Internet Engineering Task Force (IETF)

Request for Comments: 8034

Category: Informational ISSN: 2070-1721

G. White CableLabs R. Pan Cisco Systems February 2017

Active Queue Management (AQM) Based on Proportional Integral Controller Enhanced (PIE) for Data-Over-Cable Service Interface Specifications (DOCSIS) Cable Modems

#### **Abstract**

Cable modems based on Data-Over-Cable Service Interface Specifications (DOCSIS) provide broadband Internet access to over one hundred million users worldwide. In some cases, the cable modem connection is the bottleneck (lowest speed) link between the customer and the Internet. As a result, the impact of buffering and bufferbloat in the cable modem can have a significant effect on user experience. The CableLabs DOCSIS 3.1 specification introduces requirements for cable modems to support an Active Queue Management (AQM) algorithm that is intended to alleviate the impact that buffering has on latency-sensitive traffic, while preserving bulk throughput performance. In addition, the CableLabs DOCSIS 3.0 specifications have also been amended to contain similar requirements. This document describes the requirements on AQM that apply to DOCSIS equipment, including a description of the "DOCSIS-PIE" algorithm that is required on DOCSIS 3.1 cable modems.

### Status of This Memo

This document is not an Internet Standards Track specification; it is published for informational purposes.

This document is a product of the Internet Engineering Task Force (IETF). It represents the consensus of the IETF community. It has received public review and has been approved for publication by the Internet Engineering Steering Group (IESG). Not all documents approved by the IESG are a candidate for any level of Internet Standard; see Section 2 of RFC 7841.

Information about the current status of this document, any errata, and how to provide feedback on it may be obtained at http://www.rfc-editor.org/info/rfc8034.

## Copyright Notice

Copyright (c) 2017 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to BCP 78 and the IETF Trust's Legal Provisions Relating to IETF Documents (http://trustee.ietf.org/license-info) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

### **Table of Contents**

		oduc					•		•	•	•	•	•	•	•		•	•	•	•	•	•	•	•	3
		view																							3
3.	The	<b>DOCS</b>	IS M	IAC L	ayer	an	dŚ	erv	ice	F	Ξlo	WS	,							•		•		•	4
4.	<b>DOCS</b>	IS-P	IE v	s. F	'ΙΈ		•		•		•									•		•		•	5
4.	1.	Late	ncy	Tarc	ıet				•															•	5
4.	2.	Depa	rtúr	e Rã	ite F	Esti	mat	ior	١.																6
4.	3.	Enha	nced	Bur	rst [	Prot	ect	ior	١.																7
		Expa																							7
4.	5.	Trìa	aer	for	Expo	onen	ťia	lŌ	eca	V															8
	6.	Drop Supp	Pro	babi	Llit	/ Sc	ali	na		•	•	•			•				•			•		•	8
-	7.	Supp	ort	for	Exp	lici	t C	ond	iest	ίc	'n	Νo	ίt	fi	.ca	ίti	.on	١						•	8
	Impl	emen	tati	on (	auida	ance					•									•				•	9
6.	Secu	rity	Con	side	rati	ions	•						•	•		•	•	•			•	•	•		9
7.	Refe	renc	es .				•		•	•	•	•	•	•		•	•	•	•		•	•	•	•	10
7.	1.	Norm	ativ	e Re	efere	ence	S					_				_			_	_					10
7.	<u> </u>	Tnfo	rmat	ive	Refe	eren	ces	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	10
Anne	ndi x	Info A. DOCS 1.	DOC	STS-	-PTF	ΔΙα	ori	thn	. De	fi	'ni	ti	on	•	•	•	•	•	•	•	•	•	•	•	11
Λρρο	1	טטכ2	TS_P	TF L	70W_(	nns'	tan	†c	and	i	lar	ia	h1	_ 	•	•	•	•	•	•	•	•	•	•	11
Λ.	Δ 1	1	Conf	iaur	catio	n P	ara	mot	and orc		uı	Cu				•	•	•	•	•	•	•	•	•	11
	Λ. 1	<b>2</b> .	Cons	tant	- Val	יו ווע אוו ו	ai a				•	•	•	•	•	•	•	•	•	•	•	•	•	•	11
	Λ. 1	2	Vari	ahle	. va	Lues	•	• •	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	12
	Λ. 1	3. 4.	vai t Duhl	ic/9	:5 . Svet:	 . E	unc	+;;	nc.	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	12
Λ	7.1.	DOCS	TC_D	TE /	IOM (	Cont	unc ral	Da	1113 + h	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	13
۸.	3.	DOCS	12 B	TE /	LOM I	2011	יים בם	+h	LLII	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	15
-		DOCS																							
AUTN	OUS	Add	ress	es			•		•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	17

