Slides will be found here from now on:

https://github.com/schutera/DeepLearningLecture\_Schutera/tree/master/LectureNotes/DHBW22

1

#### This lecture in one slide

Introduction and motivation for deep learning Neural network conception

#### **Optimization**

Stochastic Gradient Descent

Momentum methods

Adaptive methods

Vanishing and Exploding Gradients

Weight Initialization

Regularization

#### **Neural Network Optimization – Stochastic gradient descent**

**Stochastic** (gradient of a batch) as opposed to deterministic (gradient of the whole dataset)

Unbiased estimate of the gradient. Computational effort

#### **Neural Network Optimization – Stochastic gradient descent**

Stochastic

Randomly selected set of *m* training samples for a batch achieves an **unbiased estimate of the gradient**.

Computational effort

#### **Neural Network Optimization – Stochastic gradient descent**

Stochastic Standard error of the mean.

Limiting number of *m* samples per batch, sets an upper bound to the **computational effort** during the update (growing datasets, growing sample size)

## **SGD**

```
Biases: [[ 3.99840403]]
Prediction [[ 13.96173477]]

Gradient [ 7.84316492  7.84316492  23.60477257]
Weights: [ 1.99761569  1.99761569  1.99283969]
Biases: [[ 3.997612]]
Prediction [[ 13.9427824]]

Gradient [ 7.7917676  7.7917676  23.47492409]
Weights: [ 1.99683142  1.99683142  1.99047923]
Biases: [[ 3.99682403]]
Prediction [[ 13.9239502]]
```

#### **Observations**

#### **Gradients:**

Optimization slows down with smaller gradients

#### **Weights and Biases:**

- Symmetry
- Different initialization would lead to different outcome

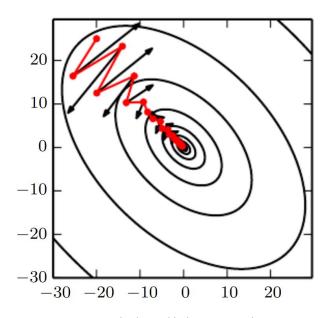
#### **Neural Network Optimization – Momentum methods**

Average gradients of past iterations as velocity **v** 

Consider recent gradients stronger by accounting for friction  $\alpha$  in [0,1)

$$\mathbf{v} = \alpha \mathbf{v} - \epsilon \mathbf{g},$$
$$\theta' = \theta + \mathbf{v}.$$

$$\theta' = \theta + \mathbf{v}$$
.



Red velocity, black current gradient

#### **Neural Network Optimization – Adaptive methods**

Adapting the learning rate throughout the optimization process

#### **AdaGrad (Adaptive Gradient)**

Individually adapts the learning rates of each model parameter, inversely proportional to the historical values of the (squared) gradients. This helps features which are "rarely" updated.

#### **RMSProp**

modifies AdaGrad by approaching the accumulation of historical gradient values as a exponentially weighted moving average. Influence of very old historical values is reduced.

#### **Adam**

combination of exponential weight decay together with first- and second-order moments (mean and variance).

#### **Neural Network Optimization – Adaptive methods**

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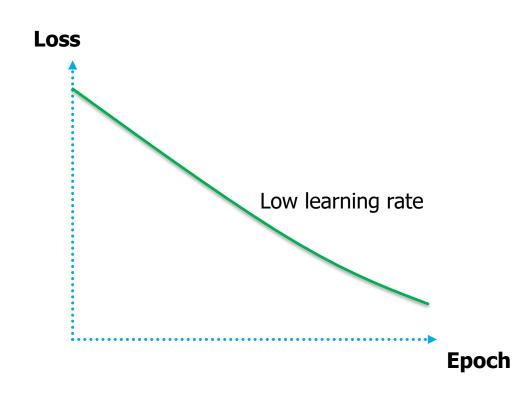
Note:

There is no single best optimization algorithm. Adam is generally and, hence, a reasonable choice for a start.

#### **Neural Network Optimization – Learning Rate**

#### Low learning rates

Loss decay will be linear, and result in high training times.



