

# History of the Perceptron

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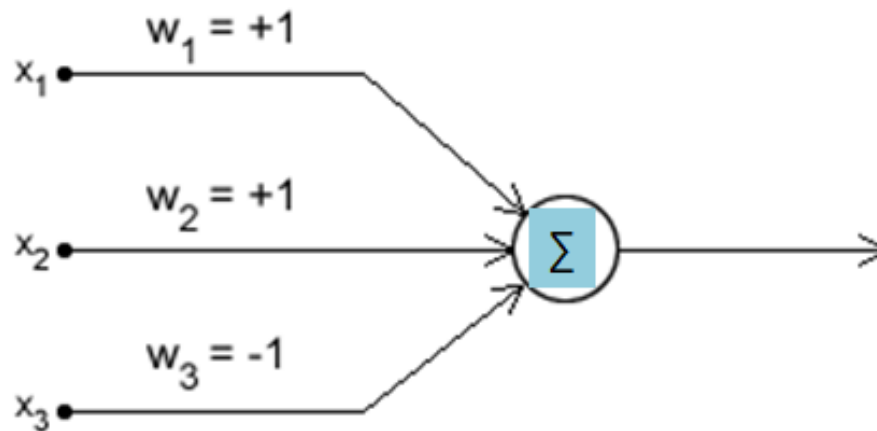
# McCulloch-Pitts Neuron

- The first computational model of a neuron was proposed by Warren **MuCulloch** (neuroscientist) and Walter **Pitts** (logician) in 1943.

# McCulloch-Pitts Neuron

- The inputs of the McCulloch-Pitts neuron could be either 0 or 1.
- The output could be 0 or 1.
- Each input could be either excitatory or inhibitory. if a weight is 1, it is an excitatory input. If weight is -1, it is an inhibitory input.
- It has a threshold function as an activation function.

