

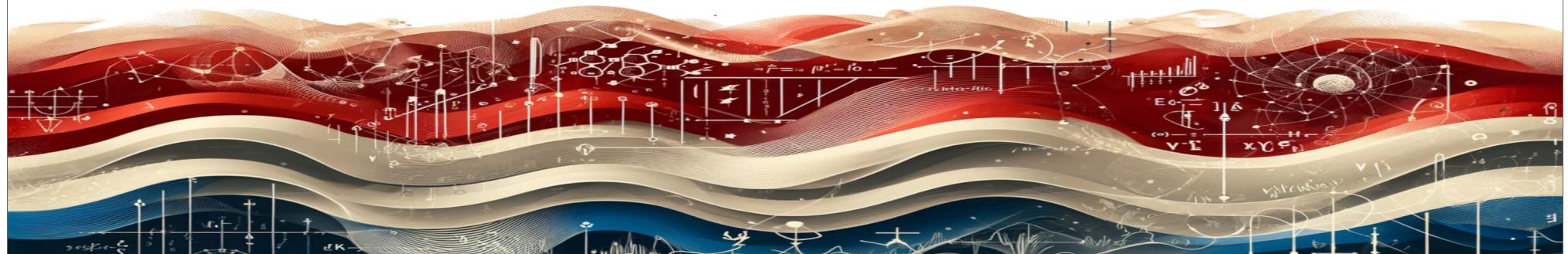
Waves: Modeling, Analysis, and Numerics
Radboud University
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Linear Waves: From Physics to Numerics

Part I

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Mathematics of Computational Science
University of Twente



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- Generalities
- (Linear) Acoustic Waves
- Electromagnetic Waves
- Problems of Scattering

Generalities

Wave Phenomena

“... a wave is any recognizable signal that is transferred from one part of the medium to another with a recognizable velocity of propagation” — Whitham, G. B. (1974). *Linear and Nonlinear Waves*.

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Linear Waves

Described by linear Partial Differential Equations (PDEs). The classic example are the ones governed by the standard (linear, hyperbolic, scalar) wave equation:

$$u \in C^2(\mathbb{R}^d \times \mathbb{R}_+); \quad \frac{\partial^2 u}{\partial t^2}(\boldsymbol{x}, t) - c^2 \Delta u(\boldsymbol{x}, t) = 0, \quad (\boldsymbol{x}, t) \in \mathbb{R}^d \times \mathbb{R}_+, \quad d \in \{1, 2, 3\}$$

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$$\Delta := \nabla \cdot \nabla = \operatorname{div} \operatorname{grad}$$

Cartesian coordinates: $\frac{1}{c^2} \frac{\partial^2 u}{\partial t^2} = \underbrace{\frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} + \frac{\partial^2 u}{\partial z^2}}$

Cylindrical coordinates: $\frac{1}{c^2} \frac{\partial^2 u}{\partial t^2} = \frac{1}{r} \frac{\partial}{\partial r} \left(r \frac{\partial u}{\partial r} \right) + \frac{1}{r^2} \frac{\partial^2 u}{\partial \theta^2} + \frac{\partial^2 u}{\partial z^2} \quad (x = r \cos \theta, y = r \sin \theta, z = z)$

Spherical coordinates: $\frac{1}{c^2} \frac{\partial^2 u}{\partial t^2} = \frac{1}{r^2} \frac{\partial}{\partial r} \left(r^2 \frac{\partial u}{\partial r} \right) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial u}{\partial \theta} \right) + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2 u}{\partial \phi^2}$
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solution:**

$$u(x, t) = \frac{1}{2}[g(x - ct) + g(x + ct)] + \frac{1}{2c} \int_{x-ct}^{x+ct} h(\xi) d\xi$$

d'Alembert, J.-R.. (1747). treatise on *Vibrating Strings*.

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Let $\hat{u}(k, \omega)$ be the Fourier transform of $u(x, t)$:

$$\hat{u}(k, \omega) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} u(x, t) e^{-i(kx - \omega t)} dx dt, \quad (\text{extended by 0 for } t < 0)$$

then

$$u(x, t) = \frac{1}{(2\pi)^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \hat{u}(k, \omega) e^{i(kx - \omega t)} dk d\omega.$$

Plugging into the wave equation gives the **dispersion relation**:

$$\omega^2 = c^2 k^2. \quad (\text{in general, } \omega = \omega(k), \text{ for other linear wave equations})$$

Thus, the wave equation solution is supported at $\omega = \pm c|k|$, and it can be expressed as

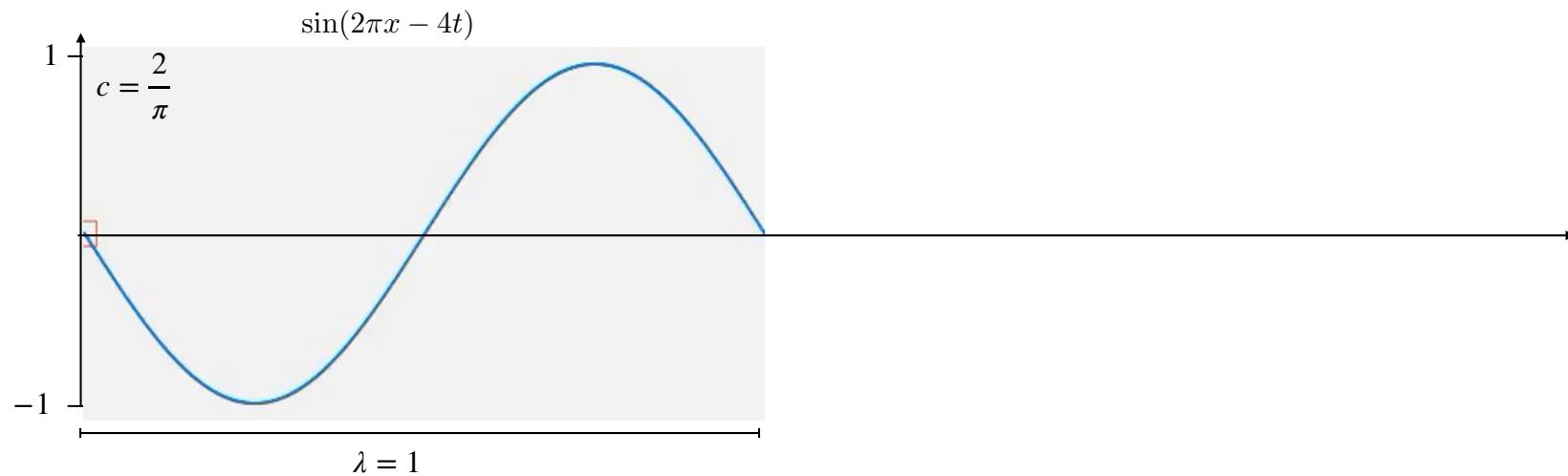
$$u(x, t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} [A(k) e^{i(kx - c|k|t)} + B(k) e^{i(kx + c|k|t)}] dk,$$

where $A(k)$ and $B(k)$ are determined by the initial conditions.

Simple Linear Waves

Linear wave solutions can often be expressed as superpositions of simpler waves of the form:

$$u(x, t) = A(k) \sin(kx - \omega(k)t + \phi), \quad x, t \in \mathbb{R},$$

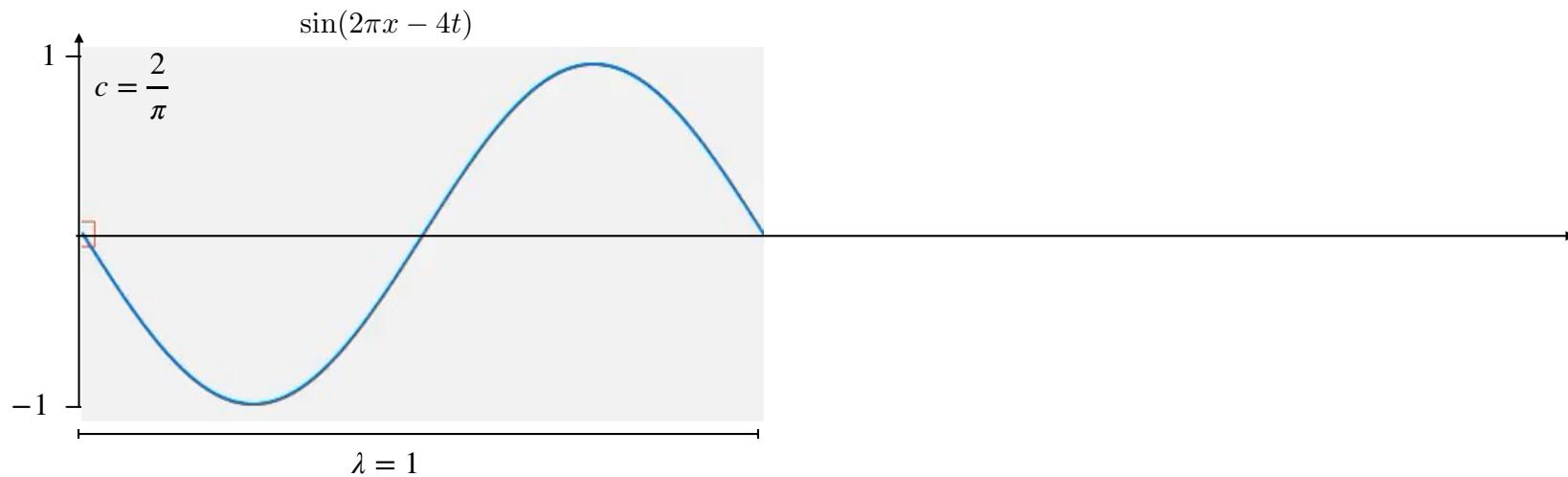


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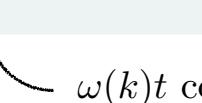


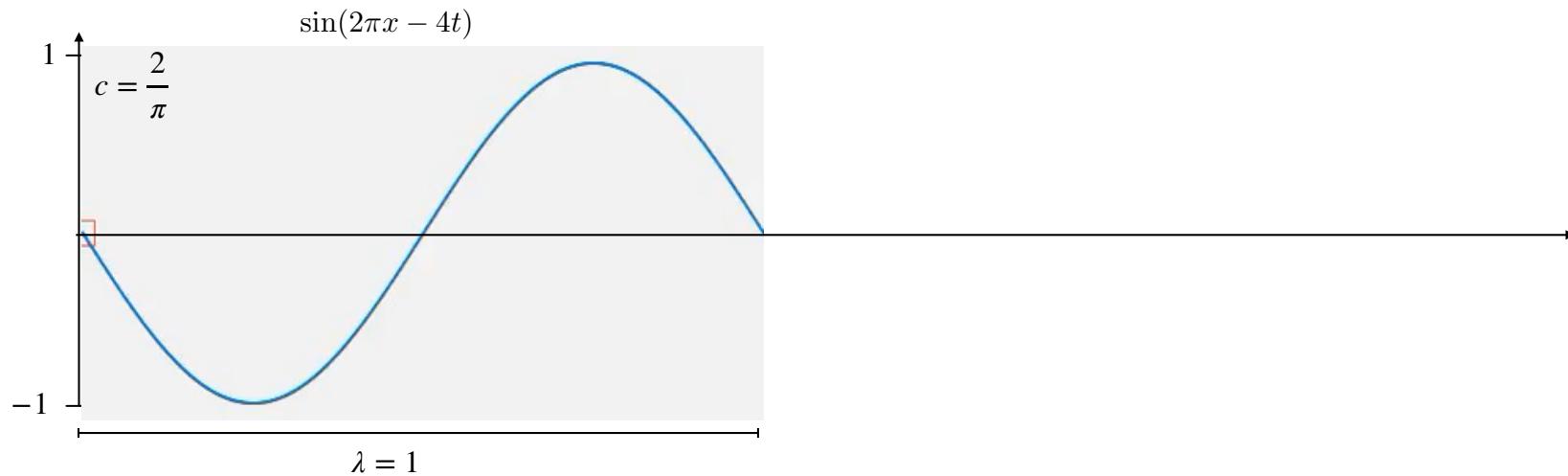
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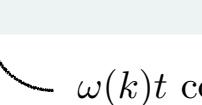


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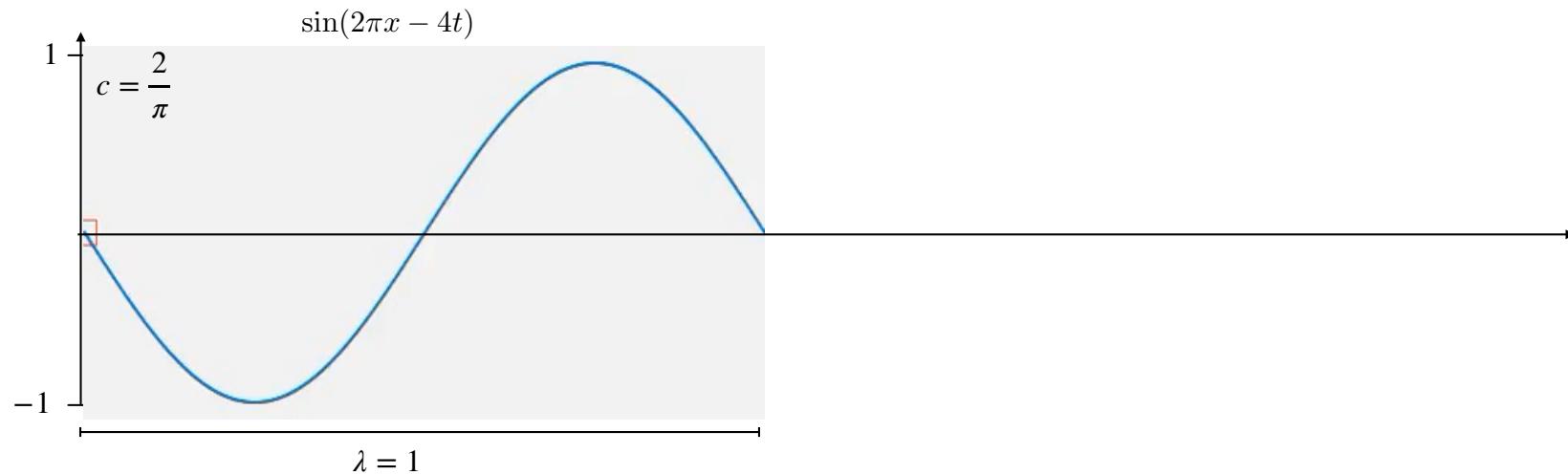
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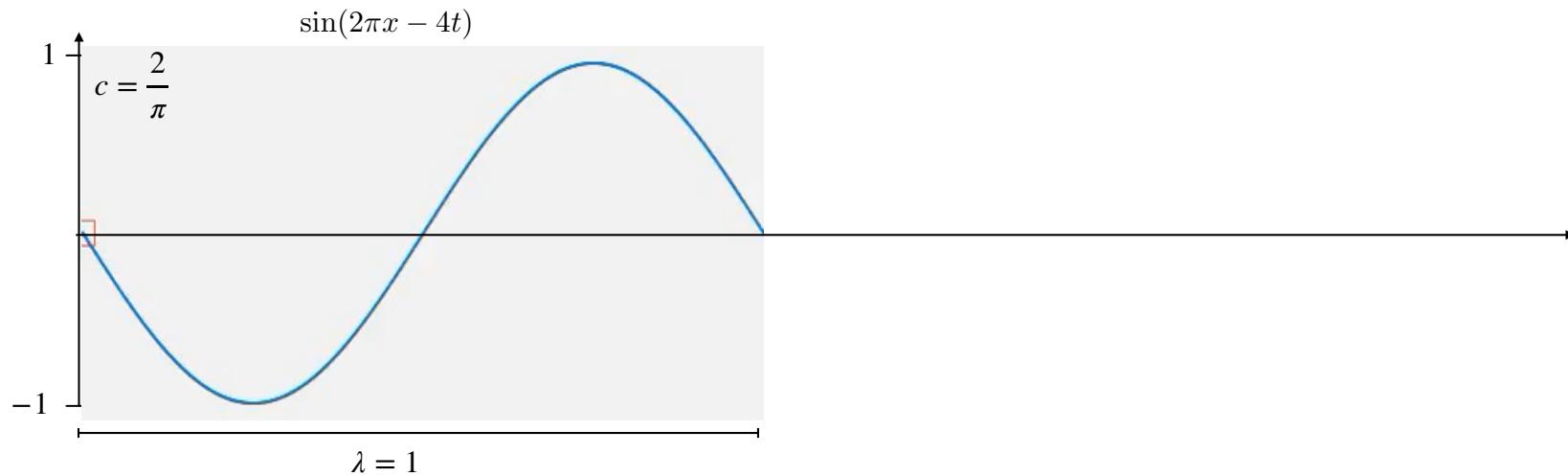
ω : angular frequency

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$\omega(k)t$ controls the temporal oscillation

$\lambda = \frac{2\pi}{k}$: wavelength $v_p = \frac{\omega}{k}$: phase velocity

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Simple Linear Waves

Linear wave solutions can often be expressed as superpositions of simpler waves of the form:

$$u(x, t) = A(k) \sin(kx - \omega(k)t + \phi), \quad x, t \in \mathbb{R},$$

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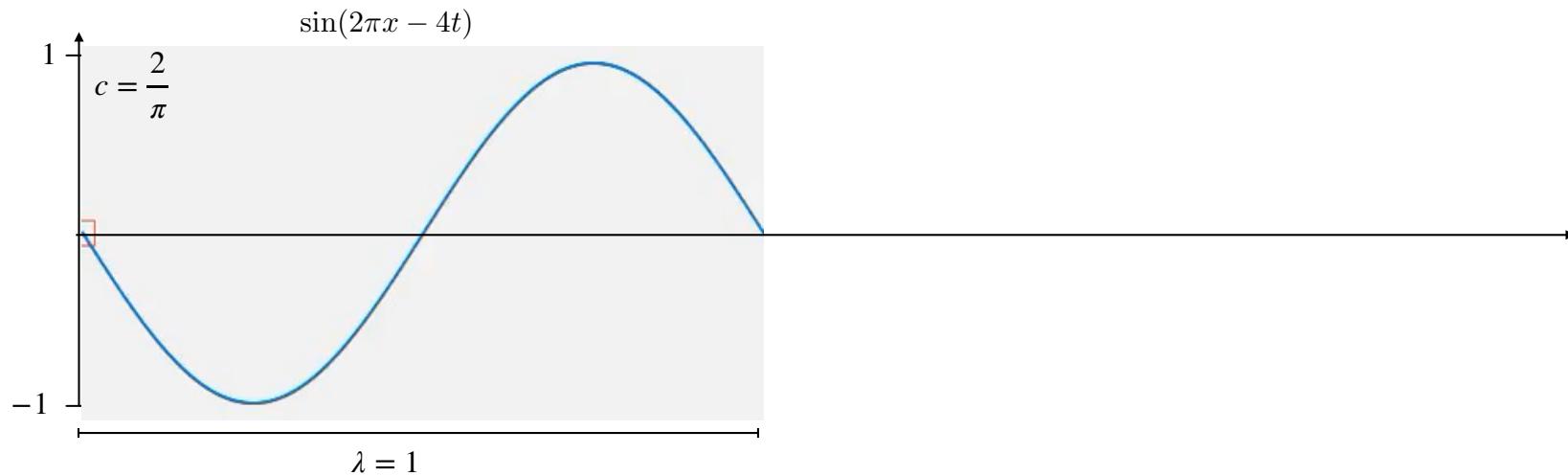
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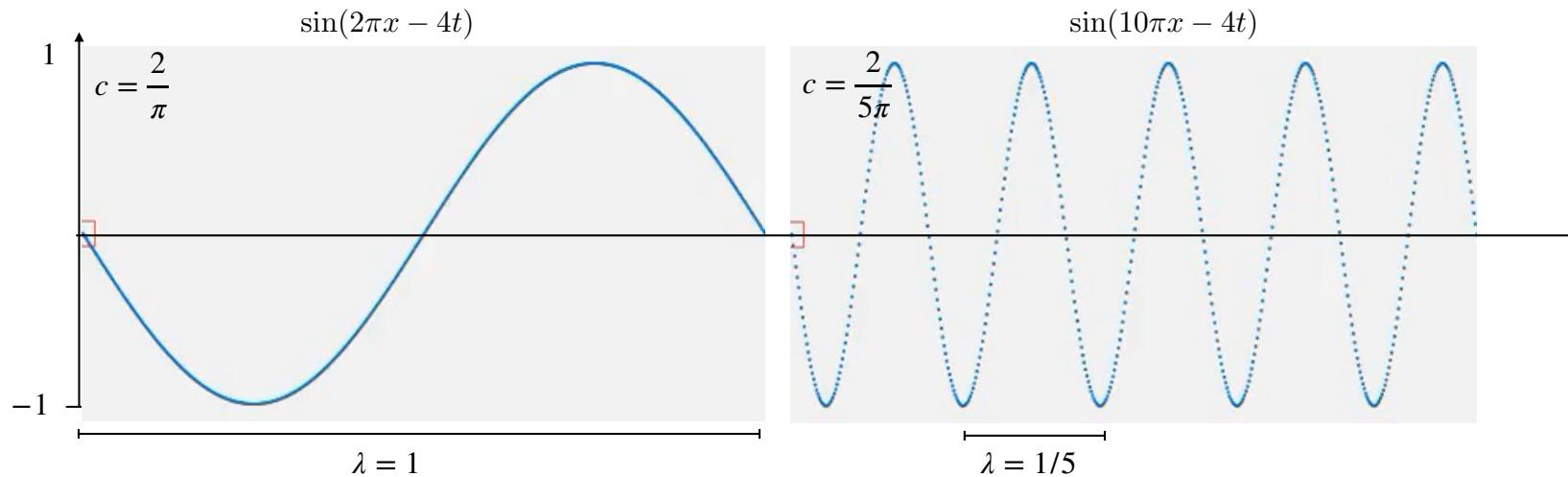
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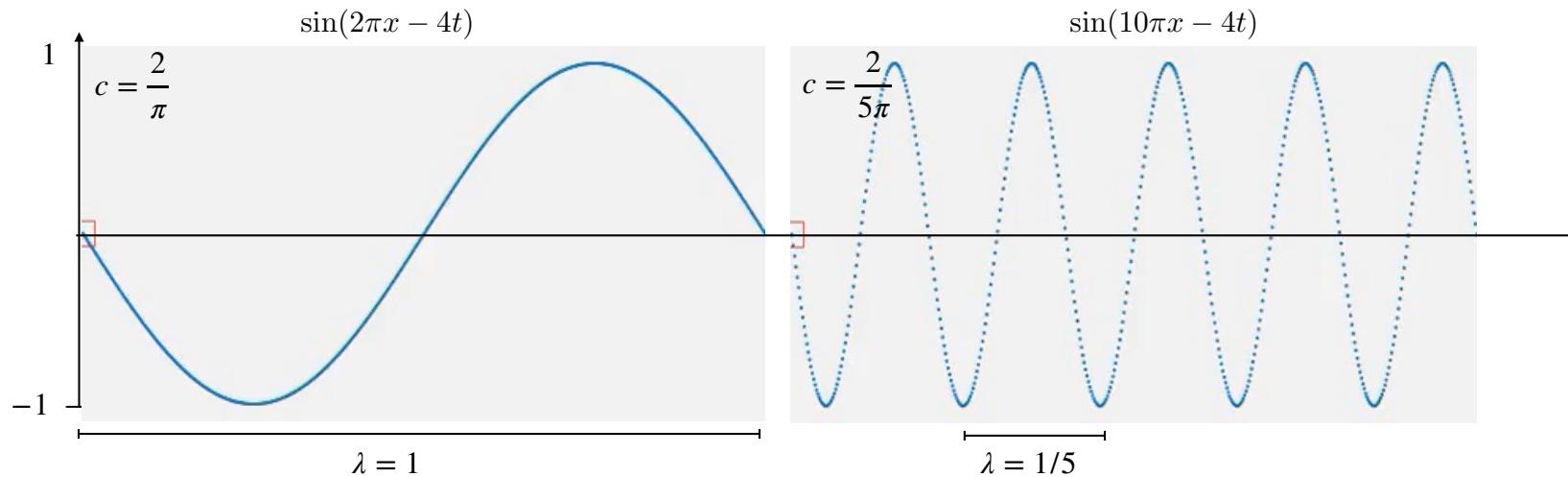
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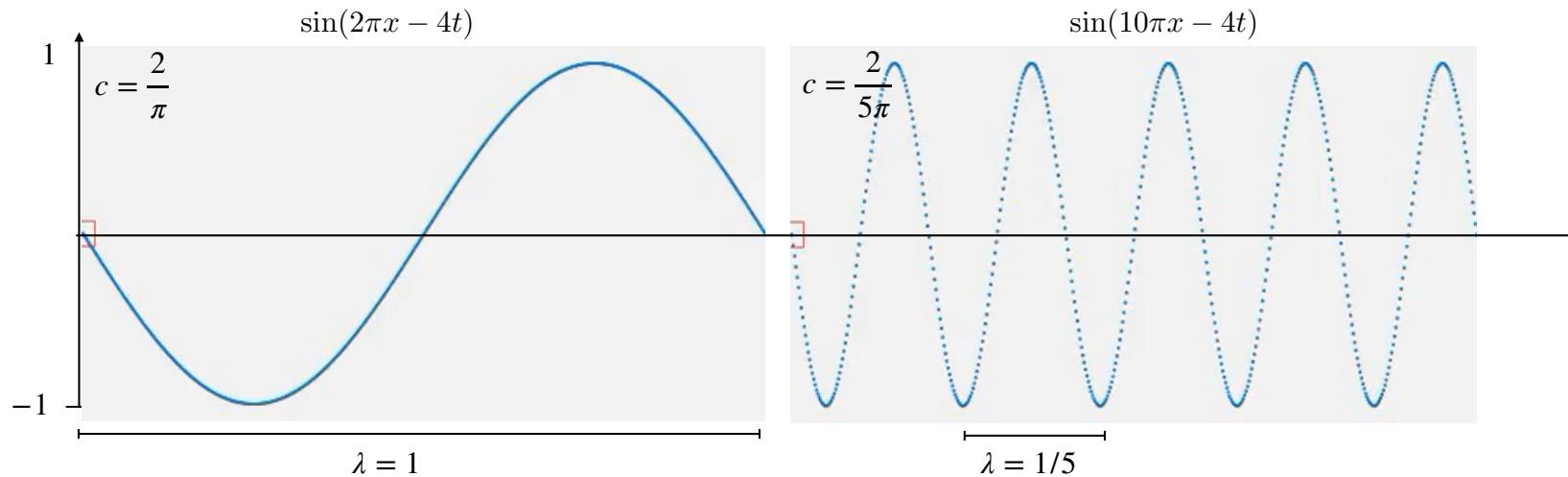
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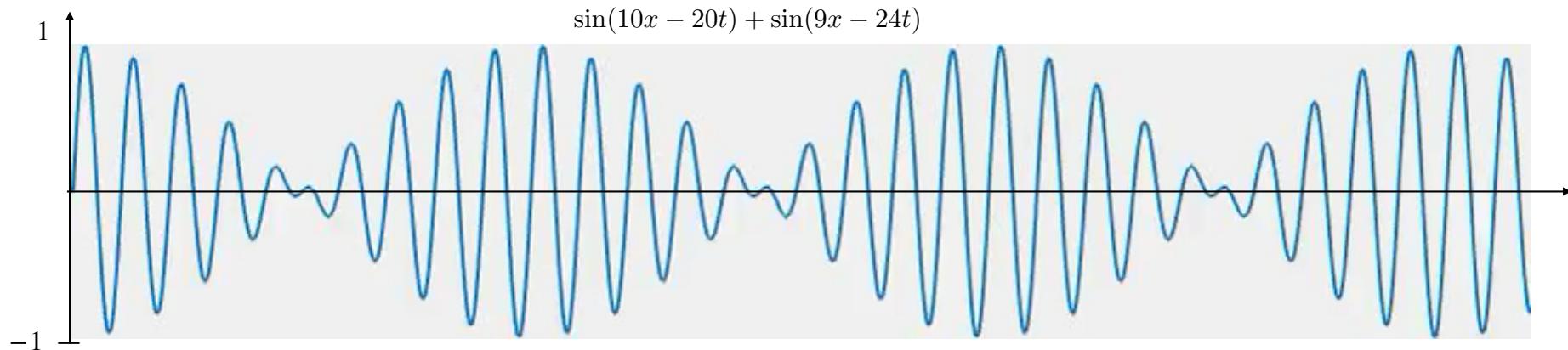
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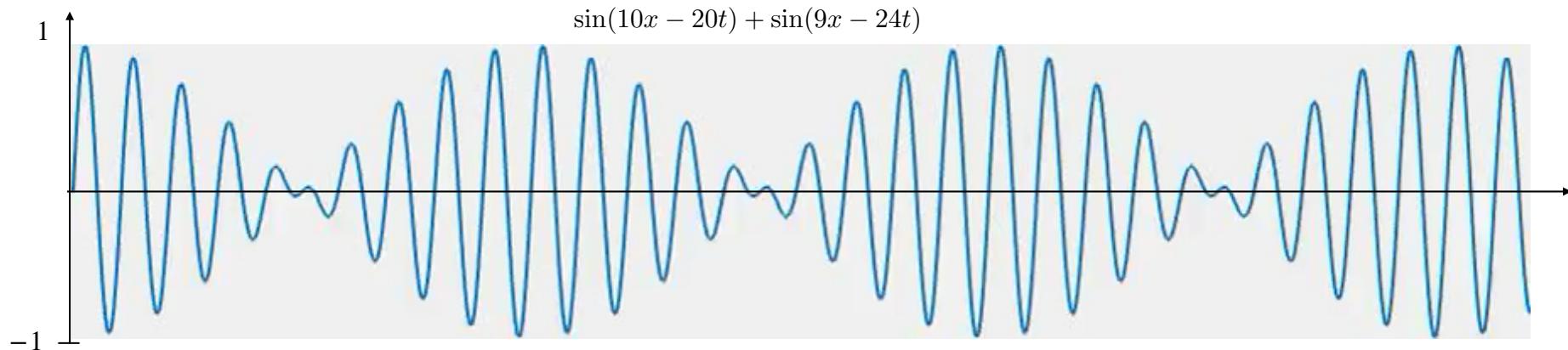
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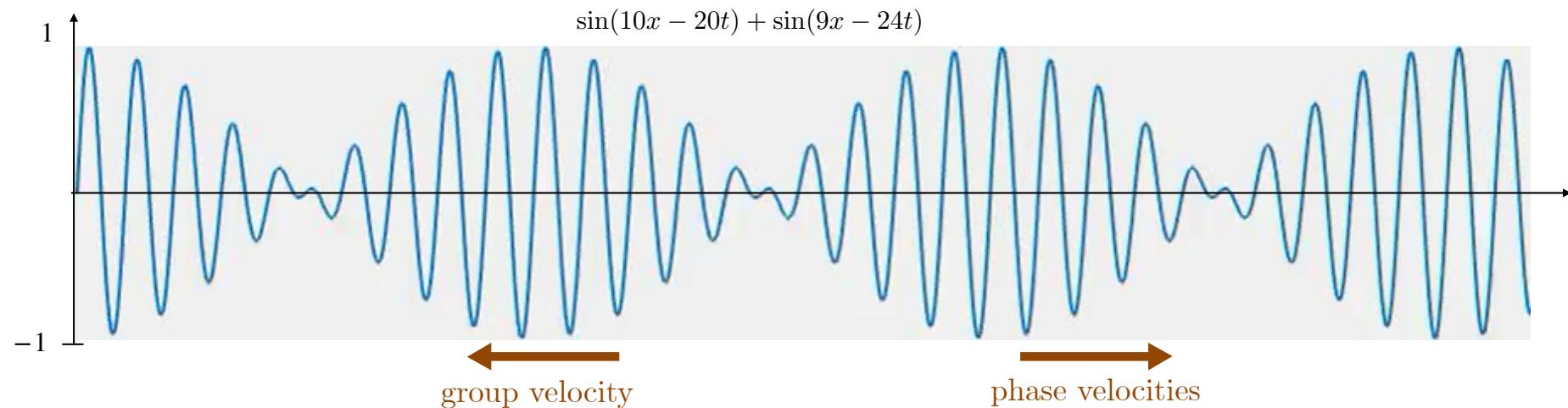
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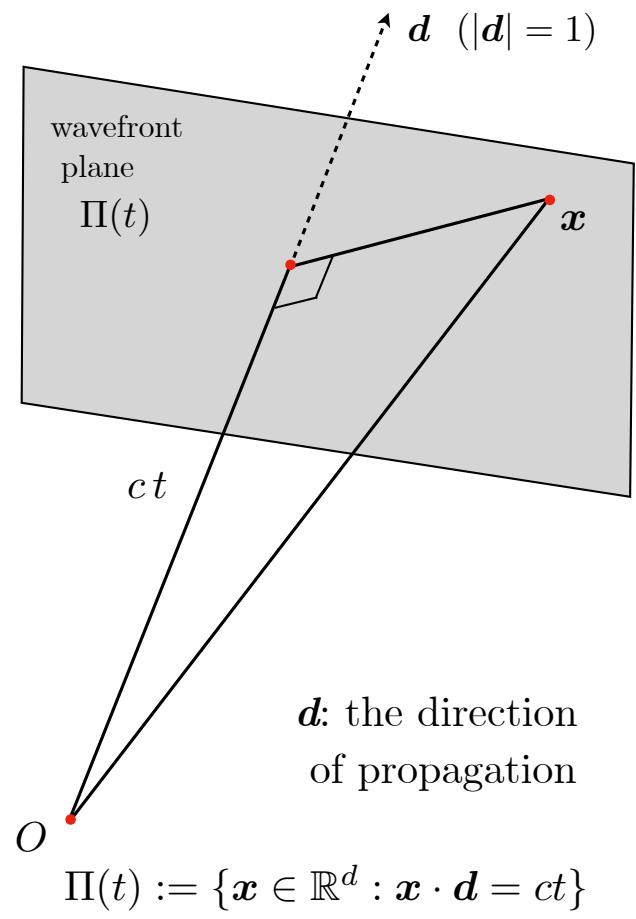
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Simple Linear Waves

