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## MPLS Forwarding Compliance and Performance Requirements

### Abstract

This document provides guidelines for implementers regarding MPLS forwarding and a basis for evaluations of forwarding implementations. Guidelines cover many aspects of MPLS forwarding. Topics are highlighted where implementers might otherwise overlook practical requirements that are unstated or underemphasized, or that are optional for conformance to RFCs but often considered mandatory by providers.

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## 1. Introduction and Document Scope

The initial purpose of this document was to address concerns raised on the MPLS WG mailing list about shortcomings in implementations of MPLS forwarding. Documenting existing misconceptions and potential pitfalls might potentially avoid repeating past mistakes. The document has grown to address a broad set of forwarding requirements.

The focus of this document is MPLS forwarding, base pseudowire forwarding, and MPLS Operations, Administration, and Maintenance (OAM). The use of pseudowire Control Word and the use of pseudowire Sequence Number are discussed. Specific pseudowire Attachment Circuit (AC) and Native Service Processing (NSP) are out of scope. Specific pseudowire applications, such as various forms of Virtual Private Network (VPN), are out of scope.

MPLS support for multipath techniques is considered essential by many service providers and is useful for other high-capacity networks. In order to obtain sufficient entropy from MPLS, traffic service providers and others find it essential for the MPLS implementation to interpret the MPLS payload as IPv4 or IPv6 based on the contents of the first nibble of payload. The use of IP addresses, the IP protocol field, and UDP and TCP port number fields in multipath load balancing are considered within scope. The use of any other IP protocol fields, such as tunneling protocols carried within IP, are out of scope.

Implementation details are a local matter and are out of scope. Most interfaces today operate at 1 Gb/s or greater. It is assumed that all forwarding operations are implemented in specialized forwarding hardware rather than on a general-purpose processor. This is often referred to as "fast path" and "slow path" processing. Some recommendations are made regarding implementing control or management-plane functionality in specialized hardware or with limited assistance from specialized hardware. This advice is based on expected control or management protocol loads and on the need for denial of service (DoS) protection.

### 1.1. Abbreviations

The following abbreviations are used.

AC      Attachment Circuit ([RFC3985])

ACH     Associated Channel Header (pseudowires)

ACK     Acknowledgement (TCP flag and type of TCP packet)

<b>AIS</b>	<b>Alarm Indication Signal (MPLS-TP OAM)</b>
<b>ATM</b>	<b>Asynchronous Transfer Mode (legacy switched circuits)</b>
<b>BFD</b>	<b>Bidirectional Forwarding Detection</b>
<b>BGP</b>	<b>Border Gateway Protocol</b>
<b>CC-CV</b>	<b>Continuity Check and Connectivity Verification</b>
<b>CE</b>	<b>Customer Edge ([RFC4364])</b>
<b>CPU</b>	<b>Central Processing Unit (computer or microprocessor)</b>
<b>CT</b>	<b>Class Type ([RFC4124])</b>
<b>CW</b>	<b>Control Word ([RFC4385])</b>
<b>DCCP</b>	<b>Datagram Congestion Control Protocol</b>
<b>DDoS</b>	<b>Distributed Denial of Service</b>
<b>DM</b>	<b>Delay Measurement (MPLS-TP OAM)</b>
<b>DSCP</b>	<b>Differentiated Services Code Point ([RFC2474])</b>
<b>DWDM</b>	<b>Dense Wave Division Multiplexing</b>
<b>DoS</b>	<b>Denial of Service</b>
<b>E-LSP</b>	<b>Explicitly TC-encoded-PSC LSP ([RFC5462])</b>
<b>EBGP</b>	<b>External BGP</b>
<b>ECMP</b>	<b>Equal-Cost Multipath</b>
<b>ECN</b>	<b>Explicit Congestion Notification ([RFC3168] and [RFC5129])</b>
<b>EL</b>	<b>Entropy Label ([RFC6790])</b>
<b>ELI</b>	<b>Entropy Label Indicator ([RFC6790])</b>
<b>EXP</b>	<b>Experimental (field in MPLS renamed to "TC" in [RFC5462])</b>
<b>FEC</b>	<b>Forwarding Equivalence Classes ([RFC3031]); also Forward Error Correction in other context</b>
<b>FR</b>	<b>Frame Relay (legacy switched circuits)</b>

**FRR** Fast Reroute ([RFC4090])

**G-ACh** Generic Associated Channel ([RFC5586])

**GAL** Generic Associated Channel Label ([RFC5586])

**GFP** Generic Framing Procedure (used in OTN)

**GMPLS** Generalized MPLS ([RFC3471])

**GTSM** Generalized TTL Security Mechanism ([RFC5082])

**Gb/s** Gigabits per second (billion bits per second)

**IANA** Internet Assigned Numbers Authority

**ILM** Incoming Label Map ([RFC3031])

**IP** Internet Protocol

**IPVPN** Internet Protocol VPN

**IPv4** Internet Protocol version 4

**IPv6** Internet Protocol version 6

**L-LSP** Label-Only-Inferred-PSC LSP ([RFC3270])

**L2VPN** Layer 2 VPN

**LDP** Label Distribution Protocol ([RFC5036])

**LER** Label Edge Router ([RFC3031])

**LM** Loss Measurement (MPLS-TP OAM)

**LSP** Label Switched Path ([RFC3031])

**LSR** Label Switching Router ([RFC3031])

**MP2MP** Multipoint to Multipoint

**MPLS** Multiprotocol Label Switching ([RFC3031])

**MPLS-TP** MPLS Transport Profile ([RFC5317])

**Mb/s** Megabits per second (million bits per second)

NSP	Native Service Processing ([RFC3985])
NTP	Network Time Protocol
OAM	Operations, Administration, and Maintenance ([RFC6291])
OOB	Out-of-band (not carried within a data channel)
OTN	Optical Transport Network
P	Provider router ([RFC4364])
P2MP	Point to Multipoint
PE	Provider Edge router ([RFC4364])
PHB	Per-Hop Behavior ([RFC2475])
PHP	Penultimate Hop Popping ([RFC3443])
POS	PPP over SONET
PSC	This abbreviation has multiple interpretations. <ol style="list-style-type: none"><li>1. Packet Switch Capable ([RFC3471])</li><li>2. PHB Scheduling Class ([RFC3270])</li><li>3. Protection State Coordination ([RFC6378])</li></ol>
PTP	Precision Time Protocol
PW	Pseudowire
QoS	Quality of Service
RA	Router Alert ([RFC3032])
RDI	Remote Defect Indication (MPLS-TP OAM)
RSVP-TE	RSVP Traffic Engineering
RTP	Real-time Transport Protocol
SCTP	Stream Control Transmission Protocol
SDH	Synchronous Data Hierarchy (European SONET, a form of TDM)

SONET Synchronous Optical Network (US SDH, a form of TDM)  
T-LDP Targeted LDP (LDP sessions over more than one hop)  
TC Traffic Class ([RFC5462])  
TCP Transmission Control Protocol  
TDM Time-Division Multiplexing (legacy encapsulations)  
TOS Type of Service (see [RFC2474])  
TTL Time-to-live (a field in IP and MPLS headers)  
UDP User Datagram Protocol  
UHP Ultimate Hop Popping (opposite of PHP)  
VCCV Virtual Circuit Connectivity Verification ([RFC5085])  
VLAN Virtual Local Area Network (Ethernet)  
VOQ Virtual Output Queuing (switch fabric design)  
VPN Virtual Private Network  
WG Working Group

## 1.2. Use of Requirements Language

This document is Informational. The uppercase [RFC2119] key words "MUST", "MUST NOT", "SHOULD", "SHOULD NOT", and "MAY" are used in this document in the following cases.

1. RFC 2119 keywords are used where requirements stated in this document are called for in referenced RFCs. In most cases, the RFC containing the requirement is cited within the statement using an RFC 2119 keyword.
2. RFC 2119 keywords are used where explicitly noted that the keywords indicate that operator experiences indicate a requirement, but there are no existing RFC requirements.

Advice provided by this document may be ignored by implementations. Similarly, implementations not claiming conformance to specific RFCs may ignore the requirements of those RFCs. In both cases, implementers should consider the risk of doing so.



### 1.3. Apparent Misconceptions

In early generations of forwarding silicon (which might now be behind us), there apparently were some misconceptions about MPLS. The following statements provide clarifications.

1. There are practical reasons to have more than one or two labels in an MPLS label stack. Under some circumstances, the label stack can become quite deep. See Section 2.1.
2. The label stack **MUST** be considered to be arbitrarily deep. Section 3.27.4 ("Hierarchy: LSP Tunnels within LSPs") of RFC 3031 states "The label stack mechanism allows LSP tunneling to nest to any depth" [RFC3031]. If a bottom of the label stack cannot be found, but sufficient number of labels exist to forward, an LSR **MUST** forward the packet. An LSR **MUST NOT** assume the packet is malformed unless the end of packet is found before the bottom of the stack. See Section 2.1.
3. In networks where deep label stacks are encountered, they are not rare. Full packet rate performance is required regardless of label stack depth, except where multiple pop operations are required. See Section 2.1.
4. Research has shown that long bursts of short packets with 40-byte or 44-byte IP payload sizes in these bursts are quite common. This is due to TCP ACK compression [ACK-compression]. The following two sub-bullets constitute advice that reflects very common nonnegotiable requirements of providers. Implementers may ignore this advice but should consider the risk of doing so.
  - A. A forwarding engine **SHOULD**, if practical, be able to sustain an arbitrarily long sequence of small packets arriving at full interface rate.
  - B. If indefinitely sustained full packet rate for small packets is not practical, a forwarding engine **MUST** be able to buffer a long sequence of small packets inbound to the on-chip decision engine and sustain full interface rate for some reasonable average packet rate. Absent this small on-chip buffering, QoS-agnostic packet drops can occur.

See Section 2.3.

5. The implementations and system designs **MUST** support pseudowire Control Word (CW) if MPLS-TP is supported or if ACH [RFC5586] is being used on a pseudowire. The implementation and system designs **SHOULD** support pseudowire CW even if MPLS-TP and ACH

[RFC5586] are not used, using instead CW and VCCV Type 1 [RFC5085] to allow the use of multipath in the underlying network topology without impacting the PW traffic. [RFC7079] does note that there are still some deployments where the CW is not always used. It also notes that many service providers do enable the CW. See Section 2.4.1 for more discussion on why deployments SHOULD enable the pseudowire CW.

The following statements provide clarification regarding more recent requirements that are often missed.

1. The implementer and system designer SHOULD support adding a pseudowire Flow Label [RFC6391]. Deployments MAY enable this feature for appropriate pseudowire types. See Section 2.4.3.
2. The implementer and system designer SHOULD support adding an MPLS Entropy Label [RFC6790]. Deployments MAY enable this feature. See Section 2.4.4.

