

## 2. Permutations

### 2.1 A little bit about functions

#### ⚙ Lemma 2.1.2: Associativity of function composition ◦ (p40)

Let  $A, B, C$  and  $D$  be sets and let  $h : A \rightarrow B$ ,  $g : B \rightarrow C$  and  $f : C \rightarrow D$  be functions. Then

$$(f \circ g) \circ h = f \circ (g \circ h)$$

#### 📖 Injectivity

A function  $f : A \rightarrow B$  is called **injective** if and only if

$$\forall a_1, a_2 \in A : \boxed{f(a_1) = f(a_2) \Rightarrow a_1 = a_2} \iff \forall a_1, a_2 \in A : a_1 \neq a_2 \Rightarrow f(a_1) \neq f(a_2)$$

#### 📖 Surjectivity

A function  $f : A \rightarrow B$  is called **surjective** if and only if

$$\forall b \in B : \boxed{\exists a \in A : f(a) = b}$$

#### 📖 Bijectivity

A function  $f : A \rightarrow B$  is called **bijective** if and only if it is both injective and surjective.

$$\begin{aligned} f \text{ is bijective} &\iff f \text{ is injective and } f \text{ is surjective} \\ &\iff \forall b \in B : \exists! a \in A : f(a) = b \end{aligned}$$

#### 📖 Definition 2.1.3: Inverse Function (p42)

Let  $f : A \rightarrow B$  be a function. A function  $g : B \rightarrow A$  is called *the inverse* of  $f$  if

$$\boxed{f \circ g = id_B \quad \text{and} \quad g \circ f = id_A}$$

We denote the inverse of  $f$  by  $f^{-1}$ .

#### ⚙ Lemma 2.1.4: A function is invertible if and only if it is bijective (p43)

Let  $f : A \rightarrow B$  be a function.

$$\boxed{f \text{ is bijective} \iff f \text{ is invertible}}$$

#### ⚙ Lemma 2.1.5: About cardinalities of the domain and codomain of functions (p43)

Suppose that  $A$  and  $B$  are sets and let  $f : A \rightarrow B$  be a function.

- If  $f$  is injective, then  $|A| \leq |B|$ .
- If  $f$  is surjective, then  $|A| \geq |B|$ .

### 🔗 Lemma 2.1.6 (p44)

Let  $A$  and  $B$  be finite sets and let  $f : A \rightarrow B$  be a function.

$$|A| = |B| \implies (f \text{ is injective} \iff f \text{ is surjective} \iff f \text{ is bijective})$$

## 2.2 Definition of permutations

### 📖 Permutation (p44)

A **permutation** of a set  $A$  is a **bijective** function  $f : A \rightarrow A$ .

### 📖 Definition 2.2.1: Set of permutations (p45)

Let  $A$  be a set. The set of all permutations  $f : A \rightarrow A$  is denoted by  $S_A$ .

In case  $A = \{1, 2, \dots, n\}$  we write  $S_n$  instead of  $S_{\{1, 2, \dots, n\}}$ .

We can write down a permutation  $f \in S_n$  in two-line notation as

$$\begin{pmatrix} a_1 & a_2 & \cdots & a_n \\ f[a_1] & f[a_2] & \cdots & f[a_n] \end{pmatrix}$$

### 📖 Composition of permutations (p46)

If we have two permutations  $f$  and  $g$  on the **same** set  $A$ , then we can compose them to get a new **permutation**  $f \circ g$  **on**  $A$ :

$$(f \circ g)[a] := f[g[a]] \text{ is a permutation on } A$$

### Proof

To see that  $f \circ g$  is a **function from**  $A$  **to**  $A$ , we note that since  $g$  is a function from  $A$  to  $A$ , for every  $a \in A$ ,  $g[a]$  is well-defined and belongs to  $A$ . Then, since  $f$  is also a function from  $A$  to  $A$ , applying  $f$  to  $g[a]$  gives us  $(f \circ g)[a] = f[g[a]]$ , which is also in  $A$ . Thus, for every  $a \in A$ ,  $(f \circ g)[a]$  is well-defined and belongs to  $A$ , confirming that  $f \circ g$  is indeed a function from  $A$  to  $A$ .

