

An On-Line Japanese Handwriting Recognition System integrated  
into an E-Learning Environment for Kanji

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# Chapter 1

## Conceptual Design of Kanji-Coach

### 1.1 Requirements of a Kanji Teaching E-Learning Application

#### 1.1.1 General Considerations

In order to create a concept for a Kanji teaching application, a number of different aspects need to be taken into consideration. These aspects emerge from the academic background concerning the Japanese script, pedagogical and didactic knowledge about teaching languages and general conceptions of e-learning applications.

Many efforts in designing e-learning applications are focused around the teacher's view on learning. For designing an e-learning application that is useful to students, the students view needs be taken into account (Alexander and Golja 2007). Ivašin (2009) criticises the technical dominance in e-learning and e-teaching processes, as the conceptual software designs are not always supporting the didactic purpose of the software. Therefore, the user view should be taken into account when conceptually designing an e-learning application. The requirement of a *user-focused design* follows directly from this view.

For online e-learning, it is a known that readers only scan the textual information displayed. Therefore it is not useful to provide a user with large blocks of text, but rather with smaller chunks that encourage skimming over (Hamid 2001). It can be expected that the fact that an e-learning process happens online does not greatly affect the user behaviour. Therefore, the observations made for online e-learning can probably be applied to offline desktop application based e-learning. The requirement of *keeping textual information short and concise* derives from that observation.

If e-learning is considered as a learning method in higher education, *blended learning* seems to be the most suitable form of e-learning. Blended learning means combining classroom activities with e-learning methods (Hettinger 2008; Kahiigi et al. 2008). Language learning is not necessarily considered as higher education. In case of studying Japanese, with its specific difficulties in language and the script, language learning is taken to an intellectual level that is at least close to higher education. Thus, an e-learning application for any aspect of the Japanese language should not have the pretensions of possessing the capability to *teach Japanese*. Japanese is a complex language with a complex script. E-learning applications should aim at supporting a learner's classroom efforts of studying the language. The requirement of *focussing on a specific language aspect* can be drawn from this reasoning. The prototype system designed in this work does not aim at being a complete e-learning system, but rather offers individual learning components from which a user can choose what type of learning and which component best supports his study.

#### 1.1.2 Conceptual Considerations for E-Learning of Kanji

An e-learning application for Kanji should be an e-learning application for vocabulary at the same time. It is conceptually not useful to split those two learning tasks (Stahlmann 2004). Learning Kanji and Hànzì is a visual task. Learners need to focus on many little details concerning a character. For example, the character 曜 contains 18 strokes that are difficult to distinguish from each other in a regular script size of 11pt. It is those details that make it difficult for a learner to remember a character. Thus, it is important to direct and guide the learner's perception and comprehension of the characters towards a construct of Radicals, rather than a combination of a large number of strokes (Stahlmann 2004). When attempting to split characters into conceptual sub units for the ease of a learner, three different approaches lend themselves to this goal.

**Firstly**, splitting the characters into certain strokes. There are 26 original strokes in the writing system and each shape in all the characters can be drawn with a combination of those strokes (Foljanty 1984). This approach does not seem to ease the task of memorising the Kanji. It may be fairly easy to memorise 26 strokes, but the fact that around 2,000 Kanji necessary for reading Japanese consist of these strokes, does not imply that memorising those becomes any less difficult.

**Secondly**, splitting the characters into graphemes. There are several shapes in use in the Japanese and Chinese writing system. There are 79 graphemes in use (Hadamitzky 1995). Using graphemes as a conceptual unit for a learner seems much more useful than employing the strokes as a direct sub unit of the Kanji. Memorising all the graphemes may help a learner to study the Kanji, because all sub shapes of the characters are known. However, graphemes do not necessarily bear a meaning. Seen from a perspective of perception and cognition, the coherence between the different parts of a Kanji would be purely visual. That can help learners with an outstanding visual memory, but probably not the majority with an average visual memory.

**Thirdly**, splitting the characters into Radicals. Radicals are the conceptual sub units of Kanji characters and they bear a meaning of their own. The number of Radicals is larger than the number of graphemes, but not all Radicals are in use and some graphemes are Radicals themselves at the same time (Hadamitzky 1995). The Radicals do not only bear a meaning, but also have a function in character formation (see section ?? for typology of the Kanji). In order for a learner to memorise the Kanji, it seems useful to grasp the concept of Kanji typology and therefore character formation. Equipped with the rules of character formation and a number of Radicals the brain can link different parts of a Kanji character with other parts and other characters. For example when studying phonograms, the majority of the Kanji characters, knowledge about the pronunciation of the phonetic Radical will help the learner.

Among those three possibilities it seems most suitable to use a combination of the second and the third. Conceptually, the characters will be split into Radicals, but the system must know about the concept of a grapheme, too. Ideally, the system would have data that distinguishes both.

### 1.1.3 Classification of a Kanji Teaching Application

#### 1.1.3.1 Classification in an E-Learning Context

Different types of e-learning systems have been discussed in section ?. In the course of designing a prototype system, design choices need to be made. The design choice for the prototype will be an offline e-learning system that runs on a desktop PC. This design choice does not follow a conceptual requirement, in fact it ignores Ivašin's (2009) criticism of technical dominance in e-learning systems. The choice is a purely technical choice, yet, it is driven by a conceptual requirement. The purpose of the e-learning environment is to test to what extend a handwriting recognition engine can help studying the Kanji. In order to examine that research question, the handwriting recognition needs to be implemented and integrated with the e-learning system. Thus, the design choice for an offline system was inevitable in the sense that the technical limitations of on-line applications form an obstacle for pen input of characters and fast recognition procedures.