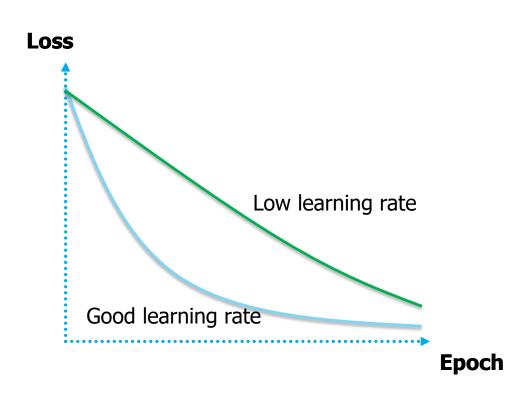
#### **Neural Network Optimization – Learning Rate**

#### Low learning rates

Loss decay will be linear, and result in high training times.

#### **Higher learning rates**

Loss decay will start to decline exponentially.

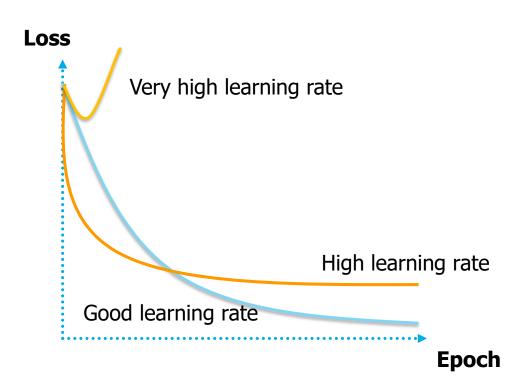


#### **Neural Network Optimization – Learning Rate**

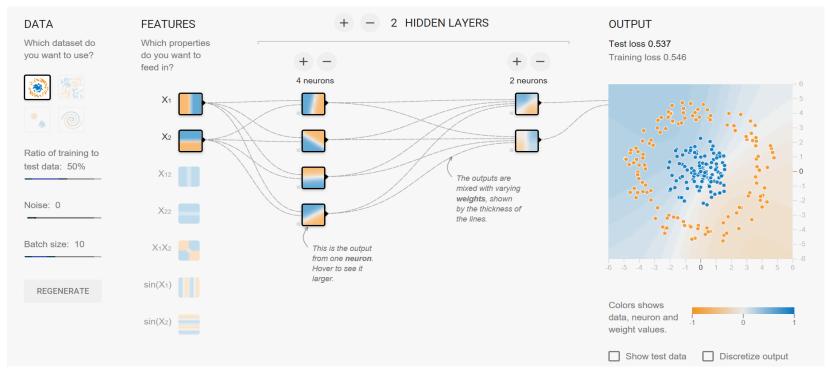
#### **Higher learning rates**

Loss decay will start to decline exponentially.

At some point the parameters will start to bounce around an optimal point, not being able to settle.



# **Neural Network Playground - Tinker with a Neural Network in your browser**



http://playground.tensorflow.org

#### References

- [12] Bing Xu, Naiyan Wang, Tianqi Chen, and Mu Li. Empirical evaluation of rectified activations in convolutional network. arXiv preprint arXiv:1505.00853, 2015.
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- [14] Herbert Robbins and Sutton Monro. A stochastic approximation method. Ann. Math. Statist., 22(3):400–407, 09 1951.
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- [16] John Duchi, Elad Hazan, and Yoram Singer. Adaptive subgradient methods for online learning and stochastic optimization. *Journal of Machine Learning Research*, 12(Jul):2121–2159, 2011.
- [17] Diederik P Kingma and Jimmy Lei Ba. Adam: A method for stochastic optimization. In *Proceedings of the 3rd International Conference for Learning Representations*, 2014.
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#### This lecture in one slide

Introduction and motivation for deep learning
Neural network conception
Optimization

#### Regularization

Parameter constraints

Batch methods

Dropout

Augmentation

Early stopping

Hyperparameter search

#### **Neural Network Regularization**

**Optimization** minimizes the error of a model on observed samples.

Machine Learning Regularization

#### **Neural Network Regularization**

Optimization

**Machine Learning** prioritizes the model performance on unobserved data assuming *i.i.d* (independent and identically distributed). Hence, we are targeting generalization over the data distribution.

