```
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Pre-processing checklist

#1. Check that field separators are splitting metadata in clinical.csv input file as intended

```
###check dimensions of dataframe
dim(df)
```

```
## [1] 190 15
```

head(df)

```
Outcome Survival.Months Age Grade Num.Primaries Tumor.Stage
## 1
       Alive
                               67
                                       4
                                                      0
                            9
                                                                 UNK
## 2
                               73
                                       2
                                                      0
                                                                 UNK
        Dead
                           19
## 3
                                                                   2
        Dead
                               72
                                       3
                                                      0
                           13
## 4
        Dead
                           15
                                69
                                       9
                                                      1
                                                                  1a
## 5
        Dead
                               76
                                       9
                                                      0
                                                                 UNK
                           10
                                       9
## 6
        Dead
                           11
                               62
                                                      0
                                                                   3
     Metastasis.To.Lymph.Nodes Distant.Metastases Radiation Stage
##
                                                                           Primary.Site
## 1
                               2
                                                NULL
                                                             0
                                                                   ΙV
                                                                       Left Lower Lobe
## 2
                               2
                                                                   IV Right Upper Lobe
                                                             5
## 3
                               2
                                                                 IIIA Right Upper Lobe
                                                   0
                                                              0
## 4
                               0
                                                                   IA Right Upper Lobe
## 5
                           NULL
                                                NULL
                                                              0
                                                                 IIIA
                                                                             Left Hilar
                                                NULL
## 6
                                                              0
                                                                  IVB
                                                                             Left Hilar
                    Histology Tumor.Size Num.Mutated.Genes Num.Mutations
##
## 1 Squamous cell carcinoma
                                      1.4
                                                           8
                                     NULL
                                                           2
                                                                           2
## 2
               Adenocarcinoma
## 3
               Adenocarcinoma
                                      1.5
                                                            1
                                                                           1
## 4
                                                            4
                                                                           4
                                     NULL
              Adenocarcinoma
                                                            3
                                                                           3
## 5
        Large-cell carcinoma
                                     NULL
## 6
                                     NULL
                                                                           5
               Adenocarcinoma
tail(df)
```

