

## 1 Space filling curves for NN-queries

(1 P.)

1. Implement space-filling z-curves in a language of your choice. Your program has to take two files which contain points as input. The first file contains all the points of the base data set, while the second file contains query points. The program has to calculate and display:
  - The k-NN, of each query point, in the base data set, based on the actual distance.
  - The k-NN, of each query point, in the base data set, based on the z-curve distance.

You can use the template in OLAT, which already parses the files and provides utility classes. Submit the code and the output of your program when executed with  $k = 3$  and the two data files provided in OLAT. If you do not use the template, also submit instructions on how to compile and execute your program.

*Note:* If you use code from external sources, provide the source as a comment.

**Solution:**

```
ZCurve exercise:
=====
Reading file: points.txt
Reading file: queries.txt
=====
Points:
(391,1) {81943}
(275,629) {600871}
(653,246) {322169}
(168,146) {50760}
(72,855) {668266}
(893,265) {464339}
(659,74) {287117}
(343,250) {113565}
(845,631) {866939}
(195,884) {686629}
(977,784) {1004289}
(677,350) {419513}
(9,27) {715}
(681,671) {837355}
(556,154) {296664}
=====
Queries:
(358,681) {629910}
(463,667) {643807}
(157,270) {147961}
=====
KNN (k = 3):
(358,681) {629910}: [(275,629) {600871}, (195,884) {686629}, (681,671) {837355}]
(463,667) {643807}: [(275,629) {600871}, (681,671) {837355}, (195,884) {686629}]
(157,270) {147961}: [(168,146) {50760}, (343,250) {113565}, (9,27) {715}]
=====
KNN ZCurve (k = 3):
(358,681) {629910}: [(275,629) {600871}, (72,855) {668266}, (195,884) {686629}]
(463,667) {643807}: [(72,855) {668266}, (195,884) {686629}, (275,629) {600871}]
(157,270) {147961}: [(343,250) {113565}, (391,1) {81943}, (168,146) {50760}]
=====
Process finished with exit code 0
```

2. Which differences can you see between the two results of your implementation? Explain why are or why are not the results the same.

**Solution:**

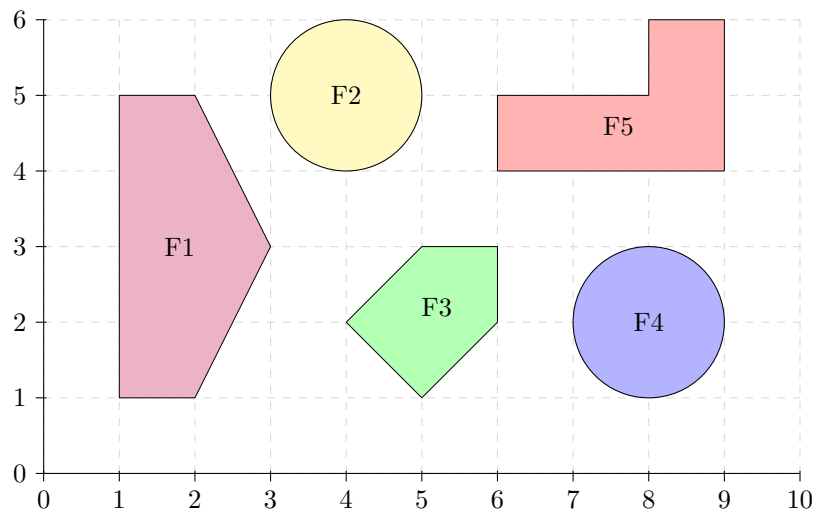
Some of the actual k-NN are matching the k-NN according to the z-curve value, but not all of them. The z-curve mapping is only an approximation of the actual k-NN.

Let's have a look at the points  $A(0,0)$ ,  $B(1,0)$ , and  $C(0,1)$ . The real distance between  $A$  and  $B$  is 1. Also, the z-value is 1 in this case. The real distance between  $A$  and  $C$  is also 1, but in this case, the z-value is 2. Because of the shape of the z-curve, the z-value is only an estimation of the real distance.

## 2 R Tree

(1 P.)

