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UNIVERSITÄT  
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# Fast Dose Estimation for Radiotherapy Treatment Plans with Uncertainty Estimation

Master Thesis

supervised by  
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# Aufbau

## Abstract

- Klassisches Abstract

## Dedication

- Klassische Dedication

## Declaration

- Klassische Declaration (schauen ob es von Tübingen eine Vorlage gibt)

## Introduction

- Was ist Radiotherapy und warum ist die so interessant
- Wie läuft eine Radiotherapy ab
- Worauf kommt es bei der Radiotherapy drauf an
- Was ist der limitierende Faktor bei Monte Carlo
- Was ist Machine Learning und warum ist es von Interesse

## Previous Work

- Work that proposes a different method to solve the same problem.
- Work that uses the same proposed method to solve a different problem.
- A method that is similar to your method that solves a similar problem.
- A discussion of a set of related problems that covers your problem domain.

## Material & Methods // Proposed Method

- Worauf baue ich auf (DeepDose)
- Baseline Experiment
- Testen gegen Baseline
- Wie erweitere ich dieses Modell:
- RevNet (Christan Baumgartner), Uncertainty Estimation

## Results

- Performance Ergebnisse des Baseline Netzwerks
- Performancewerte für unterschiedliche Entitäten
- Performance Werte mit RevNet
- Funktioniert die quantifizierung der Uncertainty mit dem Ansatz

## Discussion

- Wie sind unsere Ergebnisse einzuordnen im Vergleich zu der Baseline
- Netzwerk Performance bei der unterschiedlichen Entitäten
- Welchen Impact hat das Training mit neuen Entitäten
- Welchen Impact hat das Training mit größeren Patches (s. RevNet)
- Wie funktioniert die Uncertainty Quantification
- Was sind die Limitationen

# Dedication

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# Declaration

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# Introduction

Show why Radiotherapy is so important: search for sources of application of radiotherapy for different entities. Prostate: [1, 2, 3] Mamma: [4, 5, 6] Head & Neck: [7, 8, 9, 10] Liver: [11, 12, 13, 14, 15] Lymph Nodes: [16, 17, 18, 19, 20]

Was ich noch brauche: Infos über MR-Linac, was ist die Vision hinter dem MR Linac (online adaption)

The use of Magnet Resonance Imaging (MRI) during radiotherapy has opened a variety of new opportunities for treatment optimization. MRI provides a better contrast in soft tissue areas of the body, compared to conventional computed tomography (CT), and can be used to assess functional image data from the patient in real time. The enhanced contrast leads to better organs at risk (OAR) and tumor volume delineation. (doi:10.1016/S0360-3016(03)01446-9). Recent research efforts are exploring the capabilities of the hybrid MRI linear accelerator (MRI-Linac) (doi:10.1007/s00066-018-1386-z, doi:10.1016/j.radonc.2007.10.034, doi:10.1002/acm2.12233). The introduction of the MRI-Linac has transformed the clinical workflow for radiotherapy as well as treatment planning. Patients are required to receive one CT for initial treatment planning. For radiation in each fraction, the initial plan is registered on the current MRI and optionally adapted to shift or size variation of the tumor volume (doi:10.1016/j.ctro.2019.04.001). Goal is to reach an MRI-only-workflow where image acquisition, treatment planning and radiotherapy only involve the MRI-Linac. To achieve this goal multiple steps in the clinical workflow need to be adapted

behind MRI Linac is a radiotreatment adaption in an online manner, meaning that a shift of the tumor volume and changes to the patient's anatomy due to movement can be considered to adapt the treatment plan. This results in smaller safety margins (doi:10.1102/1470-7330.2004.0054) for tumor volumes and ultimately result in a lower delivered dose to organs at risk. To achieve this ultimate goal, multiple steps, such as anatomy segmentation, treatment plan adaption and dose deposition simulations need to be able to be performed in real-time.

Welche Besonderheiten gibt es bei einem MR-Linac im Vergleich zu einem normalen Bestraher (Stichworte: ERE, Electron Deposition Shift) Wie funktioniert normale Dosisberechnung (Monte Carlo doi:10.1118/1.598917), warum ist der Nutzen davon limitiert wenn man in die online Adaption möchte.

