

COP 3402 Systems Software

Syntax Analysis (Parser)

Outline

1. Definition of Parsing
2. Context Free Grammars
3. Ambiguous/Unambiguous Grammars

Lexical and Syntax Analysis

Lexical Analysis

regular languages

described by regular expressions

recognized by deterministic finite automata

implement lexer/scanner

tool flex (lex)

Syntax Analysis

context-free languages

context-free grammars

push-down automata

parser

**tokens extracted by
lexer are the non-terminal
symbols of the CFG**

bison (yacc)

Parsing Problem

Given a grammar for a language and a string, a parser

- determines if the string is syntactically correct, that is, if can be generated using the rules of the grammar, and
- constructs the parse tree if the string is correct.

A syntactically correct string is called sentence of the language.

For a compiler, a sentence is correct program.

We will focus on a recursive decent parser of a LL(1) grammar for PL/0.

Nested Structures

Languages containing **nested structures** such as correctly parenthesized programs cannot be described by regular expressions.

Even the much simpler language

{ ab, aabb, aaabbb, aaaabbbb, ... }

is not regular.

We need to add **recursion** to describe nested structures.

Recursion

The following rules suffice to define **regular sets/languages**:

Concatenation $r \ s$

Alternation $r \mid s$

Kleene closure (repetition) r^*

Regular sets are generated by regular expressions and recognized by finite state automata (FSA).

We obtain **context free languages** by adding **recursion** as a new rule.

Context Free Grammars

Context Free Languages are

- generated by Context Free Grammars (CFG) and
- recognized by Pushdown Automata.

The strings of a language are called sentences or statements.

Parsing is the task of determining whether the syntax (structure) of a given string conforms to the rules a given grammar, or equivalently, whether it is a sentence in the language

Context Free Grammars

Consider the following three rules (grammar):

`<sentence> -> <subject> <predicate>`

`<subject> -> John | Mary`

`<predicate> -> eats | talks`

where `->` means “is defined as”

The four possible sentences that can be derived from `<sentence>` are

John eats John talks

Mary eats Mary talks

Context Free Grammars

We refer to the rules used in the example grammar as

syntax rules, productions, syntactic equations, or rewriting rules.

<subject> and <predicate> are syntactic classes or categories.

Using a shorthand notation, the syntax rules of the example grammar are

$S \rightarrow A B$

$A \rightarrow a \mid b$

$B \rightarrow c \mid d$

The language (the set of sentences) of this grammar is

$L = \{ ac, ad, bc, bd \}$

Context Free Grammars

A context free language is defined by a 4-tuple (T, N, R, S) as:

(1) The set of **terminal symbols** T

- * They cannot be substituted by any other symbol
- * This set is also called the **vocabulary**

$S \rightarrow A B$

$A \rightarrow$

a		b
c		d

$B \rightarrow$

a		b
c		d

← **terminal symbols (tokens)**

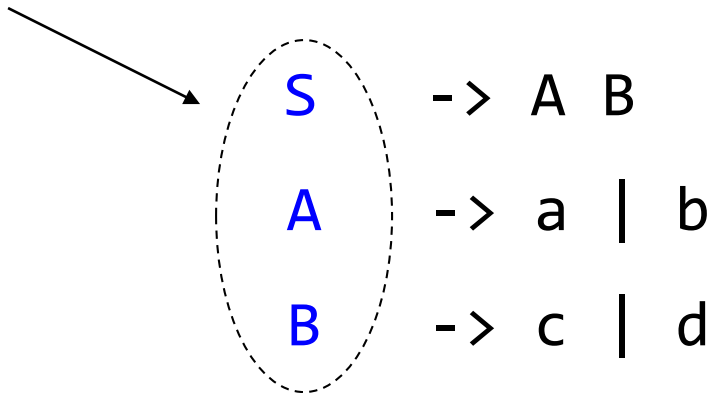
Context Free Grammars

A context free language is defined by a 4-tuple (T, N, R, S) as:

(2) The set of **non-terminal symbols** (N)

