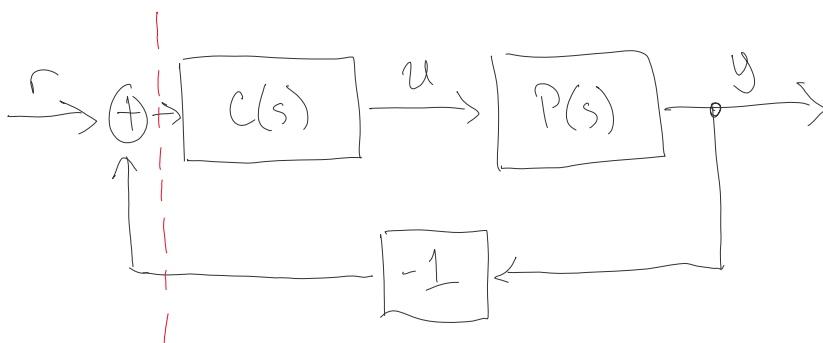


goal: frequency-domain controller synthesis

- (a) Nyquist stability criterion if  $L=PC$  has no poles in right-half  $\mathbb{C}$ :  
 then  $\frac{L}{1+L} = \frac{PC}{1+PC}$  is stable  $\iff \Omega$  does not encircle  $-1 \in \mathbb{C}$
- (b) stability margins gain margin  $g_m$ : distance from  $\Omega$  to  $-1$  in  $|L|$   
 phase margin  $\varphi_m$ : distance from  $\Omega$  to  $-1$  in  $\angle L$
- (c) root locus can predict effect of large and small proportional feedback gain using poles, zeros, and  $\# \text{poles} - \# \text{zeros}$  of process  $P$
- (d) proportional-integral-derivative (PID)

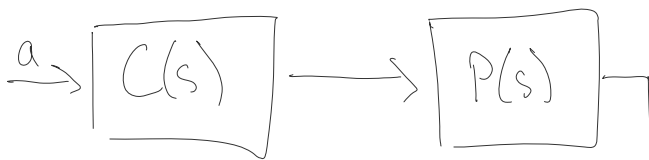
(a) Nyquist stability criterion [AMv2 Ch 10.1, 10.2] [Nv7 Ch 10.3]

• key idea: assess stability, robustness, & sensitivity  
 of closed-loop systems by studying open-loop systems

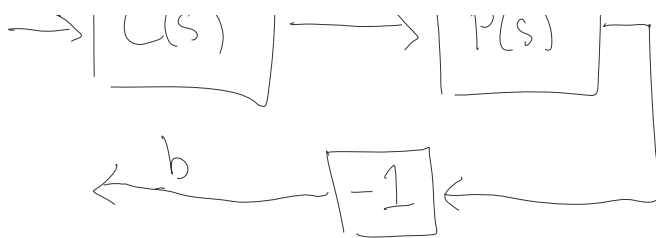


} closed-loop system  
 has transfer function  

$$G_{cl} = \frac{PC}{1+PC} = \frac{L}{1+L}$$



} open-loop system  
 has transfer function



has transfer function  
 $G_{ba} = -PC = -L$

• we'll consider 2 ways the open-loop transfer function tells us about stability of the closed-loop system:

1°. algebraic observation      2°. thought experiment

1°. algebraic observation: what does  $L(s) = P(s)C(s)$  say about

$$G_{yr}(s) = \frac{P(s)C(s)}{1 + P(s)C(s)} = \frac{L(s)}{1 + L(s)}$$

→ what happens if  $\exists s^* \in \mathbb{C}$  s.t.  $L(s) = P(s)C(s) = -1$ ?

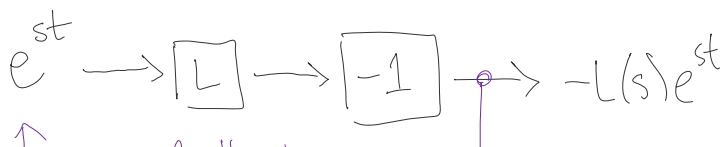
— then as  $s \rightarrow s^*$ :  $|G_{yr}(s)| = \left| \frac{P(s)C(s)}{1 + P(s)C(s)} \right| \xrightarrow{s \rightarrow s^*} \left| \frac{-1}{1 - 1} \right| \rightarrow \infty$

\* practically speaking: system response is unbounded (unstable)  
 for inputs  $\approx e^{s^*t}$

• but practically speaking, we're only concerned with  $s = j\omega$ ,

so we're only worried if  $\exists \omega^* \in \mathbb{R}$  s.t.  $L(j\omega^*) = P(j\omega^*)C(j\omega^*) = -1$

2°. thought experiment



• Nyquist experiment

$$e \rightarrow |L| \rightarrow |-1| \rightarrow -L(s)e^{st}$$

what happens when we close feedback loop?