```
##
       Outcome Survival. Months Age Grade Num. Primaries Tumor. Stage
## 185
         Alive
                              33
                                 71
                                                        0
                                                                   UNK
## 186
          Dead
                              32 82
                                                        0
                                                                     4
                                                                     3
## 187
          Dead
                              10
                                  62
                                         4
                                                        0
                                                                     3
## 188
          Dead
                              23
                                  72
                                         3
                                                        0
## 189
                                         4
          Dead
                              32 67
                                                        1
                                                                    1a
## 190
          Dead
                              33 71
                                         9
##
       Metastasis.To.Lymph.Nodes Distant.Metastases Radiation Stage
```

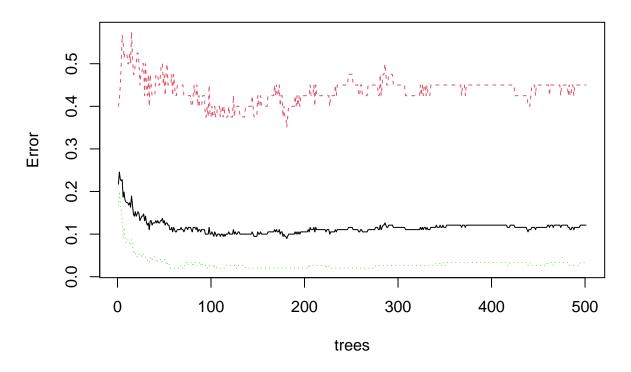
```
## 185
                                 0
                                                                      IV
## 186
                                 0
                                                      1
                                                                   IIIB
## 187
                                                                     IVB
                                 2
                                                  NULL
## 188
                                                  NULL
                                                                      ΙA
                              NULL
## 189
                              NULL
                                                      0
                                                                      ΙV
## 190
                              NULL
                                                      0
                                                                0
                                                                   IIIB
           Primary.Site
                                         Histology Tumor. Size Num. Mutated. Genes
## 185 Right Lower Lobe
                                   Adenocarcinoma
                                                           1.5
## 186 Right Upper Lobe Squamous cell carcinoma
                                                             9
                                                                                 2
                             Large-cell carcinoma
                                                          NULL
                                                                                 3
## 187
             Left Hilar
## 188 Right Upper Lobe Squamous cell carcinoma
                                                             2
                                                                                 3
                                                                                 3
## 189 Right Upper Lobe
                                   Adenocarcinoma
                                                            10
## 190 Right Upper Lobe
                                   Adenocarcinoma
                                                          NULL
##
       Num.Mutations
## 185
                    2
                    2
## 186
## 187
                    5
                    3
## 188
                    3
## 189
                    2
## 190
  2. Exploratory data analysis: Check for any misspellings, blank spaces, formatting inconsistencies
###Column 'Survival.Months' has 3 instances of floating-point numbers (9.5 months)
table(df$Survival.Months)
##
##
     9 9.5
            10
                 11
                     13
                         15
                              16
                                  18
                                      19
                                           22
                                               23
                                                                 32
                                                                     33
                                                                                      37
##
     7
         3
            23
                 27
                          7
                               8
                                   2
                                        6
                                                6
                                                                                       1
                     21
        39
            40
                     42
                         46
                              50
                                  71
                      3
                           1
                               1
###Column 'Stage' has an incorrect value that needs to be changed (1B instead of IB)
table(df$Stage)
##
##
     1B
          ΙA
                ΙB
                    IIA IIB IIIA IIIB
                                               IVB
                                           ΙV
##
          32
                 1
                           11
                                43
                                           45
                                                25
df$Stage[df$Stage == '1B'] <- 'IB'</pre>
table(df$Stage)
##
##
     ΙA
              IIA IIB IIIA IIIB
                                     ΙV
                                          IVB
     32
                     11
                           43
                                24
                                     45
                                           25
###Column 'Primary.Site' has incorrect spellings ('Righ Upper Lobe')
table(df$Primary.Site)
##
##
                              Left Hilar
                                            Left Lower Lobe
                                                               Left Upper Lobe
           Both Lung
##
                                      31
                                                          17
                    5
##
                             Right Hilar
                                          Right Lower Lobe Right Middle Lobe
     Righ Upper Lobe
##
                                      33
                                                          25
##
    Right Upper Lobe
##
                   53
```

```
df$Primary.Site[df$Primary.Site == 'Righ Upper Lobe'] <- 'Right Upper Lobe'
table(df$Primary.Site)
##
##
         Both Lung
                        Left Hilar
                                   Left Lower Lobe
                                                   Left Upper Lobe
##
                               31
                                               17
##
       Right Hilar Right Lower Lobe Right Middle Lobe
                                                  Right Upper Lobe
##
               33
                               25
                                                              55
 3. Sanity-checks: Make sure that information in clinical.csv file is logically consistent
###(Assuming that mutations & genes referred to are all in coding regions) all Values in
###'Num.Mutations' should not be lower than corresponding values in 'Num.Mutated.Genes'
###column
check1 <- df[df$Num.Mutations < df$Num.Mutated.Genes, ]</pre>
nrow(check1) ###No selected rows indicates logic is ok between two columns
## [1] 0
###Since the data comes from de-identified databases in order to fulfill PHI compliance,
###there is a possibility that the same patient is accidentally duplicated within a
###dataset. So we add a check here to ensure that is not the case.
df$key<-apply(df,1,paste,collapse="")</pre>
check2 <- table(table(df$key))</pre>
check2 #all concatenated values are unique
##
##
## 190
###Load genomics.csv file, check to make sure that the number of genes per sample agrees
###with data in clinical.csv file
df2 <- read.csv("genomics.csv", stringsAsFactors = F)</pre>
df3 <- as.data.frame(table(df2$ID))</pre>
rownames(df3) <- df3$Var1
df3$Var1 <- NULL
check3 \leftarrow merge(df, df3, by.x = 0, by.y = 0)
check3$Num.Mutated.Genes - check3$Freq #data in clinical.csv & genomics.csv files match
    Feature selection: Drop columns with greater than 20% missing data, check features for high correlations
###drop columns with missing data greater than 20%
df_dropped_features_with_missing_data <- df[,!names(df) %in%</pre>
     c("Grade", "Tumor.Stage", "Metastasis.To.Lymph.Nodes", "Distant.Metastases",
      "Tumor.Size")]
###checking pearson correlation between Num.Mutated.Genes & Num.Mutations
check4 <- df_dropped_features_with_missing_data[, c(9, 10)]</pre>
pcor(check4, method = "pearson") #91% correlation indicates redundancy
```

## \$estimate

