

Define $\delta_{i,n}, i = 3, 4$ by

$$\begin{aligned}\delta_{3,n} &:= \frac{1}{n} \log \left[e(n+1)^{2|\mathcal{X}|} \right. \\ &\quad \left. \times \{(n+1)^{|\mathcal{X}|} + (n+1)^{|\mathcal{X}||\mathcal{Z}|}\} \right], \\ \delta_{4,n} &:= \frac{1}{n} \log \left[(7nR)(n+1)^{3|\mathcal{X}||\mathcal{Z}|} \right. \\ &\quad \left. \times \{(n+1)^{|\mathcal{X}|} + (n+1)^{|\mathcal{X}||\mathcal{Z}|}\} \right].\end{aligned}$$

Note that for $i = 3, 4$, $\delta_{i,n} \rightarrow 0$ as $n \rightarrow \infty$. Our main result is the following.

Theorem 2: For any $R_A, R > 0$, there exists a sequence of mappings $\{(\varphi^{(n)}, \psi^{(n)})\}_{n=1}^\infty$ satisfying

$$R - \frac{1}{n} \leq \frac{1}{n} \log |\mathcal{X}^m| = \frac{m}{n} \log |\mathcal{X}| \leq R$$

such that for any (p_X, p_K, W) with $(R_A, R) \in \mathcal{R}^{(\text{in})}(p_X, p_K, W)$, we have that

$$p_e(\phi^{(n)}, \psi^{(n)} | p_X^n) \leq e^{-n[E(R|p_X) - \delta_{3,n}]} \quad (9)$$

and that for any eavesdropper \mathcal{A} with $\varphi_{\mathcal{A}}$ satisfying $\varphi_{\mathcal{A}}^{(n)} \in \mathcal{F}_{\mathcal{A}}^{(n)}(R_A)$,

$$\begin{aligned}\Delta^{(n)}(\varphi^{(n)}, \varphi_{\mathcal{A}}^{(n)} | p_X^n, p_K^n, W^n) \\ \leq e^{-n[G(R_A, R|p_K, W) - \delta_{4,n}]}.\end{aligned} \quad (10)$$

By Theorem 2 under $(R_A, R) \in \mathcal{R}^{(\text{in})}(p_X, p_K, W)$, we have the followings:

- On the reliability, $p_e(\phi^{(n)}, \psi^{(n)} | p_X^n)$ goes to zero exponentially as n tends to infinity, and its exponent is lower bounded by the function $E(R|p_X)$.
- On the security, for any $\varphi_{\mathcal{A}}$ satisfying $\varphi_{\mathcal{A}}^{(n)} \in \mathcal{F}_{\mathcal{A}}^{(n)}(R_A)$, $\Delta^{(n)}(\varphi^{(n)}, \varphi_{\mathcal{A}}^{(n)} | p_X^n, p_K^n, W^n)$ goes to zero exponentially as n tends to infinity, and its exponent is lower bounded by the function $G(R_A, R|p_K, W)$.
- The code that attains the exponent functions $E(R|p_X)$ and $G(R_A, R|p_K, W)$ is the universal code that depends only on (R_A, R) not on the value of the distributions p_X , p_Z , and W .

V. PROOF OUTLINE OF THE MAIN RESULT

In this section we outline the proof of Theorem 2. We first present several definitions on the types. For any n -sequence $k^n = k_1 k_2 \cdots k_n \in \mathcal{X}^n$, $n(k|k^n)$ denotes the number of t such that $k_t = k$. The relative frequency $\{n(k|k^n)/n\}_{k \in \mathcal{X}}$ of the components of x^n is called the type of k^n denoted by P_{k^n} . The set that consists of all the types on \mathcal{X} is denoted by $\mathcal{P}_n(\mathcal{X})$. For $p_{\overline{K}} \in \mathcal{P}_n(\mathcal{X})$, set

$$T_{\overline{K}}^n := \{k^n : P_{k^n} = p_{\overline{K}}\}.$$

Similarly, for any two n -sequences $k^n = k_1 k_2 \cdots k_n \in \mathcal{X}^n$ and $z^n = z_1 z_2 \cdots z_n \in \mathcal{Z}^n$, $n(k, z|k^n, z^n)$ denotes the number of t such that $(k_t, z_t) = (k, z)$. The relative frequency $\{n(k, z|k^n, z^n)/n\}_{(k,z) \in \mathcal{X} \times \mathcal{Z}}$ of the components of (k^n, z^n) is called the joint type of (k^n, z^n) denoted by P_{k^n, z^n} . Furthermore, the set of all the joint type of $\mathcal{X} \times \mathcal{Z}$ is denoted by $\mathcal{P}_n(\mathcal{X} \times \mathcal{Z})$. For $p_{\overline{K}\overline{Z}} \in \mathcal{P}_n(\mathcal{X} \times \mathcal{Z})$, set

$$T_{\overline{K}\overline{Z}}^n := \{(k^n, z^n) : P_{k^n, z^n} = p_{\overline{K}\overline{Z}}\}.$$

Furthermore, for $p_{\overline{K}} \in \mathcal{P}_n(\mathcal{X})$ and $k^n \in T_{\overline{K}}^n$, set

$$T_{\overline{Z}|\overline{K}}^n(k^n) := \{z^n : P_{k^n, z^n} = p_{\overline{K}\overline{Z}}\}.$$

We next discuss upper bounds of

$$\Delta_n(\varphi^{(n)}, \varphi_{\mathcal{A}}^{(n)} | p_X^n, p_K^n, W^n) = I(\tilde{C}^m, M_{\mathcal{A}}^{(n)}; X^n).$$

According to [5], on an upper bound of $I(\tilde{C}^m, M_{\mathcal{A}}^{(n)}; X^n)$, we have the following lemma.

