zeta_7}{29469825} \right. \\
 & \quad \left. + \frac{2288692288\pi^{12}\zeta_9}{1403325} + \frac{34713664\pi^{10}\zeta_{11}}{945} + \frac{73329568\pi^8\zeta_{13}}{105} \right. \\
 & \quad \left. + \frac{305679296\pi^6\zeta_{15}}{27} + 121666688\pi^4\zeta_{17} - 27342544320\pi^2\zeta_{19} \right. \\
 & \quad \left. + 192157325360\zeta_{21} \right)
 \end{aligned}
 \tag{D.1}$$

Next, for  $J = 3$ ,

$$\begin{aligned}
 \gamma_{J=3}^{(2)} = & -2g^2\zeta_3 + g^4 \left( 12\zeta_5 - \frac{4\pi^2\zeta_3}{3} \right) + g^6 \left( \frac{2\pi^4\zeta_3}{45} + 8\pi^2\zeta_5 - 28\zeta_7 \right) \\
 & + g^8 \left( -\frac{4\pi^6\zeta_3}{45} - \frac{4\pi^4\zeta_5}{15} - 528\zeta_9 \right) \\
 & + g^{10} \left( \frac{934\pi^8\zeta_3}{14175} + \frac{8\pi^6\zeta_5}{9} - \frac{82\pi^4\zeta_7}{9} - 900\pi^2\zeta_9 + 12870\zeta_{11} \right) \\
 & + g^{12} \left( -\frac{572\pi^{10}\zeta_3}{14175} - \frac{104\pi^8\zeta_5}{175} - \frac{256\pi^6\zeta_7}{45} + \frac{2476\pi^4\zeta_9}{9} \right. \\
 & \quad \left. + \frac{57860\pi^2\zeta_{11}}{3} - 208208\zeta_{13} \right) \\
 & + g^{14} \left( \frac{2878\pi^{12}\zeta_3}{127575} + \frac{404\pi^{10}\zeta_5}{1215} + \frac{326\pi^8\zeta_7}{75} + \frac{3352\pi^6\zeta_9}{135} \right. \\
 & \quad \left. - \frac{80806\pi^4\zeta_{11}}{15} - 316316\pi^2\zeta_{13} + 2994992\zeta_{15} \right) \\
 & + g^{16} \left( -\frac{159604\pi^{14}\zeta_3}{13395375} - \frac{257204\pi^{12}\zeta_5}{1488375} - \frac{14836\pi^{10}\zeta_7}{6075} - \frac{71552\pi^8\zeta_9}{2025} \right. \\
 & \quad \left. + \frac{4948\pi^6\zeta_{11}}{189} + \frac{4163068\pi^4\zeta_{13}}{45} + \frac{14129024\pi^2\zeta_{15}}{3} - 41116608\zeta_{17} \right) \\
 & + g^{18} \left( \frac{494954\pi^{16}\zeta_3}{81860625} + \frac{156368\pi^{14}\zeta_5}{1819125} + \frac{6796474\pi^{12}\zeta_7}{5457375} + \frac{332\pi^{10}\zeta_9}{15} \right. \\
 & \quad \left. + \frac{1745318\pi^8\zeta_{11}}{4725} - \frac{868088\pi^6\zeta_{13}}{315} - \frac{22594208\pi^4\zeta_{15}}{15} \right. \\
 & \quad \left. - 67084992\pi^2\zeta_{17} + 553361016\zeta_{19} \right) \\
 & + g^{20} \left( -\frac{940132\pi^{18}\zeta_3}{315748125} - \frac{244456\pi^{16}\zeta_5}{5893965} - \frac{29637008\pi^{14}\zeta_7}{49116375} - \frac{11808196\pi^{12}\zeta_9}{1002375} \right. \\
 & \quad - \frac{2265364\pi^{10}\zeta_{11}}{8505} - \frac{68767984\pi^8\zeta_{13}}{14175} + \frac{480208\pi^6\zeta_{15}}{9} \\
 & \quad \left. + \frac{71785288\pi^4\zeta_{17}}{3} + 934787840\pi^2\zeta_{19} - 7390666360\zeta_{21} \right)
 \end{aligned} \tag{D.2}$$

