



# ACCOUNT OPENING FORM

Equity trading and demat account opening form

S. No.	Name of the document	Brief significance of the document	Page no.
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
1	Account Opening Form	The document captures the additional information about the Constituent relevant to the trading account and an instruction /checklist	3 - 5
2	Tariff sheet	Document detailing the charges of brokerage & other charges levied on the client for trading on the stock exchange(s)	6
3	Demat Account Opening Form	KYC Form for Demat Account Opening	12 - 13
4	Rights and Obligations	Document stating the Rights & Obligations of a stockbroker/trading member & client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology-based trading)	Annex 4
5	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities	Annex 5
6	Guidance note	Document detailing dos and don'ts for trading on the exchange, for the education of the investors	Annex 6
7	Policies and Procedures	Document describing significant policies & procedures of Stock Broker	Annex 7
VOLUNTARY AND OPTIONAL DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
8	Voluntary Authorization Letter (Trading)	Client consent letter for receiving the contract notes, statement of account and other documents by email. Letter of authorization for maintaining a running account with the broker	8 - 10
9	Voluntary Authorization Letter (Demat)	Voluntary Authorization Letter. Authorization of debit trading account for demat account charges. Option form for issue of DIS booklet (Voluntary). Request for bill/transaction/holding statement through email	14
10	Nomination Form	Nomination form for the Demat account	Annex 8

Name of the Trading Member: Nextbillion Technology Private Limited (hereinafter referred to as "Nextbillion")

Name of the Clearing Member: Nextbillion Technology Private Limited

Cash Segment: BSE & NSE | Derivatives: NSE

SEBI Registration Number: Stock Broking: Nextbillion Technology Private Limited is a Member of NSE & BSE – INZ000301838

Depository Participant: NextBillion Technology Private Limited is a DP of CDSL – IN-DP-417-2019

Clearing Member Details:  
Nextbillion Technology Private Limited: Member of NSCCL ID:M70015  
Nextbillion Technology Private Limited: Member of ICCL ID:6699

Nextbillion's Registered Office & Correspondence office: No-11, 1st & 2nd Floor, 80 Feet Road, ST Bed, 4th Block Koramangala, Bangalore - 560034

Compliance Officer: Boudhayan Ghosh, Phone No. & Email ID: 9108800604, grievances@groww.in

CEO: Lalit Keshre, Phone No. & Email ID: 9108800604, ceo@groww.in

For any grievance please contact at the above address or email grievances@groww.in & Phone no. 91088-00604. In case not satisfied with the response, please contact the concerned exchange at: NSE: ignse@nse.co.in or contact at 022-26598100 - | BSE: is@bseindia.com or contact at 022-22728097 | CDSL:complaint@cdslindia.com or contact at 1800-200-5533





# ACCOUNT OPENING FORM

Trading account related details

A. Bank account details

Account Type: ☒ Savings ☒ Current ☒ Others | In case of NRI Account: ☒ NRE ☒ NRO

Bank Name: AXIS BANK

Branch Address: SUNITY ROAD OPP UBI PO DIST P

Account Number: 917010049343016

IFSC CODE: UTIB0000396

MICR CODE: 000UTI000

B. Other details

Gross Annual Income Details (please specify). Income range per annum:

☒ <1 lakh ☐ 1-5 lakh ☐ 5-10 lakh ☐ 10-25 lakh ☐ 25 lakh-1 crore ☐ > 1crore

Or net-worth as on date (net worth should not be older than 1year):

C. Occupation type\*

- ☐ Private sector
- ☐ Public sector
- ☐ Govt. sector
- ☐ Professional
- ☐ X - Not categorised
- ☐ Self employed
- ☐ Retired
- ☐ Housewife
- ☒ Student
- ☐ Business

Mode in which you wish to receive the RDD, Rights & Obligations, and Guidance Note: ☒ Physical ☒ Electronic

Please tick, if applicable: ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP)

Citizenship: ☒ Indian ☐ Other: (ISO 3166 Country Code: )

Residential Status: ☒ Resident Individual ☒ Non Resident Indian ☒ Person of Indian Origin ☒ Foreign National

Tick if applicable: ☐ Residence for tax purposes in jurisdiction(s) outside India

ISO 3166 Country Code of Jurisdiction of residence: Place of birth :

Tax Identification Number: : or Equivalent ISO3166 Country Code of Birth:

C. Past actions

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/ constituent or its partners, promoters/whole-time directors/authorized persons in charge of dealing in securities during the last 3 year: Nil

D. Depository account(s) detail

Depository Name: ☒ NSDL ☒ CDSL

Depository Participant Name: Nextbillion Technology Private Limited

Beneficiary Name: SOURAV SARMA CHOUDHURY

Depository ID: 12088700

Beneficiary ID:



# ACCOUNT OPENING FORM

Trading account related details

## E. Dealings through other stock brokers

Whether dealing with any other stock broker/sub-broker (in a case dealing with multiple stock brokers/sub-brokers, provide details of all)

