

# Effective Approaches to Attention-based Neural Machine Translation - Luong et al.

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# Outline

## 1 Intuition

## 2 Abstract

## 3 Introduction

- Traditional NMT system
- Problem

## 4 Attention-based Models

- Probabilistic Analysis
- Graphical Representation of Global attention

- Global attention: Algorithm
- Local attention

## 5 Analysis

- Input feeding Method
- Experiment
- Length Analysis
- Summary

# Intuition

## Attentional Mechanism

Selectively focusing on parts of the sentence.

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Find if a sentence is toxic or not.

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I am going to shoot you. - toxic

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Selectively focusing on parts of the sentence.

Find if a sentence is toxic or not.

I am going to **shoot** you. - **toxic**

I am going to **love** you. - **not toxic**

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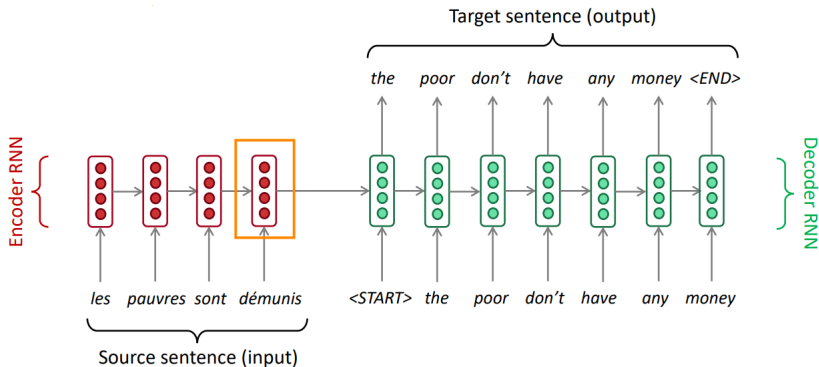
SOTA - State Of The Art

Cells are stacking LSTM.

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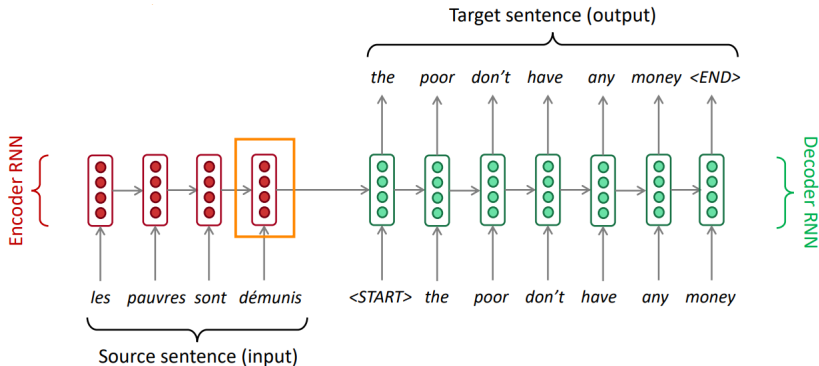
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# Traditional NMT system



**Figure:** Neural machine translation a stacking recurrent architecture for translating a source sequence A B C D into a target sequence X Y Z. Here,  $\langle eos \rangle$  marks the end of a sentence.

# Problem of Traditional NMT system



**Figure:** Orange rec-tangled hidden layer is the encoding of the source sentence. One single layer can't carry much info. (specially when the sentence is longer.)



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# Probabilistic Analysis

- **Encoder-Decoder** Model.
- a NN that directly models the **conditional probability**  $p(y|x)$  of translating a source sequence, and  $x_1, \dots, x_n$  to a target sequence  $y_1, \dots, y_m$ . If  $\mathbf{s}$  is set of source hidden states,

$x = \textit{les pauvres sont de'munis}$

$y = \textit{the poor dont have any money}$

$$p(y|x) = \sum_{j=1}^m \log p(y_j | y_{<j}, \mathbf{s})$$

$$p(y_j | y_{<j}, \mathbf{s}) = \textit{softmax}(g(h_j))$$

$$h_j = f(h_{j-1}, \mathbf{s})$$

$$J_t = \sum_{(x,y) \in \mathcal{D}} -\log p(y|x)$$

# Types of Attention

$$p(y|x) = \sum_{j=1}^m \log p(y_j | y_{<j}, \mathbf{s})$$

- Global attention:  $\mathbf{s}$  contains all the hidden layers of source.
- Local attention:  $\mathbf{s}$  contains subset of hidden layers of source.

