



Exact Results in $\mathcal{N} = 4$ Super Yang-Mills

Saulius Valatka

*Department of Mathematics, King's College London,
Strand, London WC2R 2LS, U.K.*

Thesis supervisor Dr. Nikolay Gromov

Thesis submitted in partial fulfilment of the requirements
of the Degree of Doctor of Philosophy

June 2014

Abstract

Lorem ipsum dolor sit amet, consectetur adipiscing elit. Fusce at lacus ornare, fringilla velit id, blandit metus. Sed mattis, tortor et rutrum ultrices, elit erat fringilla est, nec semper sem nunc ac ante. Sed placerat sapien eu egestas sollicitudin. Nunc pretium purus justo, non pretium lorem tempus non. Vivamus rhoncus sit amet urna vitae vestibulum. Phasellus dignissim nunc ac felis egestas, nec porta ligula pulvinar. Nulla malesuada sapien nec lobortis dapibus. Morbi auctor nibh felis, at eleifend tellus venenatis et. Sed euismod risus lobortis turpis vulputate, id tincidunt mauris interdum. Quisque sollicitudin bibendum nisl ut feugiat. Cras sed erat fermentum, gravida eros non, placerat felis.

Pellentesque aliquet at risus nec volutpat. In orci enim, ullamcorper ac augue eget, convallis sodales augue. Nulla nec elit pretium, dignissim est nec, tincidunt nisl. Class aptent taciti sociosqu ad litora torquent per conubia nostra, per inceptos himenaeos. In consectetur nec risus eget luctus. Praesent blandit porta tincidunt. In et egestas est. Quisque molestie nulla a dui iaculis, ac placerat neque sollicitudin.

Phasellus ut posuere lectus. Quisque posuere ut nunc sit amet lacinia. Phasellus tempus ut ligula a eleifend. Vestibulum sed ultricies elit, non adipiscing tellus. Vestibulum dignissim velit purus, sit amet pharetra nibh porta quis. Ut et dignissim eros, in condimentum dolor. Etiam sed tempor urna. Proin placerat cursus vestibulum. Aliquam ac dapibus massa. Morbi sit amet sem malesuada, dignissim turpis in, vestibulum ligula. Phasellus vel pharetra lectus, sed molestie orci. Donec placerat consectetur augue non tempus. Proin adipiscing quis purus quis elementum. Nulla facilisi. Vivamus adipiscing diam eu dictum adipiscing.

In sapien orci, viverra in elit iaculis, hendrerit facilisis ipsum. Sed interdum ante ac mattis sollicitudin. Curabitur lacus ligula, fringilla nec arcu eget, venenatis venenatis diam. Nulla eu tellus eget orci cursus convallis. Proin id dui dolor. Praesent eget varius urna, ac condimentum erat. Maecenas interdum mi a varius feugiat. Nunc mi sem, mollis vel quam et, vehicula rhoncus nulla. Cras ac aliquet ipsum, sit amet sagittis ante. In hac habitasse platea dictumst. Vestibulum porta, nulla nec aliquam porta, justo ipsum dignissim lectus, at euismod orci urna quis felis.

Contents

1	Introduction	4
1.1	Brief history of the subject	4
1.2	Thesis overview	7
2	$\mathcal{N} = 4$ super Yang-Mills	8
2.1	The theory and its action	8
2.2	Symmetry	8
2.3	Weak coupling	8
2.4	String description: AdS/CFT	8
2.4.1	Motivation	8
2.4.2	String theory and the duality	8
2.5	Testing the duality: BMN, GKP, FT	9
3	Integrability	10
3.1	Overview	10
3.2	One loop at weak coupling	10
3.2.1	$\mathfrak{su}(2)$ sector	10
3.2.2	$\mathfrak{sl}(2)$ sector	10
3.3	Higher loops	10
3.4	Asymptotic solution	10
3.4.1	A glimpse ahead: the slope function	10
3.5	Strong coupling and the algebraic curve construction	10
3.6	Classical solutions	11
3.6.1	BMN string	11
3.6.2	Folded string	11
3.7	Quantization and semi-classics	11
3.8	Short strings	11
3.9	Full solution to the spectral problem	11
3.9.1	The full theory	11
3.9.2	Finite length	11
3.9.3	The \mathbf{P}_μ system	11