Name of Stock Broker: Nil

Sub Broker, if any: Nil

Client Code: Nil

Exchange(s): Nil

Website: Nil

Details of disputes/dues pending from/to such stockbroker: Nil

## F. Introducer details (optional)

Name of the Introducer: Nil

Phone Number: Nil

Status of the Introducer - Sub-broker/Remisier/Authorized Person/Existing Client Exchange(s): Nil

Address of the Introducer: Nil

G. Trading preferences/experience:  years

Exchange segment	Cash	F&O
BSE	(sign here)	(sign here)
NSE	(sign here)	(sign here)

## H. Additional details

Whether you wish to receive

☒ (a) Physical Contract Note

☒ (b) Electronic Contract Note (ECN)

If ECN specify your Email ID: sourav.sarmachoudhury@gmail.com

Whether you wish to avail the facility of Internet trading/wireless technology/mobile trading: ☒ Yes ☒ No

## DECLARATION for details provided above:

I/We hereby declare that the particulars given by me/us above are true and correct to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP and Stock Broker any change(s) in the details / Particulars mentioned by me/us in this form. I/We further agree that if any of the above information given by me is found to be false or misrepresenting, I may be held liable for it and will render my account liable for termination and necessary action by NBT.

Date: 03/01/2022

Name of the Applicant: SOURAV SARMA CHOUDHURY

Place: BANGALORE, KARNATAKA

(sign here)





# ACCOUNT OPENING FORM

Tariff sheet

To  
Nextbillion Technology Private Limited (Nextbillion)

I/We agree to pay the following charges

Effective from: 03/01/2022

Client name: SOURAV SARMA CHOUDHURY

Client PAN: LVFPS1932N

Equity delivery	Rs.20 per executed order or 0.05% of order value whichever is lower
Equity intraday	Rs.20 per executed order or 0.05% of order value whichever is lower
Futures	Rs.20 per executed order
Options	Rs.20 per executed order

**Other charges:** Delayed payment Charges @ 18% will be charged on any balances any bills\charges are not paid within due date | Payment gateway charges at Rs.9 + GST | Auto square-off charge Rs.50 | Physical Delivery Charges for F&O : .05% of the turnover  
Disclaimer: Clients who opt to receive physical contract notes will be charged Rs.20 per contract note (as per adhoc request)+ courier charges. Delivery trades, a minimum of ₹0.01 will be charged per contract note.

**Statutory Charges:** Securities Transaction Tax | Exchange Transaction Charges | GST | SEBI Charges | Stamp Duty will be applicable as per the prevailing rates as decided by Exchanges\SEBI\State or Central Gov

Account maintenance charge	Zero
DP transaction charges (both market and off-market):	
Buy (Credit)	Zero
Sell (Debit)	Rs.8 + Rs.5.50 (CDSL Charges) per scrip
<b>Other Charges:</b> Demat/Remat: Rs.150 per certification + courier charges   Failed Demat Transactions: Rs.50 per ISIN   Pledge/Unpledge: Rs.20 + Rs.12 per request (CDSL Charges)   Pledge Invocation: Rs.20   Periodic/Adhoc Statement Request: • Email: Free • Physical: Rs.10 per page   KYC Modification Request: Rs.50  KRA Upload/Download: Rs.50   Delivery Instruction Slip: • First (10 leaves): Free • Addl (10 leaves): Rs.100 + courier charges  Physical CMR(Client Master Report): Rs.20 + courier charges  Courier Charges: Max of Rs.100 or actual   Inter-settlement charges: Rs.13.5 + GST  Intersettlement charges 13.5 + GST  Margin Re-pledge : Rs 5   Release of Margin Re-pledge : Rs 5   Restat-SOA/Redemption : Rs 20 + GST	

**Note: GST is charged in addition to the charges wherever it is applicable-**

The Charges are subject to revision at sole discretion of Nextbillion Technologies Ltd. Any revision (or upard revision) charges will be intimated 30 days in advance

Date: 03/01/2022

Name of the Applicant: SOURAV SARMA CHOUDHURY

(sign here)



# ACCOUNT OPENING FORM

For office use only

UCC Code allotted to the Client: 5926545469

Documents verified with originals		Client interviewed	In-person verification is done
Name of the employee	KYC Fetched from CVL		
Employee code			
Designation of employee			
Date			
Signature			

We undertake that we have made the client aware of Policy and Procedures, tariff & all the non-mandatory documents. We have also made the client aware of Rights and Obligations, RDD and Guidance Note. We shall send the client a copy of all the KYC documents. We undertake that any change in the Policy and Procedures, tariff & all the non-mandatory documents would be duly intimated to the clients. We also undertake that any change in the Rights and Obligations and RDD would be made available on our website, if any, for the information of the clients.

