UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934 Release No. 91134/February 16, 2021

ADMINISTRATIVE PROCEEDING
File No. 3-20226

:

In the Matter of : ORDER OF SUSPENSION PURSUANT

ZACHARY BROOKE : TO RULE 102(e)(2) OF THE

ROBERTS, Esq., : COMMISSION'S RULES OF PRACTICE

Respondent. :

Kespondent. :

I.

The Securities and Exchange Commission deems it appropriate to issue an order of forthwith suspension of Zachary Brooke Roberts ("Roberts") pursuant to Rule 102(e)(2) of the Commission's Rules of Practice [17 C.F.R. § 201.102(e)(2)].

II.

The Commission finds that:

- 1. Roberts is an attorney, whom the State of California admitted to practice law in 1999.
- 2. On August 27, 2017, a judgment of conviction was entered against Roberts in *United States v. Roberts, et al.*, No. CR-16-19-GF-BMM-02, in the United States District Court for the District of Montana, finding him guilty of one count of conspiracy to commit wire fraud, in violation of Title 18, United States Code, Section 371.
- 3. As a result of this conviction, Roberts was sentenced to 20 months imprisonment in a federal penitentiary and ordered to pay restitution in the amount of \$700,000.

Rule 102(e)(2) provides in pertinent part: "Any attorney who has been suspended or disbarred by a court of the United States or of any State; ... or any person who has been convicted of a felony or a misdemeanor involving moral turpitude shall be forthwith suspended from appearing or practicing before the Commission." *See* 17 C.F.R. 201.102(e)(2).

III.

In view of the foregoing, the Commission finds that Roberts has been convicted of a felony within the meaning of Rule 102(e)(2) of the Commission's Rules of Practice.

Accordingly, it is ORDERED that Zachary Brooke Roberts is forthwith suspended from appearing or practicing before the Commission pursuant to Rule 102(e)(2) of the Commission's Rules of Practice.

By the Commission.

Vanessa A. Countryman Secretary