```
##
                      Num.Mutated.Genes Num.Mutations
## Num.Mutated.Genes
                              1.0000000
                                             0.9157352
## Num.Mutations
                              0.9157352
                                             1.0000000
##
## $p.value
##
                      Num.Mutated.Genes Num.Mutations
## Num.Mutated.Genes
                            0.00000e+00 2.25099e-76
## Num.Mutations
                            2.25099e-76 0.00000e+00
## $statistic
##
                      Num.Mutated.Genes Num.Mutations
## Num.Mutated.Genes
                                0.00000
                                              31.25056
## Num.Mutations
                               31.25056
                                               0.00000
##
## $n
## [1] 190
##
## $gp
## [1] 0
## $method
## [1] "pearson"
###drop 'Num.Genes' and get rid of key
df_dropped_features_with_missing_and_redundant_data <-</pre>
df_dropped_features_with_missing_data[,!names(
  df dropped features with missing data) %in%
      c("Num.Mutated.Genes", "key")]
###Selection of inputs for the RF model
inputs <- df dropped features with missing and redundant data
inputs <- as.data.frame(inputs)</pre>
inputs$Outcome <- as.character(inputs$Outcome)</pre>
inputs$Outcome <- as.factor(inputs$Outcome)</pre>
inputs$Age <- as.numeric(inputs$Age)</pre>
inputs$Num.Primaries <- as.numeric(inputs$Num.Primaries)</pre>
inputs$Radiation <- as.numeric(inputs$Radiation)</pre>
inputs$Stage <- as.factor(inputs$Stage)</pre>
inputs$Primary.Site <- as.factor(inputs$Primary.Site)</pre>
inputs$Histology <- as.factor(inputs$Histology)</pre>
inputs$Num.Mutations <- as.factor(inputs$Num.Mutations)</pre>
#####USING RF MODEL####
#use bagging to avoid losing data while training model
set.seed(12345)
bag.tree.DN = randomForest(Outcome~., data = inputs, mtry = 3, ntree = 501,
                            importance = TRUE, na.action=na.roughfix, keep.inbag = TRUE,
                            norm.votes = FALSE, replace = FALSE)
###generate a visualization of per-category outcome error rate, as well as the OOB error
###rate, and how they change as the number of decision trees are increased
plot(bag.tree.DN, legend=TRUE)
```

## bag.tree.DN



## ###generate summary statistics of model summary(bag.tree.DN)

```
##
                   Length Class Mode
## call
                      10 -none- call
## type
                          -none- character
## predicted
                          factor numeric
                     190
## err.rate
                    1503
                          -none- numeric
## confusion
                          -none- numeric
## votes
                     380
                          matrix numeric
## oob.times
                     190
                          -none- numeric
## classes
                       2
                          -none- character
## importance
                      32
                          -none- numeric
## importanceSD
                      24
                          -none- numeric
## localImportance
                          -none- NULL
## proximity
                          -none- NULL
## ntree
                          -none- numeric
## mtry
                          -none- numeric
                       1
## forest
                      14
                          -none- list
## y
                     190 factor numeric
## test
                       0
                          -none- NULL
## inbag
                   95190
                          -none- numeric
## terms
                          terms call
```