- * They denote syntactic classes
- * They can be substituted by other symbols

non-terminal symbols

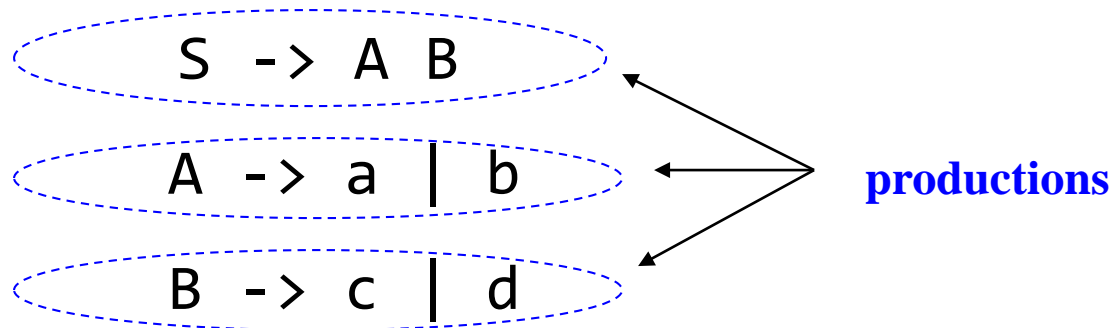


Context Free Grammars

A context free language is defined by a 4-tuple (T, N, R, S) as:

(3) The set of **syntactic equations** or **productions** (the grammar).

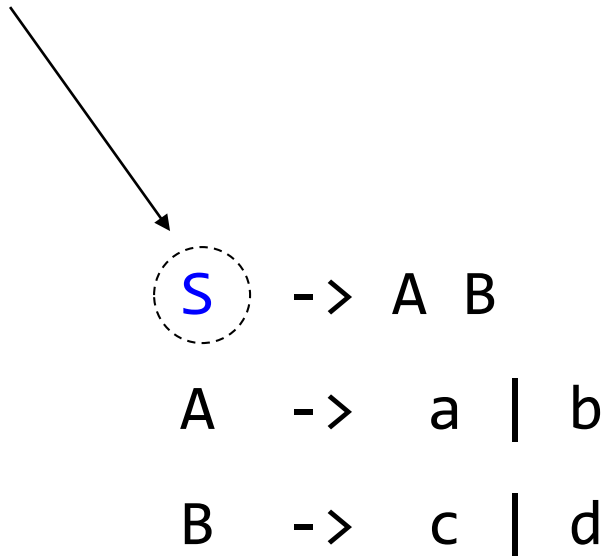
* An equation or rewriting rule is specified for each non-terminal symbol (R)



Context Free Grammars

A context free language is defined by a 4-tuple (T, N, R, S) as:

(4) The start symbol (S)



Context Free Grammars

A very well known meta-language used to specify context free grammars is **Backus Naur Form (BNF)**

It was developed by John Backus and Peter Naur in the late 50s to describe programming languages.

Noam Chomsky developed context free grammars in the early 50s.

Context Free Grammars

Example of a grammar for a simple assignment statement:

R1	$\langle \text{assgn} \rangle ::= \langle \text{id} \rangle := \langle \text{expr} \rangle$
R2	$\langle \text{id} \rangle ::= a \mid b \mid c$
R3	$\langle \text{expr} \rangle ::= \langle \text{id} \rangle + \langle \text{expr} \rangle$
R4	$\mid \langle \text{id} \rangle * \langle \text{expr} \rangle$
R5	$\mid (\langle \text{expr} \rangle)$
R6	$\mid \langle \text{id} \rangle$

Context Free Grammars

Grammar for a simple
assignment statement:

The statement `a := b * (a + c)`
is generated by this **left most derivation**:

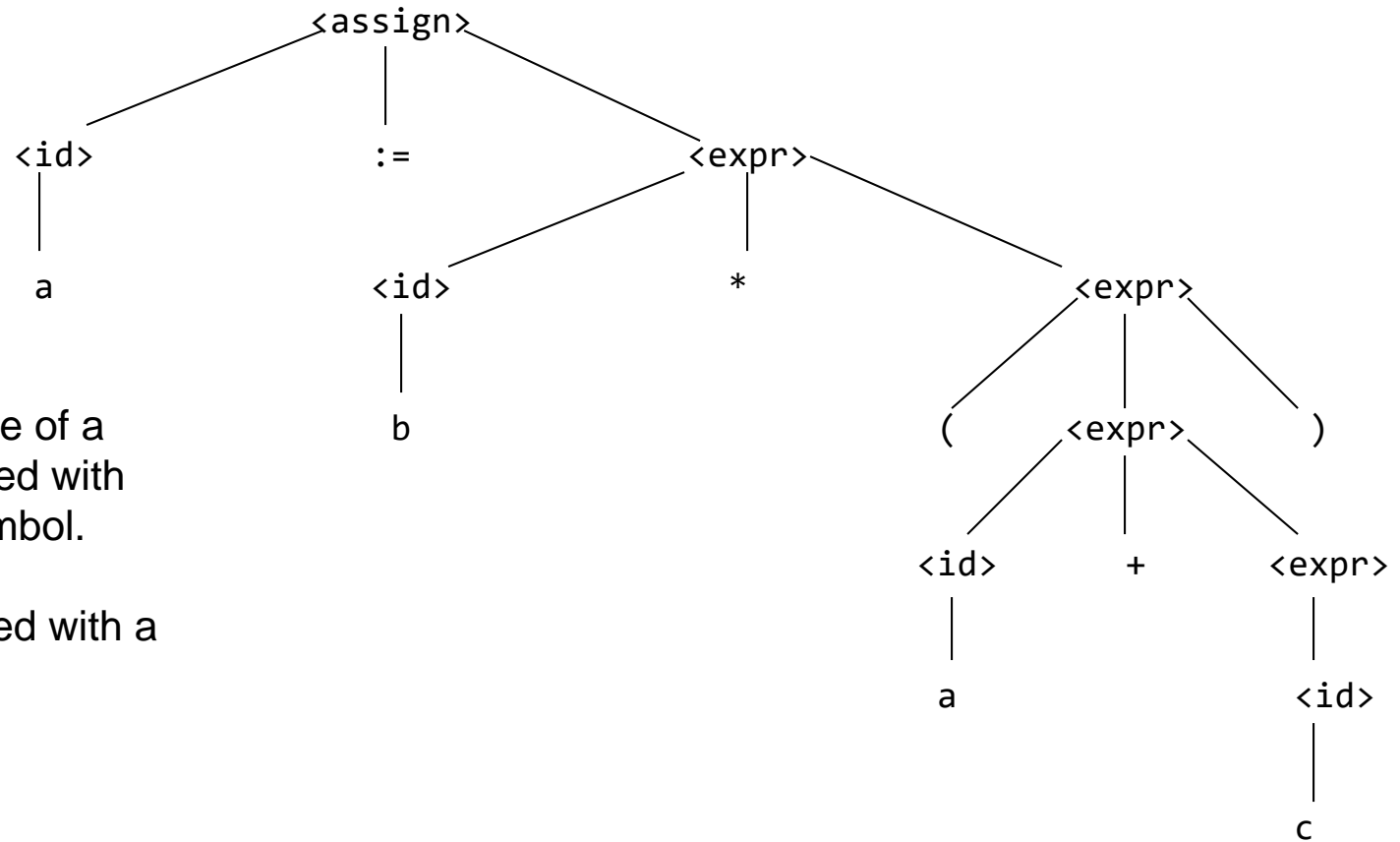
R1	<code><assign> ::= <id> := <expr></code>	<code><assign></code>	
R2	<code><id> ::= a b c</code>	<code>-> <id> := <expr></code>	R1
R3	<code><expr> ::= <id> + <expr></code>	<code>-> a := <expr></code>	R2
R4	<code> <id> * <expr></code>	<code>-> a := <id> * <expr></code>	R4
R5	<code> (<expr>)</code>	<code>-> a := b * <expr></code>	R2
R6	<code> <id></code>	<code>-> a := b * (<expr>)</code>	R5
		<code>-> a := b * (<id> + <expr>)</code>	R3
		<code>-> a := b * (a + <expr>)</code>	R2
		<code>-> a := b * (a + <id>)</code>	R6
		<code>-> a := b * (a + c)</code>	R2

In a **left most derivation** only the left most non-terminal is replaced

Parse Trees

A **parse tree** is a graphical representation of a derivation.