Non-IETF definitions of MPLS exist, and these should not be used as normative texts in place of the relevant IETF RFCs. [RFC5704] documents incompatibilities between the IETF definition of MPLS and one such alternative MPLS definition, which led to significant issues in the resulting non-IETF specification.

#### 1.4. Target Audience

This document is intended for multiple audiences: implementer (implementing MPLS forwarding in silicon or in software); systems designer (putting together a MPLS forwarding systems); deployer (running an MPLS network). These guidelines are intended to serve the following purposes:

1. Explain what to do and what not to do when a deep label stack is encountered. (audience: implementer)
2. Highlight pitfalls to look for when implementing an MPLS forwarding chip. (audience: implementer)
3. Provide a checklist of features and performance specifications to request. (audience: systems designer, deployer)
4. Provide a set of tests to perform. (audience: systems designer, deployer).

The implementer, systems designer, and deployer have a transitive supplier-customer relationship. It is in the best interest of the supplier to review their product against their customer's checklist and secondary customer's checklist if applicable.

This document identifies and explains many details and potential pitfalls of MPLS forwarding. It is likely that the identified set of potential pitfalls will later prove to be an incomplete set.

## 2. Forwarding Issues

A brief review of forwarding issues is provided in the subsections that follow. This section provides some background on why some of these requirements exist. The questions to ask of suppliers is covered in Section 3. Some guidelines for testing are provided in Section 4.

### 2.1. Forwarding Basics

Basic MPLS architecture and MPLS encapsulation, and therefore packet forwarding, are defined in [RFC3031] and [RFC3032]. RFC 3031 and RFC 3032 are somewhat LDP centric. RSVP-TE supports traffic engineering (TE) and fast reroute, features that LDP lacks. The base document for MPLS RSVP-TE is [RFC3209].

A few RFCs update RFC 3032. Those with impact on forwarding include the following.

1. TTL processing is clarified in [RFC3443].
2. The use of MPLS Explicit NULL is modified in [RFC4182].
3. Differentiated Services is supported by [RFC3270] and [RFC4124]. The "EXP" field is renamed to "Traffic Class" in [RFC5462], removing any misconception that it was available for experimentation or could be ignored.
4. ECN is supported by [RFC5129].
5. The MPLS G-ACh and GAL are defined in [RFC5586].
6. [RFC5332] redefines the two data link layer codepoints for MPLS packets.

Tunneling encapsulations carrying MPLS, such as MPLS in IP [RFC4023], MPLS in GRE [RFC4023], MPLS in L2TPv3 [RFC4817], or MPLS in UDP [MPLS-IN-UDP], are out of scope.

Other RFCs have implications to MPLS Forwarding and do not update RFC 3032 or RFC 3209, including:

1. The pseudowire (PW) Associated Channel Header (ACH) is defined by [RFC5085] and was later generalized by the MPLS G-ACh [RFC5586].
2. The Entropy Label Indicator (ELI) and Entropy Label (EL) are defined by [RFC6790].

A few RFCs update RFC 3209. Those that are listed as updating RFC 3209 generally impact only RSVP-TE signaling. Forwarding is modified by major extensions built upon RFC 3209.

RFCs that impact forwarding are discussed in the following subsections.

#### 2.1.1. MPLS Special-Purpose Labels

[RFC3032] specifies that label values 0-15 are special-purpose labels with special meanings. [RFC7274] renamed these from the term "reserved labels" used in [RFC3032] to "special-purpose labels". Three values of NULL label are defined (two of which are later updated by [RFC4182]) and a Router Alert Label is defined. The original intent was that special-purpose labels, except the NULL labels, could be sent to the routing engine CPU rather than be processed in forwarding hardware. Hardware support is required by new RFCs such as those defining Entropy Label and OAM processed as a result of receiving a GAL. For new special-purpose labels, some accommodation is needed for LSRs that will send the labels to a general-purpose CPU or other highly programmable hardware. For example, ELI will only be sent to LSRs that have signaled support for [RFC6790], and a high OAM packet rate must be negotiated among endpoints.

[RFC3429] reserves a label for ITU-T Y.1711; however, Y.1711 does not work with multipath and its use is strongly discouraged.

The current list of special-purpose labels can be found on the "Multiprotocol Label Switching Architecture (MPLS) Label Values" registry reachable at IANA's pages at <<http://www.iana.org>>.

[RFC7274] introduces an IANA "Extended Special-Purpose MPLS Label Values" registry and makes use of the "extension" label, label 15, to indicate that the next label is an extended special-purpose label and requires special handling. The range of only 16 values for special-purpose labels allows a table to be used. The range of extended special-purpose labels with 20 bits available for use may have to be handled in some other way in the unlikely event that in the future

the range of currently reserved values 256-1048575 is used. If only the Standards Action range, 16-239, and the Experimental range, 240-255, are used, then a table of 256 entries can be used.

Unknown special-purpose labels and unknown extended special-purpose labels are handled the same. When an unknown special-purpose label is encountered or a special purpose label not directly handled in forwarding hardware is encountered, the packet should be sent to a general-purpose CPU by default. If this capability is supported, there must be an option to either drop or rate limit such packets based on the value of each special-purpose label.

#### 2.1.2. MPLS Differentiated Services

[RFC2474] deprecates the IP Type of Service (TOS) and IP Precedence (Prec) fields and replaces them with the Differentiated Services Field more commonly known as the Differentiated Services Code Point (DSCP) field. [RFC2475] defines the Differentiated Services architecture, which in other forums, is often called a Quality of Service (QoS) architecture.

MPLS uses the Traffic Class (TC) field to support Differentiated Services [RFC5462]. There are two primary documents describing how DSCP is mapped into TC.

1. [RFC3270] defines E-LSP and L-LSP. E-LSP uses a static mapping of DSCP into TC. L-LSP uses a per-LSP mapping of DSCP into TC, with one PHB Scheduling Class (PSC) per L-LSP. Each PSC can use multiple Per-Hop Behavior (PHB) values. For example, the Assured Forwarding service defines three PSCs, each with three PHB [RFC2597].
2. [RFC4124] defines assignment of a class-type (CT) to an LSP, where a per-CT static mapping of TC to PHB is used. [RFC4124] provides a means to support up to eight E-LSP-like mappings of DSCP to TC.

To meet Differentiated Services requirements specified in [RFC3270], the following forwarding requirements must be met. An ingress LER MUST be able to select an LSP and then apply a per-LSP map of DSCP into TC. A midpoint LSR MUST be able to apply a per-LSP map of TC to PHB. The number of mappings supported will be far less than the number of LSPs supported.

To meet Differentiated Services requirements specified in [RFC4124], the following forwarding requirements must be met. An ingress LER MUST be able to select an LSP and then apply a per-LSP map of DSCP into TC. A midpoint LSR MUST be able to map LSP number to Class Type

(CT), then use a per-CT map to map TC to PHB. Since there are only eight allowed values of CT, only eight maps of TC to PHB need to be supported. The LSP label can be used directly to find the TC-to-PHB mapping, as is needed to support L-LSPs as defined by [RFC3270].

While support for [RFC4124] and not [RFC3270] would allow support for only eight mappings of TC to PHB, it is common to support both and simply state a limit on the number of unique TC-to-PHB mappings that can be supported.

### 2.1.3. Time Synchronization

PTP or NTP may be carried over MPLS [TIMING-OVER-MPLS]. Generally, NTP will be carried within IP, and IP will be carried in MPLS [RFC5905]. Both PTP and NTP benefit from accurate timestamping of incoming packets and the ability to insert accurate timestamps in outgoing packets. PTP correction that occurs when forwarding requires updating a timestamp compensation field based on the difference between packet arrival at an LSR and packet transmit time at that same LSR.

Since the label stack depth may vary, hardware should allow a timestamp to be placed in an outgoing packet at any specified byte position. It may be necessary to modify Layer 2 checksums or frame check sequences after insertion. PTP and NTP timestamp formats differ in such a way as to require different implementations of the timestamp correction. If NTP or PTP is carried over UDP/IP or UDP/IP/MPLS, the UDP checksum will also have to be updated.

Accurate time synchronization, in addition to being generally useful, is required for MPLS-TP Delay Measurement (DM) OAM. See Section 2.6.4.

### 2.1.4. Uses of Multiple Label Stack Entries

MPLS deployments in the early part of the prior decade (circa 2000) tended to support either LDP or RSVP-TE. LDP was favored by some for its ability to scale to a very large number of PE devices at the edge of the network, without adding deployment complexity. RSVP-TE was favored, generally in the network core, where traffic engineering and/or fast reroute were considered important.

Both LDP and RSVP-TE are used simultaneously within major service provider networks using a technique known as "LDP over RSVP-TE Tunneling". This technique allows service providers to carry LDP tunnels inside RSVP-TE tunnels. This makes it possible to take advantage of the traffic engineering and fast reroute on more expensive intercity and intercontinental transport paths. The

ingress RSVP-TE PE places many LDP tunnels on a single RSVP-TE LSP and carries it to the egress RSVP-TE PE. The LDP PEs are situated further from the core, for example, within a metro network. LDP over RSVP-TE tunneling requires a minimum of two MPLS labels: one each for LDP and RSVP-TE.

The use of MPLS FRR [RFC4090] might add one more label to MPLS traffic but only when FRR protection is in use (active). If LDP over RSVP-TE is in use, and FRR protection is in use, then at least three MPLS labels are present on the label stack on the links through which the Bypass LSP traverses. FRR is covered in Section 2.1.7.

LDP L2VPN, LDP IPVPN, BGP L2VPN, and BGP IPVPN added support for VPN services that are deployed by the vast majority of service providers. These VPN services added yet another label, bringing the label stack depth (when FRR is active) to four.

Pseudowires and VPN are discussed in further detail in Sections 2.1.8 and 2.1.9.

MPLS hierarchy as described in [RFC4206] and updated by [RFC7074] can in principle add at least one additional label. MPLS hierarchy is discussed in Section 2.1.6.

Other features such as Entropy Label (discussed in Section 2.4.4) and Flow Label (discussed in Section 2.4.3) can add additional labels to the label stack.

Although theoretical scenarios can easily result in eight or more labels, such cases are rare if they occur at all today. For the purpose of forwarding, only the top label needs to be examined if PHP is used, and a few more if UHP is used (see Section 2.5). For deep label stacks, quite a few labels may have to be examined for the purpose of load balancing across parallel links (see Section 2.4); however, this depth can be bounded by a provider through use of Entropy Label.

Other creative uses of MPLS within the IETF, such as the use of MPLS label stack in source routing, may result in label stacks that are considerably deeper than those encountered today.