Finally, for  $J = 4$ ,

$$\begin{aligned}
 \gamma_{J=4}^{(2)} = & g^2 \left( -\frac{14\zeta_3}{5} + \frac{48\zeta_5}{\pi^2} - \frac{252\zeta_7}{\pi^4} \right) \\
 & + g^4 \left( -\frac{22\pi^2\zeta_3}{25} + \frac{474\zeta_5}{5} - \frac{8568\zeta_7}{5\pi^2} + \frac{8316\zeta_9}{\pi^4} \right) \\
 & + g^6 \left( \frac{32\pi^4\zeta_3}{875} + \frac{3656\pi^2\zeta_5}{175} - \frac{56568\zeta_7}{25} + \frac{196128\zeta_9}{5\pi^2} - \frac{185328\zeta_{11}}{\pi^4} \right) \\
 & + g^8 \left( -\frac{4\pi^6\zeta_3}{175} - \frac{68\pi^4\zeta_5}{75} - \frac{55312\pi^2\zeta_7}{125} + \frac{1113396\zeta_9}{25} - \frac{3763188\zeta_{11}}{5\pi^2} \right. \\
 & \quad \left. + \frac{3513510\zeta_{13}}{\pi^4} \right) \\
 & + g^{10} \left( \frac{176\pi^8\zeta_3}{16875} + \frac{2488\pi^6\zeta_5}{7875} + \frac{2448\pi^4\zeta_7}{125} + \frac{209532\pi^2\zeta_9}{25} - \frac{3969878\zeta_{11}}{5} \right. \\
 & \quad \left. + \frac{13213200\zeta_{13}}{\pi^2} - \frac{61261200\zeta_{15}}{\pi^4} \right) \\
 & + g^{12} \left( -\frac{88072\pi^{10}\zeta_3}{20671875} - \frac{449816\pi^8\zeta_5}{4134375} - \frac{327212\pi^6\zeta_7}{65625} - \frac{338536\pi^4\zeta_9}{875} \right. \\
 & \quad - \frac{129520798\pi^2\zeta_{11}}{875} + \frac{66969474\zeta_{13}}{5} - \frac{220540320\zeta_{15}}{\pi^2} \\
 & \quad \left. + \frac{1017636048\zeta_{17}}{\pi^4} \right) \\
 & + g^{14} \left( \frac{795136\pi^{12}\zeta_3}{487265625} + \frac{522784\pi^{10}\zeta_5}{13921875} + \frac{4021288\pi^8\zeta_7}{2953125} + \frac{1869152\pi^6\zeta_9}{21875} \right. \\
 & \quad + \frac{18573952\pi^4\zeta_{11}}{2625} + \frac{62633272\pi^2\zeta_{13}}{25} - \frac{1092799344\zeta_{15}}{5} \\
 & \quad \left. + \frac{17844607872\zeta_{17}}{5\pi^2} - \frac{16405526592\zeta_{19}}{\pi^4} \right) \\
 & + g^{16} \left( -\frac{30581888\pi^{14}\zeta_3}{51162890625} - \frac{43988768\pi^{12}\zeta_5}{3410859375} - \frac{446380184\pi^{10}\zeta_7}{1136953125} \right. \\
 & \quad - \frac{20108936\pi^8\zeta_9}{984375} - \frac{31755036\pi^6\zeta_{11}}{21875} - \frac{321449336\pi^4\zeta_{13}}{2625} \\
 & \quad - \frac{1031925232\pi^2\zeta_{15}}{25} + \frac{87296960712\zeta_{17}}{25} - \frac{283092985656\zeta_{19}}{5\pi^2} \\
 & \quad \left. + \frac{259412389236\zeta_{21}}{\pi^4} \right) \\
 & + g^{18} \left( \frac{6706432\pi^{16}\zeta_3}{31672265625} + \frac{816838192\pi^{14}\zeta_5}{186232921875} + \frac{2004636572\pi^{12}\zeta_7}{17054296875} \right. \\
 & \quad + \frac{1950592976\pi^{10}\zeta_9}{378984375} + \frac{2220222512\pi^8\zeta_{11}}{6890625} + \frac{20963856\pi^6\zeta_{13}}{875} \\
 & \quad + \frac{254959316\pi^4\zeta_{15}}{125} + \frac{584553371616\pi^2\zeta_{17}}{875} \\
 & \quad \left. - \frac{1375388084412\zeta_{19}}{25} + \frac{4432313039616\zeta_{21}}{5\pi^2} - \frac{4049650420200\zeta_{23}}{\pi^4} \right)
 \end{aligned} \tag{D.3}$$

