

Define  $\delta_{i,n}, i = 3, 4$  by

$$\begin{aligned}\delta_{3,n} &:= \frac{1}{n} \log \left[ e(n+1)^{2|\mathcal{X}|} \right. \\ &\quad \left. \times \{(n+1)^{|\mathcal{X}|} + (n+1)^{|\mathcal{X}||\mathcal{Z}|}\} \right], \\ \delta_{4,n} &:= \frac{1}{n} \log \left[ (7nR)(n+1)^{3|\mathcal{X}||\mathcal{Z}|} \right. \\ &\quad \left. \times \{(n+1)^{|\mathcal{X}|} + (n+1)^{|\mathcal{X}||\mathcal{Z}|}\} \right].\end{aligned}$$

Note that for  $i = 3, 4$ ,  $\delta_{i,n} \rightarrow 0$  as  $n \rightarrow \infty$ . Our main result is the following.

**Theorem 2:** For any  $R_A, R > 0$ , there exists a sequence of mappings  $\{(\varphi^{(n)}, \psi^{(n)})\}_{n=1}^\infty$  satisfying

$$R - \frac{1}{n} \leq \frac{1}{n} \log |\mathcal{X}^m| = \frac{m}{n} \log |\mathcal{X}| \leq R$$

such that for any  $(p_X, p_K, W)$  with  $(R_A, R) \in \mathcal{R}^{(\text{in})}(p_X, p_K, W)$ , we have that

$$p_e(\phi^{(n)}, \psi^{(n)} | p_X^n) \leq e^{-n[E(R|p_X) - \delta_{3,n}]} \quad (9)$$

and that for any eavesdropper  $\mathcal{A}$  with  $\varphi_{\mathcal{A}}$  satisfying  $\varphi_{\mathcal{A}}^{(n)} \in \mathcal{F}_{\mathcal{A}}^{(n)}(R_A)$ ,

$$\begin{aligned}\Delta^{(n)}(\varphi^{(n)}, \varphi_{\mathcal{A}}^{(n)} | p_X^n, p_K^n, W^n) \\ \leq e^{-n[G(R_A, R|p_K, W) - \delta_{4,n}]}.\end{aligned} \quad (10)$$

By Theorem 2 under  $(R_A, R) \in \mathcal{R}^{(\text{in})}(p_X, p_K, W)$ , we have the followings:

- On the reliability,  $p_e(\phi^{(n)}, \psi^{(n)} | p_X^n)$  goes to zero exponentially as  $n$  tends to infinity, and its exponent is lower bounded by the function  $E(R|p_X)$ .
- On the security, for any  $\varphi_{\mathcal{A}}$  satisfying  $\varphi_{\mathcal{A}}^{(n)} \in \mathcal{F}_{\mathcal{A}}^{(n)}(R_A)$ ,  $\Delta^{(n)}(\varphi^{(n)}, \varphi_{\mathcal{A}}^{(n)} | p_X^n, p_K^n, W^n)$  goes to zero exponentially as  $n$  tends to infinity, and its exponent is lower bounded by the function  $G(R_A, R|p_K, W)$ .
- The code that attains the exponent functions  $E(R|p_X)$  and  $G(R_A, R|p_K, W)$  is the universal code that depends only on  $(R_A, R)$  not on the value of the distributions  $p_X$ ,  $p_Z$ , and  $W$ .

## V. PROOF OUTLINE OF THE MAIN RESULT

In this section we outline the proof of Theorem 2. We first present several definitions on the types. For any  $n$ -sequence  $k^n = k_1 k_2 \cdots k_n \in \mathcal{X}^n$ ,  $n(k|k^n)$  denotes the number of  $t$  such that  $k_t = k$ . The relative frequency  $\{n(k|k^n)/n\}_{k \in \mathcal{X}}$  of the components of  $x^n$  is called the type of  $k^n$  denoted by  $P_{k^n}$ . The set that consists of all the types on  $\mathcal{X}$  is denoted by  $\mathcal{P}_n(\mathcal{X})$ . For  $p_{\overline{K}} \in \mathcal{P}_n(\mathcal{X})$ , set

$$T_{\overline{K}}^n := \{k^n : P_{k^n} = p_{\overline{K}}\}.$$

Similarly, for any two  $n$ -sequences  $k^n = k_1 k_2 \cdots k_n \in \mathcal{X}^n$  and  $z^n = z_1 z_2 \cdots z_n \in \mathcal{Z}^n$ ,  $n(k, z|k^n, z^n)$  denotes the number of  $t$  such that  $(k_t, z_t) = (k, z)$ . The relative frequency  $\{n(k, z|k^n, z^n)/n\}_{(k,z) \in \mathcal{X} \times \mathcal{Z}}$  of the components of  $(k^n, z^n)$  is called the joint type of  $(k^n, z^n)$  denoted by  $P_{k^n, z^n}$ . Furthermore, the set of all the joint type of  $\mathcal{X} \times \mathcal{Z}$  is denoted by  $\mathcal{P}_n(\mathcal{X} \times \mathcal{Z})$ . For  $p_{\overline{K}\overline{Z}} \in \mathcal{P}_n(\mathcal{X} \times \mathcal{Z})$ , set

$$T_{\overline{K}\overline{Z}}^n := \{(k^n, z^n) : P_{k^n, z^n} = p_{\overline{K}\overline{Z}}\}.$$

Furthermore, for  $p_{\overline{K}} \in \mathcal{P}_n(\mathcal{X})$  and  $k^n \in T_{\overline{K}}^n$ , set

$$T_{\overline{Z}|\overline{K}}^n(k^n) := \{z^n : P_{k^n, z^n} = p_{\overline{K}\overline{Z}}\}.$$

We next discuss upper bounds of

$$\Delta_n(\varphi^{(n)}, \varphi_{\mathcal{A}}^{(n)} | p_X^n, p_K^n, W^n) = I(\tilde{C}^m, M_{\mathcal{A}}^{(n)}; X^n).$$

According to [5], on an upper bound of  $I(\tilde{C}^m, M_{\mathcal{A}}^{(n)}; X^n)$ , we have the following lemma.