#### 2.1.5. MPLS Link Bundling

MPLS Link Bundling was the first RFC to address the need for multiple parallel links between nodes [RFC4201]. MPLS Link Bundling is notable in that it tried not to change MPLS forwarding, except in

specifying the "all-ones" component link. MPLS Link Bundling is seldom if ever deployed. Instead, multipath techniques described in Section 2.4 are used.

#### 2.1.6. MPLS Hierarchy

MPLS hierarchy is defined in [RFC4206] and updated by [RFC7074]. Although RFC 4206 is considered part of GMPLS, the Packet Switching Capable (PSC) portion of the MPLS hierarchy is applicable to MPLS and may be supported in an otherwise GMPLS-free implementation. The MPLS PSC hierarchy remains the most likely means of providing further scaling in an RSVP-TE MPLS network, particularly where the network is designed to provide RSVP-TE connectivity to the edges. This is the case for envisioned MPLS-TP networks. The use of the MPLS PSC hierarchy can add at least one additional label to a label stack, though it is likely that only one layer of PSC will be used in the near future.

#### 2.1.7. MPLS Fast Reroute (FRR)

Fast reroute is defined by [RFC4090]. Two significantly different methods are defined in RFC 4090: the "One-to-One Backup" method, which uses the "Detour LSP", and the "Facility Backup", which uses a "bypass tunnel". These are commonly referred to as the detour and bypass methods, respectively.

The detour method makes use of a presignaled LSP. Hardware assistance may be needed for detour FRR in order to accomplish local repair of a large number of LSPs within the target of tens of milliseconds. For each affected LSP, a swap operation must be reprogrammed or otherwise switched over. The use of detour FRR doubles the number of LSPs terminating at any given hop and will increase the number of LSPs within a network by a factor dependent on the average detour path length.

The bypass method makes use of a tunnel that is unused when no fault exists but may carry many LSPs when a local repair is required. There is no presignaling indicating which working LSP will be diverted into any specific bypass LSP. If interface label space is used, the bypass LSP MUST extend one hop beyond the merge point, except if the merge point is the egress and PHP is used. If the bypass LSPs are not extended in this way, then the merge LSR (egress LSR of the bypass LSP) MUST use platform label space (as defined in [RFC3031]) so that an LSP working path on any given interface can be backed up using a bypass LSP terminating on any other interface. Hardware assistance may be needed to accomplish local repair of a large number of LSPs within the target of tens of milliseconds. For each affected LSP a swap operation must be reprogrammed or otherwise



switched over with an additional push of the bypass LSP label. The use of platform label space impacts the size of the LSR ILM for an LSR with a very large number of interfaces.

IP/LDP Fast Reroute (IP/LDP FRR) [RFC5714] is also applicable in MPLS networks. ECMP and Loop-Free Alternates (LFAs) [RFC5286] are well-established IP/LDP FRR techniques and were the first methods to be widely deployed. Work on IP/LDP FRR is ongoing within the IETF RTGWG. Two topics actively discussed in RTGWG are microloops and partial coverage of the established techniques in some network topologies. [RFC5715] covers the topic of IP/LDP Fast Reroute microloops and microloop prevention. RTGWG has developed additional IP/LDP FRR techniques to handle coverage concerns. RTGWG is extending LFA through the use of remote LFA [REMOTE-LFA]. Other techniques that require new forwarding paths to be established are also under consideration, including the IPFRR "not-via" technique defined in [RFC6981] and maximally redundant trees (MRT) [MRT]. ECMP, LFA (but not remote LFA), and MRT swap the top label to an alternate MPLS label. The other methods operate in a similar manner to the facility backup described in RFC 4090 and push an additional label. IP/LDP FRR methods that push more than one label have been suggested but are in early discussion.

#### 2.1.8. Pseudowire Encapsulation

The pseudowire (PW) architecture is defined in [RFC3985]. A pseudowire, when carried over MPLS, adds one or more additional label entries to the MPLS label stack. A PW Control Word is defined in [RFC4385] with motivation for defining the Control Word in [RFC4928]. The PW Associated Channel defined in [RFC4385] is used for OAM in [RFC5085]. The PW Flow Label is defined in [RFC6391] and is discussed further in this document in Section 2.4.3.

There are numerous pseudowire encapsulations, supporting emulation of services such as Frame Relay, ATM, Ethernet, TDM, and SONET/SDH over packet switched networks (PSNs) using IP or MPLS.

The pseudowire encapsulation is out of scope for this document. Pseudowire impact on MPLS forwarding at the midpoint LSR is within scope. The impact on ingress MPLS push and egress MPLS UHP pop are within scope. While pseudowire encapsulation is out of scope, some advice is given on Sequence Number support.

##### 2.1.8.1. Pseudowire Sequence Number

Pseudowire (PW) Sequence Number support is most important for PW payload types with a high expectation of lossless and/or in-order delivery. Identifying lost PW packets and the exact amount of lost

payload is critical for PW services that maintain bit timing, such as Time Division Multiplexing (TDM) services since these services **MUST** compensate lost payload on a bit-for-bit basis.

With PW services that maintain bit timing, packets that have been received out of order also **MUST** be identified and **MAY** be either reordered or dropped. Resequencing requires, in addition to sequence numbering, a "reorder buffer" in the egress PE, and the ability to reorder is limited by the depth of this buffer. The down side of maintaining a large reorder buffer is added end-to-end service delay.

For PW services that maintain bit timing or any other service where jitter must be bounded, a jitter buffer is always necessary. The jitter buffer is needed regardless of whether reordering is done. In order to be effective, a reorder buffer must often be larger than a jitter buffer needs to be, thus creating a tradeoff between reducing loss and minimizing delay.

PW services that are not timing critical bit streams in nature are cell oriented or frame oriented. Though resequencing support may be beneficial to PW cell- and frame-oriented payloads such as ATM, FR, and Ethernet, this support is desirable but not required. Requirements to handle out-of-order packets at all vary among services and deployments. For example, for Ethernet PW, occasional (very rare) reordering is usually acceptable. If the Ethernet PW is carrying MPLS-TP, then this reordering may be acceptable.

Reducing jitter is best done by an end-system, given that the tradeoff of loss vs. delay varies among services. For example, with interactive real-time services, low delay is preferred, while with non-interactive (one-way) real-time services, low loss is preferred. The same end-site may be receiving both types of traffic. Regardless of this, bounded jitter is sometimes a requirement for specific deployments.

Packet reordering should be rare except in a small number of circumstances, most of which are due to network design or equipment design errors:

1. The most common case is where reordering is rare, occurring only when a network or equipment fault forces traffic on a new path with different delay. The packet loss that accompanies a network or equipment fault is generally more disruptive than any reordering that may occur.

2. A path change can be caused by reasons other than a network or equipment fault, such as an administrative routing change. This may result in packet reordering but generally without any packet loss.
3. If the edge is not using pseudowire Control Word (CW) and the core is using multipath, reordering will be far more common. If this is occurring, using CW on the edge will solve the problem. Without CW, resequencing is not possible since the Sequence Number is contained in the CW.
4. Another avoidable case is where some core equipment has multipath and for some reason insists on periodically installing a new random number as the multipath hash seed. If supporting MPLS-TP, equipment **MUST** provide a means to disable periodic hash reseeding, and deployments **MUST** disable periodic hash reseeding. Operator experience dictates that even if not supporting MPLS-TP, equipment **SHOULD** provide a means to disable periodic hash reseeding, and deployments **SHOULD** disable periodic hash reseeding.

In provider networks that use multipath techniques and that may occasionally rebalance traffic or that may change PW paths occasionally for other reasons, reordering may be far more common than loss. Where reordering is more common than loss, resequencing packets is beneficial, rather than dropping packets at egress when out-of-order arrival occurs. Resequencing is most important for PW payload types with a high expectation of lossless delivery since in such cases out-of-order delivery within the network results in PW loss.

#### 2.1.9. Layer 2 and Layer 3 VPN

Layer 2 VPN [RFC4664] and Layer 3 VPN [RFC4110] add one or more label entry to the MPLS label stack. VPN encapsulations are out of scope for this document. Their impact on forwarding at the midpoint LSR are within scope.

Any of these services may be used on an ingress and egress that are MPLS Entropy Label enabled (see Section 2.4.4 for discussion of Entropy Label); this would add an additional two labels to the MPLS label stack. The need to provide a useful Entropy Label value impacts the requirements of the VPN ingress LER but is out of scope for this document.

## 2.2. MPLS Multicast

MPLS Multicast encapsulation is clarified in [RFC5332]. MPLS Multicast may be signaled using RSVP-TE [RFC4875] or LDP [RFC6388].

[RFC4875] defines a root-initiated RSVP-TE LSP setup rather than the leaf-initiated join used in IP multicast. [RFC6388] defines a leaf-initiated LDP setup. Both [RFC4875] and [RFC6388] define point-to-multipoint (P2MP) LSP setup. [RFC6388] also defined multipoint-to-multipoint (MP2MP) LSP setup.

The P2MP LSPs have a single source. An LSR may be a leaf node, an intermediate node, or a "bud" node. A bud serves as both a leaf and intermediate. At a leaf, an MPLS pop is performed. The payload may be an IP multicast packet that requires further replication. At an intermediate node, an MPLS swap operation is performed. The bud requires that both a pop operation and a swap operation be performed for the same incoming packet.

One strategy to support P2MP functionality is to pop at the LSR interface serving as ingress to the P2MP traffic and then optionally push labels at each LSR interface serving as egress to the P2MP traffic at that same LSR. A given LSR egress chip may support multiple egress interfaces, each of which requires a copy, but each with a different set of added labels and Layer 2 encapsulation. Some physical interfaces may have multiple sub-interfaces (such as Ethernet VLAN or channelized interfaces), each requiring a copy.

If packet replication is performed at LSR ingress, then the ingress interface performance may suffer. If the packet replication is performed within a LSR switching fabric and at LSR egress, congestion of egress interfaces cannot make use of backpressure to ingress interfaces using techniques such as virtual output queuing (VOQ). If buffering is primarily supported at egress, then the need for backpressure is minimized. There may be no good solution for high volumes of multicast traffic if VOQ is used.

Careful consideration should be given to the performance characteristics of high-fanout multicast for equipment that is intended to be used in such a role.

MP2MP LSPs differ in that any branch may provide an input, including a leaf. Packets must be replicated onto all other branches. This forwarding is often implemented as multiple P2MP forwarding trees, one for each potential input interface at a given LSR.

### 2.3. Packet Rates

While average packet size of Internet traffic may be large, long sequences of small packets have both been predicted in theory and observed in practice. Traffic compression and TCP ACK compression can conspire to create long sequences of packets of 40-44 bytes in payload length. If carried over Ethernet, the 64-byte minimum payload applies, yielding a packet rate of approximately 150 Mpps (million packets per second) for the duration of the burst on a nominal 100 Gb/s link. The peak rate for other encapsulations can be as high as 250 Mpps (for example, when IP or MPLS is encapsulated using GFP over OTN ODU4).