For instance, the parse tree for the statement `a := b * (a + c)` is:



Every internal node of a parse tree is labeled with a non-terminal symbol.

Every leaf is labeled with a terminal symbol.

Ambiguity

A grammar that generates a sentence for which there are two or more distinct parse trees is said to be **ambiguous**.

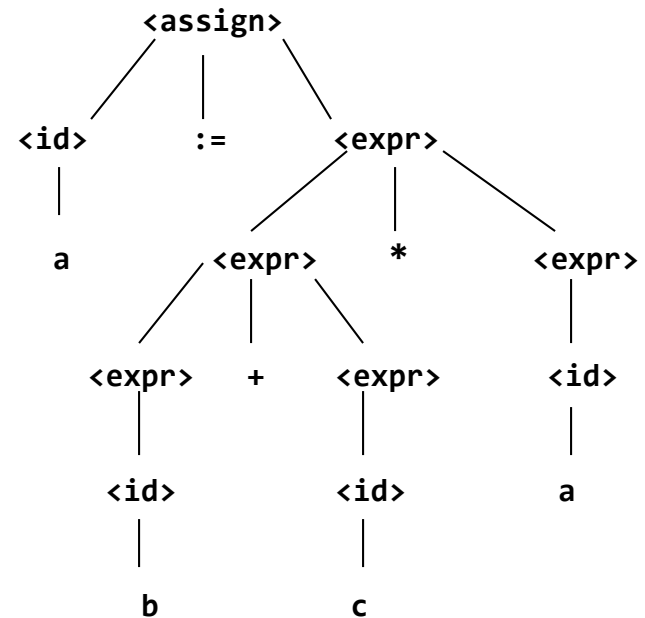
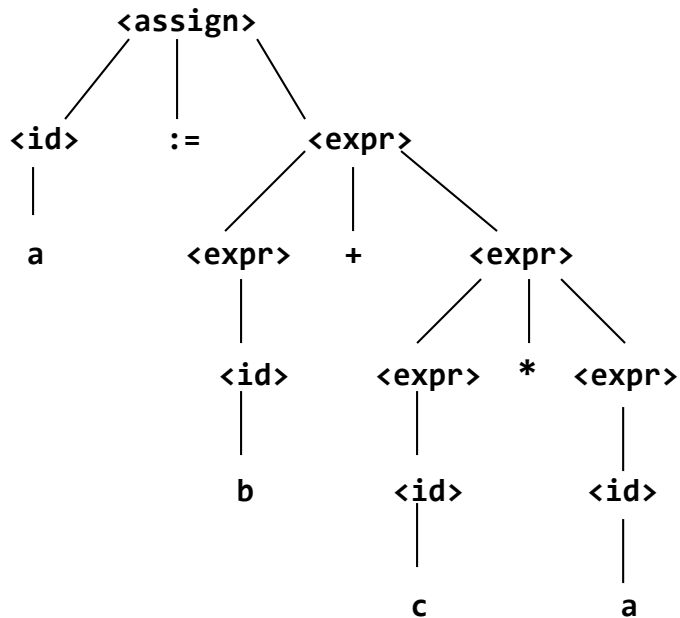
For instance, our grammar for a simple assignment statement

```
<assgn> ::= <id> := <expr>
<id>    ::= a | b | c
<expr>  ::= <expr> + <expr>
          | <expr> * <expr>
          | ( <expr> )
          | <id>
```

is ambiguous because there are distinct parse trees for the expression

a := b + c * a

Distinct Parse Trees



If a language structure has more than one parse tree, then the meaning of the structure cannot be determined uniquely.