#### 1. Introduction

A recent resurgence of interest in active queue management, arising from a recognition of the inadequacies of drop-tail queuing in the presence of loss-based congestion control algorithms, has resulted in the development of new algorithms that appear to provide very good congestion feedback to current TCP algorithms, while also having operational simplicity and low complexity. One of these algorithms has been selected as a requirement for cable modems built according to the DOCSIS 3.1 specification [DOCSIS\_3.1]. The Data-Over-Cable Service Interface Specifications (DOCSIS\_3.1]. The Data-Over-Cable Service Interface Specifications (DOCSIS\_3) define the broadband technology deployed worldwide for Ethernet and IP service over hybrid fiber-coaxial cable systems. The most recent revision of the DOCSIS technology, version 3.1, was originally published in October 2013 and provides support for up to 10 Gbps downstream (toward the customer) and 1 Gbps upstream (from the customer) capacity over existing cable networks. Previous versions of the DOCSIS technology did not contain requirements for AQM. This document outlines the high-level AQM requirements for DOCSIS systems, discusses some of the salient features of the DOCSIS Media Access Control (MAC) layer, and describes the DOCSIS-PIE algorithm -- largely by comparing it to its progenitor, the PIE algorithm [RFC8033].

# 2. Overview of DOCSIS AQM Requirements

CableLabs' DOCSIS 3.1 specification [DOCSIS\_3.1] mandates that cable modems implement a specific variant of the Proportional Integral controller Enhanced (PIE) AQM algorithm [RFC8033]. This specific variant is provided for reference in Appendix A, and simulation results comparing it to drop-tail queuing and other AQM options are given in [CommMag] and [DOCSIS-AQM]. In addition, CableLabs' DOCSIS 3.0 specification [DOCSIS\_3.0] has been amended to recommend that cable modems implement the same algorithm. Both specifications allow that cable modems can optionally implement additional algorithms that can then be selected for use by the operator via the modem's configuration file.

These requirements on the cable modem apply to upstream transmissions (i.e., from the customer to the Internet).

Both specifications also include requirements (mandatory in DOCSIS 3.1 and recommended in DOCSIS 3.0) that the Cable Modem Termination System (CMTS) implement AQM for downstream traffic; however, no specific algorithm is defined for downstream use.

### 3. The DOCSIS MAC Layer and Service Flows

The DOCSIS Media Access Control (sub-)layer provides tools for configuring differentiated Quality of Service (QoS) for different applications by the use of Packet Classifiers and Service Flows.

Each Service Flow has an associated QoS parameter set that defines the treatment of the packets that traverse the Service Flow. These parameters include, for example, Minimum Reserved Traffic Rate, Maximum Sustained Traffic Rate, Peak Traffic Rate, Maximum Traffic Burst, and Traffic Priority. Each upstream Service Flow corresponds to a queue in the cable modem, and each downstream Service Flow corresponds to a queue in the CMTS. The DOCSIS AQM requirements mandate that the CM and CMTS implement the AQM algorithm (and allow it to be disabled, if needed) on each Service Flow queue independently.

Packet Classifiers can match packets based upon several fields in the packet/frame headers including the Ethernet header, IP header, and TCP/UDP header. Matched packets are then queued in the associated Service Flow queue.