```
###generate confusion matrix to evaluate final error rates
bag.tree.DN$confusion
        Alive Dead class.error
## Alive
           22 18 0.45000000
## Dead
            5 145 0.03333333
###save individual number of decision tree votes as a separate dataframe
bag.tree.DN_df <- as.data.frame(bag.tree.DN$votes)</pre>
dim(bag.tree.DN_df)
## [1] 190
bag.tree.DN_df
##
      Alive Dead
## 1
        150
              37
## 2
         10 174
## 3
         19 162
## 4
         42 122
## 5
          0 180
## 6
          0
             203
## 7
          2 169
## 8
          1 181
## 9
         18 138
## 10
        166
              28
## 11
          4 167
## 12
          0 197
## 13
         42 136
## 14
        135
              59
## 15
          0 176
          0 196
## 16
## 17
          0 165
## 18
        106
              88
## 19
          9 167
## 20
          0 175
## 21
          1 201
## 22
         61 121
## 23
         24 160
## 24
         21 152
## 25
         23 147
## 26
          0 175
## 27
         29 159
## 28
         183
               8
## 29
          3 166
## 30
         13 164
## 31
         15 172
## 32
         47 136
## 33
         44 142
## 34
         84
              93
## 35
          8 176
## 36
          5 176
```

## 37

## 38

## 39

99

97

0 184

0 186

##	40	32	159
##	41	10	172
##	42	83	114
##	43	8	164
##	44	0	169
##	45	36	160
##	46	0	180
##	47	167	13
##	48	24	168
##	49	0	212
##	50	101	88
##	51	6	179
##	52	0	188
##	53	117	57
##	54	33	145
##	55	0	186
##	56	46	114
##	57	0	176
##	58	22	156
##	59	48	148
##	60 61	16	176 101
##	62	93 32	158
##	63	32	170
##	64	52	133
##	65	52	169
##	66	42	137
##	67	130	60
##	68	14	165
##	69	0	186
##	70	25	167
##	71	14	169
##	72	0	181
##	73	0	176
##	74	6	161
##	75	10	169
##	76	54	127
##	77	0	178
##	78	54	128
##	79	5	162
##	80	6	190
##	81	125	50
##	82	90	109
##	83	0	172
##	84	15	179
##	85	4	185
##	86	75	102
##	87	0	183
##	88	65	134
##	89	7	177
##	90	49	136
##	91	92	81
##	92	12	164
##	93	157	22

```
## 94
         100
               101
## 95
          40
               147
## 96
          54
               129
## 97
          80
                95
## 98
           60
               129
## 99
            3
               175
## 100
            0
               178
## 101
          27
               158
## 102
          49
               148
## 103
           0
               178
## 104
          53
               150
## 105
               158
           5
## 106
         100
                96
## 107
            0
               170
## 108
            0
               179
## 109
          155
                37
## 110
          90
                86
## 111
               192
            0
## 112
         103
                77
## 113
          101
                69
## 114
          48
               140
## 115
          29
               154
## 116
           11
               185
## 117
           0
               192
## 118
          19
               161
## 119
          90
                91
## 120
            0
               171
## 121
          83
                88
## 122
          154
                30
## 123
           0
               195
## 124
          40
               143
## 125
           13
               160
## 126
               195
            0
## 127
            0
               172
## 128
          93
                92
## 129
            3
               165
## 130
          89
                92
## 131
          24
               163
## 132
          95
                79
## 133
          102
                77
## 134
            0
               181
## 135
          20
               161
## 136
         110
                91
## 137
          30
               164
## 138
          22
               150
## 139
          33
               150
## 140
           0
               170
## 141
          52
               129
## 142
               128
          47
## 143
               159
          14
## 144
           0
               188
## 145
          14
               162
## 146
          38
               159
## 147
          105
                81
```

