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## Extension Negotiation in the Secure Shell (SSH) Protocol

### Abstract

This memo updates RFCs 4251, 4252, 4253, and 4254 by defining a mechanism for Secure Shell (SSH) clients and servers to exchange information about supported protocol extensions confidentially after SSH key exchange.

### Status of This Memo

This is an Internet Standards Track document.

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Information about the current status of this document, any errata, and how to provide feedback on it may be obtained at <https://www.rfc-editor.org/info/rfc8308>.

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## Table of Contents

1. Overview and Rationale .....	3
1.1. Requirements Terminology .....	3
1.2. Wire Encoding Terminology .....	3
2. Extension Negotiation Mechanism .....	3
2.1. Signaling of Extension Negotiation in SSH_MSG_KEXINIT .....	3
2.2. Enabling Criteria .....	4
2.3. SSH_MSG_EXT_INFO Message .....	4
2.4. Message Order .....	5
2.5. Interpretation of Extension Names and Values .....	6
3. Initially Defined Extensions .....	6
3.1. "server-sig-algs" .....	6
3.2. "delay-compression" .....	7
3.2.1. Awkwardly Timed Key Re-Exchange .....	9
3.2.2. Subsequent Re-Exchange .....	9
3.2.3. Compatibility Note: OpenSSH up to Version 7.5 .....	9
3.3. "no-flow-control" .....	10
3.3.1. Prior "No Flow Control" Practice .....	10
3.4. "elevation" .....	11
4. IANA Considerations .....	12
4.1. Additions to Existing Registries .....	12
4.2. New Registry: Extension Names .....	12
4.2.1. Future Assignments to Extension Names Registry .....	12
5. Security Considerations .....	12
6. References .....	13
6.1. Normative References .....	13
6.2. Informative References .....	13
Acknowledgments .....	14
Author's Address .....	14

## 1. Overview and Rationale

Secure Shell (SSH) is a common protocol for secure communication on the Internet. The original design of the SSH transport layer [RFC4253] lacks proper extension negotiation. Meanwhile, diverse implementations take steps to ensure that known message types contain no unrecognized information. This makes it difficult for implementations to signal capabilities and negotiate extensions without risking disconnection. This obstacle has been recognized in the process of updating SSH to support RSA signatures using SHA-256 and SHA-512 [RFC8332]. To avoid trial and error as well as authentication penalties, a client must be able to discover public key algorithms a server accepts. This extension mechanism permits this discovery.

This memo updates RFCs 4251, 4252, 4253, and 4254.

### 1.1. Requirements Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

### 1.2. Wire Encoding Terminology

The wire encoding types in this document -- "byte", "uint32", "string", "boolean", "name-list" -- have meanings as described in [RFC4251].

## 2. Extension Negotiation Mechanism

### 2.1. Signaling of Extension Negotiation in SSH\_MSG\_KEXINIT

Applications implementing this mechanism MUST add one of the following indicator names to the field `kex_algorithms` in the `SSH_MSG_KEXINIT` message sent by the application in the first key exchange:

- o When acting as server: "ext-info-s"
- o When acting as client: "ext-info-c"

The indicator name is added without quotes and MAY be added at any position in the name-list, subject to proper separation from other names as per name-list conventions.

The names are added to the `kex_algorithms` field because this is one of two name-list fields in `SSH_MSG_KEXINIT` that do not have a separate copy for each data direction.

The indicator names inserted by the client and server are different to ensure these names will not produce a match and therefore not affect the algorithm chosen in key exchange algorithm negotiation.

The inclusion of textual indicator names is intended to provide a clue for implementers to discover this mechanism.

## 2.2. Enabling Criteria

If a client or server offers "ext-info-c" or "ext-info-s" respectively, it **MUST** be prepared to accept an `SSH_MSG_EXT_INFO` message from the peer.

A server only needs to send "ext-info-s" if it intends to process `SSH_MSG_EXT_INFO` from the client. A client only needs to send "ext-info-c" if it plans to process `SSH_MSG_EXT_INFO` from the server.

If a server receives an "ext-info-c", or a client receives an "ext-info-s", it **MAY** send an `SSH_MSG_EXT_INFO` message but is not required to do so.

Neither party needs to wait for the other's `SSH_MSG_KEXINIT` in order to decide whether to send the appropriate indicator in its own `SSH_MSG_KEXINIT`.

Implementations **MUST NOT** send an incorrect indicator name for their role. Implementations **MAY** disconnect if the counterparty sends an incorrect indicator. If "ext-info-c" or "ext-info-s" ends up being negotiated as a key exchange method, the parties **MUST** disconnect.