Operator Precedence

If an operator is generated lower in the parse tree, it indicates that the operator has precedence over the operator generated higher up in the tree.

An unambiguous grammar for expressions:

<assign> ::= <id> := <expr>

<id> ::= a | b | c

**<expr> ::= <expr> + <term>
 | <term>**

This grammar indicates the usual precedence order of multiplication and addition operators.

**<term> ::= <term> * <factor>
 | <factor>**

This grammar generates unique parse trees independently of doing a rightmost or leftmost derivation

**<factor> ::= (<expr>)
 | <id>**

Example of Derivations

Leftmost derivation:

```
<assgn>
-> <id> := <expr>
-> a := <expr>
-> a := <expr> + <term>
-> a := <term> + <term>
-> a := <factor> + <term>
-> a := <id> + <term>
-> a := b + <term>
-> a := b + <term> * <factor>
-> a := b + <factor> * <factor>
-> a := b + <id> * <factor>
-> a := b + c * <factor>
-> a := b + c * <id>
-> a := b + c * a
```

Rightmost derivation:

```
<assgn>
-> <id> := <expr>
-> <id> := <expr> + <term>
-> <id> := <expr> + <term> * <factor>
-> <id> := <expr> + <term> * <id>
-> <id> := <expr> + <term> * a
-> <id> := <expr> + <factor> * a
-> <id> := <expr> + <id> * a
-> <id> := <expr> + c * a
-> <id> := <term> + c * a
-> <id> := <factor> + c * a
-> <id> := <id> + c * a
-> <id> := b + c * a
-> a := b + c * a
```

Precedence & Associativity

Dealing with ambiguity:

Rule 1: * (times) and / (divide) have higher precedence than + (plus) and - (minus).

Example:

$a + c * 3$ means $a + (c * 3)$

Rule 2: Operators of equal precedence associate to the left.

Example:

$a + c + 3$ means $(a + c) + 3$

Avoiding Ambiguity

As seen previously, the following grammar for arithmetic expression is ambiguous.

$\langle \text{expr} \rangle ::= \langle \text{expr} \rangle \langle \text{op} \rangle \langle \text{expr} \rangle \mid \text{id} \mid \text{int} \mid (\langle \text{expr} \rangle)$

$\langle \text{op} \rangle ::= + \mid - \mid * \mid /$

It is not too difficult to modify the above grammar and obtain an unambiguous grammar that generates the same sentences:

$\langle \text{expr} \rangle ::= \langle \text{term} \rangle$
 $\quad \quad \quad \mid \langle \text{expr} \rangle + \langle \text{term} \rangle \mid \langle \text{expr} \rangle - \langle \text{term} \rangle$

$\langle \text{term} \rangle ::= \langle \text{factor} \rangle$
 $\quad \quad \quad \mid \langle \text{term} \rangle * \langle \text{factor} \rangle \mid \langle \text{term} \rangle / \langle \text{factor} \rangle$

$\langle \text{factor} \rangle ::= \text{id} \mid \text{int} \mid (\langle \text{expr} \rangle)$

Ambiguity

Is this grammar ambiguous?

```
E -> T | E + T | E - T
T -> F | T * F | T / F
F -> id | num | ( E )
```

Parse `id + id - id`

Is this grammar ambiguous?

```
E -> T | E + T
T -> F | T * F
F -> id | ( E )
```

Parse `id + id + id`

Ambiguity

Is this grammar ambiguous?

$E \rightarrow E + E \mid id$

Parse `id + id + id`

Is this grammar ambiguous?

$E \rightarrow E + id \mid id$

Parse `id + id + id`

Ambiguity

Example:

Given the ambiguous grammar

$$E \rightarrow E + E \mid E * E \mid (E) \mid id$$

We could rewrite it as:

$$\begin{aligned} E &\rightarrow E + T \mid T \\ T &\rightarrow T * F \mid F \\ F &\rightarrow id \mid (E) \end{aligned}$$

Determine the parse tree for:

`id + id * id`