

## ▼ 447 Fa19 final exam 10:30–12:20p Thu Dec 12

### Notes regarding regrade requests:

- **I will accept regrade requests until midnight (11:59p) Mon Dec 16** – I have to submit grades on Tue Dec 17.
- To request a regrade, send me a message using Canvas Conversations with a short explanation of which problem(s) you want regarded, and why you think your solution is equivalent to or equally valid as the one provided.
- **Note that it is possible your score will decrease after the regrade**, so please be sure you understand the problem and solution before making a request. To help you understand the problems and their solutions before you submit your request, I am happy to answer questions during office hours.

```
import numpy as np
import pylab as plt

scores = np.asarray([5.0, 5.75, 6.0, 6.25, 6.25, 6.5, 6.5, 6.5, 6.5, 6.75,

print('%0.1f <= scores <= %0.1f'%(scores.min(),scores.max()))
print('median score = %0.1f'%np.median(scores))

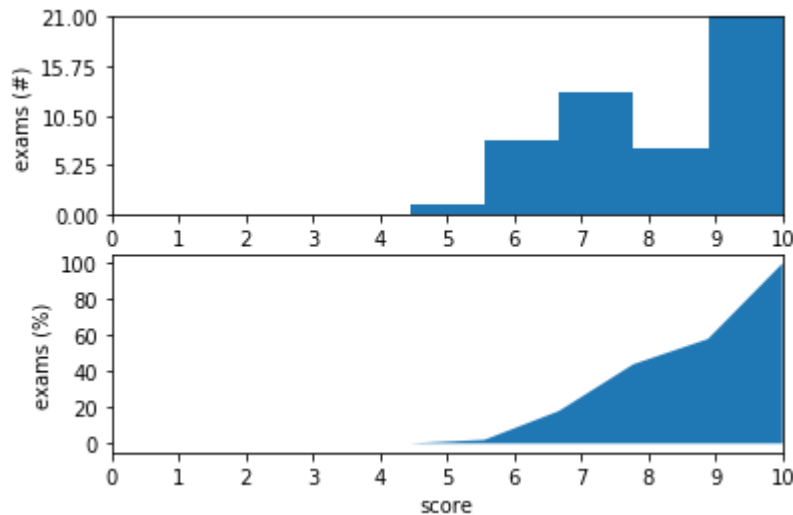
xlim = (0,10)

plt.figure()
plt.subplot(2,1,1)
h = plt.hist(scores,bins=np.linspace(xlim[0],xlim[1],np.diff(xlim)))
n,s = h[0],h[1]
N = int(np.ceil(h[0].max()))
plt.ylim(0,N)
plt.xticks(np.linspace(xlim[0],xlim[1],np.diff(xlim)+1))
plt.yticks(np.linspace(0,N,(N-1)/4))
plt.xlim(xlim)
plt.ylabel('exams (#)');

plt.subplot(2,1,2)
n *= 100./n.sum()
n = np.hstack((0.,n))
plt.fill_between(s,np.cumsum(n),0*n)
plt.xlim(xlim)
plt.xticks(np.linspace(xlim[0],xlim[1],np.diff(xlim)+1))
plt.yticks(np.linspace(0,100,6))
plt.xlabel('score'); plt.ylabel('exams (%)');
```



5.0 <= scores <= 10.0  
 median score = 8.5



## problem (1.)

Translate the following model into state-space form using 3-dimensional state vector  $x = (q, \dot{q}, \ell) \in \mathbb{R}^3$  and linearize about the origin,

$$m\ddot{q} = \kappa(\ell - 2q) + \mu(\ell - 2q)^2 + u, \quad \beta\dot{\ell} = -\kappa(\ell - q) - \alpha \cos(\ell - q),$$

i.e. determine function  $f$  and matrices  $A, B$  such that  $\dot{x} = f(x, u) \approx Ax + Bu$  near  $x_e = 0, u_e = 0$ .