Each cable modem can be configured with multiple Packet Classifiers and Service Flows. The maximum number of such entities that a cable modem supports is an implementation decision for the manufacturer, but modems typically support 16 or 32 upstream Service Flows and at least that many Packet Classifiers. Similarly, the CMTS supports multiple downstream Service Flows and multiple Packet Classifiers per cable modem.

It is typical that upstream and downstream Service Flows used for broadband Internet access are configured with a Maximum Sustained Traffic Rate. This QoS parameter rate-shapes the traffic onto the DOCSIS link and is the main parameter that defines the service offering. Additionally, it is common that upstream and downstream Service Flows are configured with a Maximum Traffic Burst and a Peak Traffic Rate. These parameters allow the service to burst at a higher (sometimes significantly higher) rate than is defined in the Maximum Sustained Traffic Rate for the amount of bytes configured in Maximum Traffic Burst, as long as the long-term average data rate remains at or below the Maximum Sustained Traffic Rate.

Mathematically, what is enforced is that the traffic placed on the DOCSIS link in the time interval (t1,t2) complies with the following rate-shaping equations:

 $TxBytes(t1,t2) \le (t2-t1)*R/8 + B$ 

 $TxBytes(t1,t2) \le (t2-t1)*P/8 + 1522$ 

for all values t2>t1, where:

R = Maximum Sustained Traffic Rate (bps)

P = Peak Traffic Rate (bps)

B = Maximum Traffic Burst (bytes)

The result of this configuration is that the link rate available to the Service Flow varies based on the pattern of load. If the load that the Service Flow places on the link is less than the Maximum Sustained Traffic Rate, the Service Flow "earns" credit that it can then use (should the load increase) to burst at the Peak Traffic Rate. This dynamic is important since these rate changes (particularly the decrease in data rate once the traffic burst credit is exhausted) can induce a step increase in buffering latency.

#### 4. DOCSIS-PIE vs. PIE

There are a number of differences between the version of the PIE algorithm that is mandated for cable modems in the DOCSIS specifications and the version described in [RFC8033]. These differences are described in the following subsections.

### 4.1. Latency Target

The latency target (a.k.a. delay reference) is a key parameter that affects, among other things, the trade-off in performance between latency-sensitive applications and bulk TCP applications. Via simulation studies, a value of 10 ms was identified as providing a good balance of performance. However, it is recognized that there may be service offerings for which this value doesn't provide the best performance balance. As a result, this is provided as a configuration parameter that the operator can set independently on each upstream Service Flow. If not explicitly set by the operator, the modem will use 10 ms as the default value.

## Departure Rate Estimation

The PIE algorithm utilizes a departure rate estimator to track fluctuations in the egress rate for the gueue and to generate a smoothed estimate of this rate for use in the drop probability calculation. This estimator may be well suited to many link technologies but is not ideal for DOCSIS upstream links for a number of reasons.

First, the bursty nature of the upstream transmissions, in which the queue drains at line rate (up to ~100 Mbps for DOCSIS 3.0 and ~1 Gbps for DOCSIS 3.1) and then is blocked until the next transmit opportunity, results in the potential for inaccuracy in measurement, given that the PIE departure rate estimator starts each measurement during a transmission burst and ends each measurement during a (possibly different) transmission burst. For example, in the case where the start and end of measurement occur within a single burst, the PIE estimator will calculate the egress rate to be equal to the line rate, rather than the average rate available to the modem.

Second, the latency introduced by the DOCSIS request-grant mechanism can result in some further inaccuracy. In typical conditions, the request-grant mechanism can add between ~4 ms and ~8 ms of latency to the forwarding of upstream traffic. Within that range, the amount of additional latency that affects any individual data burst is effectively random, being influenced by the arrival time of the burst relative to the next request transmit opportunity, among other factors.

Third, in the significant majority of cases, the departure rate, while variable, is controlled by the modem itself via the pair of token bucket rate-shaping equations described in Section 3. Together, these two equations enforce a Maximum Sustained Traffic Rate, a Peak Traffic Rate, and a Maximum Traffic Burst size for the modem's requested bandwidth. The implication of this is that the modem, in the significant majority of cases, will know precisely what the departure rate will be and can predict exactly when transitions between the Peak Traffic Rate and Maximum Sustained Traffic Rate will occur. Compare this to the PIE estimator, which would be simply reacting to (and smoothing its estimate of) those rate transitions after the fact.