$$\begin{aligned}
 & + g^{20} \left( -\frac{15308976272\pi^{18}\zeta_3}{209512037109375} - \frac{1764947984\pi^{16}\zeta_5}{1197211640625} - \frac{18667123736\pi^{14}\zeta_7}{517313671875} \right. \\
 & - \frac{538293689008\pi^{12}\zeta_9}{399070546875} - \frac{657466372\pi^{10}\zeta_{11}}{8859375} - \frac{119709052\pi^8\zeta_{13}}{23625} \\
 & - \frac{9095498848\pi^6\zeta_{15}}{23625} - \frac{260407748416\pi^4\zeta_{17}}{7875} - \frac{1869110789976\pi^2\zeta_{19}}{175} \\
 & \left. + \frac{4293062840352\zeta_{21}}{5} - \frac{13755955395600\zeta_{23}}{\pi^2} + \frac{62673161265000\zeta_{25}}{\pi^4} \right)
 \end{aligned}$$

For future reference we have also computed<sup>27</sup> the weak coupling expansion of the anomalous dimensions at order  $S^3$ , using the known predictions from ABA which are available for any spin at  $J = 2$  and  $J = 3$ . For  $J = 2$  we have computed the expansion to three loops<sup>28</sup>:

$$\begin{aligned}
 \gamma_{J=2}^{(3)} &= g^2 \frac{4}{45} \pi^4 + g^4 \left( 40\zeta_3^2 - \frac{28\pi^6}{405} \right) \\
 &+ g^6 \left( \frac{192}{5} \zeta_{5,3} - \frac{6992\zeta_3\zeta_5}{5} + \frac{280\pi^2\zeta_3^2}{3} + \frac{6962\pi^8}{212625} \right) + \mathcal{O}(g^8)
 \end{aligned} \tag{D.4}$$

Compared to the  $S^2$  part, a new feature is the appearance of multiple zeta values – here we have  $\zeta_{5,3}$ , which is defined by

$$\zeta_{a_1, a_2, \dots, a_k} = \sum_{0 < n_1 < n_2 < \dots < n_k < \infty} \frac{1}{n_1^{a_1} n_2^{a_2} \dots n_k^{a_k}} \tag{D.5}$$

and cannot be reduced to simple zeta values  $\zeta_n$ .

For  $J = 3$  we have obtained the expansion to four loops:

$$\begin{aligned}
 \gamma_{J=3}^{(3)} &= \frac{1}{90} \pi^4 g^2 + g^4 \left( 4\zeta_3^2 + \frac{\pi^6}{1890} \right) + g^6 \left( 4\zeta_{5,3} + 4\pi^2\zeta_3^2 - 72\zeta_3\zeta_5 - \frac{2\pi^8}{675} \right) \\
 &+ g^8 \left( -112\zeta_{2,8} + \frac{20}{3} \pi^2\zeta_{5,3} + 728\zeta_3\zeta_7 + 448\zeta_5^2 - \frac{224}{3} \pi^2\zeta_3\zeta_5 \right. \\
 &\left. + \frac{4\pi^4\zeta_3^2}{5} - \frac{41\pi^{10}}{133650} \right) + \mathcal{O}(g^{10})
 \end{aligned} \tag{D.6}$$

## E Higher mode numbers

### E.1 Slope function for generic filling fractions and mode numbers

Let us extend the discussion of section ?? by considering the state corresponding to a solution of the asymptotic Bethe equations with arbitrary mode numbers and filling

<sup>27</sup>As described in the main text (see section 5), in the calculations we used several Mathematica packages for dealing with harmonic sums.

<sup>28</sup>We remind that in our notation the anomalous dimension is written as  $\gamma = \gamma^{(1)}S + \gamma^{(2)}S^2 + \gamma^{(3)}S^3 + \dots$

fractions<sup>29</sup>. We expect that in the  $\P\mu$  system this should correspond to<sup>30</sup>

$$\mu_{24} = \sum_{n=-\infty}^{\infty} C_n e^{2\pi n u}. \quad (\text{E.1})$$

As an example, for the ground state twist operator we have  $\mu_{24} = \sinh(2\pi u)$ , which is reproduced by choosing  $C_{-1} = -1/2$ ,  $C_1 = 1/2$  and all other  $C$ 's set to 0.