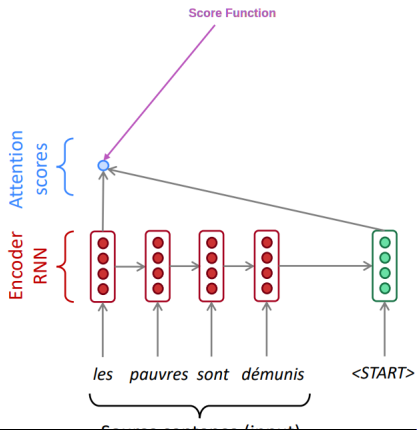
# Types of Attention

$$p(y|x) = \sum_{j=1}^m \log p(y_i | y_{<j}, \mathbf{s})$$

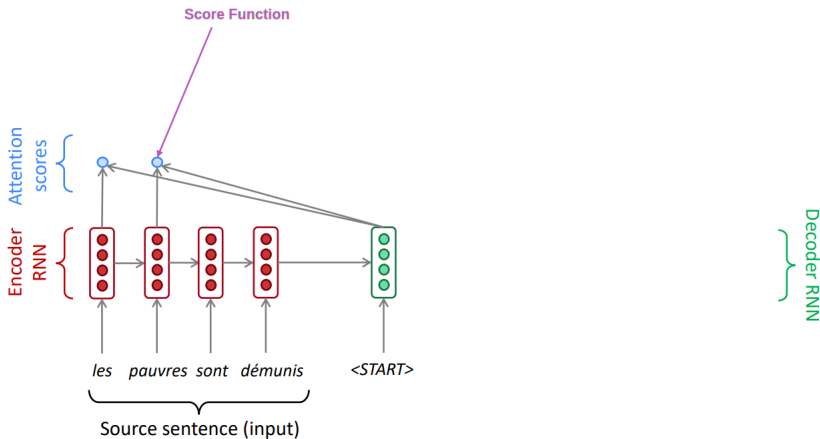
- Global attention:  $\mathbf{s}$  contains all the hidden layers of source.
- Local attention:  $\mathbf{s}$  contains subset of hidden layers of source.

Focus on a particular part of the source sequence.