Signature of the Authorised Signatory

Date: 03/01/2022





# ACCOUNT OPENING FORM

General terms and conditions and other authorisations (voluntary document)

To  
Nextbillion Technology Private Limited (NBT)

I wish to trade/transact through you as a client in Capital Market and/or Future & Options segment of NSE/BSE, and in order to facilitate ease of operations, I authorize NBT and agree to the General Terms and Conditions as under:

**1. Avail Mutual Funds Schemes permitted to dealt with on the Exchange Platforms including BSE StAR Mutual Fund Platform of BSE & NFM II Platform of NSE (hereinafter collectively referred to as Exchange Platforms).**

- a. I request you to register me as your client for participating in the mutual fund transaction facilities, provided by Exchange Platforms. I shall ensure compliance with the requirements as may be specified from time to time by Exchanges, SEBI and AMFI. For the said purpose of availing the facilities, I state that the KYC details as submitted by me for the stock broking may be considered for the purpose and I further confirm that the details contained in the above remain unchanged as on date.
- b. I shall read and understand the contents of the Scheme Related Document, Key Information Memorandum and addendums before transacting in Mutual Fund Schemes.
- c. I understand and agree that Mutual Fund investments are subject to market risks and shall be solely responsible for the same.
- d. I shall be liable in case of loss (including opportunity/notional loss) charges, costs, expenses etc caused to me including but not limited to loss for Net Asset Value (NAV) related issues due to any interruption, malfunction, error, non-availability, technical glitch of for any other reason of whatsoever nature in the internet /mobile trading platform or any other order routing platform of NBT and shall not have any claim/complaint of whatsoever nature against of NBT and/or its employees, directors, associates, affiliates, group etc in this regard.
- e. I understand and agree that the role of NBT is limited to the extent of providing the Platform to clients and the services are offered and provided by the respective AMCs to me. The information in relation to mutual fund products including the Net Asset Value (NAV) is received from the respective AMC/RTA/Exchange/third party and is displayed on an “AS IS” basis on NBT’s Platform. I understand and agree that NBT shall not be liable in any manner whatsoever for any differences in such price data due to any delay/connectivity or system issues.
- f. I understand and agree that NBT offers only execution platform and no advisory is provided by NBT and/or any of its associates, affiliates and group do not provide any recommendation, advisory, solicitation etc for transacting in mutual funds.
- g. I understand and agree that NBT shall share the information and documents received from me for the Mutual Fund Products with the respective AMC/RTA/Stock Exchanges through secure channels. I agree that NBT will not be responsible for disclosure of my information due to errors in transmission, unauthorized third-party access, or other causes beyond its reasonable control.
- h. I understand and agree that NBT shall not be liable in case of loss or damages, caused to me on account of any interruption, malfunction, error, delay, downtime, non-availability, technical glitch of the Platform, or failure or error of any transaction on the Platform including but not limited to loss related to Net Asset Value (NAV). Moreover, if there are no clear funds available in the account of the Company, my transaction may not get executed and NBT cannot be held liable thereto.
- i. I agree to the cut off timings specified by NBT on its website and as amended from time to time with respect to NAV and will be bound by the same
- j. I agree to forthwith return/reimburse the amount gained/received by me to NBT and/or fulfil my obligation(s) due to any technical glitch/error in the platform of NBT and NBT is also entitled to take necessary action to recover the said amount.
- k. I understand and agree that in case of online transactions through the Platform, the movement of funds from my bank account to the Scheme's bank account happens via the Aggregator service provider through a Nodal bank account and post reconciliation of fund. The process followed by the aggregator and the time lines within which the Funds are credited into the Scheme's bank account are not managed by NBT and accordingly I agree that while the movement of Funds from my Bank account may have happened on T day, however post reconciliation and as per statutory norms the allotment of mutual fund units can happen only on availability of Funds for utilization by the AMC/MF and accordingly the transaction will processed as per the applicable NAV based on availability of funds for utilization.

**2. ECN Declaration:** I hereby consent to receive from NBT the digital contract notes, bills, ledgers, statement of accounts of funds, securities, demat transaction statement/holding statement, notices, circulars, amendments and such other correspondences including the standard documents which form part of the account opening kit. I further agree that NBT shall fulfill their legal obligations if the above documents are sent electronically. Accordingly, I request you to note my email id is sourav.sarmachoudhury@gmail.com and the same will be the registered email id as per your records. I shall ensure secrecy of the login id and password of the above mentioned email id. NBT shall not be responsible for any breach of secrecy. Emails sent to the above mentioned email account, which have not bounced back, shall be deemed to be duly delivered to me/us. I agree that NBT will not cognizance of any “out-of-office/out of station” fm replies and I shall be deemed to have received such electronic mails. I am aware that NBT shall provide physical contract notes in respect of trades placed by me however I have opted for receipt of contract notes in electronic form for my convenience and as per my request only. I shall immediately inform NBT about change in my email address, if any.

**3. Delivery of order/trade confirmation/cancellation:** I hereby authorize you not to provide me order confirmation/modification/ cancellation slips and trade confirmation slips to avoid unnecessary paperwork. I shall get the required details from contract notes and confirmation issued by you.

**4. Telephonic Conversation:** I request you to consider my telephonic instructions for order placing/order modification/order cancellation as a written instruction and give me all the confirmation on telephone/SMS. I am getting required details from contract notes issued by you.





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General terms and conditions and other authorisations (voluntary document)

**5. Fines & Penalties:** All fines/penalties and charges levied upon you due to my acts/deeds or transactions may be recovered by you from my account.