- Planewaves: $u(\mathbf{x}, t) = f(\mathbf{x} \cdot \mathbf{d} - ct)$

Constant value at every point \mathbf{x} on the plane $\Pi(t)$



Simple Linear Waves

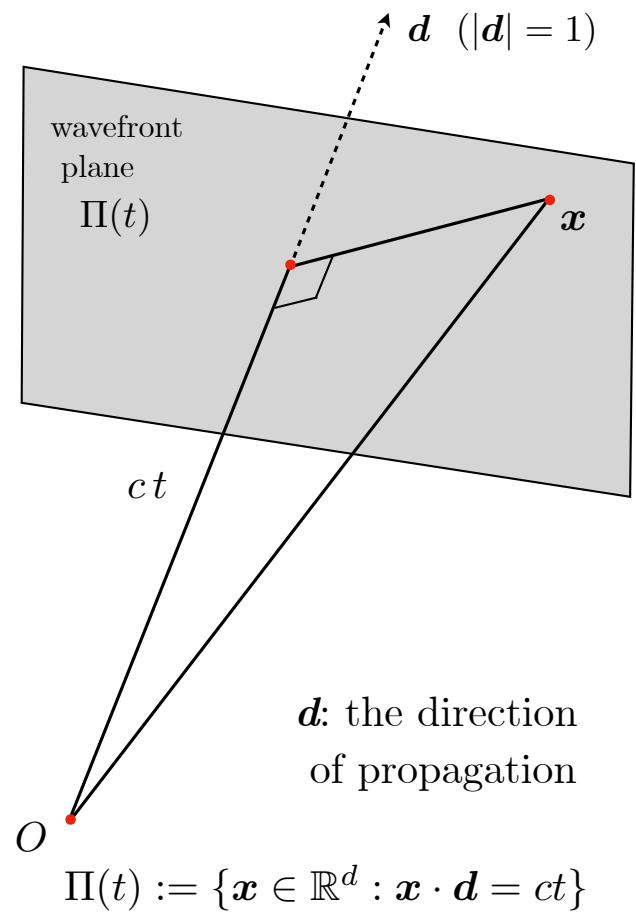
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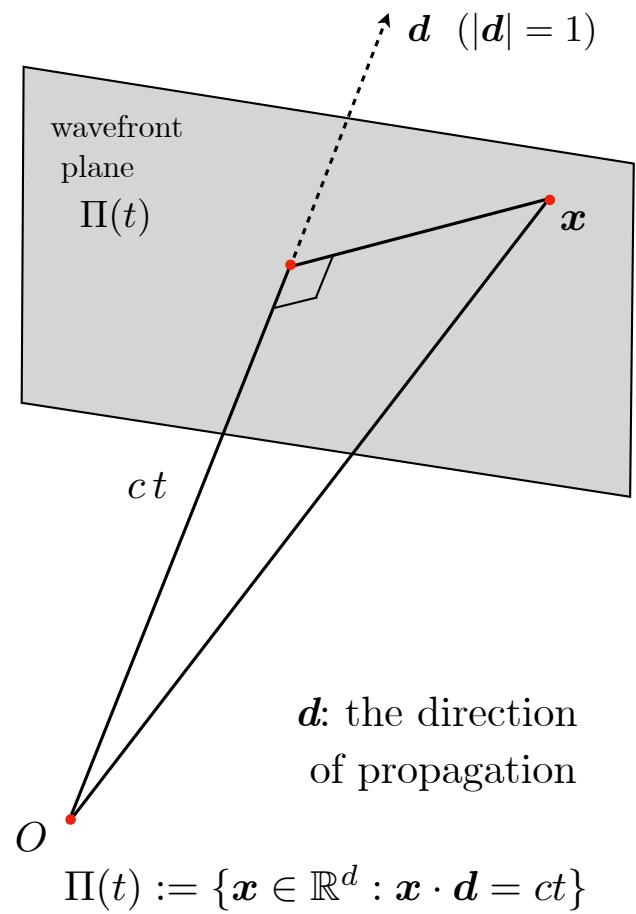
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The wave equation admits solutions of the form: $u(\mathbf{x}, t) = e^{-i\omega t} v(\mathbf{x})$

$$v(\mathbf{x}) = \int_{\mathbb{R}} \hat{v}(k_x) e^{i(xk_x \pm y\sqrt{k^2 - k_x^2})} dk_x$$

$$\text{where } \sqrt{k^2 - k_x^2} = \begin{cases} \sqrt{k^2 - k_x^2} & |k_x| \leq k \\ i\sqrt{k_x^2 - k^2} & |k_x| > k \end{cases}$$

Angular spectrum representation



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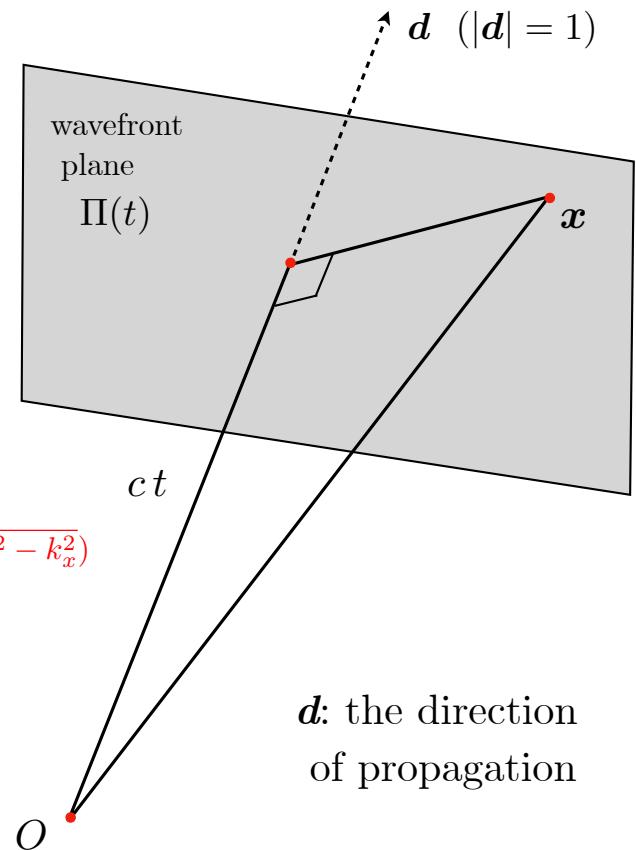
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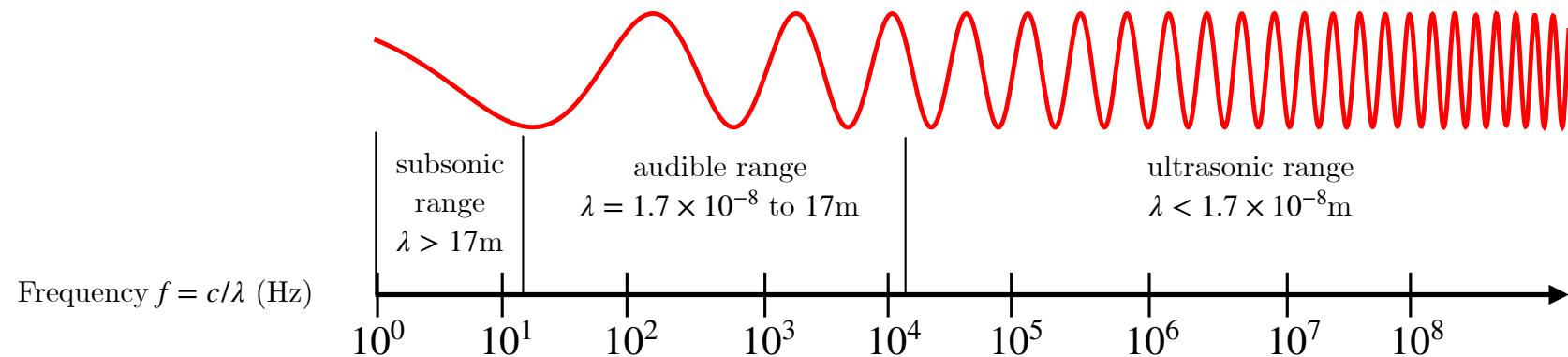
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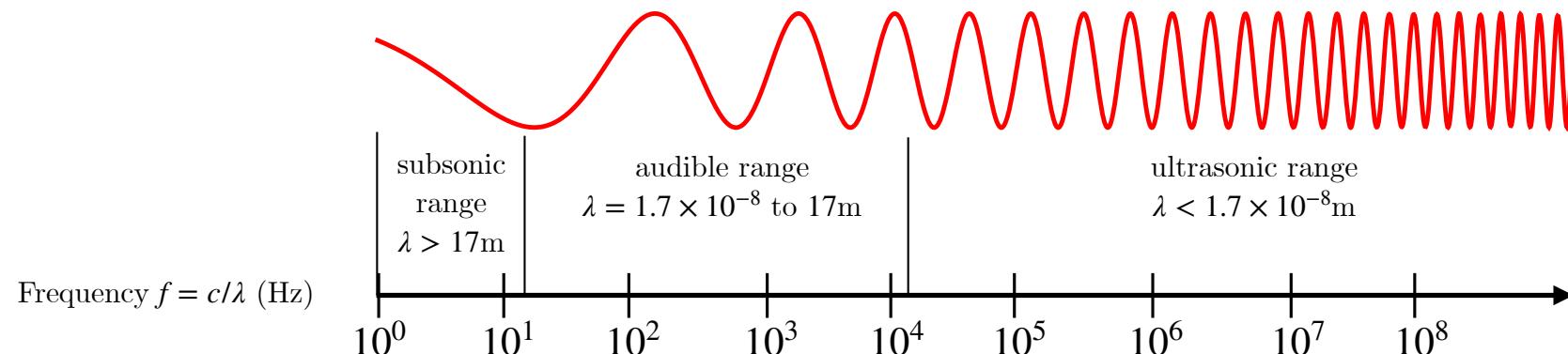
planewaves with
 $\mathbf{d} = k^{-1}(k_x, \pm\sqrt{k^2 - k_x^2})$



Acoustic Waves: Applications



Acoustic Waves: Applications

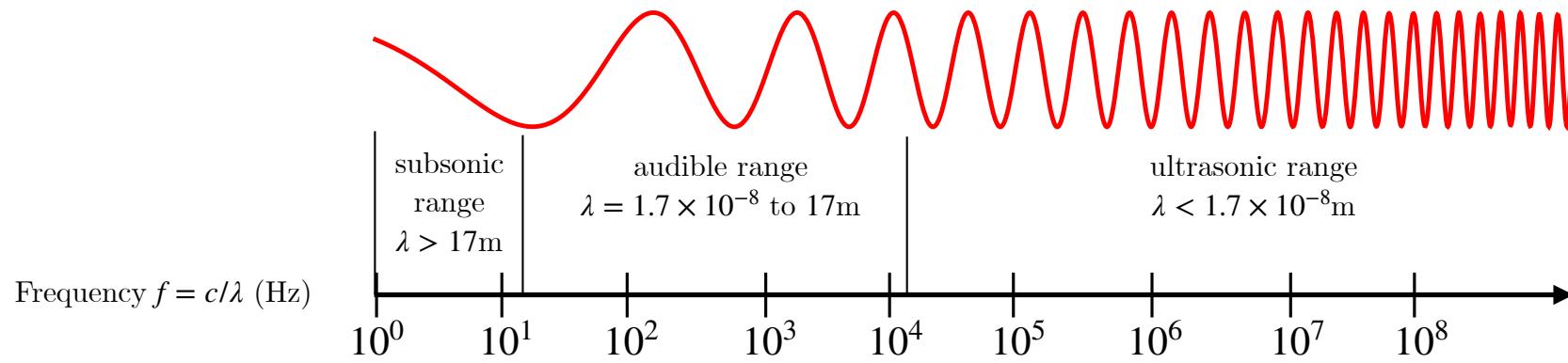


www.wikipedia.com

Infrasound monitoring station

$\lambda = 10 \text{ to } 10^3\text{m}$

Acoustic Waves: Applications

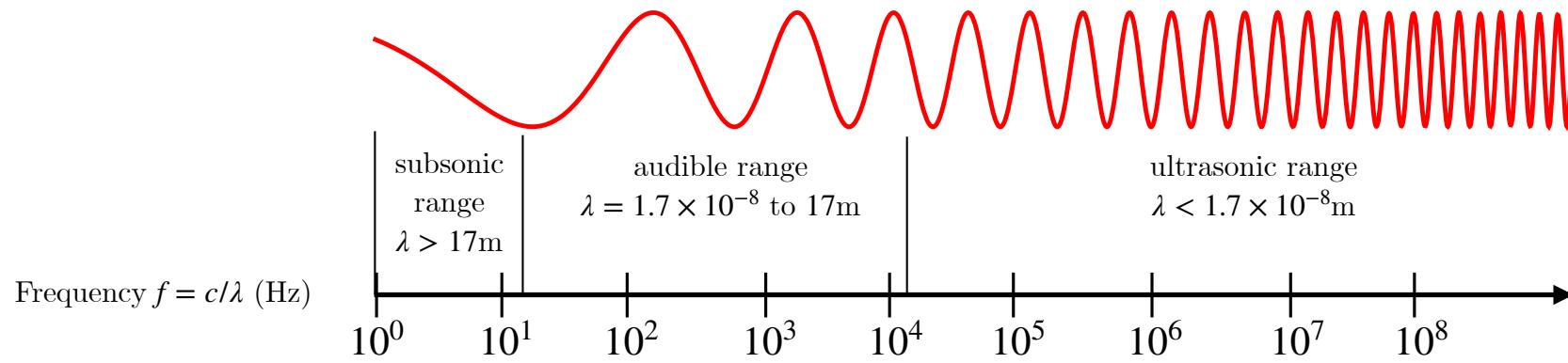


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Noise barriers

Acoustic Waves: Applications



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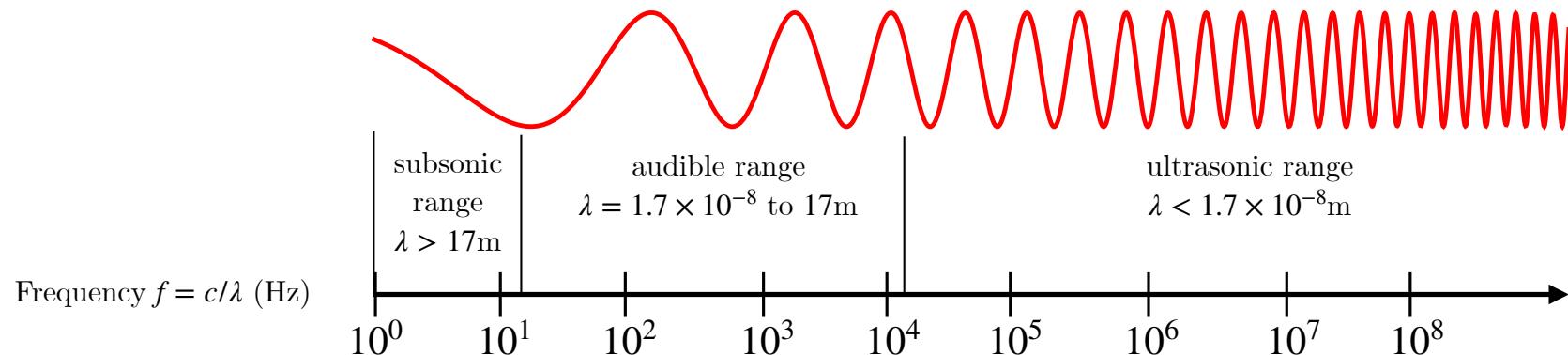
Noise barriers

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Room acoustics

Acoustic Waves: Applications



Wikipedia



Ultrasound imaging

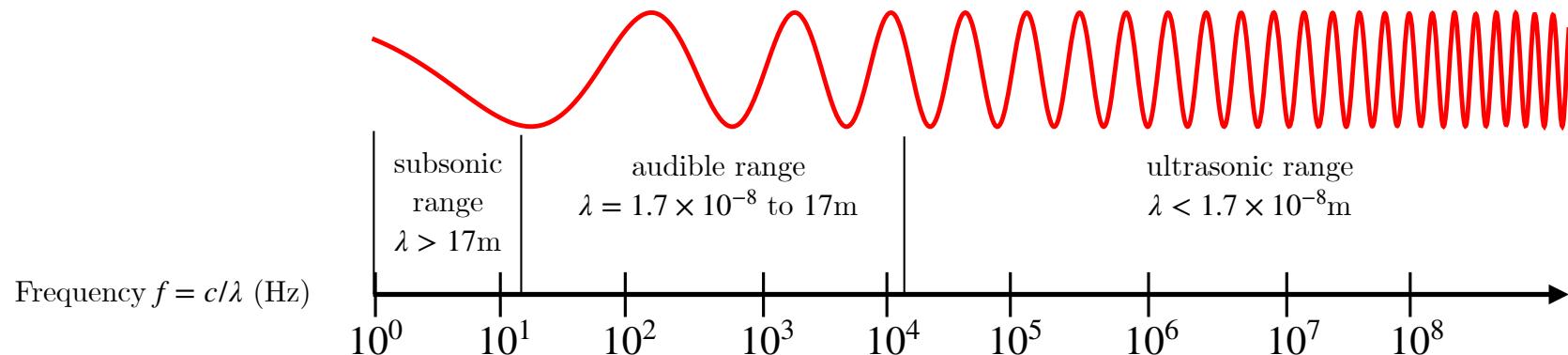


Droplet levitation



Sonoluminescence

Acoustic Waves: Applications



Wikipedia



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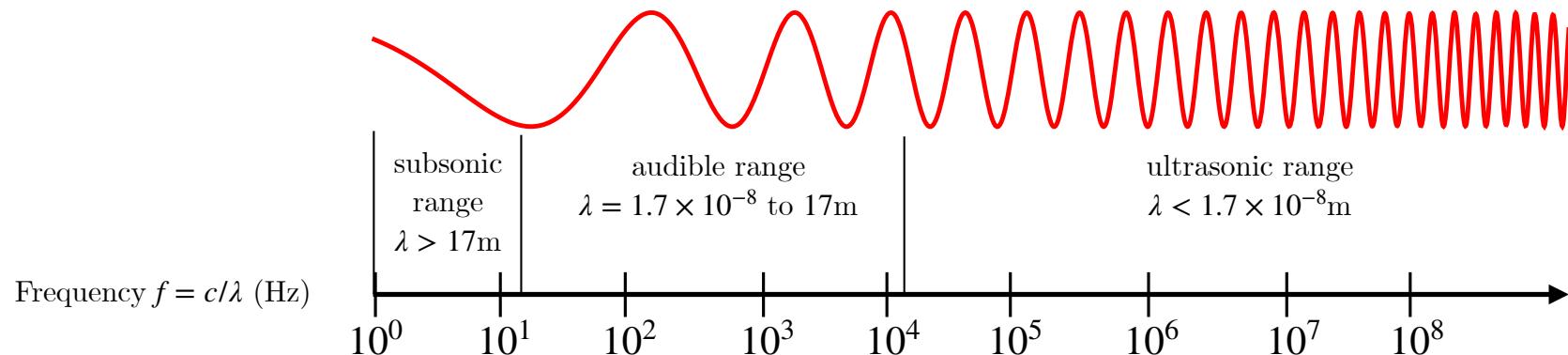


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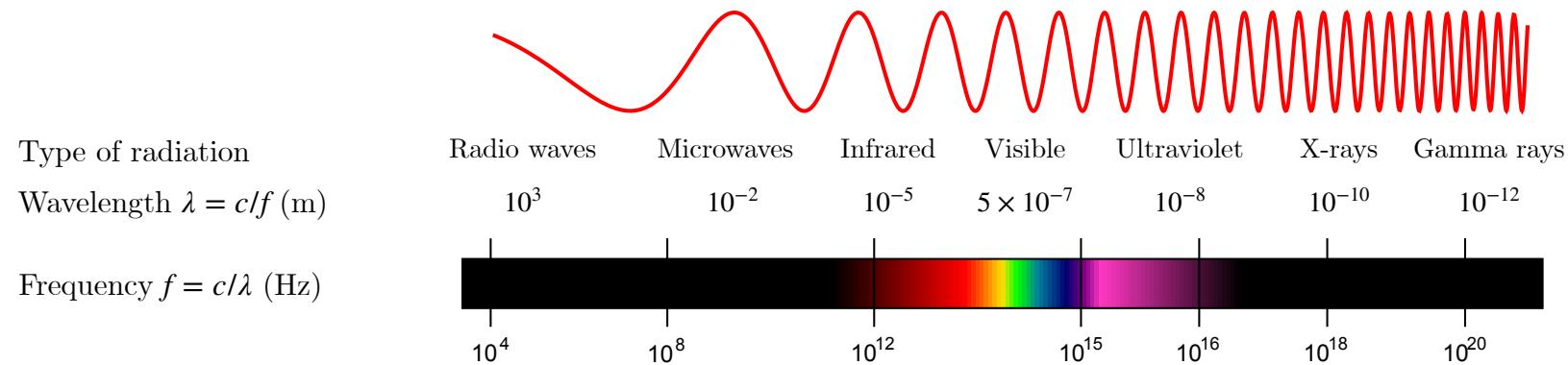


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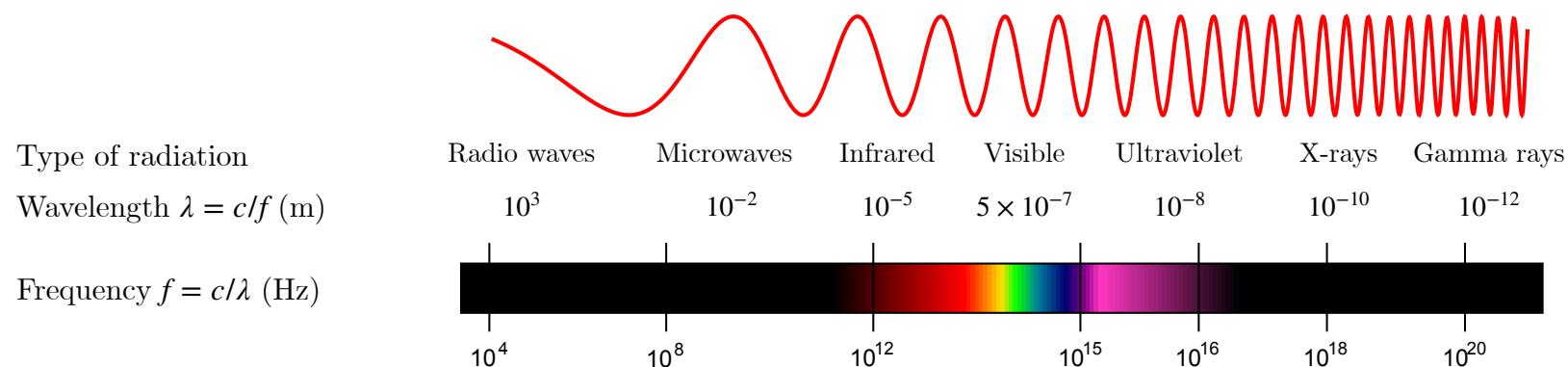


Sonoluminescence

Electromagnetic Waves: Applications



Electromagnetic Waves: Applications

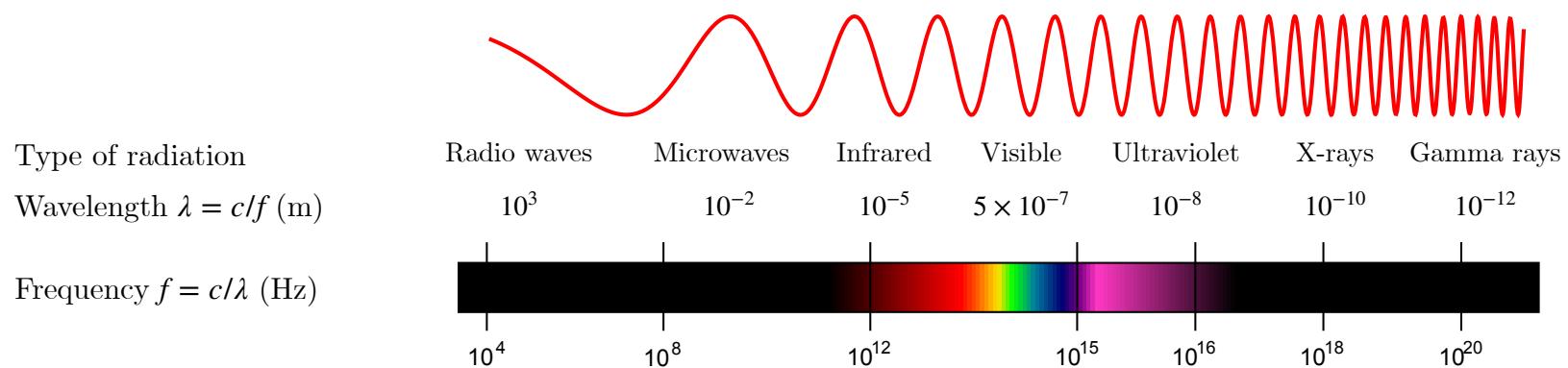


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Telecommunications
 $\lambda = 1$ to 10^3 m

Electromagnetic Waves: Applications



www.wikipedia.com



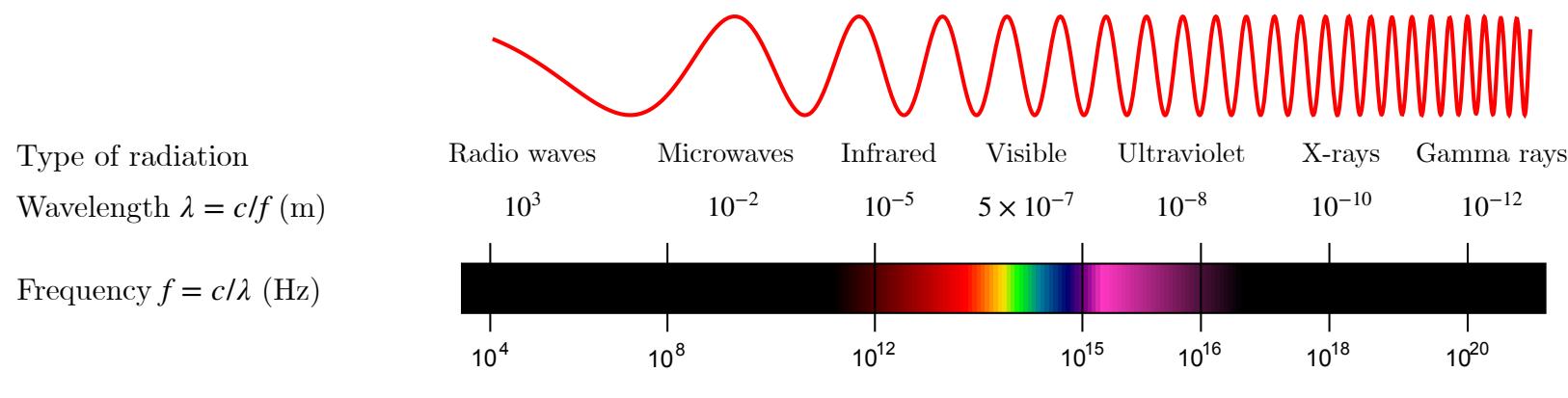
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Atacama Large Millimeter Array
 $\lambda = 3 \times 10^{-4}$ to 3.6×10^{-3} m

Electromagnetic Waves: Applications



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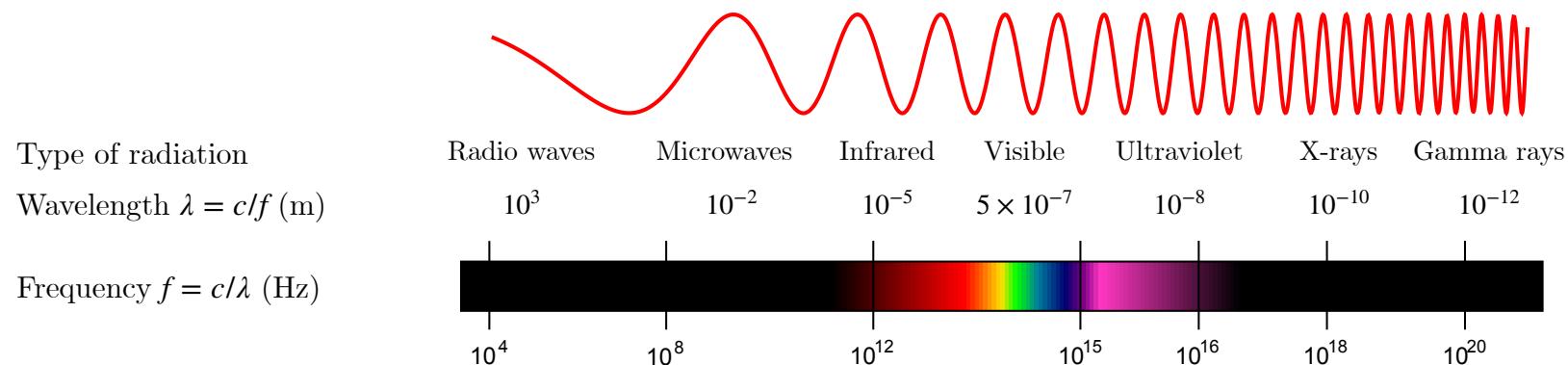


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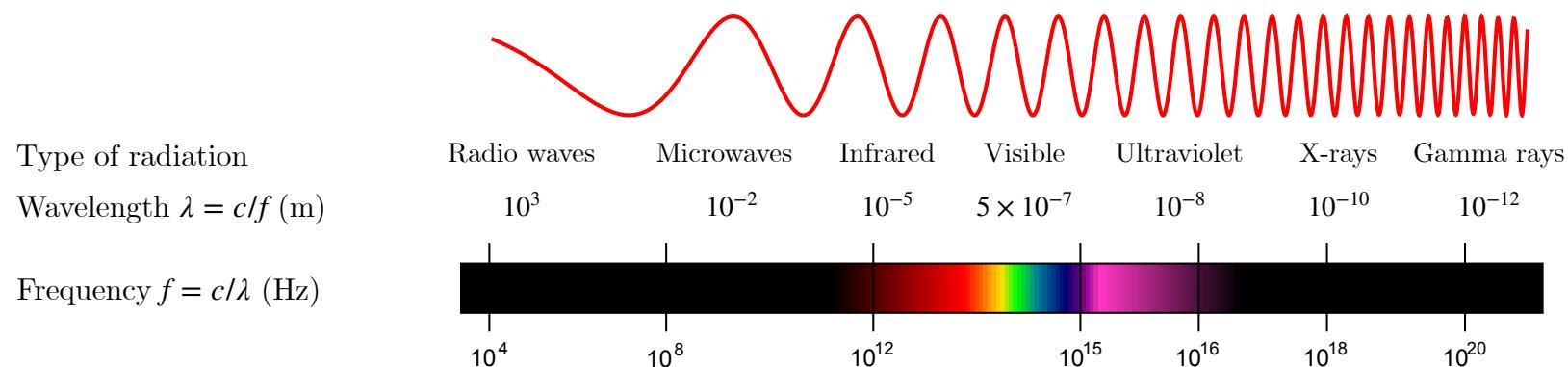


Electromagnetic Waves: Applications



Solar power plants
 $\lambda = 4 \times 10^{-7}$ to 7×10^{-7} m

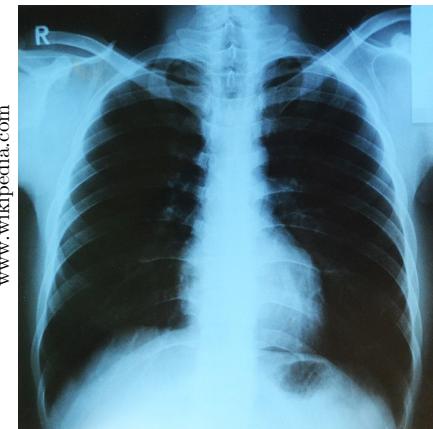
Electromagnetic Waves: Applications



www.animodels.cl

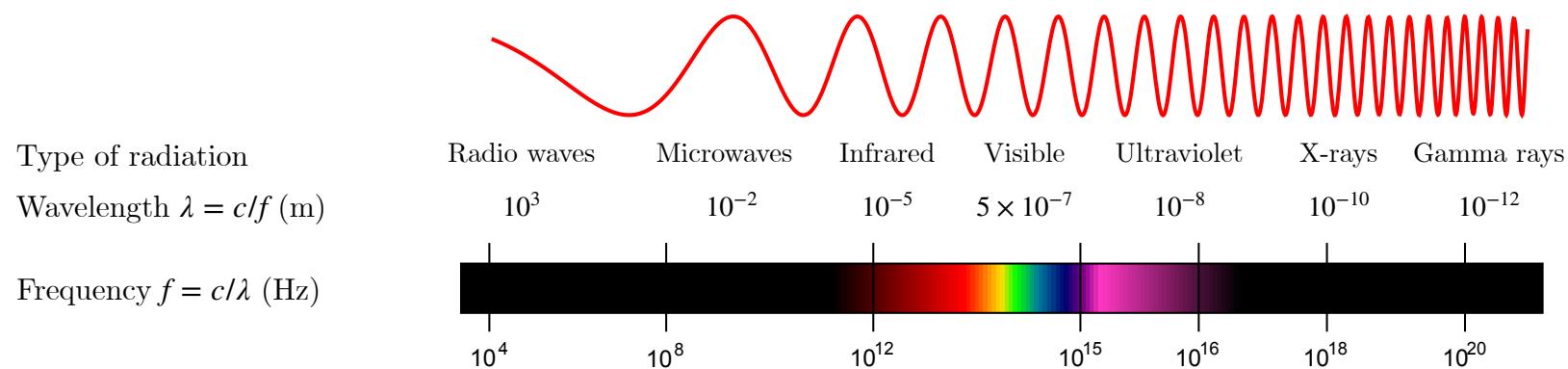


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X-ray imaging
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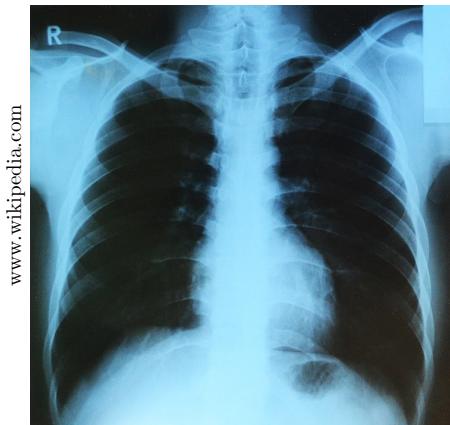
Electromagnetic Waves: Applications



www.anminerals.cl



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Positron Emission Tomography (PET)
 $\lambda = 2.5 \cdot 10^{-12}$ m

Acoustic Waves

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Velocity field: $\mathbf{v} = \mathbf{v}(\mathbf{x}, t)$

Pressure: $p = p(\mathbf{x}, t)$

Density: $\rho = \rho(\mathbf{x}, t)$

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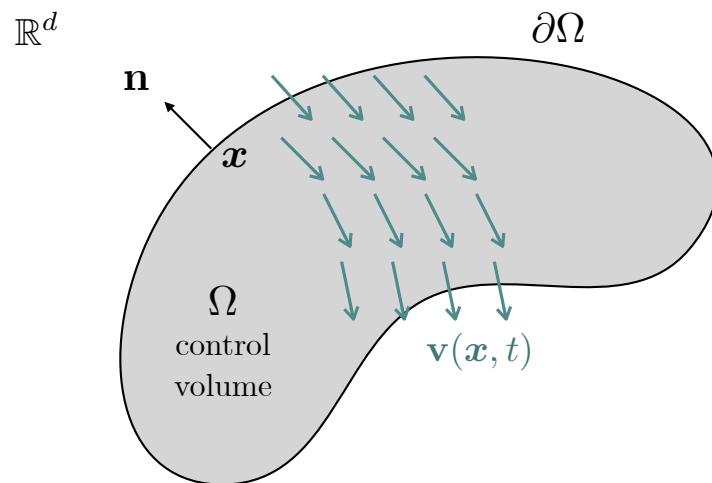
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total enclosed mass: $m(t) = \int_{\Omega} \rho(\mathbf{x}, t) d\mathbf{x}$

$$\dot{m}(t) = \int_{\Omega} \frac{\partial \rho(\mathbf{x}, t)}{\partial t} d\mathbf{x} = - \underbrace{\int_{\partial\Omega} \rho(\mathbf{x}, t) \mathbf{v}(\mathbf{x}, t) \cdot \mathbf{n}(\mathbf{x}) ds}_{\text{net mass flux}}$$

$$\begin{aligned} & \text{Green's theorem} \\ &= - \int_{\Omega} \nabla \cdot (\rho(\mathbf{x}, t) \mathbf{v}(\mathbf{x}, t)) d\mathbf{x} \end{aligned}$$

The control volume is arbitrary $\Rightarrow \frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{v}) = 0$

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For simplicity, the background medium is assumed to be homogeneous, at rest, and in equilibrium, i.e., $\rho^{(0)}$ is constant, $\mathbf{v}^{(0)} = 0$, and $\nabla p^{(0)} = 0$.