It is straightforward to solve the  $\P\mu$  system in the same way as in section ??, and we find the energy

$$\gamma = \frac{\sqrt{\lambda}}{J} \frac{\sum_n C_n I_{J+1}(n\sqrt{\lambda})}{\sum_n C_n I_J(n\sqrt{\lambda})/n} S, \quad (\text{E.2})$$

which can also be written in a more familiar form as

$$\gamma = \sum_n \alpha_n \frac{n\sqrt{\lambda}}{J} \frac{I_{J+1}(n\sqrt{\lambda})}{I_J(n\sqrt{\lambda})} S, \quad (\text{E.3})$$

where

$$\alpha_n = \frac{C_n I_J(n\sqrt{\lambda})/n}{\sum_m C_m I_J(m\sqrt{\lambda})/m} \quad (\text{E.4})$$

are the filling fractions.

The coefficients  $C_n$  are additionally constrained by

$$\sum_n C_n I_J(n\sqrt{\lambda}) = 0, \quad (\text{E.5})$$

which ensures that the  $\mathbf{P}_a$  functions have correct asymptotics. This constraint implies a relation between the filling fractions,

$$\sum_n n \alpha_n = 0, \quad (\text{E.6})$$

which is also familiar from the asymptotic Bethe ansatz.

## E.2 Curvature function and higher mode numbers

In the main text we discussed the NLO solutions to the  $\mathbf{P}\mu$  system which are based on the leading order solutions (4.109)-(4.112) or (4.114)-(4.117). One of the assumptions for constructing the leading order solution was to allow  $\mu_{ab}$  to have only  $e^{\pm 2\pi u}$  in asymptotics at infinity (we recall that this led to all  $\mu$ 's being constant except  $\mu_{24}$  which is equal to  $\sinh(2\pi u)$  or  $\cosh(2\pi u)$ ), while in principle requiring  $\mu_{ab}$  to be periodic one

<sup>29</sup>For simplicity we also consider even  $J$  here.

<sup>30</sup>We no longer expect  $\mu_{24}$  to be either even or odd, since in the Bethe ansatz description of the state with generic mode numbers and filling fractions the Bethe roots are not distributed symmetrically.

could also allow to have  $e^{2n\pi u}$  with any integer  $n$ . Thus a natural generalization of the leading order solution is to consider  $\mu_{24} = \sinh(2\pi nu)$  or  $\mu_{24} = \cosh(2\pi nu)$ , where  $n$  is an arbitrary integer. As discussed above (see the end of section 4.4 and appendix E.1), we believe that at the leading order in  $S$  such solutions correspond to states with mode numbers equal to  $n$ , and they reproduce the slope function for this case.

Proceeding to order  $S^2$ , the calculation of the curvature function  $\gamma^{(2)}(g)$  with  $\mu_{24} = \sinh(2\pi nu)$  or  $\mu_{24} = \cosh(2\pi nu)$  can be done following the same steps as for  $n = 1$ . The final results for  $J = 2, 3$  and 4 are given by exactly the same formulas as for  $n = 1$  ((4.172), (4.189) and (C.32) respectively) – the only difference is that now one should set in those expressions

$$I_k = I_k(4\pi ng), \quad (\text{E.7})$$

$$\text{sh}_-^x = [\sinh(2\pi nu_x)]_- , \quad (\text{E.8})$$

$$\text{sh}_-^y = [\sinh(2\pi nu_y)]_- , \quad (\text{E.9})$$

$$\text{ch}_-^x = [\cosh(2\pi nu_x)]_- , \quad (\text{E.10})$$

$$\text{ch}_-^y = [\cosh(2\pi nu_y)]_- . \quad (\text{E.11})$$

It would be natural to assume that this solution of the  $\mathbf{P}\mu$  system describes anomalous dimensions for states with mode number  $n$  at order  $S^2$ . However we found some peculiarities in the strong coupling expansion of the result. The strong coupling data available for comparison in the literature for states with  $n > 1$  also relies on some conjectures (see [80], [61]), so the interpretation of this solution is not fully clear to us.