According to the definition given by (Richert 2007) the Kanji teacher prototype is a *computer based training* (CBT) system, as it does not use the Internet for communication or a webserver for storage. According to her research, another criterion for an identifying offline systems is that they are offered for distribution on CD-ROM or floppy disk. That criterion can be regarded as obsolete, as it refers to specific storage media. Even if a higher level of abstraction is used to describe the criterion, it is still obsolete, since a *passive storage medium* is not necessary to describe what the criterion actually tries to define. The criteria concerning communication and data storage are useful to confine different types of e-learning applications. Additionally, *installability* can be used as a criterion for offline e-learning systems. *Installability* here refers to *the possibility to install a software on a computer system*, not the *ease of installation*, which is defined in ISO9126 as *installability* as well (Chua and Dyson 2004). The ISO9126 type of installability will be taken into account during the software evaluation, which is reported in chapter ?.

The levels of interactivity as described in section ??:

1. **View and absorb objects**
2. **View and absorb multiple displays**
3. **Varying the form of representation**
4. **Changing the content of a component - parameter or data variation**
5. **Generating objects or the content of a representation**
6. **Constructive and manipulative actions through situation-dependent feedback**

The prototype designed in this work is aimed at a level higher than level (??) *Changing the content of a component*. It is targeted between the levels (??) *Generating objects or the content of a representation* and (??) *Constructive and manipulative actions through situation-dependent feedback*. Concretely, a user can:

- Change the ideal shape of a character by storing a new gold standard.
- Create new characters and their descriptions

- Receive situation-dependent feedback even on the newly created characters, due to the nature of the error recognition algorithm that evaluates mathematically the distance between a gold standard character and an input. Additionally, characters are analysed structurally, therefore new characters added by the user will automatically be classified and arranged among the other characters in the database of the system.

Thus, based on the levels of interactivity (Richert 2007), it can be concluded that the prototype provides a very high level of interaction. The levels serve as an evaluation measurement for the quality of e-learning applications.

### 1.1.3.2 Classification of Kanji Coach Among Kanji Teaching Applications

*Kanji Coach* is an e-learning system for Kanji characters. Its unique features are

- The internal handwriting recognition engine
- The error recognition performed by the handwriting recognition module

The conceptual use of the handwriting recognition engine is dealt with in section 1.3. The error handling concept is presented in section 1.4.

There are several e-learning systems that are centred around learning to read and write Japanese:

1. **JWPce**: <http://www.physics.ucla.edu/~groventh/jwpce.html>  
Special text processing editor for Japanese as a foreign language, including dictionaries.
2. **JFC**: <http://www.physics.ucla.edu/~groventh/jfc.html>  
Application for learning Kanji.
3. **JapAlpha**: <http://members.aol.com/JapAlpha/private/japa10.htm>  
Application for learning the phonetic letters of Japanese.
4. **Hiragana und Katakana online lernen**: <http://www.theiling.de/schrift/#kanatop>  
Web site for studying Hiragana and Katakana.
5. **Moji**: <http://moji.mozdev.org/>  
Web browser extension for immediate dictionary lookup of Kanji.
6. **KanjiQuick**: <http://www.kanji.de/>  
Dictionary for Kanji-German with a translation module and a text to speech module.
7. **Kanji Gold**: <http://web.uvic.ca/kanji-gold/>  
E-Learning application for studying Kanji.
8. **KanjiGym Light**: <http://www.kanjigym.de/>  
E-Learning application for studying Kanji with the Heisig system.<sup>1</sup>
9. **Tagaini vocabulary teacher**: <http://www.tagaini.net/>  
Vocabulary teacher for Japanese.
10. **Online vocabulary teacher**: <http://www.vokabeltrainer-online.net/>  
General vocabulary teacher for several languages including Japanese.
11. **Kanji Teacher of SZSB**: <http://szsbls3.szs.uni-saarland.de/kanji/>  
Online e-learning application for studying Kanji.
12. **Skritter**: <http://www.skritter.com>  
Online e-learning application for studying Kanji including a handwriting input.

Among the various e-learning applications centred around Japanese there are three different types of applications.

1. **Dictionaries**. In the dictionary type of applications it is possible to look up a Kanji and receive a translation in a different language, mostly English, sometimes German. Among those JWPCE is the most developed in the sense that it provides a Radical lookup. A user can choose a number of Radicals in a matrix of Radicals and the dictionary finds appropriate characters.
2. **Vocabulary teachers**. Vocabulary teaching applications provide interfaces for word look-up and foreign word input with either Unicode input or Latin transcription of Japanese words.

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<sup>1</sup>The Heisig system is a method for studying Kanji, discussed in section ??.

3. **Script teachers.** Script teaching applications are designed as two different types. Multiple choice applications and handwriting input.

- **Multiple choice.** The large majority of e-learning applications teaching the Japanese script (either the two types of Kana or the Kanji) have to use multiple choice for character input. The reason for that is that modern Input Method Editors (IME) allow for a Latin input of Japanese words and provide the Kanji for the user. If the application would prompt the user with a task like *What Kanji is pronounced 'inu'?* the user would have to type 'I-N-U' in order to be presented with the character 犬 by the IME. In other words there is no cognitive task for the user except reading Latin characters from the screen and typing them on the computer keyboard. Since that does not help with the task of learning the Kanji, the only chance is multiple choice. The user is presented a set of characters and is asked to click on the one that had been asked for.
- **Handwriting input.** There are only two applications that use a handwriting input method in order to teach the Kanji. However, none of the applications performs a handwriting recognition. The applications are <sup>2</sup> <sup>3</sup>

**Skritter** uses a kind of stroke-based similarity measure for individual strokes. When the system prompts the user for a specific character, it allows only the next correct stroke of that character as user input. Concretely, the user can just try to write any stroke. If it is close enough to the next correct stroke, it will be morphed into an idealised picture of that stroke. Figures:

- Figure 1.1 shows that Skritter does not allow any incorrect input by the user. If the user tries to input an incorrect stroke the correct stroke is shown on the screen. That feature avoids any learning from one's own errors.
- Figure 1.2 shows the way Skritter morphs a user input to the correct position. The stroke length is adapted to fit the character. The user's error disappears immediately.
- Figure 1.3 shows the high tolerance that Skritter allows when morphing. The user input stroke generally follows the right direction, but the euclidian distance of the stroke and the ideal stroke is large. Nevertheless Skritter accepts that stroke as a correct input.