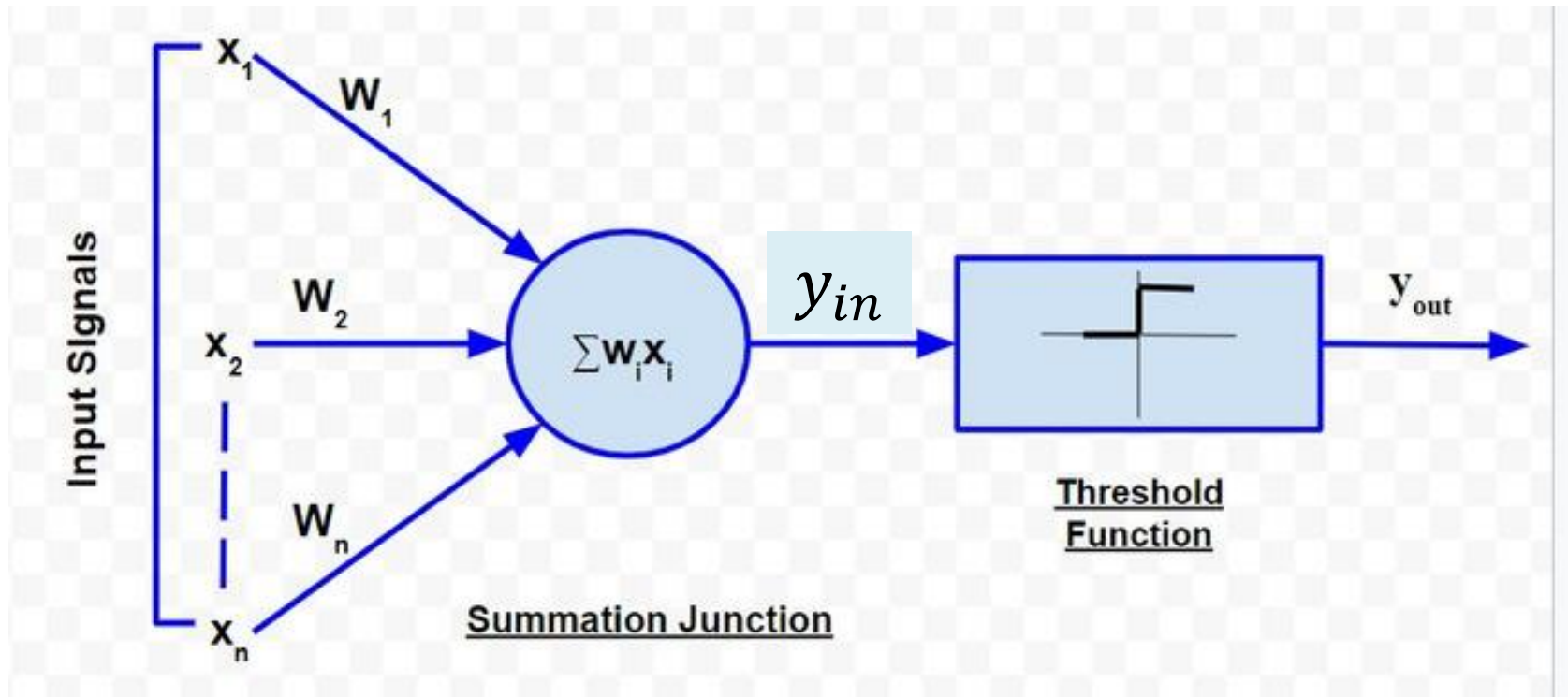
# McCulloch-Pitts Neuron



Excitatory input ?

Inhibitory input?

# McCulloch-Pitts Neuron

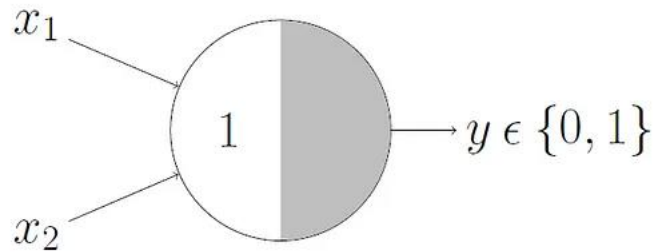


# Example: Logic Gate OR

$x_1$	$x_2$	$Y_{in}$	$y_{out}$
0	0	0	0
0	1	1	1
1	0	1	1
1	1	2	1

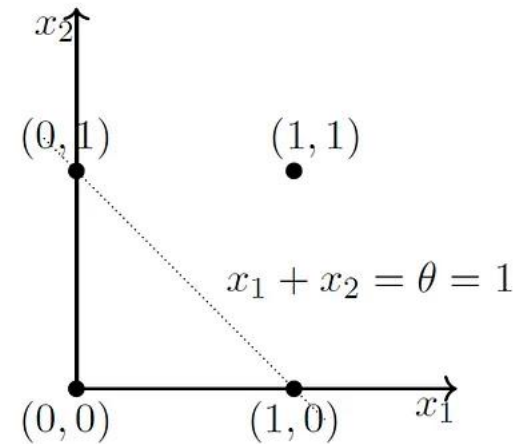
$w_1 = 1$ ,  $w_2 = 1$  and Threshold ( $\theta$ ) = 1

# Geometric Interpretation of M-P Model: OR Gate



*OR function*

$$x_1 + x_2 = \sum_{i=1}^2 x_i \geq 1$$



$$w_1 x_1 + w_2 x_2 + w_0 = 0$$

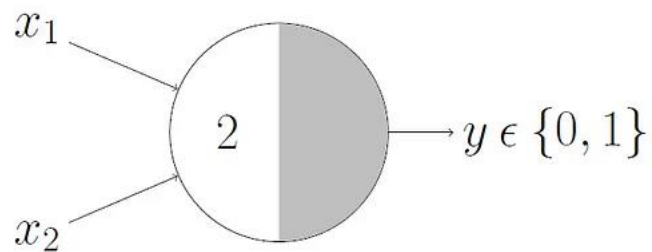
$$x_2 = \frac{-w_1}{w_2} x_1 + \frac{-w_0}{w_2}$$