The weak coupling expansion for this case turns out to be related in a simple way to the  $n = 1$  case. One should just replace  $\pi \rightarrow n\pi$  in the expansions for  $n = 1$  which were given in (D.1), (D.2), (D.3). For example,

$$\gamma_{J=2}^{(2)} = -8g^2\zeta_3 + g^4 \left( 140\zeta_5 - \frac{32n^2\pi^2\zeta_3}{3} \right) + g^6 (200n^2\pi^2\zeta_5 - 2016\zeta_7) + \dots \quad (\text{E.12})$$

It would be interesting to compare these weak coupling predictions to results obtained from the asymptotic Bethe ansatz (or by other means) as it was done for  $n = 1$  in section ??.

Let us now discuss the strong coupling expansion. According to Basso's conjecture [80] (see also [61]), the structure of the expansion may be obtained from

$$\Delta^2 = J^2 + S(A_1\sqrt{\mu} + A_2 + \dots) + S^2 \left( B_1 + \frac{B_2}{\sqrt{\mu}} + \dots \right) + S^3 \left( \frac{C_1}{\mu^{1/2}} + \frac{C_2}{\mu^{3/2}} + \dots \right) + \mathcal{O}(S^4) , \quad (\text{E.13})$$

where  $\mu = n^2\lambda$ . This gives

$$\begin{aligned} \Delta = & J + \frac{S}{2J} \left( A_1 n \sqrt{\lambda} + A_2 + \frac{A_3}{n \sqrt{\lambda}} + \dots \right) \\ & + S^2 \left( -\frac{A_1^2}{8J^3} n^2 \lambda - \frac{A_1 A_2}{4J^3} n \sqrt{\lambda} + \left[ \frac{B_1}{2J} - \frac{A_2^2 + 2A_1 A_3}{8J^3} \right] + \left[ \frac{B_2}{2J} - \frac{A_2 A_3 + A_1 A_4}{4J^3} \right] \frac{1}{n \sqrt{\lambda}} + \dots \right) + \dots \end{aligned}$$

where  $A_i$  are known from Basso's slope function. Substituting them, we find

$$\gamma_J^{(2)}(g) = -\frac{8\pi^2 g^2 n^2}{J^3} + \frac{2\pi g n}{J^3} + \frac{B_1 - 1}{2J} + \frac{8B_2 J^2 - 4J^2 + 1}{64\pi g J^3 n} + \dots \quad (\text{E.15})$$

However, already in [61] some inconsistencies were found if one assumes this structure for  $n > 1$ . Let us extend that analysis by comparing the prediction (E.15) to our results from the  $\mathbf{P}\mu$ -system. To compute the expansion of our results, similarly to the  $n = 1$  case, we evaluated  $\gamma_J^{(2)}(g)$  numerically for many values of  $g$ , and then fitted the result by powers of  $g$ . As for  $n = 1$  we found with high precision (about  $\pm 10^{-16}$ ) that the first several coefficients involve only rational numbers and powers of  $\pi$ . Our results for  $n = 2, 3$  and  $J = 2, 3, 4$  are summarized below:

$$\gamma_{J=2,n=2}^{(2)}(g) = -4\pi^2 g^2 + \frac{\pi g}{2} + \frac{17}{8} - \frac{0.29584877037648771(2)}{g} + \dots \quad (\text{E.16})$$

$$\gamma_{J=3,n=2}^{(2)}(g) = -\frac{32}{27}\pi^2 g^2 + \frac{4\pi g}{27} + \frac{17}{12} - \frac{0.2928304112866493(9)}{g} + \dots \quad (\text{E.17})$$

$$\gamma_{J=4,n=2}^{(2)}(g) = -\frac{1}{2}\pi^2 g^2 + \frac{\pi g}{16} + \frac{17}{16} - \frac{0.319909936615448(9)}{g} + \dots \quad (\text{E.18})$$

$$\gamma_{J=2,n=3}^{(2)}(g) = -9\pi^2 g^2 + \frac{3\pi g}{4} + \frac{23}{4} - \frac{0.8137483(9)}{g} + \dots \quad (\text{E.19})$$

$$\gamma_{J=3,n=3}^{(2)}(g) = -\frac{8}{3}\pi^2 g^2 + \frac{2\pi g}{9} + \frac{23}{6} - \frac{0.892016609(2)}{g} + \dots \quad (\text{E.20})$$

$$\gamma_{J=4,n=3}^{(2)}(g) = -\frac{9}{8}\pi^2 g^2 + \frac{3\pi g}{32} + \frac{23}{8} - \frac{1.035945580(6)}{g} + \dots \quad (\text{E.21})$$

Here in the coefficient of  $\frac{1}{g}$  the digit in brackets is the last known one within our precision<sup>31</sup>.