## 2.3. `SSH_MSG_EXT_INFO` Message

A party that received the "ext-info-c" or "ext-info-s" indicator **MAY** send the following message:

```
byte      SSH_MSG_EXT_INFO (value 7)
uint32    nr_extensions
repeat the following 2 fields "nr_extensions" times:
    string extension-name
    string extension-value (binary)
```

Implementers should pay careful attention to Section 2.5, in particular to the requirement to tolerate any sequence of bytes (including null bytes at any position) in an unknown extension's extension-value.

## 2.4. Message Order

If a client sends `SSH_MSG_EXT_INFO`, it **MUST** send it as the next packet following the client's first `SSH_MSG_NEWKEYS` message to the server.

If a server sends `SSH_MSG_EXT_INFO`, it **MAY** send it at zero, one, or both of the following opportunities:

- o As the next packet following the server's first `SSH_MSG_NEWKEYS`.

Where clients need information in the server's `SSH_MSG_EXT_INFO` to authenticate, it is helpful if the server sends its `SSH_MSG_EXT_INFO` not only as the next packet after `SSH_MSG_NEWKEYS`, but without delay.

Clients cannot rely on this because the server is not required to send the message at this time; if sent, it may be delayed by the network. However, if a timely `SSH_MSG_EXT_INFO` is received, a client can pipeline an authentication request after its `SSH_MSG_SERVICE_REQUEST`, even when it needs extension information.

- o Immediately preceding the server's `SSH_MSG_USERAUTH_SUCCESS`, as defined in [RFC4252].

The server **MAY** send `SSH_MSG_EXT_INFO` at this second opportunity, whether or not it sent it at the first. A client that sent "ext-info-c" **MUST** accept a server's `SSH_MSG_EXT_INFO` at both opportunities but **MUST NOT** require it.

This allows a server to reveal support for additional extensions that it was unwilling to reveal to an unauthenticated client. If a server sends a second `SSH_MSG_EXT_INFO`, this replaces any initial one, and both the client and the server re-evaluate extensions in effect. The server's second `SSH_MSG_EXT_INFO` is matched against the client's original.

The timing of the second opportunity is chosen for the following reasons. If the message was sent earlier, it would not allow the server to withhold information until the client has authenticated. If it was sent later, a client that needs information from the second `SSH_MSG_EXT_INFO` immediately after it authenticates would have no way to reliably know whether to expect the message.

## 2.5. Interpretation of Extension Names and Values

Each extension is identified by its extension-name and defines the conditions under which the extension is considered to be in effect. Applications **MUST** ignore unrecognized extension-names.

When it is specified, an extension **MAY** dictate that, in order to take effect, both parties must include it in their `SSH_MSG_EXT_INFO` or that it is sufficient for only one party to include it. However, other rules **MAY** be specified. The relative order in which extensions appear in an `SSH_MSG_EXT_INFO` message **MUST** be ignored.

Extension-value fields are interpreted as defined by their respective extension. This field **MAY** be empty if permitted by the extension. Applications that do not implement or recognize an extension **MUST** ignore its extension-value, regardless of its size or content. Applications **MUST** tolerate any sequence of bytes -- including null bytes at any position -- in an unknown extension's extension-value.

The cumulative size of an `SSH_MSG_EXT_INFO` message is limited only by the maximum packet length that an implementation may apply in accordance with [RFC4253]. Implementations **MUST** accept well-formed `SSH_MSG_EXT_INFO` messages up to the maximum packet length they accept.

## 3. Initially Defined Extensions

### 3.1. "server-sig-algs"

This extension is sent with the following extension name and value:

```
string      "server-sig-algs"  
name-list   public-key-algorithms-accepted
```

The name-list type is a strict subset of the string type and is thus permissible as an extension-value. See [RFC4251] for more information.

This extension is sent by the server and contains a list of public key algorithms that the server is able to process as part of a "publickey" authentication request. If a client sends this extension, the server **MAY** ignore it and **MAY** disconnect.

In this extension, a server **MUST** enumerate all public key algorithms it might accept during user authentication. However, early server implementations that do not enumerate all accepted algorithms do

exist. For this reason, a client MAY send a user authentication request using a public key algorithm not included in "server-sig-algs".

A client that wishes to proceed with public key authentication MAY wait for the server's SSH\_MSG\_EXT\_INFO so it can send a "publickey" authentication request with an appropriate public key algorithm, rather than resorting to trial and error.

Servers that implement public key authentication SHOULD implement this extension.