```
## 148
               159
            4
               203
## 149
            0
## 150
               191
            1
## 151
               179
           11
## 152
           42
               135
## 153
           26
               161
## 154
           35
               152
               168
## 155
           0
## 156
           0
               182
## 157
           12
               170
## 158
           30
               143
## 159
           8
              178
## 160
           22
               162
## 161
           29
               154
## 162
            0
               179
## 163
            0
               171
## 164
            0
               171
## 165
               192
            0
## 166
           11
               161
               151
## 167
            0
## 168
            0
               202
## 169
          161
## 170
           14
               165
## 171
           18
               153
## 172
               152
           40
## 173
          160
                17
## 174
            0
               181
## 175
           96
                89
## 176
           86
                96
## 177
           0
               191
## 178
           78
                93
## 179
           55
               124
## 180
            0
               182
               151
## 181
            6
## 182
            0
               179
           79
               104
## 183
## 184
           42
               126
## 185
           3
               170
           58
## 186
               124
## 187
           0
               179
## 188
           57
               107
            9
               189
## 189
## 190
           16
              170
###export to csv format
write.csv(bag.tree.DN_df,
           "votes.csv")
print(row.names(bag.tree.DN_df))
                                                 "7"
     [1] "1"
                "2"
                       "3"
                              "4"
                                    "5"
                                           "6"
                                                        "8"
                                                               "9"
                                                                     "10"
                                                                           "11"
                                                                                  "12"
##
    [13] "13"
                "14"
                       "15"
                             "16"
                                    "17"
                                          "18"
                                                 "19"
                                                        "20"
                                                              "21"
                                                                     "22"
                                                                           "23"
                                                                                  "24"
##
##
    [25] "25"
                "26"
                       "27"
                             "28"
                                    "29"
                                           "30"
                                                 "31"
                                                        "32"
                                                               "33"
                                                                     "34"
                                                                           "35"
                                                                                  "36"
    [37] "37"
                                                        "44"
                                                                           "47"
##
                "38"
                       "39"
                             "40"
                                    "41"
                                           "42"
                                                 "43"
                                                               "45"
                                                                     "46"
                                                                                  "48"
##
    [49] "49"
                "50"
                       "51"
                             "52"
                                    "53"
                                           "54"
                                                 "55"
                                                        "56"
                                                               "57"
                                                                     "58"
                                                                           "59"
                                                                                  "60"
##
    [61] "61"
                "62"
                       "63"
                             "64"
                                    "65"
                                          "66"
                                                 "67"
                                                        "68"
                                                              "69"
                                                                     "70"
                                                                           "71"
                                                                                  "72"
```

```
## [73] "73" "74" "75" "76" "77" "78" "79" "80" "81" "82" "83" "84" 
## [85] "85" "86" "87" "88" "89" "90" "91" "92" "93" "94" "95" "96" 
## [97] "97" "98" "99" "100" "101" "102" "103" "104" "105" "106" "107" "108" 
## [109] "109" "110" "111" "112" "113" "114" "115" "116" "117" "118" "119" "120" 
## [121] "121" "122" "123" "124" "125" "126" "127" "128" "129" "130" "131" "132" 
## [133] "133" "134" "135" "136" "137" "138" "139" "140" "141" "142" "143" "144" 
## [145] "145" "146" "147" "148" "149" "150" "151" "152" "153" "154" "155" "156"  
## [157] "157" "158" "159" "160" "161" "162" "163" "164" "165" "166" "167" "168"  
## [169] "169" "170" "171" "172" "173" "174" "175" "176" "177" "178" "179" "180"  
## [181] "181" "182" "183" "184" "185" "186" "187" "188" "189" "190"
```