**6. No Market Manipulation:** I undertake not to execute transactions, either singly or in concert with other clients, which may be viewed as manipulative trades viz. artificially raising, depressing or maintaining the price, creation of artificial volume, synchronized trades, cross trades, self trades, etc or which could be termed as manipulative or fraudulent trades by SEBI/Exchanges. Incase, I am found to be indulging in such activities, NBT has every right to inform the Exchange/SEBI/other regulatory authority of the same and suspend/close my trading account.

**7. Not Debarred by Any Regulator:** I confirm and declare that there is no bar on me imposed by any Exchange or any regulatory and/or statutory authority to deal in securities directly or indirectly. I agree to inform NBT, in writing, of any regulatory action taken by any Exchange or regulatory/ statutory authority on me in future. In case, I fail to inform the same and NBT on its own comes to know of such action, NBT has the right to suspend/close my trading account and refuse to deal with me. Also, NBT can at its sole discretion, close all the open positions and liquidate collaterals to the extent of trade related debit balances, without any notice to me.

**8. Running Account Authorization:** I am aware that as per the SEBI / Exchange requirements, the settlement of funds / securities is to be done within one working day of the pay-out. I am also aware and understand that it is difficult to manage repeated payments/delivery with respect to all secondary market transactions. Also, I desire to use my securities and monies as margin / collateral without which I cannot deal / trade. Therefore, I hereby request and authorize you to maintain my account as a running account(s) which will entail that funds due to us on pay-out shall be with held with yourselves beyond the stipulated deadlines and given to us only on demand. You shall transfer the funds / securities lying in my credit within one working day of my request if the same are lying with you and within three working days from the request if the same are lying with the Clearing Member/Clearing Corporation. I further authorize you to debit the securities / funds from my running accounts and make pay-in of securities / funds to exchanges / clearing corporations / other receiving party(ies) to settle my trades / dealings. Subject to your discretion and valuation please treat my securities and funds lying to my credit in running accounts as margin / collateral for my dealings / trading. I further authorize you to debit/credit/transfer the funds / securities between the various segments of the same Exchange and/or between the Exchanges to clear / set off / adjust my debit balance or various dues payable to you / Exchange(s). I acknowledge that I can revoke the above mentioned running account authority at any time by sending a written signed instruction through registered post (RPAD). I request you to settle my funds account ☒ once in every calendar quarter / ☐ once in a calendar month or such other period as allowed by SEBI/ Stock Exchange(s) SEBI/Stock Exchange(s) from time to time. This amount should be released based on my specific request. I confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing preferably within 7 working days from the date of receipt of funds/ securities or statement of account or statement related to it, as the case may be in writing at your registered office. In case I have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds/securities expected to be required to meet margin obligations for next 5 trading days, calculated in the manner as per the applicable guidelines prescribed by the Exchanges. Excess securities, if any, lying with you in Client Collateral/ Collateral account shall be released after making necessary retention at the time of release of funds every month/quarter.

**9. E-delivery of Mandatory documents:** In terms of SEBI Circular No. CIR/MIRSD/64/2016 dated July 12, 2016, I hereby request you to kindly provide the following document: ☒ Electronically ☒ Physically

- Rights & Obligations of stock broker, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/ wireless technology-based trading).
- Rights and Obligations of beneficial owner and depository participant as prescribed by SEBI and depositories
- Uniform Risk Disclosure Documents (for all segments/ exchanges)
- Guidance Note detailing the Do's and Don'ts for trading on stock exchanges.
- Policies and Procedures as per SEBI Circular No. MIRSD/SE/CIR-19/2009 dated 03rd December, 2009.

**10. Charges & Balance Maintenance:** I have a trading as well as depository relationship with you. Please debit the charges relevant with depository services or any other service from my trading account as and when due. I also agree to maintain the adequate balance in my trading account for the said reason.

**11. PMLA DECLARATION:** I declare that I have read and understood the contents and the provisions of the PMLA Act, 2002, which is available on the website www.groww.in and, I declare that I shall adhere to the same.. I further undertake and confirm that;

- I do not have any links with any known unlawful persons/institutions.
- I am a genuine person and not involved or indulge knowingly or assisted, directly or indirectly, in any process or activity connected with the proceeds of crime nor I am a party to it. The investment money is derived from proper means and does not involve any black or Hawala money in any manner.

**12. Aadhar & DigiLocker Authorization:**

I/We hereby provide my /our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (ii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder).

I/We hereby agree to carry out online KYC for opening or maintenance of my trading & demat account and hereby give consent to NBT to share my details and records with Digilocker, for enabling retrieval of Official Valid Documents from Digilocker system, as required for online KYC.

**13. Authority for intimation:** I authorise you to send SMS and email to registered email/ mobile no. in respect of my trading and demat account.

**14. Indemnification:** I hereby indemnify and hold NBT, its Directors, associates, group and employees harmless from and against all trade related claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses that are occasioned or may be occasioned to the NBT directly or indirectly, relating to bad delivery of shares/ securities and/ or third party delivery, whether authorized or unauthorized and fake/forged/stolen shares/ securities/transfer documents introduced or that may be introduced by or through me during the course of my dealings/ operations on the Exchange(s) and/or proof of address, identity and other supporting/ documents provided by me at the time of registration and/ or subsequently.