If a server does not send this extension, a client MUST NOT make any assumptions about the server's public key algorithm support, and MAY proceed with authentication requests using trial and error. Note that implementations are known to exist that apply authentication penalties if the client attempts to use an unexpected public key algorithm.

Authentication penalties are applied by servers to deter brute-force password guessing, username enumeration, and other types of behavior deemed suspicious by server administrators or implementers. Penalties may include automatic IP address throttling or blocking, and they may trigger email alerts or auditing.

### 3.2. "delay-compression"

This extension MAY be sent by both parties as follows:

```
string      "delay-compression"
string:
  name-list  compression_algorithms_client_to_server
  name-list  compression_algorithms_server_to_client
```

The extension-value is a string that encodes two name-lists. The name-lists themselves have the encoding of strings. For example, to indicate a preference for algorithms "foo,bar" in the client-to-server direction and "bar,baz" in the server-to-client direction, a sender encodes the extension-value as follows (including its length):

```
00000016 00000007 666f662c626172 00000007 6261722c62617a
```

This same encoding could be sent by either party -- client or server.

This extension allows the server and client to renegotiate compression algorithm support without having to conduct a key re-exchange, which puts new algorithms into effect immediately upon successful authentication.

This extension takes effect only if both parties send it. Name-lists MAY include any compression algorithm that could have been negotiated in SSH\_MSG\_KEXINIT, except algorithms that define their own delayed compression semantics. This means "zlib,none" is a valid algorithm list in this context, but "zlib@openssh.com" is not.

If both parties send this extension, but the name-lists do not contain a common algorithm in either direction, the parties MUST disconnect in the same way as if negotiation failed as part of SSH\_MSG\_KEXINIT.

If this extension takes effect, the renegotiated compression algorithm is activated for the very next SSH message after the trigger message:

- o Sent by the server, the trigger message is SSH\_MSG\_USERAUTH\_SUCCESS.
- o Sent by the client, the trigger message is SSH\_MSG\_NEWCOMPRESS.

If this extension takes effect, the client MUST send the following message within a reasonable number of outgoing SSH messages after receiving SSH\_MSG\_USERAUTH\_SUCCESS, but not necessarily as the first such outgoing message:

byte           SSH\_MSG\_NEWCOMPRESS (value 8)

The purpose of SSH\_MSG\_NEWCOMPRESS is to avoid a race condition where the server cannot reliably know whether a message sent by the client was sent before or after receiving the server's SSH\_MSG\_USERAUTH\_SUCCESS. For example, clients may send keep-alive messages during login processing.

As is the case for all extensions unless otherwise noted, the server MAY delay including this extension until its secondary SSH\_MSG\_EXT\_INFO, sent before SSH\_MSG\_USERAUTH\_SUCCESS. This allows the server to avoid advertising compression until the client has authenticated.

If the parties renegotiate compression using this extension in a session where compression is already enabled and the renegotiated algorithm is the same in one or both directions, then the internal compression state MUST be reset for each direction at the time the renegotiated algorithm takes effect.



### 3.2.1. Awkwardly Timed Key Re-Exchange

A party that has signaled, or intends to signal, support for this extension in an SSH session **MUST NOT** initiate key re-exchange in that session until either of the following occurs:

- o This extension was negotiated, and the party that's about to start key re-exchange already sent its trigger message for compression.
- o The party has sent (if server) or received (if client) the message `SSH_MSG_USERAUTH_SUCCESS`, and this extension was not negotiated.

If a party violates this rule, the other party **MAY** disconnect.

In general, parties **SHOULD NOT** start key re-exchange before successful user authentication but **MAY** tolerate it if not using this extension.

### 3.2.2. Subsequent Re-Exchange

In subsequent key re-exchanges that unambiguously begin after the compression trigger messages, the compression algorithms negotiated in re-exchange override the algorithms negotiated with this extension.

### 3.2.3. Compatibility Note: OpenSSH up to Version 7.5

This extension uses a binary extension-value encoding. OpenSSH clients up to and including version 7.5 advertise support to receive `SSH_MSG_EXT_INFO` but disconnect on receipt of an extension-value containing null bytes. This is an error fixed in OpenSSH version 7.6.

Implementations that wish to interoperate with OpenSSH 7.5 and earlier are advised to check the remote party's SSH version string and omit this extension if an affected version is detected. Affected versions do not implement this extension, so there is no harm in omitting it. The extension **SHOULD NOT** be omitted if the detected OpenSSH version is 7.6 or higher. This would make it harder for the OpenSSH project to implement this extension in a higher version.

