

# Reed-Muller Codes Achieve Capacity on Erasure Channels

Shrinivas Kudekar, Santhosh Kumar, Marco Mondelli, Henry D. Pfister,  
Eren Şaşöglu, Rüdiger Urbanke

Project presentation, E2 207 – Concentration Inequalities<sup>†</sup>

January 19, 2018

---

<sup>†</sup> *presented by* K. R. Sahasranand, Dept. of Electrical Communication Engg., IISc.

# Proof Outline

- Preliminaries
- Key ingredients
  - ▶ Reed Muller codes are doubly transitive
  - ▶ Symmetric monotone sets have sharp thresholds
  - ▶ EXIT<sup>†</sup> functions satisfy the area theorem
- Conclusion

---

<sup>†</sup>EXtrinsic Information Transfer

# Preliminaries

## Binary Erasure Channel (BEC) and MAP decoder

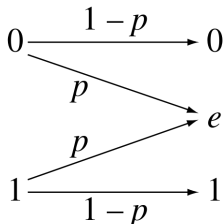


Figure: Denoted  $\text{BEC}(p)$ . If  $X_i$  is transmitted over  $\text{BEC}(p_i)$ , referred to as  $\text{BEC}(\underline{p})$

- $D_i : \mathcal{Y}^N \rightarrow \mathcal{X} \cup \{e\}$  : bit-MAP decoder for bit  $i$ .
- Erasure probability for bit  $i \in [N]$ ,  $P_{b,i} \triangleq \mathbb{P}[D_i(\underline{Y}) \neq X_i]$ .  
Average bit erasure probability,  $P_b \triangleq \frac{1}{N} \sum_{i=1}^N P_{b,i}$ .
- If bit  $i$  is recovered given  $\underline{y}$ ,  $H(X_i | \underline{Y} = \underline{y}) = 0$ .  
Otherwise, uniform codeword assumption  $\Rightarrow H(X_i | \underline{Y} = \underline{y}) = 1$ . Thus,  
 $P_{b,i} = H(X_i | \underline{Y})$  and,  $P_b = \frac{1}{N} \sum_{i=1}^N H(X_i | \underline{Y})$ .

# Preliminaries

## MAP EXIT functions

The vector EXIT function associated with bit  $i$  of the (uniformly randomly chosen) codeword

$$h_i(\underline{p}) \triangleq H(X_i | \underline{Y}_{-i}(\underline{p}_{-i})).$$

The average vector EXIT function is defined by

$$h(\underline{p}) \triangleq \frac{1}{N} \sum_{i=1}^N h_i(\underline{p}).$$

Scalar EXIT functions defined by choosing  $\underline{p} = (p, p, \dots, p)$ .

$$\begin{aligned} H(X_i | \underline{Y}) &= \mathbb{P}(Y_i = e) H(X_i | \underline{Y}_{-i}, Y_i = e) + \mathbb{P}(X_i = Y_i) H(X_i | \underline{Y}_{-i}, Y_i = X_i) \\ &= \mathbb{P}(Y_i = e) H(X_i | \underline{Y}_{-i}). \end{aligned}$$

Therefore,  $P_{b,i}(p) = ph_i(p)$  and  $P_b(p) = ph(p)$  (3)

# Preliminaries

## More definitions

*Definition 2* - Consider a code  $\mathcal{C}$  and the *indirect recovery* of  $X_i$  from the subvector  $\underline{Y}_{-i}$  (i.e., the bit-MAP decoding of  $Y_i$  from  $\underline{Y}$  when  $Y_i = e$ ). For  $i \in [N]$ , the set of erasure patterns that prevent indirect recovery of  $X_i$  under bit-MAP decoding is given by

$$\Omega_i \triangleq \{A \subseteq [N] \setminus \{i\} : \exists B \subseteq [N] \setminus \{i\}, B \cup \{i\} \in \mathcal{C}, B \subseteq A\}.$$

For distinct  $i, j \in [N]$ , the set of erasure patterns where the  $j$ -th bit is *pivotal* for the indirect recovery of  $X_i$  is given by

$$\partial_j \Omega_i \triangleq \{A \subseteq [N] \setminus \{i\} : A \setminus \{j\} \notin \Omega_i, A \cup \{j\} \in \Omega_i\}$$

These are the erasure patterns where  $X_i$  can be recovered from  $\underline{Y}_{-i}$  iff  $Y_j \neq e$ .