formal asymptotic expansion

$$\left\{ \begin{array}{l} \rho = \rho^{(0)} + \varepsilon \rho^{(1)} + O(\varepsilon^2) \\ p = p^{(0)} + \varepsilon p^{(1)} + O(\varepsilon^2) \\ \mathbf{v} = \mathbf{v}^{(0)} + \varepsilon \mathbf{v}^{(1)} + O(\varepsilon^2) \end{array} \right. \quad (|\varepsilon| \ll 1)$$

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linear waves

Acoustic Waves: Linearization

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Acoustic Waves: Linearization

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at $O(1)$: $\partial_t \rho^{(0)} + \nabla \cdot \left(\rho^{(0)} \mathbf{v}^{(0)} \right) = 0$ at $O(\varepsilon)$: $\partial_t \rho^{(1)} + \rho^{(0)} \nabla \cdot \mathbf{v}^{(1)} + \mathbf{v}^{(1)} \cdot \nabla \rho^{(0)} = 0$

Acoustic Waves: Linearization

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$$\frac{\partial}{\partial t} \left(\rho^{(0)} + \varepsilon \rho^{(1)} \right) + \nabla \cdot \left\{ \left(\rho^{(0)} + \varepsilon \rho^{(1)} \right) \left(\mathbf{v}^{(0)} + \varepsilon \mathbf{v}^{(1)} \right) \right\} = \mathbf{0}$$

at $O(1)$: $\partial_t \rho^{(0)} + \nabla \cdot \left(\rho^{(0)} \mathbf{v}^{(0)} \right) = 0$ at $O(\varepsilon)$: $\partial_t \rho^{(1)} + \rho^{(0)} \nabla \cdot \mathbf{v}^{(1)} + \mathbf{v}^{(1)} \cdot \nabla \rho^{(0)} = 0$

(background
is at rest)

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we define: $c := \sqrt{P'(\rho_0)}$

Linear Acoustic Waves

Summarizing, at $O(\varepsilon)$ we have

- Continuity equation (mass conservation):

$$\frac{\partial \rho^{(1)}}{\partial t} + \rho^{(0)} \nabla \cdot \mathbf{v}^{(1)} = 0$$

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external source

Speed of sound: $c := \sqrt{P'(\rho_0)}$

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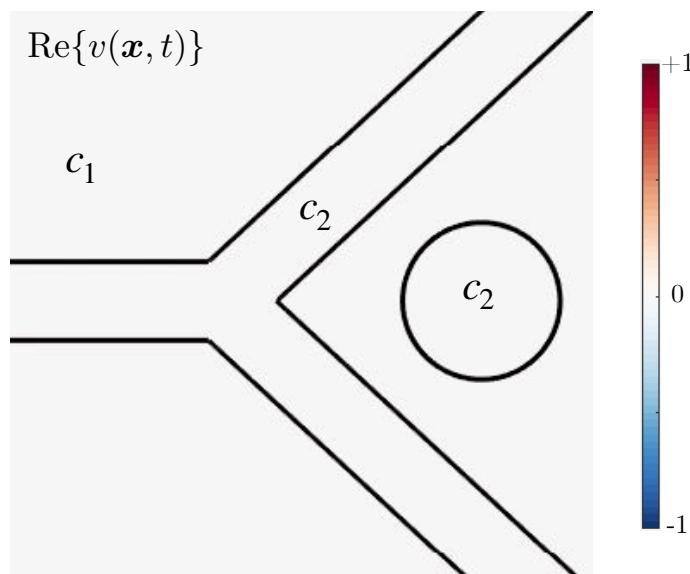
external source

(the velocity field $\mathbf{v}^{(1)}$ and the mass density $\rho^{(1)}$ also satisfy the wave equation)

Time-Harmonic Scalar Waves

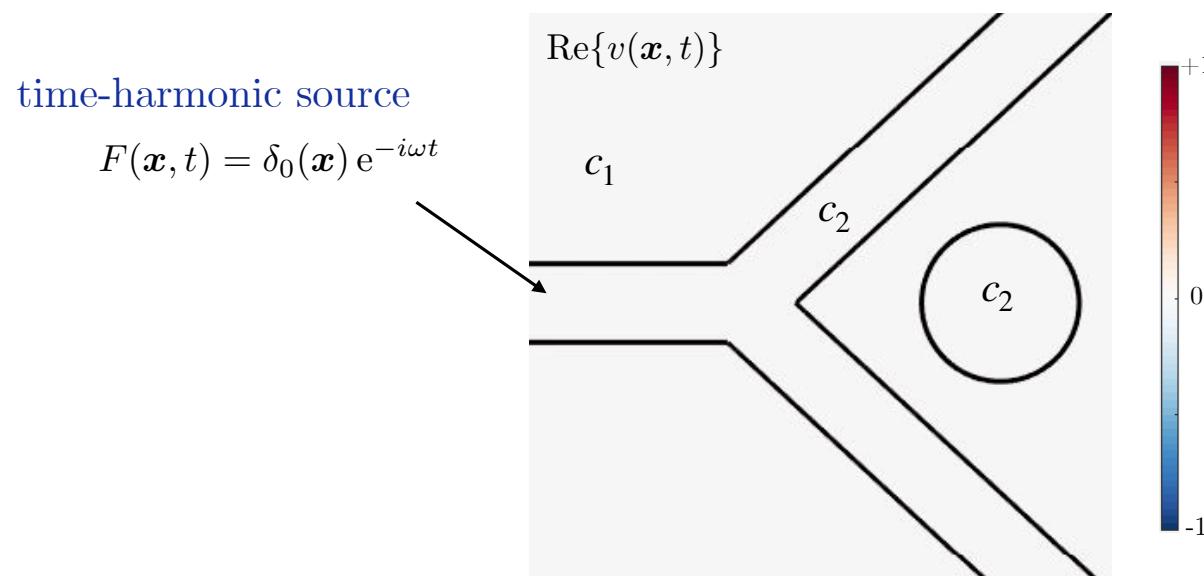
Forced wave equation: $\frac{\partial^2 v}{\partial t^2} - c^2 \Delta v = F(\mathbf{x}, t), \quad v(\mathbf{x}, 0) = v_t(\mathbf{x}, 0) = 0, \quad \mathbf{x} \in \mathbb{R}^d$

time-harmonic source



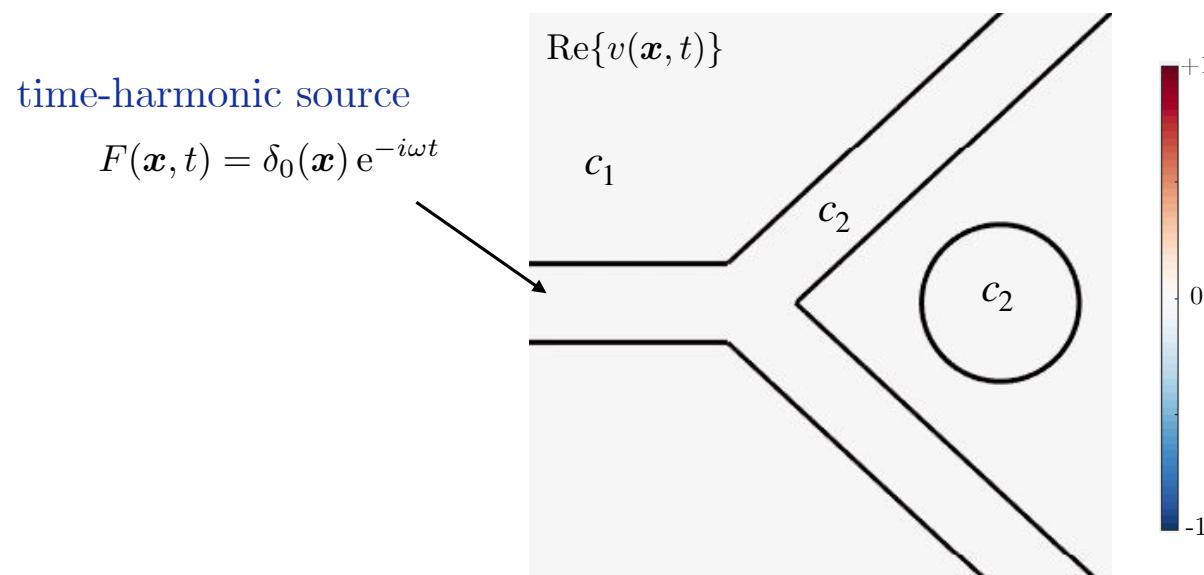
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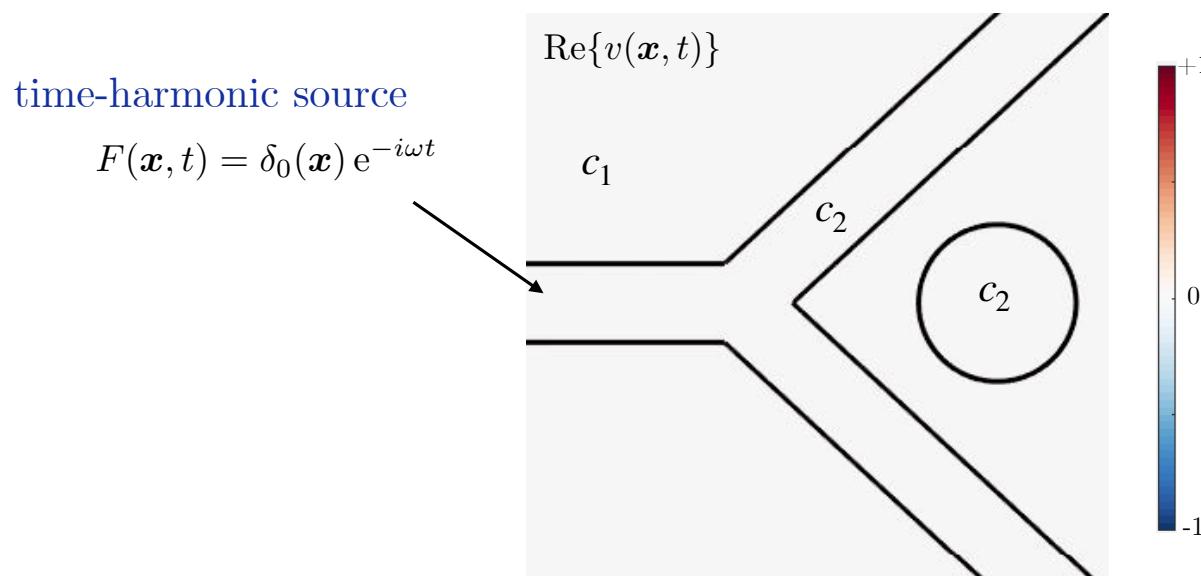
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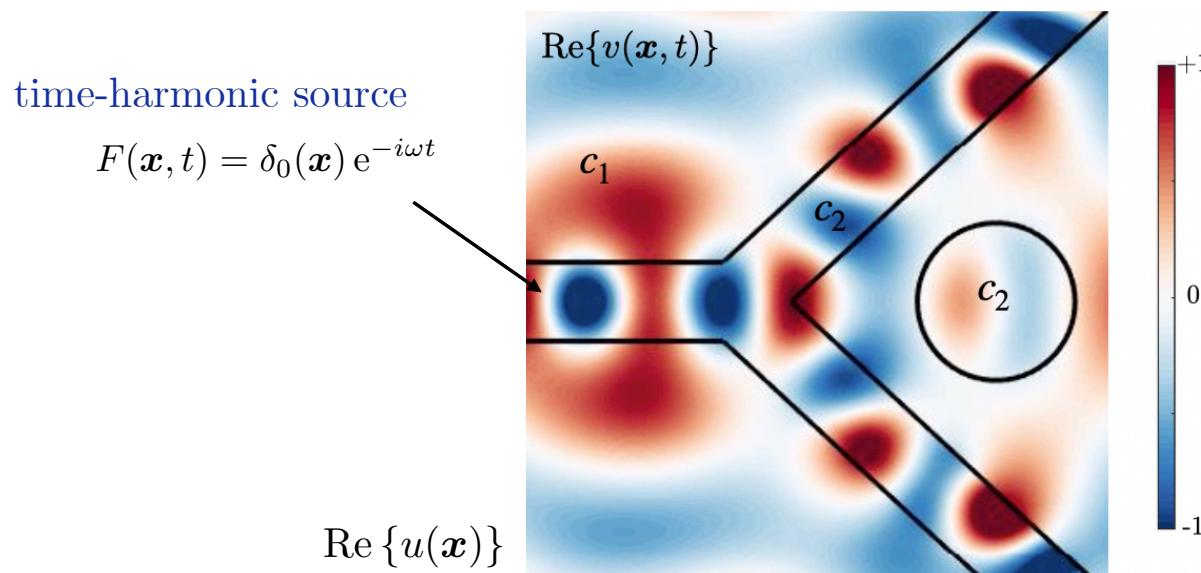
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Time-Harmonic Scalar Waves

Forced wave equation: $v(\mathbf{x}, t) = u(\mathbf{x}) e^{-i\omega t} + o(1)$ as $t \rightarrow \infty$



Labarca, I., Faria, L. M., & P-A, C. (2019). Convolution quadrature methods for time-domain scattering from unbounded penetrable interfaces. *Proceedings of the Royal Society A*, 475(2227), 20190029.

Time-Harmonic Scalar Waves: The Helmholtz Equation

Consider the wave equation with a harmonic source term:

$$\frac{\partial^2 v}{\partial t^2} - c^2 \Delta v = f(\mathbf{x}) e^{-i\omega t}, \quad v(\mathbf{x}, 0) = v_t(\mathbf{x}, 0) = 0, \quad \mathbf{x} \in \mathbb{R}^d \quad \begin{array}{l} \text{(angular frequency: } \omega > 0) \\ (c = \text{ constant}) \end{array}$$

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It can be shown that (under certain assumptions on f , e.g., $f \in C_0^\infty(\mathbb{R}^d)$)

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where u satisfies the **Helmholtz equation**: $\Delta u + k^2 u = f$ en \mathbb{R}^d

where $k = \frac{\omega}{c}$ is the **wavenumber** and ω is the angular frequency

The **Sommerfeld radiation condition** is needed: $\lim_{|\mathbf{x}| \rightarrow \infty} |\mathbf{x}|^{\frac{d-1}{2}} \left(\frac{\partial u}{\partial |\mathbf{x}|} - iku \right) = 0$

(uniformly in all directions $\frac{\mathbf{x}}{|\mathbf{x}|}$)

(to ensure waves travel from the source to infinity)

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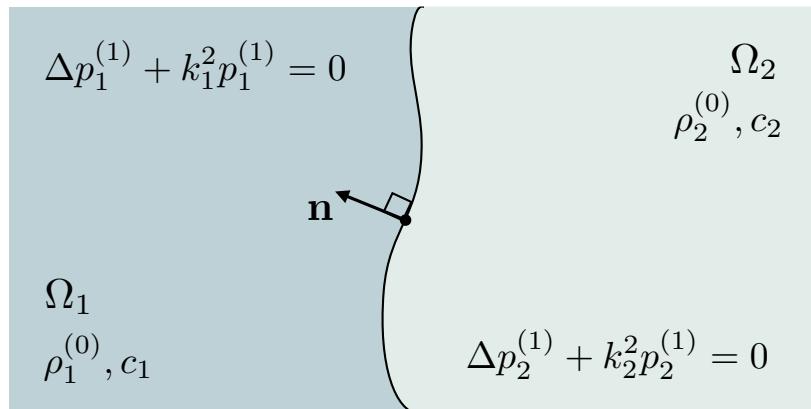
This result is known as the **limiting amplitude principle**

D. M. Eidus, *The principle of limiting amplitude*, Russian Mathematical Surveys, 24(2):97–167, 1969.

A.G. Ramm, *Scattering by Obstacles*. Vol. 21. Springer Science & Business Media, 1986.

Acoustic Transmission/Boundary Conditions

- Sound transmission between two fluids:

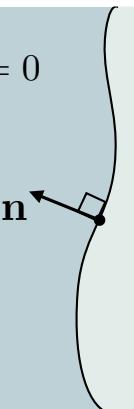


Two conditions:

1. Dynamic condition: $p_1^{(1)}\mathbf{n} = p_2^{(1)}\mathbf{n}$
(balance of normal stresses)
2. Kinematic condition: $\mathbf{v}_1^{(1)} \cdot \mathbf{n} = \mathbf{v}_2^{(1)} \cdot \mathbf{n}$
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$$\left. \begin{array}{l} \Delta p_1^{(1)} + k_1^2 p_1^{(1)} = 0 \\ \Omega_1 \\ \rho_1^{(0)}, c_1 \end{array} \right\} \quad \left. \begin{array}{l} \Omega_2 \\ \rho_2^{(0)}, c_2 \\ \Delta p_2^{(1)} + k_2^2 p_2^{(1)} = 0 \end{array} \right.$$


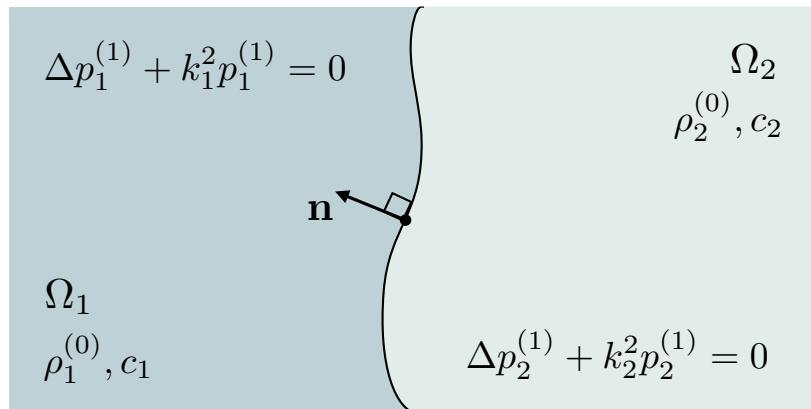
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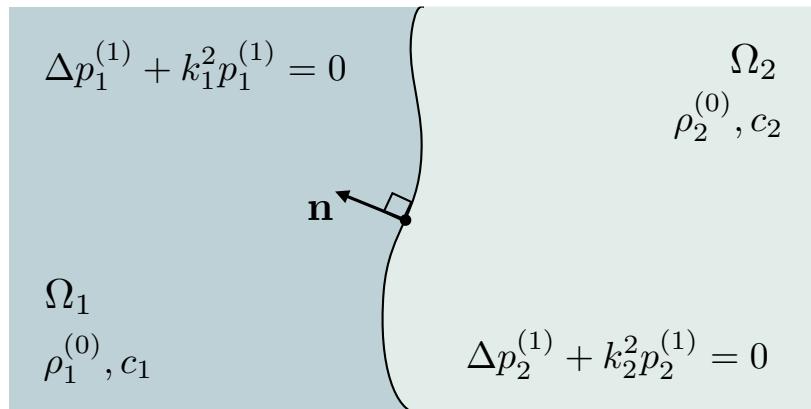
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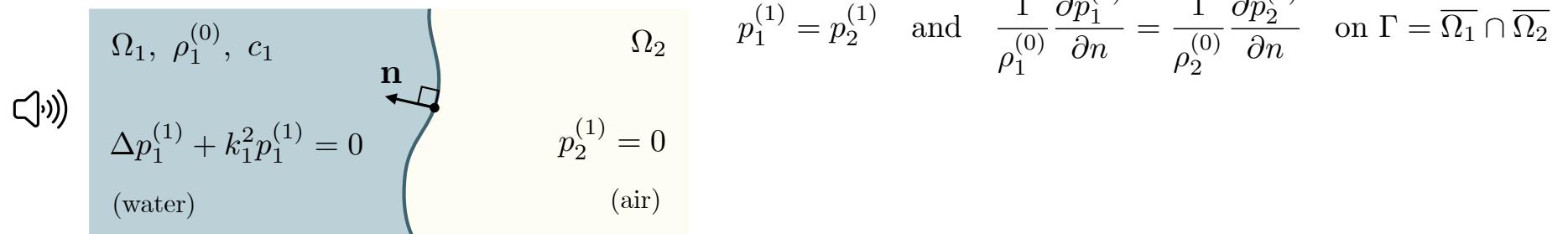
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normal derivative:
 $\frac{\partial u}{\partial n} := \mathbf{n} \cdot \nabla u$

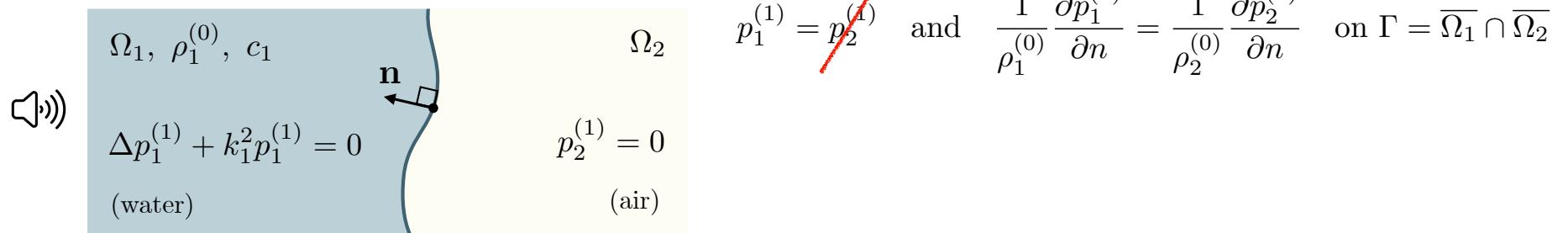
Acoustic Transmission/Boundary Conditions

- ♦ Sound-soft boundary condition



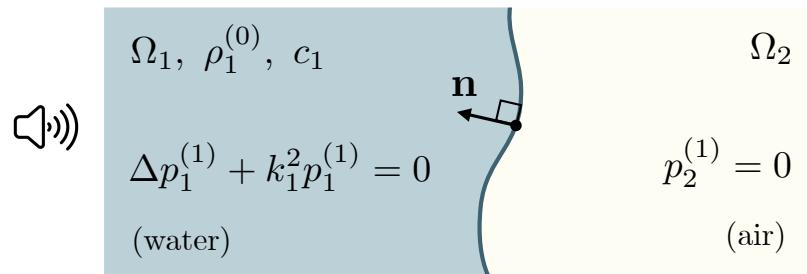
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Acoustic Transmission/Boundary Conditions

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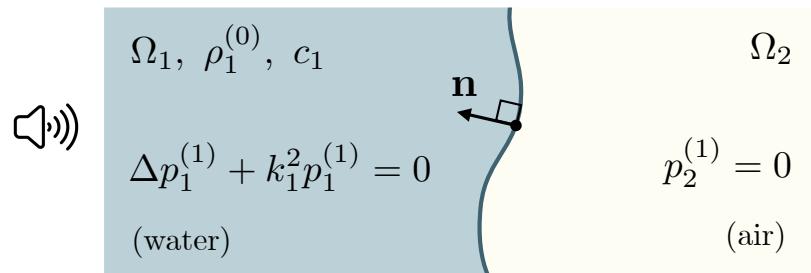


$$p_1^{(1)} = \cancel{p_2^{(1)}} \quad \text{and} \quad \frac{1}{\rho_1^{(0)}} \frac{\partial p_1^{(1)}}{\partial n} = \frac{1}{\rho_2^{(0)}} \frac{\partial p_2^{(1)}}{\partial n} \quad \text{on } \Gamma = \overline{\Omega_1} \cap \overline{\Omega_2}$$

Dirichlet boundary condition: $p_1^{(1)} = 0$ on Γ

Acoustic Transmission/Boundary Conditions

- Sound-soft boundary condition



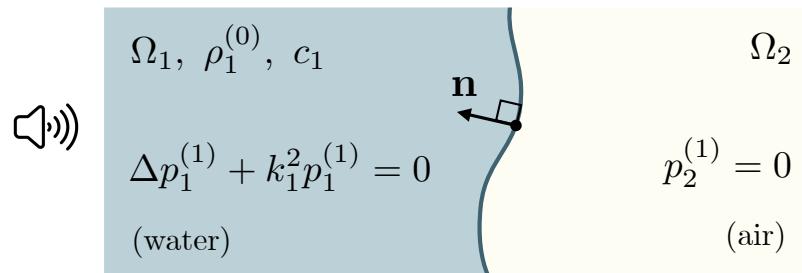
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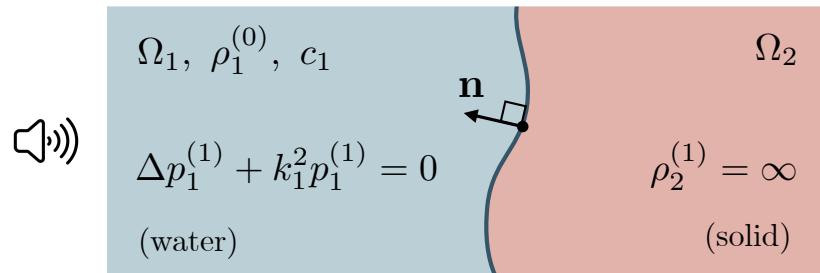


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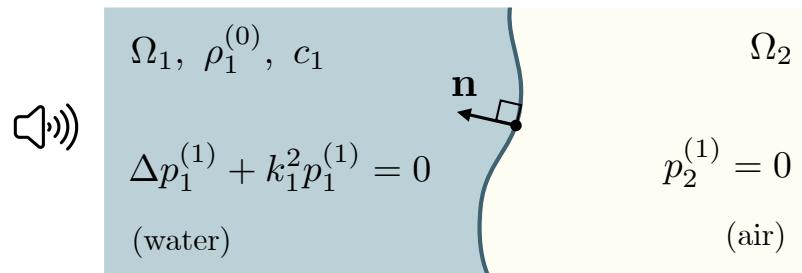
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Acoustic Transmission/Boundary Conditions

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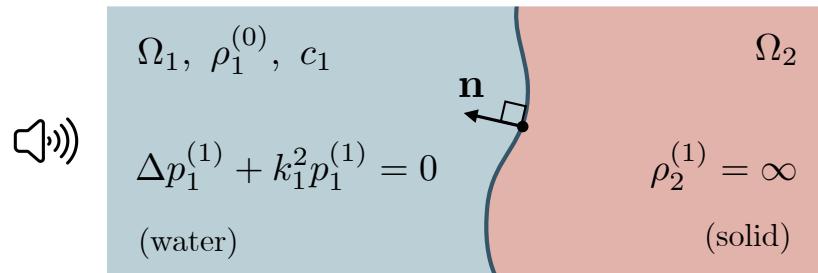


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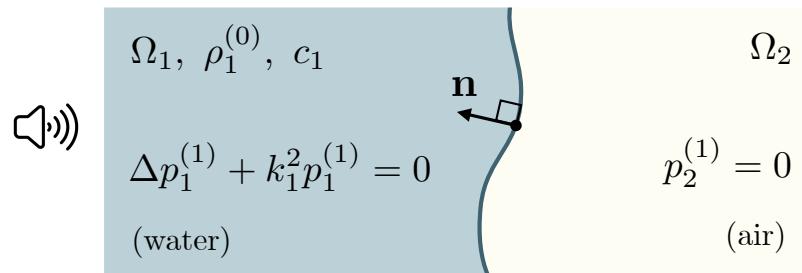
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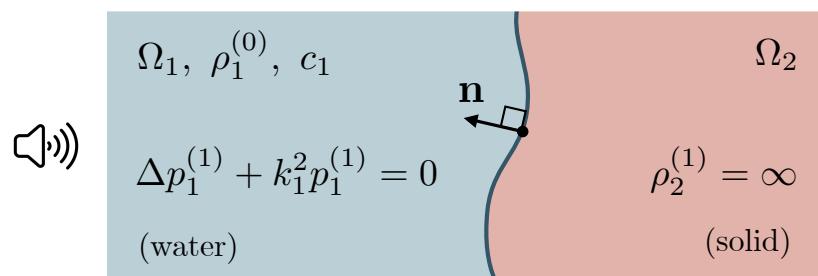


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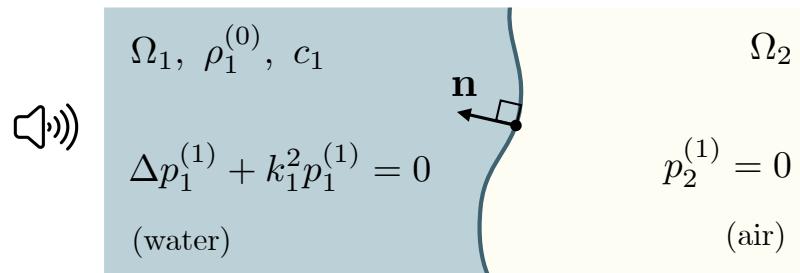
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Neumann boundary condition: $\frac{\partial p_1^{(1)}}{\partial n} = 0$ on Γ

Acoustic Transmission/Boundary Conditions

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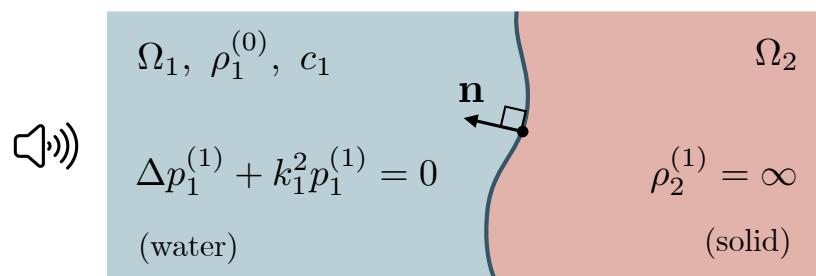


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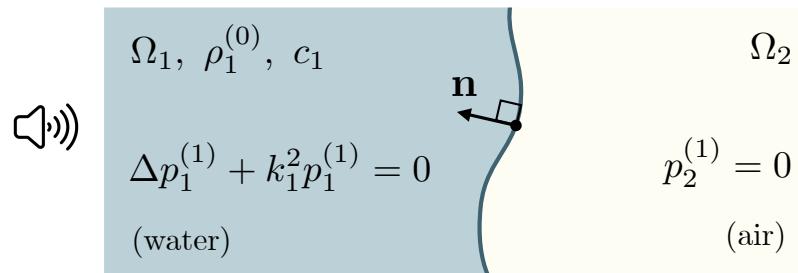


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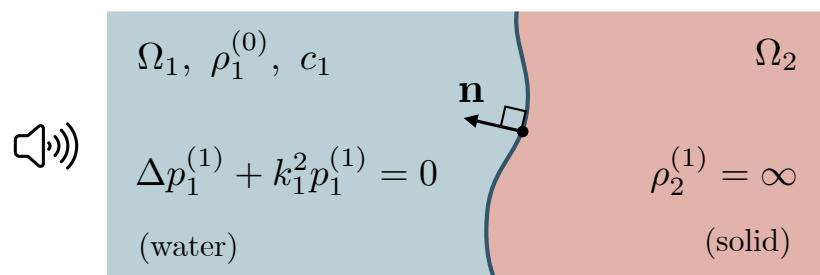


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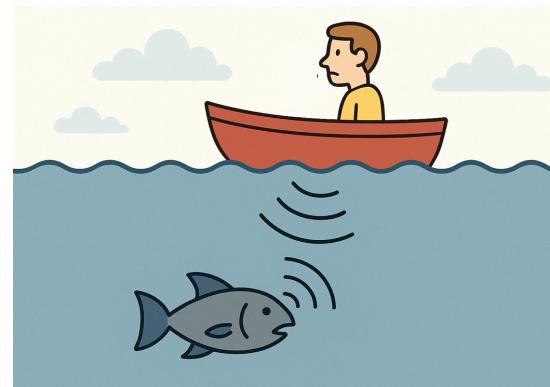
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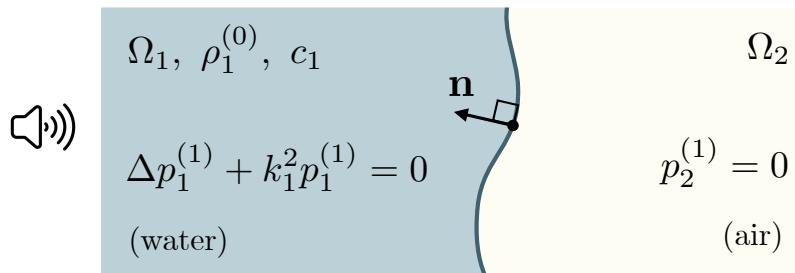
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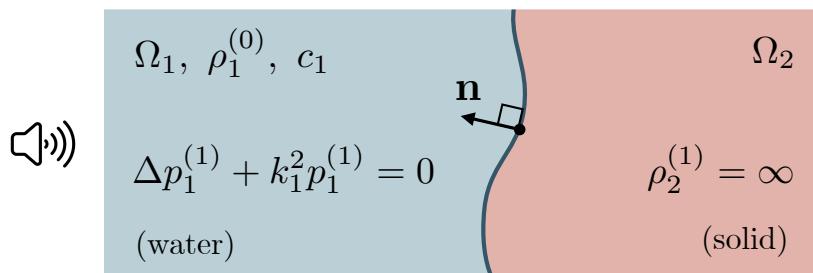


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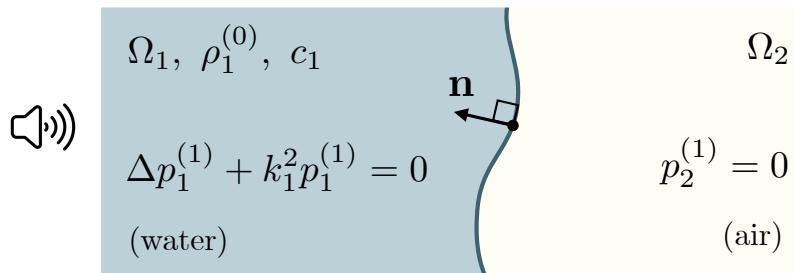
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- Impedance boundary condition: Somebody gives you the surface acoustic impedance: $Z := \frac{p_1^{(1)}}{\mathbf{v}_1^{(1)} \cdot \mathbf{n}}$.

$$\text{Substitute } \mathbf{v}_1^{(1)} \cdot \mathbf{n} \text{ in the momentum equation: } Z = \frac{p_1^{(1)}}{\frac{1}{i\omega\rho_1^{(0)}} \frac{\partial p_1^{(1)}}{\partial n}} = i\omega\rho_1^{(0)} \frac{p_1^{(1)}}{\frac{\partial p_1^{(1)}}{\partial n}}.$$

Acoustic Transmission/Boundary Conditions

- Sound-soft boundary condition

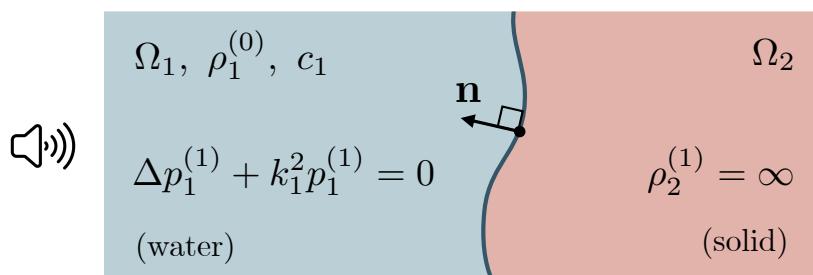


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... of course, reflections occur

- Impedance boundary condition:

Robin boundary condition: $\frac{\partial p_1^{(1)}}{\partial n} = \frac{i\omega \rho_1^{(0)}}{Z} p_1^{(1)}$ on Γ

... it approximates how **porous** or **layered materials** absorb sound energy rather than reflecting it completely (as in sound-soft) or not at all (as in sound-hard).