Comparing to (E.15) we find full agreement in the first two terms (of order  $g^2$  and of order  $g$ ). The next term in (E.15) (of order  $g^0$ ) is determined by  $B_1$ , which in [61] was found to be

$$B_1 = \frac{3}{2} \quad (\text{E.22})$$

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<sup>31</sup>We did not seek to achieve high precision in this coefficient for  $n = 3$ .



for all  $n, J$ , based on consistency with the classical energy. However, comparing our results with (E.15) we find a different value:

$$\begin{aligned} B_1 &= \frac{19}{2} \quad \text{for } n = 2, \\ B_1 &= 23 \quad \text{for } n = 3. \end{aligned} \tag{E.23}$$

For both  $n = 2$  and  $n = 3$  this prediction for  $B_1$  is independent of  $J$ .

The next term is of order  $\frac{1}{g}$  and is determined by  $B_2$ , which in [61] was fixed to

$$B_2 = \begin{cases} -3\zeta_3 + \frac{3}{8} & , \ n = 1 \\ -24\zeta_3 - \frac{13}{8} & , \ n = 2 \\ -81\zeta_3 - \frac{24}{8} & , \ n = 3 \end{cases} . \tag{E.24}$$

However, this does not agree with our numerical predictions for  $n = 2$  and 3. Furthermore, for  $n = 2$  we extracted the coefficient of  $\frac{1}{g}$  with high precision (about  $10^{-17}$ , see (E.16)) but were unable to fit it as a combination of simple zeta values using the EZ-Face calculator [142].

Thus our results appear to disagree with the values of  $B_1$  and  $B_2$  obtained in [61], but how to interpret this is not clear to us. Although our solution of the  $\mathbf{P}\mu$ -system for  $n > 1$  looks fine at order  $S$ , it may be that to capture anomalous dimensions at order  $S^2$  some other solution should be used. Another option is that the ansatz for the structure of anomalous dimensions at strong coupling may need to be modified when  $n > 1$  (as already suspected in [61]), and our results may help provide some guidance in this case.

## **F $S^3$ and $S^4$ order**

The  $S^3$  order term in the expression of (4.216) is given by

$$\begin{aligned} \delta\Delta_{1-loop}^{(3)} &= -\frac{6\mathcal{J}^8 + 48\mathcal{J}^6 + 138\mathcal{J}^4 + 352\mathcal{J}^2 + 117}{64\mathcal{J}^5(\mathcal{J}^2 + 1)^4} \\ &+ \sum_{m>0, m \neq n} \frac{P_3(n, m, \mathcal{J})}{2\mathcal{J}^5(\mathcal{J}^2 + 1)^{3/2}(m^2 - n^2)^4(\mathcal{J}^2 n^2 + m^2)^{5/2}} \end{aligned} \tag{F.1}$$

and the  $S^4$  order term is given by

$$\begin{aligned} \delta\Delta_{1-loop}^{(4)} &= \frac{45\mathcal{J}^{12} + 717\mathcal{J}^{10} + 3429\mathcal{J}^8 + 11205\mathcal{J}^6 + 27601\mathcal{J}^4 + 15789\mathcal{J}^2 + 3305}{1024\mathcal{J}^7(\mathcal{J}^2 + 1)^{11/2}} \\ &- \sum_{m>0, m \neq n} \frac{P_4(n, m, \mathcal{J})}{16\mathcal{J}^7(\mathcal{J}^2 + 1)^3(m^2 - n^2)^6(m^2 + n^2\mathcal{J}^2)^{7/2}} \end{aligned} \tag{F.2}$$