**Lemma 1:** [5]

$$I(\tilde{C}^m, M_{\mathcal{A}}^{(n)}; X^n) \leq D(p_{\tilde{K}^m | M_{\mathcal{A}}^{(n)}} \| p_{V^m} | p_{M_{\mathcal{A}}^{(n)}}), \quad (11)$$

where  $p_{V^m}$  is the uniform distribution over  $\mathcal{X}^m$ .

Set  $M^{(n)} := P_{K^n, Z^n}$ . Then we have the following:

$$\begin{aligned}D(p_{\tilde{K}^m | M_{\mathcal{A}}^{(n)}} \| p_{V^m} | p_{M_{\mathcal{A}}^{(n)}}) \\ \leq D(p_{\tilde{K}^m | M_{\mathcal{A}}^{(n)} M^{(n)}} \| p_{V^m} | p_{M_{\mathcal{A}}^{(n)} M^{(n)}}).\end{aligned} \quad (12)$$

For  $p_{\overline{K}\overline{Z}} \in \mathcal{P}_n(\mathcal{X} \times \mathcal{Z})$ , set

$$\begin{aligned}D(p_{\tilde{K}^m | M_{\mathcal{A}}^{(n)} M^{(n)} = p_{\overline{K}\overline{Z}}} \| p_{V^m} | p_{M_{\mathcal{A}}^{(n)} M^{(n)} = p_{\overline{K}\overline{Z}}}) \\ := \zeta(\varphi^{(n)}, \varphi_{\mathcal{A}}^{(n)} | p_{\overline{K}\overline{Z}}).\end{aligned} \quad (13)$$

From [11], [12], and [13], we have

$$\begin{aligned}\Delta_n(\varphi^{(n)}, \varphi_{\mathcal{A}}^{(n)} | p_X^n, p_K^n, W^n) \leq \sum_{\substack{p_{\overline{K}\overline{Z}} \\ \in \mathcal{P}_n(\mathcal{X} \times \mathcal{Z})}} 1 \\ \times \Pr\{M^{(n)} = p_{\overline{K}\overline{Z}}\} \zeta(\varphi^{(n)}, \varphi_{\mathcal{A}}^{(n)} | p_{\overline{K}\overline{Z}}).\end{aligned} \quad (14)$$

For  $p_{\overline{K}\overline{Z}} \in \mathcal{P}_n(\mathcal{X} \times \mathcal{Z})$ , define

$$\begin{aligned}\Upsilon(R, \varphi_{\mathcal{A}}^{(n)} | p_{\overline{K}\overline{Z}}) := \sum_{\substack{(a, k^n) \\ \in \mathcal{M}_{\mathcal{A}}^{(n)} \times T_{\overline{K}}^n}} \frac{\left| (\varphi_{\mathcal{A}}^{(n)})^{-1}(a) \cap T_{\overline{Z}|\overline{K}}^n(k^n) \right|}{|T_{\overline{K}\overline{Z}}^n|} \\ \times \log \left[ 1 + (e^{nR} - 1) \frac{\left| (\varphi_{\mathcal{A}}^{(n)})^{-1}(a) \cap T_{\overline{Z}|\overline{K}}^n(k^n) \right| |T_{\overline{K}}^n|}{\left| (\varphi_{\mathcal{A}}^{(n)})^{-1}(a) \cap T_{\overline{Z}}^n \right| |T_{\overline{K}\overline{Z}}^n|} \right].\end{aligned}$$

On  $\zeta(\varphi^{(n)}, \varphi_{\mathcal{A}}^{(n)} | p_{\overline{K}\overline{Z}})$  for  $p_{\overline{K}\overline{Z}} \in \mathcal{P}_n(\mathcal{X} \times \mathcal{Z})$ , we have the following lemma.

**Lemma 2:** For any  $p_{\overline{K}\overline{Z}} \in \mathcal{P}_n(\mathcal{X} \times \mathcal{Z})$ ,

$$\mathbb{E} \left[ \zeta(\varphi^{(n)}, \varphi_{\mathcal{A}}^{(n)} | p_{\overline{K}\overline{Z}}) \right] \leq \Upsilon(R, \varphi_{\mathcal{A}}^{(n)} | p_{\overline{K}\overline{Z}}), \quad (15)$$

where  $\mathbb{E}[\cdot]$  is an expectation based on the random choice of  $\varphi^{(n)}$ .

To prove the above lemma we use a technique quite similar to that of Hayashi [7] used for an ensemble of universal<sub>2</sub> functions. The following proposition is a key result for the proof of Theorem 1.

**Proposition 1:** For any  $R_A, R > 0$ , there exists a sequence of mappings  $\{(\varphi^{(n)}, \psi^{(n)})\}_{n=1}^\infty$  satisfying

$$R - \frac{1}{n} \leq \frac{1}{n} \log |\mathcal{X}^m| = \frac{m}{n} \log |\mathcal{X}| \leq R$$