Electromagnetic waves

Electromagnetic Fields

Electric field: $\mathcal{E}(\mathbf{x}, t)$

Electric current density: $\mathcal{J}(\mathbf{x}, t)$

Magnetic field: $\mathcal{H}(\mathbf{x}, t)$

Magnetic induction: $\mathcal{B}(\mathbf{x}, t)$

Electric displacement field: $\mathcal{D}(\mathbf{x}, t)$

Charge density: $\varrho(\mathbf{x}, t)$

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♦ Fundamental laws:

$$\text{Charge conservation: } \frac{d}{dt} \int_V \varrho dV = - \int_S \mathcal{J} \cdot d\mathbf{S} \implies \nabla \cdot \mathcal{J} = - \frac{\partial \varrho}{\partial t}$$

(Green's theorem)

Electromagnetic Fields

Electric field: $\mathcal{E}(\mathbf{x}, t)$

Magnetic induction: $\mathcal{B}(\mathbf{x}, t)$

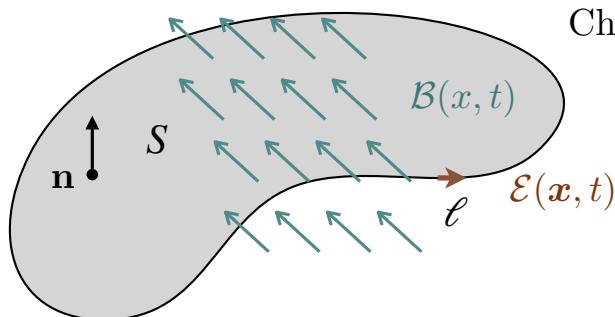
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$$\oint_{\ell} \mathcal{E} \cdot d\ell = - \frac{d}{dt} \int_S \mathcal{B} \cdot d\mathbf{S} \implies \nabla \times \mathcal{E} = - \frac{\partial \mathcal{B}}{\partial t}$$

(Stokes' theorem)

Electromagnetic Fields

Electric field: $\mathcal{E}(\mathbf{x}, t)$

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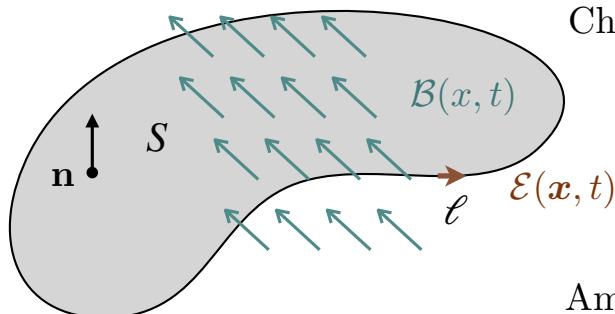
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Ampère's law: $\oint_{\ell} \mathcal{H} \cdot d\ell = \int_S \left(\mathcal{J} + \frac{\partial \mathcal{D}}{\partial t} \right) \cdot d\mathbf{S} \implies \nabla \times \mathcal{H} = \mathcal{J} + \frac{\partial \mathcal{D}}{\partial t}$
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Electromagnetic Fields

Electric field: $\mathcal{E}(\mathbf{x}, t)$

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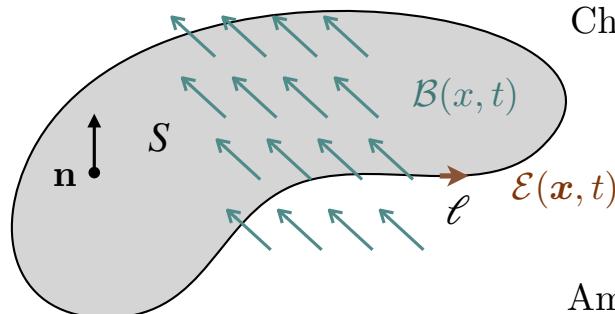
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- ♦ Constitutive relations (linear isotropic medium)

$$\mathcal{D} = \epsilon \mathcal{E} \quad \text{Electric permittivity (dielectric constant): } \epsilon$$

$$\mathcal{J} = \sigma \mathcal{E} \quad \text{Conductivity: } \sigma$$

$$\mathcal{B} = \mu \mathcal{H} \quad \text{Magnetic permeability: } \mu$$

Electromagnetic Waves



James Clerk Maxwell
1831-1879

Maxwell's equations

$$\left\{ \begin{array}{l} \nabla \times \mathcal{E} = -\mu \frac{\partial \mathcal{H}}{\partial t} \\ \nabla \times \mathcal{H} = \epsilon \frac{\partial \mathcal{E}}{\partial t} + \sigma \mathcal{E} + \mathcal{J}_0 \end{array} \right.$$

Electromagnetic Waves



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Solving for the magnetic field, we obtain

$$\nabla \times \nabla \times \mathcal{H} + \mu \epsilon \frac{\partial^2 \mathcal{H}}{\partial t^2} + \mu \sigma \frac{\partial \mathcal{H}}{\partial t} = \nabla \times \mathcal{J}_0.$$

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Then, using the identity $\Delta \mathcal{H} = \nabla(\nabla \cdot \mathcal{H}) - \nabla \times \nabla \times \mathcal{H}$ and noting that $\nabla \cdot \mathcal{H} = 0$, we obtain:

$$\frac{\partial^2 \mathcal{H}}{\partial t^2} + \frac{\sigma}{\epsilon} \frac{\partial \mathcal{H}}{\partial t} - \frac{1}{\mu \epsilon} \Delta \mathcal{H} = \frac{1}{\mu \epsilon} \nabla \times \mathcal{J}_0 \quad \left(\frac{\partial^2 \mathcal{H}}{\partial t^2} - \frac{1}{\mu \epsilon} \Delta \mathcal{H} = \frac{1}{\mu \epsilon} \nabla \times \mathcal{J}_0 \text{ when } \sigma = 0 \right)$$

where $c = 1/\sqrt{\mu \epsilon}$ is the **speed of light**.

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wave equation!

Electromagnetic Waves



James Clerk Maxwell
1831-1879

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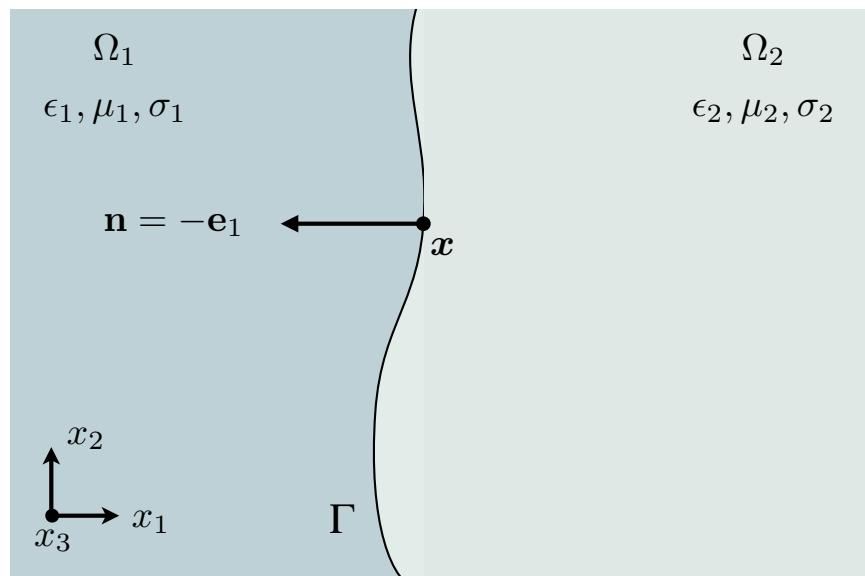
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Similarly:

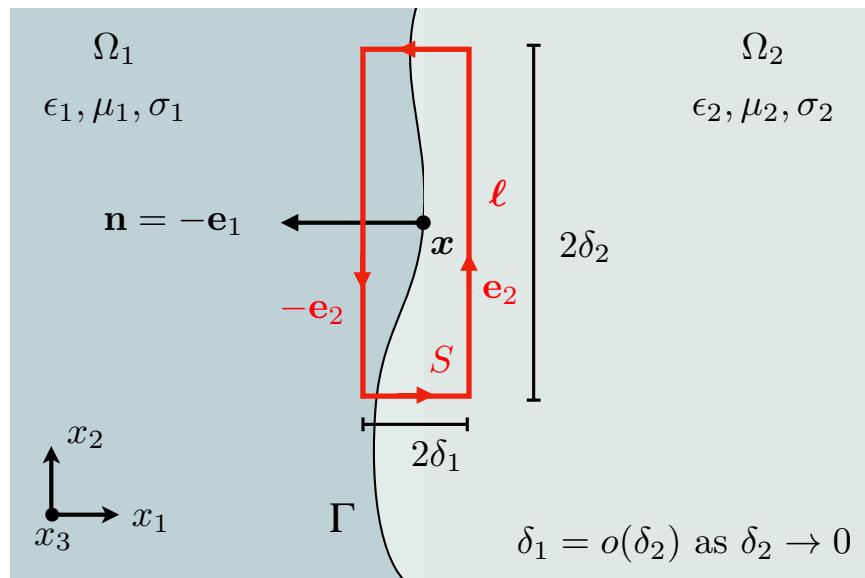
$$\frac{\partial^2 \mathcal{E}}{\partial t^2} + \frac{\sigma}{\epsilon} \frac{\partial \mathcal{E}}{\partial t} - \frac{1}{\mu \epsilon} \Delta \mathcal{E} = -\frac{1}{\epsilon} \frac{\partial \mathcal{J}_0}{\partial t}$$

wave equation!

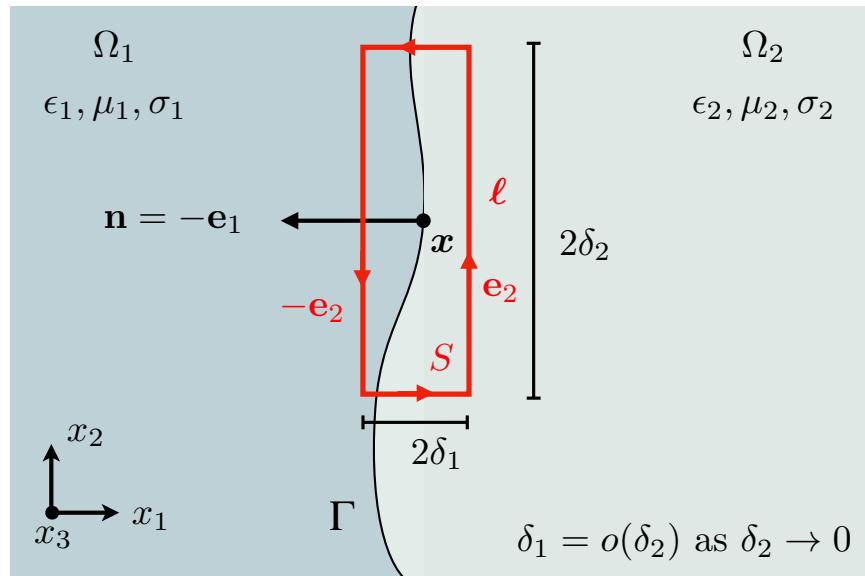
Electromagnetic Transmission/Boundary Conditions



Electromagnetic Transmission/Boundary Conditions



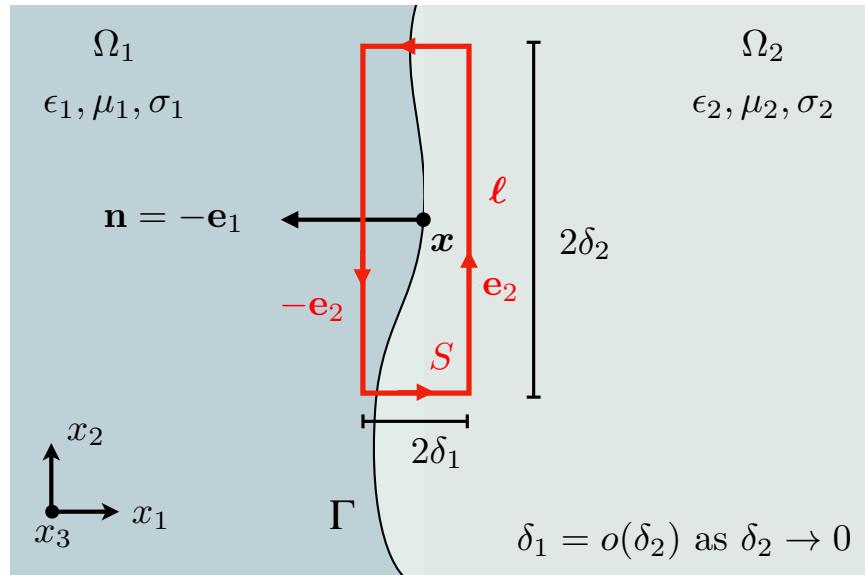
Electromagnetic Transmission/Boundary Conditions



Faraday's law:

$$\oint_{\ell} \mathcal{E} \cdot d\ell = - \frac{d}{dt} \int_S \mathcal{B} \cdot d\mathbf{S} = - \underbrace{\int_S \frac{\partial \mathcal{B}}{\partial t} \cdot d\mathbf{S}}_{O(\delta_1 \delta_2)}$$

Electromagnetic Transmission/Boundary Conditions



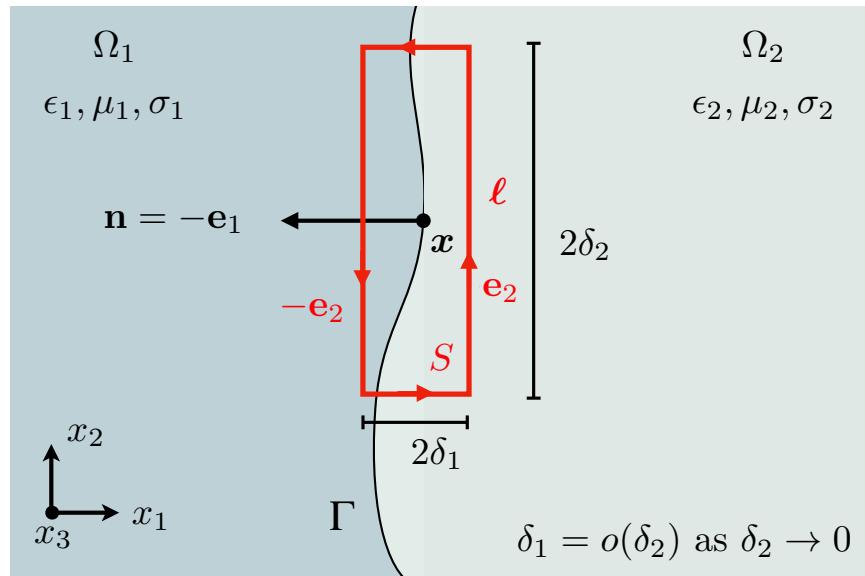
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$$\frac{1}{2\delta_2} \oint_{\ell} \mathcal{E} \cdot d\ell = \frac{1}{2\delta_2} \int_{x_2-\delta_2}^{x_2+\delta_2} (\mathcal{E}_2(x_1 + \delta_1, s, x_3) - \mathcal{E}_1(x_1 - \delta_1, s, x_3)) \cdot \mathbf{e}_2 ds$$

$$+ \underbrace{\frac{1}{2\delta_2} O(\delta_1)}_{o(1)} = \underbrace{\frac{1}{\delta_2} O(\delta_1 \delta_2)}_{o(\delta_2)} \quad \text{as } \delta_2 \rightarrow 0$$

Electromagnetic Transmission/Boundary Conditions



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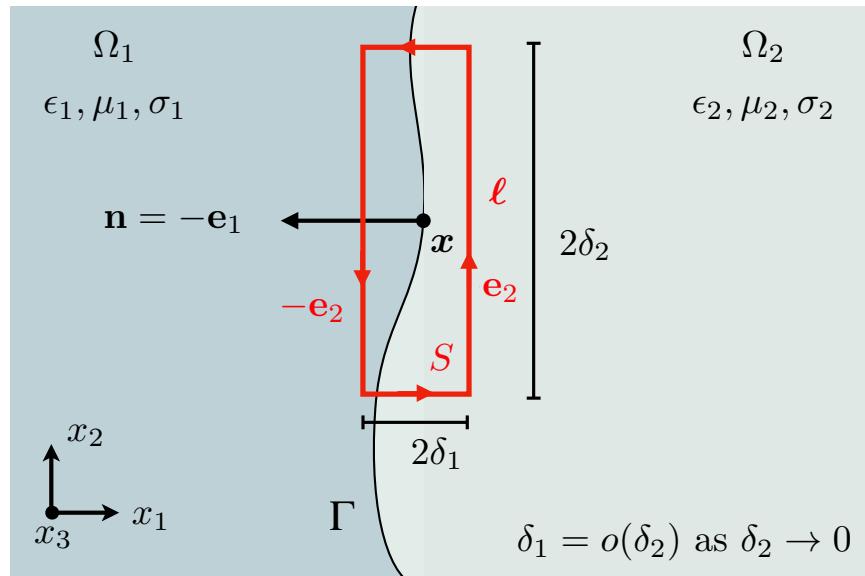
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taking the limit $\delta_2 \rightarrow 0$, we arrive at (using the FTC)

$$(\mathcal{E}_2(\mathbf{x}) - \mathcal{E}_1(\mathbf{x})) \cdot \mathbf{e}_2 = 0$$

Electromagnetic Transmission/Boundary Conditions



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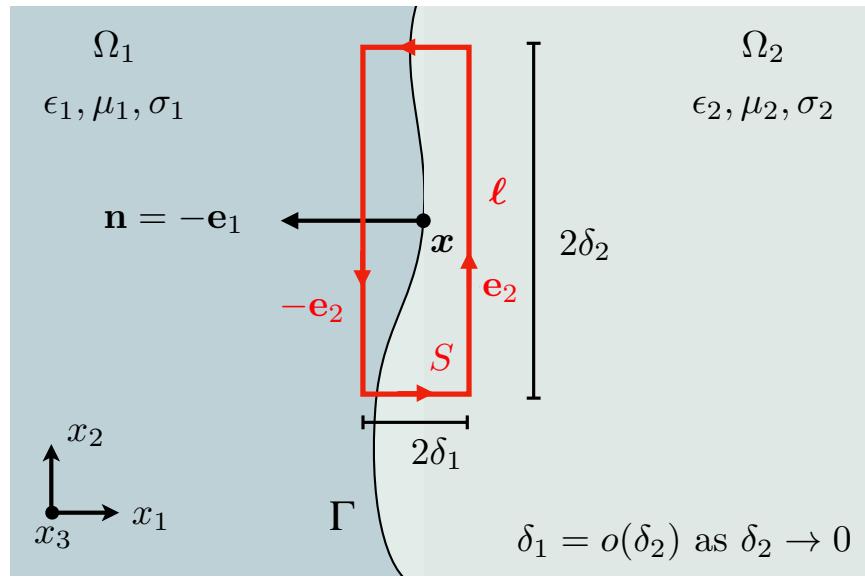
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$$(\mathcal{E}_2(\mathbf{x}) - \mathcal{E}_1(\mathbf{x})) \cdot \mathbf{e}_2 = 0$$

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Electromagnetic Transmission/Boundary Conditions



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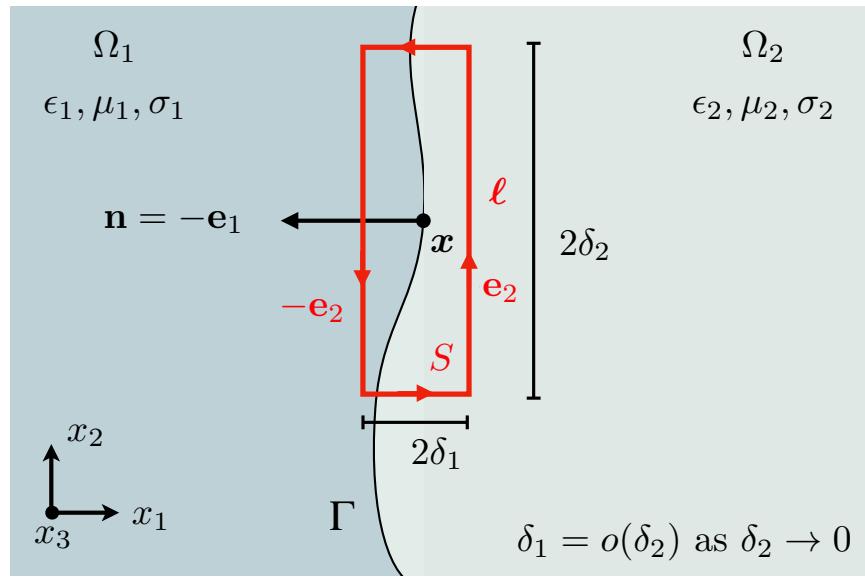
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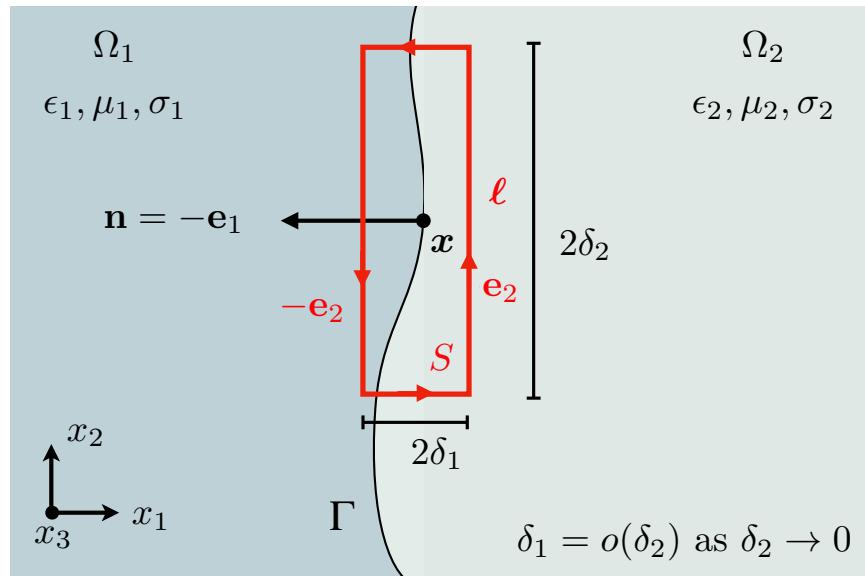
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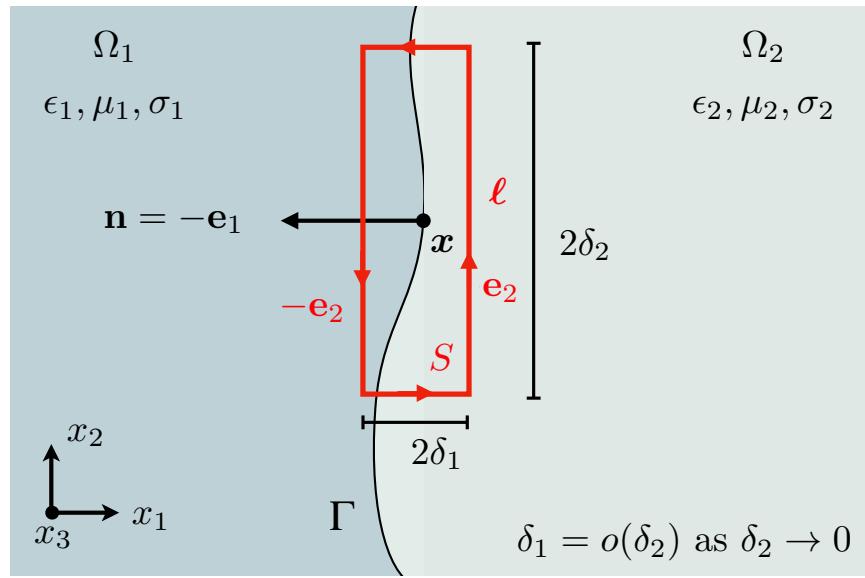
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Perfect conductor ($\sigma_2 = \infty$): $\mathbf{n} \times \mathcal{E}_1 = \mathbf{0}$ and $\mathbf{n} \cdot \mathcal{H}_1 = 0$

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Time-Harmonic Electromagnetic Waves

For time-harmonic fields: $\mathcal{E}(\mathbf{x}, t) = \operatorname{Re} \{\mathbf{E}(\mathbf{x}) e^{-i\omega t}\}$, $\mathcal{H}(\mathbf{x}, t) = \operatorname{Re} \{\mathbf{H}(\mathbf{x}) e^{-i\omega t}\}$, Maxwell's equations reduce to:

$$\text{time-harmonic Maxwell's equations} \quad \left\{ \begin{array}{l} \nabla \times \mathbf{E} - i\omega\mu\mathbf{H} = \mathbf{0} \\ \nabla \times \mathbf{H} + i\omega \left(\epsilon + i\frac{\sigma}{\omega} \right) \mathbf{E} = \mathbf{0} \end{array} \right.$$

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Since $\nabla \times \nabla \times \mathbf{E} = -\Delta \mathbf{E} + \nabla (\underbrace{\nabla \cdot \mathbf{E}}_{=0}) = -\Delta \mathbf{E}$ and $\nabla \times \nabla \times \mathbf{H} = -\Delta \mathbf{H}$, it follows that

$$\Delta \mathbf{E} + k^2 \mathbf{E} = \mathbf{0} \quad \text{and} \quad \Delta \mathbf{H} + k^2 \mathbf{H} = \mathbf{0}, \quad \text{where } k := \omega \sqrt{\mu\epsilon}$$

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Maxwell \Rightarrow Helmholtz,
no equivalence holds unless
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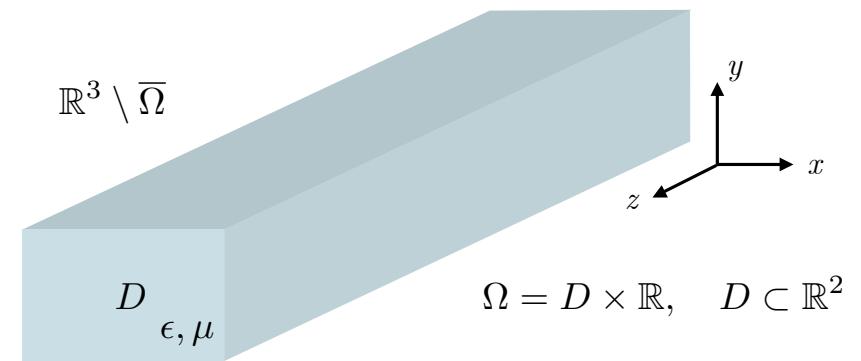
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As in the case of the Helmholtz equation, when solving problems in free space \mathbb{R}^d , $d = 2, 3$, without dissipation ($\sigma = 0$), it is necessary to impose radiation conditions:

$$\text{Silver-Müller radiation conditions} \quad \begin{cases} \lim_{|\mathbf{x}| \rightarrow \infty} |\mathbf{x}| \left| \sqrt{\epsilon} \mathbf{E} - \sqrt{\mu} \mathbf{H} \times \frac{\mathbf{x}}{|\mathbf{x}|} \right| = 0 \\ \lim_{|\mathbf{x}| \rightarrow \infty} |\mathbf{x}| \left| \sqrt{\mu} \mathbf{H} + \sqrt{\epsilon} \mathbf{E} \times \frac{\mathbf{x}}{|\mathbf{x}|} \right| = 0 \end{cases}$$

TE/TM Mode Decomposition of Maxwell's Equations

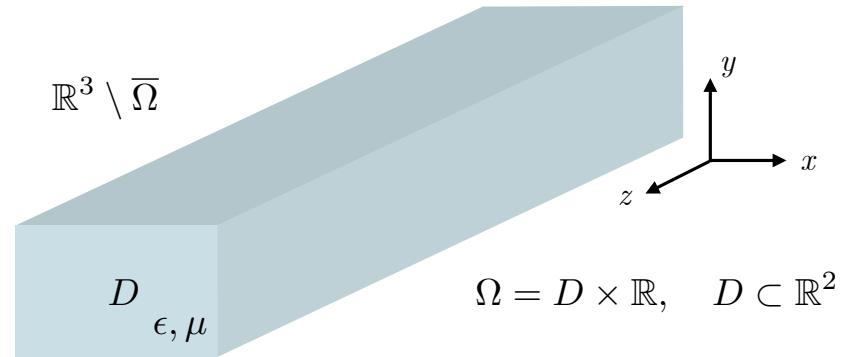


TE/TM Mode Decomposition of Maxwell's Equations

We look for solutions to Maxwell's equations of the form:

$$\mathbf{E}(x, y, z) = e^{i\beta z} [E_x(x, y), E_y(x, y), E_z(x, y)]$$

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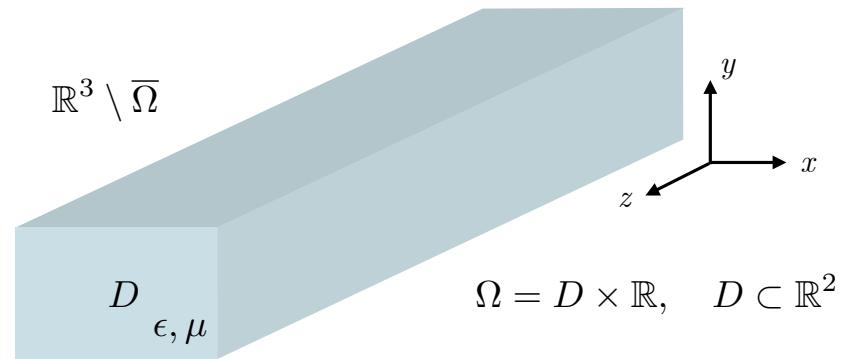
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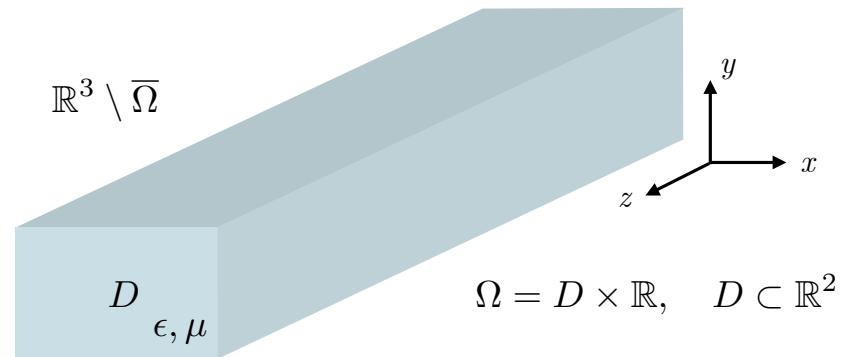
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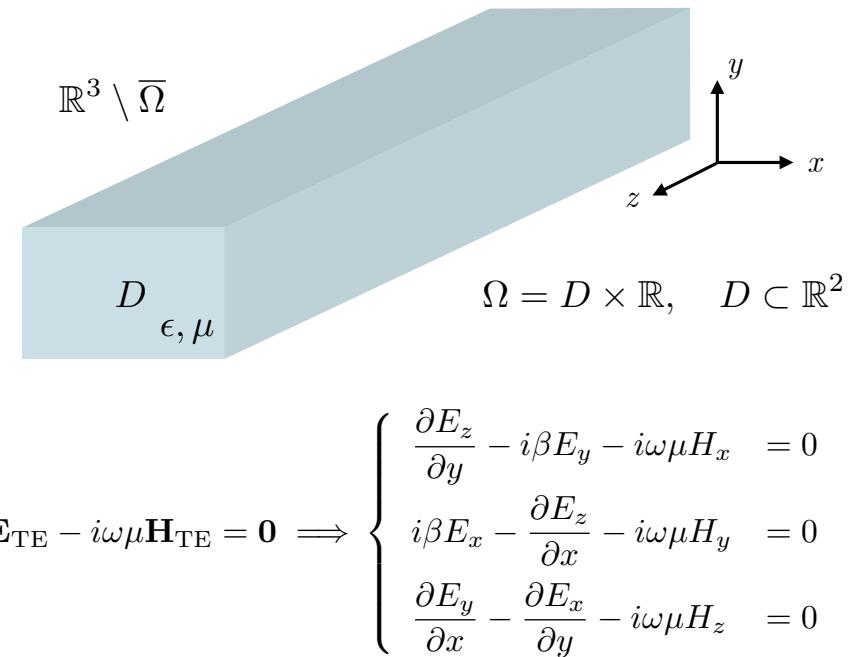
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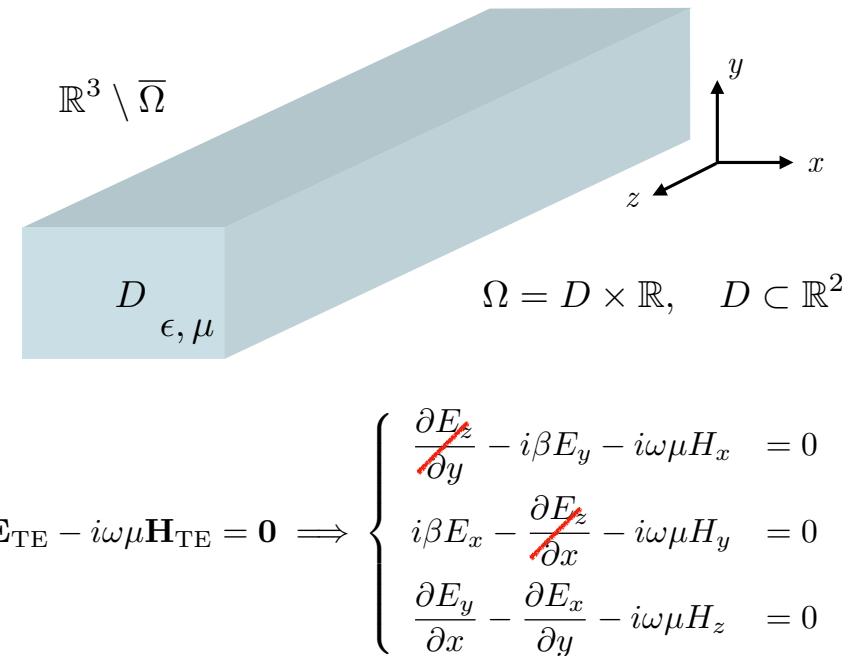
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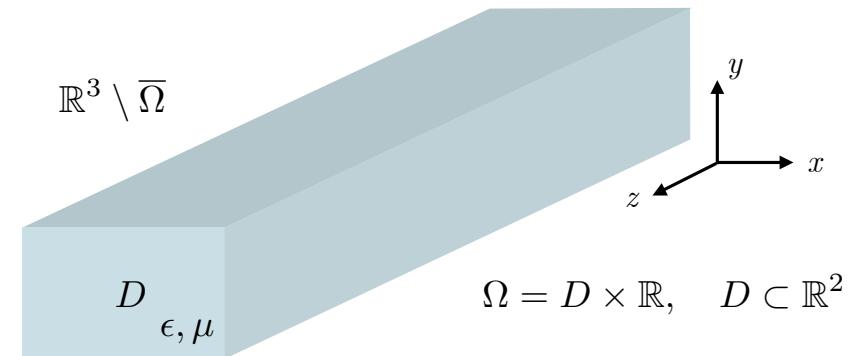
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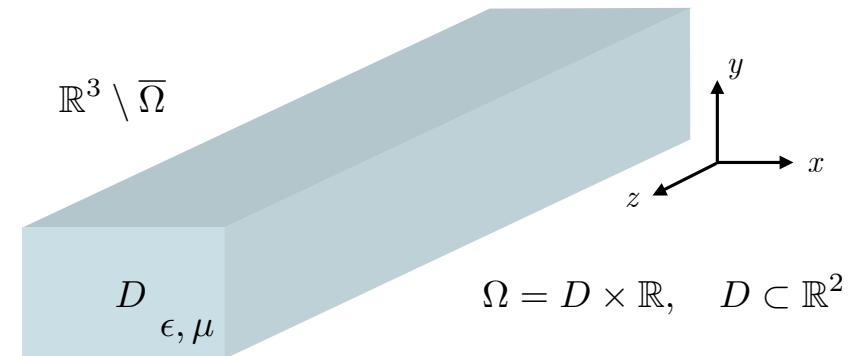
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$$E_x = -\frac{1}{i\omega\epsilon} \left(1 - \frac{\beta^2}{\omega^2\epsilon\mu} \right)^{-1} \frac{\partial H_z}{\partial y}, \quad H_y = \frac{\beta}{\omega\mu} E_x$$

