# HW 1 — Lambda Calculus

CS 421 Revision 1.0

**Assigned** February 3, 2016 **Due** February 10, 2016

## 1 Objectives and Background

The objective for this homework is to give you practice performing lambda calculus reductions.

#### 1.1 An Overview of Lambda Calculus

The lambda calculus is a method by which one can capture the core essence of functions, function applications, and evaluation. There are two types of lambda calculus: typed and untyped. We will be working with the untyped lambda calculus in this class.

There are three types of expressions in the untyped lambda calculus:

- Variables (like x, y, a, etc.),
- Abstractions (like  $(\lambda x. e)$ ), and
- Application (like  $(e_1 \ e_2)$ ,  $(f \ x)$ , etc.)

### 1.2 Lambda Calculus Terminology

There are also a few bits of terminology that you should know when working with the lambda calculus:

- Lambda Abstraction: Refers to the entire anonymous function (e.g.,  $(\lambda x. e)$ ). Note that lambda abstractions extend as far right as possible, the end of which is defined by either its closing parenthesis or the end of the expression. For example, in  $(\lambda x.xy(yz))$ , the body of the lambda abstraction is xy(yz).
- Variable Binding: In the expression  $(\lambda x. e)$ , x is a variable binding within e. That is, all occurrences of x in the expression e are bound by the x following the  $\lambda$ .
- **Bound Occurrence**: Refers to the occurrence of x in expression e within  $(\lambda x. e)$ .
- Unbound Occurrence: Refers to the occurrence of a variable that is not bound.
- Scope of Binding: In the expression  $(\lambda x. e)$ , occurrences of the variable x in e are said to be bound by the expression  $(\lambda x. e)$ , assuming those occurrences are not in a subterm of e in the form of  $(\lambda x. e')$ .
- Free Variables: All variables in an expression with unbound occurrences.

#### 1.3 Lambda Calculus Terminology: An Example

For example, in the expression  $(\lambda x.xy)$  x, which we'll annotate as  $(\lambda x_0. x_1 y_0)$   $x_2$ :

- Lambda Abstraction:  $(\lambda x.xy)$
- Variable Bindings:
  - $x_0$  (which binds all occurrences of x in the expression xy, namely  $x_1$ )
- Bound Occurrences:
  - The occurrence of x (namely,  $x_1$ ) in the lambda abstraction, which is bound by  $x_0$ .
- Unbound Occurrences:
  - $x_2$  is unbound, because it is bound to no instances of x.
  - $y_0$  is unbound, because it is bound to no instances of y.

- · Scope of Bindings:
  - The occurrence of x, that we call  $x_1$ , is bound within the expression  $(\lambda x.xy)$  by  $x_0$ .
  - Note that the occurrence of x that we call  $x_2$  is not bound by the expression  $(\lambda x.xy)$ .
- Free Variables:
  - $y_0$ , because it is unbound within its scope.
  - $x_2$ , because it is unbound within its scope.

### 1.4 Computations in Lambda Calculus

There are two types of computations that you can do with the untyped lambda calculus that we'll worry about: alpha ( $\alpha$ )-conversion, and beta ( $\beta$ )-reduction.

 $\alpha$ -conversion is a way to avoid variable capture by substituting the associated variable (and its bound occurrences) with a new name that is not used in the related expression. More concretely, given a lambda calculus expression  $\lambda x.e$ , we are allowed to select a new name for x (say, y) given that:

- y is not free in e, and
- there are no free occurrences of x in e that become bound within e when replaced with y.

That is, we only change variable names for the binding variable and its binding occurrences.

For example:

$$\lambda x.xy \xrightarrow{\alpha} \lambda z.zy$$

but:

$$\lambda x.xy \xrightarrow{\alpha} \lambda y.yy$$

is invalid because y is free in the expression (namely, xy).

 $\beta$ -reduction is, trivially put, function application. More precisely, given  $(\lambda x.e_1)$   $e_2$ , we replace every occurrence of x in  $e_1$  with  $e_2$ , taking into account any potential variable capture (and handling it via  $\alpha$ -conversion). We evaluate lambda expressions left to right, meaning that:

$$(\lambda x.\lambda y.xy) (\lambda y.y) (\lambda z.z) \xrightarrow{\beta} (\lambda y.(\lambda y.y)y) (\lambda z.z) \xrightarrow{\beta} (\lambda y.y) (\lambda z.z) \xrightarrow{\beta} (\lambda z.z)$$

is valid, whereas:

$$(\lambda x.\lambda y.xy) (\lambda y.y) (\lambda z.z) \xrightarrow{\beta} (\lambda y.xy) (\lambda z.z) \xrightarrow{\beta} \dots$$

and:

$$(\lambda x.\lambda y.xy) (\lambda y.y) (\lambda z.z) \xrightarrow{\beta} (\lambda y.(\lambda z.z)y) (\lambda y.y) \xrightarrow{\beta} \dots$$

are invalid because the original expression was not evaluated left to right.

# 2 Handing In

You should commit and push a PDF copy of your solution to your student repository in the **hw1-lambdacalc** folder and name the file **hw1-submission.pdf**.

To commit and push your work:

### 3 Your Task

Evaluate each of the following lambda expressions, showing each step of the computation along the way. Note that some (but not all) of the following expressions may require  $\alpha$ -conversion. You should not perform  $\alpha$ -conversion unless you believe there is variable capture that warrants it. As an example computation:

$$(\lambda x.(\lambda y.xy)z)\;(\lambda x.xy) \xrightarrow{\;\;\alpha\;\;} (\lambda x.(\lambda a.xa)z)\;(\lambda x.xy) \xrightarrow{\;\;\beta\;\;} (\lambda a.(\lambda x.xy)a)z \xrightarrow{\;\;\beta\;\;} (\lambda x.xy)z \xrightarrow{\;\;\beta\;\;} zy$$

**Problem 1.**  $(\lambda x.x)$  y

**Problem 2.**  $(\lambda x.y) x$ 

**Problem 3.**  $(\lambda x.x \ y) \ (\lambda y.y \ z)$ 

**Problem 4.**  $(\lambda x.x \ y) \ (\lambda a.a \ b) \ p$ 

**Problem 5.**  $(\lambda x.x \ y) \ (\lambda a.b \ a) \ p$ 

**Problem 6.**  $(\lambda x.(\lambda y.x\ y))\ y$ 

**Problem 7.**  $(\lambda x.y \ x) \ y$ 

**Problem 8.**  $(\lambda x.\lambda y.x\ y\ z)\ (\lambda x.x\ y)\ z$ 

**Problem 9.**  $(\lambda x.y \ x) \ x$ 

**Problem 10.**  $(\lambda y.y \ x) \ (\lambda z.z \ y)$