# ACCOUNT OPENING FORM

General terms and conditions and other authorisations (voluntary document)

## 15. Other Terms & Conditions:

15.1 I understand and agree that NBT does not give any guarantee or assurance as to returns or profits or capital protection or appreciation. I further understand and agree that past performance is not necessarily a guide to future performance.

15.2 I understand and agree that there is no fixed return in equities, commodities, currencies, derivatives or any other asset classes or segments traded on the exchange or OTC (Over the Counter) products. The principal or the initial investment (in cash or collateral or any other asset class) can also be lost fully and losses can be higher than my initial investment.

15.3 I shall be liable in case of loss (including opportunity/notional loss) damages, fines, penalties, charges, costs, expenses etc caused to the me due to any interruption, malfunction, error, non-availability, technical glitch of for any other reason of whatsoever nature in the internet/mobile trading platform or any other order routing platform of NBT and shall not have any claim/complaint of whatsoever nature against NBT and its employees, directors, associates, affiliates, group etc in this regard.

15.4 I understand, agree and confirm that my demographic details and personal information including sensitive personal information, KRA, CKYC and CIBIL details may be shared by NBT with its other divisions and/or with its group/associates/affiliates for marketing new and existing products or services/data analytics/research etc of its other divisions and/or its group companies, associates, affiliates etc and I hereby give my consent for the same.

15.5 I understand, agree and confirm that if exchange/regulatory authority charges any penalty/fine for any non-compliance on my part(including but not limited to non-payment/shortfall in margins) then such penalty/fine shall be debited to my ledger account and I shall be obliged to make payment for the same.

15.6 Without prejudice to the NBT's other rights (including the right to refer a matter to arbitration), I understand that NBT shall be entitled to liquidate/close out all or any of my positions for non payment of margins or other amounts, outstanding debts, etc. and adjust the proceeds of such liquidation/close out, if any, against the my liabilities/obligations. Any and all losses and financial charges on account of such liquidation/closing-out shall be charged to and borne by me.

15.7 I understand that NBT is acting as an agent of the client and cannot be held liable for transactions executed on Exchange platform. In case NBT does not receive pay-out of funds/securities/commodities/collaterals from Exchange / Clearing Corporation /Clearing House/Depository/Counterparty, then NBT is not liable to give such pay-out to me and NBT shall not be liable in whatsoever manner and I cannot and will not claim the same from NBT. In case part pay-out is received from the Exchange / Clearing Corporation /Clearing House/Depository/ Counterparty, then I shall also get part pay-out and I cannot and will not claim the balance pay-out from NBT. In case of fraud/violation/non-compliance of any type by any entity i.e. entities involved in clearing and settlement other than NBT in the process of transactions, clearing and settlement NBT cannot and will not be held liable in whatsoever manner and I cannot and will not file any claim(s) of whatsoever nature against NBT in this regard.

15.8 Incase where pay-out of funds/securities/commodities is given by NBT to me and Exchange / Clearing Corporation /Clearing House/Depository/ Counterparty takes back such pay-out, then NBT has the right to take back the same from me and I would be liable to pay the same to NBT.

15.9 I agree to pay NBT Brokerage, commission, fees, GST, other taxes, trade/ transaction expenses, clearing charges, other charges as levied by NBT from time to time and statutory levies as they exist from time to time and as they apply to my account and the Services and the Channels made available by NBT to me. I also agree that NBT may deduct and appropriate any of the aforesaid amounts from any amount payable by NBT to me.

15.10 I undertake that pay-in of funds and securities shall be made from my bank and demat account respectively and not from any third party bank and demat account.

15.11 I hereby give my consent to NBT to access my credit information from Credit Information Companies in order to understand my creditworthiness, as may be required by NBT for carrying out its internal evaluation or any due-diligence.

15.12. I understand that NBT has the right to square off my trades/positions as per its Risk Management Policy for factors including but not limited to volatility, margin, outstanding debts, circuit limits (irrespective of margin availability), liquidity etc and I shall be solely liable for the consequences arising thereto. Further losses if any, arising out of auto squaring off of positions as per the risk management system shall be borne by me and NBT will not be responsible for the same.

15.13 I shall be bound by the internal netting of trades policy followed by NBT and available and accessible to me on its website.

15.14. I understand and agree that NBT has the right but not the obligation, to cancel all pending orders and to sell/close/liquidate/ square off all open positions/ securities/ shares etc at the pre-defined square off time or when Mark to Market (M-T-M) percentage reaches or crosses stipulated margin percentage as decided by NBT from time to time in its discretion depending on the market condition. In the event of such sell/close./ liquidate/square off, I agree to bear all the losses based on actual executed price. I understand and agree that I may not be able to take further position in case of any trading restrictions put by Exchanges / SEBI on or NBT.