It is possible that the packet rates achieved by a specific implementation are acceptable for a minimum payload size, such as a 64-byte (64B) payload for Ethernet, but the achieved rate declines to an unacceptable level for other packet sizes, such as a 65B payload. There are other packet rates of interest besides TCP ACK. For example, a TCP ACK carried over an Ethernet PW over MPLS over Ethernet may occupy 82B or 82B plus an increment of 4B if additional MPLS labels are present.

A graph of packet rate vs. packet size often displays a sawtooth. The sawtooth is commonly due to a memory bottleneck and memory widths, sometimes an internal cache, but often a very wide external buffer memory interface. In some cases, it may be due to a fabric transfer width. A fine packing, rounding up to the nearest 8B or 16B will result in a fine sawtooth with small degradation for 65B, and even less for 82B packets. A coarse packing, rounding up to 64B can yield a sharper drop in performance for 65B packets, or perhaps more important, a larger drop for 82B packets.

The loss of some TCP ACK packets are not the primary concern when such a burst occurs. When a burst occurs, any other packets, regardless of packet length and packet QoS are dropped once on-chip input buffers prior to the decision engine are exceeded. Buffers in front of the packet decision engine are often very small or nonexistent (less than one packet of buffer) causing significant QoS-agnostic packet drop.

Internet service providers and content providers at one time specified full rate forwarding with 40-byte payload packets as a requirement. Today, this requirement often can be waived if the provider can be convinced that when long sequences of short packets occur no packets will be dropped.

Many equipment suppliers have pointed out that the extra cost in designing hardware capable of processing the minimum size packets at full line rate is significant for very-high-speed interfaces. If hardware is not capable of processing the minimum size packets at full line rate, then that hardware **MUST** be capable of handling large bursts of small packets, a condition that is often observed. This level of performance is necessary to meet Differentiated Services [RFC2475] requirements; without it, packets are lost prior to inspection of the IP DSCP field [RFC2474] or MPLS TC field [RFC5462].

With adequate on-chip buffers before the packet decision engine, an LSR can absorb a long sequence of short packets. Even if the output is slowed to the point where light congestion occurs, the packets, having cleared the decision process, can make use of larger VQ or output side buffers and be dealt with according to configured QoS treatment, rather than dropped completely at random.

The buffering before the packet decision engine should be arranged such that 1) it can hold a relatively large number of small packets, 2) it can hold a small number of large packets, and 3) it can hold a mix of packets of different sizes.

These on-chip buffers need not contribute significant delay since they are only used when the packet decision engine is unable to keep up, not in response to congestion, plus these buffers are quite small. For example, an on-chip buffer capable of handling 4K packets of 64 bytes in length, or 256KB, corresponds to 200 microseconds on a 10 Gb/s link and 20 microseconds on a 100 Gb/s link. If the packet decision engine is capable of handling packets at 90% of the full rate for small packets, then the maximum added delay is 20 microseconds and 2 microseconds, respectively, and this delay only applies if a 4K burst of short packets occurs. When no burst of short packets was being processed, no delay is added. These buffers are only needed on high-speed interfaces where it is difficult to process small packets at full line rate.

Packet rate requirements apply regardless of which network tier the equipment is deployed in. Whether deployed in the network core or near the network edges, one of the two conditions **MUST** be met if Differentiated Services requirements are to be met:

1. Packets must be processed at full line rate with minimum-sized packets. -OR-
2. Packets must be processed at a rate well under generally accepted average packet sizes, with sufficient buffering prior to the packet decision engine to accommodate long bursts of small packets.

## 2.4. MPLS Multipath Techniques

In any large provider, service providers, and content providers, hash-based multipath techniques are used in the core and in the edge. In many of these providers, hash-based multipath is also used in the larger metro networks.

For good reason, the Differentiated Services requirements dictate that packets within a common microflow SHOULD NOT be reordered [RFC2474]. Service providers generally impose stronger requirements, commonly requiring that packets within a microflow MUST NOT be reordered except in rare circumstances such as load balancing across multiple links, path change for load balancing, or path change for other reason.

The most common multipath techniques are ECMP applied at the IP forwarding level, Ethernet Link Aggregation Group (LAG) with inspection of the IP payload, and multipath on links carrying both IP and MPLS, where the IP header is inspected below the MPLS label stack. In most core networks, the vast majority of traffic is MPLS encapsulated.

In order to support an adequately balanced load distribution across multiple links, IP header information must be used. Common practice today is to reinspect the IP headers at each LSR and use the label stack and IP header information in a hash performed at each LSR. Further details are provided in Section 2.4.5.

The use of this technique is so ubiquitous in provider networks that lack of support for multipath makes any product unsuitable for use in large core networks. This will continue to be the case in the near future, even as deployment of the MPLS Entropy Label begins to relax the core LSR multipath performance requirements given the existing deployed base of edge equipment without the ability to add an Entropy Label.

A generation of edge equipment supporting the ability to add an MPLS Entropy Label is needed before the performance requirements for core LSRs can be relaxed. However, it is likely that two generations of deployment in the future will allow core LSRs to support full packet rate only when a relatively small number of MPLS labels need to be inspected before hashing. For now, don't count on it.

Common practice today is to reinspect the packet at each LSR and use information from the packet combined with a hash seed that is selected by each LSR. Where Flow Labels or Entropy Labels are used, a hash seed must be used when creating these labels.

#### 2.4.1. Pseudowire Control Word

Within the core of a network, some form of multipath is almost certain to be used. Multipath techniques deployed today are likely to be looking beneath the label stack for an opportunity to hash on IP addresses.

A pseudowire encapsulated at a network edge must have a means to prevent reordering within the core if the pseudowire will be crossing a network core, or any part of a network topology where multipath is used (see [RFC4385] and [RFC4928]).

Not supporting the ability to encapsulate a pseudowire with a Control Word may lock a product out from consideration. A pseudowire capability without Control Word support might be sufficient for applications that are strictly both intra-metro and low bandwidth. However, a provider with other applications will very likely not tolerate having equipment that can only support a subset of their pseudowire needs.

#### 2.4.2. Large Microflows

Where multipath makes use of a simple hash and simple load balance such as modulo or other fixed allocation (see Section 2.4), there can be the presence of large microflows that each consume 10% of the capacity of a component link of a potentially congested composite link. One such microflow can upset the traffic balance, and more than one can reduce the effective capacity of the entire composite link by more than 10%.

When even a very small number of large microflows are present, there is a significant probability that more than one of these large microflows could fall on the same component link. If the traffic contribution from large microflows is small, the probability for three or more large microflows on the same component link drops significantly. Therefore, in a network where a significant number of parallel 10 Gb/s links exists, even a 1 Gb/s pseudowire or other large microflow that could not otherwise be subdivided into smaller flows should carry a Flow Label or Entropy Label if possible.

Active management of the hash space to better accommodate large microflows has been implemented and deployed in the past; however, such techniques are out of scope for this document.



### 2.4.3. Pseudowire Flow Label

Unlike a pseudowire Control Word, a pseudowire Flow Label [RFC6391] is required only for pseudowires that have a relatively large capacity. There are many cases where a pseudowire Flow Label makes sense. Any service such as a VPN that carries IP traffic within a pseudowire can make use of a pseudowire Flow Label.

Any pseudowire carried over MPLS that makes use of the pseudowire Control Word and does not carry a Flow Label is in effect a single microflow (in the terms defined in [RFC2475]) and may result in the types of problems described in Section 2.4.2.

### 2.4.4. MPLS Entropy Label

The MPLS Entropy Label simplifies flow group identification [RFC6790] at midpoint LSRs. Prior to the MPLS Entropy Label, midpoint LSRs needed to inspect the entire label stack and often the IP headers to provide an adequate distribution of traffic when using multipath techniques (see Section 2.4.5). With the use of the MPLS Entropy Label, a hash can be performed closer to network edges, placed in the label stack, and used by midpoint LSRs without fully reinspecting the label stack and inspecting the payload.

The MPLS Entropy Label is capable of avoiding full label stack and payload inspection within the core where performance levels are most difficult to achieve (see Section 2.3). The label stack inspection can be terminated as soon as the first Entropy Label is encountered, which is generally after a small number of labels are inspected.

In order to provide these benefits in the core, an LSR closer to the edge must be capable of adding an Entropy Label. This support may not be required in the access tier, the tier closest to the customer, but is likely to be required in the edge or the border to the network core. An LSR peering with external networks will also need to be able to add an Entropy Label on incoming traffic.

### 2.4.5. Fields Used for Multipath Load Balance

The most common multipath techniques are based on a hash over a set of fields. Regardless of whether a hash is used or some other method is used, there is a limited set of fields that can safely be used for multipath.

#### 2.4.5.1. MPLS Fields in Multipath

If the "outer" or "first" layer of encapsulation is MPLS, then label stack entries are used in the hash. Within a finite amount of time (and for small packets arriving at high speed, that time can be quite limited), only a finite number of label entries can be inspected. Pipelined or parallel architectures improve this, but the limit is still finite.

The following guidelines are provided for use of MPLS fields in multipath load balancing.

1. Only the 20-bit label field **SHOULD** be used. The TTL field **SHOULD NOT** be used. The S bit **MUST NOT** be used. The TC field (formerly EXP) **MUST NOT** be used. See text following this list for reasons.
2. If an ELI label is found, then if the LSR supports Entropy Labels, the EL label field in the next label entry (the EL) **SHOULD** be used, label entries below that label **SHOULD NOT** be used, and the MPLS payload **SHOULD NOT** be used. See below this list for reasons.
3. Special-purpose labels (label values 0-15) **MUST NOT** be used. Extended special-purpose labels (any label following label 15) **MUST NOT** be used. In particular, GAL and RA **MUST NOT** be used so that OAM traffic follows the same path as payload packets with the same label stack.
4. If a new special-purpose label or extended special-purpose label is defined that requires special load-balance processing, then, as is the case for the ELI label, a special action may be needed rather than skipping the special-purpose label or extended special-purpose label.
5. The most entropy is generally found in the label stack entries near the bottom of the label stack (innermost label, closest to S=1 bit). If the entire label stack cannot be used (or entire stack up to an EL), then it is better to use as many labels as possible closest to the bottom of stack.
6. If no ELI is encountered, and the first nibble of payload contains a 4 (IPv4) or 6 (IPv6), an implementation **SHOULD** support the ability to interpret the payload as IPv4 or IPv6 and extract and use appropriate fields from the IP headers. This feature is considered a nonnegotiable requirement by many service providers. If supported, there **MUST** be a way to disable it (if, for example, PW without CW are used). This ability to disable this feature is considered a nonnegotiable requirement by many service providers.

Therefore, an implementation has a very strong incentive to support both options.