**Kanjigym Light** does not perform a handwriting recognition. The application provides a canvas for writing characters. The user can input a character and then click a button named *check*. The correct character appears next to the user input and leaves it to the user to compare for correctness. There is an accept and a discard button.

- Figure 1.4 shows the user input canvas in Kanjigym Light.
- Figure 1.5 shows the way Kanjigym Light has the user compare his own input with the original character.

## 1.2 Approaching the Specific Difficulties of the Japanese Script

Section ?? deals with the typical problems that learners face when studying the Kanji. The Japanese script inevitably bears some difficulties when attempting to study it. The application should care for these problems by supporting these issues. They can be approached by providing not only a handwriting input for the user but also a handwriting recognition engine that gives informed feedback to the user.

### 1.2.1 Character Learning Aspects

The specific problems mentioned in section ?? are:

1. **Similar Kanji**
2. **Compounds**
3. **Unusual readings**
4. **Alternative Kanji**

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<sup>2</sup>Skritter from <http://www.skritter.com/>.

<sup>3</sup>Kanjigym Light from <http://www.kanjigym.de/>.



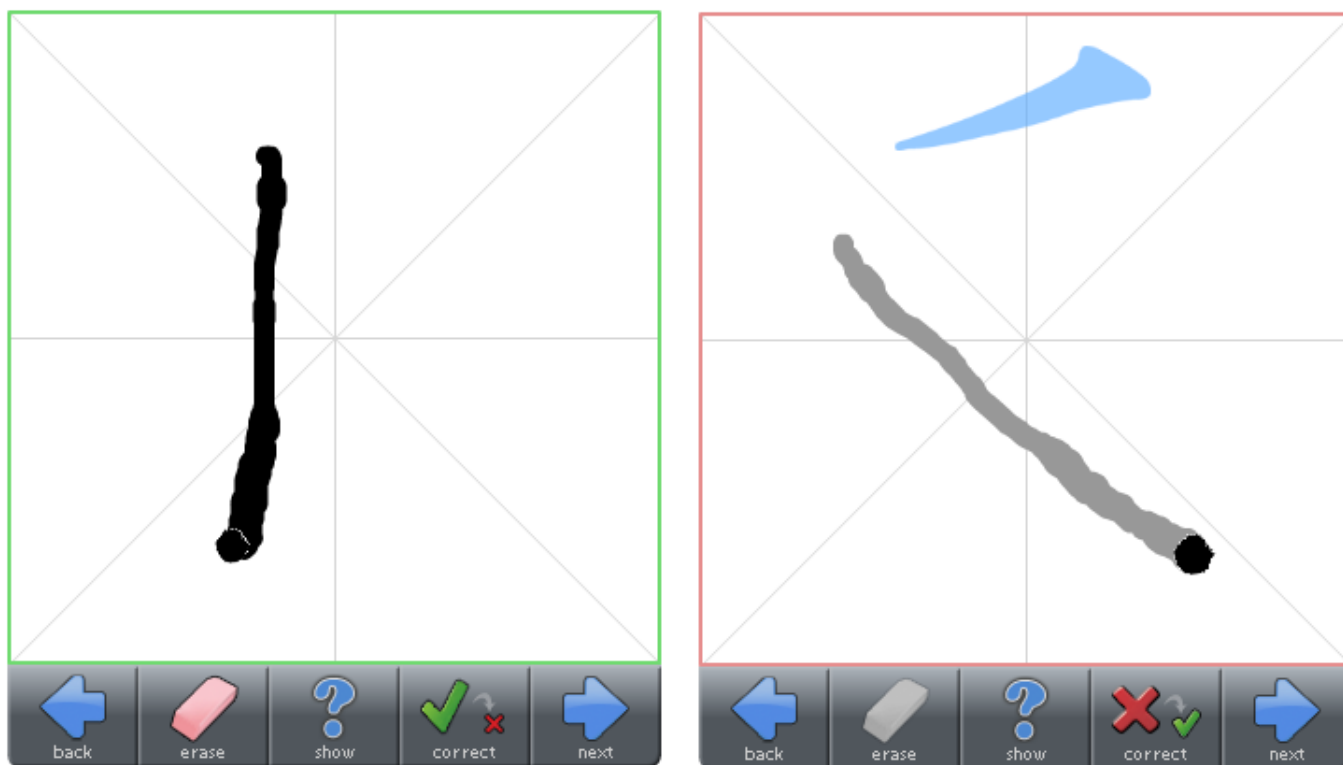


Figure 1.1: Skritter does not allow incorrect input

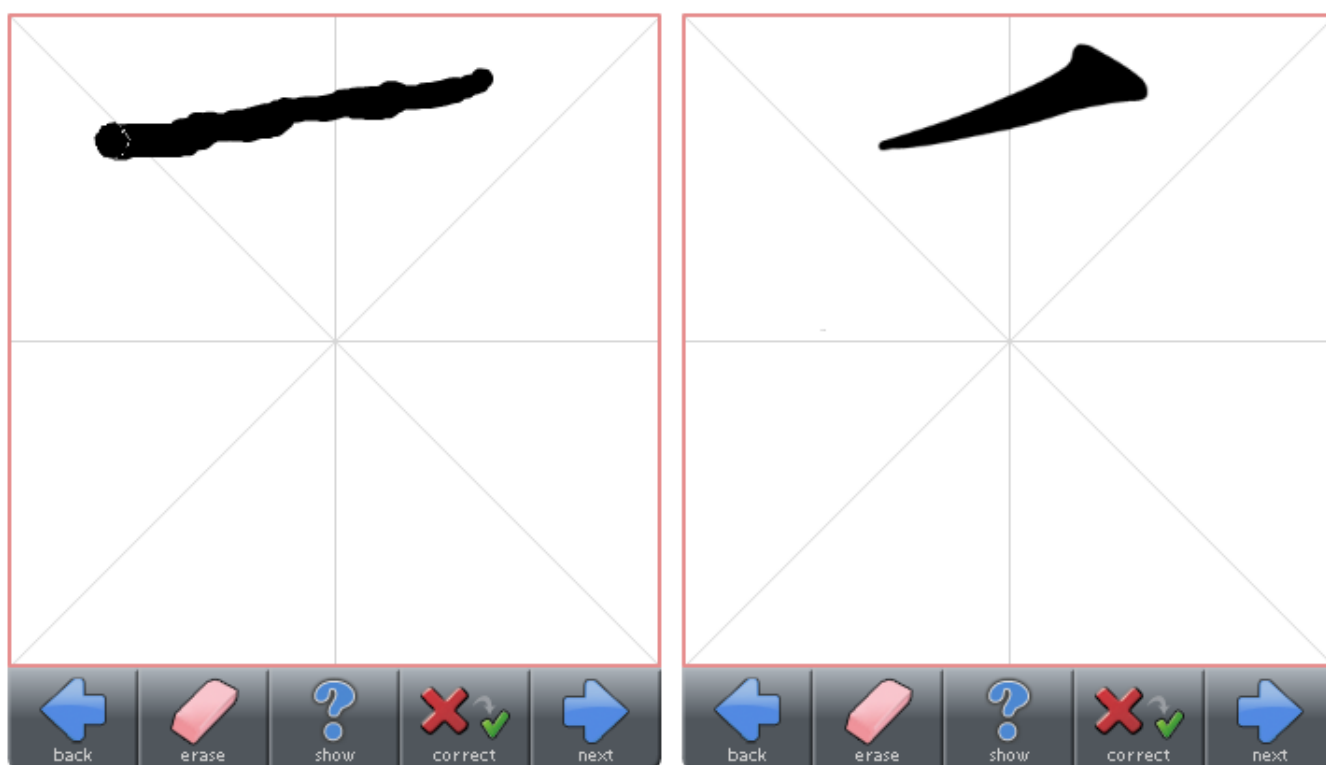


Figure 1.2: Skritter morphs user input to correct strokes

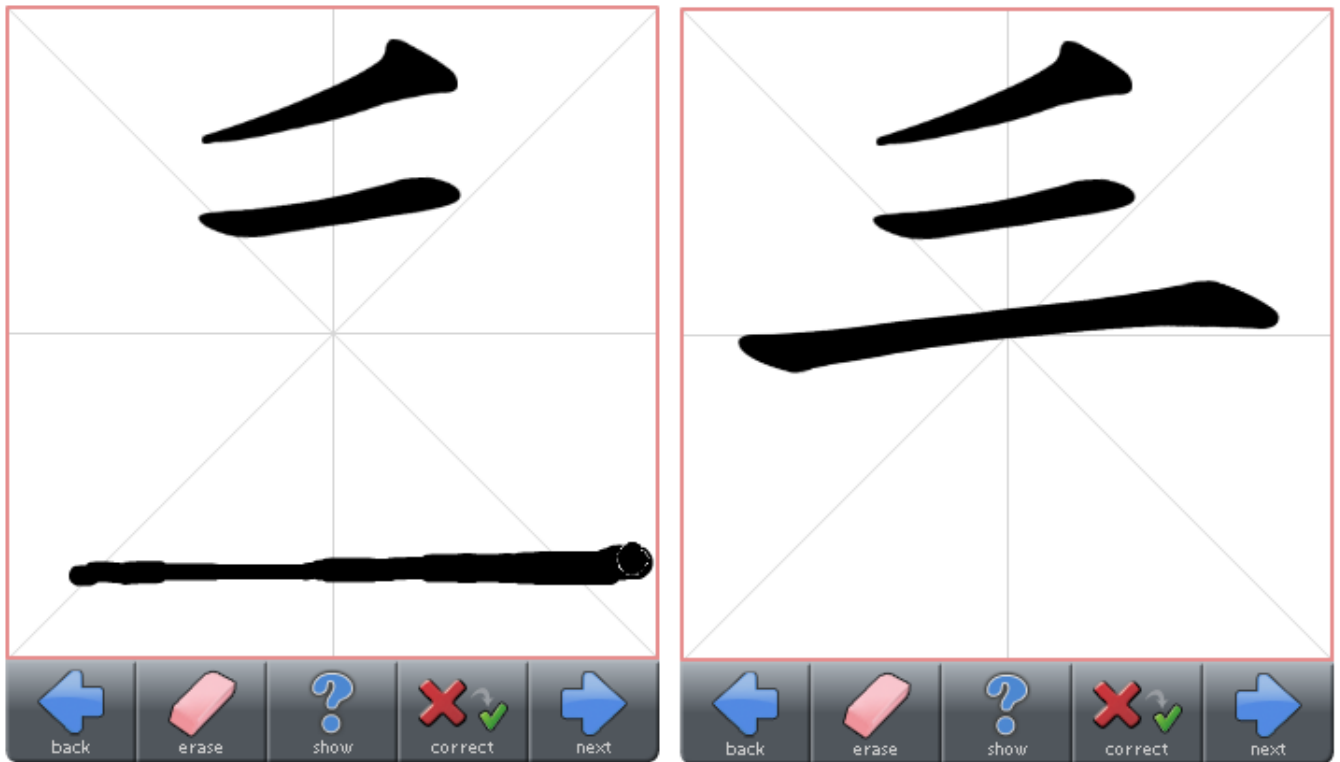


Figure 1.3: Skritter employs a very high tolerance when morphing user input

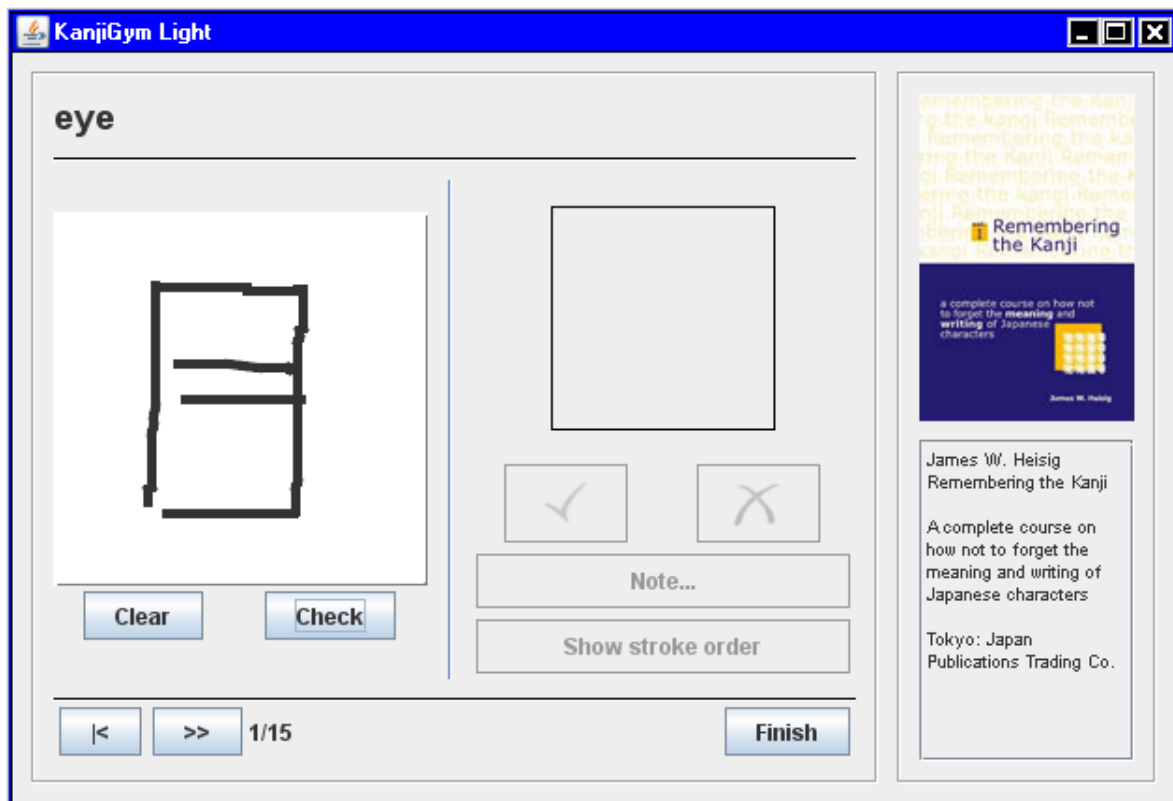


Figure 1.4: Kanjigym Light character input

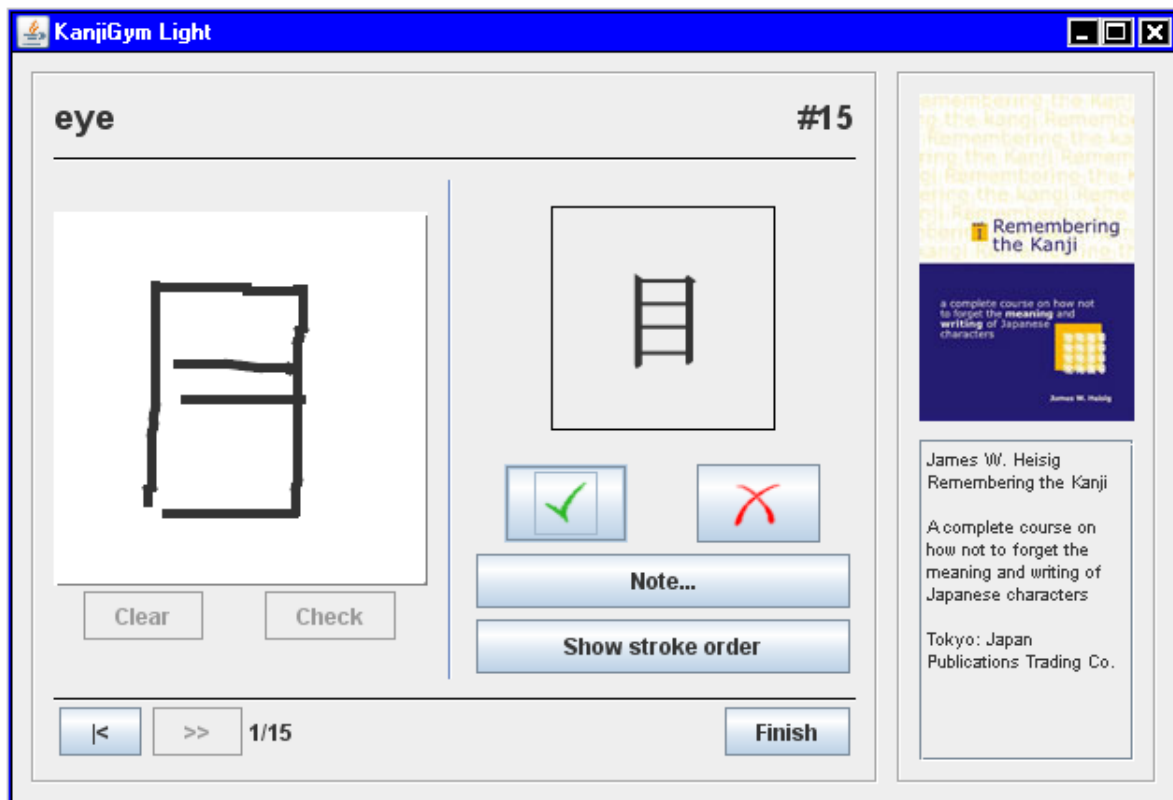


Figure 1.5: Kanjigym Light checking correctness manually

## 5. Homophones

## 6. Infrequent Jōyō Kanji

## 7. Non-Jōyō Kanji

### 1.2.1.1 Problems Outside the Scope of the Prototype

A Kanji teaching prototype cannot provide solutions for all of those problems, but for some. It cannot provide a satisfying solution for problem 2 as the prototype deals with single characters only. It is not useful