Separating line equation

Here,  $w_1=1$ ,  $w_2=1$ ,  $w_0=-1$

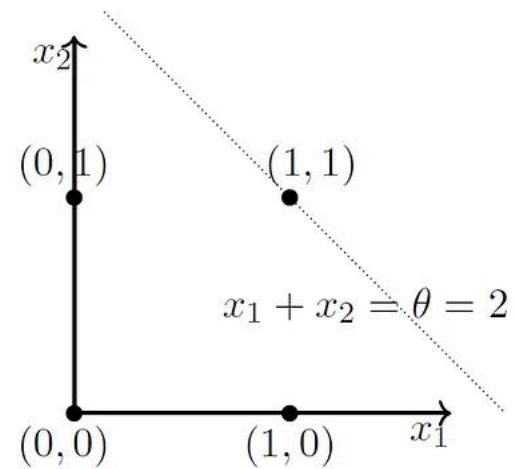
Let  $x_1 = 1 \rightarrow x_2 = 0$

# Geometric Interpretation of M-P Model: AND Gate



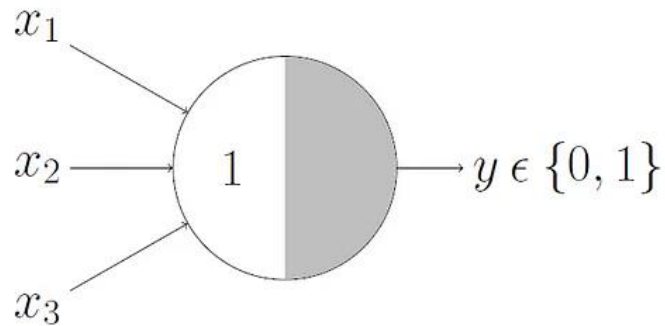
*AND function*

$$x_1 + x_2 = \sum_{i=1}^2 x_i \geq 2$$



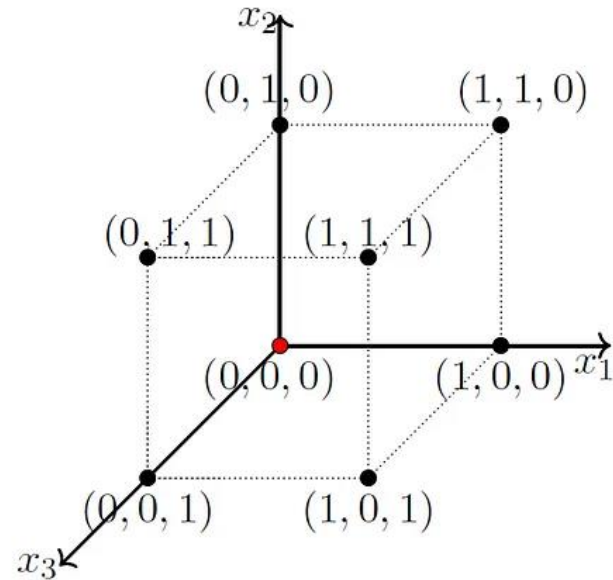


# Geometric Interpretation of M-P Model: OR Gate (3-inputs/features)



*OR function*

$$x_1 + x_2 + x_3 = \sum_{i=1}^3 x_i \geq 1$$



# Limitations

- The model does not work for non-binary inputs. (Only used for binary inputs)
- The threshold had to be decided beforehand and needed manual computation instead of the model deciding itself.

# Rosenblatt's Perceptron

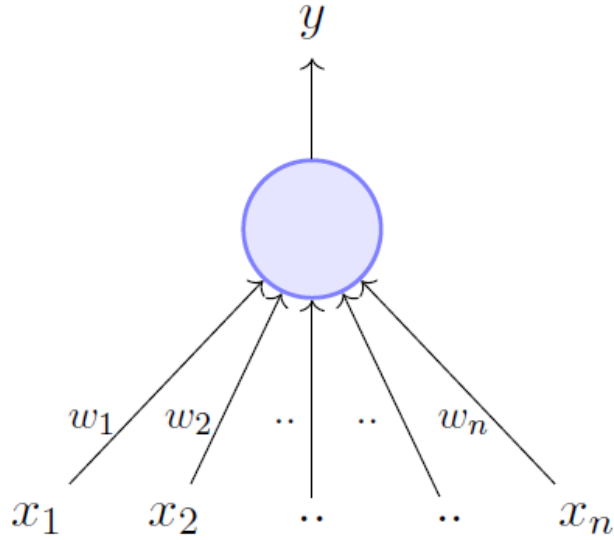
- Overcoming the limitations of the M-P neuron, Frank Rosenblatt, an American psychologist, proposed the classical perception model, the mighty *artificial neuron*, in 1958.
- It is more generalized computational model than the McCulloch-Pitts neuron where weights and thresholds can be learnt over time.

# What is Perceptron?

- A perceptron is a **simple model of a biological neuron in an artificial neural network.**
- It consists of a single neuron with adjustable synaptic weights and bias.
- In machine learning, the perceptron is an algorithm for supervised learning of binary classifiers.
- It is used for the classification of patterns said to be *linearly separable* (i.e., patterns that lie on opposite sides of a hyperplane).

- Definition: Sets of points in 2D space ( $\mathbb{R}^2$ ) are linearly separable, if the sets can be separated by a straight line.
- Set of points in n-dimensional space ( $\mathbb{R}^n$ ) are linearly separable, if there is a hyper plane of (n-1) dimensions separating the sets.