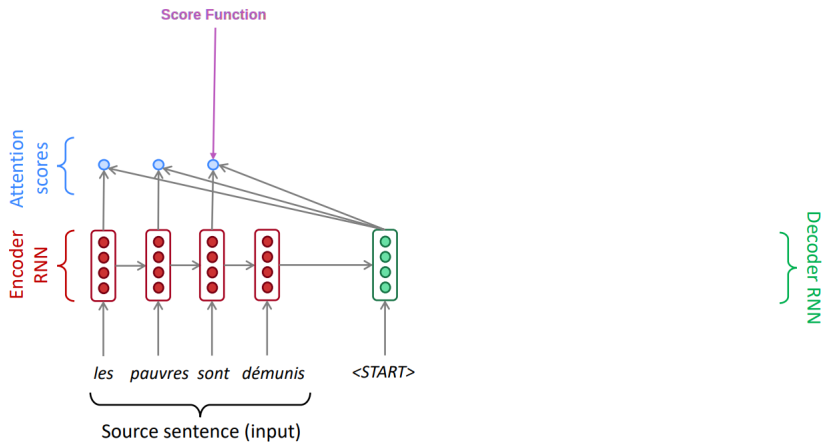
# Global attention



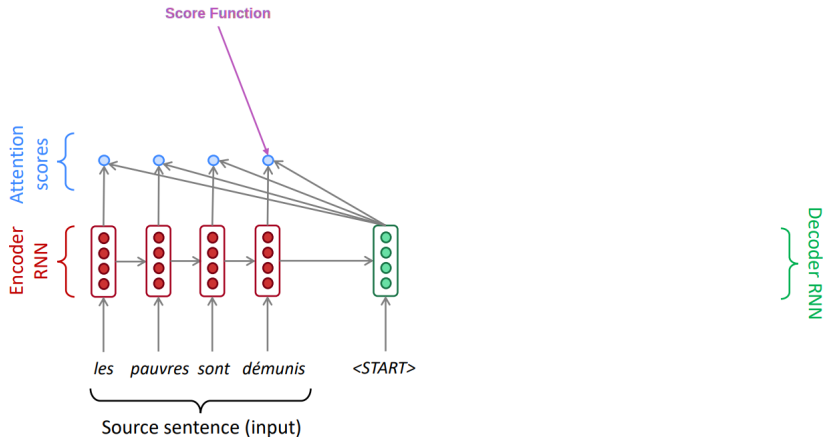
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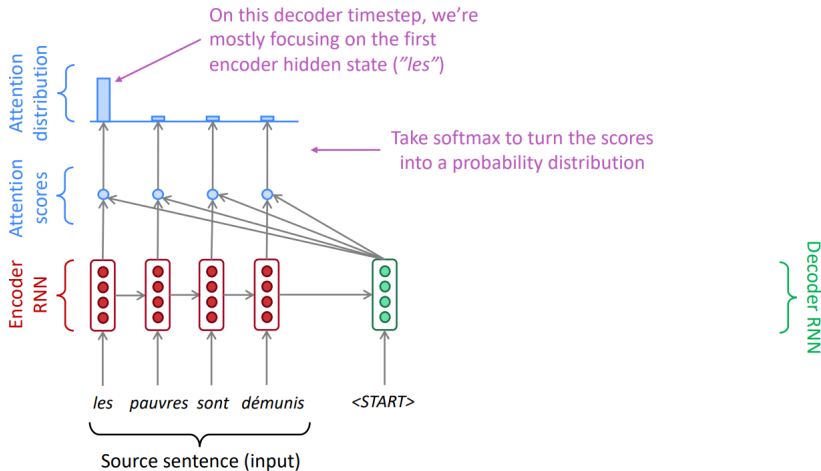


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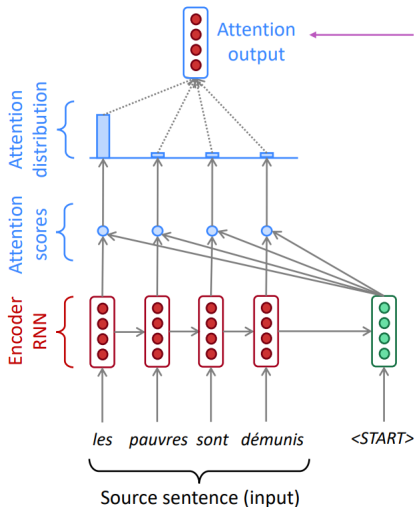




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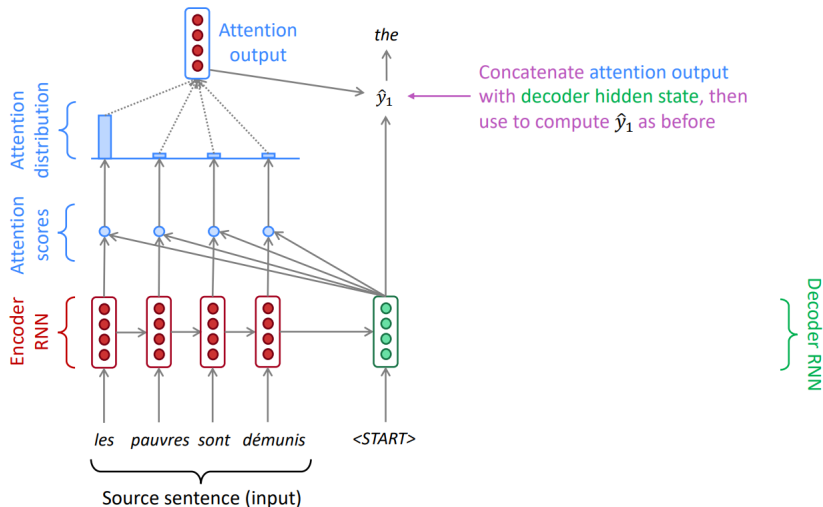


Use the attention distribution to take a **weighted sum** of the **encoder hidden states**.