where

$$\begin{aligned} P_3(n, m, \mathcal{J}) = & + m^{10} n^3 (4\mathcal{J}^4 + 11\mathcal{J}^2 + 6) + 2m^8 n^5 (3\mathcal{J}^6 + 5\mathcal{J}^4 - 6\mathcal{J}^2 - 6) \\ & + 2m^6 n^7 (\mathcal{J}^8 - 4\mathcal{J}^6 - 11\mathcal{J}^4 + 6\mathcal{J}^2 + 9) + 2m^4 n^9 (-2\mathcal{J}^8 + 9\mathcal{J}^6 + 29\mathcal{J}^4 + 14\mathcal{J}^2 - 2) \\ & + m^2 n^{11} \mathcal{J}^2 (10\mathcal{J}^6 + 16\mathcal{J}^4 - 2\mathcal{J}^2 - 7) , \end{aligned} \quad (\text{F.4})$$

$$\begin{aligned} P_4(n, m, \mathcal{J}) = & + 4m^{16} n^3 (8\mathcal{J}^8 + 42\mathcal{J}^6 + 85\mathcal{J}^4 + 68\mathcal{J}^2 + 20) \\ & + m^{14} n^5 (80\mathcal{J}^{10} + 302\mathcal{J}^8 + 199\mathcal{J}^6 - 703\mathcal{J}^4 - 936\mathcal{J}^2 - 340) \\ & + m^{12} n^7 (64\mathcal{J}^{12} - 12\mathcal{J}^{10} - 893\mathcal{J}^8 - 1765\mathcal{J}^6 + 151\mathcal{J}^4 + 1587\mathcal{J}^2 + 740) \\ & + m^{10} n^9 (16\mathcal{J}^{14} - 222\mathcal{J}^{12} - 587\mathcal{J}^{10} + 1209\mathcal{J}^8 + 5444\mathcal{J}^6 + 4374\mathcal{J}^4 + 388\mathcal{J}^2 - 520) \\ & + 2m^8 n^{11} (-38\mathcal{J}^{14} + 200\mathcal{J}^{12} + 1446\mathcal{J}^{10} + 2505\mathcal{J}^8 + 769\mathcal{J}^6 - 511\mathcal{J}^4 + 17\mathcal{J}^2 + 210) \\ & + m^6 n^{13} (200\mathcal{J}^{14} + 572\mathcal{J}^{12} + 206\mathcal{J}^{10} - 176\mathcal{J}^8 + 2199\mathcal{J}^6 + 3085\mathcal{J}^4 + 1068\mathcal{J}^2 - 60) \\ & + m^4 n^{15} \mathcal{J}^2 (-76\mathcal{J}^{12} + 464\mathcal{J}^{10} + 2920\mathcal{J}^8 + 5315\mathcal{J}^6 + 3667\mathcal{J}^4 + 643\mathcal{J}^2 - 173) \\ & + m^2 n^{17} \mathcal{J}^4 (256\mathcal{J}^{10} + 962\mathcal{J}^8 + 1221\mathcal{J}^6 + 401\mathcal{J}^4 - 250\mathcal{J}^2 - 148) . \end{aligned} \quad (\text{F.5})$$

The expansion (3.127) can also be written in higher orders of  $\mathcal{S}$  and  $\mathcal{J}$ , for  $n = 1$  we get

$$\begin{aligned} \Delta_{1-loop} = & \left( \frac{-1}{2\mathcal{J}} + \frac{\mathcal{J}}{2} \right) \mathcal{S} + \left( \frac{1}{2\mathcal{J}^3} - \left[ \frac{3\zeta_3}{2} + \frac{1}{16} \right] \frac{1}{\mathcal{J}} + \left[ \frac{3\zeta_3}{2} + \frac{15\zeta_5}{8} - \frac{21}{32} \right] \mathcal{J} \right) \mathcal{S}^2 \\ & + \left( \frac{-3}{4\mathcal{J}^5} + \left[ \frac{3\zeta_3}{2} + \frac{3}{16} \right] \frac{1}{\mathcal{J}^3} + \left[ \frac{9\zeta_3}{8} - \frac{1}{32} \right] \frac{1}{\mathcal{J}} + \left[ \frac{5}{4} - \frac{17\zeta_3}{4} - \frac{65\zeta_5}{16} - \frac{35\zeta_7}{16} \right] \mathcal{J} \right) \mathcal{S}^3 \\ & + \left( \frac{5}{4\mathcal{J}^7} - \left[ \frac{7}{32} + \frac{9\zeta_3}{4} \right] \frac{1}{\mathcal{J}^5} - \left[ \frac{3\zeta_3}{4} - \frac{15\zeta_5}{16} + \frac{5}{32} \right] \frac{1}{\mathcal{J}^3} - \left[ \frac{145\zeta_3}{64} + \frac{45\zeta_5}{32} + \frac{175\zeta_7}{128} + \frac{27}{1024} \right] \frac{1}{\mathcal{J}} \right) \mathcal{S}^4 + \mathcal{O}(\mathcal{S}^5) , \end{aligned} \quad (\text{F.5})$$