7. A label that is popped at egress (UHP pop) SHOULD NOT be used. A label that is popped at the penultimate hop (PHP pop) SHOULD be used.

Apparently, some chips have made use of the TC (formerly EXP) bits as a source of entropy. This is very harmful since it will reorder Assured Forwarding (AF) traffic [RFC2597] when a subset does not conform to the configured rates and is remarked but not dropped at a prior LSR. Traffic that uses MPLS ECN [RFC5129] can also be reordered if TC is used for entropy. Therefore, as stated in the guidelines above, the TC field (formerly EXP) MUST NOT be used in multipath load balancing as it violates Differentiated Services Ordered Aggregate (OA) requirements in these two instances.

Use of the MPLS label entry S bit would result in putting OAM traffic on a different path if the addition of a GAL at the bottom of stack removed the S bit from the prior label.

If an ELI label is found, then if the LSR supports Entropy Labels, the EL label field in the next label entry (the EL) SHOULD be used, and the search for additional entropy within the packet SHOULD be terminated. Failure to terminate the search will impact client MPLS-TP LSPs carried within server MPLS LSPs. A network operator has the option to use administrative attributes as a means to identify LSRs that do not terminate the entropy search at the first EL. Administrative attributes are defined in [RFC3209]. Some configuration is required to support this.

If the label removed by a PHP pop is not used, then for any PW for which CW is used, there is no basis for multipath load split. In some networks, it is infeasible to put all PW traffic on one component link. Any PW that does not use CW will be improperly split, regardless of whether the label removed by a PHP pop is used. Therefore, the PHP pop label SHOULD be used as recommended above.

#### 2.4.5.2. IP Fields in Multipath

Inspecting the IP payload provides the most entropy in provider networks. The practice of looking past the bottom of stack label for an IP payload is well accepted and documented in [RFC4928] and in other RFCs.

Where IP is mentioned in the document, both IPv4 and IPv6 apply. All LSRs MUST fully support IPv6.

When information in the IP header is used, the following guidelines apply:

1. Both the IP source address and IP destination address **SHOULD** be used. There **MAY** be an option to reverse the order of these addresses, improving the ability to provide symmetric paths in some cases. Many service providers require that both addresses be used.
2. Implementations **SHOULD** allow inspection of the IP protocol field and use of the UDP or TCP port numbers. For many service providers, this feature is considered mandatory, particularly for enterprise, data center, or edge equipment. If this feature is provided, it **SHOULD** be possible to disable use of TCP and UDP ports. Many service providers consider it a nonnegotiable requirement that use of UDP and TCP ports can be disabled. Therefore, there is a strong incentive for implementations to provide both options.
3. Equipment suppliers **MUST NOT** make assumptions that because the IP version field is equal to 4 (an IPv4 packet) that the IP protocol will either be TCP (IP protocol 6) or UDP (IP protocol 17) and blindly fetch the data at the offset where the TCP or UDP ports would be found. With IPv6, TCP and UDP port numbers are not at fixed offsets. With IPv4 packets carrying IP options, TCP and UDP port numbers are not at fixed offsets.
4. The IPv6 header flow field **SHOULD** be used. This is the explicit purpose of the IPv6 flow field; however, observed flow fields rarely contain a non-zero value. Some uses of the flow field have been defined, such as [RFC6438]. In the absence of MPLS encapsulation, the IPv6 flow field can serve a role equivalent to the Entropy Label.
5. Support for other protocols that share a common Layer 4 header such as RTP [RFC3550], UDP-Lite [RFC3828], SCTP [RFC4960], and DCCP [RFC4340] **SHOULD** be provided, particularly for edge or access equipment where additional entropy may be needed. Equipment **SHOULD** also use RTP, UDP-lite, SCTP, and DCCP headers when creating an Entropy Label.
6. The following IP header fields should not or must not be used:
  - A. Similar to avoiding TC in MPLS, the IP DSCP, and ECN bits **MUST NOT** be used.
  - B. The IPv4 TTL or IPv6 Hop Count **SHOULD NOT** be used.

- C. Note that the IP TOS field was deprecated. ([RFC0791] was updated by [RFC2474].) No part of the IP DSCP field can be used (formerly IP PREC and IP TOS bits).
7. Some IP encapsulations support tunneling, such as IP-in-IP, GRE, L2TPv3, and IPsec. These provide a greater source of entropy that some provider networks carrying large amounts of tunneled traffic may need, for example, as used in [RFC5640] for GRE and L2TPv3. The use of tunneling header information is out of scope for this document.

This document makes the following recommendations. These recommendations are not required to claim compliance to any existing RFC; therefore, implementers are free to ignore them, but due to service provider requirements should consider the risk of doing so. The use of IP addresses **MUST** be supported, and TCP and UDP ports (conditional on the protocol field and properly located) **MUST** be supported. The ability to disable use of UDP and TCP ports **MUST** be available.

Though potentially very useful in some networks, it is uncommon to support using payloads of tunneling protocols carried over IP. Though the use of tunneling protocol header information is out of scope for this document, it is not discouraged.

#### 2.4.5.3. Fields Used in Flow Label

The ingress to a pseudowire (PW) can extract information from the payload being encapsulated to create a Flow Label. [RFC6391] references IP carried in Ethernet as an example. The Native Service Processing (NSP) function defined in [RFC3985] differs with pseudowire type. It is in the NSP function where information for a specific type of PW can be extracted for use in a Flow Label. Determining which fields to use for any given PW NSP is out of scope for this document.

#### 2.4.5.4. Fields Used in Entropy Label

An Entropy Label is added at the ingress to an LSP. The payload being encapsulated is most often MPLS, a PW, or IP. The payload type is identified by the Layer 2 encapsulation (Ethernet, GFP, POS, etc.).

If the payload is MPLS, then the information used to create an Entropy Label is the same information used for local load balancing (see Section 2.4.5.1). This information **MUST** be extracted for use in generating an Entropy Label even if the LSR local egress interface is not a multipath.

Of the non-MPLS payload types, only payloads that are forwarded are of interest. For example, payloads using the Address Resolution Protocol (ARP) are not forwarded, and payloads using the Connectionless-mode Network Protocol (CLNP), which is used only for IS-IS, are not forwarded.

The non-MPLS payload types of greatest interest are IPv4 and IPv6. The guidelines in Section 2.4.5.2 apply to fields used to create an Entropy Label.

The IP tunneling protocols mentioned in Section 2.4.5.2 may be more applicable to generation of an Entropy Label at the edge or access where deep packet inspection is practical due to lower interface speeds than in the core where deep packet inspection may be impractical.

## 2.5. MPLS-TP and UHP

MPLS-TP introduces forwarding demands that will be extremely difficult to meet in a core network. Most troublesome is the requirement for Ultimate Hop Popping (UHP), the opposite of Penultimate Hop Popping (PHP). Using UHP opens the possibility of one or more MPLS pop operations plus an MPLS swap operation for each packet. The potential for multiple lookups and multiple counter instances per packet exists.

As networks grow and tunneling of LDP LSPs into RSVP-TE LSPs is used, and/or RSVP-TE hierarchy is used, the requirement to perform one or more MPLS pop operations plus an MPLS swap operation (and possibly a push or two) increases. If MPLS-TP LM (link monitoring) OAM is enabled at each layer, then a packet and byte count MUST be maintained for each pop and swap operation so as to offer OAM for each layer.

## 2.6. Local Delivery of Packets

There are a number of situations in which packets are destined to a local address or where a return packet must be generated. There is a need to mitigate the potential for outage as a result of either attacks on network infrastructure, or in some cases unintentional misconfiguration resulting in processor overload. Some hardware assistance is needed for all traffic destined to the general-purpose CPU that is used in processing of the MPLS control protocol or the network management protocol and in most cases to other general-purpose CPUs residing on an LSR. This is due to the ease of overwhelming such a processor with traffic arriving on LSR high-speed interfaces, whether the traffic is malicious or not.

Denial of service (DoS) protection is an area requiring hardware support that is often overlooked or inadequately considered. Hardware assists are also needed for OAM, particularly the more demanding MPLS-TP OAM.

### 2.6.1. DoS Protection

Modern equipment supports a number of control-plane and management-plane protocols. Generally, no single means of protecting network equipment from DoS attacks is sufficient, particularly for high-speed interfaces. This problem is not specific to MPLS but is a topic that cannot be ignored when implementing or evaluating MPLS implementations.

Two types of protections are often cited as the primary means of protecting against attacks of all kinds.

#### Isolated Control/Management Traffic

Control and management traffic can be carried out-of-band (OOB), meaning not intermixed with payload. For MPLS, use of G-ACh and GAL to carry control and management traffic provides a means of isolation from potentially malicious payloads. Used alone, the compromise of a single node, including a small computer at a network operations center, could compromise an entire network. Implementations that send all G-ACh/GAL traffic directly to a routing engine CPU are subject to DoS attack as a result of such a compromise.

#### Cryptographic Authentication

Cryptographic authentication can very effectively prevent malicious injection of control or management traffic. Cryptographic authentication can in some circumstances be subject to DoS attack by overwhelming the capacity of the decryption with a high volume of malicious traffic. For very-low-speed interfaces, cryptographic authentication can be performed by the general-purpose CPU used as a routing engine. For all other cases, cryptographic hardware may be needed. For very-high-speed interfaces, even cryptographic hardware can be overwhelmed.

Some control and management protocols are often carried with payload traffic. This is commonly the case with BGP, T-LDP, and SNMP. It is often the case with RSVP-TE. Even when carried over G-ACh/GAL, additional measures can reduce the potential for a minor breach to be leveraged to a full network attack.

Some of the additional protections are supported by hardware packet filtering.

**GTSM**

[RFC5082] defines a mechanism that uses the IPv4 TTL or IPv6 Hop Limit fields to ensure control traffic that can only originate from an immediate neighbor is not forged and is not originating from a distant source. GTSM can be applied to many control protocols that are routable, for example, LDP [RFC6720].

**IP Filtering**

At the very minimum, packet filtering plus classification and use of multiple queues supporting rate limiting is needed for traffic that could potentially be sent to a general-purpose CPU used as a routing engine. The first level of filtering only allows connections to be initiated from specific IP prefixes to specific destination ports and then preferably passes traffic directly to a cryptographic engine and/or rate limits. The second level of filtering passes connected traffic, such as TCP connections having received at least one authenticated SYN or having been locally initiated. The second level of filtering only passes traffic to specific address and port pairs to be checked for cryptographic authentication.

The cryptographic authentication is generally the last resort in DoS attack mitigation. If a packet must be first sent to a general-purpose CPU, then sent to a cryptographic engine, a DoS attack is possible on high-speed interfaces. Only where hardware can fully process a cryptographic authentication without intervention from a general-purpose CPU (to find the authentication field and to identify the portion of packet to run the cryptographic algorithm over) is cryptographic authentication beneficial in protecting against DoS attacks.