Finally, since the modem is already implementing the dual-token bucket traffic shaper, it contains enough internal state to calculate predicted queuing delay with a minimum of computations. Furthermore, these computations only need to be run at every drop probability update interval, as opposed to the PIE estimator, which runs a similar number of computations on each packet dequeue event.

For these reasons, the DOCSIS-PIE algorithm utilizes the configuration and state of the dual-token bucket traffic shaper to translate queue depth into predicted queuing delay, rather than implementing the departure rate estimator defined in PIE.

### 4.3. Enhanced Burst Protection

The PIE algorithm [RFC8033] has two states: INACTIVE and ACTIVE. During the INACTIVE state, AQM packet drops are suppressed. The algorithm transitions to the ACTIVE state when the queue exceeds 1/3 of the buffer size. Upon transition to the ACTIVE state, PIE includes a burst protection feature in which the AQM packet drops are suppressed for the first 150 ms. Since DOCSIS-PIE is predominantly deployed on consumer broadband connections, a more sophisticated burst protection was developed to provide better performance in the presence of a single TCP session.

Where the PIE algorithm has two states, DOCSIS-PIE has three. The INACTIVE and ACTIVE states in DOCSIS-PIE are identical to those states in PIE. The QUIESCENT state is a transitional state between INACTIVE and ACTIVE. The DOCSIS-PIE algorithm transitions from INACTIVE to QUIESCENT when the queue exceeds 1/3 of the buffer size. In the QUIESCENT state, packet drops are immediately enabled, and upon the first packet drop, the algorithm transitions to the ACTIVE state (where drop probability is reset to zero for the 150 ms duration of the burst protection as in PIE). From the ACTIVE state, the algorithm transitions to QUIESCENT if the drop probability has decayed to zero and the queuing latency has been less than half of the LATENCY\_TARGET for two update intervals. The algorithm then fully resets to the INACTIVE state if this "quiet" condition exists for the duration of the BURST\_RESET\_TIMEOUT (1 second). One end result of the addition of the QUIESCENT state is that a single packet drop can occur relatively early on during an initial burst, whereas all drops would be suppressed for at least 150 ms of the burst duration in PIE. The other end result is that if traffic stops and then resumes within 1 second, DOCSIS-PIE can directly drop a single packet and then re-enter burst protection, whereas PIE would require that the buffer exceed 1/3 full.

# 4.4. Expanded Auto-Tuning Range

The PIE algorithm scales the Proportional and Integral coefficients based on the current drop probability. The DOCSIS-PIE algorithm extends this scaling to cover values of drop probability greater than 1, which can occur as a result of the drop probability scaling function described in Section 4.6. As an example, if a flood of non-responsive 64-byte packets were to arrive at a rate that is twice the

departure rate, the DOCSIS-PIE steady-state condition would be to drop 50% of these packets, which implies that drop probability would have the value of 8.00.

## 4.5. Trigger for Exponential Decay

The PIE algorithm includes a mechanism by which the drop probability is allowed to decay exponentially (rather than linearly) when it is detected that the buffer is empty. In the DOCSIS case, recently arrived packets may reside in the buffer due to the request-grant latency even if the link is effectively idle. As a result, the buffer may not be identically empty in the situations for which the exponential decay is intended. To compensate for this, we trigger exponential decay when the buffer occupancy is less than 5 ms \* Peak Traffic Rate.

## 4.6. Drop Probability Scaling

The DOCSIS-PIE algorithm scales the calculated drop probability based on the ratio of the packet size to a constant value of 1024 bytes (representing approximate average packet size). While [RFC7567] in general recommends against this type of scaling, we note that DOCSIS-PIE is expected to be used predominantly to manage upstream queues in residential broadband deployments, where we believe the benefits outweigh the disadvantages. As a safeguard to prevent a flood of small packets from starving flows that use larger packets, DOCSIS-PIE limits the scaled probability to a defined maximum value of 0.85.