# Perceptron without Bias



$$y = 1 \quad \text{if} \quad \sum_{i=1}^n w_i * x_i \geq \theta$$

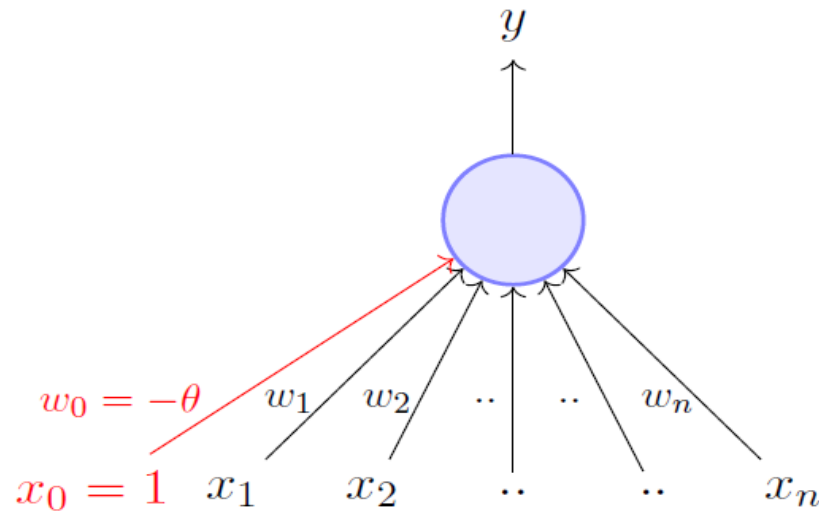
$$= 0 \quad \text{if} \quad \sum_{i=1}^n w_i * x_i < \theta$$

Rewriting the above,

$$y = 1 \quad \text{if} \quad \sum_{i=1}^n w_i * x_i - \theta \geq 0$$

$$= 0 \quad \text{if} \quad \sum_{i=1}^n w_i * x_i - \theta < 0$$

# Perceptron with Bias



A more accepted convention,

$$y = 1 \quad \text{if} \quad \sum_{i=0}^n w_i * x_i \geq 0$$

$$= 0 \quad \text{if} \quad \sum_{i=0}^n w_i * x_i < 0$$

where,  $x_0 = 1$  and  $w_0 = -\theta$

# M-P vs. Perceptron

## McCulloch Pitts Neuron

(assuming no inhibitory inputs)

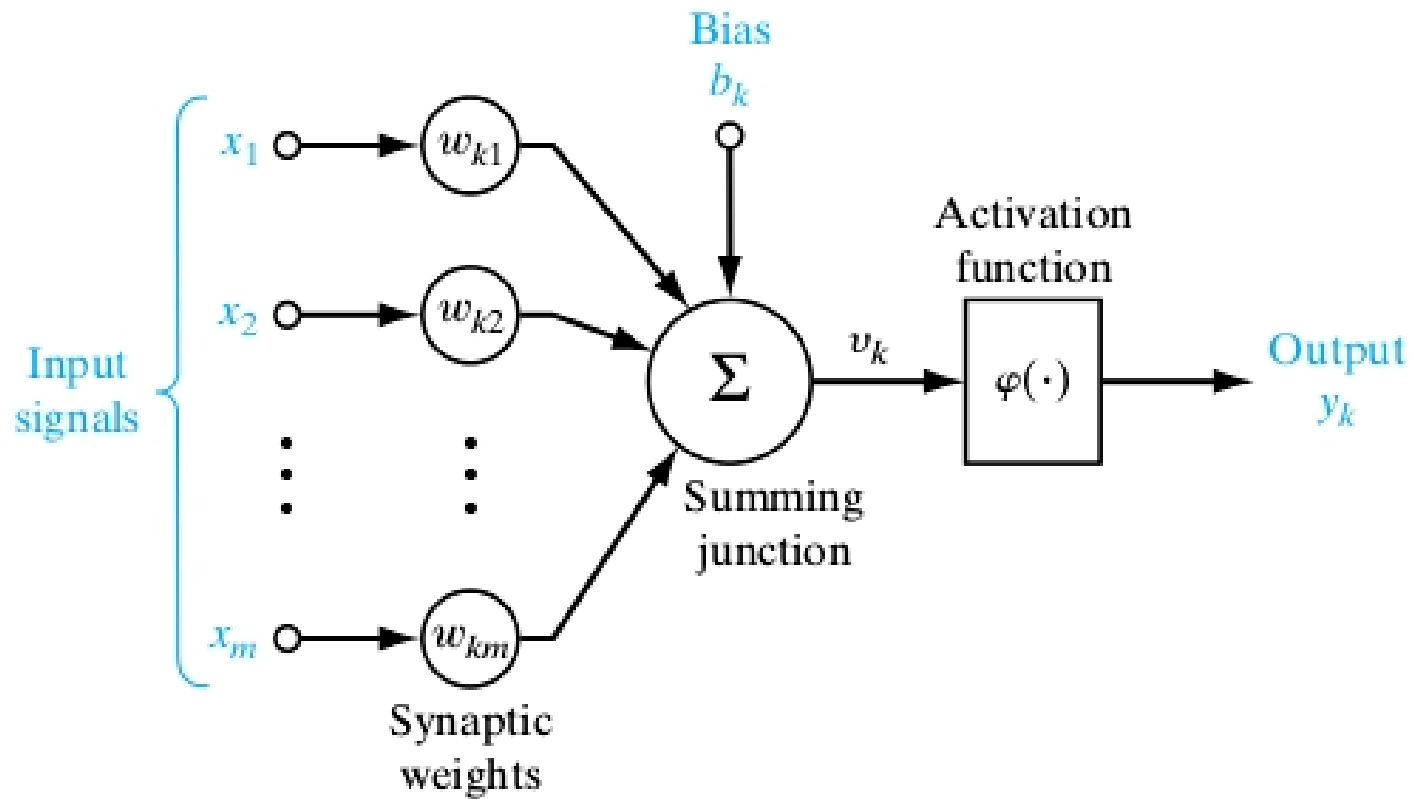
$$\begin{aligned} y &= 1 && \text{if } \sum_{i=0}^n x_i \geq 0 \\ &= 0 && \text{if } \sum_{i=0}^n x_i < 0 \end{aligned}$$