## Proposition 4

For a code  $\mathcal{C}$  and transmission over a BEC, we have the following properties for the EXIT functions.

(a) The EXIT function associated with bit  $i$  satisfies

$$h_i(p) = \sum_{A \in \Omega_i} p^{|A|} (1-p)^{N-1-|A|}.$$

(b) For  $j \in [N] \setminus \{i\}$ , the partial derivative satisfies

$$\left. \frac{\partial h_i(\underline{p})}{\partial p_j} \right|_{\underline{p}=(p,p,\dots,p)} = \sum_{A \in \partial_j \Omega_i} p^{|A|} (1-p)^{N-1-|A|}.$$

(c) The average EXIT function satisfies the *area theorem*

$$\int_0^1 h(p) dp = \frac{K}{N}.$$

# Preliminaries

## Permutations of linear codes

$S_N$ , the symmetric group on  $N$  elements. The permutation group of a code is defined as the subgroup of  $S_N$  whose group action on the bit ordering preserves the set of codewords.

*Definition 5* - The permutation group  $\mathcal{G}$  of a code  $\mathcal{C}$  is defined to be

$$\mathcal{G} = \{\pi \in S_N : \pi(A) \in \mathcal{C} \text{ for all } A \in \mathcal{C}\}.$$

*Definition 6* - Suppose  $\mathcal{G}$  is a permutation group. Then,

- (a)  $\mathcal{G}$  is *transitive* if, for any  $i, j \in [N]$ , there exists a permutation  $\pi \in \mathcal{G}$  such that  $\pi(i) = j$ , and
- (b)  $\mathcal{G}$  is *doubly transitive* if, for any distinct  $i, j, k \in [N]$ , there exists a  $\pi \in \mathcal{G}$  such that  $\pi(i) = i$  and  $\pi(j) = k$ .

### Proposition 7

Suppose the permutation group  $\mathcal{G}$  of a code  $\mathcal{C}$  is transitive. Then, for any  $i \in [N]$ ,

$$h(p) = h_i(p) \text{ for } 0 \leq p \leq 1.$$

### Proposition 8

Suppose the permutation group  $\mathcal{G}$  of a code  $\mathcal{C}$  is doubly transitive. Then, for distinct  $i, j, k \in [N]$ , and any  $0 \leq p \leq 1$ ,

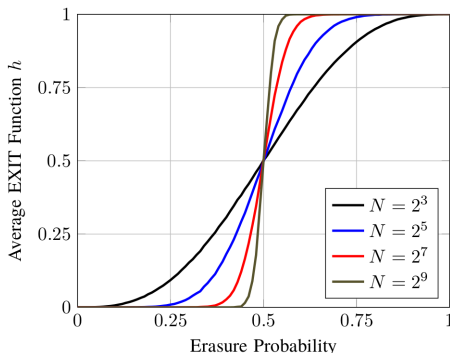
$$\left. \frac{\partial h_i(\underline{p})}{\partial p'_j} \right|_{\underline{p}=(p,p,\dots,p)} = \left. \frac{\partial h_i(\underline{p})}{\partial p'_k} \right|_{\underline{p}=(p,p,\dots,p)}.$$



# Capacity achieving codes and the EXIT function

*Definition* : Suppose  $\{\mathcal{C}_n\}$  is a sequence of codes with rates  $\{r_n\}$  where  $r_n \rightarrow r$  for  $r \in (0, 1)$ .  $\{\mathcal{C}_n\}$  is said to be **capacity achieving** on the BEC under bit-MAP decoding, if for any  $p \in [0, 1 - r)$ , the average bit-erasure probabilities satisfy

$$\lim_{n \rightarrow \infty} P_b^{(n)}(p) = 0.$$



## Proposition 10

Let  $\{\mathcal{C}_n\}$  be a seq. of codes with rates  $\{r_n\}$ ,  $r_n \rightarrow r$  for  $r \in (0, 1)$ . TFAE -

S1:  $\{\mathcal{C}_n\}$  is capacity achieving on the BEC under bit-MAP decoding.