For chips supporting multiple 100 Gb/s interfaces, only a very large number of parallel cryptographic engines can provide the processing capacity to handle a large-scale DoS or distributed DoS (DDoS) attack. For many forwarding chips, this much processing power requires significant chip real estate and power, and therefore reduces system space and power density. For this reason, cryptographic authentication is not considered a viable first line of defense.

For some networks, the first line of defense is some means of supporting OOB control and management traffic. In the past, this OOB channel might make use of overhead bits in SONET or OTN or a dedicated DWDM wavelength. G-ACh and GAL provide an alternative OOB mechanism that is independent of underlying layers. In other networks, including most IP/MPLS networks, perimeter filtering serves a similar purpose, though it is less effective without extreme vigilance.



A second line of defense is filtering, including GTSM. For protocols such as EBGp, GTSM and other filtering are often the first line of defense. Cryptographic authentication is usually the last line of defense and insufficient by itself to mitigate DoS or DDoS attacks.

### 2.6.2. MPLS OAM

[RFC4377] defines requirements for MPLS OAM that predate MPLS-TP. [RFC4379] defines what is commonly referred to as LSP Ping and LSP Traceroute. [RFC4379] is updated by [RFC6424], which supports MPLS tunnels and stitched LSP and P2MP LSP. [RFC4379] is updated by [RFC6425], which supports P2MP LSP. [RFC4379] is updated by [RFC6426] to support MPLS-TP connectivity verification (CV) and route tracing.

[RFC4950] extends the ICMP format to support TTL expiration that may occur when using IP Traceroute within an MPLS tunnel. The ICMP message generation can be implemented in forwarding hardware, but if the ICMP packets are sent to a general-purpose CPU, this packet flow must be rate limited to avoid a potential DoS attack.

[RFC5880] defines Bidirectional Forwarding Detection (BFD), a protocol intended to detect faults in the bidirectional path between two forwarding engines. [RFC5884] and [RFC5885] define BFD for MPLS. BFD can provide failure detection on any kind of path between systems, including direct physical links, virtual circuits, tunnels, MPLS Label Switched Paths (LSPs), multihop routed paths, and unidirectional links as long as there is some return path.

The processing requirements for BFD are less than for LSP Ping, making BFD somewhat better suited for relatively high-rate proactive monitoring. BFD does not verify that the data plane matches the control plane, where LSP Ping does. LSP Ping is somewhat better suited for on-demand monitoring including relatively low-rate periodic verification of the data plane and as a diagnostic tool.

Hardware assistance is often provided for BFD response where BFD setup or parameter change is not involved and may be necessary for relatively high-rate proactive monitoring. If both BFD and LSP Ping are recognized in filtering prior to passing traffic to a general-purpose CPU, appropriate DoS protection can be applied (see Section 2.6.1). Failure to recognize BFD and LSP Ping and at least to rate limit creates the potential for misconfiguration to cause outages rather than cause errors in the misconfigured OAM.

### 2.6.3. Pseudowire OAM

Pseudowire OAM makes use of the control channel provided by Virtual Circuit Connectivity Verification (VCCV) [RFC5085]. VCCV makes use of the pseudowire Control Word. BFD support over VCCV is defined by [RFC5885]. [RFC5885] is updated by [RFC6478] in support of static pseudowires. [RFC4379] is updated by [RFC6829] to support LSP Ping for Pseudowire FEC advertised over IPv6.

G-ACh/GAL (defined in [RFC5586]) is the preferred MPLS-TP OAM control channel and applies to any MPLS-TP endpoints, including pseudowire. See Section 2.6.4 for an overview of MPLS-TP OAM.

### 2.6.4. MPLS-TP OAM

[RFC6669] summarizes the MPLS-TP OAM toolset, the set of protocols supporting the MPLS-TP OAM requirements specified in [RFC5860] and supported by the MPLS-TP OAM framework defined in [RFC6371].

The MPLS-TP OAM toolset includes:

#### CC-CV

[RFC6428] defines BFD extensions to support proactive Continuity Check and Connectivity Verification (CC-CV) applications. [RFC6426] provides LSP Ping extensions that are used to implement on-demand connectivity verification.

#### RDI

Remote Defect Indication (RDI) is triggered by failure of proactive CC-CV, which is BFD based. For fast RDI, RDI SHOULD be initiated and handled by hardware if BFD is handled in forwarding hardware. [RFC6428] provides an extension for BFD that includes the RDI in the BFD format and a specification of how this indication is to be used.

#### Route Tracing

[RFC6426] specifies that the LSP Ping enhancements for MPLS-TP on-demand connectivity verification include information on the use of LSP Ping for route tracing of an MPLS-TP path.

#### Alarm Reporting

[RFC6427] describes the details of a new protocol supporting Alarm Indication Signal (AIS), Link Down Indication (LDI), and fault management. Failure to support this functionality in forwarding hardware can potentially result in failure to meet protection recovery time requirements; therefore, support of this functionality is strongly recommended.

**Lock Instruct**

Lock instruct is initiated on demand and therefore need not be implemented in forwarding hardware. [RFC6435] defines a lock instruct protocol.

**Lock Reporting**

[RFC6427] covers lock reporting. Lock reporting need not be implemented in forwarding hardware.

**Diagnostic**

[RFC6435] defines protocol support for loopback. Loopback initiation is on demand and therefore need not be implemented in forwarding hardware. Loopback of packet traffic **SHOULD** be implemented in forwarding hardware on high-speed interfaces.

**Packet Loss and Delay Measurement**

[RFC6374] and [RFC6375] define a protocol and profile for Packet Loss Measurement (LM) and Delay Measurement (DM). LM requires a very accurate capture and insertion of packet and byte counters when a packet is transmitted and capture of packet and byte counters when a packet is received. This capture and insertion **MUST** be implemented in forwarding hardware for LM OAM if high accuracy is needed. DM requires very accurate capture and insertion of a timestamp on transmission and capture of timestamp when a packet is received. This timestamp capture and insertion **MUST** be implemented in forwarding hardware for DM OAM if high accuracy is needed.

See Section 2.6.2 for discussion of hardware support necessary for BFD and LSP Ping.

CC-CV and alarm reporting is tied to protection and therefore **SHOULD** be supported in forwarding hardware in order to provide protection for a large number of affected LSPs within target response intervals. When using MPLS-TP, since CC-CV is supported by BFD, providing hardware assistance for BFD processing helps ensure that protection recovery time requirements can be met even for faults affecting a large number of LSPs.

MPLS-TP Protection State Coordination (PSC) is defined by [RFC6378] and updated by [RFC7324], which corrects some errors in [RFC6378].

**2.6.5. MPLS OAM and Layer 2 OAM Interworking**

[RFC6670] provides the reasons for selecting a single MPLS-TP OAM solution and examines the consequences were ITU-T to develop a second OAM solution that is based on Ethernet encodings and mechanisms.

[RFC6310] and [RFC7023] specify the mapping of defect states between many types of hardware Attachment Circuits (ACs) and associated pseudowires (PWs). This functionality SHOULD be supported in forwarding hardware.

It is beneficial if an MPLS OAM implementation can interwork with the underlying server layer and provide a means to interwork with a client layer. For example, [RFC6427] specifies an inter-layer propagation of AIS and LDI from MPLS server layer to client MPLS layers. Where the server layer uses a Layer 2 mechanism, such as Ethernet, PPP over SONET/SDH, or GFP over OTN, interwork among layers is also beneficial. For high-speed interfaces, supporting this interworking in forwarding hardware helps ensure that protection based on this interworking can meet recovery time requirements even for faults affecting a large number of LSPs.

#### 2.6.6. Extent of OAM Support by Hardware

Where certain requirements must be met, such as relatively high CC-CV rates and a large number of interfaces, or strict protection recovery time requirements and a moderate number of affected LSPs, some OAM functionality must be supported by forwarding hardware. In other cases, such as highly accurate LM and DM OAM or strict protection recovery time requirements with a large number of affected LSPs, OAM functionality must be entirely implemented in forwarding hardware.

Where possible, implementation in forwarding hardware should be in programmable hardware such that if standards are later changed or extended these changes are likely to be accommodated with hardware reprogramming rather than replacement.

For some functionality, there is a strong case for an implementation in dedicated forwarding hardware. Examples include packet and byte counters needed for LM OAM as well as needed for management protocols. Similarly, the capture and insertion of packet and byte counts or timestamps needed for transmitted LM or DM or time synchronization packets MUST be implemented in forwarding hardware if high accuracy is required.

For some functions, there is a strong case to provide limited support in forwarding hardware, but an external general-purpose processor may be used if performance criteria can be met. For example, origination of RDI triggered by CC-CV, response to RDI, and Protection State Coordination (PSC) functionality may be supported by hardware, but expansion to a large number of client LSPs and transmission of AIS or RDI to the client LSPs may occur in a general-purpose processor. Some forwarding hardware supports one or more on-chip general-purpose processors that may be well suited for such a role. [RFC7324], being

a very recent document that affects a protection state machine that requires hardware support, underscores the importance of having a degree of programmability in forwarding hardware.

The customer (system supplier or provider) should not dictate design, but should independently validate target functionality and performance. However, it is not uncommon for service providers and system implementers to insist on reviewing design details (under a non-disclosure agreement) due to past experiences with suppliers and to reject suppliers who are unwilling to provide details.

#### 2.6.7. Support for IPFIX in Hardware

The IPFIX architecture is defined by [RFC5470]. IPFIX supports per-flow statistics. IPFIX information elements (IEs) are defined in [RFC7012] and include IEs for MPLS.

The forwarding chips used in core routers are not optimized for high-touch applications like IPFIX. Often, support for IPFIX in core routers is limited to optional IPFIX metering, which involves a 1-in-N packet sampling, limited filtering support, and redirection to either an internal CPU or an external interface. The CPU or device at the other end of the external interface then implements the full IPFIX filtering and IPFIX collector functionality.

LSRs that are intended to be deployed further from the core may support lower-capacity interfaces but support higher-touch applications on the forwarding hardware and may provide dedicated hardware to support a greater subset of IPFIX functionality before handing off to a general-purpose CPU. In some cases, far from the core the entire IPFIX functionality up to and including the collector may be implemented in hardware and firmware in the forwarding silicon. It is also worth noting that at lower speeds a general-purpose CPU may become adequate to implement IPFIX, particularly if metering is used.

#### 2.7. Number and Size of Flows

Service provider networks may carry up to hundreds of millions of flows on 10 Gb/s links. Most flows are very short lived, many under a second. A subset of the flows are low capacity and somewhat long lived. When Internet traffic dominates capacity, a very small subset of flows are high capacity and/or very long lived.

Two types of limitations with regard to number and size of flows have been observed.