Lemma 1: [5]

$$I(\tilde{C}^m, M_{\mathcal{A}}^{(n)}; X^n) \leq D(p_{\tilde{K}^m | M_{\mathcal{A}}^{(n)}} \| p_{V^m} | p_{M_{\mathcal{A}}^{(n)}}), \quad (11)$$

where p_{V^m} is the uniform distribution over \mathcal{X}^m .

Set $M^{(n)} := P_{K^n, Z^n}$. Then we have the following:

$$\begin{aligned}D(p_{\tilde{K}^m | M_{\mathcal{A}}^{(n)}} \| p_{V^m} | p_{M_{\mathcal{A}}^{(n)}}) \\ \leq D(p_{\tilde{K}^m | M_{\mathcal{A}}^{(n)} M^{(n)}} \| p_{V^m} | p_{M_{\mathcal{A}}^{(n)} M^{(n)}}).\end{aligned} \quad (12)$$

For $p_{\overline{K}\overline{Z}} \in \mathcal{P}_n(\mathcal{X} \times \mathcal{Z})$, set

$$\begin{aligned}D(p_{\tilde{K}^m | M_{\mathcal{A}}^{(n)} M^{(n)} = p_{\overline{K}\overline{Z}}} \| p_{V^m} | p_{M_{\mathcal{A}}^{(n)} M^{(n)} = p_{\overline{K}\overline{Z}}}) \\ := \zeta(\varphi^{(n)}, \varphi_{\mathcal{A}}^{(n)} | p_{\overline{K}\overline{Z}}).\end{aligned} \quad (13)$$

From [11], [12], and [13], we have

$$\begin{aligned}\Delta_n(\varphi^{(n)}, \varphi_{\mathcal{A}}^{(n)} | p_X^n, p_K^n, W^n) \leq \sum_{\substack{p_{\overline{K}\overline{Z}} \\ \in \mathcal{P}_n(\mathcal{X} \times \mathcal{Z})}} 1 \\ \times \Pr\{M^{(n)} = p_{\overline{K}\overline{Z}}\} \zeta(\varphi^{(n)}, \varphi_{\mathcal{A}}^{(n)} | p_{\overline{K}\overline{Z}}).\end{aligned} \quad (14)$$

For $p_{\overline{K}\overline{Z}} \in \mathcal{P}_n(\mathcal{X} \times \mathcal{Z})$, define

$$\begin{aligned}\Upsilon(R, \varphi_{\mathcal{A}}^{(n)} | p_{\overline{K}\overline{Z}}) := \sum_{\substack{(a, k^n) \\ \in \mathcal{M}_{\mathcal{A}}^{(n)} \times T_{\overline{K}}^n}} \frac{\left| (\varphi_{\mathcal{A}}^{(n)})^{-1}(a) \cap T_{\overline{Z}|\overline{K}}^n(k^n) \right|}{|T_{\overline{K}\overline{Z}}^n|} \\ \times \log \left[1 + (e^{nR} - 1) \frac{\left| (\varphi_{\mathcal{A}}^{(n)})^{-1}(a) \cap T_{\overline{Z}|\overline{K}}^n(k^n) \right| |T_{\overline{K}}^n|}{\left| (\varphi_{\mathcal{A}}^{(n)})^{-1}(a) \cap T_{\overline{Z}}^n \right| |T_{\overline{K}\overline{Z}}^n|} \right].\end{aligned}$$

On $\zeta(\varphi^{(n)}, \varphi_{\mathcal{A}}^{(n)} | p_{\overline{K}\overline{Z}})$ for $p_{\overline{K}\overline{Z}} \in \mathcal{P}_n(\mathcal{X} \times \mathcal{Z})$, we have the following lemma.

Lemma 2: For any $p_{\overline{K}\overline{Z}} \in \mathcal{P}_n(\mathcal{X} \times \mathcal{Z})$,

$$\mathbb{E} \left[\zeta(\varphi^{(n)}, \varphi_{\mathcal{A}}^{(n)} | p_{\overline{K}\overline{Z}}) \right] \leq \Upsilon(R, \varphi_{\mathcal{A}}^{(n)} | p_{\overline{K}\overline{Z}}), \quad (15)$$

where $\mathbb{E}[\cdot]$ is an expectation based on the random choice of $\varphi^{(n)}$.

To prove the above lemma we use a technique quite similar to that of Hayashi [7] used for an ensemble of universal₂ functions. The following proposition is a key result for the proof of Theorem 1.

Proposition 1: For any $R_A, R > 0$, there exists a sequence of mappings $\{(\varphi^{(n)}, \psi^{(n)})\}_{n=1}^\infty$ satisfying

$$R - \frac{1}{n} \leq \frac{1}{n} \log |\mathcal{X}^m| = \frac{m}{n} \log |\mathcal{X}| \leq R$$