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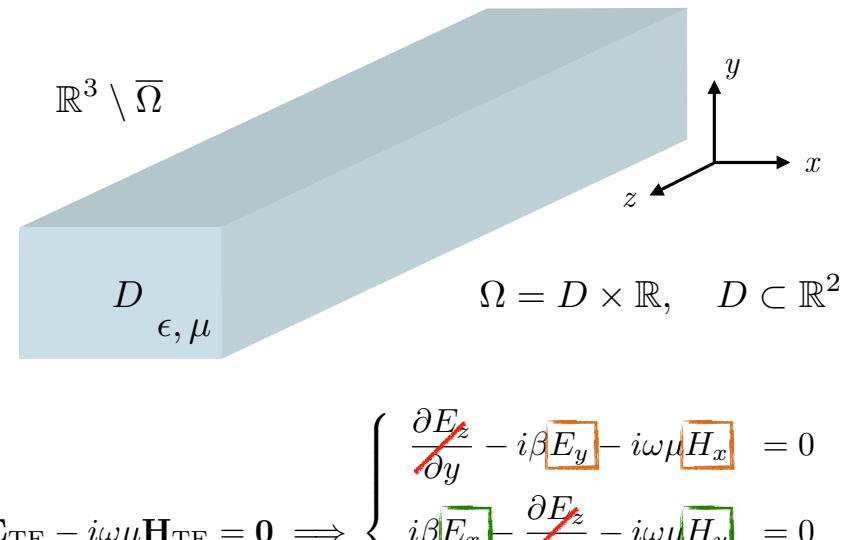
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We construct a solution by decomposing the fields as:

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$$\nabla \times \mathbf{H}_{\text{TE}} + i\omega\epsilon\mathbf{E}_{\text{TE}} = \mathbf{0} \implies \begin{cases} \frac{\partial H_z}{\partial y} - i\beta H_y + i\omega\epsilon E_x = 0 \\ i\beta H_x - \frac{\partial H_z}{\partial x} + i\omega\epsilon E_y = 0 \\ \frac{\partial H_y}{\partial x} - \frac{\partial H_x}{\partial y} + i\omega\epsilon E_z = 0 \end{cases}$$



$$E_x = -\frac{1}{i\omega\epsilon} \left(1 - \frac{\beta^2}{\omega^2\epsilon\mu} \right)^{-1} \frac{\partial H_z}{\partial y}, \quad H_y = \frac{\beta}{\omega\mu} E_x$$

$$H_x = -\frac{\beta}{\omega\mu} E_y$$

TE/TM Mode Decomposition of Maxwell's Equations

We look for solutions to Maxwell's equations of the form:

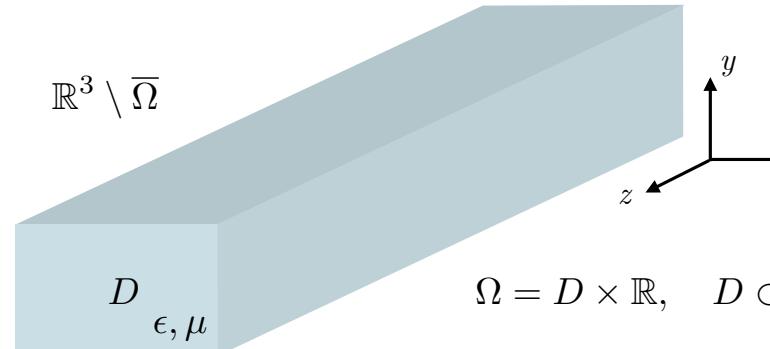
$$\mathbf{E}(x, y, z) = e^{i\beta z} [E_x(x, y), E_y(x, y), E_z(x, y)]$$

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$$\nabla \times \mathbf{H}_{\text{TE}} + i\omega\epsilon\mathbf{E}_{\text{TE}} = \mathbf{0} \implies \begin{cases} \frac{\partial H_z}{\partial y} - i\beta H_y + i\omega\epsilon E_x = 0 \\ i\beta H_x - \frac{\partial H_z}{\partial x} + i\omega\epsilon E_y = 0 \\ \frac{\partial H_y}{\partial x} - \frac{\partial H_x}{\partial y} + i\omega\epsilon E_z = 0 \end{cases}$$



$$\mathbb{R}^3 \setminus \bar{\Omega} \quad \Omega = D \times \mathbb{R}, \quad D \subset \mathbb{R}^2$$

$$\nabla \times \mathbf{E}_{\text{TE}} - i\omega\mu\mathbf{H}_{\text{TE}} = \mathbf{0} \implies \begin{cases} \frac{\partial E_z}{\partial y} - i\beta E_y - i\omega\mu H_x = 0 \\ i\beta E_x - \frac{\partial E_z}{\partial x} - i\omega\mu H_y = 0 \\ \frac{\partial E_y}{\partial x} - \frac{\partial E_x}{\partial y} - i\omega\mu H_z = 0 \end{cases}$$

$$E_x = -\frac{1}{i\omega\epsilon} \left(1 - \frac{\beta^2}{\omega^2\epsilon\mu}\right)^{-1} \frac{\partial H_z}{\partial y}, \quad H_y = \frac{\beta}{\omega\mu} E_x$$

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TE/TM Mode Decomposition of Maxwell's Equations

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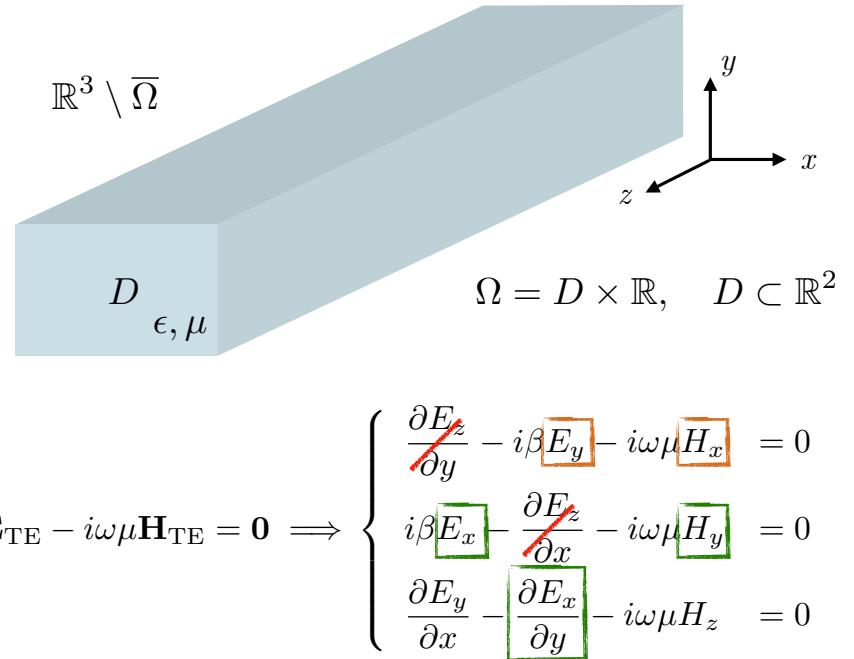
$$\mathbf{E}(x, y, z) = e^{i\beta z} [E_x(x, y), E_y(x, y), E_z(x, y)]$$

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We construct a solution by decomposing the fields as:

$$\mathbf{E} = \underbrace{\mathbf{E}_{\text{TE}}}_{E_z=0} + \underbrace{\mathbf{E}_{\text{TM}}}_{H_z=0} \quad \text{and} \quad \mathbf{H} = \underbrace{\mathbf{H}_{\text{TE}}}_{E_z=0} + \underbrace{\mathbf{H}_{\text{TM}}}_{H_z=0},$$

$$\nabla \times \mathbf{H}_{\text{TE}} + i\omega\epsilon\mathbf{E}_{\text{TE}} = \mathbf{0} \implies \begin{cases} \frac{\partial H_z}{\partial y} - i\beta H_y + i\omega\epsilon E_x = 0 \\ i\beta H_x - \frac{\partial H_z}{\partial x} + i\omega\epsilon E_y = 0 \\ \frac{\partial H_y}{\partial x} - \frac{\partial H_x}{\partial y} + i\omega\epsilon E_z = 0 \end{cases}$$



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TE/TM Mode Decomposition of Maxwell's Equations

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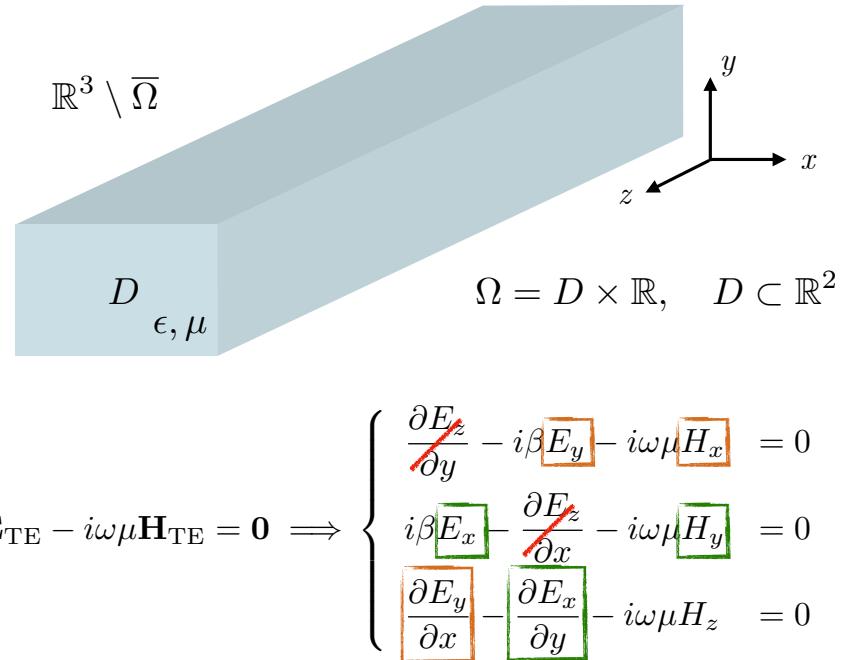
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We construct a solution by decomposing the fields as:

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$$E_x = -\frac{1}{i\omega\epsilon} \left(1 - \frac{\beta^2}{\omega^2\epsilon\mu}\right)^{-1} \frac{\partial H_z}{\partial y},$$

$$E_y = \frac{1}{i\omega\epsilon} \left(1 - \frac{\beta^2}{\omega^2\epsilon\mu}\right)^{-1} \frac{\partial H_z}{\partial x},$$

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TE/TM Mode Decomposition of Maxwell's Equations

We look for solutions to Maxwell's equations of the form:

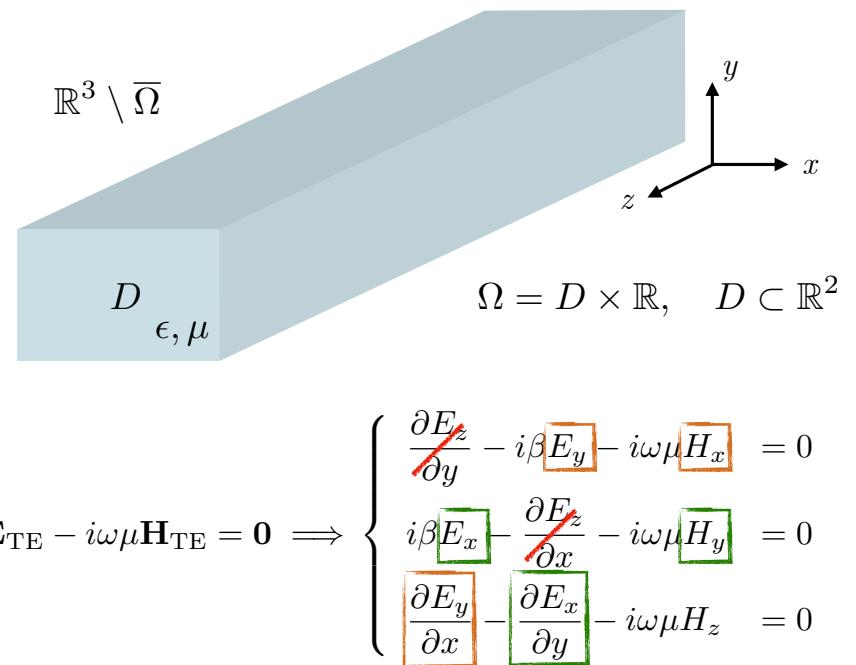
$$\mathbf{E}(x, y, z) = e^{i\beta z} [E_x(x, y), E_y(x, y), E_z(x, y)]$$

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$$\nabla \times \mathbf{H}_{\text{TE}} + i\omega\epsilon\mathbf{E}_{\text{TE}} = \mathbf{0} \implies \begin{cases} \frac{\partial H_z}{\partial y} - i\beta H_y + i\omega\epsilon E_x = 0 \\ i\beta H_x - \frac{\partial H_z}{\partial x} + i\omega\epsilon E_y = 0 \\ \frac{\partial H_y}{\partial x} - \frac{\partial H_x}{\partial y} + i\omega\epsilon E_z = 0 \end{cases}$$



solve: $\Delta H_z + (k^2 - \beta^2)H_z = 0$, and then

$$E_x = -\frac{1}{i\omega\epsilon} \left(1 - \frac{\beta^2}{\omega^2\epsilon\mu}\right)^{-1} \frac{\partial H_z}{\partial y}, \quad H_y = \frac{\beta}{\omega\mu} E_x$$

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Transverse Electric (TE) mode:

TE/TM Mode Decomposition of Maxwell's Equations

We look for solutions to Maxwell's equations of the form:

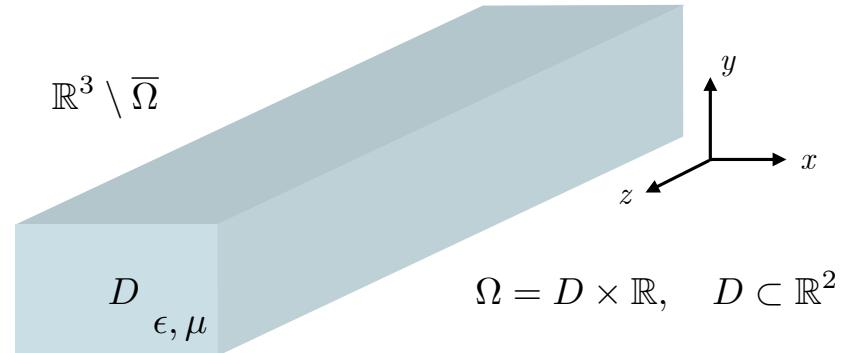
$$\mathbf{E}(x, y, z) = e^{i\beta z} [E_x(x, y), E_y(x, y), E_z(x, y)]$$

$$\mathbf{H}(x, y, z) = e^{i\beta z} [H_x(x, y), H_y(x, y), H_z(x, y)]$$

We construct a solution by decomposing the fields as:

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$$\nabla \times \mathbf{H}_{\text{TE}} + i\omega\epsilon\mathbf{E}_{\text{TE}} = \mathbf{0} \implies \begin{cases} \frac{\partial H_z}{\partial y} - i\beta H_y + i\omega\epsilon E_x = 0 \\ i\beta H_x - \frac{\partial H_z}{\partial x} + i\omega\epsilon E_y = 0 \\ \frac{\partial H_y}{\partial x} - \frac{\partial H_x}{\partial y} + i\omega\epsilon E_z = 0 \end{cases}$$



$$\Omega = D \times \mathbb{R}, \quad D \subset \mathbb{R}^2$$

$$D_{\epsilon, \mu}$$

$$\nabla \times \mathbf{E}_{\text{TE}} - i\omega\mu\mathbf{H}_{\text{TE}} = \mathbf{0} \implies \begin{cases} \frac{\partial E_z}{\partial y} - i\beta E_y - i\omega\mu H_x = 0 \\ i\beta E_x - \frac{\partial E_z}{\partial x} - i\omega\mu H_y = 0 \\ \frac{\partial E_y}{\partial x} - \frac{\partial E_x}{\partial y} - i\omega\mu H_z = 0 \end{cases}$$

Helmholtz equation
in 2D

solve: $\Delta H_z + (k^2 - \beta^2)H_z = 0$, and then

$$E_x = -\frac{1}{i\omega\epsilon} \left(1 - \frac{\beta^2}{\omega^2\epsilon\mu}\right)^{-1} \frac{\partial H_z}{\partial y}, \quad H_y = \frac{\beta}{\omega\mu} E_x$$

$$E_y = \frac{1}{i\omega\epsilon} \left(1 - \frac{\beta^2}{\omega^2\epsilon\mu}\right)^{-1} \frac{\partial H_z}{\partial x}, \quad H_x = -\frac{\beta}{\omega\mu} E_y$$

Transverse Electric (TE) mode:

TE/TM Mode Decomposition of Maxwell's Equations

We look for solutions to Maxwell's equations of the form:

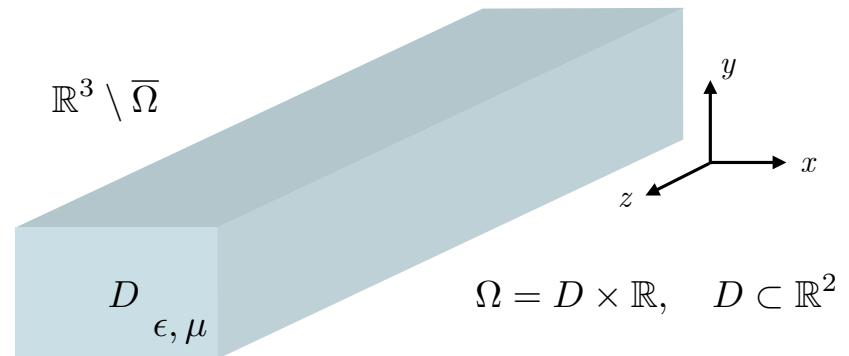
$$\mathbf{E}(x, y, z) = e^{i\beta z} [E_x(x, y), E_y(x, y), E_z(x, y)]$$

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We construct a solution by decomposing the fields as:

$$\mathbf{E} = \underbrace{\mathbf{E}_{\text{TE}}}_{E_z=0} + \boxed{\underbrace{\mathbf{E}_{\text{TM}}}_{H_z=0}} \quad \text{and} \quad \mathbf{H} = \underbrace{\mathbf{H}_{\text{TE}}}_{E_z=0} + \boxed{\underbrace{\mathbf{H}_{\text{TM}}}_{H_z=0}}$$

$$\nabla \times \mathbf{H}_{\text{TM}} + i\omega\epsilon\mathbf{E}_{\text{TM}} = \mathbf{0} \implies \begin{cases} \frac{\partial H_z}{\partial y} - i\beta H_y + i\omega\epsilon E_x = 0 \\ i\beta H_x - \frac{\partial H_z}{\partial x} + i\omega\epsilon E_y = 0 \\ \frac{\partial H_y}{\partial x} - \frac{\partial H_x}{\partial y} + i\omega\epsilon E_z = 0 \end{cases}$$



$$\Omega = D \times \mathbb{R}, \quad D \subset \mathbb{R}^2$$

$$\nabla \times \mathbf{E}_{\text{TM}} - i\omega\mu\mathbf{H}_{\text{TM}} = \mathbf{0} \implies \begin{cases} \frac{\partial E_z}{\partial y} - i\beta E_y - i\omega\mu H_x = 0 \\ i\beta E_x - \frac{\partial E_z}{\partial x} - i\omega\mu H_y = 0 \\ \frac{\partial E_y}{\partial x} - \frac{\partial E_x}{\partial y} - i\omega\mu \cancel{H_z} = 0 \end{cases}$$

solve: $\Delta E_z + (k^2 - \beta^2)E_z = 0$, and then

Transverse Magnetic (TM) mode:

$$H_x = \frac{1}{i\omega\epsilon} \left(1 - \frac{\beta^2}{\omega^2\epsilon\mu} \right)^{-1} \frac{\partial E_z}{\partial y}, \quad E_y = -\frac{\beta}{\omega\mu} H_x$$

$$H_y = -\frac{1}{i\omega\epsilon} \left(1 - \frac{\beta^2}{\omega^2\epsilon\mu} \right)^{-1} \frac{\partial E_z}{\partial x}, \quad E_x = \frac{\beta}{\omega\mu} H_y$$

TE/TM Mode Decomposition of Maxwell's Equations

We look for solutions to Maxwell's equations of the form:

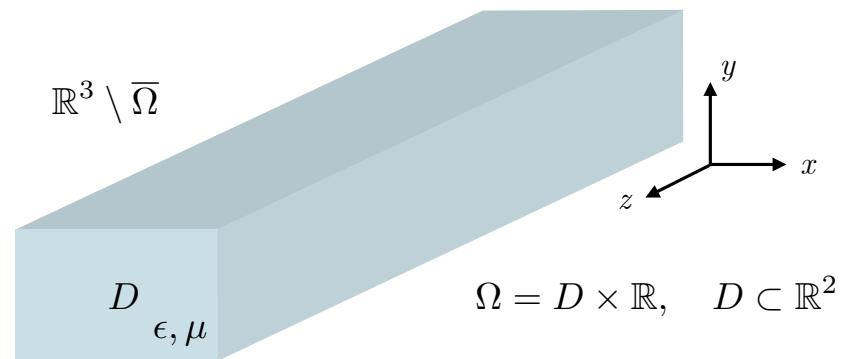
$$\mathbf{E}(x, y, z) = e^{i\beta z} [E_x(x, y), E_y(x, y), E_z(x, y)]$$

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$$\nabla \times \mathbf{H}_{\text{TM}} + i\omega\epsilon\mathbf{E}_{\text{TM}} = \mathbf{0} \implies \begin{cases} \frac{\partial H_z}{\partial y} - i\beta H_y + i\omega\epsilon E_x = 0 \\ i\beta H_x - \frac{\partial H_z}{\partial x} + i\omega\epsilon E_y = 0 \\ \frac{\partial H_y}{\partial x} - \frac{\partial H_x}{\partial y} + i\omega\epsilon E_z = 0 \end{cases}$$



$$\Omega = D \times \mathbb{R}, \quad D \subset \mathbb{R}^2$$

$$D_{\epsilon, \mu}$$

$$\nabla \times \mathbf{E}_{\text{TM}} - i\omega\mu\mathbf{H}_{\text{TM}} = \mathbf{0} \implies \begin{cases} \frac{\partial E_z}{\partial y} - i\beta E_y - i\omega\mu H_x = 0 \\ i\beta E_x - \frac{\partial E_z}{\partial x} - i\omega\mu H_y = 0 \\ \frac{\partial E_y}{\partial x} - \frac{\partial E_x}{\partial y} - i\omega\mu H_z = 0 \end{cases}$$

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in 2D

solve: $\Delta E_z + (k^2 - \beta^2)E_z = 0$, and then

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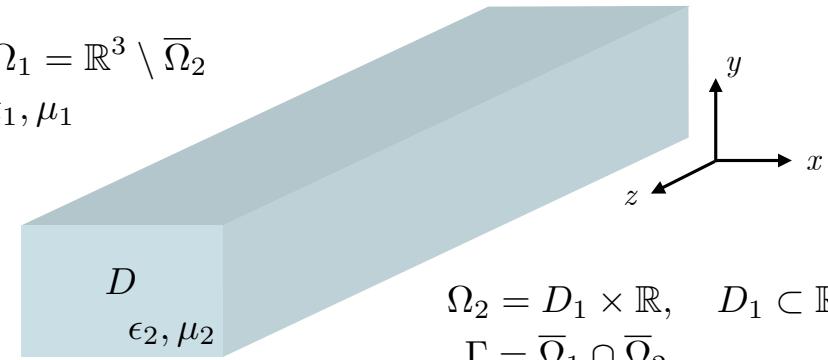
Transverse Magnetic (TM) mode:

TE/TM Mode Decomposition of Maxwell's Equations

Consider the transmission problem:

$$\begin{aligned}\nabla \times \mathbf{H}_j + i\omega\epsilon_j \mathbf{E}_j &= \mathbf{0} \quad \text{in } \Omega_j, \\ \nabla \times \mathbf{E}_j - i\omega\mu_j \mathbf{H}_j &= \mathbf{0} \quad \text{in } \Omega_j, \quad j = 1, 2 \\ \mathbf{n} \times (\mathbf{E}_2 - \mathbf{E}_1) &= \mathbf{0} \quad \text{on } \Gamma, \\ \mathbf{n} \times (\mathbf{H}_2 - \mathbf{H}_1) &= \mathbf{0} \quad \text{on } \Gamma.\end{aligned}$$

$$\begin{aligned}\Omega_1 &= \mathbb{R}^3 \setminus \overline{\Omega}_2 \\ \epsilon_1, \mu_1 &\end{aligned}$$



$$\begin{aligned}\Omega_2 &= D_1 \times \mathbb{R}, \quad D_1 \subset \mathbb{R}^2 \\ \Gamma &= \overline{\Omega}_1 \cap \overline{\Omega}_2\end{aligned}$$

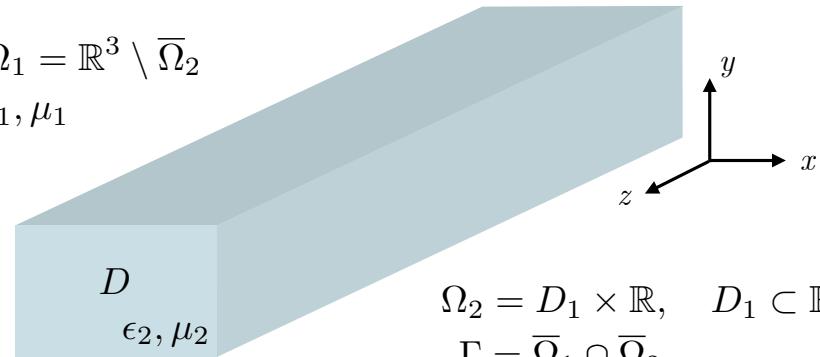
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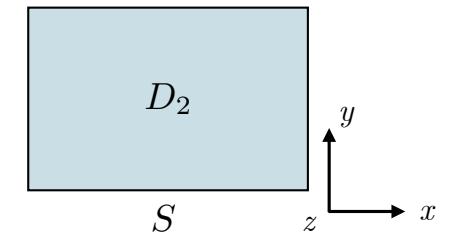


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The problem reduces to a problem in \mathbb{R}^2 for the (scalar) Helmholtz equation.

$$\begin{aligned}\Delta H_{j,z} + (k_j^2 - \beta^2) H_{j,z} &= 0 \quad \text{in } D_j, \\ \Delta E_{j,z} + (k_j^2 - \beta^2) E_{j,z} &= 0 \quad \text{in } D_j, \\ k_j &= \omega\sqrt{\epsilon_j\mu_j}, \quad j = 1, 2\end{aligned}$$

$$D_1 = \mathbb{R}^2 \setminus \overline{D}_2$$



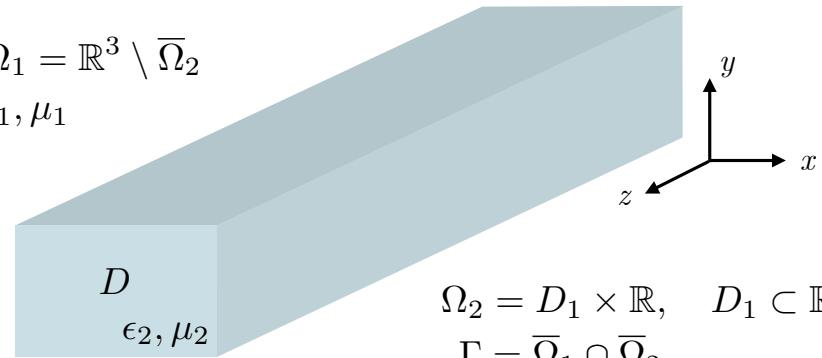
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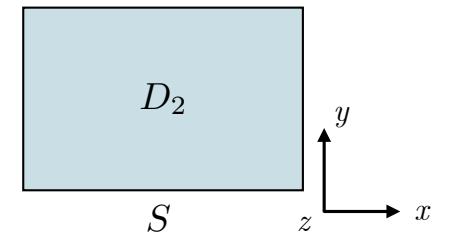


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$$D_1 = \mathbb{R}^2 \setminus \overline{D}_2$$



with transmission conditions across $S = \overline{D}_1 \cap \overline{D}_2$:

$$\begin{aligned}E_{2z} &= E_{1z}, \quad a_2^E \frac{\partial H_{2z}}{\partial n} + b_2 \frac{\partial E_{2z}}{\partial \tau} = a_1^E \frac{\partial H_{1z}}{\partial n} + b_1 \frac{\partial E_{1z}}{\partial \tau}, \quad b_j = \frac{\beta}{\omega(k_j^2 - \beta^2)}, \\ H_{2z} &= H_{1z}, \quad a_2^H \frac{\partial E_{2z}}{\partial n} - b_2 \frac{\partial H_{2z}}{\partial \tau} = a_1^H \frac{\partial E_{1z}}{\partial n} - b_1 \frac{\partial H_{1z}}{\partial \tau}, \quad a_j^E = \frac{\mu_j}{k_j^2 - \beta^2}, \quad a_j^H = \frac{\epsilon_j}{k_j^2 - \beta^2}\end{aligned}$$

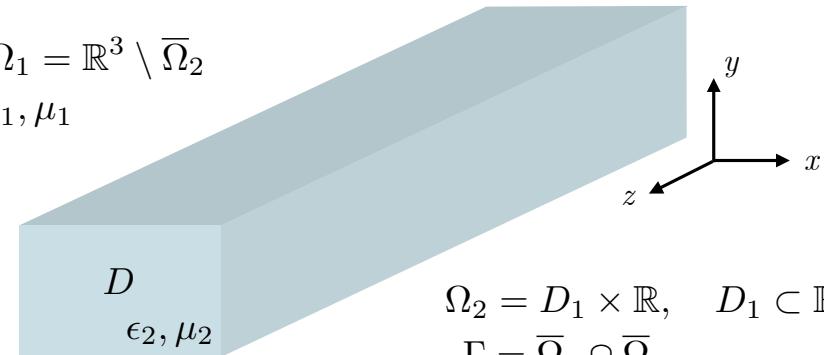
TE/TM Mode Decomposition of Maxwell's Equations

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$$\Omega_1 = \mathbb{R}^3 \setminus \overline{\Omega}_2$$

$$\epsilon_1, \mu_1$$

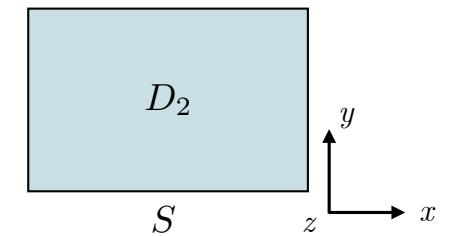


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with transmission conditions across $S = \overline{D}_1 \cap \overline{D}_2$:

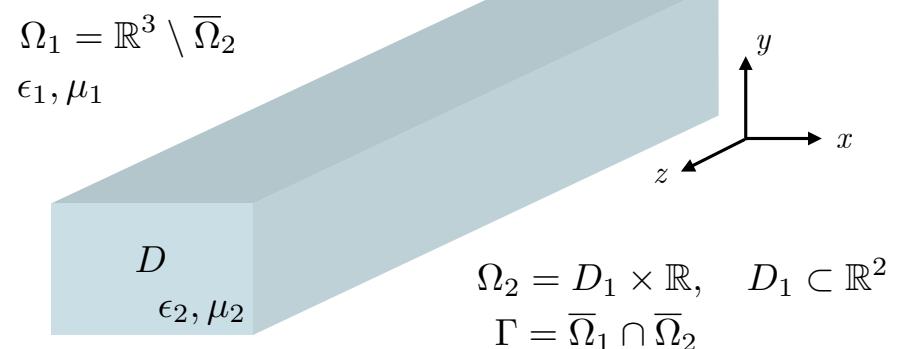
$$\begin{aligned}E_{2z} &= E_{1z}, \quad a_2^E \frac{\partial H_{2z}}{\partial n} + b_2 \frac{\partial E_{2z}}{\partial \tau} = a_1^E \frac{\partial H_{1z}}{\partial n} + b_1 \frac{\partial E_{1z}}{\partial \tau}, \quad b_j = \frac{\beta}{\omega(k_j^2 - \beta^2)}, \\ H_{2z} &= H_{1z}, \quad a_2^H \frac{\partial E_{2z}}{\partial n} - b_2 \frac{\partial H_{2z}}{\partial \tau} = a_1^H \frac{\partial E_{1z}}{\partial n} - b_1 \frac{\partial H_{1z}}{\partial \tau}, \quad a_j^E = \frac{\mu_j}{k_j^2 - \beta^2}, \quad a_j^H = \frac{\epsilon_j}{k_j^2 - \beta^2}\end{aligned}$$

tangential derivatives

TE/TM Mode Decomposition of Maxwell's Equations

Consider the transmission problem:

$$\begin{aligned} \nabla \times \mathbf{H}_j + i\omega\epsilon_j \mathbf{E}_j &= \mathbf{0} \quad \text{in } \Omega_j, \\ \nabla \times \mathbf{E}_j - i\omega\mu_j \mathbf{H}_j &= \mathbf{0} \quad \text{in } \Omega_j, \quad j = 1, 2 \\ \mathbf{n} \times (\mathbf{E}_2 - \mathbf{E}_1) &= \mathbf{0} \quad \text{on } \Gamma, \\ \mathbf{n} \times (\mathbf{H}_2 - \mathbf{H}_1) &= \mathbf{0} \quad \text{on } \Gamma. \end{aligned}$$



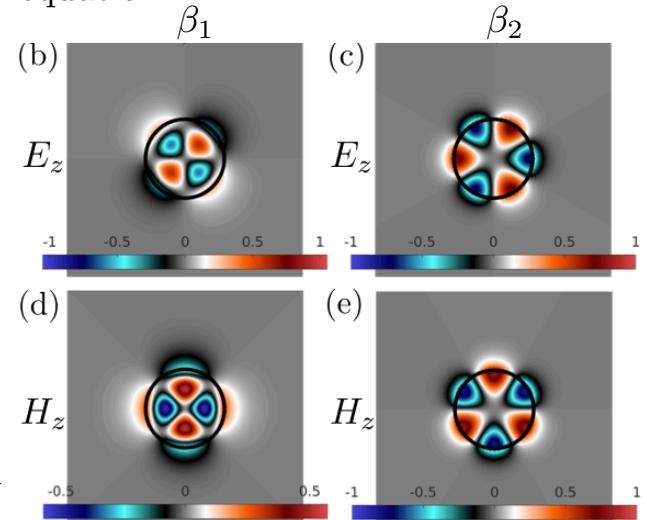
The problem reduces to a problem in \mathbb{R}^2 for the (scalar) Helmholtz equation.

$$\begin{aligned} \Delta H_{j,z} + (k_j^2 - \beta^2) H_{j,z} &= 0 \quad \text{in } D_j, \\ \Delta E_{j,z} + (k_j^2 - \beta^2) E_{j,z} &= 0 \quad \text{in } D_j, \\ k_j &= \omega\sqrt{\epsilon_j\mu_j}, \quad j = 1, 2 \end{aligned}$$

with transmission conditions across $S = \overline{D}_1 \cap \overline{D}_2$:

When $\epsilon_1 < \epsilon_2$, it can be shown that there exists a **finite number** of real values $\beta_\ell \in \mathbb{R}$ for which nontrivial solutions to the homogeneous problem exist.