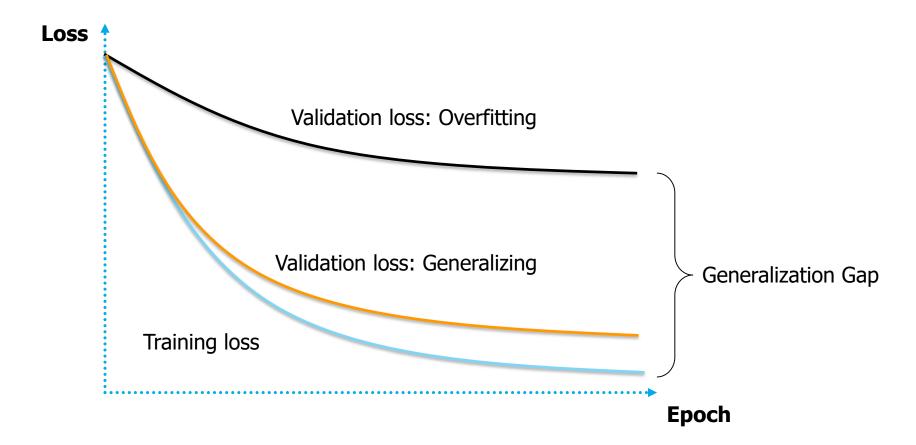
Regularization

#### **Neural Network Regularization**

Optimization Machine Learning

**Regularization** techniques are used for bridging the generalization gap between the performance on observed (training data) and unobserved samples (validation and test data).

#### **Neural Network Regularization – Bridging the generalization gap**

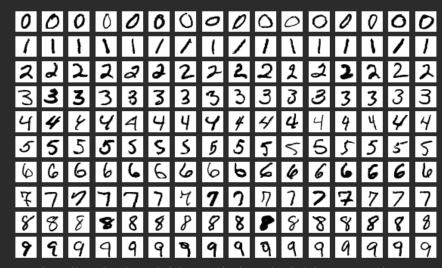


### MNIST Dataset

The MNIST database, the 'hello world!' of machine learning.

Large database of handwritten digits. Grayscale images with dimension of 28x28 pixels.

60k training samples 10k testing images



https://en.wikipedia.org/wiki/MNIST\_database#/media/File:MnistExamples.png

#### **Neural Network Regularization – Parameter Constraints**

#### **Parameter norm penalties**

Adding a cost depending on the parameter values:

$$\widehat{L}(\theta; \mathbf{X}, \mathbf{y}) = L(\theta; \mathbf{X}, \mathbf{y}) + \alpha \Omega(\theta).$$

$$\Omega(w) = \sum_{i}^{n} w_{i}^{2}$$

The most common is the L2 norm penalty, shifting the parameter values to be small (also known as weight decay).

Idea: Small changes in the input have small influence on the predicted output.

Parameter sharing

#### **Neural Network Regularization – Parameter Constraints**

Parameter norm penalties

#### **Parameter sharing**

Force tying parameter values, due to prior knowledge:

$$\mathbf{w}^A$$
 to equal  $\mathbf{w}^B$ .

- Translation invariance in images (Convolution Filters)
- Recurring similar inputs (Recurrent Neural Networks)

#### **Neural Network Regularization – Batch methods**

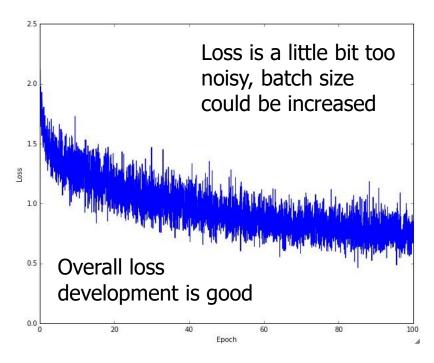
#### Why minibatches?