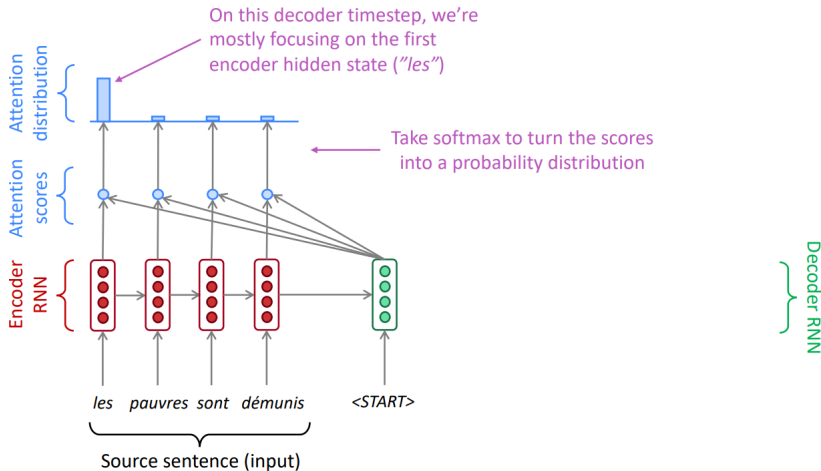
The attention output mostly contains information the **hidden states** that received high attention.

Decoder RNN

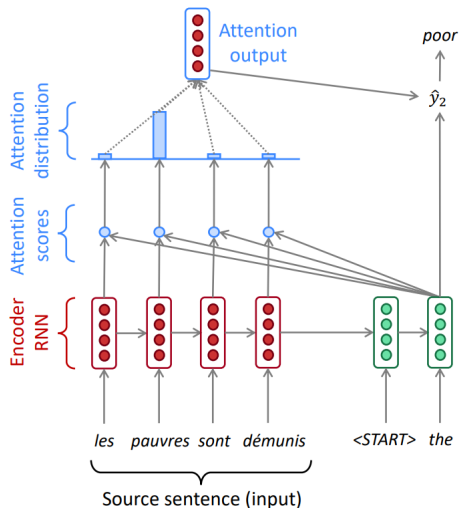
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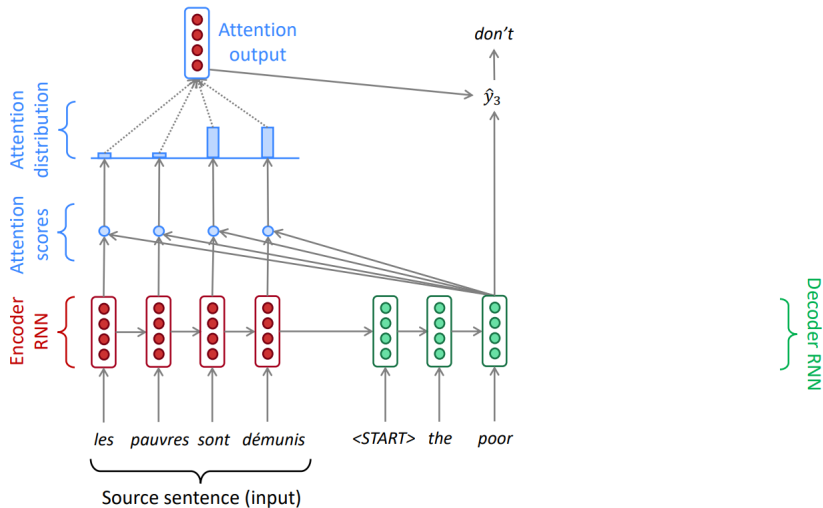