1. Some hardware cannot handle some high-capacity flows because of internal paths that are limited, such as per-packet backplane paths or paths internal or external to chips such as buffer memory paths. Such designs can handle aggregates of smaller flows. Some hardware with acknowledged limitations has been successfully deployed but may be increasingly problematic if the capacity of large microflows in deployed networks continues to grow.
2. Some hardware approaches cannot handle a large number of flows, or a large number of large flows, due to attempting to count per flow, rather than deal with aggregates of flows. Hash techniques scale with regard to number of flows due to a fixed hash size with many flows falling into the same hash bucket. Techniques that identify individual flows have been implemented but have never successfully deployed for Internet traffic.

### 3. Questions for Suppliers

The following questions should be asked of a supplier. These questions are grouped into broad categories and are intended to be open-ended questions to the supplier. The tests in Section 4 are intended to verify whether the supplier disclosed any compliance or performance limitations completely and accurately.

#### 3.1. Basic Compliance

- Q#1 Can the implementation forward packets with an arbitrarily large stack depth? What limitations exist, and under what circumstances do further limitations come into play (such as high packet rate or specific features enabled or specific types of packet processing)? See Section 2.1.
- Q#2 Is the entire set of basic MPLS functionality described in Section 2.1 supported?
- Q#3 Is the set of MPLS special-purpose labels handled correctly and with adequate performance? Are extended special-purpose labels handled correctly and with adequate performance? See Section 2.1.1.
- Q#4 Are mappings of label value and TC to PHB handled correctly, including L-LSP mappings (RFC 3270) and CT mappings (RFC 4124) to PHB? See Section 2.1.2.

**Q#5** Is time synchronization adequately supported in forwarding hardware?

- A.** Are both PTP and NTP formats supported?
- B.** Is the accuracy of timestamp insertion and incoming stamping sufficient?

See Section 2.1.3.

**Q#6** Is link bundling supported?

- A.** Can an LSP be pinned to specific components?
- B.** Is the "all-ones" component link supported?

See Section 2.1.5.

**Q#7** Is MPLS hierarchy supported?

- A.** Are both PHP and UHP supported? What limitations exist on the number of pop operations with UHP?
- B.** Are the pipe, short-pipe, and uniform models supported? Are TTL and TC values updated correctly at egress where applicable?

See Section 2.1.6 regarding MPLS hierarchy. See [RFC3443] regarding PHP, UHP, and pipe, short-pipe, and uniform models.

**Q#8** Is FRR supported?

- A.** Are both "One-to-One Backup" and "Facility Backup" supported?
- B.** What forms of IP/LDP FRR are supported?
- C.** How quickly does protection recovery occur?
- D.** Does protection recovery speed increase when a fault affects a large number of protected LSPs? And if so, by how much?

See Section 2.1.7.

**Q#9** Are pseudowire Sequence Numbers handled correctly? See Section 2.1.8.1.

**Q#10** Is VPN LER functionality handled correctly and without performance issues? See Section 2.1.9.

**Q#11** Is MPLS multicast (P2MP and MP2MP) handled correctly?

A. Are packets dropped on uncongested outputs if some outputs are congested?

B. Is performance limited in high-fanout situations?

See Section 2.2.

### 3.2. Basic Performance

**Q#12** Can very small packets be forwarded at full line rate on all interfaces indefinitely? What limitations exist? And under what circumstances do further limitations come into play (such as specific features enabled or specific types of packet processing)?

**Q#13** Customers must decide whether to relax the prior requirement and to what extent. If the answer to the prior question indicates that limitations exist, then:

A. What is the smallest packet size where full line rate forwarding can be supported?

B. What is the longest burst of full-rate small packets that can be supported?

Specify circumstances (such as specific features enabled or specific types of packet processing) that often impact these rates and burst sizes.

**Q#14** How many pop operations can be supported along with a swap operation at full line rate while maintaining per-LSP packet and byte counts for each pop and swap? This requirement is particularly relevant for MPLS-TP.

**Q#15** How many label push operations can be supported. While this limitation is rarely an issue, it applies to both PHP and UHP, unlike the pop limit that applies to UHP.

**Q#16** For a worst case where all packets arrive on one LSP, what is the counter overflow time? Are any means provided to avoid polling all counters at short intervals? This applies to both MPLS and MPLS-TP.



### 3.3. Multipath Capabilities and Performance

Multipath capabilities and performance do not apply to MPLS-TP, but they apply to MPLS and apply if MPLS-TP is carried in MPLS.

- Q#17 How are large microflows accommodated? Is there active management of the hash space mapping to output ports? See Section 2.4.2.
- Q#18 How many MPLS labels can be included in a hash based on the MPLS label stack?
- Q#19 Is packet rate performance decreased beyond some number of labels?
- Q#20 Can the IP header and payload information below the MPLS stack be used in the hash? If so, which IP fields, payload types, and payload fields are supported?
- Q#21 At what maximum MPLS label stack depth can Bottom of Stack and an IP header appear without impacting packet rate performance?
- Q#22 Are special-purpose labels excluded from the label stack hash? Are extended special-purpose labels excluded from the label stack hash? See Section 2.4.5.1.
- Q#23 How is multipath performance affected by high-capacity flows, an extremely large number of flows, or very short-lived flows? See Section 2.7.

### 3.4. Pseudowire Capabilities and Performance

- Q#24 Is the pseudowire Control Word supported?
- Q#25 What is the maximum rate of pseudowire encapsulation and decapsulation? Apply the same questions as in Section 3.2 ("Basic Performance") for any packet-based pseudowire, such as IP VPN or Ethernet.
- Q#26 Does inclusion of a pseudowire Control Word impact performance?
- Q#27 Are Flow Labels supported?
- Q#28 If so, what fields are hashed on for the Flow Label for different types of pseudowires?
- Q#29 Does inclusion of a Flow Label impact performance?

### 3.5. Entropy Label Support and Performance

- Q#30 Can an Entropy Label be added when acting as an ingress LER, and can it be removed when acting as an egress LER?
- Q#31 If an Entropy Label can be added, what fields are hashed on for the Entropy Label?
- Q#32 Does adding or removing an Entropy Label impact packet rate performance?
- Q#33 Can an Entropy Label be detected in the label stack, used in the hash, and properly terminate the search for further information to hash on?
- Q#34 Does using an Entropy Label have any negative impact on performance? It should have no impact or a positive impact.

### 3.6. DoS Protection

- Q#35 For each control- and management-plane protocol in use, what measures are taken to provide DoS attack hardening?
- Q#36 Have DoS attack tests been performed?
- Q#37 Can compromise of an internal computer on a management subnet be leveraged for any form of attack including DoS attack?

### 3.7. OAM Capabilities and Performance

- Q#38 What OAM proactive and on-demand mechanisms are supported?
- Q#39 What performance limits exist under high proactive monitoring rates?
- Q#40 Can excessively high proactive monitoring rates impact control-plane performance or cause control-plane instability?
- Q#41 Ask the prior questions for each of the following.
- A. MPLS OAM
  - B. Pseudowire OAM
  - C. MPLS-TP OAM

#### D. Layer 2 OAM Interworking

See Section 2.6.

### 4. Forwarding Compliance and Performance Testing

Packet rate performance of equipment supporting a large number of 10 Gb/s or 100 Gb/s links is not possible using desktop computers or workstations. The use of high-end workstations as a source of test traffic was barely viable 20 years ago but is no longer at all viable. Though custom microcode has been used on specialized router forwarding cards to serve the purpose of generating test traffic and measuring it, for the most part, performance testing will require specialized test equipment. There are multiple sources of suitable equipment.

The set of tests listed here do not correspond one-to-one to the set of questions in Section 3. The same categorization is used, and these tests largely serve to validate answers provided to the prior questions. They can also provide answers where a supplier is unwilling to disclose compliance or performance.

Performance testing is the domain of the IETF Benchmark Methodology Working Group (BMWG). Below are brief descriptions of conformance and performance tests. Some very basic tests, specified in [RFC5695], partially cover only the basic performance test T#3.

The following tests should be performed by the systems designer or deployer; or, if it is not practical for the potential customer to perform the tests directly, they may be performed by the supplier on their behalf. These tests are grouped into broad categories.

The tests in Section 4.1 should be repeated under various conditions to retest basic performance when critical capabilities are enabled. Complete repetition of the performance tests enabling each capability and combinations of capabilities would be very time intensive; therefore, a reduced set of performance tests can be used to gauge the impact of enabling specific capabilities.

#### 4.1. Basic Compliance

**T#1** Test forwarding at a high rate for packets with varying number of label entries. While packets with more than a dozen label entries are unlikely to be used in any practical scenario today, it is useful to know if limitations exists.

- T#2** For each of the questions listed under "Basic Compliance" in Section 3, verify the claimed compliance. For any functionality considered critical to a deployment, the applicable performance using each capability under load should be verified in addition to basic compliance.

#### 4.2. Basic Performance

- T#3** Test packet forwarding at full line rate with small packets. See [RFC5695]. The most likely case to fail is the smallest packet size. Also, test with packet sizes in 4-byte increments ranging from payload sizes of 40 to 128 bytes.
- T#4** If the prior tests did not succeed for all packet sizes, then perform the following tests.
- A. Increase the packet size by 4 bytes until a size is found that can be forwarded at full rate.
  - B. Inject bursts of consecutive small packets into a stream of larger packets. Allow some time for recovery between bursts. Increase the number of packets in the burst until packets are dropped.
- T#5** Send test traffic where a swap operation is required. Also set up multiple LSPs carried over other LSPs where the device under test (DUT) is the egress of these LSPs. Create test packets such that the swap operation is performed after pop operations, increasing the number of pop operations until forwarding of small packets at full line rate can no longer be supported. Also, check to see how many pop operations can be supported before the full set of counters can no longer be maintained. This requirement is particularly relevant for MPLS-TP.
- T#6** Send all traffic on one LSP and see if the counters become inaccurate. Often, counters on silicon are much smaller than the 64-bit packet and byte counters in various IETF MIBs. System developers should consider what counter polling rate is necessary to maintain accurate counters and whether those polling rates are practical. Relevant MIBs for MPLS are discussed in [RFC4221] and [RFC6639].
- T#7** [RFC6894] provides a good basis for MPLS FRR testing. Similar testing should be performed to determine restoration times; however, this testing is far more difficult to perform due to the need for a simulated test topology that is capable of simulating the signaling used in restoration. The simulated topology should be comparable with the target deployment in the

number of nodes and links and in resource usage flooding and setup delays. Some commercial test equipment can support this type of testing.

#### 4.3. Multipath Capabilities and Performance

Multipath capabilities do not apply to MPLS-TP but apply to MPLS and apply if MPLS-TP is carried in MPLS.