However, since MC simulation is a stochastic process, the resulting dose map contains inherent quantum noise whose variance is inversely proportional to the number of the simulation histories and, accordingly, to the simulation time. Typ-

ically, achieving clinically acceptable precision requires hours of CPU computation time. Graphics processing unit (GPU)-based parallel computation frameworks can accelerate MC simulation to a few minutes for a typical IMRT/VMAT plan (doi:10.1088/0031-9155/55/11/006)

However, several areas in the clinical workflow require real-time dose calculation, such as inverse optimization of the treatment planning process for IMRT and VMAT (doi:10.1088/2632-2153/abdbfe) especially online radiotherapy and online plan adaption are limited by the time needed to recalculate dose distributions of beam settings and patient anatomies due to moving organs (doi:10.1016/j.clon.2018.08.001)

Machine Learning Teil: Wie wird Machine Learning in verschiedenen bereichen der bestrahlungsplanung bezüglich MRI genutzt: Eine Implementierung und Nutzung dieser könnte zum Erreichen einer Online-Bestrahlungsadaption führen

1. Autosegmentation ([21, 22]) aswell as uncertrainty ([23])
2. Radio Treatment Plan optimization ([24, 25])
3. Dose Estimation ([26, 27] active denoising of lower history MC Simulations (doi:10.1002/mp.13856 ))
4. Pseudo CT ([28, 29, 30])

# Material & Methods

## *Patient Data*

We used the treatment information from 45 prostate, 10 breast, 10 lymph node, 10 head and neck and 10 liver patients who were previously treated using the MRI-Linac Elekta Unity (Elekta, Stockholm, Sweden) in our institution. **List fieldsizes, and gantry angle distribution (maybe a fanfy plot with a circular coordinate system, like a distribution over angles) respectively.** To to improve transational capabilities of the network

List how many segments for which entity I used and then how i split them up into training, validation and test patients and their respective number of segments. to match CT image shape dose distribution were resized to match the 512x512x number of slices shape of the ct input array. (siehe workflow\_code/utils.py skripte). The original iso center of the plan was used weather it was centered in the volume or not.

Ground truth dose distributions were calculated EGSnrc using  $10^7$  histories. (information über EGSnrc also software version und release [31]) Each segment was calculated using same number of monitor units which enabled me to scale the segment based on the segment weight when predicting an entire treatment plan.

## *Network*

The U-Net expects a 3d input of size (batchsize, num\_masks, W, H, D) and samples this input over the encoding path down to extract important features on a lower level scale from size [W, H, D] down to [W/2, H/2, D/2]. The decoding is done using 3D transposed convolutions with a kernelsize and a stride of 2 respectively. A skip connecting was added to before pooling to pass on higher level of volume resolution to later parts of the U-Net. Each block building block consits of a convolutional layer with zero padding, to maintain dimensionality, kernel size 3x3x3 and a stride of 1, a 3D batch normalization layer and a RelU layer. No dropout was used. Modified version of (doi:10.1007/978-3-319-24574-4\_28)

## *Input Data*

The input of the network consists of 5 different masks containig spatial information about the given volume. (insert image with different masks and a little description of it) refer to deepdose paper by kontaxis [26]