- what happens to  $e^{st}$  if
- (i)  $|L(s)| < 1$  — attenuated, i.e.  $\rightarrow 0$
  - (ii)  $|L(s)| > 1$  — amplified, i.e.  $\rightarrow \infty$
  - (iii)  $|L(s)| = 1$  — sustained

when we close the loop?

- conclude again that  $L(s) = -1$ , i.e.  $|L(s)| = 1$ ,  $\angle L(s) = \pi = 180^\circ$  is a critical point for  $L$  along imaginary axis

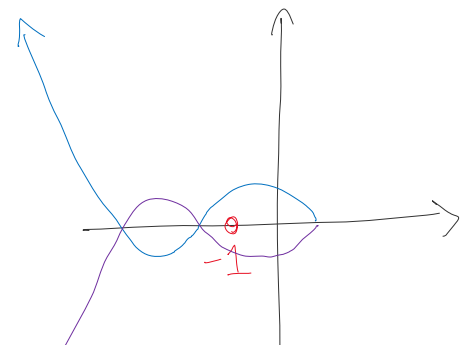
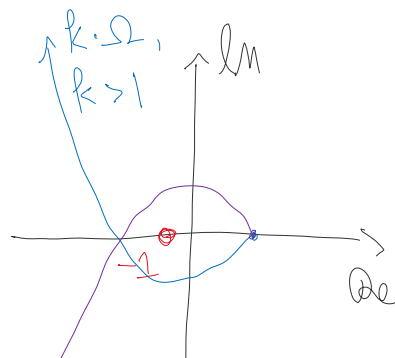
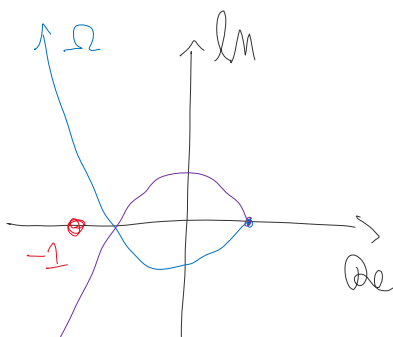
\* it turns out that the graph of  $L(j\omega)$  — Nyquist plot

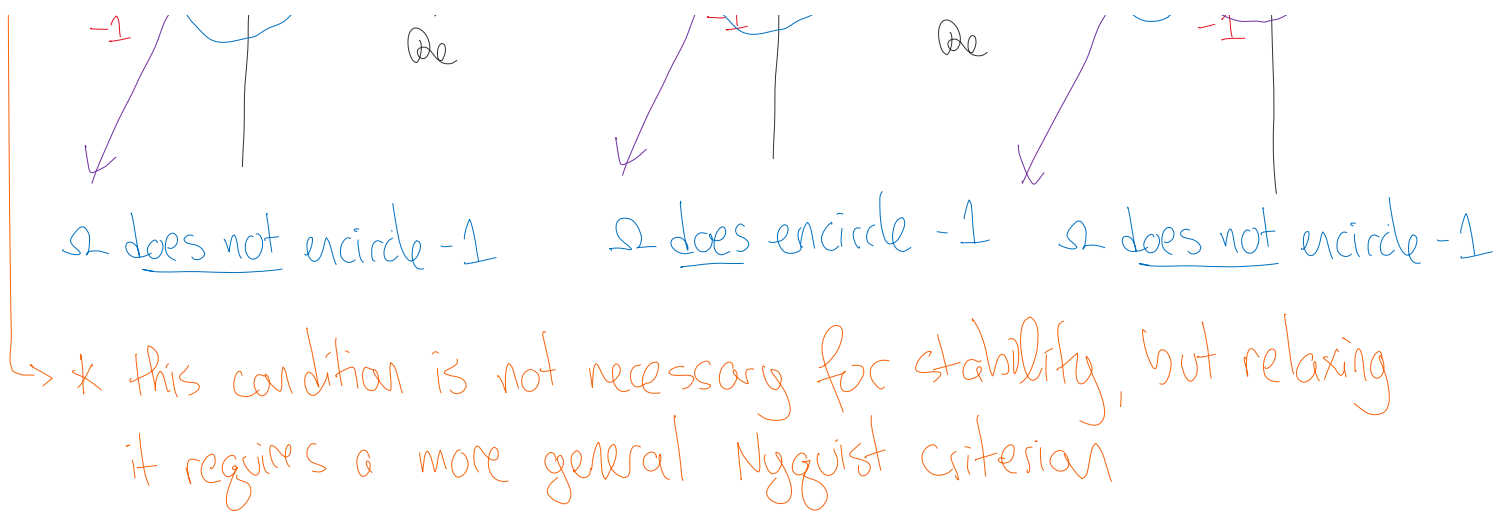
$$\Omega = \{L(j\omega) \in \mathbb{C} : -\infty < \omega < +\infty\}$$