To see that  $f \circ g$  is **bijective**, we can use Lemma 2.1.4: since both  $f$  and  $g$  are bijective, they both have inverses, denoted by  $f^{-1}$  and  $g^{-1}$ . We can then check that

$$(f \circ g) \circ (g^{-1} \circ f^{-1}) = id_A \quad \text{and} \quad (g^{-1} \circ f^{-1}) \circ (f \circ g) = id_A$$

which shows that  $f \circ g$  has an inverse

$$(f \circ g)^{-1} = (g^{-1} \circ f^{-1}),$$

and from Lemma 2.1.4 it follows that  $f \circ g$  is indeed bijective.

Since a bijective function from a set to itself is a permutation, we conclude that  $f \circ g$  is indeed a permutation on  $A$ .

### Composing a permutation with itself (p47)

If  $f$  is a permutation on a set  $A$ , then we denote:

- $f^0 := id_A$
- $f^2 := f \circ f$
- ...
- $f^k := \underbrace{f \circ f \circ \dots \circ f}_{k \text{ times}}$

### Order of a permutation (p47)

Let  $f$  be a permutation on a set  $A$ . The **order** of  $f$  is defined as:

$$\text{ord}(f) = \text{the smallest } i \in \mathbb{Z}_{\geq 0} \text{ such that } f^i = id_A$$

If no such  $i$  exists, then we say that  $f$  has **infinite order** and write

$$\text{ord}(f) = \infty$$

### Theorem 2.2.7: Central properties of composition of permutations (p47-48)

Let  $A$  be a set and  $S_A$  the set of permutations on  $A$ . Further denote by  $\circ$  the composition of permutations on  $S_A$ . Then we have:

1. The composition map is associative:

$$\forall f, g, h \in S_A : (f \circ g) \circ h = f \circ (g \circ h)$$

2. The identity permutation satisfies:

$$\forall f \in S_A : f \circ id_A = id_A \circ f = f$$

3. There exists an inverse for every permutation  $f$ , denoted by  $f^{-1}$ :

$$\forall f \in S_A : \exists g \in S_A : g \circ f = f \circ g = id_A$$

### Definition 2.2.8: Symmetric group on $A$ and on $n$ letters (p48)

The pair  $(S_A, \circ)$  is called the **symmetric group** on the set  $A$ .

In case  $A = \{1, 2, \dots, n\}$ , we say that  $(S_n, \circ)$  is the **symmetric group on  $n$  letters**.

## 2.3 Cycle notation

### $m$ -cycle (p48)

Let  $m \geq 1$  be an integer. A permutation  $f \in S_A$  is called an  **$m$ -cycle** if there exist  $m$  distinct elements  $a_0, a_1, \dots, a_{m-1} \in A$  such that

$$\begin{cases} f[a_i] = a_{(i+1) \bmod m} & \text{for } i = 0, 1, \dots, m-1 \\ f[x] = x & \text{for all } x \in A \setminus \{a_0, a_1, \dots, a_{m-1}\} \end{cases}$$

That is to say,

$$f[a_0] = a_1, \quad f[a_1] = a_2, \quad \dots, \quad f[a_{m-2}] = a_{m-1}, \quad f[a_{m-1}] = a_0$$

and  $f$  leaves all elements in  $A \setminus \{a_0, a_1, \dots, a_{m-1}\}$  fixed.