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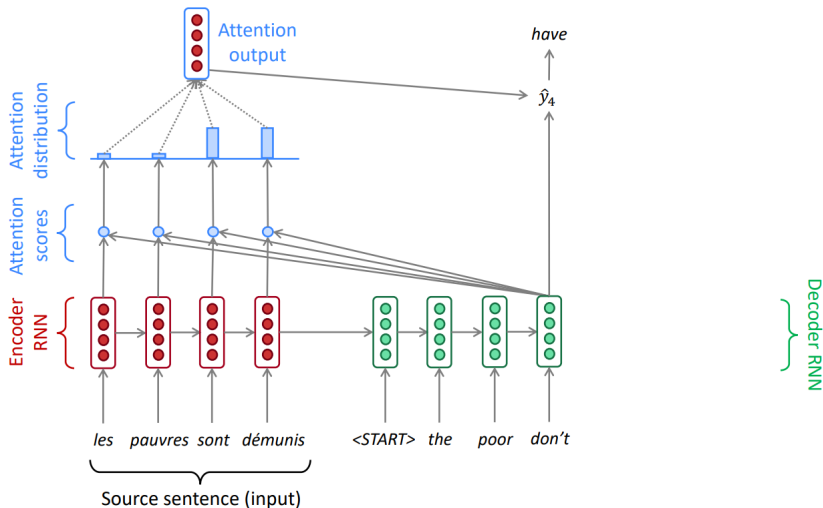


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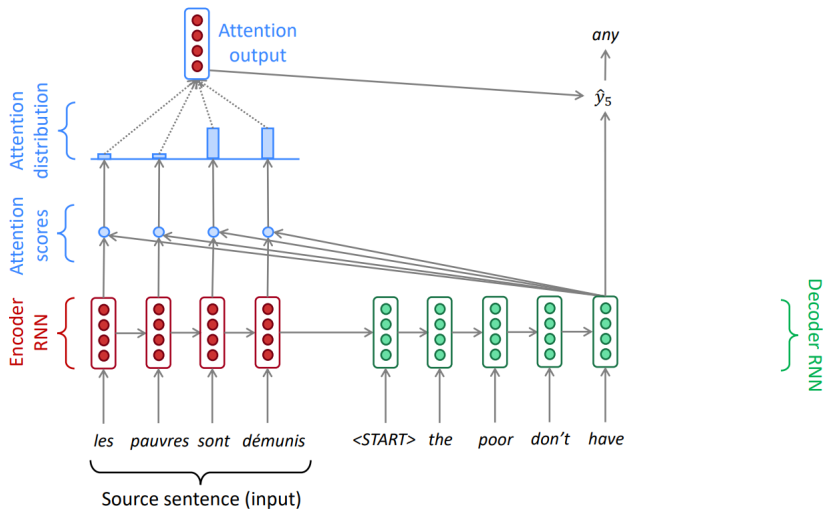
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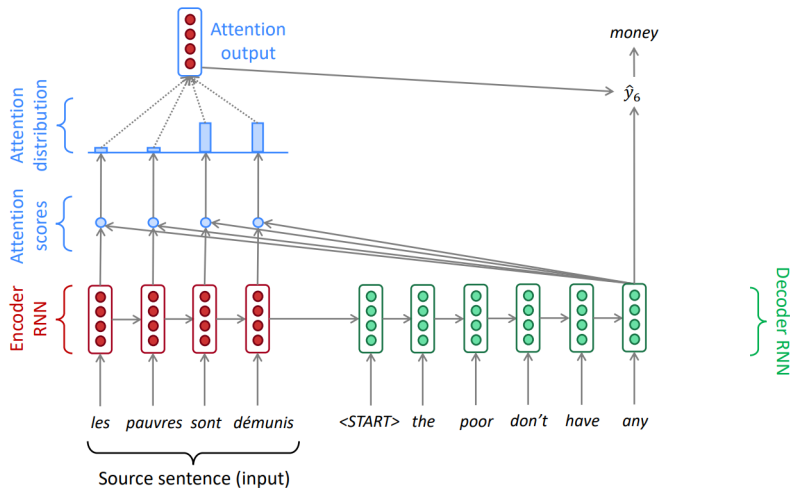