thm: (Nyquist stability criterion) ← application of argument principle

if  $L$  has no poles in the right-half plane

then  $\frac{L}{1+L} = \frac{PC}{1+PC}$  is stable  $\iff \Omega$  does not encircle  $-1 \in \mathbb{C}$

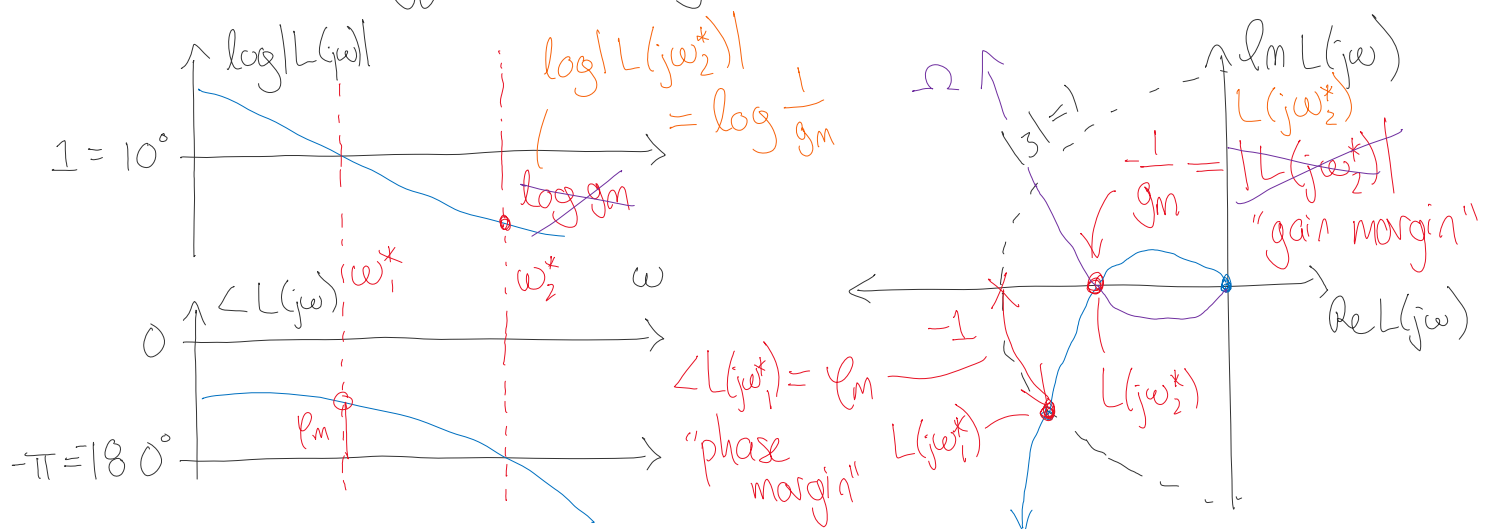




(b) stability margins [AMv2 Ch 10.3] [Nv7 Ch 10.7]

• given that a closed-loop system  $\frac{PC}{1+PC}$  is stable,  $L=PC$

we can use Nyquist stability criterion to assess robustness:



→ use Bode plot of  $L$  to sketch Nyquist plot

\* what if we know  $L=PC$  only approximately, i.e.  $\tilde{L} = \tilde{P}\tilde{C} \approx L$ ?