15.15. I understand and agree that NBT may charge margins in excess of Exchange requirement. I shall be required to fulfill the same within the time frame prescribed by NBT and I agree that I will be responsible for any loss/ damages etc caused to me for not paying the margin within the time frame prescribed by NBT. I understand and agree that NBT is entitled to vary the form (i.e., the replacement of the margin / security in one form with the margin / security in any other form, say, in the form of money instead of shares) & / or quantum & / or percentage of the margin & / or security required to be deposited / made available, from time to time.

15.16. I understand and agree that stocks in Trade to Trade (T2T) segment can be sold only after the stocks are settled in my demat account (T+2 days). Intraday and Buy today sell tomorrow (BTST) are not allowed in stocks in T2T segment.





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General terms and conditions and other authorisations (voluntary document)

15.17. I will abide by the consequences arising out of trading in illiquid options and/or far months contracts.

15.18 I understand and agree that I will not be eligible to participate in buyback/takeovers if I have sold the shares on or before the record date.

15.19 . I understand that all open position(s) in the Futures & Option (F&O) segment (except for those opted for physical settlement) would be squared off by me before 12pm on expiry day. I further understand and agree that NBT has the right to square off all open positions from 12pm onwards on expiry day in stock derivatives for that expiry irrespective of margin availability.

15.20. I understand and agree that that in case of extreme MTM loss due to movement of prices, the positions will be reduced by NBT on a best effort basis and I will be liable for losses if any arising thereto.

15.21. I understand and agree that any shortfall in margin may result in penalties from the Exchanges which shall be transferred to my ledger.

15.22. I will be bound by the Risk Policy, Policies & Procedures, Terms and Conditions, Regulatory & Other Information (which contains Exchange circulars, notices etc), Privacy Policy, etc prescribed by NBT on its website and as amended from time to time and I shall check and keep myself updated for any changes thereto and be legally bound by the same.

15.23. I understand that NBT also offers IPO bidding facility through its platform and shall not hold NBT responsible in any manner for allotment/non-allotment of shares due to any suspension/interruption/malfunction/technical glitch etc on its platform.

15.24. I understand and agree that the role of NBT is only to facilitate and process IPO/FPO applications, and NBT shall not be responsible / liable for any losses/damages/costs/opportunity losses of any nature whatsoever incurred to me due to the processing or non-processing of the applications.

15.25. I understand and agree that as NBT is merely offering a platform for IPO bidding and I will not hold NBT responsible for refund, unblocking etc, if any of the IPO bid amount.

15.26. I agree that NBT will be the owner of all collaterals (including securities) which may be pledged in favour of NBT and that such securities shall at all times be free from any prior charge lien or encumbrance whatsoever and that such securities/commodities shall be absolute and disposable property of NBT.

15.27. I give an unconditional right to appropriate any collateral or margin, without any reference to me in order to discharge any lawful indebtedness or any other obligation (including contingent indebtedness or obligation) that I may owe to NBT.

15.28. I hereby give my consent to NBT for pledging of securities as margin in accordance with the Margin Pledge system as specified in SEBI circular dated February 25, 2020 and as per clarifications/guidelines received subsequently on the same from Exchanges.

15.29. I agree that NBT may from time to time in its discretion impose and vary limits on the orders and trades which I can place and enter into through the System and/ or Service (including margin percentage exposure limits, turnover limits, limits as to the number, value and/or kind of Securities in respect of which orders can be placed the companies in respect of whose Securities orders can be placed etc. I agree that NBT shall not be responsible or liable incase I am no able to place any order, enter into any trade and/ or square-off any transaction that NBT may need to initiate on account of any such variation, imposition, restriction or prohibition.

15.30. NBT discloses herewith that it does undertake proprietary trading.

Any claim, dispute or difference hereto in respect of this Document/Terms and conditions or any contracts, trades, dealings or transactions pursuant hereto or any rights, obligations, terms or conditions as contained in this Document or the interpretation or construction of this Document shall be subject to the grievance redressal procedure of the concerned Exchange and shall be subject to the arbitration procedure as prescribed by the Rules, Bye-laws, Regulations of the Exchange and circulars issued thereunder from time to time. NBT and the Client are aware of the provisions of the Bye-Laws, Rules and Regulations of the concerned Exchange relating to arbitration. The terms specified shall be governed by and interpreted and construed in accordance with the laws of India. The courts at Bangalore, India shall have exclusive jurisdiction in respect of any matters arising therefrom.

**16. Facsimile Authorisation:** During the operation of my trading I may be need to instruction on Fax/Scan, so I authorise you to honor the instructions and orders sent through Fax/Scan copy sent by me.

a. I shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my directions given above.

b. I have been explained that I may opt not to give any of the above authorisation and that the above authorisations are voluntary on my part and that I can revoke these authorisations at any point of time during the operation of my trading account with you by giving a notice in writing and shall continue and remain valid until revoked by me anytime in writing.

(sign here)

#### Mobile & Email Declaration:

I, SOURAV SARMA CHOUDHURY

having PAN LVFPS1932N do hereby declare that

my mobile no. is +91 7595024881 and

email id is sourav.sarmachoudhury@gmail.com.

Further, I authorize NextBillion Technology Private Limited that the same may be used for giving me any information/alert/SMS.

I further declare the above mentioned statement is true and correct.