4	Exact results	12
4.1	Folded string	12
4.2	Cusped Wilson line	12
4.2.1	Classical limit	12
4.3	Revisiting the slope function	12
4.4	The curvature function	12
4.4.1	Weak coupling expansion	12
4.4.2	Strong coupling expansion	12
4.5	Update on short strings	12
5	Conclusions	13

1 Introduction

The title of this thesis is *Exact Results in $\mathcal{N} = 4$ Super Yang-Mills*. A reasonable question to ask is – why would anyone care about that ? After all $\mathcal{N} = 4$ is just a toy theory, it is not something that nature implements and thus we can not observe it in particle accelerators, as opposed to say the Standard Model of particle physics. And indeed those are all valid points, however there are very good reasons for studying $\mathcal{N} = 4$ SYM.

From a pragmatic point of view, it is the simplest non-trivial quantum field theory in four spacetime dimensions and since attempts at solving realistic QFTs such as the theory of strong interactions (QCD) have so far been futile, it seems like a good starting point – some go as far as calling it the harmonic oscillator of QFTs.

Another (and probably the main) reason why $\mathcal{N} = 4$ has been receiving so much attention in the last decades is the long list of mysterious and intriguing properties it seems to possess, making it almost an intellectual pursuit of understanding it. The theory has been surprising the theoretical physics community from the very beginning: it is a rare instance of a conformal theory in dimensions higher than two, it has a dual description in terms of a string theory and more recently it was discovered to be integrable. All of these properties give reasonable hope for actually solving the theory exactly, something that has never been achieved before for any four dimensional interacting QFT.

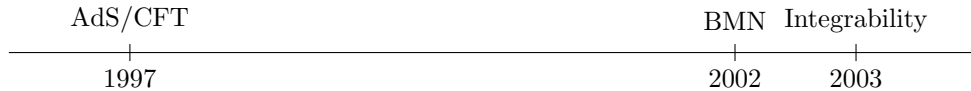
In the remainder of the section we give a proper introduction to the subject from a historic point of view focusing on its integrability aspect, for it is integrability that allows one to actually find exact results in the theory. We then give an overview of the thesis itself, emphasizing which parts of the text are reviews of known material and which parts constitute original work.

1.1 Brief history of the subject

Quantum field theory has been at the spot light of theoretical physics since the beginning of the century when it was found that electromagnetism is described by the theory of quantum electrodynamics (QED). Since then people have been trying to fit other forces of nature into the QFT framework. Ultimately it worked: the theory of strong interactions, quantum chromodynamics or QCD for short, together with the electroweak theory, spontaneously broken down to QED, collectively make up the *Standard Model* of particle physics, which has been extensively tested in particle accelerators since then.

However nature did not give away her secrets without a fight. For some time it was thought that strong interactions were described by a theory of vibrating strings, as it seemed to incorporate the so-called Regge trajectories observed in experiments [1]. Even after discovering QCD, which is a Yang-Mills gauge theory, stringy aspects of it were still evident and largely

mysterious. Most notably lattice gauge theory calculations at strong coupling suggested that surfaces of color-electric fluxes between quarks could be given the interpretation of stretched strings [2], thus an idea of a gauge-string duality was starting to emerge. It was strongly reinforced by t'Hooft, who showed that the perturbative expansion of $U(N)$ gauge theories in the large N limit could be rearranged into a genus expansion of surfaces triangulated by the double-line Feynman graphs, which strongly resembles string theory genus expansions [3].