# Global attention





# Global attention



# Global attention: Algorithm

- Encoder ( $h_{et}$  -  $e$  for encoder,  $t$  is the time stamp)
  - Calculate the encode state,  $H_e = h_{e1}, h_{e2}, \dots, h_{eN}$
- Decoder ( $h_{dt}$  -  $d$  for decoder,  $t$  is the time stamp)
  - for each time step  $t$  calculate score compared to  $H_e$ ,

$$\begin{aligned}
 a_t(s) &= \text{align}(h_{dt}, h_{es}) \\
 &= \frac{\exp(\text{score}(h_{dt}, h_{es}))}{\sum_{s'} \exp(\text{score}(h_{dt}, h_{es}))}
 \end{aligned}$$

( $s$  is the sequence length)

# Global attention: Algorithm

- Decoder

- for each time step  $t$  calculate score compared to  $H_e$ ,

$$a_t(s) = \text{align}(h_{dt}, h_{es}) = \frac{\exp(\text{score}(h_{dt}, h_{es}))}{\sum_{s'} \exp(h_{dt}, h_{es})} \quad (s \text{ is the sequence length})$$

- 

$$\text{score}(h_{dt}, h_{es}) = \begin{cases} h_{dt}^T h_{es} & \text{dot} \\ h_{dt}^T W_a h_{es} & \text{general} \\ v_a^T \tanh(W_a [h_{dt}^T; h_{es}]) & \text{concat} \end{cases}$$

- 

$$c_t = \sum_{i=1}^s a_t(i) h_{ei}$$

- $h_{dout} = \tanh(W_c [c_t; h_{dt}])$
  - $p(y_t | y_{<t}, x) = \text{softmax}(W_s h_{dout})$

# Global attention

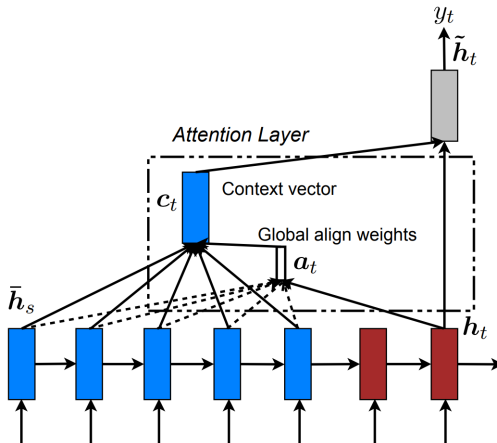


Figure: Global attention figure from the paper.

# Local Attention

- For each decoder step  $t$ ,  
the model first generates an aligned position  $p_t$ .
- Calculate the attention in window  $[p_{t-D}, p_{t+D}]$
- $D$  is empirically selected.
- Unlike the global approach, the local alignment vector  $a_t$  is now fixed-dimensional.

# Local attention

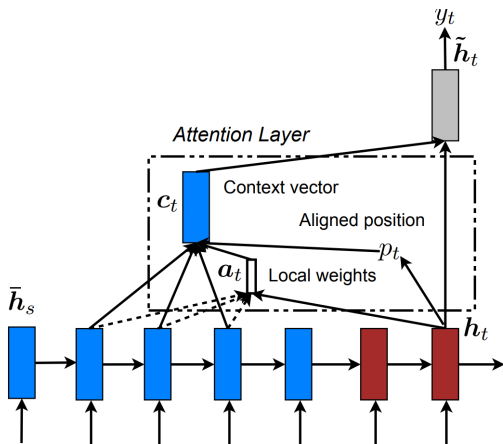


Figure: Local attention figure from the paper.