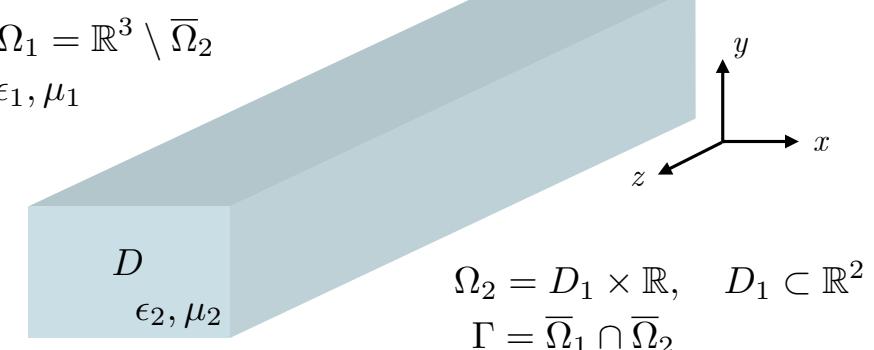
guided modes



TE/TM Mode Decomposition of Maxwell's Equations

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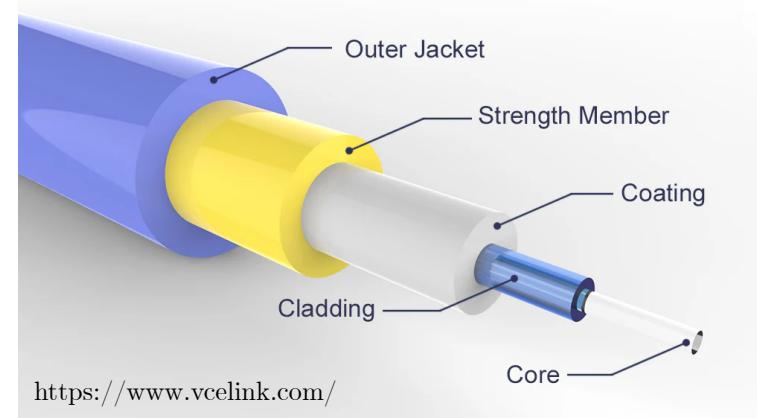
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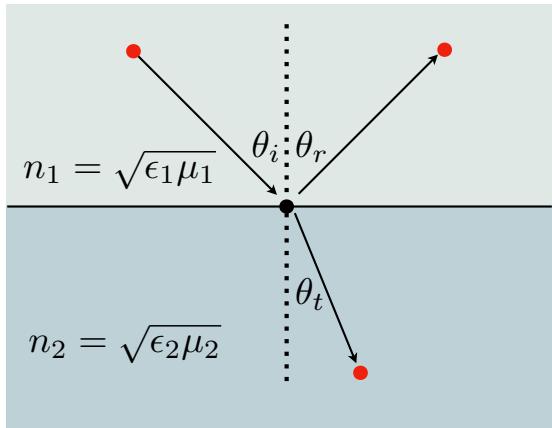
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applications in optical fiber:



<https://www.vcelink.com/>

Snell-Descartes Laws of Reflection and Refraction



- **Law of Reflection:**

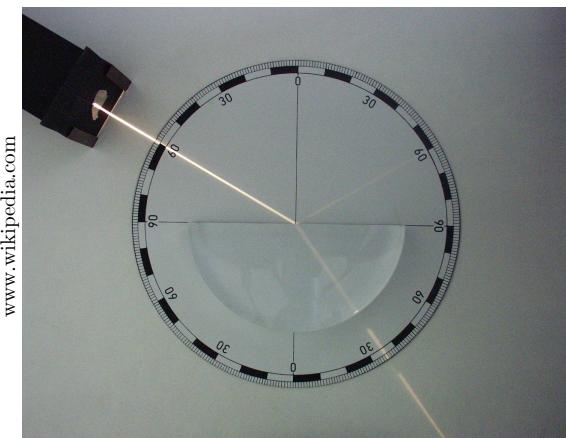
$$\sin \theta_i = \sin \theta_r$$

- **Law of Refraction (Snell's Law):**

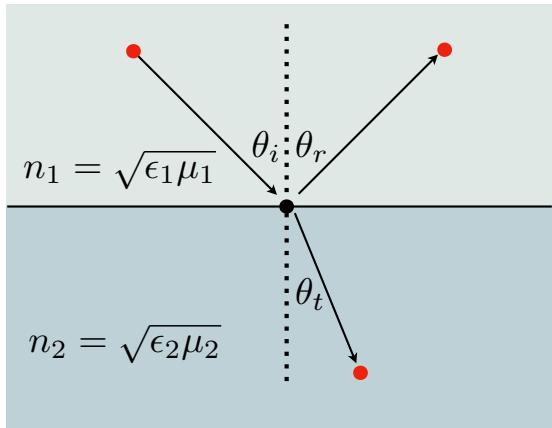
$$n_1 \sin \theta_i = n_2 \sin \theta_t$$

where:

- θ_i : angle of incidence
- θ_r : angle of reflection
- θ_t : angle of transmission (refraction)
- n_1, n_2 : refractive indices of the media



Snell-Descartes Laws of Reflection and Refraction



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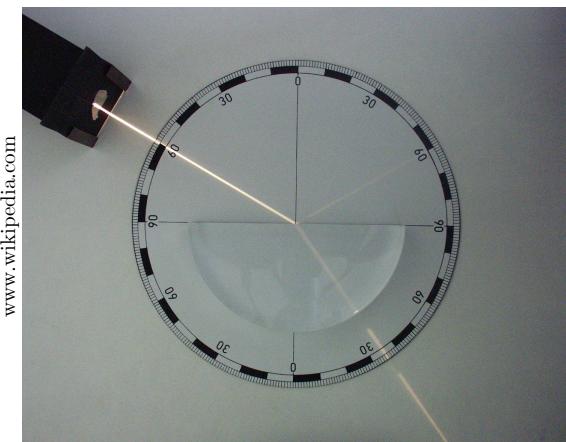
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www.wikipedia.com

René Descartes
1596-1650



www.wikipedia.com

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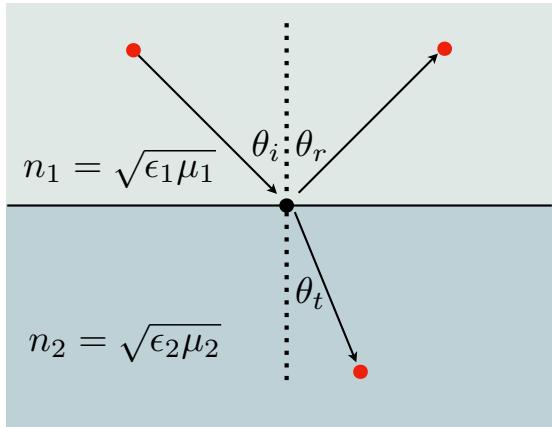
www.wikipedia.com

Willebrord Snell van Royen
1580-1626

~ 1621

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Snell-Descartes Laws of Reflection and Refraction

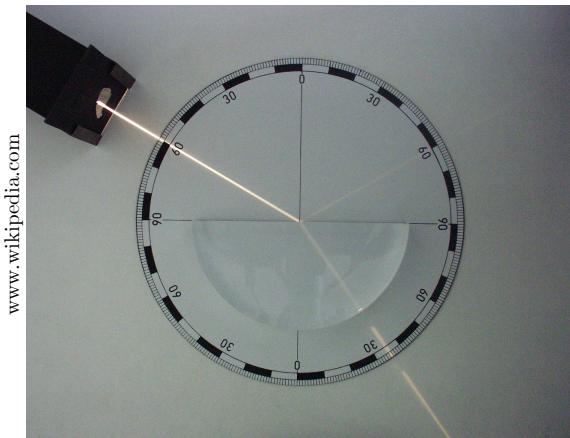


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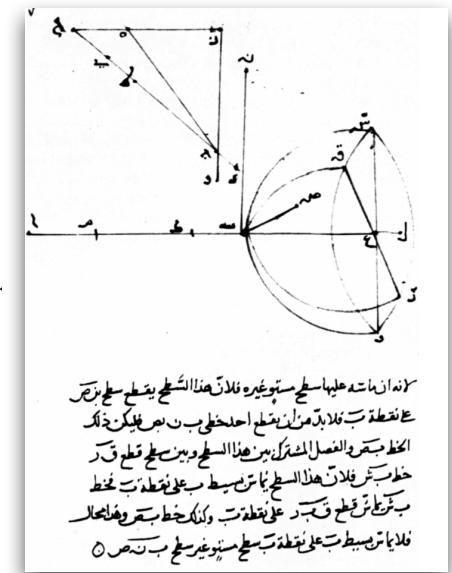
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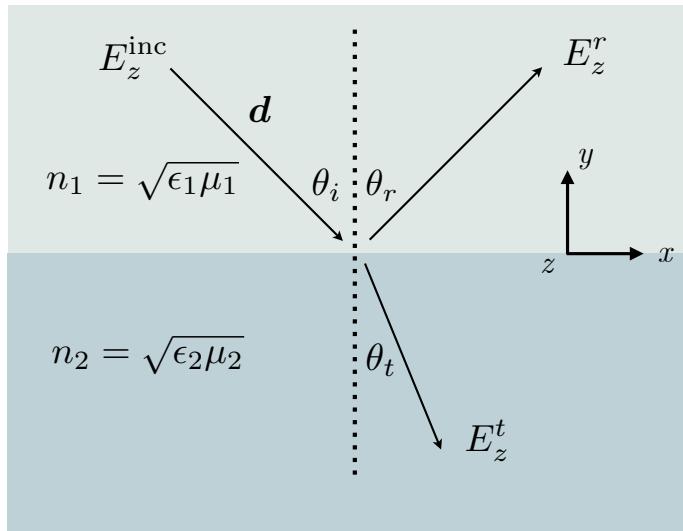
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www.wikipedia.com



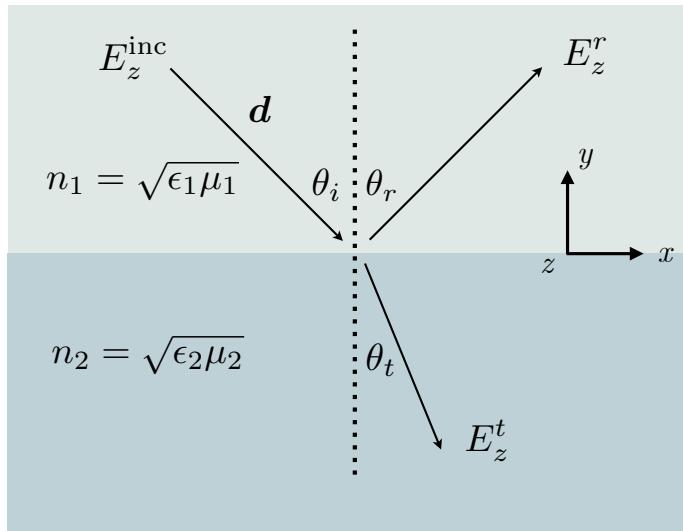
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Snell-Descartes Laws of Reflection and Refraction



Planewave: $E_z^{\text{inc}}(x, y) = e^{ik_1 \mathbf{x} \cdot \mathbf{d}} = e^{ik_1(x \sin \theta_i - y \cos \theta_i)}$

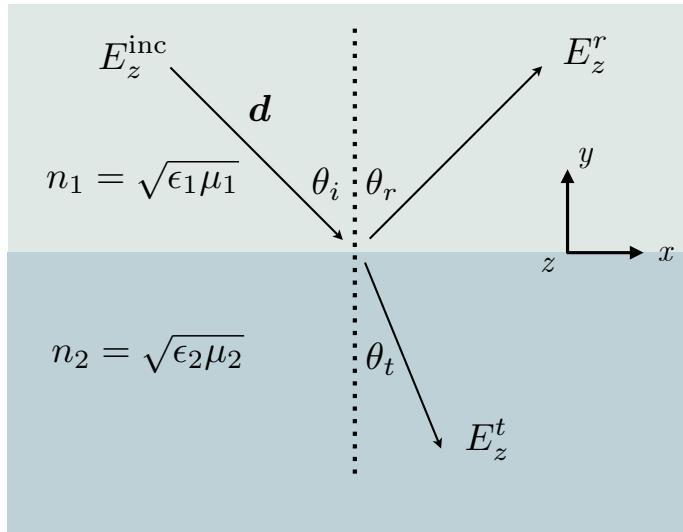
Snell-Descartes Laws of Reflection and Refraction



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Total field: $E_z = \begin{cases} E_z^{\text{inc}} + E_z^r, & y > 0 \\ E_z^t, & y < 0 \end{cases}$

Snell-Descartes Laws of Reflection and Refraction

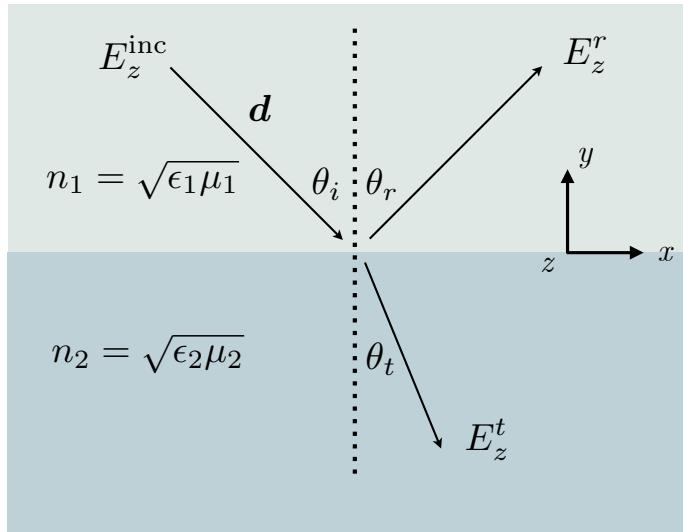


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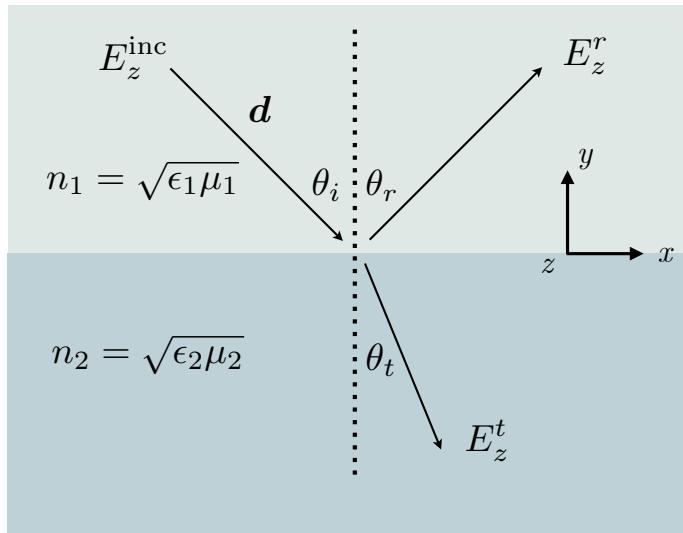
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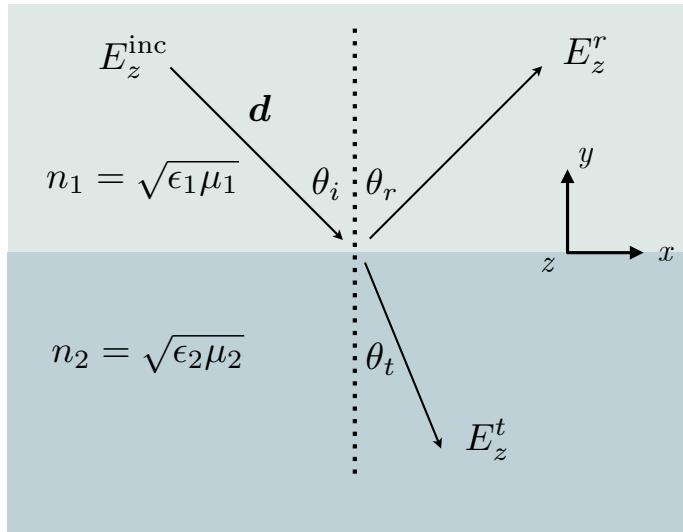
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By separation of variables:

$$E_z^r(x, y) = R e^{i(xk_{1x} + yk_{1y})}, k_{1x}^2 + k_{1y}^2 = k_1^2 \implies k_1 \sin \theta_r = k_{1x} \text{ and } k_1 \cos \theta_r = k_{1y}$$

$$E_z^t(x, y) = T e^{i(xk_{2x} - yk_{2y})}, k_{2x}^2 + k_{2y}^2 = k_2^2 \implies k_2 \sin \theta_t = k_{2x} \text{ and } k_2 \cos \theta_t = k_{2y}$$

Snell-Descartes Laws of Reflection and Refraction



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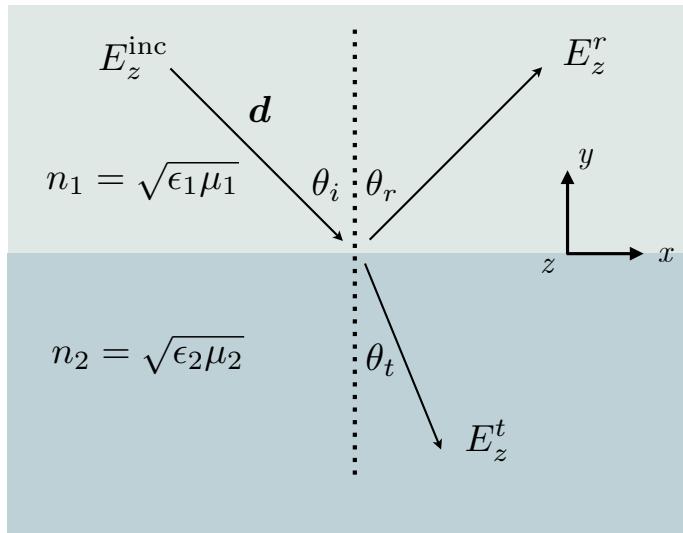
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From the transmission condition:

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Snell-Descartes Laws of Reflection and Refraction



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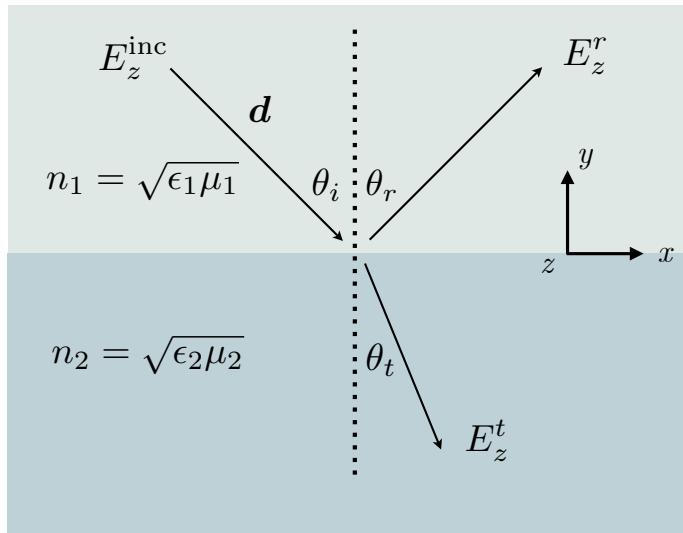
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Therefore:

$$k_1 \sin \theta_i = k_1 \sin \theta_r = k_2 \sin \theta_t$$

Snell-Descartes Laws of Reflection and Refraction



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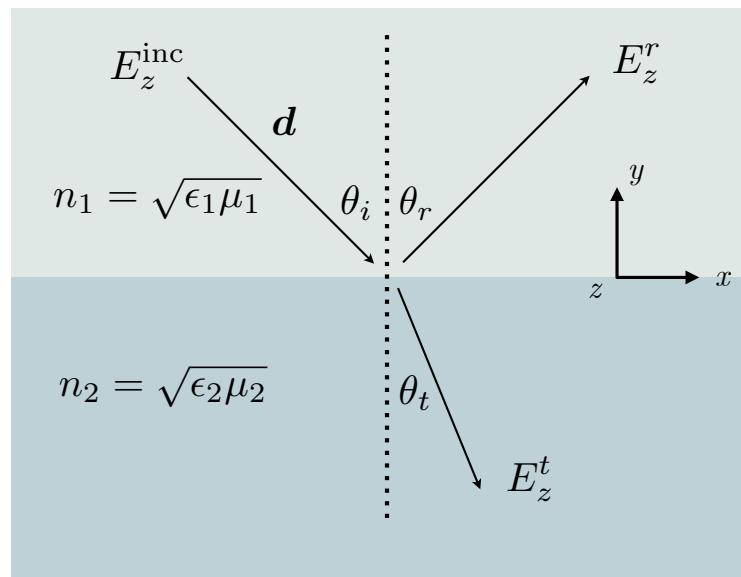
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Snell-Descartes law!

Total Internal Reflection

Snell-Descartes Law: $k_1 \sin \theta_i = k_2 \sin \theta_r = k_2 \sin \theta_t$



$$k_1 = 10$$

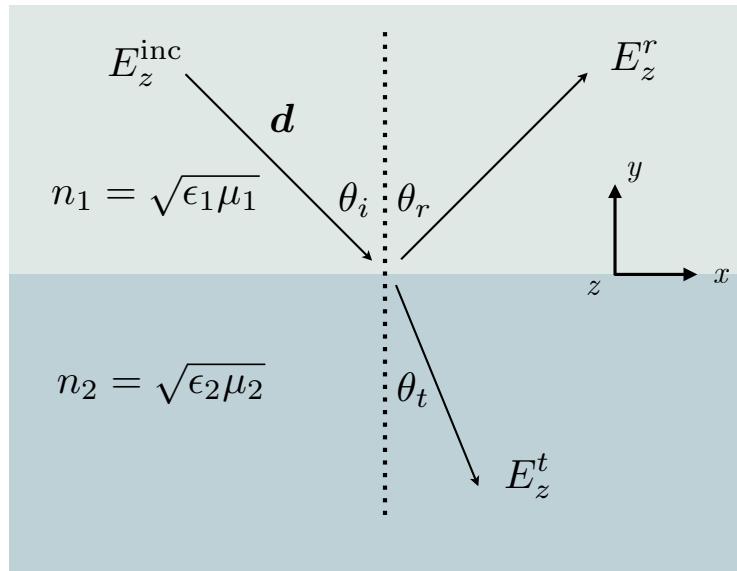
$$k_2 = 5$$



Total Internal Reflection

Snell-Descartes Law: $k_1 \sin \theta_i = k_2 \sin \theta_r = k_2 \sin \theta_t$

What happens if $\frac{k_1}{k_2} \sin \theta_i = \sin \theta_t > 1$?



$$k_1 = 10$$

$$k_2 = 5$$



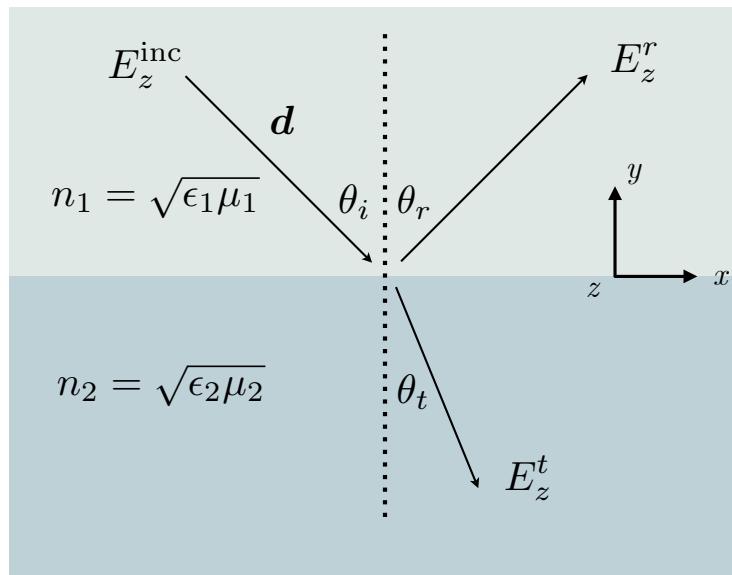
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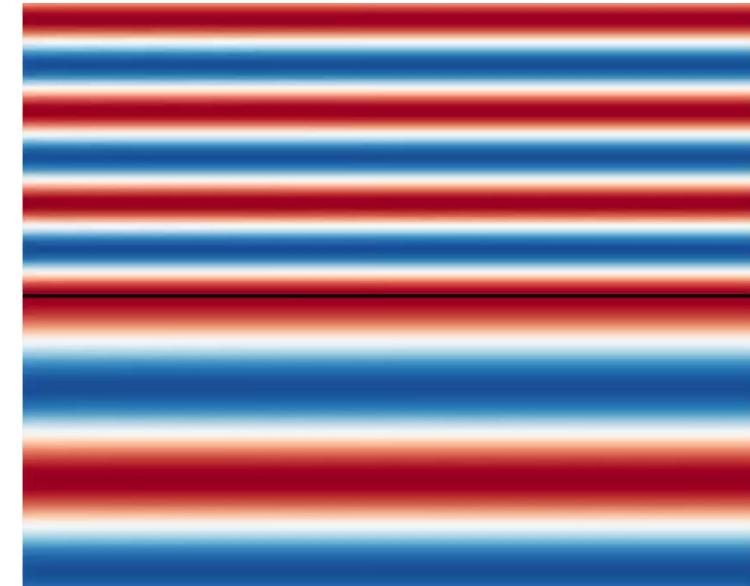
What happens if $\frac{k_1}{k_2} \sin \theta_i = \sin \theta_t > 1$?

$$k_{2y} = k_2 \sqrt{1 - \sin^2 \theta_t} = i \left(\frac{k_1^2 \sin^2 \theta_i}{k_2^2} - 1 \right)^{1/2}$$

$E_z^t(x, y) = T e^{i(xk_{2x} - yk_{2y})}$ decays exponentially fast as $y \rightarrow -\infty$ (total reflection).



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$$k_2 = 5$$

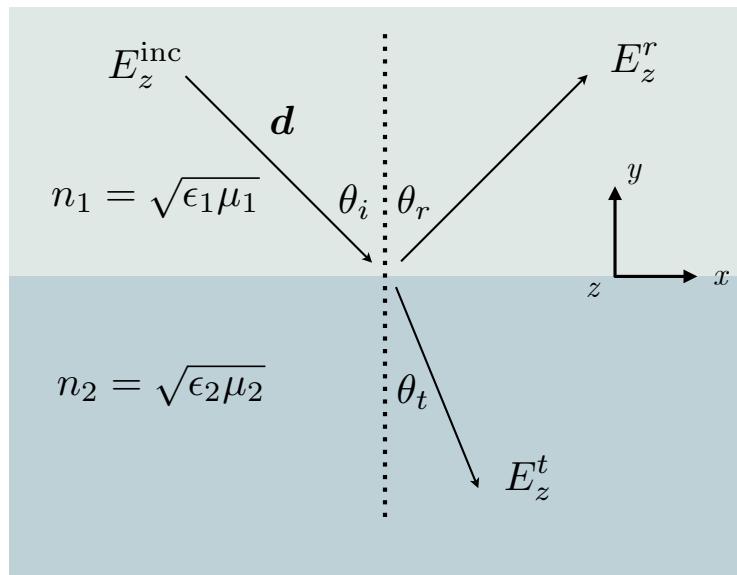
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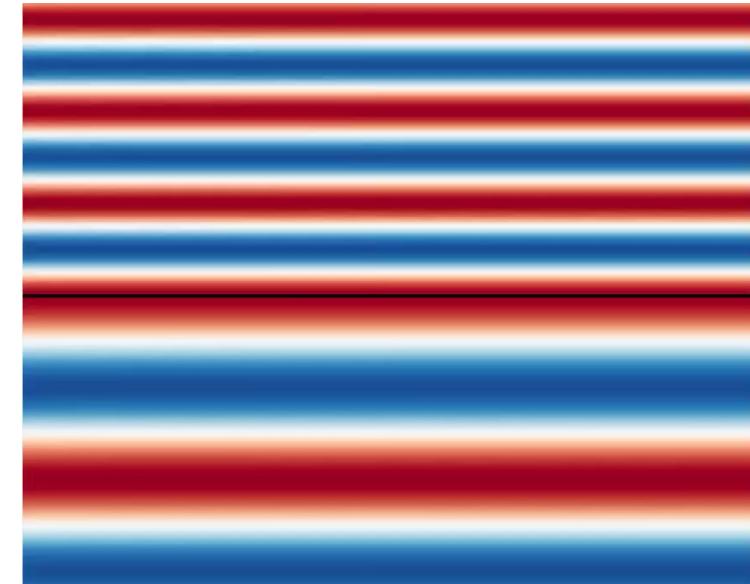
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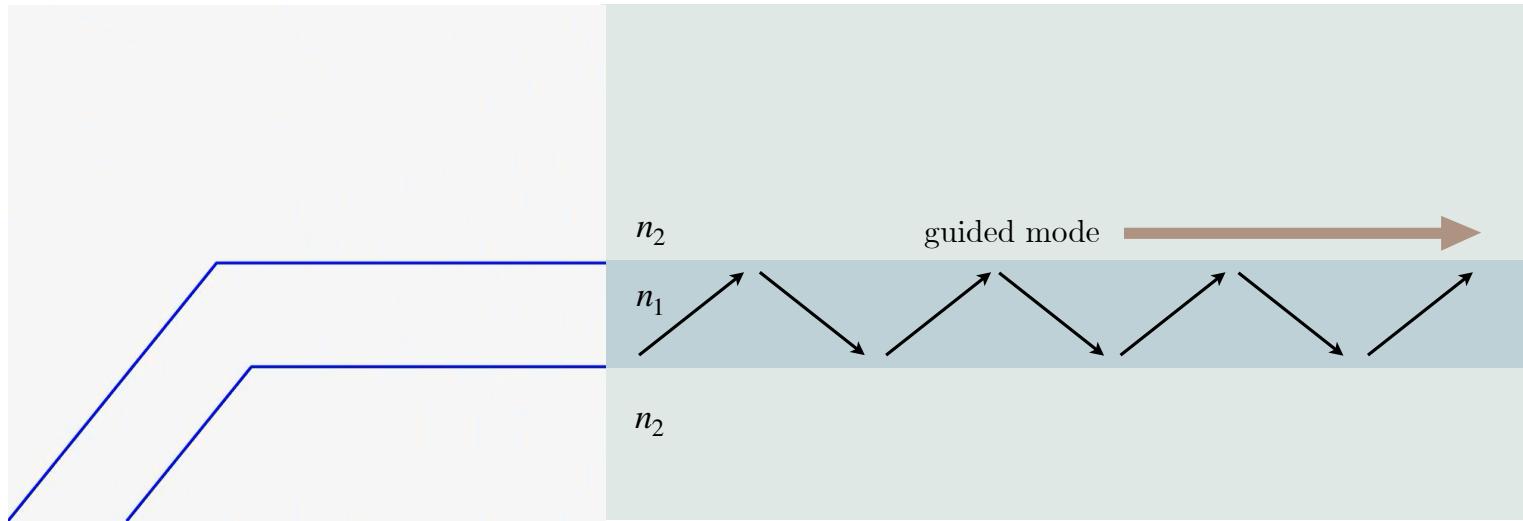
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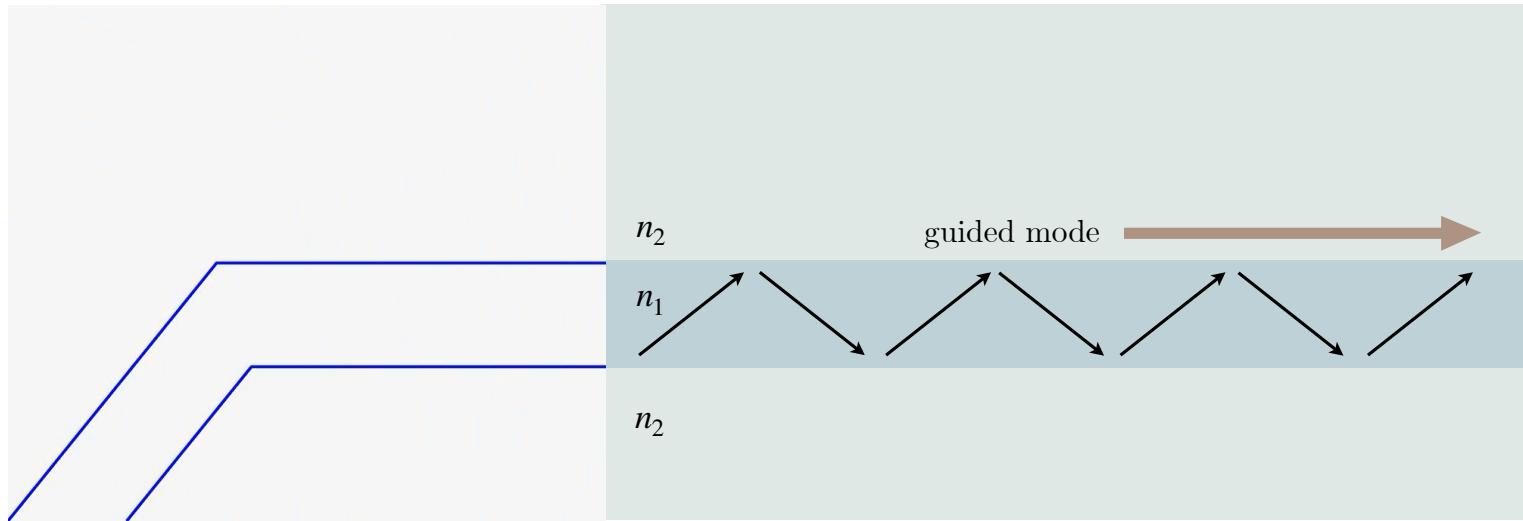


Total Internal Reflection

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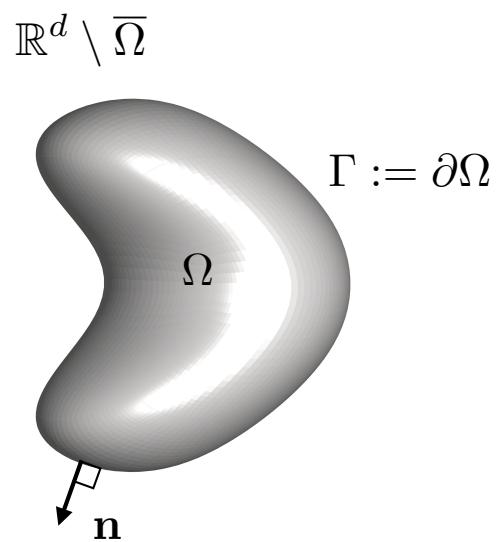