(sign here)

**Name of the Applicant:** SOURAV SARMA CHOUDHURY

**Place:** BANGALORE, **Date:** 03/01/2022

Nextbillion Technology  
Private Limited

Corporate Office: No-11, 1st & 2nd Floor, 80 Feet Road,  
ST Bed, 4th Block Koramangala, Bangalore - 560034  
SEBI Registration Number: BSE-CM, NSE-CM: INZ000301838  
CIN: U65100KA2016 PTC092879 | DP ID: 12088700

Website: [www.groww.in](http://www.groww.in)  
Phone number: 91088 00604  
Email: [compliance@groww.in](mailto:compliance@groww.in)  
Investor grievances email: [grievances@groww.in](mailto:grievances@groww.in)





# ACCOUNT OPENING FORM

## Acknowledgement

To,  
NextBillion Technology Private Limited

Sub: Acknowledgement

I acknowledge to have read & understood the Mandatory Documents, understand that the same along with my e-signed executed form will be received by email / available under my login and same will be considered as acknowledgment of receipt.

S. No.	Brief significance of the Document
1	Duly Executed Copy of KYC
2	Rights and Obligations
3	Risk Disclosure Document (RDD) for Capital and Derivatives
4	Guidance Note - Do's and Don'ts for trading on the Exchange(s) for investors
5	NextBillion Technology Private Limited Tariff Sheet
6	Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009
7	General Terms & Conditions and Other Authorisations.
8	Running account authorization, Mobile declaration & Client defaulter declaration

I also confirm that I have received the relevant clarifications if any, wherever required from the officials of NextBillion Technology Private Limited.

Date: 03/01/2022

Name of the Applicant: SOURAV SARMA CHOUDHURY

Place: BANGALORE, KARNATAKA

(sign here)





# ACCOUNT OPENING FORM

Additional KYC form for opening a Demat account (For individuals)

**Nextbillion Technology Private Limited**

Depository Participant – Central Depository Services Limited  
DP ID: 12088700 | SEBI Registration No: IN-DP-CDSL-417-2019  
No-11, 1st & 2nd Floor, 80 Feet Road, ST Bed, 4th Block Koramangala,  
Bangalore - 560034 Email: grievances@groww.in

**DP ID:** 12088700

**BO ID:**

(To be filled by the applicant in BLOCK LETTERS in English) I/We request you to open a demat account in my/ our name as per the following details:

**Holder Details**

<b>Sole/First Holder's Name</b>	SOURAV SARMA CHOUDHURY	<b>PAN:</b> LVFPS1932N	<b>UID:</b>
<b>Second Holder's Name</b>			
<b>Third Holder's Name</b>			

**Type of Account (Please tick whichever is applicable)**

Status	Sub-status
<input checked="" type="checkbox"/> <b>Individual</b>	<div><input checked="" type="checkbox"/> Individual Resident</div> <div><input type="checkbox"/> Individual Director's Relative</div> <div><input type="checkbox"/> Individual Promoter</div> <div><input type="checkbox"/> Minor</div> <div><input type="checkbox"/> Others(specify) _____</div> <div><input type="checkbox"/> Individual Margin Trading A/C (MANTRA)</div> <div><input type="checkbox"/> Individual-Director</div> <div><input type="checkbox"/> Individual HUF / AOP</div>
<input type="checkbox"/> <b>NRI</b>	<div><input type="checkbox"/> NRI Repatriable</div> <div><input type="checkbox"/> NRI Repatriable Promoter</div> <div><input type="checkbox"/> NRI - Depository Receipts</div> <div><input type="checkbox"/> NRI Non-Repatriable</div> <div><input type="checkbox"/> NRI Non-Repatriable Promoter</div> <div><input type="checkbox"/> Others (specify) _____</div>
<input type="checkbox"/> <b>Foreign national</b>	<div><input type="checkbox"/> Foreign National</div> <div><input type="checkbox"/> Foreign National - Receipts</div> <div><input type="checkbox"/> Others (specify) _____</div>
<div>Details of Guardian (in case the account holder is minor):</div> <div><b>Guardian's Name:</b></div> <div><b>PAN:</b></div> <div><b>Relationship with the applicant:</b></div>	





# ACCOUNT OPENING FORM

Additional KYC form for opening a Demat account (For individuals)

Standing Instructions

I/We instruct the DP to receive each and every Depository credit in my account (If not marked, the default option would be 'Yes')	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO [Automatic Credit]
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my end (If not marked, the default option would be 'No')	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Account Statement Requirement (as per SEBI Regulation)	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input checked="" type="checkbox"/> Monthly
I/We request you to send Electronic Transaction-cum-Holding Statement at the email ID	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
I / We would like to share the email ID with the RTA	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
I / We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be Physical)	<input type="checkbox"/> Physical <input checked="" type="checkbox"/> Electronic <input type="checkbox"/> Both Physical & Electronic
I / We wish to receive dividend/interest directly into my bank account as given below through ECS. (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO

I / We would wish to avail the following facility:  
Basic Service Demat Account Facility (BSDA): ☐ Opted out ☐ NO ☒ YES