Given the following two-dimensional objects:

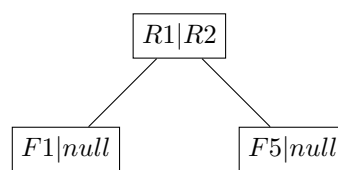


For the following R tree operations, explain exactly which steps are performed:

1. Store the objects  $F1$ ,  $F2$ ,  $F3$ ,  $F4$ ,  $F5$  in an initially empty R tree. One node fits 1–2 entries.

### Solution:

The objects get inserted one after the other in theory they can be inserted in any node. I decided for the following insertion order:  $F1$ ,  $F5$ ,  $F4$ ,  $F2$ ,  $F3$ .  $F1$  and  $F5$  get inserted into the tree.



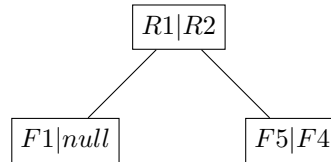
$R1$  is the smallest rectangle that fits around  $F1$  and  $R2$  is the smallest rectangle that fits around  $F5$ . Insertion of  $F4$ :

Calculate the area differences of  $R1$  if  $F4$  gets inserted into  $R1$  and calculate the area difference of  $R2$  if  $F4$  gets inserted.

$$A_{R1} = 8 \cdot 4 - 2 \cdot 4 = 24$$

$$A_{R2} = 5 \cdot 3 - 6 \cdot 4 = 9$$

Insert  $F4$  into  $R2$  because the area increase is smaller than when inserted into  $R1$ .



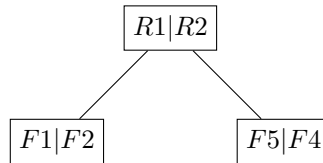
Insertion of F2:

Calculate the area differences of R1 if F2 gets inserted into R1 and calculate the area difference of R2 if F2 gets inserted.

$$A_{R1} = 4 \cdot 5 - 2 \cdot 4 = 12$$

$$A_{R2} = 5 \cdot 3 - 3 \cdot 5 = 15$$

Insert F2 into R1 because the area increase is smaller than when inserted into R2



Insertion of F3:

Calculate the area differences of R1 if F3 gets inserted into R1 and calculate the area difference of R2 if F3 gets inserted.

$$A_{R1} = 5 \cdot 5 - 4 \cdot 5 = 5$$

$$A_{R2} = 5 \cdot 5 - 3 \cdot 5 = 10$$

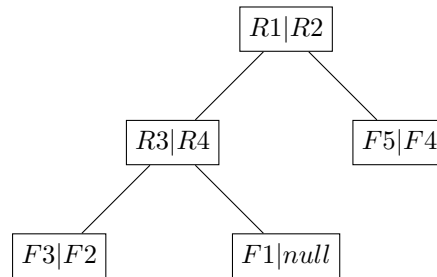
Insert F3 into R1 because the area increase is smaller than when inserted into R2. The maximum of objects in R1 is already reached. In order to insert F3, R1 needs to be split into two rectangles. The size of the two newly created rectangles R3 and R4 should be minimal in the optimal case. Calculation of the area of all possible split combinations:

$$R3[F1, F2], R4[F3] \quad A = 4 \cdot 5 + 2 \cdot 2 = 24$$

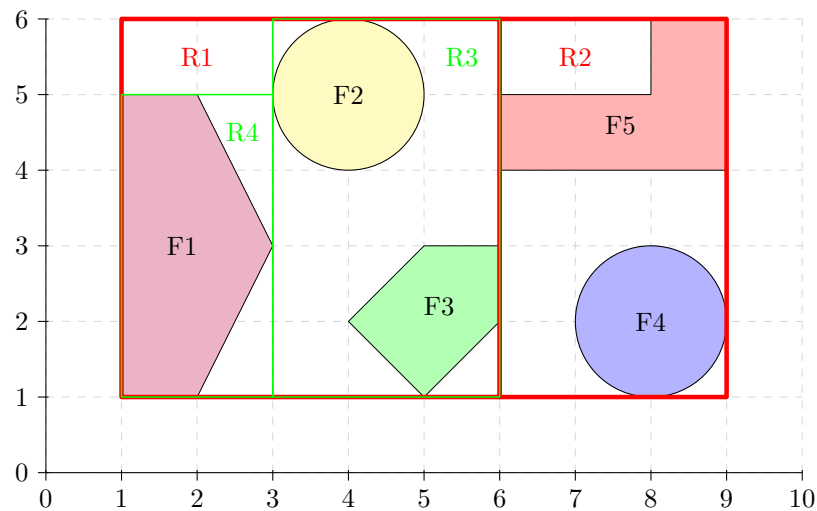
$$R3[F1, F3], R4[F2] \quad A = 5 \cdot 4 + 2 \cdot 2 = 24$$

$$R3[F3, F2], R4[F1] \quad A = 3 \cdot 5 + 2 \cdot 4 = 23$$

According to the calculation the last option is the optimal one because the area of R3 and R4 will be minimal. The R-Tree looks now like:



In the two dimensional plane the R-Tree looks like the following:



2. Find all objects, containing the point  $(6,2)$ .

**Solution:**

Step by step execution:

Scan the root of the tree  $(R1, R2)$

Check if  $(6,2)$  is in  $R1 \Rightarrow \text{true} \Rightarrow$  put  $R3$  and  $R4$  in the que

Check if  $(6,2)$  is in  $R2 \Rightarrow \text{true} \Rightarrow$  put  $F5$  and  $F4$  in the que

Check if  $(6,2)$  is in  $R3 \Rightarrow \text{true} \Rightarrow$  put  $F2$  and  $F3$  in the que

Check if  $(6,2)$  is in  $R4 \Rightarrow \text{false}$

Check if  $(6,2)$  is in  $F5 \Rightarrow \text{false}$

Check if  $(6,2)$  is in  $F4 \Rightarrow \text{false}$

Check if  $(6,2)$  is in  $F2 \Rightarrow \text{false}$

Check if  $(6,2)$  is in  $F3 \Rightarrow \text{true} \Rightarrow$  leafnode  $\Rightarrow$  add  $F3$  to the query result

$(6,2)$  is only in object  $F3$

3. Find all objects that are positioned completely in the rectangle  $Q$ , which is defined by the points  $(2,1)(9,3)$ .

4. Find all objects, intersecting with  $Q'$ :  $(2,2)(4,4)$ .

We assume that sharing exactly one point, also counts as intersecting.

### 3 Index Structures in Metric Space

(1 P.)

Show, for each of the following distance functions, that the properties for being a metric are fulfilled, or provide a counterexample.

1. For two vectors (or points)  $a = (x_a, y_a)$  and  $b = (x_b, y_b)$ :

$$d(a, b) \mapsto |x_a - x_b| + |y_a - y_b|.$$

2. For two vectors (or points)  $a = (x_a, y_a)$  and  $b = (x_b, y_b)$ :  $d(a, b) \mapsto (x_a - x_b)^2 + (y_a - y_b)^2$ .

3. For two strings  $s_1$  and  $s_2$ , where  $S$  is the set of characters of the string (e.g.:  $S(\text{"Codd"}) = \{\text{'C'}, 'o', 'd'}\}$ ):  $d(s_1, s_2) \mapsto 2 * |S(s_1) \cap S(s_2)| / (|S(s_1)| + |S(s_2)|)$ .

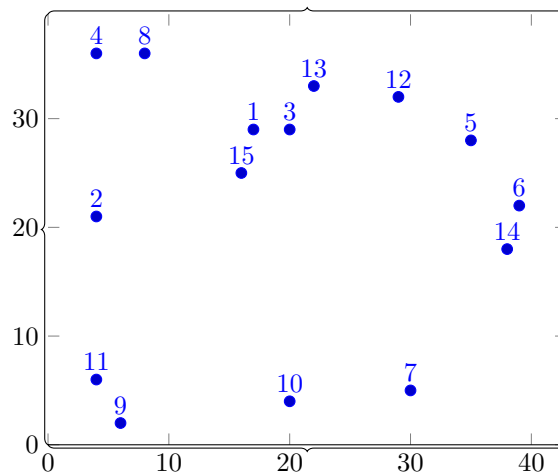
## 4 Misc Metric Indexing

(1 P.)

Below are the points used for the following questions:

1 : (17, 29)    2 : (4, 21)    3 : (20, 29)    4 : (4, 36)    5 : (35, 28)  
 6 : (39, 22)    7 : (30, 5)    8 : (8, 36)    9 : (6, 2)    10 : (20, 4)  
 11 : (4, 6)    12 : (29, 32)    13 : (22, 33)    14 : (38, 18)    15 : (16, 25)

1. **GH Tree partitioning:** Create a GH partitioning, such that the leaf nodes of the tree have at most 2 elements. Draw the tree and draw the partitioning into the plot below.



2. **VP Tree:** Given the following VP tree, search for query point (25,4) with  $\varepsilon = 11$ . Describe which parts of the tree you pruned and why.