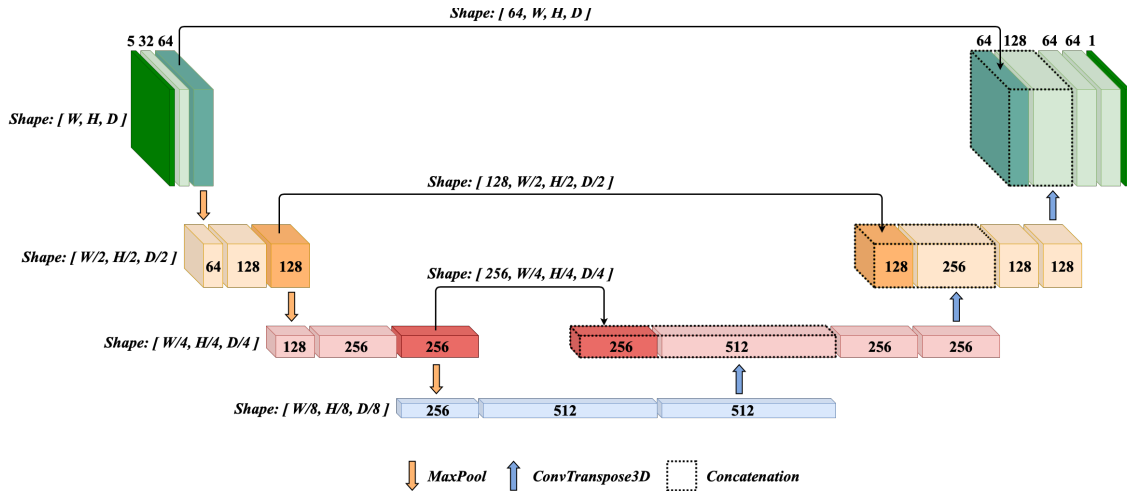


Figure 5.1: 3D U-Net architecture

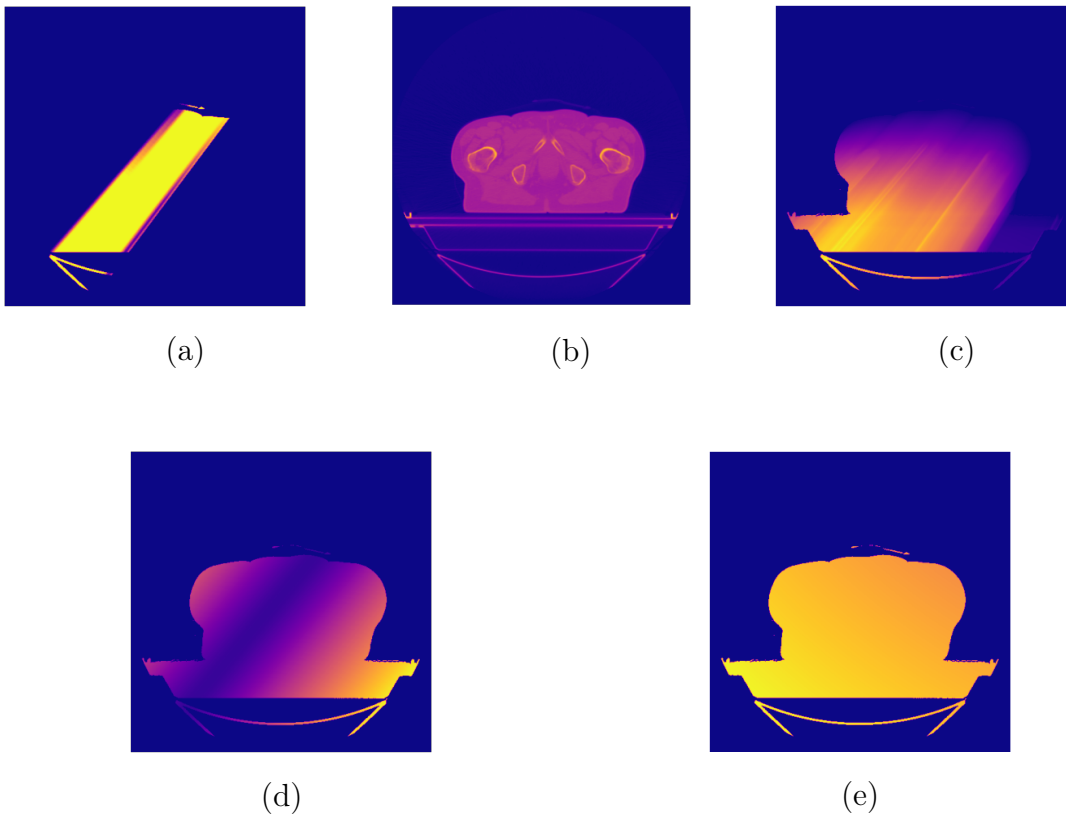


Figure 5.2: Input masks for training

in the image above the the different input masks can bee seen. the masks are the binary beam shape (a), ct image with (electron density oder HU values, mal schauen was besser performed) (b) radiological depth (c) center beamline distance (d) and source distance (d) the binary beam shape input is most importance, due to

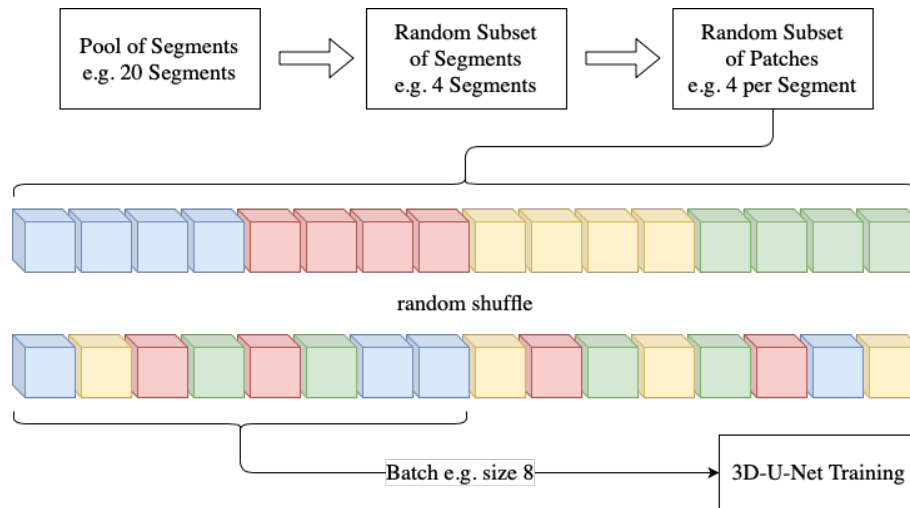


Figure 5.3: Dataloading scheme

the fact that this input mask is the only one providing the network with information about the beam position and orientation concerning shape and limits

