

# A Sample Mathematics Paper

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## Abstract

This is a sample  $\text{\LaTeX}$  paper; its purpose is to show the basics of setting up a paper and important features of  $\text{\LaTeX}$ . It can also be used for assignments or other short notes.

## 1 Introduction

This is a simple  $\text{\LaTeX}$  document designed to illustrate the basics of typesetting a paper. The ideas shown here can be adapted for a more informal document, such as a homework assignment.

This document is created from various source files, the most important of which is named `paper.tex`. By reading `paper.tex` along side the typeset output, the diligent reader should be able to deduce how various parts of  $\text{\LaTeX}$  work. Indeed, you cannot understand everything that we did in this paper without looking at the source file. For example, how did we type  $\text{\LaTeX}$ ?

Remember that  $\text{\LaTeX}$  is a markup language and not a what-you-see-is-what-you-get word processor.

Good luck.

## 2 Basic Stuff

### 2.1 Files and commands

$\text{\LaTeX}$  converts files you type into professional-looking typeset documents. You type your  $\text{\LaTeX}$  document using a text editor (such as Emacs on a Unix computer or in an integrated editor in a  $\text{\TeX}$  system). This file's name should end “`.tex`”. The file that produced this document is named `paper.tex`.

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\*Grant support listed here.

To convert a source file, such as `paper.tex`, into a finished product (in PDF format) one gives a command<sup>1</sup> such as this:

```
pdflatex paper
```

An integrated L<sup>A</sup>T<sub>E</sub>X system will have a menu item named “Typeset” (or something similar) to process your file.

## 2.2 Skeleton of a L<sup>A</sup>T<sub>E</sub>X document

The first line of a typical L<sup>A</sup>T<sub>E</sub>X document is this:

```
\documentclass[12pt]{article}
```

(The optional `[12pt]` sets the overall point size for the main text of your document to 12 points. You may use `[11pt]` for 11 point text or omit this for 10 point.)

The lines immediately following `\documentclass` are known as the *preamble* of your document. This is where you define your own commands, load optional packages, etc. In the simple `hello-world.tex` file, there are no lines in the preamble section. For this document, there are several.

The main text of the document is enclosed between lines that say `\begin{document}` and `\end{document}`. This is where you type the words you want to appear on your paper. (Look for those lines now in the file `paper.tex`.)

## 2.3 Typing and fonts

To type ordinary text, simply type what you want. To start a new paragraph, simply skip a line.

Certain characters have special meanings. The two most important are the backslash (`\`) and the dollar sign (`$`). Most L<sup>A</sup>T<sub>E</sub>X commands are preceded by a backslash. All mathematical symbols should be enclosed by dollar signs. If you need a dollar sign in ordinary text, you can type `\$` to produce, say, “Dan Naiman owes me \$5.” I can’t think of any occasion where you need a backslash in ordinary text. (You cannot produce a backslash in ordinary text by typing `\\`.)

Use the correct quotation marks. To enclose words in double quotes, begin with two back tick characters<sup>2</sup> `‘ ‘` and end with two apostrophes `’ ’`. Do not use the double quote key `"`. To enclose words in single quotes, begin with a single back tick character and end with a single apostrophe.

Typically, you should not need to change the size of the text you are typing. Use the logical structures of L<sup>A</sup>T<sub>E</sub>X and let the computer pick the appropriate size.<sup>3</sup> The overall point size of the document is indicated at the beginning of the file as an optional argument to the `\documentclass` command.

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<sup>1</sup>For papers with cross references it may be necessary to run ‘`pdflatex`’ more than once.

<sup>2</sup>On many keyboards, you will find the back tick character in the top row to the extreme left.

<sup>3</sup>For example, text in a footnote is automatically typeset smaller than text in the main body of the document.

Likewise with font style. In general, you do not need to pick the font. Variable names in mathematics mode are automatically typeset in italics as in  $x + 1$ . Similarly, the font style in section heads, theorems, etc., are automatically produced for you. For example:

**Theorem 2.1.** *Suppose the lengths of the legs of a right triangle are  $a$  and  $b$ , and the length of the hypotenuse is  $c$ . Then  $a^2 + b^2 = c^2$ .* □

However, there are times you may wish to use italics to show emphasis. To do this enclose your text in `\emph{...}` as in: I am *still* waiting for him to pay me back.

*Never use mathematics mode to typeset ordinary text in italics.* If you do, the result will look *awful*.