However it was the work of Maldacena in 1997 that sparked a true revolution [4]. He formulated the first concrete conjecture, now universally referred to as *AdS/CFT*, for a duality between a gauge theory, the maximally supersymmetric $\mathcal{N} = 4$ super Yang-Mills, and type IIB string theory on $AdS_5 \times S^5$. Polyakov had already shown that non-critical string theory in four-dimensions describing gauge fields should be complemented with an extra Liouville-like direction thus enriching the space to a curved five dimensional manifold [5]. Furthermore the gauge theory had to be defined on the boundary of this manifold. Maldacena's conjecture was consistent with this view, as the gauge theory was defined on the boundary of AdS_5 , whereas the S^5 was associated with the internal symmetries of the gauge fields. The idea of a higher dimensional theory being fully described by a theory living on the boundary was also considered before in the context of black hole physics [6, 7] and goes by the name of holography.

The duality can be motivated by considering a stack of parallel D3 branes in type IIB string theory. Open strings moving on the branes can be described by $\mathcal{N} = 4$ SYM with the gauge group $SU(N)$. Roughly the idea is that there are six extra dimensions transverse to the stack of branes, thus a string stretching between two of them can be viewed as a set of six scalar fields $(\Phi^i)^a_b$ defined in four dimensional spacetime carrying two extra indices denoting the branes it is attached to. These are precisely the indices of the adjoint representation of $SU(N)$. A similar argument can be put forward for other fields thus recovering the field content of $\mathcal{N} = 4$ SYM. Far away from the branes we have closed strings propagating in empty space. In the low energy limit these systems decouple and far away from the branes we are left with ten dimensional supergravity.

Another way of looking at this system is considering the branes as a defect in spacetime, which from the point of view of supergravity is a source of curvature. The supergravity solution carrying D3 brane charge can be written down explicitly [8]. Far away from the branes it is obviously once again the usual flat space ten dimensional supergravity. However the near horizon geometry of the brane system becomes $AdS_5 \times S^5$.

Since both points of view end up with supergravity far away from the branes, one is tempted to identify the theories close to the branes – $\mathcal{N} = 4$ SYM and type IIB string theory on

$AdS_5 \times S^5$. This is exactly what Maldacena did in his seminal paper [4]. Since then other dualities have been discovered that are very similar in spirit [11], however in this thesis we will only concentrate on the original one.

By studying the supergravity solution one can identify the parameters of the theories, namely $\mathcal{N} = 4$ SYM is parameterized by the coupling constant g_{YM} and the number of colors N , whereas string theory has the string coupling constant g_s and the string length squared α' . These are identified in the following way

$$4\pi g_s = g_{YM}^2 \equiv \frac{\lambda}{N}, \quad \frac{R^4}{\alpha'^2} = \lambda, \quad (1.1)$$

where λ is the t'Hooft coupling and R is the radius of both AdS_5 and S^5 , which is fixed as only the ratio R^2/α' is measurable. A few things are to be noted here. First of all, the identification directly implements t'Hooft's idea of large N expansion of gauge theory, since $g_s \sim 1/N$. In fact in the large N limit only planar Feynman graphs survive and everything simplifies dramatically, a fact that we will take advantage of a lot in this thesis. In this limit the effective coupling constant of the gauge theory is λ .

The supergravity approximation is valid when $\alpha' \ll R^2$, which corresponds to strongly coupled gauge theory, thus the conjecture is of the weak-strong type. This fact is a blessing in disguise, since initially it seems very restrictive as one can not easily compare results of the theories. However it provides a possibility to access strongly coupled regimes of both theories, which was beyond reach before. Prescriptions for matching up observables on both sides of the correspondence were given in [9, 10]. However because of the weak-strong nature of the duality initial tests were performed only for BPS states, which are protected from quantum corrections. The first direct match was observed in [10] where it was shown that the spectrum of half-BPS single trace operators matches the Kaluza-Klein modes of Type IIB supergravity.