- Unbiased estimate of the gradient
- Computational effort
- Noise induced regularization for small batch sizes

#### **Neural Network Regularization – Batch methods**

# Which batch size should I go for?

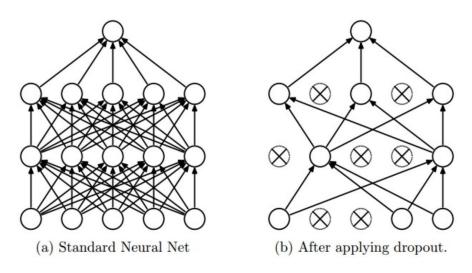
- Hardware restrictions set upper limit
- Power-of-two batch sizes match physical processor and improve runtime
- Loss band should be smooth, implying even gradient estimates.



http://cs231n.github.io/neural-networks-3/#baby

#### **Neural Network Regularization – Dropout**

**Dropout** keeps a neuron active with some probability (keep rate) during training, or setting it zero otherwise.



http://cs231n.github.io/neural-networks-2/#reg

#### **Neural Network Regularization – Dropout**

Dropout keeps a neuron active with some probability (keep rate) during training, or setting it zero otherwise.

For each weight update a different **sub neural network** is sampled from the standard neural network.

This implicitly trains an ensemble of networks, while inducing a regularization pressure, because **each parameter needs to function in all the ensembles**.

#### **Neural Network Regularization – Dropout**

Dropout keeps a neuron active with some probability (keep rate) during training, or setting it zero otherwise.

For each weight update a different sub neural network is sampled from the standard neural network.

This implicitly trains an ensemble of networks, while inducing a regularization pressure, because each parameter needs to function in all the ensembles.

During inference there is no dropout applied.

Generalization improves with an **increased dataset size**. The number of iterations an individual samples is used for training

Generalization improves with an increased dataset size.

The number of iterations an individual samples is used for training

#### **Increasing number of samples demands a great effort:**

- Collecting data
- Preparing data
- Annotate data

Generalization improves with an increased dataset size.

The number of iterations an individual samples is used for training

Increasing number of samples demands a great effort

#### Data augmentation presents a useful solution

By transforming the existing training samples, while keeping the affiliated ground truth samples.

Generalization improves with an increased dataset size.

The number of iterations an individual samples is used for training

Increasing number of samples demands a great effort

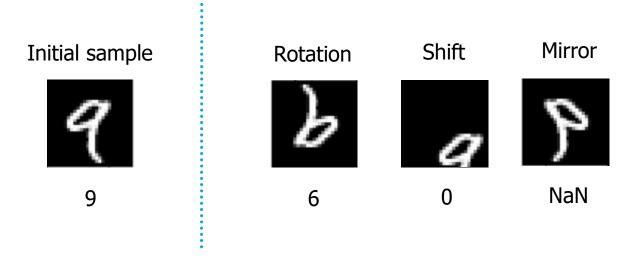
Data augmentation presents a useful solution

#### **Examples of augmentation operations**

- Rotation, Zoom, Cropping, Distortion and Translation
- Brightness and Saturation

#### Think before you augment:

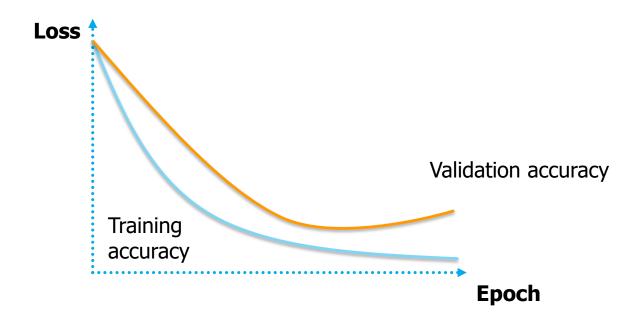
Prevent class switches and class breaks, know your data and your problem statement.



Note: Make sure to motivate the boundary conditions of your augmentation operations.