To typeset in boldface enclose your text in `\textbf{...}` and to typeset in sans serif enclose in `\textsf{...}`. However, you probably will not need to use these in ordinary text. (Later we talk about math typeset in bold, e.g., for vectors.)

To typeset in CAPS AND SMALL CAPS use `\textsc{...}`. To typeset in **typewriter font** use `\texttt{...}`. However, to show something that looks like computer code, you probably want to use one of the verbatim methods; look inside this document to figure out how we did it. The starred version of the verbatim environment shows spaces with a `funny little symbol`.

The system of fonts used by L<sup>A</sup>T<sub>E</sub>X is known as the Computer Modern family. If you prefer Times Roman, include these commands in the preamble of your file:

```
\usepackage{times}
\usepackage{mathptm}
```

## 2.4 Accents

Don't be naïve, ordering á la carte is expensive. Don't use ö when you write Erdős. Be honest; don't put up a façade. Use Hôpital's rule.

## 2.5 Lists

For numbered lists use the `enumerate` environment and for bulleted lists use the `itemize` environment as in these examples.

This is a numbered list.

1. If you loan money to faculty members, be sure to get an I.O.U.
2. To be sure that students show up for events, serve food.

This is a bulleted list.

- L<sup>A</sup>T<sub>E</sub>X does an excellent job of typesetting mathematics papers.
- L<sup>A</sup>T<sub>E</sub>X can easily produce beautiful results that are 99% perfect.
- You can drive yourself crazy on that last 1%. Don't bother.

You can nest these types of lists inside each other.

## 3 Mathematics

### 3.1 Basic math

Whenever you typeset mathematical notation, it needs to be inside a mathematics environment. The simplest way to do this is to enclose the notation between single dollar signs  $\$$ . For example: If  $a$  is an integer, then  $2a + 1$  is odd.

Superscripts and subscripts are created using the characters  $\wedge$  and  $_$ , respectively:  $x^2 + y^2 = 1$  and  $a_n = 0$ . It is fine to have both on a single letter:  $x_0^2$ .

If the superscript [or subscript] is more than a single character, enclose the superscript in curly braces:  $e^{-x}$ .

Greek letters are typed using commands such as `\gamma` ( $\gamma$ ) and `\Gamma` ( $\Gamma$ ).

Named mathematics operators are usually typeset in roman. Most of the standards are already available. Some examples:  $\det A$ ,  $\cos \pi$ , and  $\log(1-x)$ . If  $\text{\LaTeX}$  doesn't already have the operator you like, you can create your own<sup>4</sup> using the `\DeclareMathOperator` command. For example, to make `\id` the identity operator:

```
\DeclareMathOperator{\id}{id}
```

Now we can type `\id(x)` to produce  $\text{id}(x)$ . The `\DeclareMathOperator` command must go in the preamble (before `\begin{document}`).

### 3.2 Displayed equations

When an equation becomes too large to run in-line, you display it on a line by itself by enclosing it in double dollar signs  $\$$ .

$$f(x) = 5x^{10} - 9x^9 + 77x^8 + 12x^7 + 4x^6 - 8x^5 + 7x^4 + x^3 - 2x^2 + 3x + 11.$$

If you want a numbered equation, enclose it in `\begin{equation}` and `\end{equation}`.

$$g(x) = x^{10} + x^9 - x^3 - x - 1. \tag{1}$$

The numbering is automatically provided by  $\text{\LaTeX}$ .

If you want to number an equation with your own number (don't!) or symbol (maybe), you can do this:

$$h(x) = f(x) + g(x). \tag{*}$$

The `\begin{align*}...\end{align*}` environment is superb for lining up equations. (Omit the `*` for numbered equations.)

$$\begin{aligned} (x-y)^2 &= (x-y)(x-y) \\ &= x^2 - yx - xy + y^2 \\ &= x^2 - 2xy + y^2. \end{aligned}$$

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<sup>4</sup>This requires the `amsmath` package; see [1].

$$\begin{array}{ll} 3x - y = 0 & 2a + b = 4 \\ x + y = 1 & a - 3b = 10 \end{array}$$

To insert ordinary text inside of mathematics mode, use `\text`:

$$f(x) = \frac{x}{x-1} \text{ for } x \neq 1.$$

This is the 3<sup>rd</sup> time I've asked for my money back.