## Perceptron

$$\begin{aligned} y &= 1 && \text{if } \sum_{i=0}^n w_i * x_i \geq 0 \\ &= 0 && \text{if } \sum_{i=0}^n w_i * x_i < 0 \end{aligned}$$



# Perceptron



# Perceptron Learning

## Algorithm 1 Perceptron Learning

$w = [w_0, w_1, w_2, \dots, w_n]$

$x = [1, x_1, x_2, \dots, x_n]$

$P \leftarrow$  input with labels 1;

$N \leftarrow$  input with labels 0;

Initialize  $w$  randomly;

while !convergence do

    Pick random  $x \in P \cup N$

    if  $x \in P$  and  $w^T x < 0$  then

$w = w + x$

    if  $x \in N$  and  $w^T x \geq 0$  then

$w = w - x$

end

# Perceptron Learning

- Equation of line

$$\mathbf{w} \cdot \mathbf{x} = \mathbf{w}^T \mathbf{x} = \sum_{i=0}^n w_i * x_i$$

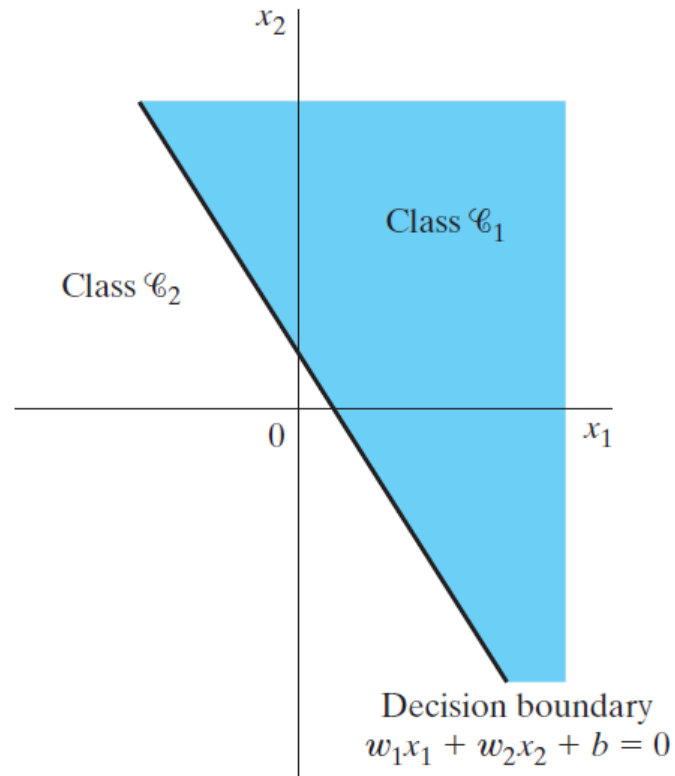
- We can rewrite the perceptron rule as

$$\begin{aligned} y &= 1 & \text{if } \mathbf{w}^T \mathbf{x} &\geq 0 \\ &= 0 & \text{if } \mathbf{w}^T \mathbf{x} < 0 \end{aligned}$$