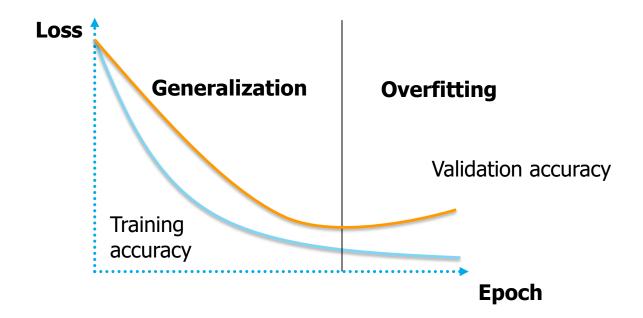
#### **Neural Network Regularization – Early Stopping**

When **training a model with large capacity** (large number of parameters), the training error steadily decreases.



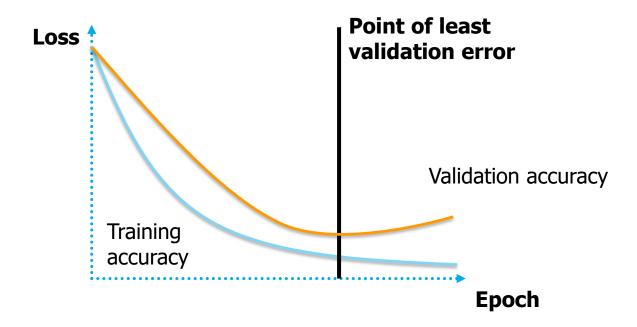
#### **Neural Network Regularization – Early Stopping**

At some point the model overfits on the training samples, leading to an **increased validation loss**.



#### **Neural Network Regularization – Early Stopping**

**Early stopping** is the process of finding the point of least validation error by monitoring the validation accuracy and then exiting the training process.



## References

- [19] Bing Xu, Naiyan Wang, Tianqi Chen, and Mu Li. Empirical evaluation of rectified activations in convolutional network. arXiv preprint arXiv:1505.00853, 2015.
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- [21] John L. Hennessy and David A. Patterson. *Computer Organization and Design (2Nd Ed.): The Hardware/Software Interface*. Morgan Kaufmann Publishers Inc., San Francisco, CA, USA, 1998.
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Digitale Bildverarbeitung und Mustererkennung

#### **Course overview**

Deep Learning Foundations

# **Classification & Object Detection and Transfer Learning**

Segmentation Networks

Deep Reinforcement Learning

Generative Adversarial Networks

**Recurrent Neural Networks** 

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#### This lecture in one slide

# **Classification and Object Detection with neural networks**

Problems & Datasets Convolutional Neural Networks Application to Object Detection

**Transfer Learning with neural networks** 

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# **Introduction – Classification & Object Detection a problem statement**

Classification



Classification + Localization



Object Detection



## **Neural Network Object Detection - Datasets**

## **Common Objects in Context**

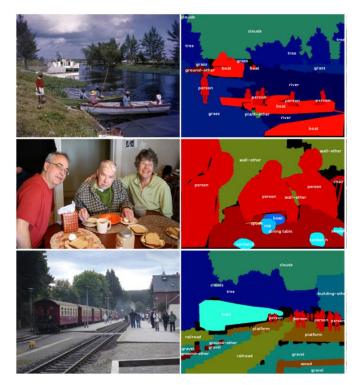
COCO-Detection has 200k images with bounding boxes or pixel-wise labels

#### **Annotations**

80 object categories (person, elephant, etc.), as well as captions.

## Number of samples

200000 bounding box level annotations



https://github.com/nightrome/cocostuff

## **Neural Network Object Detection - Datasets**

## **PASCAL Visual Object Classes**

For each of twenty object classes predict the presence/absence of at least one object of that class in a test image.

#### **Annotations**

20 object classes (Person, Bicycle, etc.)

## Number of samples

11540 bounding box level annotations



http://host.robots.ox.ac.uk/pascal/VOC/pubs/everingham15.pdf

## **Neural Network Object Detection - Datasets**

#### **KITTI**

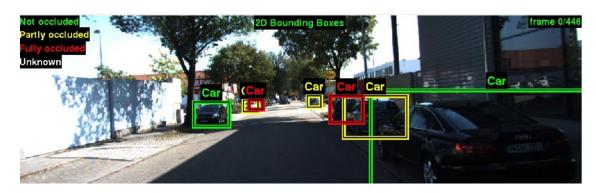
We take advantage of our autonomous driving platform Annieway to develop novel challenging real-world computer vision benchmarks.