SMS Alert Facility		MOBILE NO.: +91 7595024881									
Transactions Using Secured Texting Facility (TRUST)	<p>I wish to avail the TRUST facility using the mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO</p> <p>I/We wish to register the following clearing member IDs under my below mentioned BO ID registered for TRUST.</p> <table><thead><tr><th>Stock ExchangeName/ID</th><th>Clearing Member Name</th><th>Clearing Member ID (Optional)</th></tr></thead><tbody><tr><td></td><td></td><td></td></tr><tr><td></td><td></td><td></td></tr></tbody></table>		Stock ExchangeName/ID	Clearing Member Name	Clearing Member ID (Optional)						
Stock ExchangeName/ID	Clearing Member Name	Clearing Member ID (Optional)									
Easi	<p>To register for easi, please visit our website <a href="http://www.cdslindia.com">www.cdslindia.com</a>. Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.</p>										

Date: 03/01/2022  
Name of the Applicant: SOURAV SARMA CHOUDHURY  
Place: BANGALORE, KARNATAKA

(sign here)





# ACCOUNT OPENING FORM

Voluntary Authorization Letter (Demat)

To,  
Nextbillion Technology Private Limited

Dear Sir,

DP ID: 12088700

BO ID:

**1. Authorization to debit trading account for the demat account charges:** We have opened/have a beneficiary account with your CDSL depository along with a trading account for investment and trading purposes. I/We, authorize you to debit the charges payable towards the operations of the above account. I/We are aware of the charges for operating the said account. Any such sum debited from my trading account shall be binding on me/us.

**2. Option form for issue of DIS Booklet (Voluntary):**

Option 1: I/We require you to issue Delivery Instruction Slip (DIS) booklet to me/us immediately on opening my CDSL account though for executing delivery instructions for settling stock exchange trades Settlement related transactions) effected through such Clearing Member.

OR

Option 2: I/We do not require the Delivery Instruction Slip (DIS) for the time being, However, the Delivery Instruction Slip (DIS) booklet should be issued to me/us immediately on my request at any later date.

I/We hereby select ☐ Option 1 ☒ Option 2

**3. Request for bill/transaction/holding statement through email.**

I/We request you to send me/us bill, transaction and holding statement of my CDSL Demat account with

DP ID: 12088700

BO ID:

at the following email

Email address: sourav.sarmachoudhury@g

I/We fully agree and are aware of the following Terms and Conditions mentioned below.

- That I/We will not receive the bill, transaction and holding statements in paper form.
- That I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account.
- That I/We am/are aware that the bill, transaction and holding statements may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised.
- That I/We in case of bill, transaction and holding statements are sent by email, I/We will immediately inform NEXTBILLION TECHNOLOGY PRIVATE LIMITED about change in my email address, if any. In case, the NextBillion Technology Private Limited is not able to provide bill/transaction statement to its Clients by email or on website due to any reason (including bounced emails), NextBillion Technology Private Limited will ensure that the transaction statement is provided to me/us in paper form as per the time schedule stipulated in the Bye-laws & Business Rules of CDSL.

Name of the Applicant: SOURAV SARMA CHOUDHURY

Date: 03/01/2022

(sign here)

Sourav Sarma Choudhury

Name of the Applicant: SOURAV SARMA CHOUDHURY

PAN: LVFPS1932N

(sign here)





[Annexure A to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

Client ID:

I/We wish to make a nomination (as per details given below) and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

Nomination can be made upto three nominees in the account.		Details of 1st nominee	Details of 2nd nominee	Details of 3rd nominee
1. Name of the nominee(s) (Mr./Ms.)				
2. Share of each nominee  Equally [If not equally, please specify percentage]				
	Any odd lot after division shall be transferred to the first nominee mentioned in the form.			
3. Relationship With the Applicant (If Any)				
4. Address of Nominee(s)  City / Place: State & Country:				
PIN code				
5. Mobile / Telephone No. of nominee(s)				
6. Email ID of nominee(s)				
7. Nominee Identification details [Please tick any one of following and provide details of same]	<div><input type="checkbox"/> Aadhaar Number</div> <div><input type="checkbox"/> Passport Number</div> <div><input type="checkbox"/> PAN</div> <div><input type="checkbox"/> Voter ID Number</div> <div><input type="checkbox"/> Driving Licence Number</div> <div></div>	<div><input type="checkbox"/> Aadhaar Number</div> <div><input type="checkbox"/> Passport Number</div> <div><input type="checkbox"/> PAN</div> <div><input type="checkbox"/> Voter ID Number</div> <div><input type="checkbox"/> Driving Licence Number</div> <div></div>	<div><input type="checkbox"/> Aadhaar Number</div> <div><input type="checkbox"/> Passport Number</div> <div><input type="checkbox"/> PAN</div> <div><input type="checkbox"/> Voter ID Number</div> <div><input type="checkbox"/> Driving Licence Number</div> <div></div>	
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:				
8. Date of Birth {in case of minor nominee(s)}				
9. Name of Guardian (Mr./Ms.) {in case of minor nominee(s) }				
10. Address of Guardian(s)  City / Place: State & Country:				
PIN Code				