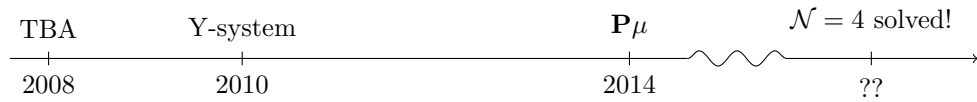
The situation changed dramatically in 2002 when Berenstein, Maldacena and Nastase devised a way to go beyond BPS checks [12]. The idea was to take an operator in gauge theory with large R-charge J and add some impurities, effectively making it “near-BPS”. The canonical example of such an operator is $\text{Tr}(Z^J X^S)$, where Z and X are two complex scalar fields of $\mathcal{N} = 4$ SYM, with X being the impurities ($S \ll J$). Since anomalous dimensions are suppressed like λ/J^2 , perturbative gauge theory calculations are valid even at large λ , as long as $\lambda' \equiv \lambda/J^2 \ll 1$ and N is large. It is thus possible to compare gauge theory calculations with string theory results. From the string theory point of view this limit corresponds to excitations of point-like strings with angular momentum J moving at the speed of light around the great circle of S^5 . The background seen by this string is the so-called pp-wave geometry and string theory in this background is tractable.

The discovery of the BMN limit was arguably the first time it was explicitly demonstrated how the world sheet theory of a string can be reconstructed by a physical picture of scalar fields dubbed as “impurities” propagating in a closed single trace operator of “background” scalar fields of the gauge theory. Shortly after this discovery Minahan and Zarembo revolutionized

the subject once again by discovering Integrability at the end of 2002 [13]. They showed that single-trace operators of scalar fields can be identified with spin chains and their anomalous dimensions at one-loop in weak coupling are given by the energies of the corresponding spin chain states. These spin-chain systems are known to be integrable, which in practice allows one to solve the problem exactly using techniques such as the Bethe ansatz [14]. This discovery sparked a very rapid development of integrability methods in AdS/CFT during the coming years.



Very rapid development.



Exact solutions. Bright future ahead.

1.2 Thesis overview

Maybe a nice picture for the structure of the thesis.

2 $\mathcal{N} = 4$ super Yang-Mills

Here we describe the theory that is the main interest of the thesis.

2.1 The theory and its action

Write the action, maybe also show dimensional reduction from 10d. Talk about observables: traces, Wilson lines.

Planar limit.

2.2 Symmetry

Talk about conformal symmetry, write down the algebra ? Oscillator representation ? Discuss subgroups of $\text{psu}(2,2|4)$, closed sectors.

Since it's a CFT we want to find 2pt and 3pt function. The spectral problem.

2.3 Weak coupling

Take a simple operator, e.g. Konishi and calculate the anomalous dimension using perturbation theory ?

2.4 String description: AdS/CFT

Here we talk about the alternative description of the theory as strings moving in AdS.

2.4.1 Motivation

Planar diagrams are string interactions.

2.4.2 String theory and the duality

Give details of the string theory, what are the parameters on both sides, how they match up. What are the limits. Anomalous dimensions match string state energies.

2.5 Testing the duality: BMN, GKP, FT

Describe these limits, give first evidence for the duality. Is this where one finds the first strong coupling coefficient to Konishi ?

3 Integrability

In this section we dive into the magical world of integrability.

3.1 Overview

Give picture summarizing all techniques and their ranges of applicability.

3.2 One loop at weak coupling

Roughly rederive the Minahan/Zarembo result.

3.2.1 $\mathfrak{su}(2)$ sector

Give the $\mathfrak{su}(2)$ Hamiltonian, example states and energies.

3.2.2 $\mathfrak{sl}(2)$ sector

Same with $\mathfrak{sl}(2)$.

3.3 Higher loops

Short example of a two loop Hamiltonian, perturbative corrections for the states found above with contact terms.

3.4 Asymptotic solution

Generalize $\mathfrak{su}(2)$ BAE to all loops, maybe give a simple example.

3.4.1 A glimpse ahead: the slope function

Derive slope from ABA.

3.5 Strong coupling and the algebraic curve construction

Describe flat connections, monodromies, sheets etc.

3.6 Classical solutions

All finite gap solutions can be described this way.

3.6.1 BMN string

Give explicit solution.