#### **Annotations**

2D bounding box annotations with classes

## Number of samples

7481 training images and 7518 test images



http://www.cvlibs.net/publications/Geiger2013IJRR.pdf

## **Convolutional Neural Networks**

## **Typical structure of a neural network in Computer Vision:**

#### **General**

Input Layer



Backbone Network with Convolutional Layers



Task-specific head



Output Layer

## **Classification Example**

MNIST Digit



5 layers of convolutions



Fully-connected Layer



Digit Prediction (0,1,...,9)

## Object Detection Example

KITTI Image



34 layer VGG



Single Shot Detector Head



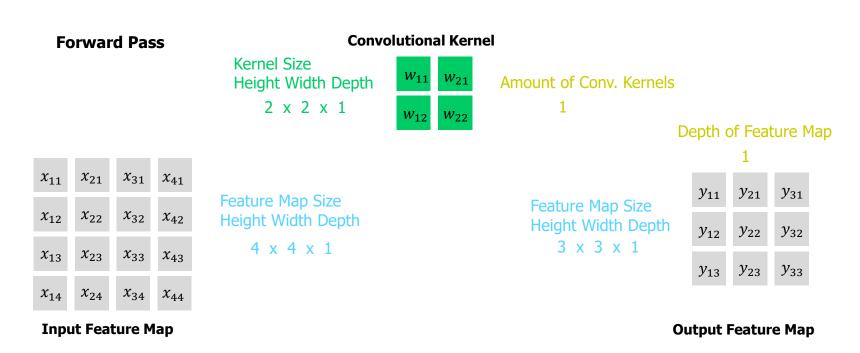
Bounding Boxes in Input Image

## **Convolutional Neural Networks**

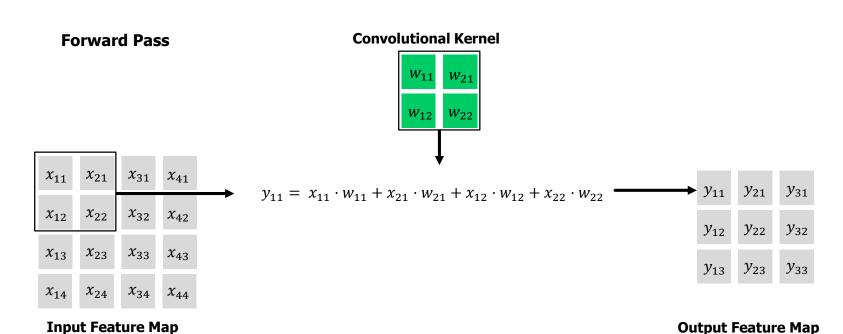
# **Typical structure of a neural network in Computer Vision:**

General	Classification Example	Object Detection Example
Input Layer	MNIST Digit	KITTI Image
Backbone Network with Convolutional Layers	5 layers of convolutions	34 layer VGG
Task-specific head	Fully-connected Layer	Single Shot Detector Head
Output Layer	Digit Prediction (0,1,,9)	Bounding Boxes in Input Image

In a convolutional layer several **kernels** with a predefined **filter size** are applied to a feature map:

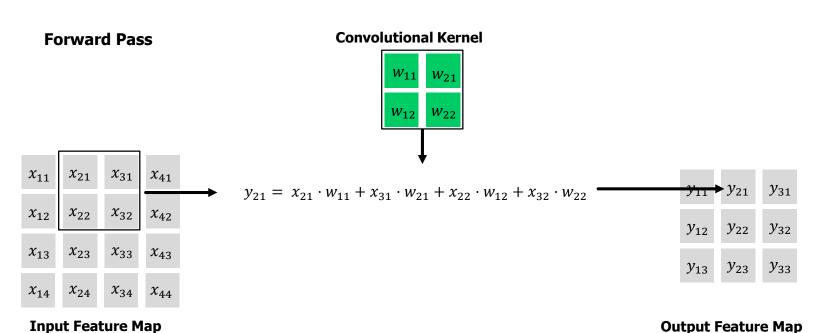


In a convolutional layer several **kernels** with a predefined **filter size** are applied to a feature map:



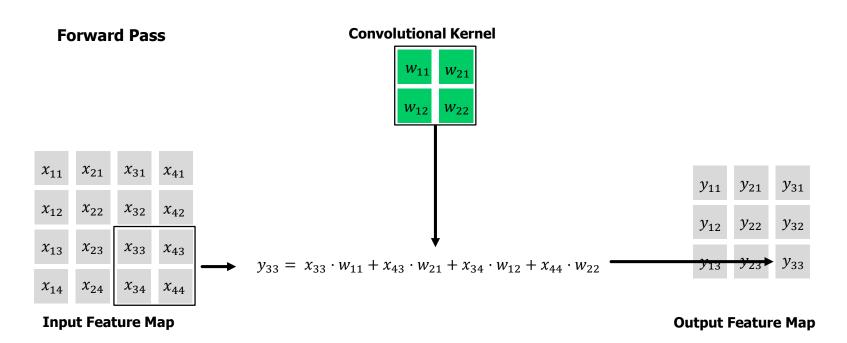
67

In a convolutional layer several **kernels** with a predefined **filter size** are applied to a feature map:



-

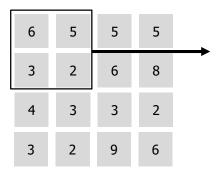
In a convolutional layer several **kernels** with a predefined **filter size** are applied to a feature map:



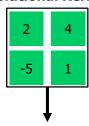
69

In a convolutional layer several **kernels** with a predefined **filter size** are applied to a feature map:

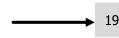
#### **Forward Pass**



#### **Convolutional Kernel**



$$y_{11} = 6 \cdot 2 + 5 \cdot 4 + 3 \cdot -5 + 2 \cdot 1$$

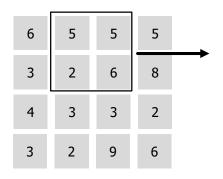


**Input Feature Map** 

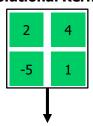
**Output Feature Map** 

In a convolutional layer several **kernels** with a predefined **filter size** are applied to a feature map:

#### **Forward Pass**



#### **Convolutional Kernel**



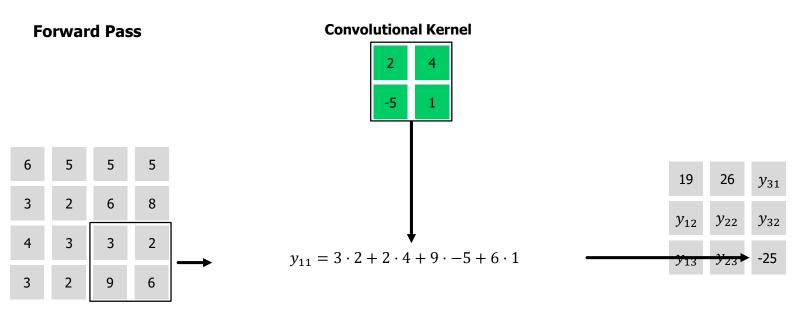
$$y_{11} = 5 \cdot 2 + 5 \cdot 4 + 2 \cdot -5 + 6 \cdot 1$$



**Input Feature Map** 

**Output Feature Map** 

In a convolutional layer several **kernels** with a predefined **filter size** are applied to a feature map:



**Input Feature Map** 

**Output Feature Map** 

In a convolutional layer several **kernels** with a predefined **filter size** are applied to a feature map:

<i>x</i> <sub>11</sub>	<i>x</i> <sub>21</sub>	<i>x</i> <sub>31</sub>	<i>x</i> <sub>41</sub>
<i>x</i> <sub>12</sub>	<i>x</i> <sub>22</sub>	<i>x</i> <sub>32</sub>	<i>x</i> <sub>42</sub>
<i>x</i> <sub>13</sub>	<i>x</i> <sub>23</sub>	<i>x</i> <sub>33</sub>	<i>x</i> <sub>43</sub>
<i>x</i> <sub>14</sub>	<i>x</i> <sub>24</sub>	<i>x</i> <sub>34</sub>	<i>x</i> <sub>44</sub>