## 4.7. Support for Explicit Congestion Notification

DOCSIS-PIE does not include support for Explicit Congestion Notification (ECN). Cable modems are essentially IEEE 802.1d Ethernet bridges and so are not designed to modify IP header fields. Additionally, the packet-processing pipeline in a cable modem is commonly implemented in hardware. As a result, introducing support for ECN would engender a significant redesign of cable modem data path hardware, and would be difficult or impossible to modify in the future. At the time of the development of DOCSIS-PIE, which coincided with the development of modem chip designs, the benefits of ECN marking relative to packet drop were considered to be relatively minor; there was considerable discussion about differential treatment of ECN-capable packets in the AQM drop/mark decision, and there were some initial suggestions that a new ECN approach was needed. Due to this uncertainty, we chose not to include support for ECN.

# 5. Implementation Guidance

The AQM space is an evolving one, and it is expected that continued research in this field may result in improved algorithms in the future.

As part of defining the DOCSIS-PIE algorithm, we split the pseudocode definition into two components: a "data path" component and a "control path" component. The control path component contains the packet drop probability update functionality, whereas the data path component contains the per-packet operations, including the drop decision logic.

It is understood that some aspects of the cable modem implementation may be done in hardware, particularly functions that handle packet processing.

While the DOCSIS specifications don't mandate the internal implementation details of the cable modem, modem implementers are strongly advised against implementing the control path functionality in hardware. The intent of this advice is to retain the possibility that future improvements in AQM algorithms can be accommodated via software updates to deployed devices.

# 6. Security Considerations

This document describes an active queue management algorithm based on [RFC8033] for implementation in DOCSIS cable modem devices. This algorithm introduces no specific security exposures.

### 7. References

#### 7.1. Normative References

[RFC8033] Pan, R., Natarajan, P., Baker, F., and G. White,
 "Proportional Integral Controller Enhanced (PIE): A
 Lightweight Control Scheme to Address the Bufferbloat
 Problem", RFC 8033, DOI 10.17487/RFC8033, February 2017,
 <a href="http://www.rfc-editor.org/info/rfc8033">http://www.rfc-editor.org/info/rfc8033</a>.

#### 7.2. Informative References

- [CommMag] White, G., "Active queue management in DOCSIS 3.1 networks", IEEE Communications Magazine vol. 53, no. 3, pp. 126-132, DOI 10.1109/MCOM.2015.7060493, March 2015.
- [DOCSIS-AQM]
   White, G., "Active Queue Management in DOCSIS 3.x Cable
   Modems", May 2014, <a href="http://www.cablelabs.com/">http://www.cablelabs.com/</a>
   wp-content/uploads/2014/06/DOCSIS-AQM\_May2014.pdf>.
- [DOCSIS\_3.0]
  CableLabs, "MAC and Upper Layer Protocols Interface Specification", DOCSIS 3.0, January 2017, <a href="https://apps.cablelabs.com/specification/CM-SP-MULPIv3.0">https://apps.cablelabs.com/specification/CM-SP-MULPIv3.0</a>.
- [DOCSIS\_3.1]
  CableLabs, "MAC and Upper Layer Protocols Interface Specification", DOCSIS 3.1, January 2017, <a href="https://apps.cablelabs.com/specification/CM-SP-MULPIv3.1">https://apps.cablelabs.com/specification/CM-SP-MULPIv3.1</a>.
- [RFC7567] Baker, F., Ed. and G. Fairhurst, Ed., "IETF Recommendations Regarding Active Queue Management", BCP 197, RFC 7567, DOI 10.17487/RFC7567, July 2015, <a href="http://www.rfc-editor.org/info/rfc7567">http://www.rfc-editor.org/info/rfc7567</a>.

## Appendix A. DOCSIS-PIE Algorithm Definition

PIE defines two functions organized here into two design blocks:

- Control path block -- a periodically running algorithm that calculates a drop probability based on the estimated queuing latency and queuing latency trend.
- 2. Data path block, a function that occurs on each packet enqueue that implements a per-packet drop decision based on the drop probability.