## solution

Dividing through by  $m$  and  $\beta$ , we obtain that:

$$f(x, u) = \dot{x} = \begin{bmatrix} \dot{q} \\ \ddot{q} \\ \dot{\ell} \end{bmatrix} = \begin{bmatrix} \dot{q} \\ \frac{1}{m}(\kappa(\ell - 2q) + \mu(\ell - 2q)^2 + u) \\ \frac{1}{\beta}(\kappa(\ell - q) - \alpha \cos(\ell - q)) \end{bmatrix}$$

To obtain matrices  $A, B$ , we can compute the Jacobians  $A = \frac{df}{dx}, B = \frac{df}{du}$  and evaluate at the equilibrium point  $(0, 0, 0)$  to obtain

$$A = \begin{bmatrix} 0 & 1 & 0 \\ \frac{-2\kappa}{m} & 0 & \frac{\kappa}{m} \\ \frac{\kappa}{\beta} & 0 & \frac{-\kappa}{\beta} \end{bmatrix}, B = \begin{bmatrix} 0 \\ \frac{1}{m} \\ 0 \end{bmatrix}$$

Notes:

- 0.25 points earned for correct NL equation  $f$
- 0.25 points earned for correct  $B$
- 0 to 0.5 points earned for correctly computing Jacobian and plugging in equilibrium point for  $A$

## problem (2.)

Consider the following LTI system with an output,

$$\dot{x} = Ax = \begin{bmatrix} -a_1 & 1 & 0 \\ -a_2 & 0 & 1 \\ -a_3 & 0 & 0 \end{bmatrix} x, \quad y = Cx = [1 \quad 0 \quad 0].$$

Determine the output feedback matrix  $L$  that places all poles of the error dynamics  $\dot{e} = (A - LC)e$  at  $-1 \in \mathbb{C}$  using the fact that the characteristic polynomial of  $A$  is  $\chi(s) = s^3 + a_1 s^2 + a_2 s + a_3$ .

**Hint:** you do not need to compute the determinant of a  $3 \times 3$  matrix to solve this problem.

### ▼ solution

Since we want all poles to be at  $-1$ , we know that the characteristic polynomial of  $A - LC$  should match the coefficients of the characteristic polynomial

$$\chi(s) = (s + 1)^3 = s^3 + 3s^2 + 3s + 1.$$

We can also compute

$$\tilde{A} = A - LC = \begin{bmatrix} -a_1 & 1 & 0 \\ -a_2 & 0 & 1 \\ -a_3 & 0 & 0 \end{bmatrix} - \begin{bmatrix} \ell_1 \\ \ell_2 \\ \ell_3 \end{bmatrix} [1 \quad 0 \quad 0] = \begin{bmatrix} -(a_1 + \ell_1) & 1 & 0 \\ -(a_2 + \ell_2) & 0 & 1 \\ -(a_3 + \ell_3) & 0 & 0 \end{bmatrix}$$

We can see that  $\tilde{A}$  has the same form as  $A$ , and as such, we know that the characteristic polynomial of  $\tilde{A}$  is  $\chi'(s) = s^3 + (a_1 + \ell_1)s^2 + (a_2 + \ell_2)s + (a_3 + \ell_3)$ .

Matching the coefficients of  $\chi(s)$  to  $\chi'(s)$ , we can see that

$a_1 + \ell_1 = 3, a_2 + \ell_2 = 3, a_3 + \ell_3 = 1$ , and therefore,

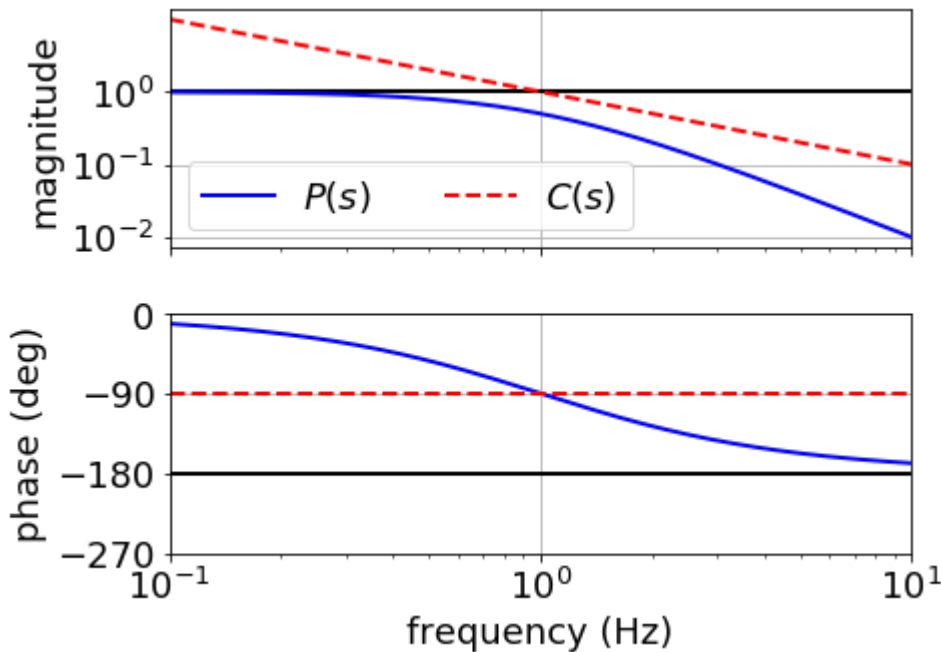
$$L = \begin{bmatrix} 3 - a_1 \\ 3 - a_2 \\ 1 - a_3 \end{bmatrix}$$