to provide a solution for problem 3 because studying the main reading of a character is most important at the beginning. Especially, when studying a new character, the learner should focus on the character shape and the main reading, not on unusual alternative readings. Kanji Coach aims at teaching the Japanese script, not the full Japanese language.

Kanji Coach cannot provide a solution for problems 6 and 7, memorising infrequent Jōyō Kanji and Non-Jōyō Kanji. These characters are difficult because they are infrequent. It seems more suitable for a learner to study the frequent characters first in order to learn reading and writing. Thus, it is not plausible to pay special attention to this group of characters by presenting those more often to the learner.

### 1.2.1.2 Problems Inside the Scope of the Prototype

The two main problems for learners of Japanese Kanji are

- Similar Kanji (problem 1)
- Homophones (problem 5)

Those two will be dealt with in the prototype. Additionally, the problem of

- Alternative Kanji (problem 4)

is targeted by the e-learning application.

**Similar Kanji** are the major issue for a learner of Japanese. The prototype *Kanji Coach* provides a solution for this difficulty. The solution does not necessarily contain the employment of specialised lessons for similar-looking Kanji. The reason for that is that it is more suitable for the human brain to study material that belongs to the same range of topics. Similar looking Kanji often do not have a connection to each other except their visual similarity. Therefore, studying them together does not seem useful, unless they are semantically similar, too, like for instance the characters for *speaking* 話, *state/give instructions* 誥 and *language* 語 that also belong to the same Kanji class, as their key Radical is the same.

**Homophones** are a general difficulty for learners of the Japanese language. Many words are phonetically ambiguous. The context can provide a better understanding, but often it is necessary to see the written Kanji character in order to know what is meant with an expression (Foljanty 1984). Therefore, it is essential to deal with problem 5.