S2: The sequence of average EXIT functions satisfies

$$\lim_{n \rightarrow \infty} h^{(n)}(p) = \begin{cases} 0 & \text{if } 0 \leq p < 1 - r \\ 1 & \text{if } 1 - r < p \leq 1. \end{cases}$$

S3: For any  $0 < \epsilon \leq 1/2$ ,

$$\lim_{n \rightarrow \infty} p_{1-\epsilon}^{(n)} - p_{\epsilon}^{(n)} = 0.$$

*Proof.*

- S2  $\Rightarrow$  S1 :  $P_b(p) = ph(p)$ .
- S1  $\Rightarrow$  S2 :  $P_b(p) = ph(p)$  and *Area Theorem* (Proposition 4).
- S2  $\Rightarrow$  S3 :  $p_{1-\epsilon}^{(n)} - p_{\epsilon}^{(n)} \sim h^{(n)}$  transitions from  $\epsilon$  to  $1 - \epsilon$ .
- S3  $\Rightarrow$  S2 : Suffices to show,  $\lim_{n \rightarrow \infty} p_{\epsilon}^{(n)} = \lim_{n \rightarrow \infty} p_{1-\epsilon}^{(n)} = 1 - r$ .  
Use Area Theorem.

## More definitions

Define

$$[\phi_i(A)] = \begin{cases} \mathbf{1}_A(l) & \text{if } l < i \\ \mathbf{1}_A(l+1) & \text{if } l \geq i. \end{cases}$$

Now define

$$\begin{aligned} \Omega'_i &\triangleq \{\phi_i(A) \in \{0,1\}^{N-1} : A \in \Omega_i\} \\ \partial_j \Omega'_i &\triangleq \{\phi_i(A) \in \{0,1\}^{N-1} : A \in \partial_j \Omega_i\}. \end{aligned} \quad (8)$$

Consider the space  $\{0,1\}^M$  with a measure  $\mu_p$  such that

$$\mu_p(\Omega) = \sum_{\underline{x} \in \Omega} p^{|\underline{x}|} (1-p)^{M-|\underline{x}|}, \text{ for } \Omega \subseteq \{0,1\}^M,$$

where the weight  $|\underline{x}| = x_1 + x_2 + \dots + x_M$  is the number of 1's in  $\underline{x}$ .  
Using proposition 4,  $h_i(p) = \mu_p(\Omega'_i)$  with  $M = N - 1$ .

## Invoking something we learnt..

### Theorem 16

Let  $\Omega$  be a monotone set and suppose that, for all  $0 \leq p \leq 1$ , the influences of all bits are equal  $I_1^{(p)}(\Omega) = \dots = I_M^{(p)}(\Omega)$ . Then, for any  $0 < \epsilon \leq 1/2$ ,

$$p_{1-\epsilon} - p_\epsilon \leq \frac{2 \log \frac{1-\epsilon}{\epsilon}}{C \log(N-1)},$$

where  $p_t = \inf\{p \in [0, 1] : \mu_p(\Omega) \geq t\}$  is well defined because  $\mu_p(\Omega)$  is strictly increasing in  $p$  with  $\mu_0(\Omega) = 0$  and  $\mu_1(\Omega) = 1$ .

*Proof:* Using Russo's lemma.

### Theorem 17

Let  $\{\mathcal{C}_n\}$  be a sequence of codes where the blocklengths satisfy  $N_n \rightarrow \infty$ , the rates satisfy  $r_n \rightarrow r$ , and the permutation group  $\mathcal{G}(n)$  (of  $\mathcal{C}_n$ ) is doubly transitive for each  $n$ . If  $r \in (0, 1)$ , then  $\{\mathcal{C}_n\}$  is capacity achieving on the BEC under bit-MAP decoding.