Notes:

- 0.5 points for correctly determining that the characteristic polynomial for  $A - LC$  is equal to  $\chi'(s) = s^3 + (a_1 + \ell_1)s^2 + (a_2 + \ell_2)s + (a_3 + \ell_3)$ .
- 0.5 points for determining that because the poles should be at  $-1$ , the characteristic polynomial we want to obtain for  $\tilde{A}$  should be  $\chi = (s + 1)^3$

### problem (3.)

Consider the following **Bode plots** of **process**  $P(s)$  and **controller**  $C(s)$  transfer functions.



Apply the **Nyquist stability criterion** to the open-loop transfer function  $L(s) = P(s)C(s)$  to determine whether the closed-loop system is stable.

### solution

The key to this problem is recalling the way Bode plots combine when two transfer functions are multiplied:

$$|L(s)| = |P(s)| \cdot |C(s)|, \quad \angle L(s) = \angle P(s) + \angle C(s).$$

Using these relationships, it is clear from inspection that the Nyquist plot of  $L$  only crosses the negative real axis at  $\omega = 1$  Hz (where  $\angle L(j\omega) = \angle P(j\omega) + \angle C(j\omega) = 180^\circ$ ), and that the critical point  $-1 \in \mathbb{C}$  is not encircled since the magnitude of  $L$  is smaller than 1 at this point (since  $|C(j\omega)| = 1$  and  $|P(j\omega)| < 1$ ).

Since the critical point  $-1 \in \mathbb{C}$  is not encircled, the Nyquist stability criterion implies the closed-loop system is stable.

Notes:

- To receive credit on this problem, it was necessary to use the Bode plot relationships above (either algebraically or graphically) and apply the Nyquist stability criterion to the transfer function  $L$  (not  $P$  or  $C$ ).

## ▼ problem (4.)

Recall that the **sensitivity**  $S(s)$  and **complementary sensitivity**  $T(s)$  transfer functions for a process  $P(s)$  and controller  $C(s)$  in the standard negative feedback interconnection are

$$S(s) = \frac{1}{1 + P(s)C(s)}, \quad T(s) = \frac{P(s)C(s)}{1 + P(s)C(s)}.$$

### subproblem (a.)

Show that zeros of the sensitivity function are poles of the process or controller.

## ▼ solution

Letting  $P = \frac{n_P}{d_P}$ ,  $C = \frac{n_C}{d_C}$  we find that

$$S = \frac{1}{1 + PC} = \frac{1}{1 + \frac{n_P n_C}{d_P d_C}} = \frac{d_P d_C}{d_P d_C + n_P n_C},$$

so the zeros of  $S$  are the zeros of  $d_P$  or  $d_C$ , which are the poles of  $P$  or  $C$ .

Another accepted solution is to observe that  $S(z) = 0$  requires  $1 + P(z)C(z) = \infty$ , i.e.  $P(z) = \infty$  or  $C(z) = \infty$ , i.e.  $z$  must be a pole of  $P$  or  $C$  to be a zero of  $S$ .

### subproblem (b.)

Suppose the process has a purely imaginary pole at  $j\omega$ , and that neither the process nor controller has a zero on the imaginary axis.

Determine  $T(j\omega)$ .

## solution

Using the result from (a.) (regardless of whether the solution provided for (a.) is correct), we know that  $S(j\omega) = 0$  since  $j\omega$  is a pole of  $P$ .

Since  $S + T \equiv 1$ , it must be the case that  $T(j\omega) = 1$ .

Notes:

- To receive credit on this problem, it was necessary to use the relationship  $S + T \equiv 1$  to provide a numerical value for  $T(j\omega)$ ; it was not sufficient to substitute  $j\omega$  into the given expression for  $T$ .

ndarray: scores

ndarray with shape (50,)