ct and radiological depth provide structural information about the patient anatomy. the radiological depth in particular helps the network to understand spatial dimensions when being given patches for training, because the information where a specific voxel is located inside the patient's anatomy would be lost when training with patches without the radiological depth. the algorithm for radiological depth calculation is implemented in python and based on [32]

all input masks are limited to the volume where the ct mask has a hounsfield unit value higher than 150, since this is the threshold of our institutional dose estimation software.

The entire network and training algorithm is programmed in PyTorch. The dataloading was inspired by TorchIO, a library for efficient dataloading for 3d medical imaging and especially patch based loading of 3d data. (torch io cited in [33]) Due to the immense memory usage of (number of segments) segments, not all segments could be loaded simultaneously into the memory. to achieve a randomised set of patches presented to the network at each training iteration, the dataloading is based on a subset of patient segments randomly selected from the entire training data pool. To further improve dataloading time, a simultaneous loading of multiple segments at the same time using multi threading was implemented.

(referenz auf bild was dataloading verdeutlicht) shows the schematic process of preloading a data queue from which random patches are taken for each batch presented to the network for training. when the queue gets filled with patches a by the user specified number of segments gets randomly selected from the pool. then another by the user specified number of random patch positions per segment are extracted from the entire volume. then the entire queue gets shuffled and is then emptied during the training process. after the dataqueue is empty it is refilled with new

Table 5.1 Settings used for gamma analysis of single segments and entire radiation plans.

	<b>percentage threshold</b> /%	<b>distance threshold</b> /mm	<b>lower cutoff</b> /%	<b>local gamma</b> /1
segment	3 / 2 / 1	3 / 2 / 1	10	False
plan	3 / 2 / 1	3 / 2 / 1	40	False

patches from not previously used segments. after all segments have been used for patch extraction, the list of available segments is reset.

### *Training*

The 3D-UNet was trained on a HPC cloud based solution using a 4 Nvidia GTX 2080 Ti with 11GB of VRAM. The batchsize for training was 128 and the patch size was 32 in all dimensions resulting in the input shape of (128, 5, 32, 32, 32). Since 4 graphics cards were used each card processed 32 patches of size (5, 32, 32, 32) simultaneously. The spatial resolution of a 32 x 32 x 32 patch was 37.4 x 37.4 x 96 mm<sup>3</sup> with voxel dimensionality of 1.17 x 1.17 x 3 mm<sup>3</sup>. The loss function used was the root mean squared error and the ADAM optimizer with a starting learning rate of 10<sup>-4</sup>, and the standard settings for beta1, beta2 and epsilon of 0.9, 0.999 and 10-8 respectively. Learning rate was reduced by a factor of 10 when no improvement in the validation loss could be observed. A validation step was done after the training queue has been refilled resulting in a validation step after 12800 patches with 64 segments per queue and 200 patches per segment. The overall accuracy regarding the 3mm/3% gamma values was assessed every 5 queue refillings. Training was stopped when no validation loss improvement could be observed for 30 epochs after learning rate reduction to 10<sup>-6</sup>.

Training supervision was done using Tensorboard in which training loss, validation loss and a the gamma pass rate could be viewed during training.

### *Output analysis*

To assess the overall performance of the network a gamma anlysis (cite gamma paper) was performed. The settings for individual segments and total plan are shown in Table 5.1.

Hier mal noch mit den anderen diskutieren, was man noch machen könnte. DVH? oder sonstige analysen der Dosis. z.B. diese Dice analyse die ich geplant hatte, wo man einen Threshold setzt und dann schauen wie sehr sich die prozente überschneiden.

### *Testing*

The model tested on only prostate patients was tested against all other entities

so assess the translational capabilities of a model only trained on one entity. The model trained on prostate, liver, breast and head and neck radio treatment data, was evaluated on all entities trained on aswell as on lypmh nodes to asses the translation to a tumor entity which was not present in the training data.



# Results

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# Discussion

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# Conclusion

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# Appendix Title

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