- T#8 Send traffic at a rate well exceeding the capacity of a single multipath component link, and where entropy exists only below the top of stack. If only the top label is used, this test will fail immediately.
- T#9 Move the labels with entropy down in the stack until either the full forwarding rate can no longer be supported or most or all packets try to use the same component link.
- T#10 Repeat the two tests above with the entropy contained in IP headers or IP payload fields below the label stack rather than in the label stack. Test with the set of IP headers or IP payload fields considered relevant to the deployment or to the target market.
- T#11 Determine whether traffic that contains a pseudowire Control Word is interpreted as IP traffic. Information in the payload MUST NOT be used in the load balancing if the first nibble of the packet is not 4 or 6 (IPv4 or IPv6).
- T#12 Determine whether special-purpose labels and extended special-purpose labels are excluded from the label stack hash. They MUST be excluded.
- T#13 Perform testing in the presence of combinations of:
  - A. Very large microflows.
  - B. Relatively short-lived high-capacity flows.
  - C. Extremely large numbers of flows.
  - D. Very short-lived small flows.

#### 4.4. Pseudowire Capabilities and Performance

- T#14 Ensure that pseudowire can be set up with a pseudowire label and pseudowire Control Word added at ingress and the pseudowire label and pseudowire Control Word removed at egress.
- T#15 For pseudowire that contains variable-length payload packets, repeat performance tests listed under "Basic Performance" for pseudowire ingress and egress functions.
- T#16 Repeat pseudowire performance tests with and without a pseudowire Control Word.
- T#17 Determine whether pseudowire can be set up with a pseudowire label, Flow Label, and pseudowire Control Word added at ingress and the pseudowire label, Flow Label, and pseudowire Control Word removed at egress.
- T#18 Determine which payload fields are used to create the Flow Label and whether the set of fields and algorithm provide sufficient entropy for load balancing.
- T#19 Repeat pseudowire performance tests with Flow Labels included.

#### 4.5. Entropy Label Support and Performance

- T#20 Determine whether Entropy Labels can be added at ingress and removed at egress.
- T#21 Determine which fields are used to create an Entropy Label. Labels further down in the stack, including Entropy Labels further down and IP headers or IP payload fields where applicable, should be used. Determine whether the set of fields and algorithm provide sufficient entropy for load balancing.
- T#22 Repeat performance tests under "Basic Performance" when Entropy Labels are used, where ingress or egress is the device under test (DUT).
- T#23 Determine whether an ELI is detected when acting as a midpoint LSR and whether the search for further information on which to base the load balancing is used. Information below the Entropy Label SHOULD NOT be used.
- T#24 Ensure that the Entropy Label indicator and Entropy Label (ELI and EL) are removed from the label stack during UHP and PHP operations.

**T#25** Ensure that operations on the TC field when adding and removing Entropy Label are correctly carried out. If TC is changed during a swap operation, the ability to transfer that change **MUST** be provided. The ability to suppress the transfer of TC **MUST** also be provided. See the pipe, short-pipe, and uniform models in [RFC3443].

**T#26** Repeat performance tests for a midpoint LSR with Entropy Labels found at various label stack depths.

#### **4.6. DoS Protection**

**T#27** Actively attack LSRs under high protocol churn load and determine control-plane performance impact or successful DoS under test conditions. Specifically, test for the following.

- A.** TCP SYN attack against control-plane and management-plane protocols using TCP, including CLI access (typically SSH-protected login), NETCONF, etc.
- B.** High traffic volume attack against control-plane and management-plane protocols not using TCP.
- C.** Attacks that can be performed from a compromised management subnet computer, but not one with authentication keys.
- D.** Attacks that can be performed from a compromised peer within the control plane (internal domain and external domain). Assume that keys that are per peering and keys that are per router ID, rather than network-wide keys, are in use.

See Section 2.6.1.

#### **4.7. OAM Capabilities and Performance**

**T#28** Determine maximum sustainable rates of BFD traffic. If BFD requires CPU intervention, determine both maximum rates and CPU loading when multiple interfaces are active.

**T#29** Verify LSP Ping and LSP Traceroute capability.

**T#30** Determine maximum rates of MPLS-TP CC-CV traffic. If CC-CV requires CPU intervention, determine both maximum rates and CPU loading when multiple interfaces are active.

**T#31** Determine MPLS-TP DM precision.

**T#32** Determine MPLS-TP LM accuracy.

**T#33 Verify MPLS-TP AIS/RDI and Protection State Coordination (PSC) functionality, protection speed, and AIS/RDI notification speed when a large number of Maintenance Entities (MEs) must be notified with AIS/RDI.**

## **5. Security Considerations**

This document reviews forwarding behavior specified elsewhere and points out compliance and performance requirements. As such, it introduces no new security requirements or concerns.

Discussion of hardware support and other equipment hardening against DoS attack can be found in Section 2.6.1. Section 3.6 provides a list of questions regarding DoS to be asked of suppliers. Section 4.6 suggests types of testing that can provide some assurance of the effectiveness of a supplier's claims about DoS hardening.

Knowledge of potential performance shortcomings may serve to help new implementations avoid pitfalls. It is unlikely that such knowledge could be the basis of new denial of service, as these pitfalls are already widely known in the service provider community and among leading equipment suppliers. In practice, extreme data and packet rates are needed to affect existing equipment and to affect networks that may be still vulnerable due to failure to implement adequate protection. The extreme data and packet rates make this type of denial of service unlikely and make undetectable denial of service of this type impossible.

Each normative reference contains security considerations. A brief summarization of MPLS security considerations applicable to forwarding follows:

1. MPLS encapsulation does not support an authentication extension. This is reflected in the security section of [RFC3032]. Documents that clarify MPLS header fields such as TTL [RFC3443], the explicit null label [RFC4182], renaming EXP to TC [RFC5462], ECN for MPLS [RFC5129], and MPLS Ethernet encapsulation [RFC5332] make no changes to security considerations in [RFC3032].
2. Some cited RFCs are related to Diffserv forwarding. [RFC3270] refers to MPLS and Diffserv security. [RFC2474] mentions theft of service and denial of service due to mismarking. [RFC2474] mentions IPsec interaction, but with MPLS, not being carried by IP, the type of interaction in [RFC2474] is not relevant.



3. [RFC3209] is cited here due only to make-before-break forwarding requirements. This is related to resource sharing and the theft-of-service and denial-of-service concerns in [RFC2474] apply.
4. [RFC4090] defines FRR, which provides protection but does not add security concerns. RFC 4201 defines link bundling but raises no additional security concerns.
5. Various OAM control channels are defined in [RFC4385] (PW CW), [RFC5085] (VCCV), and [RFC5586] (G-Ach and GAL). These documents describe potential abuse of these OAM control channels.
6. [RFC4950] defines ICMP extensions when MPLS TTL expires and the payload is IP. This provides MPLS header information that is of no use to an IP attacker, but sending this information can be suppressed through configuration.
7. GTSM [RFC5082] provides a means to improve protection against high traffic volume spoofing as a form of DoS attack.
8. BFD [RFC5880] [RFC5884] [RFC5885] provides a form of OAM used in MPLS and MPLS-TP. The security considerations related to the OAM control channel are relevant. The BFD payload supports authentication. The MPLS encapsulation, the MPLS control channel, or the PW control channel, which BFD may be carried in, do not support authentication. Where an IP return OAM path is used, IPsec is suggested as a means of securing the return path.
9. Other forms of OAM are supported by [RFC6374] [RFC6375] (Loss and Delay Measurement), [RFC6428] (Continuity Check/Verification based on BFD), and [RFC6427] (Fault Management). The security considerations related to the OAM control channel are relevant. IP return paths, where used, can be secured with IPsec.
10. Linear protection is defined by [RFC6378] and updated by [RFC7324]. Security concerns related to MPLS encapsulation and OAM control channels apply. Security concerns reiterate [RFC5920] as applied to protection switching.
11. The PW Flow Label [RFC6391] and MPLS Entropy Label [RFC6790] affect multipath load balancing. Security concerns reiterate [RFC5920]. Security impacts would be limited to load distribution.

MPLS security including data-plane security is discussed in greater detail in [RFC5920] (MPLS/GMPLS Security Framework). The MPLS-TP security framework [RFC6941] builds upon this, focusing largely on the MPLS-TP OAM additions and OAM channels with some attention given to using network management in place of control-plane setup. In both security framework documents, MPLS is assumed to run within a "trusted zone", defined as being where a single service provider has total operational control over that part of the network.

If control-plane security and management-plane security are sufficiently robust, compromise of a single network element may result in chaos in the data plane anywhere in the network through denial-of-service attacks, but not a Byzantine security failure in which other network elements are fully compromised.

MPLS security, or lack thereof, can affect whether traffic can be misrouted and lost, or intercepted, or intercepted and reinserted (a man-in-the-middle attack), or spoofed. End-user applications, including control-plane and management-plane protocols used by the service provider, are expected to make use of appropriate end-to-end authentication and, where appropriate, end-to-end encryption.

## 6. Organization of References Section

The References section is split into Normative and Informative subsections. References that directly specify forwarding encapsulations or behaviors are listed as normative. References that describe signaling only, though normative with respect to signaling, are listed as informative. They are informative with respect to MPLS forwarding.

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## Appendix A. Acknowledgements

Numerous very useful comments have been received in private email. Some of these contributions are acknowledged here, approximately in chronologic order.

Paul Doolan provided a brief review resulting in a number of clarifications, most notably regarding on-chip vs. system buffering, 100 Gb/s link speed assumptions in the 150 Mpps figure, and handling of large microflows. Pablo Frank reminded us of the sawtooth effect in PPS vs. packet-size graphs, prompting the addition of a few paragraphs on this. Comments from Lou Berger at IETF 85 prompted the addition of Section 2.7.

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Nabil Bitar pointed out the need to cover QoS (Differentiated Services), MPLS multicast (P2MP and MP2MP), and MPLS-TP OAM. Nabil also provided a number of clarifications to the questions and tests in Sections 3 and 4.

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Alexander (Sasha) Vainshtein pointed out errors in Section 2.1.8.1 and suggested new text that, after lengthy discussion, resulted in restating the summarization of requirements from PWE3 RFCs and more clearly stating the benefits and drawbacks of packet resequencing based on PW Sequence Number.

Loa Anderson provided useful comments and corrections prior to WGLC. Adrian Farrel provided useful comments and corrections prior as part of the AD review.

Discussion with Steve Kent during SecDir review resulted in expansion of Section 5, briefly summarizing security considerations related to forwarding in normative references. Tom Petch pointed out some editorial errors in private email plus an important math error. Al

Morton during OpsDir review prompted clarification in the section about the target audience, suggested more clear wording in places, and found numerous editorial errors.

Discussion with Stewart Bryant and Alia Atlas as part of IESG review resulted in coverage of IPFIX and improvements to document coverage of MPLS FRR, and IP/LDP FRR, plus some corrections to the text elsewhere.

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