### Cycle notation (p49)

If  $f$  is an  $m$ -cycle as in the definition above, then we write  $f$  in **cycle notation** as

$$f = (a_0 a_1 a_2 \dots a_{m-1})$$

### Lemma 2.3.2: An $m$ -cycle has order $m$ (p49)

Let  $m \geq 1$  be an integer and let  $a_0, a_1, \dots, a_{m-1}$  be distinct elements of  $A$ . Then the  $m$ -cycle  $(a_0 a_1 a_2 \dots a_{m-1})$  has order  $m$ .

$$\boxed{\text{An } m\text{-cycle } (a_0 a_1 a_2 \dots a_{m-1}) \text{ has order } m}$$

### Mutually disjoint cycles (p49)

Two cycles  $(a_0 a_1 \dots a_{m-1})$  and  $(b_0 b_1 \dots b_{k-1})$  are **mutually disjoint** if

$$\{a_0, a_1, \dots, a_{m-1}\} \cap \{b_0, b_1, \dots, b_{k-1}\} = \emptyset$$

### Distribution of exponents over disjoint cycles

If cycles are **disjoint**, we can **distribute the exponent** over the composition:

$$\boxed{g^i = c_1^i \circ \dots \circ c_l^i} \quad \text{if } c_i \cap c_j = \emptyset \text{ for all } i \neq j$$

**Proof:** let  $g = c_1 \circ c_2 \circ \dots \circ c_l$  be a composition of mutually disjoint cycles on a set  $A$ . We want to show that for every element  $x \in A$ ,  $(g^i)[x] = (c_1^i \circ c_2^i \circ \dots \circ c_l^i)[x]$ .

We consider two cases based on the position of  $x$ :

1. **Case 1:**  $x \in c_j$  for some  $j \in \{1, 2, \dots, l\}$ . Since the cycles are disjoint, for every  $k \neq j$ ,  $c_k$  leaves  $x$  fixed. Therefore,

$$(g^i)[x] = (c_1 \circ c_2 \circ \dots \circ c_l)^i[x] = c_j^i[x]$$

2. **Case 2:**  $x \notin c_j$  for all  $j \in \{1, 2, \dots, l\}$ . If  $x$  is not in any of the cycles, then all cycles leave  $x$  fixed. Therefore,

$$(g^i)[x] = (c_1 \circ c_2 \circ \dots \circ c_l)^i[x] = x$$

### Commuting permutations

Two permutations  $f$  and  $g$  on a set  $A$  are said to **commute** if

$$f \circ g = g \circ f$$

### Disjoint cycles always commute

Let  $f$  and  $g$  be two permutations on a set  $A$ . If  $f$  and  $g$  are **mutually disjoint** cycles, then they commute:

$$\boxed{f \text{ and } g \text{ are disjoint cycles} \implies f \circ g = g \circ f}$$

**Proof**

Let  $f = (a_0 a_1 \dots a_{m-1})$  and  $g = (b_0 b_1 \dots b_{k-1})$  be two mutually disjoint cycles on a set  $A$ . We want to show that  $f \circ g = g \circ f$ . This means that

$$\{a_0, a_1, \dots, a_{m-1}\} \cap \{b_0, b_1, \dots, b_{k-1}\} = \emptyset \iff A \cap B = \emptyset$$

We will show that for every element  $x \in A$ ,  $(f \circ g)[x] = (g \circ f)[x]$ .

We consider three cases based on the position of  $x$ :

1. **Case 1:**  $x \in A \Leftrightarrow x \notin B$ .

If  $x \in A$ , then  $g[x] = x$  (since  $g$  leaves elements outside its cycle fixed). Therefore,

$(f \circ g)[x] = f[g[x]] = f[x]$  and  $(g \circ f)[x] = g[f[x]] = f[x]$ . Thus,  $(f \circ g)[x] = (g \circ f)[x]$ .

2. **Case 2:**  $x \in B \Leftrightarrow x \notin A$

If  $x \in B$ , then  $f[x] = x$  (since  $f$  leaves elements outside its cycle fixed). Therefore,  $(f \circ g)[x] = f[g[x]] = f[g[x]]$  and

$(g \circ f)[x] = g[f[x]] = g[x]$ . Thus,  $(f \circ g)[x] = (g \circ f)[x]$ .

3. **Case 3:**  $x \notin A$  and  $x \notin B$

If  $x$  is not in either cycle, then both  $f$  and  $g$  leave  $x$  fixed. Therefore,  $(f \circ g)[x] = f[g[x]] = f[x] = x$  and

$(g \circ f)[x] = g[f[x]] = g[x] = x$ . Thus,  $(f \circ g)[x] = (g \circ f)[x]$ .