Problems of Scattering

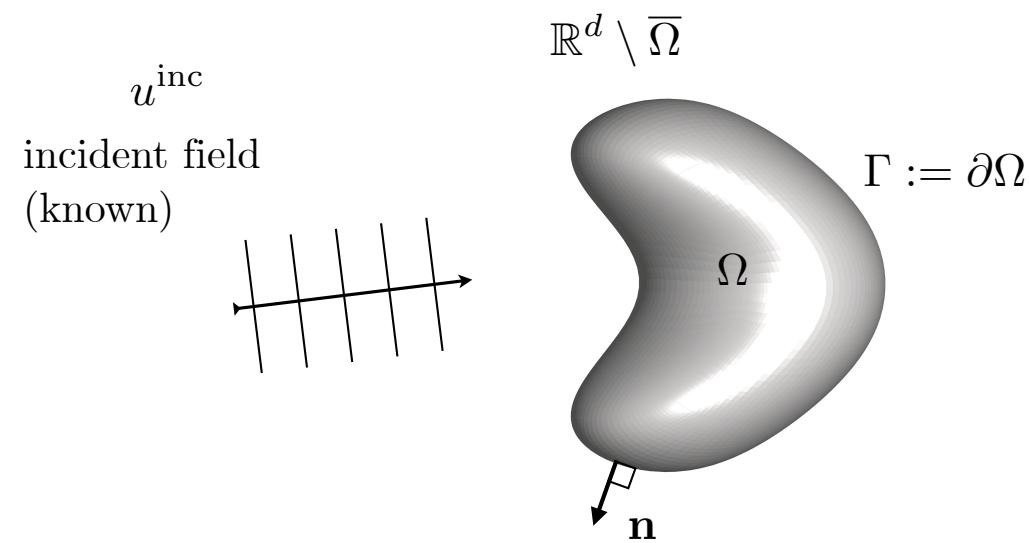
Problems of Scattering

(mainly, what the rest of this course is about)

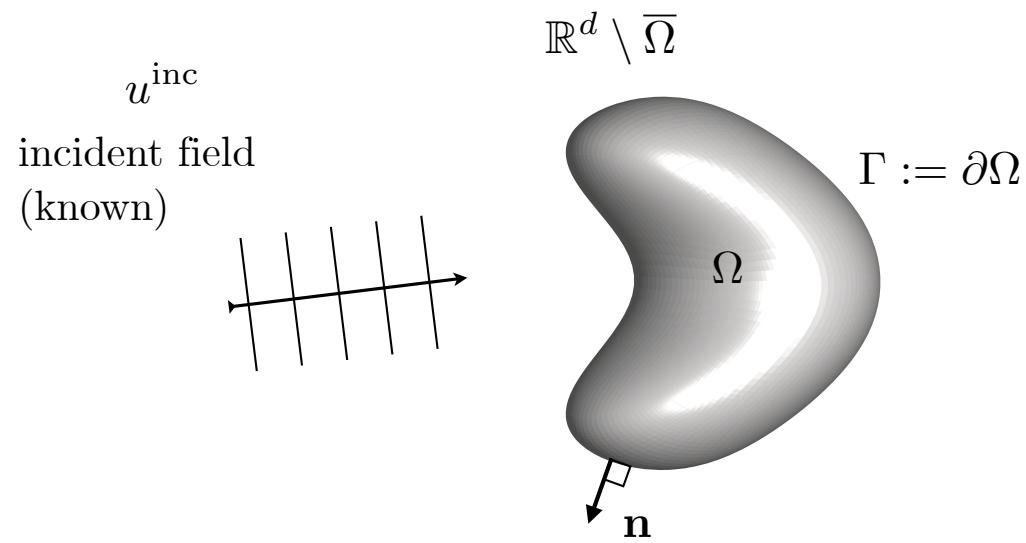
Scattering by Bounded Obstacles



Scattering by Bounded Obstacles



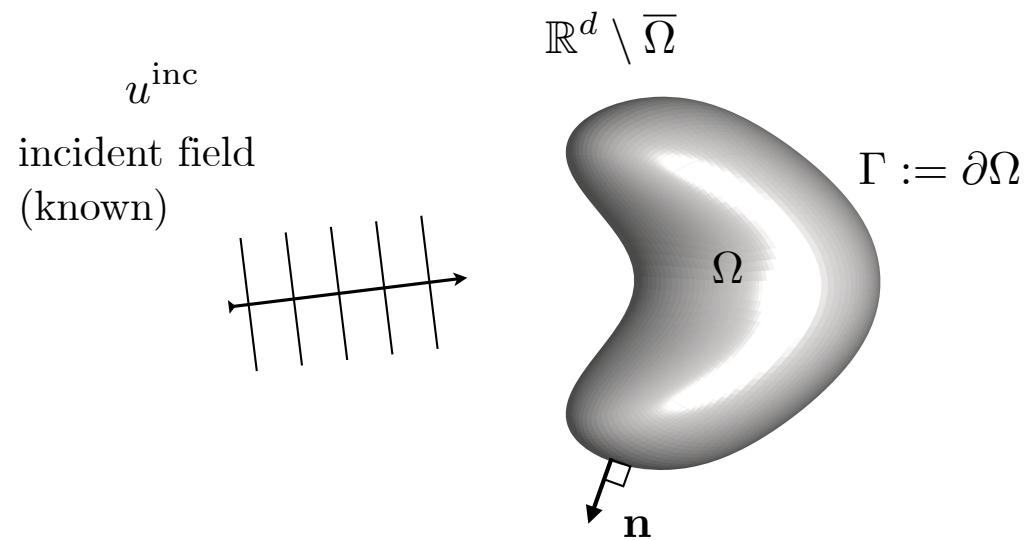
Scattering by Bounded Obstacles



Helmholtz equation:

$$\Delta u^{\text{inc}} + k^2 u^{\text{inc}} = 0 \quad \text{in } D \supset \Gamma$$

Scattering by Bounded Obstacles

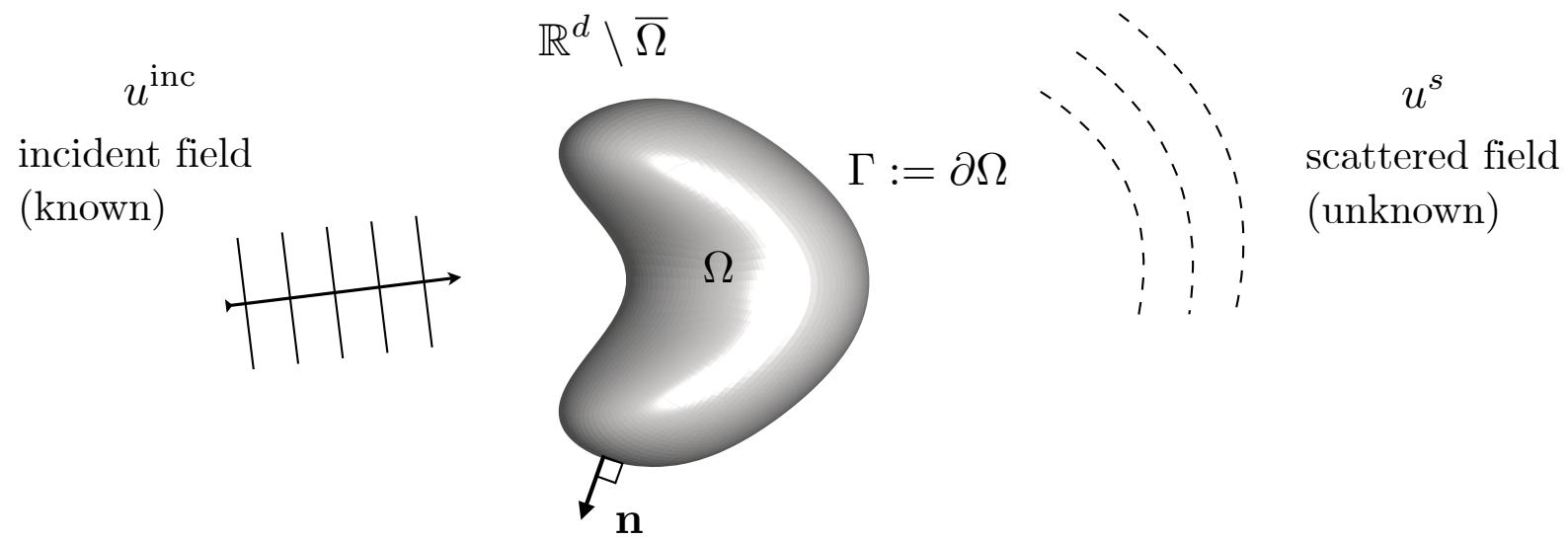


Helmholtz equation:

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Time convention: $e^{-i\omega t}$; angular frequency: $\omega > 0$;
wavenumber: $k = \omega\sqrt{\epsilon\mu} > 0$

Scattering by Bounded Obstacles

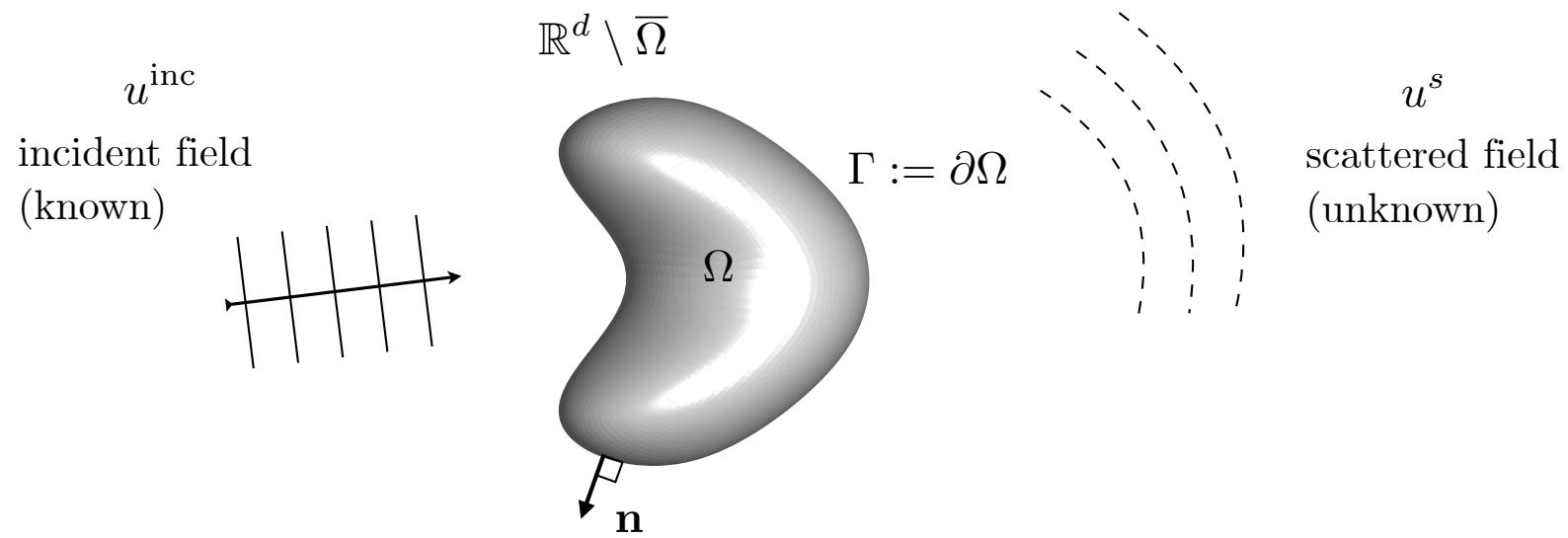


Helmholtz equation:

$$\Delta u^{\text{inc}} + k^2 u^{\text{inc}} = 0 \quad \text{in } D \supset \Gamma$$

Time convention: $e^{-i\omega t}$; angular frequency: $\omega > 0$;
wavenumber: $k = \omega\sqrt{\epsilon\mu} > 0$

Scattering by Bounded Obstacles



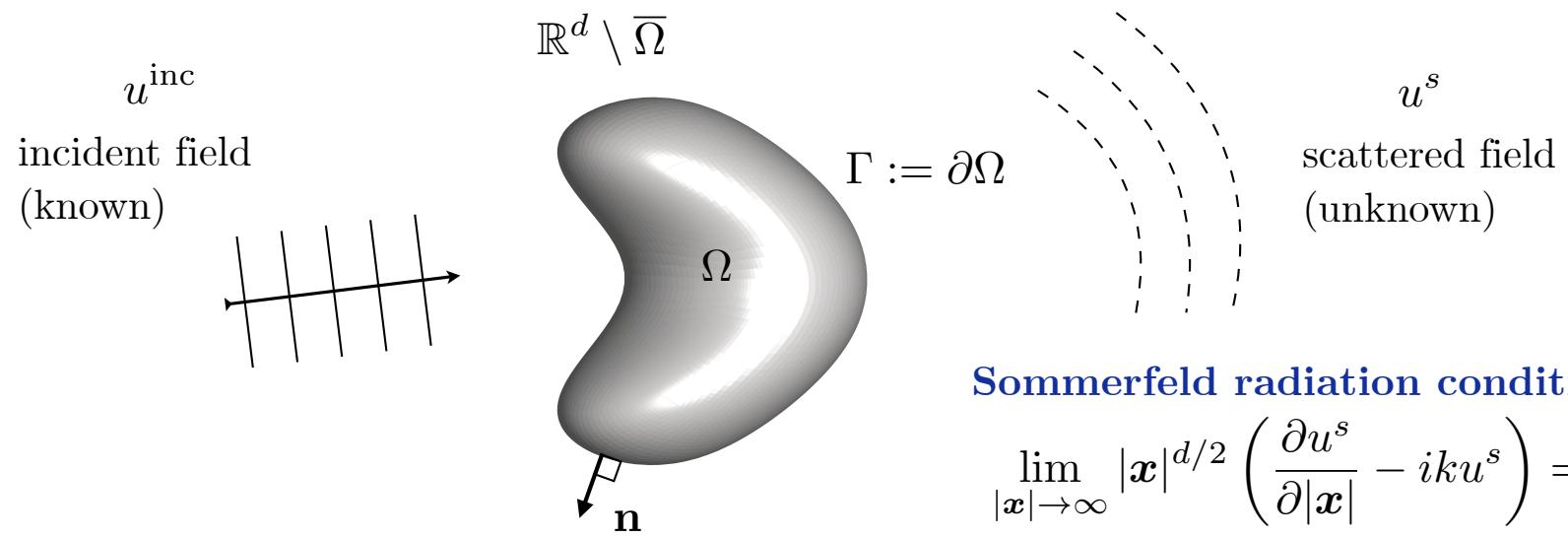
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$$\Delta u^s + k^2 u^s = 0 \quad \text{in } \mathbb{R}^d \setminus \overline{\Omega}$$

Time convention: $e^{-i\omega t}$; angular frequency: $\omega > 0$;
wavenumber: $k = \omega\sqrt{\epsilon\mu} > 0$

Scattering by Bounded Obstacles



Sommerfeld radiation condition:

$$\lim_{|\mathbf{x}| \rightarrow \infty} |\mathbf{x}|^{d/2} \left(\frac{\partial u^s}{\partial |\mathbf{x}|} - ik u^s \right) = 0$$

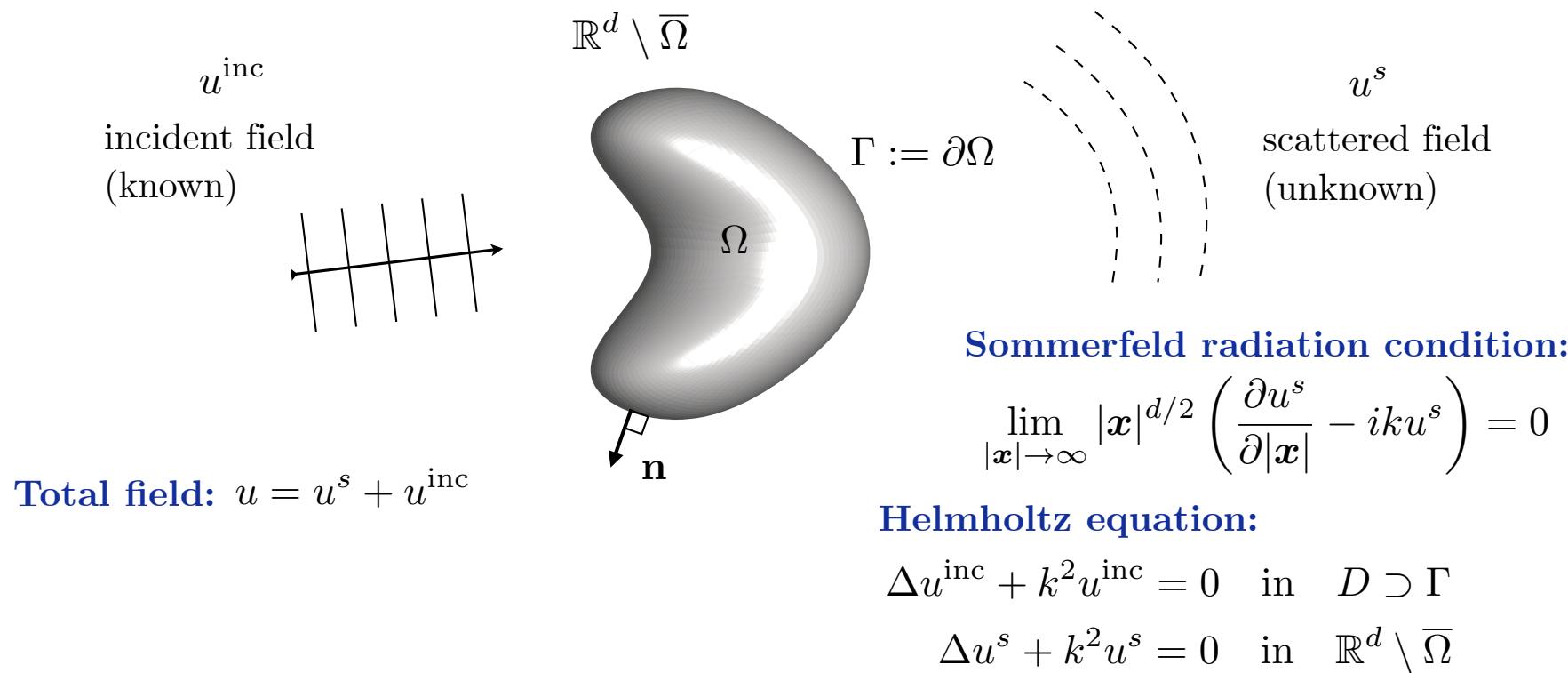
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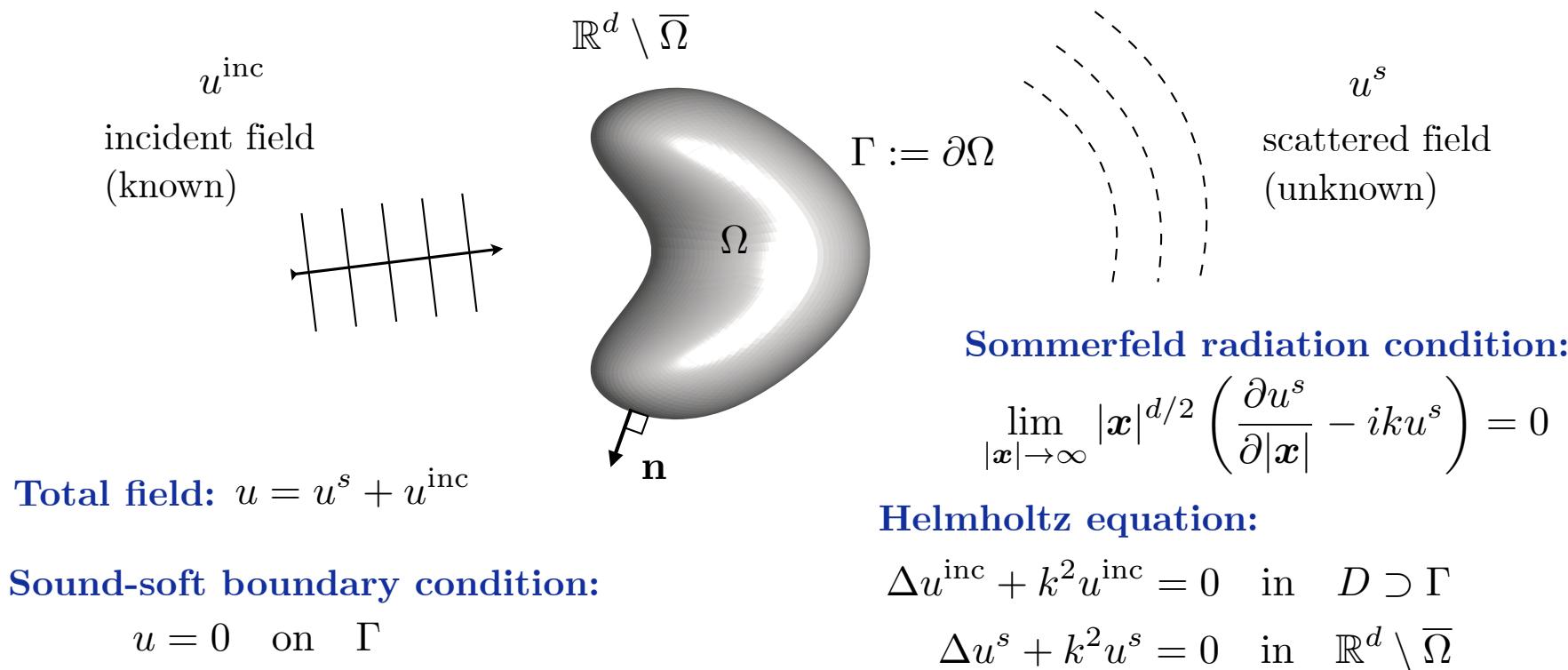
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wavenumber: $k = \omega \sqrt{\epsilon \mu} > 0$

Scattering by Bounded Obstacles



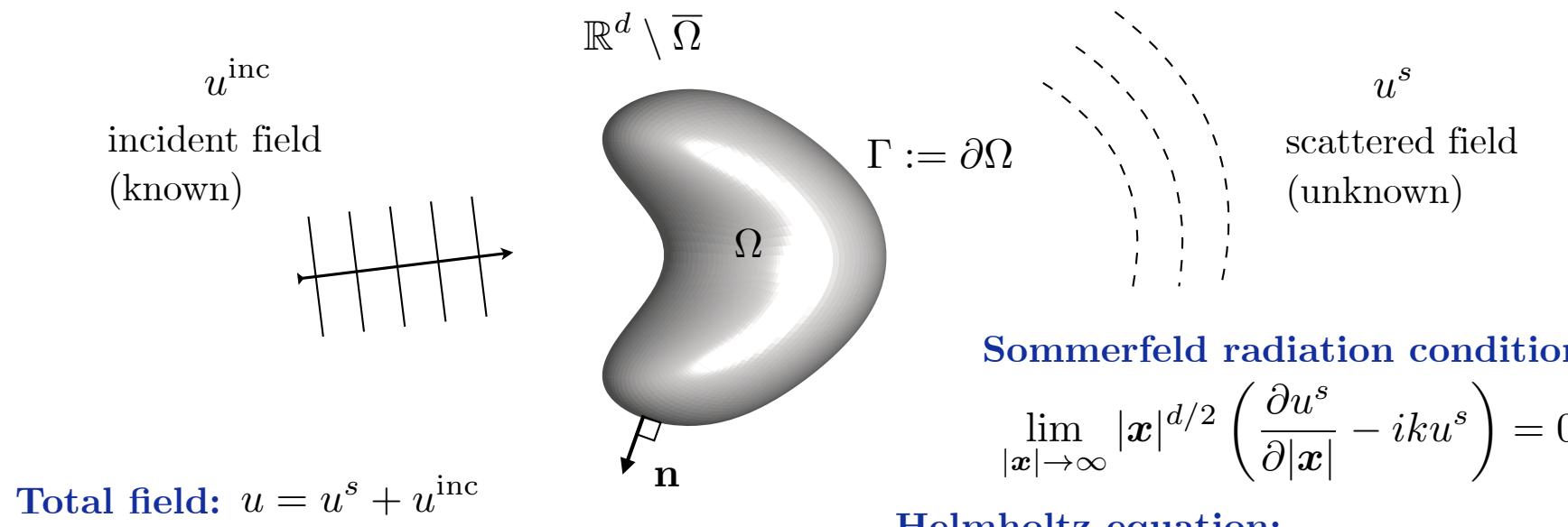
Time convention: $e^{-i\omega t}$; angular frequency: $\omega > 0$;
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Scattering by Bounded Obstacles



Time convention: $e^{-i\omega t}$; angular frequency: $\omega > 0$;
wavenumber: $k = \omega\sqrt{\epsilon\mu} > 0$

Scattering by Bounded Obstacles



Sound-soft boundary condition:

$$u = 0 \quad \text{on } \Gamma$$

Boundary condition

$$u^s = -u^{\text{inc}} \quad \text{on } \Gamma$$

Sommerfeld radiation condition:

$$\lim_{|\mathbf{x}| \rightarrow \infty} |\mathbf{x}|^{d/2} \left(\frac{\partial u^s}{\partial |\mathbf{x}|} - ik u^s \right) = 0$$

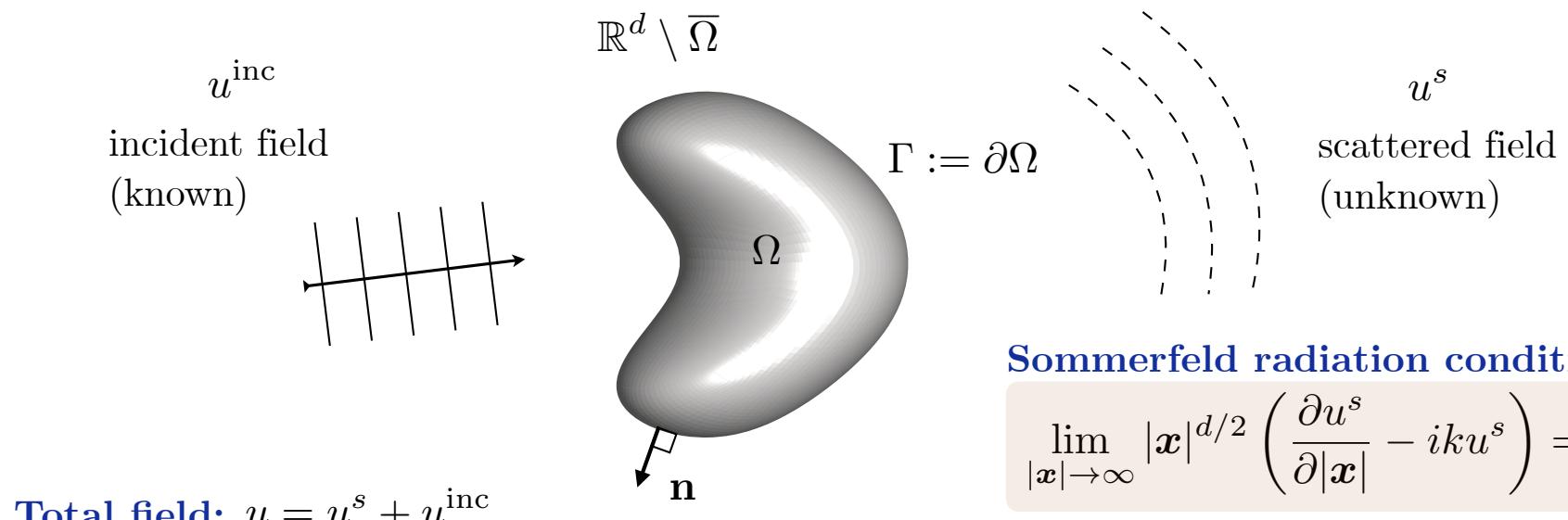
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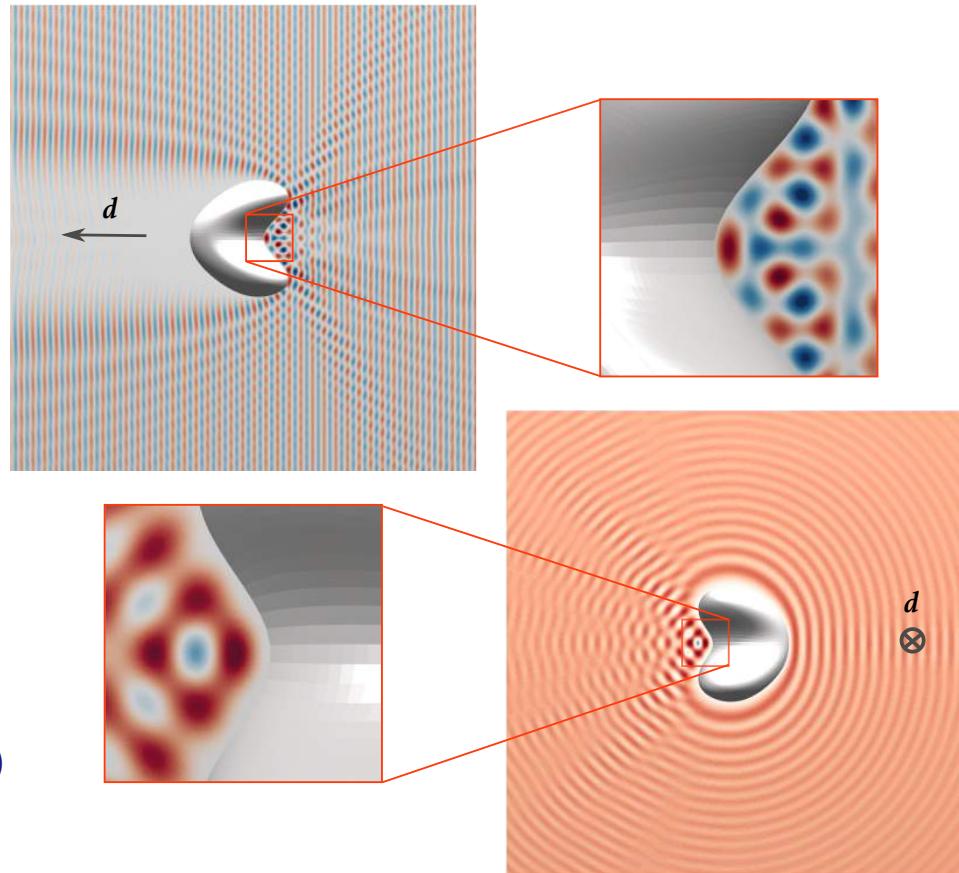
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Time convention: $e^{-i\omega t}$; angular frequency: $\omega > 0$;
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Scattering by Bounded Obstacles

$$u^{\text{inc}}(\mathbf{x}) = e^{ik\mathbf{d} \cdot \mathbf{x}}$$

incident planewave



$$u = u^s + u^{\text{inc}}$$

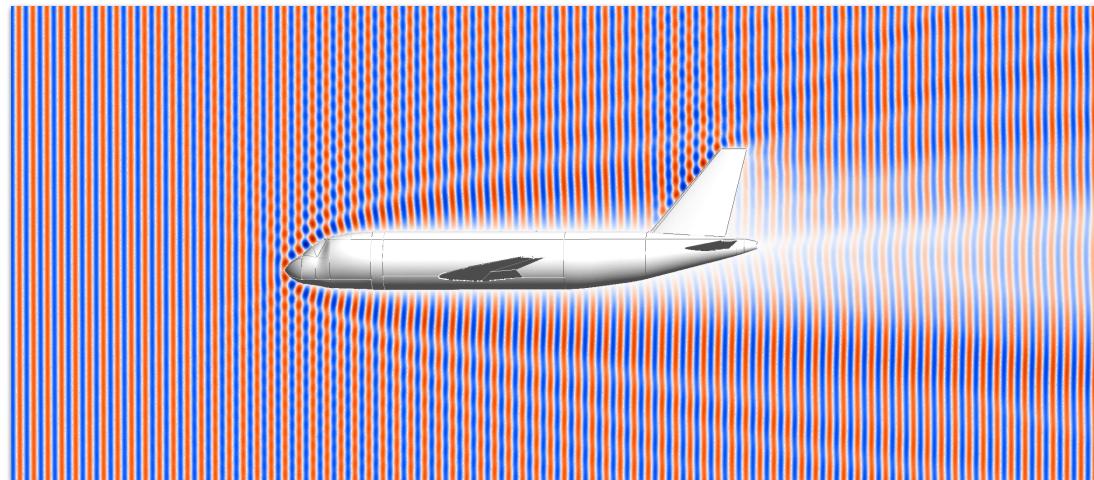
total field satisfies a
boundary condition
($u = 0$ on Γ in this case)

P.-A., C., Turc, C., & Faria, L. (2019). Planewave density interpolation methods for 3D Helmholtz boundary integral equations. *SIAM Journal on Scientific Computing*, 41(4), A2088-A2116

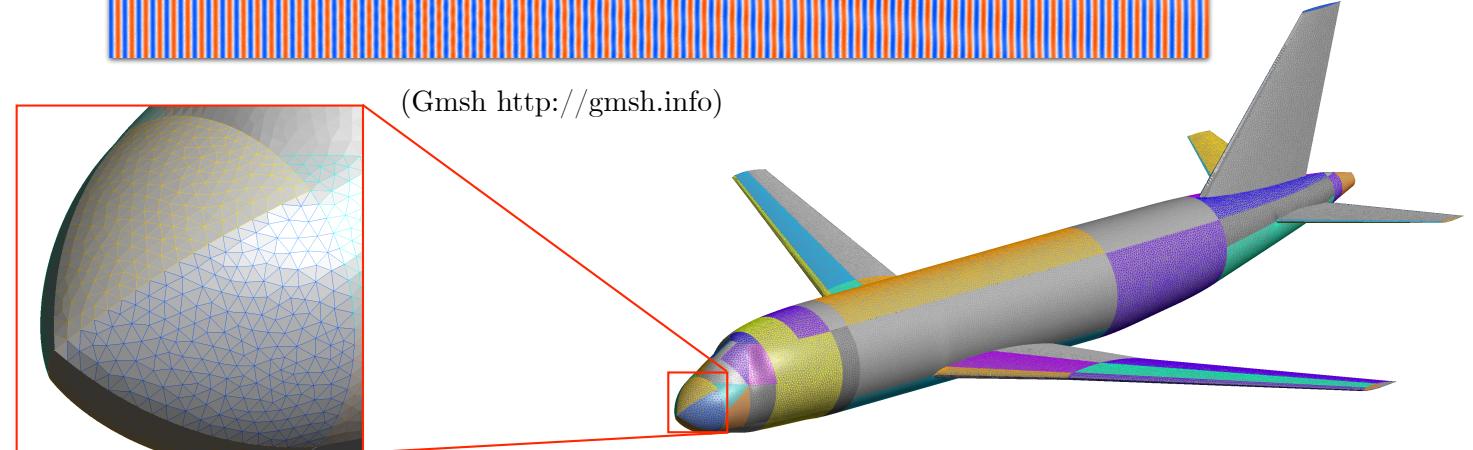
Scattering by Bounded Obstacles

$$u^{\text{inc}}(\mathbf{x}) = e^{ik\mathbf{d} \cdot \mathbf{x}}$$

incident planewave



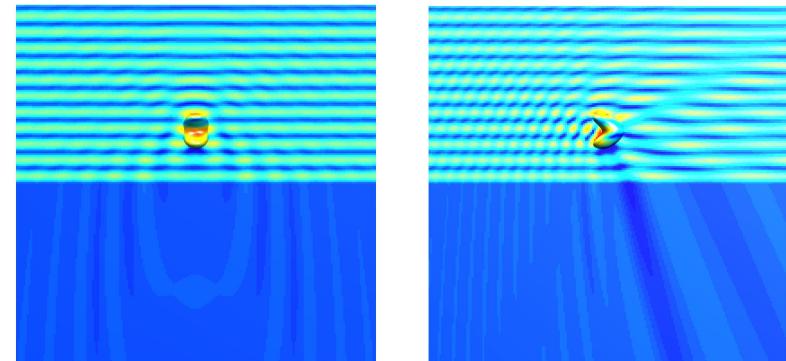
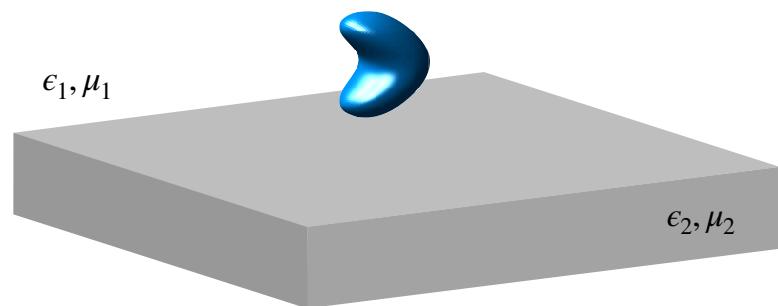
(Gmsh <http://gmsh.info>)



Faria, L., P.-A., C., & Bonnet (2020). General-purpose kernel regularization of boundary integral equations via density interpolation. In preparation.