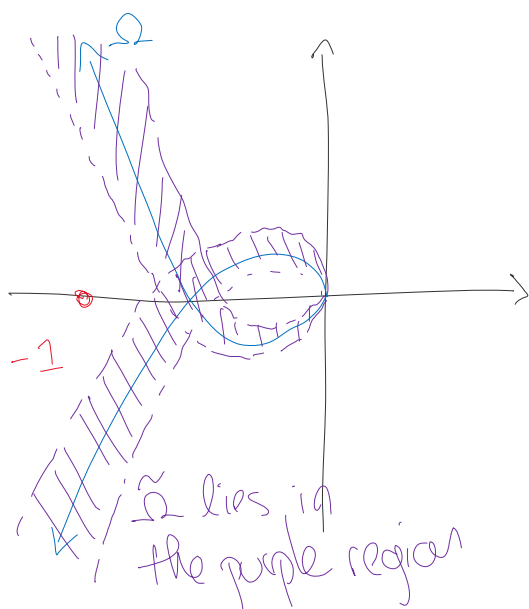
eg. if we have model uncertainty/inaccuracy in process  $\tilde{P} \approx P$

eg. if we have implementation error in controller  $\tilde{C} \approx C$

from components, amplifiers, A2D having errors/tolerances

→ Nyquist stability criterion gives a robustness measurement:  
how far is  $\Omega$  from  $-1 \in \mathbb{C}$ ?

\* if  $\tilde{C} \simeq C$  and  $\tilde{P} \simeq P$  then  $\tilde{L} = \tilde{P}C \simeq \tilde{P}\tilde{C}$  so  $\tilde{\Omega} \simeq \Omega$ :



→ so measuring distance from  $\Omega \subset \mathbb{C}$  to  $-1 \in \mathbb{C}$  gives a margin of stability:

$g_m$ : distance from  $\Omega$  to  $-1$   
if we only change  $|L|$

$\varphi_m$ : distance from  $\Omega$  to  $-1$   
if we only change  $\angle L$

(c) root locus [AMv2 ch 12.5] [Nv7 ch 9]

• considers a process  $P(s) = \frac{b(s)}{a(s)} = \frac{b_0 s^m + b_1 s^{m-1} + \dots + b_m}{s^n + a_1 s^{n-1} + \dots + a_n}$

that we seek to control using proportional feedback:  $C(s) = k > 0$

– then we know the closed-loop transfer function is

$$\frac{PC}{1+PC} = \frac{k \frac{b}{a}}{1 + k \frac{b}{a}} \cdot \frac{a}{a} = \frac{k b(s)}{a(s) + k \cdot b(s)}$$

→ so the closed-loop characteristic polynomial is

→ so the closed-loop characteristic polynomial is

$$\tilde{a}(s) = a(s) + k \cdot b(s)$$

\* we'll analyze roots of  $\tilde{a}$  in two regimes: large & small  $k$

1°: small  $k > 0$ : as  $k \rightarrow 0$ ,  $\tilde{a} \rightarrow a$ , so roots of  $\tilde{a} \rightarrow$  roots of  $a$