Conceptually, this issue can be solved by providing special lessons that focus on homophones. Homophones in Japanese are not necessarily homographs and therefore not homonyms in the strict sense. True homonyms are those word pairs that are both homophones and homographs. In languages using Latin characters, homophones are often homographs at the same time, e.g. German *Schloss* (Eng. castle) and *Schloss* (Eng. lock). This is due to the fact that the spelling roughly mimics the speech. The pairs that are both homophones and homographs are called homonyms. Sometimes, homophones are not homographs, e.g. the verbs *dye* and *die*.

In the course of studying the Kanji, it is part of the study process to learn to distinguish the characters that are pronounced the same. For instance, there are roughly 80 distinct characters that share the pronunciation *kyo*. Table ?? on page ?? shows several Kanji that are pronounced *ki*. The prototype provides a lesson that is centred around the homophone Kanji characters and their distinction.

**Alternative Kanji** is an issue that can be dealt with in an indirect way. Following the concept that frequent characters and the main reading should be studied first, Kanji Coach does not provide any special form of presentation for this group of characters. However, it has the ability to recognise alternative Kanji. In a scenario where a user is asked to input a certain character, an alternative character with the same meaning would be accepted. For instance, if a user is prompted for the character for the number *one*, which is usually written as 一, but enters the character 壱 instead this input would be regarded as correct. Additionally, the user is presented with a hint that another character with the same meaning exists. The focus lies on studying the frequent characters, therefore there are no special lessons concerning alternative Kanji.