### 3.3. "no-flow-control"

This extension is sent with the following extension name and value:

string	"no-flow-control"
string	choice of: "p" for preferred   "s" for supported

A party **SHOULD** send "s" if it supports "no-flow-control" but does not prefer to enable it. A party **SHOULD** send "p" if it prefers to enable the extension if the other party supports it. Parties **MAY** disconnect if they receive a different extension value.

For this extension to take effect, the following must occur:

- o This extension **MUST** be sent by both parties.
- o At least one party **MUST** have sent the value "p" (preferred).

If this extension takes effect, the "initial window size" fields in `SSH_MSG_CHANNEL_OPEN` and `SSH_MSG_CHANNEL_OPEN_CONFIRMATION`, as defined in [RFC4254], become meaningless. The values of these fields **MUST** be ignored, and a channel behaves as if all window sizes are infinite. Neither side is required to send any `SSH_MSG_CHANNEL_WINDOW_ADJUST` messages, and if received, such messages **MUST** be ignored.

This extension is intended for, but not limited to, use by file transfer applications that are only going to use one channel and for which the flow control provided by SSH is an impediment, rather than a feature.

Implementations **MUST** refuse to open more than one simultaneous channel when this extension is in effect. Nevertheless, server implementations **SHOULD** support clients opening more than one non-simultaneous channel.

#### 3.3.1. Prior "No Flow Control" Practice

Before this extension, some applications would simply not implement SSH flow control, sending an initial channel window size of  $2^{32} - 1$ . Applications **SHOULD NOT** do this for the following reasons:

- o It is plausible to transfer more than  $2^{32}$  bytes over a channel. Such a channel will hang if the other party implements SSH flow control according to [RFC4254].

- o Implementations that cannot handle large channel window sizes exist, and they can exhibit non-graceful behaviors, including disconnect.

### 3.4. "elevation"

The terms "elevation" and "elevated" refer to an operating system mechanism where an administrator's logon session is associated with two security contexts: one limited and one with administrative rights. To "elevate" such a session is to activate the security context with full administrative rights. For more information about this mechanism on Windows, see [WINADMIN] and [WINTOKEN].

This extension MAY be sent by the client as follows:

```
string      "elevation"  
string      choice of: "y" | "n" | "d"
```

A client sends "y" to indicate its preference that the session should be elevated; "n" to not be elevated; and "d" for the server to use its default behavior. The server MAY disconnect if it receives a different extension value. If a client does not send the "elevation" extension, the server SHOULD act as if "d" was sent.

If a client has included this extension, then after authentication, a server that supports this extension SHOULD indicate to the client whether elevation was done by sending the following global request:

```
byte        SSH_MSG_GLOBAL_REQUEST  
string      "elevation"  
boolean     want_reply = false  
boolean     elevation performed
```

Clients that implement this extension help reduce attack surface for Windows servers that handle administrative logins. Where clients do not support this extension, servers must elevate sessions to allow full access by administrative users always. Where clients support this extension, sessions can be created without elevation unless requested.

## 4. IANA Considerations

### 4.1. Additions to Existing Registries

IANA has added the following entries to the "Message Numbers" registry [IANA-M] under the "Secure Shell (SSH) Protocol Parameters" registry [RFC4250]:

Value	Message ID	Reference
7	SSH_MSG_EXT_INFO	RFC 8308
8	SSH_MSG_NEWCOMPRESS	RFC 8308

IANA has also added the following entries to the "Key Exchange Method Names" registry [IANA-KE]:

Method Name	Reference	Note
ext-info-s	RFC 8308	Section 2
ext-info-c	RFC 8308	Section 2

### 4.2. New Registry: Extension Names

Also under the "Secure Shell (SSH) Protocol Parameters" registry, IANA has created a new "Extension Names" registry, with the following initial content:

Extension Name	Reference	Note
server-sig-algs	RFC 8308	Section 3.1
delay-compression	RFC 8308	Section 3.2
no-flow-control	RFC 8308	Section 3.3
elevation	RFC 8308	Section 3.4

#### 4.2.1. Future Assignments to Extension Names Registry

Names in the "Extension Names" registry MUST follow the conventions for names defined in [RFC4250], Section 4.6.1.

Requests for assignments of new non-local names in the "Extension Names" registry (i.e., names not including the '@' character) MUST be done using the IETF Review policy, as described in [RFC8126].

## 5. Security Considerations

Security considerations are discussed throughout this document. This document updates the SSH protocol as defined in [RFC4251] and related documents. The security considerations of [RFC4251] apply.

## 6. References

### 6.1. Normative References

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