The `\begin{cases}...\end{cases}` environment is perfect for defining functions piecewise:

$$|x| = \begin{cases} x & \text{when } x \geq 0 \text{ and} \\ -x & \text{otherwise.} \end{cases}$$

### 3.3 Relations and operations

- Equality-like:  $x = 2$ ,  $x \neq 3$ ,  $x \cong y$ ,  $x \propto y$ ,  $y \sim z$ ,  $N \approx M$ ,  $y \asymp z$ ,  $P \equiv Q$ .
- Order:  $x < y$ ,  $y \leq z$ ,  $z \geq 0$ ,  $x \preceq y$ ,  $y \succ z$ ,  $A \subseteq B$ ,  $B \supset Z$ .
- Arrows:  $x \rightarrow y$ ,  $y \leftarrow x$ ,  $A \Rightarrow B$ ,  $A \iff B$ ,  $x \mapsto f(x)$ ,  $A \Leftarrow B$ .
- Set stuff:  $x \in A$ ,  $b \notin C$ ,  $A \ni x$ . Use `\notin` rather than `\not\in`.  $A \cup B$ ,  $X \cap Y$ ,  $A \setminus B = \emptyset$ .
- Arithmetic:  $3 + 4$ ,  $5 - 6$ ,  $7 \cdot 8 = 7 \times 8$ ,  $3 \div 6 = \frac{1}{2}$ ,  $f \circ g$ ,  $A \oplus B$ ,  $v \otimes w$ .
- Mod: As a binary operation, use `\bmod`:  $x \bmod N$ . As a relation use `\mod`, `\pmod`, or `\pod`:

$$\begin{array}{ll} x \cong y & \bmod 10 \\ x \cong y & (\bmod 10) \\ x \cong y & (10) \end{array}$$

- Calculus:  $\partial F / \partial x$ ,  $\nabla g$ .

### 3.4 Use the right dots

Do not type three periods; instead use `\cdots` between operations and `\ldots` in lists:  $x_1 + x_2 + \cdots + x_n$  and  $(x_1, x_2, \ldots, x_n)$ .

### 3.5 Built up structures

- Fractions:  $\frac{1}{2}$ ,  $\frac{x-1}{x-2}$ .
- Binomial coefficients:  $\binom{n}{2}$ .
- Sums and products. Do *not* use `\Sigma` and `\Pi`.

$$\sum_{k=0}^{\infty} \frac{x^k}{k!} \neq \prod_{j=1}^{10} \frac{j}{j+1}.$$

$$\bigcup_{k=0}^{\infty} A_k \quad \bigoplus_{j=1}^{\infty} V_j$$

- Integrals:

$$\int_0^1 x^2 dx$$

The extra bit of space before the  $dx$  term is created with the `\,` command.

- Limits:

$$\lim_{h \rightarrow 0} \frac{\sin(x+h) - \sin(x)}{h} = \cos x.$$

Also  $\limsup_{n \rightarrow \infty} a_n$ .

- Radicals:  $\sqrt{3}$ ,  $\sqrt[3]{12}$ ,  $\sqrt{1+\sqrt{2}}$ .
- Matrices:

$$A = \begin{bmatrix} 3 & 4 & 0 \\ 2 & -1 & \pi \end{bmatrix}.$$

In line:  $A = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ . A big matrix:

$$D = \begin{bmatrix} \lambda_1 & 0 & 0 & \cdots & 0 \\ 0 & \lambda_2 & 0 & \cdots & 0 \\ 0 & 0 & \lambda_3 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & \lambda_n \end{bmatrix}.$$

### 3.6 Delimiters

- Parentheses and square brackets are easy:  $(x-y)(x+y)$ ,  $[3-x]$ .
- For curly braces use `\{` and `\}`:  $\{x : 3x-1 \in A\}$ .
- Absolute value:  $|x-y|$ ,  $\|\vec{x} - \vec{y}\|$ .
- Floor and ceiling:  $\lfloor \pi \rfloor = \lceil e \rceil$ .

- To make delimiters grow so they are properly sized to contain their arguments, use `\left` and `\right`:

$$\left[ \sum_{n=0}^{\infty} a_n x^n \right]^2 = \exp \left\{ -\frac{x^2}{2} \right\}$$

Occasionally, it is useful to coerce a larger sized delimiters than `\left/\right` produce. Look at the two sides of this equation:

$$((x_1 + 1)(x_2 - 1)) = ((x_1 + 1)(x_2 - 1)).$$

I think the right is better. Use `\bigl`, `\Bigl`, `\bigr`, and the matching `\bigr`, etc.