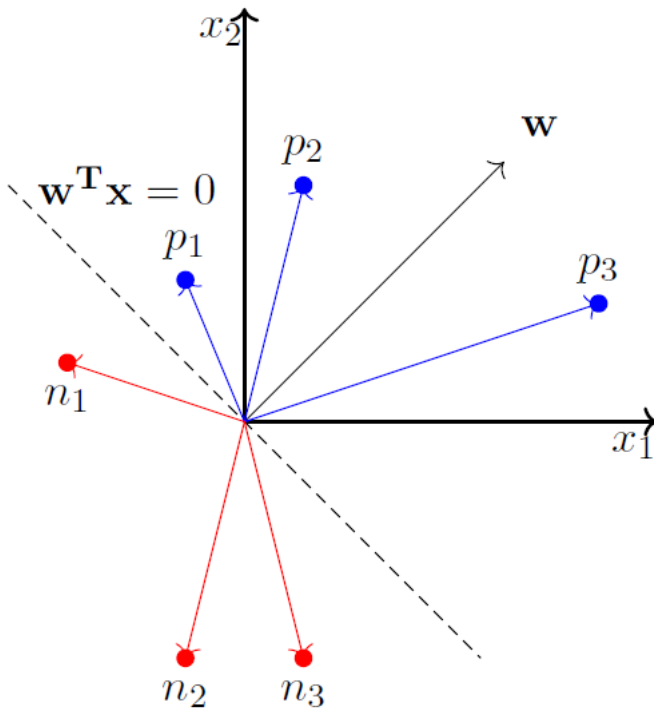
We are interested in finding the line  $\mathbf{w}^T \mathbf{x} = 0$  which divides the input space into two halves

Every point ( $\mathbf{x}$ ) on this line satisfies the equation  $\mathbf{w}^T \mathbf{x} = 0$

# 2D Decision Boundary



# Perceptron Learning



Negative-Half (N Class)

$$w^T x < 0$$

$$\rightarrow \alpha > 90^\circ$$

Positive-Half (P Class)

$$w^T x \geq 0$$

$$\rightarrow \alpha < 90^\circ$$

Changing

$$w(new) = w(old) + x$$

$$\rightarrow \alpha(new) < \alpha(old)$$

Changing

$$w(new) = w(old) - x$$

$$\rightarrow \alpha(new) > \alpha(old)$$

# Perceptron Learning

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**Algorithm:** Perceptron Learning Algorithm

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$P \leftarrow \text{inputs with label } 1;$

$N \leftarrow \text{inputs with label } 0;$

Initialize  $\mathbf{w}$  randomly;

**while** !convergence **do**

    Pick random  $\mathbf{x} \in P \cup N$  ;

**if**  $\mathbf{x} \in P$  and  $\mathbf{w} \cdot \mathbf{x} < 0$  **then**

$\mathbf{w} = \mathbf{w} + \mathbf{x}$  ;

**end**

**if**  $\mathbf{x} \in N$  and  $\mathbf{w} \cdot \mathbf{x} \geq 0$  **then**

$\mathbf{w} = \mathbf{w} - \mathbf{x}$  ;

**end**

**end**

//the algorithm converges when all the  
inputs are classified correctly

---

$$\cos \alpha = \frac{\mathbf{w}^T \mathbf{x}}{\|\mathbf{w}\| \|\mathbf{x}\|}$$

- For  $\mathbf{x} \in P$  if  $\mathbf{w} \cdot \mathbf{x} < 0$  then it means that the angle ( $\alpha$ ) between this  $\mathbf{x}$  and the current  $\mathbf{w}$  is greater than  $90^\circ$  (but we want  $\alpha$  to be less than  $90^\circ$ )
- What happens to the new angle ( $\alpha_{new}$ ) when  $\mathbf{w}_{new} = \mathbf{w} + \mathbf{x}$

$$\begin{aligned} \cos(\alpha_{new}) &\propto \mathbf{w}_{new}^T \mathbf{x} \\ &\propto (\mathbf{w} + \mathbf{x})^T \mathbf{x} \\ &\propto \mathbf{w}^T \mathbf{x} + \mathbf{x}^T \mathbf{x} \\ &\propto \cos \alpha + \mathbf{x}^T \mathbf{x} \end{aligned}$$

$$\cos(\alpha_{new}) > \cos \alpha$$

- Thus  $\alpha_{new}$  will be less than  $\alpha$  and this is exactly what we want

# Perceptron Learning

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## Algorithm: Perceptron Learning Algorithm