### 1.2.1.3 Character Repetition

In section ?? the pure repetition of grammatical structures as a learning method has been criticised. The system should account for that by not just forcing the user to reproduce fixed structures. In fact, it should leave room for creativity. The system does not provide a free-drawing module in order to allow for total creativity. But, creativity is given through the use of the Handwriting input as such. A user can practice his own writing style. The tolerance thresholds given by the character recognition allow for a interpretation of the character shape, rather than a pure repetition.

Similar to the multi-radical look-up in JWPCE a learning task for a user of the Kanji e-learning prototype could be the combination of different radicals to a Kanji character. That would allow for creativity and also appeal to the cognition because it is more than the repetition of shapes that were given before.

## 1.3 Integration of HWR Into the Learning Process

The learning process of Kanji is driven by understanding the structure and meaning of the characters and memorising the shape (Stahlmann 2004). In order to study and memorise, many learners rely on repeating to write the Kanji. That approach seems most natural and can hardly be replaced by anything.

### 1.3.1 The Motivation for Using a HWR

The fact that learning to read and learning to write is an intertwined process suggests that both should be studied together. In standard class room learning environments that is the case. Learners read texts, are presented with new Kanji and are asked to reproduce the Kanji when writing essays. An e-learning application can not perform the teaching effort of a full language course. In order to provide complementary addition to a language course, the prototype should create an environment that increases the user's reglement for tedious learning tasks.

The repetition of writing characters in order to memorise them does not appeal to the majority of the learners. Especially having to write on paper repeating the same character over and over again does not awake

inspiration and creativity. An e-learning application with an integrated handwriting recognition engine that provides corrections for the user input has two main functions:

- Making the repetitive task of training the muscular memory less tedious.
- Helping the learner overcome difficulties in writing the Kanji by giving informed feedback.

