

## Proof that $\mathcal{ESB}$ subsumes $\mathcal{ES}$

We refer to the variants of  $\mathcal{ESB}$  ECAI-2014 and  $\mathcal{ES}$  from KR-2004. The first definitions are taken from the ECAI paper on  $\mathcal{ESB}$ :

**Definition 1** The relation  $\simeq_z$  is the least relation that satisfies

- $w' \simeq_\emptyset w$ ;
- $w' \simeq_{z.r} w$  iff  $w' \simeq_z w$ ,  $w'[SF(r), z] = w[SF(r), z]$ .

**Definition 2** The translation of an  $\mathcal{ES}$  formula  $\alpha$  to an  $\mathcal{ESB}$  formula  $\alpha^*$  is defined inductively:  $Know(\alpha)^*$  is  $\mathbf{K}(\alpha^*)$ ,  $OKnow(\alpha^*)$  is  $\mathbf{O}(\alpha^*, \{\})$ , and in all other cases  $\alpha^*$  is the identity function.

**Notation 3** We write  $e, w, z \models_{\mathcal{ES}} \alpha$  for the satisfaction in  $\mathcal{ES}$ . We write  $f, w, z \models \alpha$  for satisfaction in  $\mathcal{ESB}$ . We abbreviate  $\mathbf{O}(\alpha, \{\})$  by  $\mathbf{O}\alpha$  in  $\mathcal{ESB}$  formulas.

We show that  $\alpha$  is satisfiable in  $\mathcal{ES}$  iff  $\alpha^*$  is satisfiable in  $\mathcal{ESB}$  in Corollary 6 and Corollary 9. The only-if direction is quite simple, but the if direction is a bit tricky. The reason why the latter holds is that there is an infinite supply of atoms in  $\mathcal{ES}$  and  $\mathcal{ESB}$ .

**Definition 4** For any set of worlds  $e$  we define  $e_z^w = \{w' \mid w' \in e, w' \simeq_z w\}$ . For any function  $f$  we define  $f_z^w$  such that  $f_z^w(p) = \{w' \mid w' \in f(p), w' \simeq_z w\}$  for all  $p \in \mathbb{N}$ .

**Theorem 5** Suppose  $\alpha$  is an  $\mathcal{ES}$  sentence. For a given  $e$  let  $f$  be such that  $f(p) = e$  for all  $p \in \mathbb{N}$ . Then  $e, w, z \models_{\mathcal{ES}} \alpha$  iff  $f, w, z \models \alpha^*$ .

*Proof.* By induction. The base cases  $P(\vec{r})$  and  $r_1 = r_2$  as well as the induction steps for  $\wedge$ ,  $\neg$ ,  $\forall$ ,  $[r]$ , and  $\Box$  are trivial because their semantic rules are equivalent in  $\mathcal{ES}$  and  $\mathcal{ESB}$ .

Now consider  $\alpha = Know(\beta)$ . The following steps preserve equivalence:

1.  $e, w, z \models_{\mathcal{ES}} Know(\beta)$
2.  $e, w', z \models_{\mathcal{ES}} \beta$  for all  $w' \in e_z^w$
3.  $f, w', z \models \beta^*$  for all  $w' \in e_z^w$
4.  $f, w', z \models \beta^*$  for all  $w' \in f_z^w(p)$  for all  $p \in \mathbb{N}$
5.  $f, w, z \models \mathbf{K}\beta^*$

Step 3 holds iff step 2 holds by induction hypothesis.

Now consider  $\alpha = OKnow(\beta)$ . The following steps preserve equivalence:

1.  $e, w, z \models_{\mathcal{ES}} OKnow(\beta)$
2.  $e, w', z \models_{\mathcal{ES}} \beta$  iff  $w' \in e_z^w$
3.  $f, w', z \models \beta^*$  iff  $w' \in e_z^w$
4.  $f, w', z \models \beta^*$  iff  $w' \in f_z^w(p)$  for all  $p \in \mathbb{N}$
5.  $f, w, z \models \mathbf{O}\beta^*$

Step 3 holds iff step 2 holds by induction hypothesis. □

**Corollary 6** If  $\alpha$  is satisfiable in  $\mathcal{ES}$  then  $\alpha^*$  is satisfiable in  $\mathcal{ESB}$ .

**Definition 7** For an atom  $A$  we define  $w_i^A$  such that  $w_i^A[A, z] = i$  and  $w_i^A[B, z] = w[B, z]$  for all atoms  $B \neq A$ .

**Theorem 8** Suppose  $\alpha$  is an  $\mathcal{ES}$  sentence. Let  $A$  be an atom not mentioned in  $\alpha$ . For a given  $f$  let  $e = e_1 \cup \{(w')_0^A \mid w' \in e_2, (w')_1^A \notin e_1\}$  where  $e_1 = \bigcap_{p \in \mathbb{N}} f(p)$  and  $e_2 = \bigcup_{p \in \mathbb{N}} f(p)$ . Then  $e, w, z \models_{\mathcal{ES}} \alpha$  iff  $f, w, z \models \alpha^*$ .

*Proof.* An atom  $A$  exists because  $\alpha$  mentions only finitely many predicate symbols but there are countably infinitely many.

By induction. The base cases  $P(\vec{r})$  and  $r_1 = r_2$  as well as the induction steps for  $\wedge, \neg, \forall, [r]$ , and  $\Box$  are trivial because their semantic rules are equivalent in  $\mathcal{ES}$  and  $\mathcal{ESB}$ .