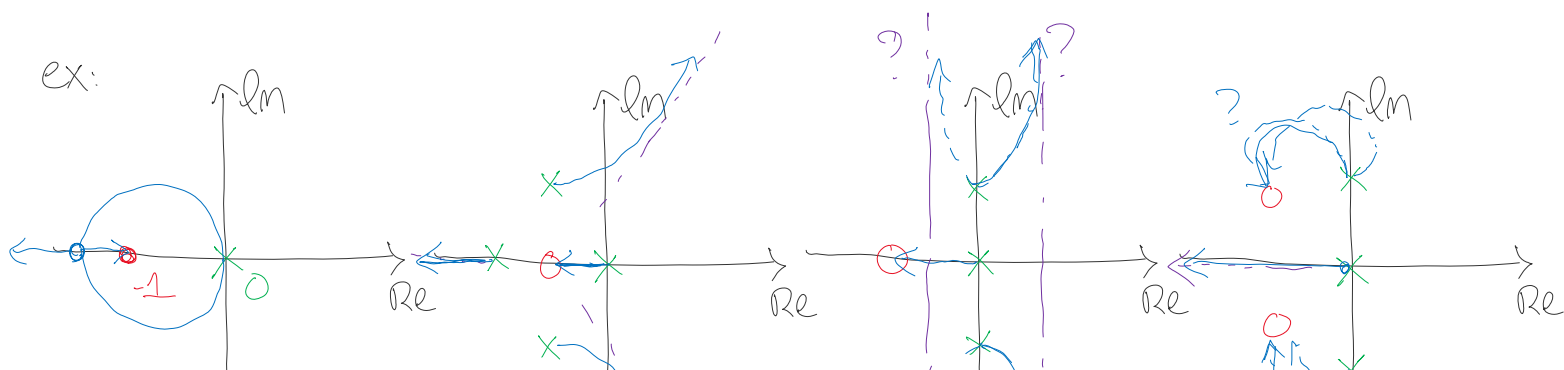
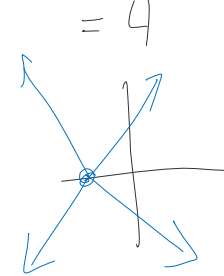
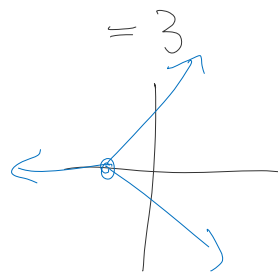
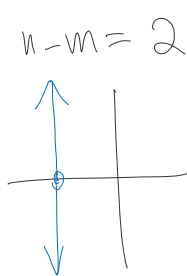
2°: large  $k > 0$  and  $s \in \mathbb{C}$ : as  $k, |s| \rightarrow \infty$ ,

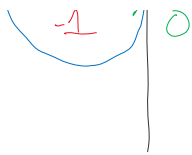
$$\tilde{a}(s) = b(s) \cdot \left( \frac{a(s)}{b(s)} + k \right) \simeq b(s) \cdot \left( \frac{s^{n-m}}{b_0} + k \right)$$

\* assuming  $n > m$ , so  $P$  is strictly proper, i.e. causal,

the roots of  $\tilde{a}(s) \rightarrow \left\{ \begin{array}{l} \text{roots of } b(s) \\ \text{and } \sqrt[n-m]{-b_0 k} \end{array} \right.$

→ so as  $k, |s| \rightarrow \infty$  the closed-loop poles converge to:  
 zeros of  $P$  or  $(n-m)$ -th "roots of unity"  
 (i.e. roots of  $b(s)$ )





$$P = \frac{s+1}{s^2}$$

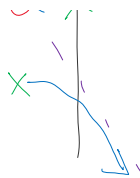
poles: 2 @  $0 \in \mathbb{C}$

zeros: 1 @  $-1 \in \mathbb{C}$

$$n-m: 2-1=1$$

\* know system stable  
for all  $k > 0$  large

Re



$$P = \frac{s+1}{s(s+2)(s^2+2s+4)}$$

poles: 1 @ 0

1 @ -2

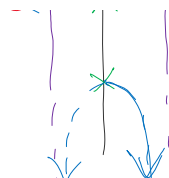
2 @  $-1 \pm j$

zeros: 1 @ -1

$$n-m = 4-1=3$$

\* know system is  
unstable for  
 $k > 0$  too large

Re



$$P = \frac{s+1}{s(s^2+1)}$$

poles: 1 @ 0

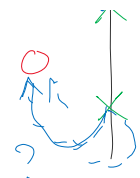
2 @  $\pm j$

zeros: 1 @ -1

$$n-m = 3-1=2$$

\* system is unstable  
for all  $k > 0$

Re



$$P = \frac{s^2+2s+2}{s(s^2+1)}$$

poles: 1 @ 0

2 @  $\pm j$

zeros:  $-1 \pm j\omega$

$$n-m = 3-2=1$$

\* know  $k > 0$   
large will  
stabilize system

(d) proportional-integral-derivative (PID) [AMv2 Ch 11]  
[Nv7 Ch 9.4]