In order to train the muscular memory and memorise the Kanji, repetition is needed. The same amount of written characters can seem less repeating simply if the characters are not written in a row. Additionally, using a technical device can be more emotive and help increasing the user's motivation and self-discipline. (Ismail 2002; Richert 2007) criticised that some e-learning applications do not add a value to the learning process.<sup>4</sup> Additional value over practising to write characters on paper is added by the following features:

- The general possibilities of the application to prompt the user with different characters
- Presenting new characters, their meanings, pronunciation and stroke order.
- Having the characters organised in lessons and teaching related characters in combination.
- The different methods
  - Writing a Japanese character after being prompted an English word, instead of purely drawing lines without an additional cognitive anchor.
  - Writing a Japanese character after being prompted a Japanese word.
- The error correction that comes with the handwriting recognition. The user is less dependent on a teacher and can study in his own time and pace, yet still get informed feedback.

### 1.3.2 Character Recognition

The type of character recognition is crucial for the abilities of the system concerning error processing. Highly optimised handwriting recognition systems perform a fast and reliable handwriting recognition. However, those systems often do not have a record of the internal structure of the characters.<sup>5</sup> Since understanding of the structure of the characters is important for a successful learning process, the error correction needs to focus on the internal structure of the Kanji characters. Studying the character shapes can be performed as a purely visual task. Studying the full characters and grasping their construction is a cognitive task that combines visual memory with linguistic information. Thus, the error correction must provide structural error levels, considering the internal character structure. Following that concept even further, the character analysis must be structural, too. The prototype performs a structural character recognition.<sup>6</sup> Structural character recognition means that it performs a structural analysis of the characters in order to obtain a symbolic value for the character, in this case a unicode value.

## 1.4 Handling Errors

Having a handwriting recognition that gives informed feedback about the question if the entered character was correct is an approach that allows for additional analysis and feedback. Because of the structural analysis of the characters during the recognition process it becomes possible to provide feedback about errors on a graphemic, phonetic and semantic level.

### 1.4.1 Motivation for Error Recognition

### 1.4.2 Possible Sources of Error When Writing Japanese Characters

error handling, see page 58.

See notes on paper, page 58 - for example stroke number and stroke sequence - length of strokes  
- stroke velocity

## 1.5 Use Cases

Figure 1.6

Figure 1.7

Figure 1.8

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<sup>4</sup>For details see section ??.

<sup>5</sup>See chapter ?? for details.

<sup>6</sup>The technical details of the character recognition are described in chapter ??.