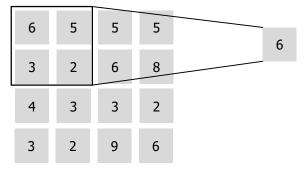
<i>y</i> <sub>11</sub>	y <sub>21</sub>	<i>y</i> <sub>31</sub>
<i>y</i> <sub>12</sub>	$y_{22}$	<i>y</i> <sub>32</sub>
<i>y</i> <sub>13</sub>	$y_{23}$	<i>y</i> <sub>33</sub>

Convolutional layers introduce an **inductive bias** to our neural network:

- In images (or similar measurements) there is **locality in features**. Pixels close to each other are related.
- Those local features are same no matter where the feature is in the image (**weight sharing**).

Pooling Layers are used to reduce the size of a feature map.

**Max Pooling** (filter 2x2, stride of 2)

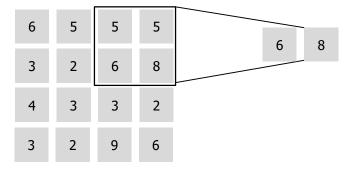


No trainable weights

Find max value in current window

Pooling Layers are used to reduce the size of a feature map.

**Max Pooling** (filter 2x2, stride of 2)



No trainable weights

Find max value in current window

Pooling Layers are used to reduce the size of a feature map.

**Max Pooling** (filter 2x2, stride of 2)

6	5	5	5
3	2	6	8
4	3	3	2
3	2	9	6



No trainable weights

Find max value in current window

Pooling Layers are used to reduce the size of a feature map.

**Max Pooling** (filter 2x2, stride of 2)

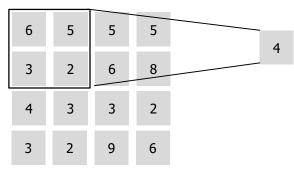
6	5	5	5
3	2	6	8
4	3	3	2
3	2	9	6



No trainable weights

Find max value in current window

**Average Pooling** (filter 2x2, stride of 2)



Find average value of current window

Pooling Layers are used to reduce the size of a feature map.

**Max Pooling** (filter 2x2, stride of 2)

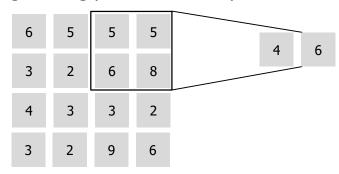
6	5	5	5
3	2	6	8
4	3	3	2
3	2	9	6

6 8 9

No trainable weights

Find max value in current window

**Average Pooling** (filter 2x2, stride of 2)



Find average value of current window

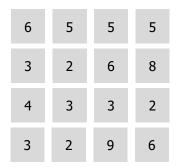
Pooling Layers are used to reduce the size of a feature map.

**Max Pooling** (filter 2x2, stride of 2)

6	5	5	5
3	2	7	8
4	3	3	2
3	2	9	6

6	8
4	9

**Average Pooling** (filter 2x2, stride of 2)



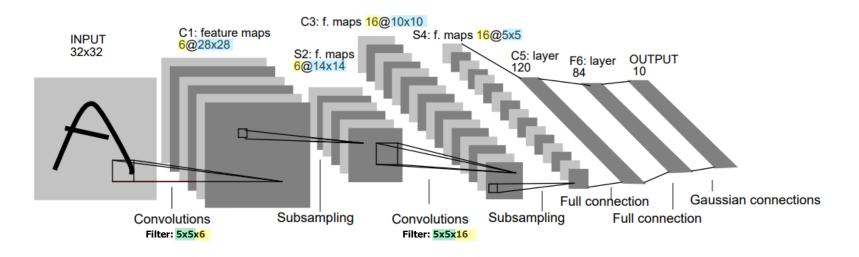


No trainable weights

Find max value in current window

Find average value of current window

# **Neural Network Object Detection – Digit Classification with LeNet-5**



Kernel Size

Amount of Kernels in Layer = Depth of subsequent Feature Map

Width & Height of Feature Map