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```

P ← inputs with label 1;
N ← inputs with label 0;
Initialize w randomly;
while !convergence do
    Pick random x ∈ P ∪ N ;
    if x ∈ P and w·x < 0 then
        | w = w + x ;
    end
    if x ∈ N and w·x ≥ 0 then
        | w = w - x ;
    end
end
//the algorithm converges when all the
inputs are classified correctly

```

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$$\cos \alpha = \frac{\mathbf{w}^T \mathbf{x}}{\|\mathbf{w}\| \|\mathbf{x}\|}$$

- For  $\mathbf{x} \in N$  if  $\mathbf{w} \cdot \mathbf{x} \geq 0$  then it means that the angle ( $\alpha$ ) between this  $\mathbf{x}$  and the current  $\mathbf{w}$  is less than  $90^\circ$  (but we want  $\alpha$  to be greater than  $90^\circ$ )
- What happens to the new angle ( $\alpha_{new}$ ) when  $\mathbf{w}_{new} = \mathbf{w} - \mathbf{x}$

$$\begin{aligned}
 \cos(\alpha_{new}) &\propto \mathbf{w}_{new}^T \mathbf{x} \\
 &\propto (\mathbf{w} - \mathbf{x})^T \mathbf{x} \\
 &\propto \mathbf{w}^T \mathbf{x} - \mathbf{x}^T \mathbf{x} \\
 &\propto \cos \alpha - \mathbf{x}^T \mathbf{x}
 \end{aligned}$$

$$\cos(\alpha_{new}) < \cos \alpha$$

- Thus  $\alpha_{new}$  will be greater than  $\alpha$  and this is exactly what we want

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# OR Gate

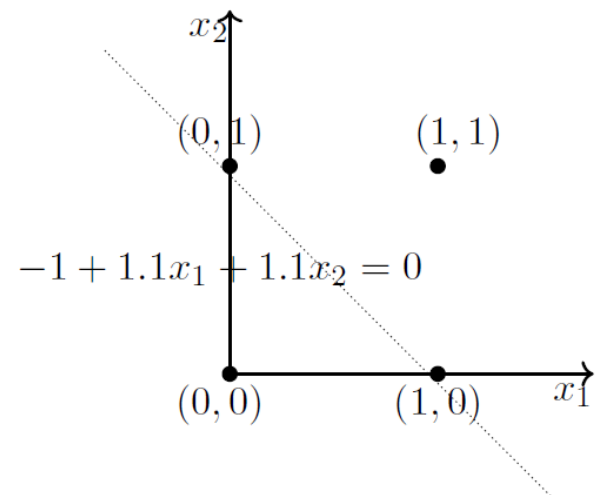
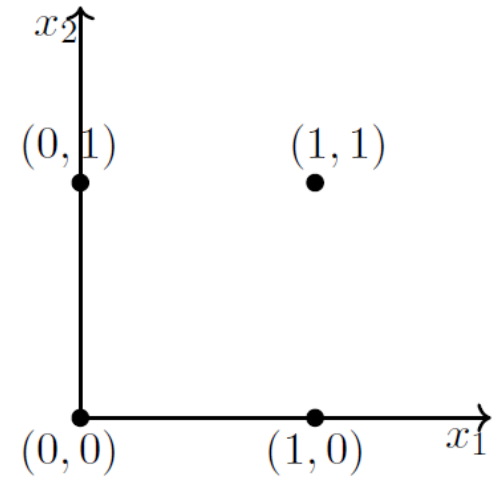
$x_1$	$x_2$	OR	
0	0	0	$w_0 + \sum_{i=1}^2 w_i x_i < 0$
1	0	1	$w_0 + \sum_{i=1}^2 w_i x_i \geq 0$
0	1	1	$w_0 + \sum_{i=1}^2 w_i x_i \geq 0$
1	1	1	$w_0 + \sum_{i=1}^2 w_i x_i \geq 0$

$$w_0 + w_1 \cdot 0 + w_2 \cdot 0 < 0 \implies w_0 < 0$$

$$w_0 + w_1 \cdot 0 + w_2 \cdot 1 \geq 0 \implies w_2 \geq -w_0$$

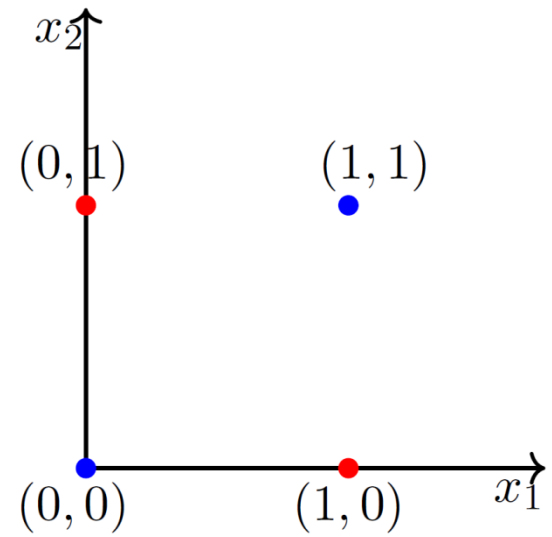
$$w_0 + w_1 \cdot 1 + w_2 \cdot 0 \geq 0 \implies w_1 \geq -w_0$$

$$w_0 + w_1 \cdot 1 + w_2 \cdot 1 \geq 0 \implies w_1 + w_2 \geq -w_0$$





# XOR



# Perceptron Learning Rule

## Algorithm 1 Perceptron Learning