*Proof:*

Let the average EXIT function of  $\mathcal{C}_n$  be  $h^{(n)}$ . Fix some  $i \in [N]$ . Since  $\mathcal{G}$  is transitive, from Proposition 7,

$$h(p) = h_i(p) \text{ for all } p \in [0, 1].$$

Consider the sets  $\Omega'_i$  from Definition 2 and Equation (8), and let  $M = N - 1$ .

## Proof of Theorem 17 (contd.)

Observe that, from Proposition 4,

$$h_i(p) = \mu_p(\Omega'_i), \quad I_j^p(\Omega'_i) = \left. \frac{\partial h_i(\underline{p})}{\partial p'_j} \right|_{\underline{p}=(p,p,\dots,p)}$$

where  $j'$  is given by

$$j' = \begin{cases} j & \text{if } j < i \\ j + 1 & \text{if } j \geq i. \end{cases}$$

Since  $\mathcal{G}$  is doubly transitive, from Proposition 8,

$$I_j^p(\Omega'_i) = I_k^p(\Omega'_i) \text{ for all } j, k \in [N-1].$$

## Proof of Theorem 17 (contd.)

Using Theorem 16, we have

$$p_{1-\epsilon} - p_{\epsilon} \leq \frac{2 \log \frac{1-\epsilon}{\epsilon}}{C \log(N-1)},$$

where  $p_t$  is the functional inverse of  $h$  as in Theorem 16. Since  $N \rightarrow \infty$  from the hypothesis,

$$\lim_{n \rightarrow \infty} (p_{1-\epsilon} - p_{\epsilon}) = 0.$$

Now, using Proposition 10,  $\{\mathcal{C}_n\}$  is capacity achieving on the BEC under bit-MAP decoding.

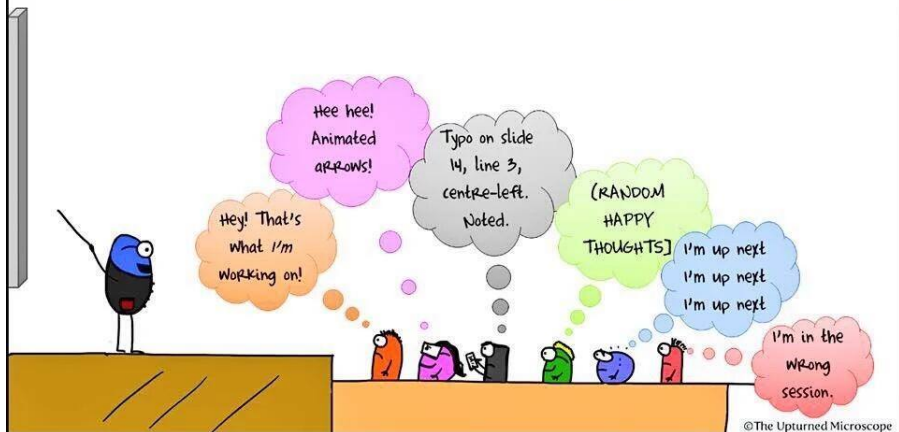
□

# References

- (1) S. Kumar and H. D. Pfister, Reed-Muller codes achieve capacity on erasure channels, 2015, [Online]. Available: <http://arxiv.org/abs/1505.05123v2>.
- (2) S. Kudekar, M. Mondelli, E. Şaşöglu, and R. Urbanke, Reed-Muller codes achieve capacity on the binary erasure channel under MAP decoding, 2015, [Online]. Available: <http://arxiv.org/abs/1505.05831v1>.
- (3)  $\equiv (1) \cup (2)$  S. Kudekar, S. Kumar, M. Mondelli, H. D. Pfister, E. Şaşöglu, and R. Urbanke, Reed-Muller codes achieve capacity on erasure channels, 2016, [Online]. Available: <http://arxiv.org/abs/1601.04689v1>.



# What people think about during your conference talk



Thank You!