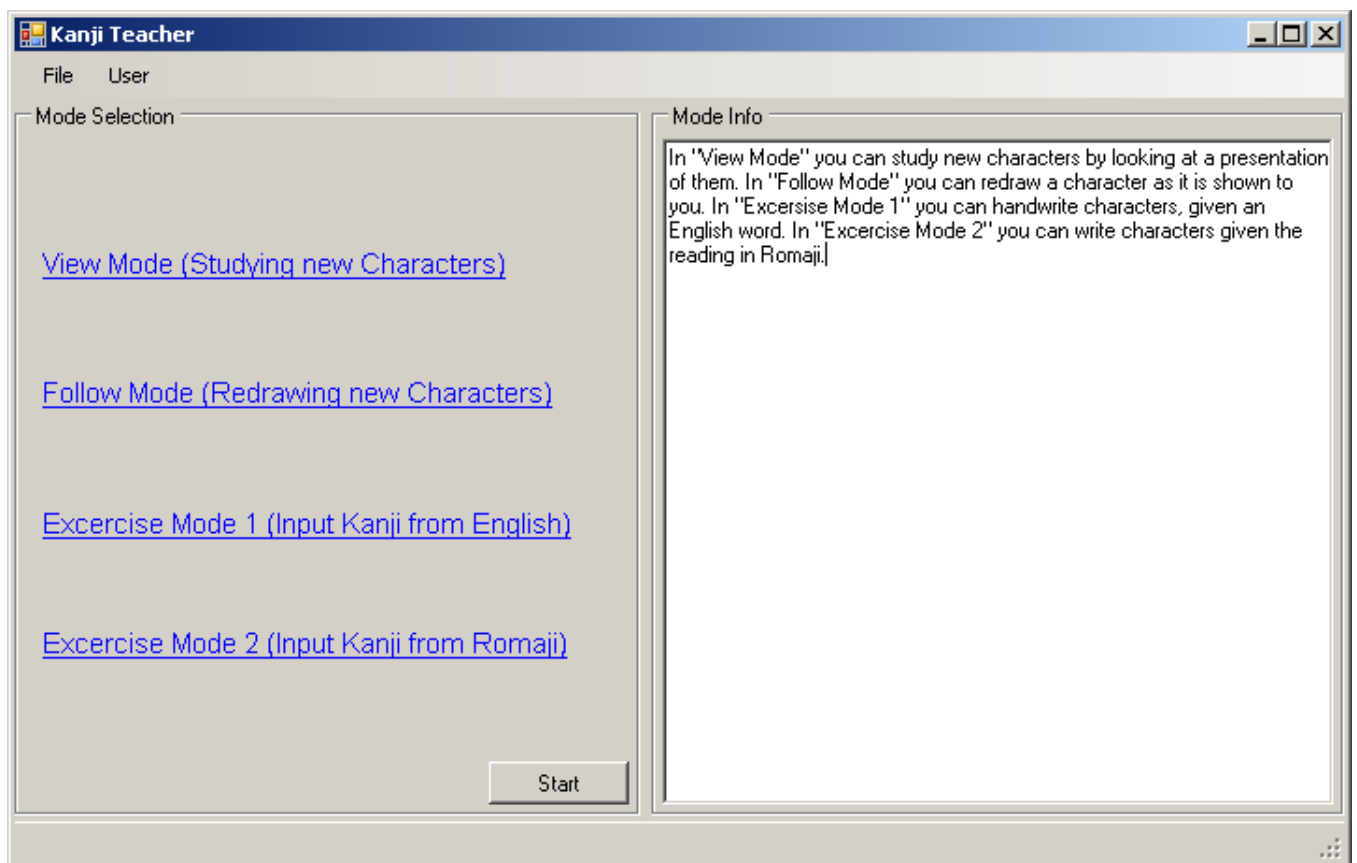


Figure 1.6: Startup screen

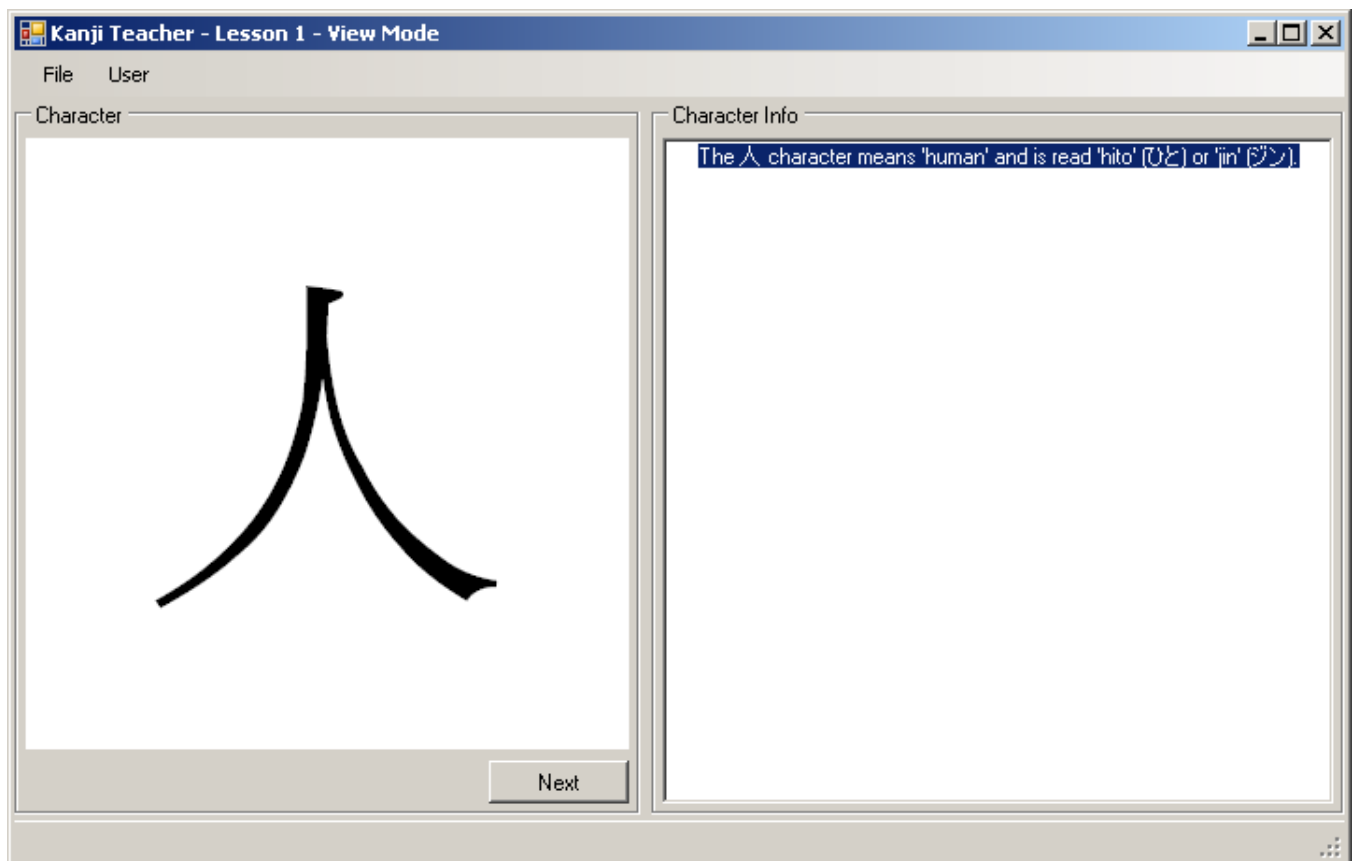


Figure 1.7: Startup screen

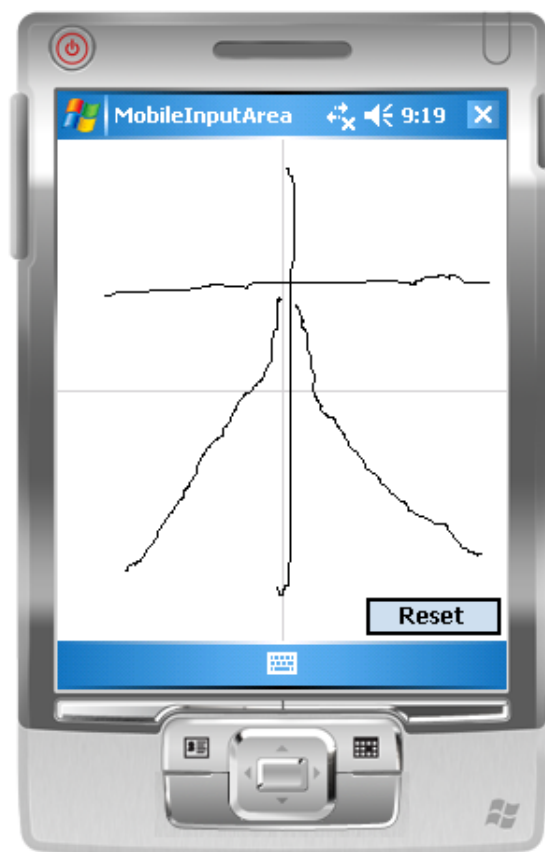


Figure 1.8: Startup screen





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