- Underbraces:

$$\underbrace{1 + 1 + \cdots + 1}_{n \text{ times}} = n.$$

### 3.7 Styled and decorated letters

- Primes:  $a'$ ,  $b''$ .
- Hats:  $\bar{a}$ ,  $\hat{a}$ ,  $\vec{a}$ ,  $\widehat{a}_j$ .
- Vectors are often set in bold:  $\mathbf{x}$ . Don't use `\textbf` in mathematics mode and don't use `\mathbf` in text mode.
- Calligraphic letters (for sets of sets):  $\mathcal{A}$ .
- Blackboard bold for number systems:  $\mathbb{C}$ .

### 3.8 Defining your own commands

You can (and should) define your own commands (also called macros). For example, if you refer to the positive orthant  $\mathbb{R}_+^n$  frequently, put the following line in your preamble:

```
\newcommand{\rnp}{\mathbb{R}^n_+}
```

Then, you can just type  `$\rnp$`  instead of  `$\mathbb{R}^n_+$` . Also, if later you decide that you prefer  $\mathbf{R}$  instead of  $\mathbb{R}$ , you only have to change the definition of `\rnp`.

It is possible to define commands that take arguments. For example, suppose your paper uses column vectors frequently. We define a new command named `\col` like this:

```
\newcommand{\col}[1]{\left[\begin{matrix} #1 \end{matrix}\right]}
```

The `[1]` means that `\col` takes one argument. The `#1` shows where that one argument goes. Now we can type

$$\begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix} + \begin{bmatrix} -1 \\ 3 \\ -2 \end{bmatrix} = \begin{bmatrix} 0 \\ 5 \\ 1 \end{bmatrix}$$

easily.

Here's another example. Suppose the expression  $\binom{a^2+b^2}{a+b}$  appears often (but with different values for  $a$  and  $b$ ). Let's call the combinatorial oddity `\comb` and define it like this:

`\newcommand{\comb}[2]{\binom{#1^2 + #2^2}{#1+#2}}`

and use it like this: `\comb{a}{b}`.

## 4 Theorem/Proof

In the preamble of this document, find the following lines:

```
\newtheorem{thm}{Theorem}[section]
\newtheorem{lem}[thm]{Lemma}
\newtheorem{prop}[thm]{Proposition}
\newtheorem{cor}[thm]{Corollary}
\newtheorem{conj}[thm]{Conjecture}
```

The first line defines a `\begin{thm}... \end{thm}` environment. This will produce a theorem named “Theorem” and the numbering style will be based on the section (e.g., Theorem 2.1); omit the `[section]` and the numbering will be absolute (e.g., Theorem 1).

The second line defines a `\begin{lem}... \end{lem}` environment to produce theorems marked “Lemma”. The `[thm]` means that this environment shares the same numbering as `thm`.

So we get this:

**Theorem 4.1.** *A subset of the real line is compact if and only if it is closed and bounded.*

**Lemma 4.2.** *In any graph, the sum of the degrees of the vertices is twice the number of edges.*

**Conjecture 4.3.** *All perfect numbers are even.*

Theorems with names can have those names inserted like this:

**Theorem 4.4** (Fundamental Theorem of Algebra). *Let  $p$  be a polynomial with complex coefficients. Then there exists  $z \in \mathbb{C}$  such that  $p(z) = 0$ .*

Enclose your proof in a `\begin{proof}... \end{proof}` environment.<sup>5</sup>

*Proof.* Let  $X$  be the set of all positive integers that are not interesting. Suppose, for the sake of contradiction, that  $X \neq \emptyset$ . By the well-ordering principle,  $X$  contains a least element  $a$ . Note that  $a$  is the first noninteresting number, but that’s interesting!  $\Rightarrow \Leftarrow$ . Therefore,  $X = \emptyset$  and so all positive integers are interesting.  $\square$

Note that the end-of-proof symbol is automatically included. To show that a proof of a theorem is omitted, you can add an end-of-proof symbol yourself using the `\qed` command.

**Proposition 4.5.** *Let  $x$  be an integer. Then  $x$  is even if and only if  $x + 1$  is odd.*  $\square$

---

<sup>5</sup>You need the `amsthm` package for this; see [1] and [2].



## 5 Cross References

### 5.1 Labels for numbered entities

Numbered L<sup>A</sup>T<sub>E</sub>X structures can be given a label and we can use that label to refer to that structure. For example, this section is labeled using the command `\label{sect:cross-ref}`. That enables me to type `Section~\ref{sect:cross-ref}` to produce “Section 5”. The tilde ~ is called a “tie” character. It produces a space but L<sup>A</sup>T<sub>E</sub>X won’t break the line at that point.

It is much better to use labels and references than to type in the section (or theorem or subsection or figure or equation) number yourself. If you change the document, the labels will change automatically.