for  $n = 2$ ,

$$\begin{aligned} \Delta_{1-loop} = & \left( \frac{-1}{2\mathcal{J}} + \frac{\mathcal{J}}{2} \right) \mathcal{S} + \left( \frac{1}{2\mathcal{J}^3} - \left[ 12\zeta_3 + \frac{17}{16} \right] \frac{1}{\mathcal{J}} + \left[ 12\zeta_3 + 60\zeta_5 + \frac{27}{32} \right] \mathcal{J} \right) \mathcal{S}^2 \\ & + \left( \frac{21}{4\mathcal{J}^5} + \left[ 12\zeta_3 + \frac{19}{16} \right] \frac{1}{\mathcal{J}^3} + \left[ 9\zeta_3 + \frac{47}{32} \right] \frac{1}{\mathcal{J}} - \left[ \frac{19}{4} + 34\zeta_3 + 130\zeta_5 + 280\zeta_7 \right] \mathcal{J} \right) \mathcal{S}^3 \\ & + \left( -\frac{175}{4\mathcal{J}^7} - \left[ \frac{727}{32} + 18\zeta_3 \right] \frac{1}{\mathcal{J}^5} - \left[ 6\zeta_3 - 30\zeta_5 - \frac{155}{32} \right] \frac{1}{\mathcal{J}^3} - \left[ \frac{145\zeta_3}{8} + 45\zeta_5 + 175\zeta_7 + \frac{7419}{1024} \right] \frac{1}{\mathcal{J}} \right) \mathcal{S}^4 + \mathcal{O}(\mathcal{S}^5) \end{aligned} \quad (\text{F.6})$$

and finally for  $n = 3$ ,

$$\begin{aligned} \Delta_{1-loop} = & \left( \frac{-1}{2\mathcal{J}} + \frac{\mathcal{J}}{2} \right) \mathcal{S} + \left( \frac{-5}{8\mathcal{J}^3} - \left[ \frac{81\zeta_3}{2} + \frac{7}{4} \right] \frac{1}{\mathcal{J}} + \left[ \frac{81\zeta_3}{2} + \frac{3645\zeta_5}{8} - \frac{147}{64} \right] \mathcal{J} \right) \mathcal{S}^2 \\ & + \left( \frac{1245}{32\mathcal{J}^5} + \left[ \frac{81\zeta_3}{2} + \frac{39}{16} \right] \frac{1}{\mathcal{J}^3} + \left[ \frac{243\zeta_3}{8} + \frac{89}{32} \right] \frac{1}{\mathcal{J}} - \left[ \frac{89}{8} + \frac{459\zeta_3}{4} + \frac{15795\zeta_5}{16} + \frac{76545\zeta_7}{16} \right] \mathcal{J} \right) \mathcal{S}^3 \\ & - \left( \frac{258785}{512\mathcal{J}^7} + \left[ \frac{243\zeta_3}{4} + \frac{251423}{1024} \right] \frac{1}{\mathcal{J}^5} - \left[ \frac{3645\zeta_5}{16} - \frac{81\zeta_3}{4} + \frac{256229}{4096} \right] \frac{1}{\mathcal{J}^3} \right. \\ & \left. + \frac{27(907200\zeta_7 + 103680\zeta_5 + 18560\zeta_3 + 13457)}{8192\mathcal{J}} \right) \mathcal{S}^4 + \mathcal{O}(\mathcal{S}^5). \end{aligned} \quad (\text{F.7})$$

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