### Theorem 2.3.5: Disjoint cycle decomposition (p51)

Let  $n \in \mathbb{N}$  and let  $A$  be a **finite (!)** set with cardinality  $|A| = n$ . Then every permutation  $f \in S_A$  can be written as a composition of **mutually disjoint** cycles:

$$f = c_1 \circ c_2 \circ \dots \circ c_l$$

Note that the **identity permutation**  $\text{id} \in S_A$  is a composition of  $n$  mutually disjoint 1-cycles:

$$\text{id} = (1)(2)(3) \dots (n)$$

### ▷ Corollary 2.3.6: Uniqueness of DCD up to ordering (p52)

Let  $n \in \mathbb{N}$  and let  $A$  be a set with cardinality  $|A| = n$ . Further let  $f \neq \text{id} \in S_A$  be a permutation *distinct from the identity* permutation. Suppose

$$f = c_1 \circ c_2 \circ \dots \circ c_l = d_1 \circ d_2 \circ \dots \circ d_k$$

are two decompositions of  $f$  into mutually disjoint cycles. Then

- $l = k$
- After reordering if necessary,  $c_i = d_i$  for all  $i = 1, 2, \dots, l$ .

The disjoint cycle decomposition of  $f$  is **UNIQUE** up to ordering of the cycles

If the **1-cycles are removed**, there is *essentially* only **one way** to write  $f$  as a composition of mutually disjoint cycles.

The "essentially" in this statement just means that the only freedom one has is to **change ordering** of the cycles in the composition, which does not really matter since **disjoint cycles commute**.

### Definition 2.3.9: Type of a permutation (p53)

Let  $A$  be a set with cardinality  $|A| = n$  and let  $f \in S_A$  be a permutation. Suppose that the disjoint cycle decomposition of  $f$  has the form  $f = c_1 \circ c_2 \circ \dots \circ c_l$ , where

- $f$  has  $t_1$  fixed points (1-cycles),
- $f$  has  $t_i$   $i$ -cycles for  $i = 2, 3, \dots, n$

Then the **cycle type** of  $f$  is defined as the  $n$ -tuple

$$(t_1, t_2, \dots, t_n) \quad \text{for } |A| = n$$

If  $f \in S_A$  has cycle type  $(t_1, t_2, \dots, t_n)$ , then - since there are only  $n$  elements in  $A$  - it must hold that

$$t_1 + 2t_2 + 3t_3 + \dots + nt_n = n$$

### Proposition 2.3.12: Order of a permutation based on DCD (p54)

Let  $A$  be a **finite** set with cardinality  $|A| = n$  and let  $f \in S_A$  have a disjoint cycle decomposition  $f = c_1 \circ c_2 \circ \dots \circ c_l$ , where  $c_i$  is an  $m_i$ -cycle for  $i = 1, 2, \dots, l$ . Then

$$\text{ord}(f) = \text{lcm}(m_1, m_2, \dots, m_l) \quad \text{where the } m_i \text{ are the lengths of the disjoint cycles in the DCD}$$

*Example:*  $\text{ord}((1\ 2\ 3)(4\ 5)) = \text{lcm}(3, 2) = 6$ .

So to determine the order of a permutation, it is sufficient to know its cycle type.

## Exercises

### HW1.1

$$\forall n \in \mathbb{N} : \forall g \in S_n : \boxed{g \text{ and } g^{-1} \text{ have the same cycle type and order}}$$

### HW1.2 a) and b)

If  $h \in S_n$  is an  $m$ -cycle, then

$$\forall g \in S_n : \boxed{g \circ h \circ g^{-1} \text{ is also an } m\text{-cycle}}$$

and

$h$  and  $g \circ h \circ g^{-1}$  have the same cycle type and order.

The order depends only on the cycle type (Proposition 2.3.12).

### HW1.2 c)

The relation

$$\sim = \{(h, k) \in S_n \times S_n \mid \exists g \in S_n : k = g \circ h \circ g^{-1}\}$$

is an equivalence relation on  $S_n$ .