You can use `\pageref` to give the page number on which the label can be found. For example, Theorem 2.1 is on page 3. Don’t use this for journal submissions.

You can use `\eqref` to refer to equations; this command inserts the parentheses for you: The polynomial  $g$  is defined in Equation (1).

### 5.2 Citations

Most papers contain references. The best way to deal with references in L<sup>A</sup>T<sub>E</sub>X is as follows:

- Have a separate bibtex file; the bibtex file for this paper is named `paper.bib`. The bibtex file contains all the information about the references but no formatting information. Examine the `paper.bib` file that accompanies this document.
- At the end of the paper, please find these lines

```
\bibliographystyle{plain}
\bibliography{paper}
```

The first specifies the bibliography style. (We chose “plain” but there are others available.) The second builds the actual References section of the paper.

- Each entry in the bibtex file has a key name. For example, the key for Knuth’s *T<sub>E</sub>Xbook* is `knuth84`. To include a reference in the paper to this book, we type `\cite{knuth84}` to give this: [9].
- Normally, only references that are cited with a `\cite` command appear in the paper’s bibliography. However, to coerce L<sup>A</sup>T<sub>E</sub>X to include *all* references in the bibtex file into your bibliography, include the command `\nocite{*}` just after the `\begin{document}` command.

To process the files `paper.tex` and `paper.bib`, run these commands on your computer:

```
pdflatex paper
bibtex paper
pdflatex paper
pdflatex paper
```

## 6 Figures

To incorporate a diagram into  $\text{\LaTeX}$  you first prepare that diagram in a separate drawing program such as `xfig`, *Mathematica*, or *MATLAB*. Save your illustration in `pdf` format; let's say the file is called `doodle.pdf`. We now want it to appear in our paper (as Figure 1). The

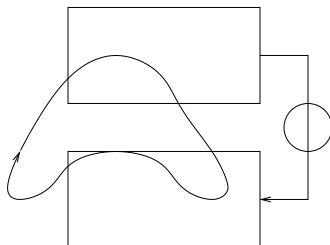


Figure 1: A doodle created with the Xfig program.

$\text{\LaTeX}$  code that make the figure appear is this:

```
\begin{figure}[ht]
\begin{center}
\includegraphics[scale=0.5]{doodle}
\end{center}
\caption{A doodle created with the Xfig program.}
\label{fig:doodle}
\end{figure}
```

The optional `[ht]` means that the figure may appear either “Here” or else at the “Top” of the next page (if it doesn’t fit here).  $\text{\LaTeX}$  takes these as suggestions and may decide to put your figure somewhere else if the figure is too big.

The optional `[scale=0.5]` shrinks the image by 50%. Try `[width=\textwidth]` to make the figure exactly as wide as your text. Or `[width=0.75\textwidth]` makes it  $\frac{3}{4}$  of the width of your text.

## 7 Tables

Here are some simple examples of tables. Examine the source file to see how they are created.

| Left flush   | Centered          | Right Flush         |
|--------------|-------------------|---------------------|
| Row 1        | Middle of row one | right side of row I |
| A second row | row #2            | R2                  |

|       |   |   |   |    |
|-------|---|---|---|----|
| $x$   | 1 | 2 | 3 | 4  |
| $x^2$ | 1 | 4 | 9 | 16 |

| Term              | Definition   |
|-------------------|--|
| symmetric         | a matrix equal to its own transpose                    |
| singular          | a matrix that is noninvertible                         |
| doubly stochastic | a nonnegative matrix whose rows and columns sum to one |

## 8 Further Reading

The canonical introduction to L<sup>A</sup>T<sub>E</sub>X is [11]. I am particularly fond of the American Mathematics Society’s add-ons to L<sup>A</sup>T<sub>E</sub>X and these are documented in [1] and [2].

More advanced information can be found in [6], [8], and [10]. See also [7] for more on graphics and L<sup>A</sup>T<sub>E</sub>X.

The L<sup>A</sup>T<sub>E</sub>X system is built on top of Donald Knuth’s T<sub>E</sub>X; this is documented in [9], but you probably won’t need to read this.

Searching for help on L<sup>A</sup>T<sub>E</sub>X and bibtex on the web is often fruitful.

Please note that some of the entries in the bibliography (look inside `paper.bib`) are phony; they are included only to illustrate different types of bibliographic entries.

## References

- [1] American Mathematical Society. *User’s Guide for the `amsmath` Package*, 1999 (Revised 2002). Available from the AMS website, [www.ams.org](http://www.ams.org).
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