## $p_t$ selection

To calculate the value of  $p_t$  the paper consider 2 approaches,

- **Monotonic alignment (local-m)** : Simply set  $p_t = t$  assuming that source and target sequences are roughly monotonically aligned
- **Predictive alignment (local-p)** : use a NN to predict the position,

$$p_t = S * \text{sigmoid}(v_p \tanh(W_p h_{dt}))$$

$$a_t(s) = \text{align}(h_{et}, h_{ds}) \exp\left(-\frac{(s - p_t)^2}{2\sigma^2}\right)$$

where,  $\sigma = D/2$ .  $p_t$  is a real number.  $s$  is an integer within the window centered at  $p_t$

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# Input feeding Method

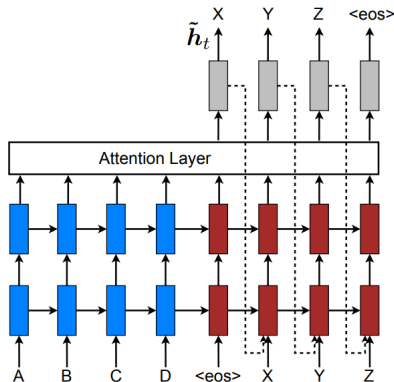


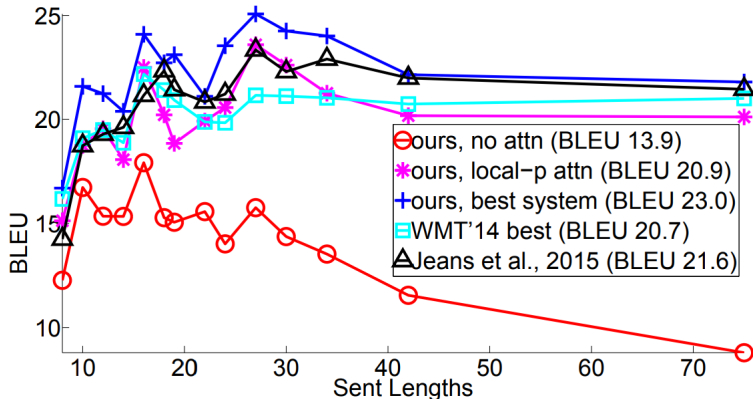
Figure: Attentional vectors  $\tilde{h}_t$  (decoder side) are fed as inputs to the next time steps to inform the model about past alignment decisions

# Experiment

System	Ppl	BLEU
Winning WMT'14 system – <i>phrase-based + large LM</i> (Buck et al., 2014)		20.7
<i>Existing NMT systems</i>		
RNNsearch (Jean et al., 2015)		16.5
RNNsearch + unk replace (Jean et al., 2015)		19.0
RNNsearch + unk replace + large vocab + <i>ensemble</i> 8 models (Jean et al., 2015)		<b>21.6</b>
<i>Our NMT systems</i>		
Base	10.6	11.3
Base + reverse	9.9	12.6 (+1.3)
Base + reverse + dropout	8.1	14.0 (+1.4)
Base + reverse + dropout + global attention ( <i>location</i> )	7.3	16.8 (+2.8)
Base + reverse + dropout + global attention ( <i>location</i> ) + feed input	6.4	18.1 (+1.3)
Base + reverse + dropout + local-p attention ( <i>general</i> ) + feed input	5.9	19.0 (+0.9)
Base + reverse + dropout + local-p attention ( <i>general</i> ) + feed input + unk replace		20.9 (+1.9)
<i>Ensemble</i> 8 models + unk replace		<b>23.0 (+2.1)</b>

Figure: WMT14 English-German results

# Length Analysis



**Figure:** Length Analysis translation qualities of different systems as sentences become longer.

# Summary

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- Attention helps with vanishing gradient problem
  - Provides shortcut to faraway states

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- Attention solves the bottleneck problem
  - Attention allows decoder to look directly at source; bypass bottleneck

- Attention helps with vanishing gradient problem
  - Provides shortcut to faraway states
- Attention provides some interpretability.

	Les	pauvres	sont	démunis
The				
poor				
don't				
have				
any				
money				



# Credits

- Effective Approaches to Attention-based Neural Machine Translation Luong et al.
- Dr. Shafiq Joty.
- Stanford CS224n Slides.
- Christopher D. Manning's Lecture.