```
w = [w0, w1, w2, . . . , wn]
x = [1, x1, x2, . . . , xn]
P ← input with labels 1;
N ← input with labels 0;
Initialize w randomly;
while !convergence do
    Pick random x ∈ P ∪ N
    if x ∈ P and  $w^T x < 0$  then
        w = w + x
    if x ∈ N and  $w^T x \geq 0$  then
        w = w - x
end
```

Target (t)

x1	x2	OR	
0	0	0	N
0	1	1	P
1	0	1	P
1	1	1	P

If  $t=1$  and computed output  $y = 0$ ,  
then  $w(\text{new}) = w(\text{old}) + x$

If  $t=0$  and computed output  $y = 1$ ,  
then  $w(\text{new}) = w(\text{old}) - x$

If  $t == y$ , then  $w(\text{new}) = w(\text{old})$

# Perceptron Learning Rule

The three rules above can be rewritten as a single expression.

Let the perceptron error:

$$e = t - y$$

If  $e=1$ , then

$$w(\text{new}) = w(\text{old}) + x$$

If  $e=-1$ , then

$$w(\text{new}) = w(\text{old}) - x$$

If  $e=0$ , then  $w(\text{new}) = w(\text{old})$

If  $t=1$  and computed output  $y = 0$ ,  
then  $w(\text{new}) = w(\text{old}) + x$

If  $t=0$  and computed output  $y = 1$ ,  
then  $w(\text{new}) = w(\text{old}) - x$

If  $t == y$ , then  $w(\text{new}) = w(\text{old})$

			Target (t)
x1	x2	OR	
0	0	0	N
0	1	1	P
1	0	1	P
1	1	1	P

# Perceptron Learning Rule

$$y = f(y_{in}) = \begin{cases} 1 & \text{if } y_{in} > \theta \\ 0 & \text{if } -\theta \leq y_{in} \leq \theta \\ -1 & \text{if } y_{in} < -\theta \end{cases}$$

$$\text{if } y \neq t, \quad w(new) = w(old) + \eta t x$$

$$\text{else} \quad w(new) = w(old)$$

$\eta$  : Learning rate or step size

$t$  : target

We can also use  $d$  to represent target or desired variable.

# Perceptron Convergence Theorem

- For any finite set of linearly separable labelled examples, the Perceptron Learning Algorithm will halt after a finite number of iterations.
- In other words, after a finite number of iterations, the algorithm yields a vector  $w$  that classifies perfectly all the examples.

# Perceptron Convergence Algorithm

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TABLE 1.1 Summary of the Perceptron Convergence Algorithm

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*Variables and Parameters:*

$\mathbf{x}(n)$  =  $(m + 1)$ -by-1 input vector  
=  $[+1, x_1(n), x_2(n), \dots, x_m(n)]^T$

$\mathbf{w}(n)$  =  $(m + 1)$ -by-1 weight vector  
=  $[b, w_1(n), w_2(n), \dots, w_m(n)]^T$

$b$  = bias

$y(n)$  = actual response (quantized)

$d(n)$  = desired response

$\eta$  = learning-rate parameter, a positive constant less than unity

1. *Initialization.* Set  $\mathbf{w}(0) = \mathbf{0}$ . Then perform the following computations for time-step  $n = 1, 2, \dots$
2. *Activation.* At time-step  $n$ , activate the perceptron by applying continuous-valued input vector  $\mathbf{x}(n)$  and desired response  $d(n)$ .
3. *Computation of Actual Response.* Compute the actual response of the perceptron as

$$y(n) = \text{sgn}[\mathbf{w}^T(n)\mathbf{x}(n)]$$

where  $\text{sgn}(\cdot)$  is the signum function.

4. *Adaptation of Weight Vector.* Update the weight vector of the perceptron to obtain

$$\mathbf{w}(n + 1) = \mathbf{w}(n) + \eta[d(n) - y(n)]\mathbf{x}(n)$$

where

$$d(n) = \begin{cases} +1 & \text{if } \mathbf{x}(n) \text{ belongs to class } \mathcal{C}_1 \\ -1 & \text{if } \mathbf{x}(n) \text{ belongs to class } \mathcal{C}_2 \end{cases}$$

5. *Continuation.* Increment time step  $n$  by one and go back to step 2.
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