3.6.2 Folded string

Something similar.

3.7 Quantization and semi-classics

Describe the quantization procedure. Derive next coefficient for Konishi.

3.8 Short strings

Combine with slope, derive next coefficient for Konishi.

3.9 Full solution to the spectral problem

Here we finally give the complete solution.

3.9.1 The full theory

Mention nested BAE, full $\mathfrak{psu}(2,2|4)$ spin chain without going into much detail.

3.9.2 Finite length

Deprecated approaches: TBA, Y-system.

3.9.3 The \mathbf{P}_μ system

Define \mathbf{P}_μ as if it was an axiom.

4 Exact results

Exact results are rare and important.

4.1 Folded string

Mention Frolov numerics. Volin's 8(9) ? loops with $\mathbf{P}\mu$.

4.2 Cusped Wilson line

Bremstahlung result from $\mathbf{P}\mu$.

4.2.1 Classical limit

Find the curve, matrix models.

4.3 Revisiting the slope function

Derive slope from $\mathbf{P}\mu$.

4.4 The curvature function

Derive curvature from $\mathbf{P}\mu$.

4.4.1 Weak coupling expansion

Mention weak coupling and how it matches ABA.

4.4.2 Strong coupling expansion

Mention strong coupling, be amazed how it matches string theory.

4.5 Update on short strings

Combine semiclassics with curvature and finally derive three-loop Konishi coefficient.

5 Conclusions

Conclude with a tearful and heroic description about the journey of Konishi through the land of integrability - from weak to strong coupling.

References

- [1] G. Veneziano, “Construction of a crossing - symmetric, Regge behaved amplitude for linearly rising trajectories,” *Nuovo. Cim.* **A57**, 190 (1968).
- [2] K. G. Wilson, “Confinement of quarks,” *Phys. Rev.* **D10** 2445-2459 (1974).
- [3] G. 't Hooft, “A planar diagram theory for strong interactions,” *Nucl. Phys.* **B72**, 461-473 (1974).
- [4] J. M. Maldacena, “The Large N limit of superconformal field theories and supergravity,” *Adv. Theor. Math. Phys.* **2**, 231 (1998) [hep-th/9711200].
- [5] A. M. Polyakov, “String theory and quark confinement,” *Nucl. Phys. Proc. Suppl.* **68**, 1 (1998) [hep-th/9711002].
- [6] G. 't Hooft, “Dimensional reduction in quantum gravity,” gr-qc/9310026.
- [7] L. Susskind, “The World as a hologram,” *J. Math. Phys.* **36**, 6377 (1995) [hep-th/9409089].
- [8] G. T. Horowitz and A. Strominger, “Black strings and P-branes,” *Nucl. Phys. B* **360**, 197 (1991).
- [9] S. S. Gubser, I. R. Klebanov and A. M. Polyakov, “Gauge theory correlators from noncritical string theory,” *Phys. Lett. B* **428**, 105 (1998) [hep-th/9802109].
- [10] E. Witten, “Anti-de Sitter space and holography,” *Adv. Theor. Math. Phys.* **2**, 253 (1998) [hep-th/9802150].
- [11] O. Aharony, O. Bergman, D. L. Jafferis and J. Maldacena, “N=6 superconformal Chern-Simons-matter theories, M2-branes and their gravity duals,” *JHEP* **0810**, 091 (2008) [arXiv:0806.1218 [hep-th]].
- [12] D. E. Berenstein, J. M. Maldacena and H. S. Nastase, “Strings in flat space and pp waves from N=4 superYang-Mills,” *JHEP* **0204**, 013 (2002) [hep-th/0202021].
- [13] J. A. Minahan and K. Zarembo, “The Bethe ansatz for N=4 superYang-Mills,” *JHEP* **0303**, 013 (2003) [hep-th/0212208].
- [14] H. Bethe, “On the theory of metals. 1. Eigenvalues and eigenfunctions for the linear atomic chain,” *Z. Phys.* **71** 205-226 (1931).