Scattering by Unbounded Obstacles

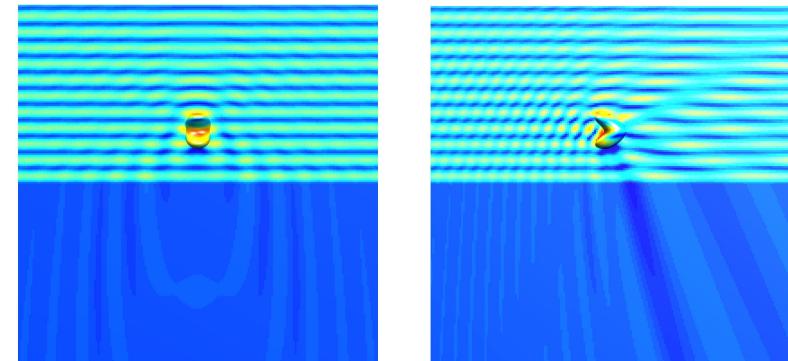
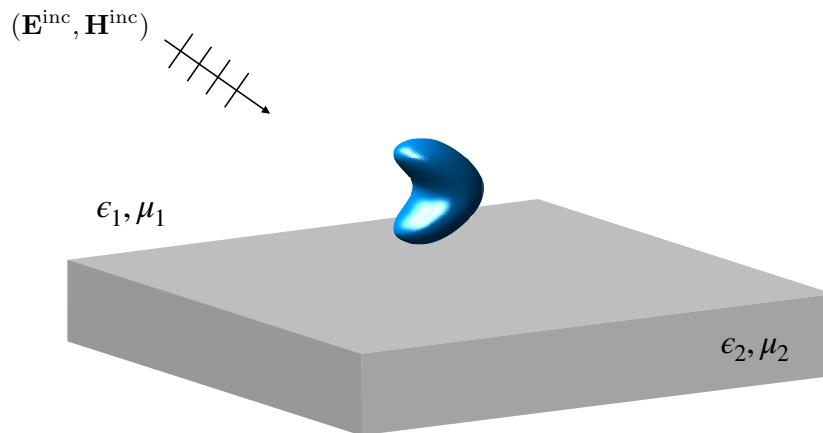
- Layered media scattering



Pérez Arancibia, C. A. (2017). Windowed integral equation methods for problems of scattering by defects and obstacles in layered media (Ph.D. thesis, Caltech)

Scattering by Unbounded Obstacles

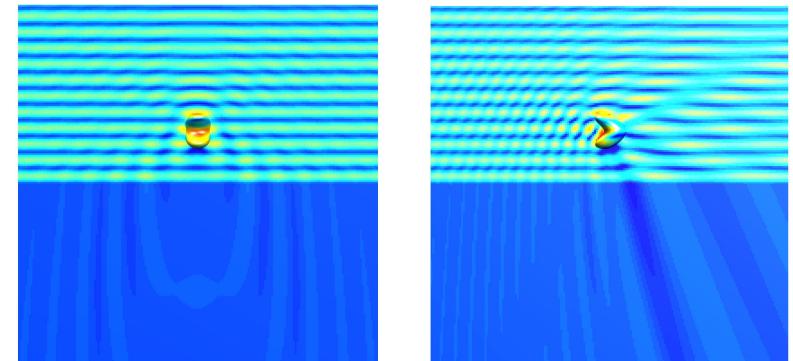
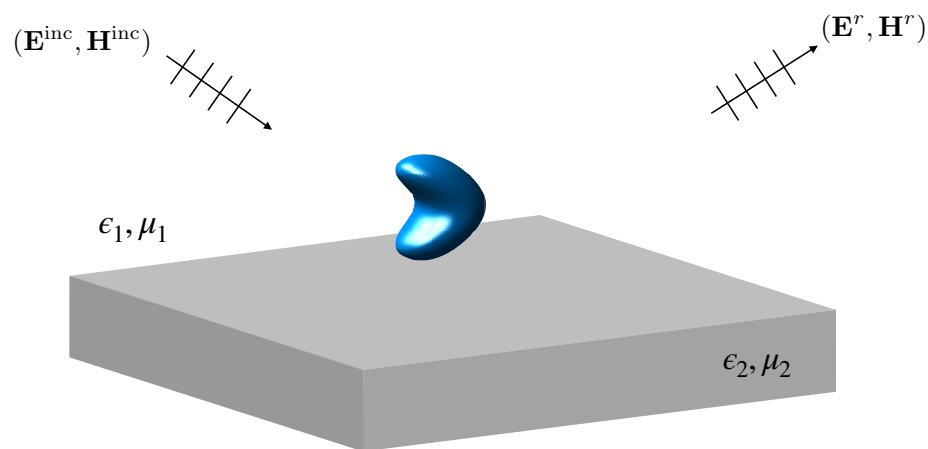
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Scattering by Unbounded Obstacles

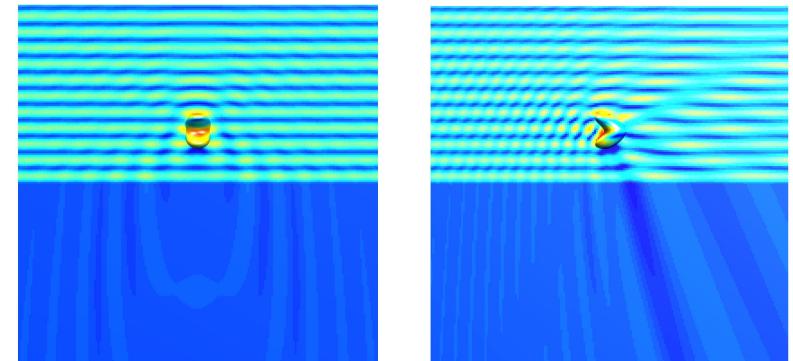
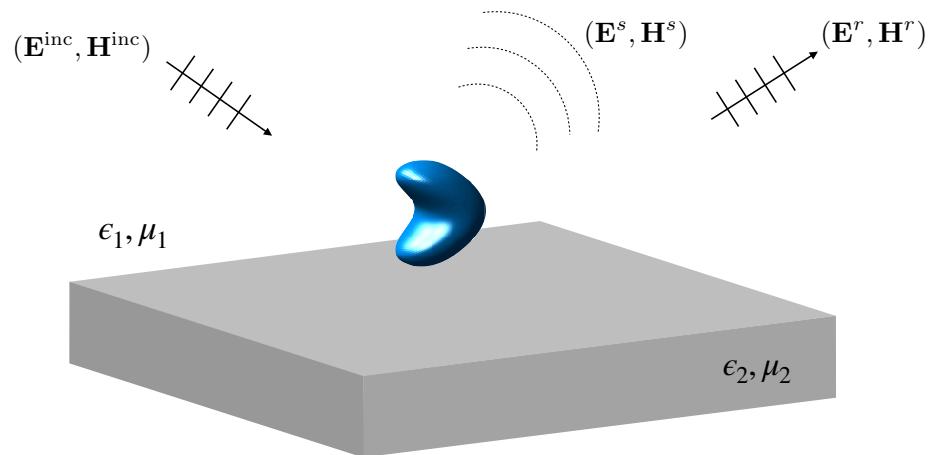
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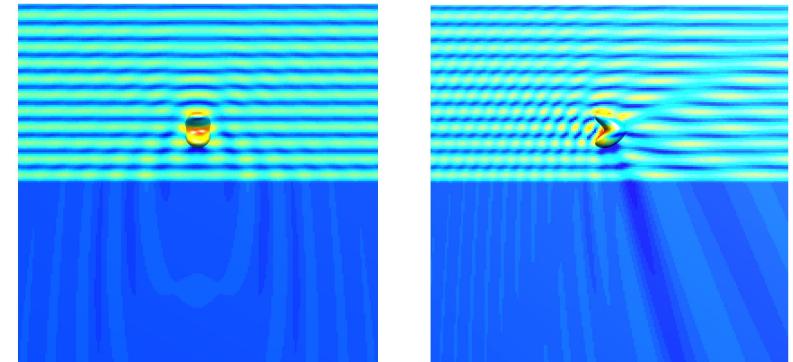
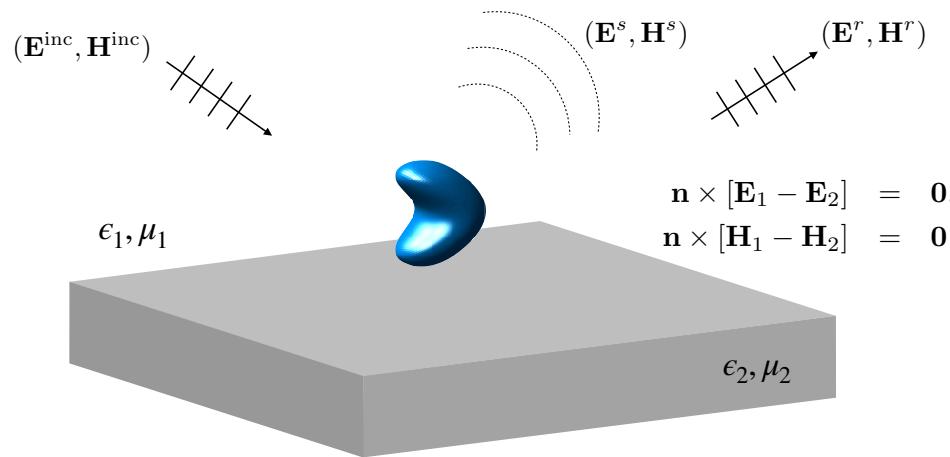
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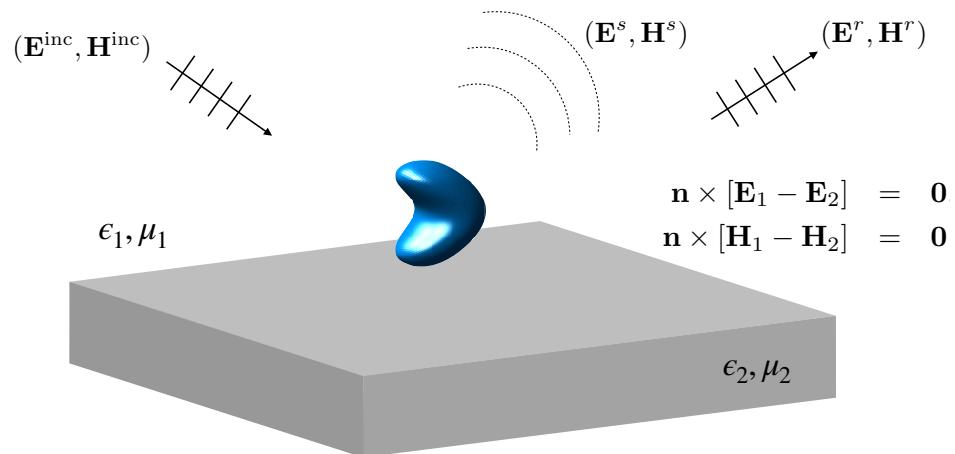
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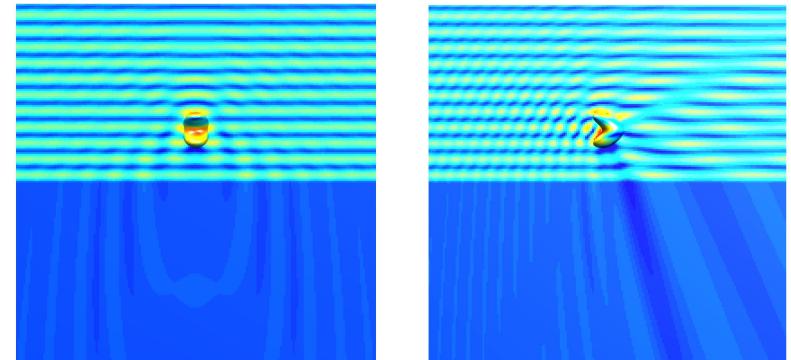
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Scattering by Unbounded Obstacles

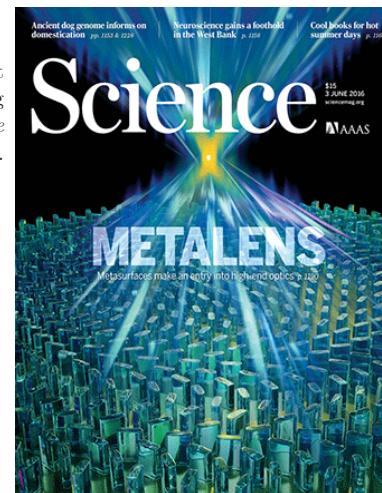
- Layered media scattering



Khorasaninejad, M., et al. "Metalenses at visible wavelengths: Diffraction-limited focusing and subwavelength resolution imaging." *Science* 352.6290 (2016): 1190-1194.

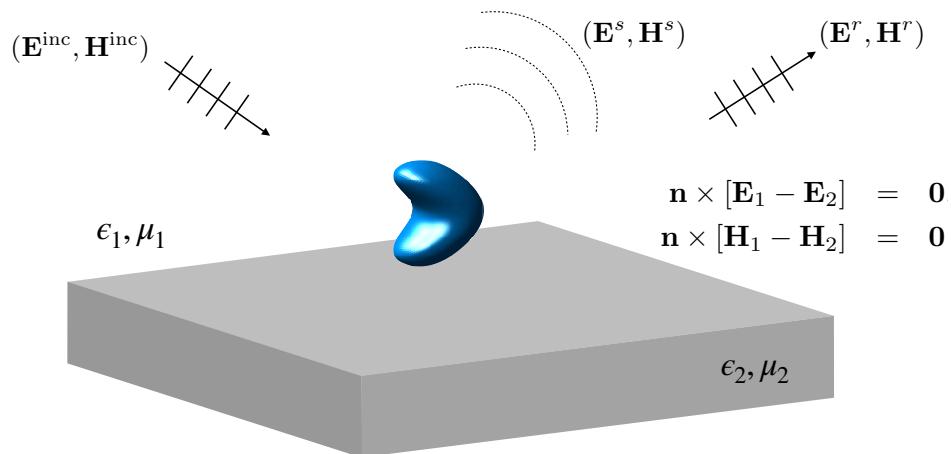


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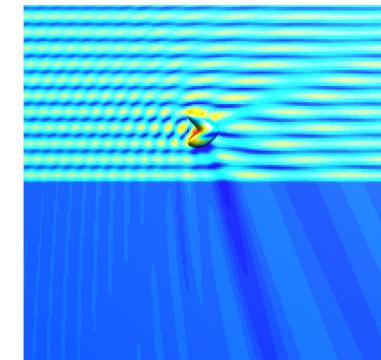
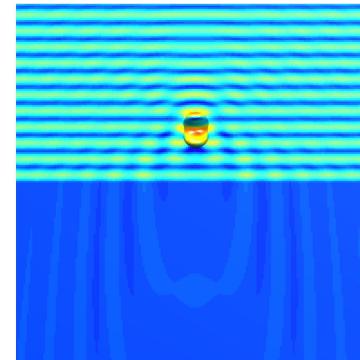


Scattering by Unbounded Obstacles

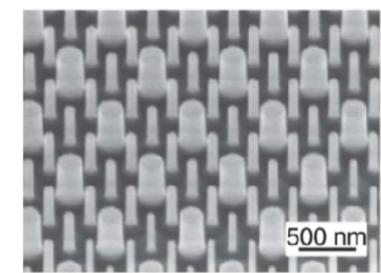
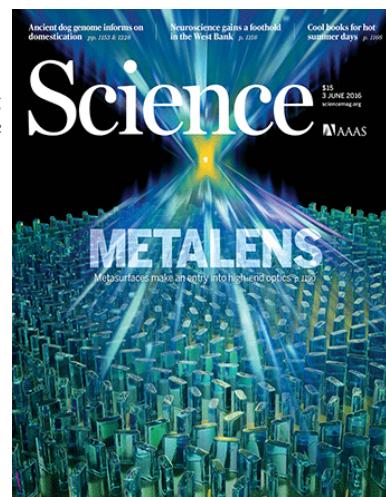
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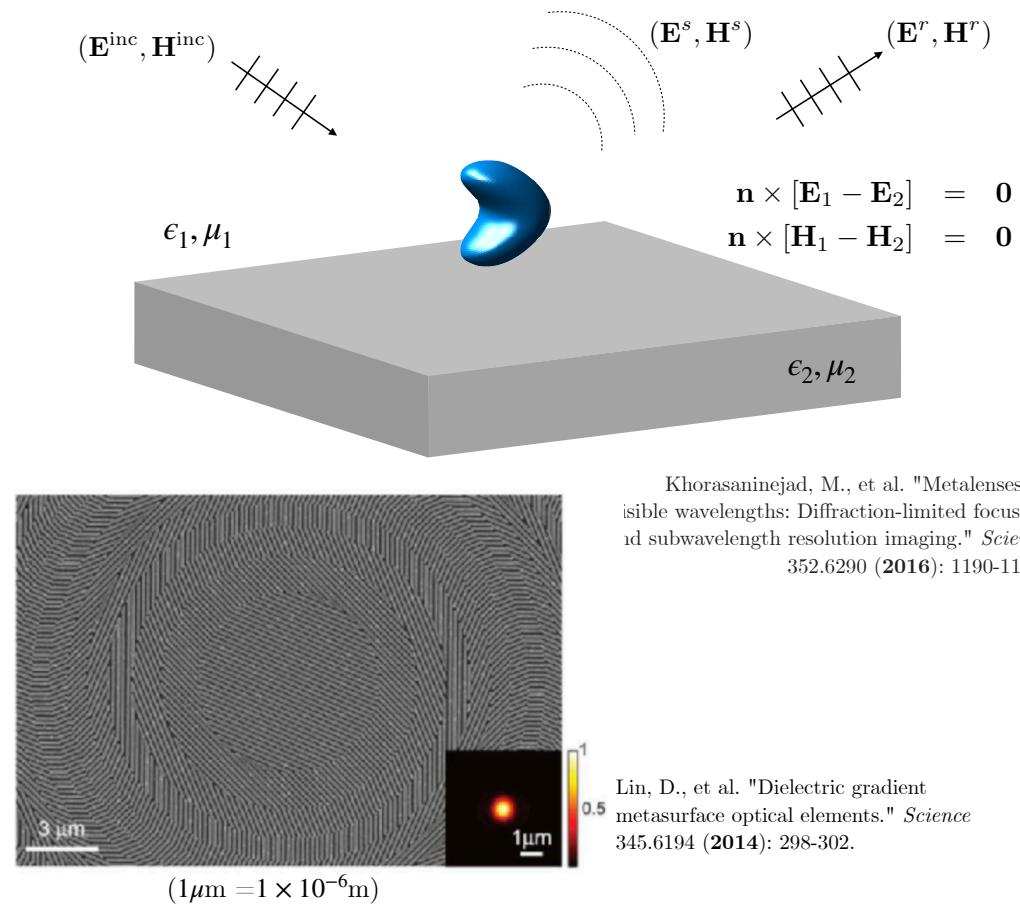


Arbabi, E., et al. "Multiwavelength metasurfaces through spatial multiplexing." *Scientific Reports* 6 (2016): 32803.

$$(1\text{nm} = 1 \times 10^{-9}\text{m})$$

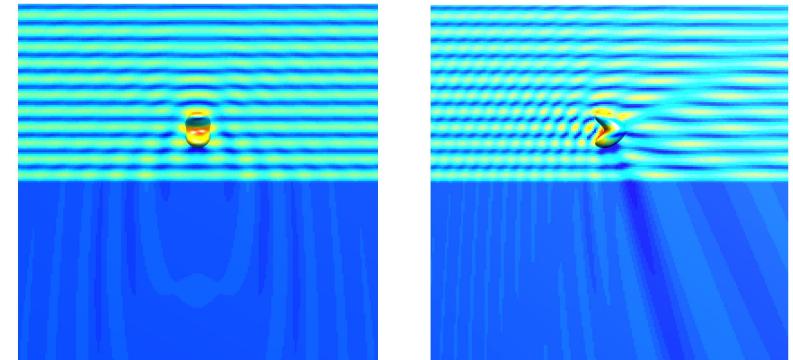
Scattering by Unbounded Obstacles

- Layered media scattering

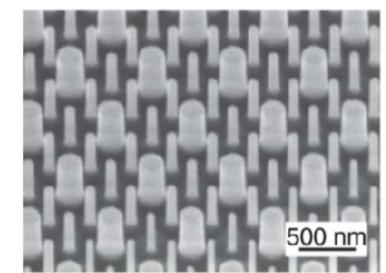
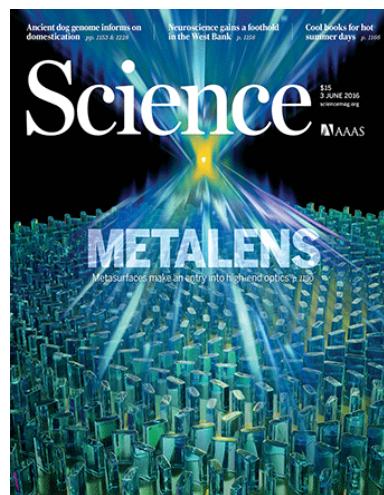


Khorasaninejad, M., et al. "Metalenses at visible wavelengths: Diffraction-limited focusing and subwavelength resolution imaging." *Science* 352.6290 (2016): 1190-1194.

Lin, D., et al. "Dielectric gradient metasurface optical elements." *Science* 345.6194 (2014): 298-302.



Pérez Arancibia, C. A. (2017). Windowed integral equation methods for problems of scattering by defects and obstacles in layered media (Ph.D. thesis, Caltech)

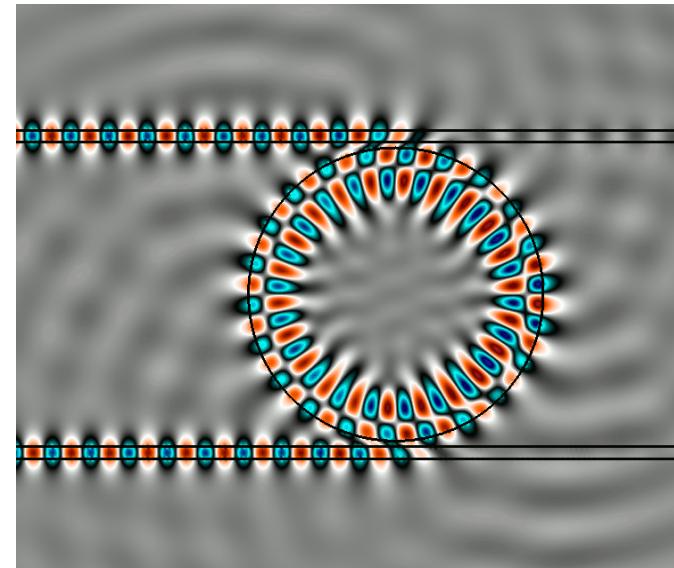
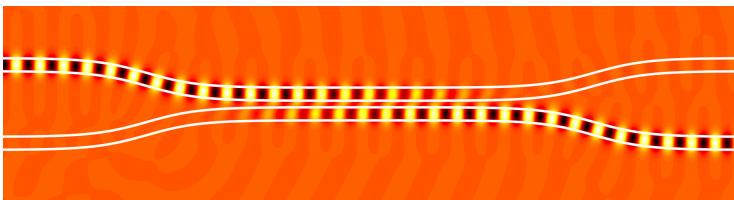


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Scattering by Unbounded Obstacles

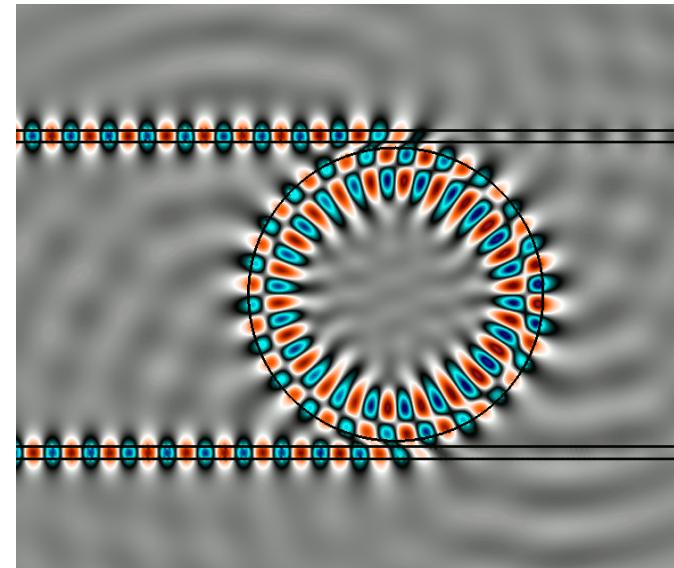
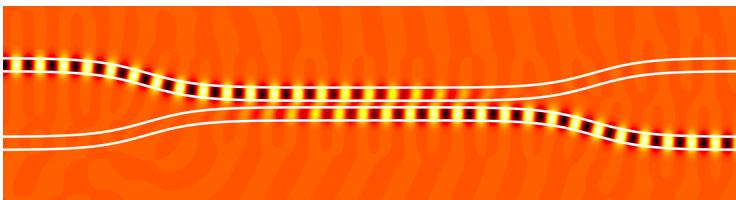
- Waveguides



Bruno, O. P., Garza, E., & P.-A., C. (2017). Windowed Green function method for nonuniform open-waveguide problems. *IEEE Transactions on Antennas and Propagation*, 65(9), 4684-4692.

Scattering by Unbounded Obstacles

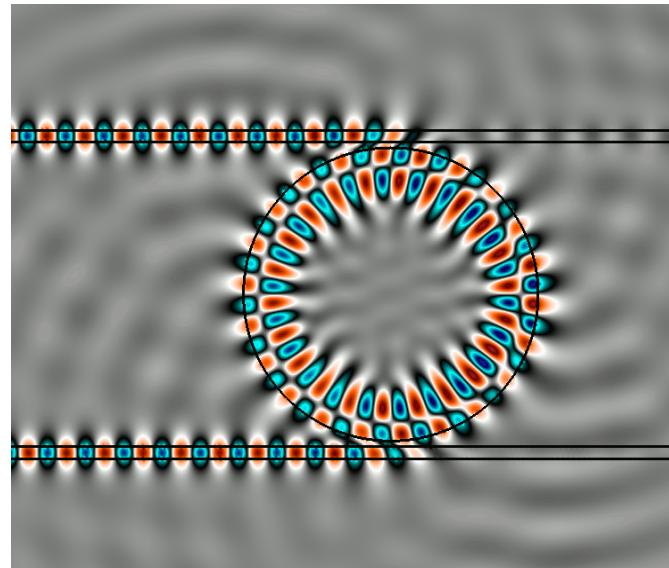
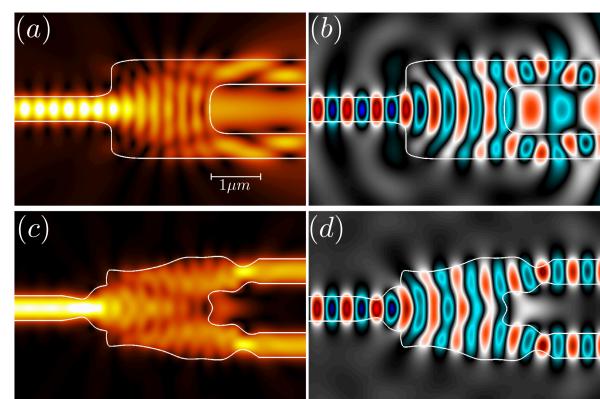
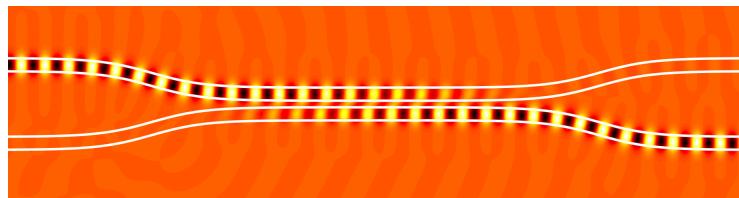
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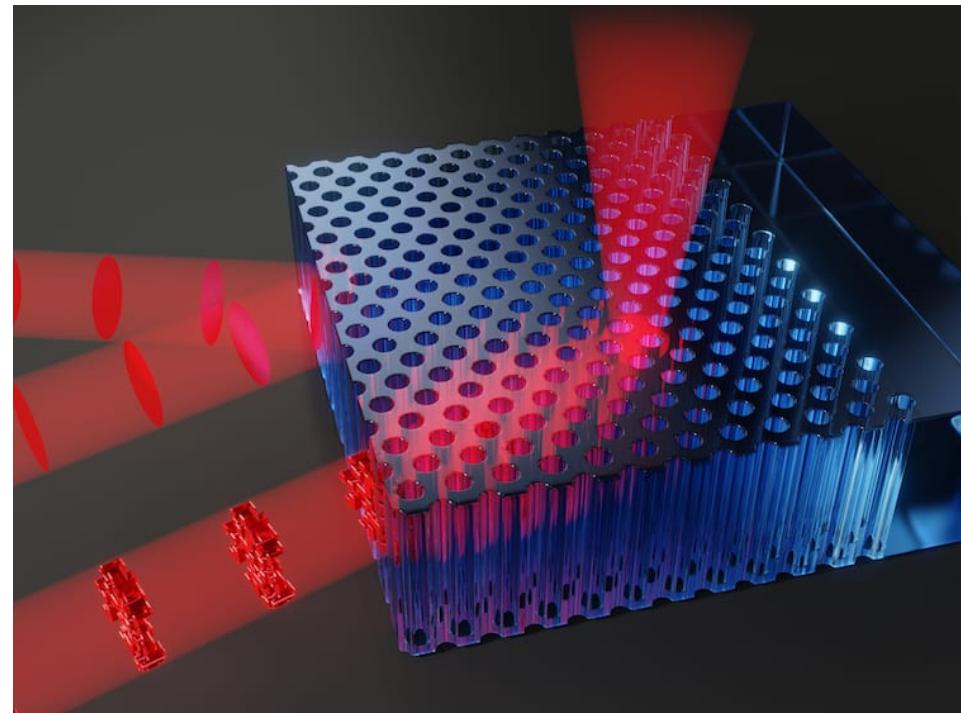
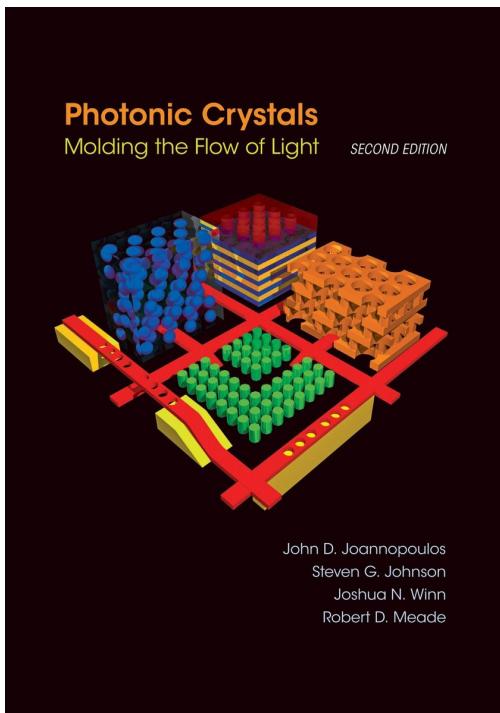


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Sideris, C., Garza, E., & Bruno, O. P. (2019). Ultrafast Simulation and Optimization of Nanophotonic Devices with Integral Equation Methods. *ACS Photonics*, 6(12), 3233-3240.

Scattering by Unbounded Obstacles

- ♦ Periodic media

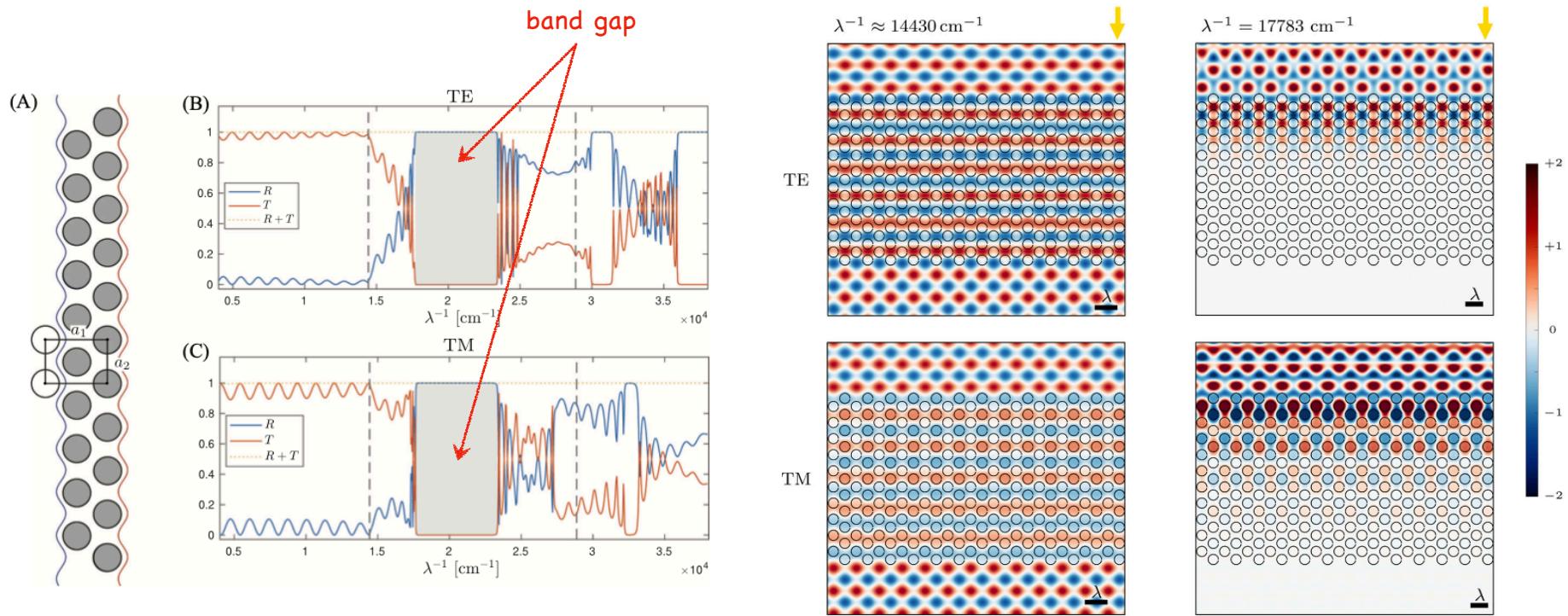


<https://physicsworld.com/>

Photonic crystals allow the manipulation of light propagation using periodic structures that create allowed and forbidden frequency bands, enabling precise control of optical behavior

Scattering by Unbounded Obstacles

- ♦ Periodic media



Strauszer-Caussade, T., Faria, L. M., Fernandez-Lado, A., & P.-A., C. (2023). Windowed Green Function method for wave scattering by periodic arrays of 2D obstacles. *Studies in Applied Mathematics*, 150(1), 277-315.

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