Now consider  $\alpha^* = \mathbf{K}\beta^*$ . The following steps preserve equivalence:

1.  $f, w, z \models \mathbf{K}\beta^*$
2.  $f, w', z \models \beta^*$  for all  $w' \in f_z^w(p)$  for all  $p \in \mathbb{N}$
3.  $e, w', z \models_{\mathcal{ES}} \beta$  for all  $w' \in f_z^w(p)$  for all  $p \in \mathbb{N}$
4.  $e, w', z \models_{\mathcal{ES}} \beta$  for all  $w' \in (e_1)_z^w$  and  
 $e, w', z \models_{\mathcal{ES}} \beta$  for all  $w' \in (e_2 \setminus e_1)_z^w$
5.  $e, w', z \models_{\mathcal{ES}} \beta$  for all  $w' \in (e_1)_z^w$  and  
 $e, (w')_0^A, z \models_{\mathcal{ES}} \beta$  for all  $w' \in (e_2 \setminus e_1)_z^w$  such that  $(w')_1^A \notin (e_1)_z^w$
6.  $e, w', z \models_{\mathcal{ES}} \beta$  for all  $w' \in (e_1)_z^w$  and  
 $e, (w')_0^A, z \models_{\mathcal{ES}} \beta$  for all  $w' \in (e_2)_z^w$  such that  $(w')_1^A \notin (e_1)_z^w$
7.  $e, w', z \models_{\mathcal{ES}} \beta$  for all  $w' \in e_z^w$  and
8.  $e, w, z \models_{\mathcal{ES}} \text{Know}(\beta)$

Generally we have  $e, w', z \models_{\mathcal{ES}} \beta$  iff  $e, (w')_i^A, z \models_{\mathcal{ES}} \beta$  because  $\beta$  does not mention  $A$ . Step 3 follows from 2 by induction hypothesis. Step 4 follows from 5 because for all  $w' \in (e_2 \setminus e_1)_z^w$  with  $(w')_1^A \in (e_1)_z^w$  we have  $e, w', z \models_{\mathcal{ES}} \beta$  by the first condition of step 5. Step 6 follows from 5 because if  $w' \notin (e_2 \setminus e_1)_z^w$  but  $w' \in (e_2)_z^w$  then  $w' \in (e_1)_z^w$  and by the first condition of step 5 follows  $e, w', z \models_{\mathcal{ES}} \beta$ .

Now consider  $\alpha^* = \mathbf{O}\beta^*$ . The following steps preserve equivalence:

1.  $f, w, z \models \mathbf{O}\beta^*$
2.  $f, w', z \models \beta^*$  iff  $w' \in f_z^w(p)$  for all  $p \in \mathbb{N}$
3.  $e, w', z \models_{\mathcal{ES}} \beta$  iff  $w' \in f_z^w(p)$  for all  $p \in \mathbb{N}$
4.  $e, w', z \models_{\mathcal{ES}} \beta$  iff  $w' \in (e_1)_z^w$  and  
 $(e_2)_z^w = (e_1)_z^w$
5.  $e, w', z \models_{\mathcal{ES}} \beta$  iff  $w' \in (e_1)_z^w$  and  
 $e_z^w = (e_1)_z^w$
6.  $e, w', z \models_{\mathcal{ES}} \beta$  iff  $w' \in e_z^w$
7.  $e, w, z \models_{\mathcal{ES}} \text{OKnow}(\beta)$

Step 3 follows from 2 by induction hypothesis. Step 5 holds iff step 4 holds because due to the “iff” in the first conditions of steps 4 and 5 we have  $w' \in e_1$  iff  $(w')_i^A \in e_1$  and therefore  $(e_2)_z^w = (e_1)_z^w$  iff  $\{(w')_0^A \mid w' \in e_2, (w')_1^A \notin e_1\}_z^w = \{\}$  iff  $e_z^w = (e_1)_z^w$ .  $\square$

Notice that Theorem 8 does not hold for  $e = e_2$  in general. Let  $f(0)$  be the set of all worlds and  $f(p) = \{\}$  for all  $p > 0$ . Then  $e_2$  is the set of all worlds. Thus we have  $e_2 \models_{\mathcal{ES}} \text{OKnow}(\text{True})$  but  $f \not\models \mathbf{O}\text{True}$ .

**Corollary 9** If  $\alpha^*$  is satisfiable in  $\mathcal{ESB}$  then  $\alpha$  is satisfiable in  $\mathcal{ES}$ .

**Corollary 10** Let  $\alpha$  be a sentence of  $\mathcal{ES}$ . Then  $\alpha$  is valid in  $\mathcal{ES}$  iff  $\alpha^*$  is valid in  $\mathcal{ESB}$ .

*Proof.* For the if direction, suppose  $\models \alpha^*$  but  $e, w \not\models_{\mathcal{ES}} \alpha$ . Then  $e, w \models_{\mathcal{ES}} \neg\alpha$ . By Corollary 6, there is some  $f$  with  $f, w \models \neg\alpha^*$  which contradicts  $\models \alpha^*$ .

For the only-if direction, suppose  $\models_{\mathcal{ES}} \alpha$  but  $f, w \not\models \alpha^*$ . Then  $f, w \models \neg\alpha^*$ . By Corollary 9, there is some  $e$  with  $e, w \models_{\mathcal{ES}} \neg\alpha$  which contradicts  $\models_{\mathcal{ES}} \alpha$ .  $\square$