It is desirable to have the ability to update the control path block based on operational experience with PIE deployments.

### A.1. DOCSIS-PIE AQM Constants and Variables

### A.1.1. Configuration Parameters

- o LATENCY\_TARGET. AQM Latency Target for this Service Flow
- o PEAK\_RATE. Service Flow configured Peak Traffic Rate, expressed in bytes/second
- o MSR. Service Flow configured Maximum Sustained Traffic Rate, expressed in bytes/second
- o BUFFER\_SIZE. The size (in bytes) of the buffer for this Service Flow

### A.1.2. Constant Values

- o A = 0.25, B = 2.5. Weights in the drop probability calculation
- o INTERVAL = 16 ms. Update interval for drop probability
- o BURST RESET TIMEOUT = 1 second
- o MAX\_BURST = 142 ms (150 ms 8 ms (update error))
- o MEAN\_PKTSIZE = 1024 bytes
- o MIN\_PKTSIZE = 64 bytes
- o PROB LOW = 0.85
- o PROB HIGH = 8.5

- o LATENCY\_LOW = 5 ms
- o LATENCY HIGH = 200 ms

#### A.1.3. Variables

- o drop\_prob\_. The current packet drop probability
- o accu prob . Accumulated drop probability since last drop
- o qdelay\_old\_. The previous queue delay estimate
- o burst allowance. Countdown for burst protection, initialize to 0
- o burst reset . Counter to reset burst
- o aqm\_state\_. AQM activity state encoding 3 states:

INACTIVE - Queue staying below 1/3 full, suppress AQM drops

**QUIESCENT - Transition state** 

ACTIVE - Normal AQM drops (after burst protection period)

o queue\_. Holds the pending packets

## A.1.4. Public/System Functions

- o drop(packet). Drops/discards a packet
- o  $\,$  random(). Returns a uniform  $\,$  random  $\,$  value in the  $\,$  range 0  $\,$   $\sim$  1
- o queue .is full(). Returns true if queue is full
- o queue\_.byte\_length(). Returns current queue\_ length in bytes, including all MAC PDU bytes without DOCSIS MAC overhead
- o queue .enque(packet). Adds packet to tail of queue
- o msrtokens(). Returns current token credits (in bytes) from the Maximum Sustained Traffic Rate token bucket
- o packet.size(). Returns size of packet

## A.2. DOCSIS-PIE AQM Control Path

The DOCSIS-PIE control path performs the following:

- o Calls control\_path\_init() at Service Flow creation
- o Calls calculate\_drop\_prob() at a regular INTERVAL (16 ms)

```
===========
// Initialization function
control_path_init() {
    drop_prob_ = 0;
qdelay_old_ = 0;
burst_reset_ = 0;
aqm_state_ = INACTIVE;
}
// Background update, occurs every INTERVAL
calculate_drop_prob() {
    if (queue_.byte_length() <= msrtokens()) {</pre>
         qdelay = queue_.byte_length() / PEAK_RATE;
    } else {
         qdelay = ((queue .byte length() - msrtokens()) / MSR \
                    + msrtokens() / PEAK RATE);
    }
    if (burst allowance > 0) {
         drop prob = 0;
         burst_allowancé_ = max(0, burst_allowance - INTERVAL);
    } else {
         p = A * (qdelay - LATENCY_TARGET) + \
         B * (qdelay - qdelay_old_);
// Since A=0.25 & B=2.5, can be implemented
         // with shift and add
         if (drop_prob_ < 0.000001) {
             p /= 2048;
         } else if (dróp_prob_ < 0.00001) {</pre>
             p /= 512;
         } else if (drop_prob_ < 0.0001) {</pre>
             p /= 128;
         } else if (drop_prob_ < 0.001) {</pre>
             p /= 32;
         } else if (drop prob < 0.01) {</pre>
             p /= 8:
```

```
} else if (drop_prob_ < 0.1) {</pre>
    p /= 2;
} else if (drop_prob_ < 1) {</pre>
        p /= 0.5;
    } else if (drop prob < 10) {</pre>
        p /= 0.125;
    } else {
        p /= 0.03125;
    if ((drop_prob_ >= 0.1) && (p > 0.02)) {
        p = 0.02;
    drop prob_ += p;
    /* some special cases */
    if (qdelay < LATENCY_LOW && qdelay_old_ < LATENCY_LOW) {
    drop_prob_ *= 0.98;  // exponential decay
} else if (qdelay > LATENCY_HIGH) {
        drop_prob_ += 0.02; // ramp up quickly
    drop_prob_ = max(0, drop_prob_);
    drop_prob_ = min(drop_prob_
                  PROB LOW * MEAN PKTSIZE/MIN PKTSIZE);
}
// Check if all is quiet
quiet = (qdelay < 0.5 * LATENCY_TARGET)</pre>
        && (qdelay_old_ < 0.5 * LATENCY_TARGET)
        && (drop_prob_ == 0)
        && (burst_allowance_ == 0);
// Update AQM state based on quiet or !quiet
if ((aqm_state_ == ACTIVE) && quiet) {
    aqm_state_ = QUIESCENT;
    burst_reset_ = 0;
} else if (aqm_state == QUIESCENT) {
    if (quiet) {
        burst_reset_ += INTERVAL
        if (burst_reset_ > BURST_RESET_TIMEOUT) {
             burst_reset_ = 0;
aqm_state_ = INACTIVE;
    } else {
        burst reset = 0;
}
```

```
qdelay_old_ = qdelay;
   }
A.3.
      DOCSIS-PIE AQM Data Path
   The DOCSIS-PIE data path performs the following:
      Calls enque() in response to an incoming packet from the CMCI
   enque(packet) {
        if (queue_.is_full()) {
            drop(packet);
            accu_prob_ = 0;
        } else if (drop early(packet, queue .byte length())) {
            drop(packet);
        } else {
            queue_.enque(packet);
   }
   drop_early(packet, queue_length) {
        // if still in burst protection, suppress AQM drops
        if (burst allowance > 0) {
            return FALSE;
        }
        // if drop_prob_ goes to zero, clear accu_prob_
        if (drop_prob_ == 0) {
            accu_prob = 0;
        }
        if (aqm_state_ == INACTIVE) {
            if (queue_.byte_length() < BUFFER_SIZE/3) {
    // if queue is still small, stay in
    // INACTIVE_state and suppress AQM drops</pre>
                 return FALSE;
            } else {
                 // otherwise transition to QUIESCENT state
                 aqm state = QUIESCENT;
            }
        }
```

```
//The CM can quantize packet.size to 64, 128, 256, 512, 768,
    // 1024, 1280, 1536, 2048 in the calculation below
    p1 = drop_prob_ * packet.size() / MEAN PKTSIZE;
    p1 = min(\bar{p}1, PROB LOW);
    accu prob += p1;
    // Suppress AQM drops in certain situations
    if ( 'qdelay_old_ < 0.5 * LATENCY_TARGET && drop_prob_ < 0.2)</pre>
            [| (queue_.byte_length() <= 2 * MEAN_PKTSIZE) ) {
         return FALSE;
    }
    if (accu_prob_ < PROB_LOW) { // avoid dropping too fast due
    return FALSE; // to bad luck of coin tosses...
    } else if (accu_prob_ >= PROB_HIGH) { // ...and avoid dropping
                                                 // too slowly
         drop = TRUE;
                                          //Random drop
    } else {
         double u = random();
                                          // 0 ~ 1
         if (u > p1)
             return FALSE;
         else
              drop = TRUE;
    }
    // At this point, drop == TRUE, so packet will be dropped.
    // Reset accu_prob_
    accu prob = \overline{0};
    // If in QUIESCENT state, packet drop triggers
// ACTIVE state and start of burst protection
    if (aqm_state_ == QUIESCENT) {
    aqm_state_ = ACTIVE;
         burst_allowance_ = MAX_BURST;
    return TRUE;
}
```

# **Authors' Addresses**

Greg White CableLabs 858 Coal Creek Circle Louisville, CO 80027-9750 United States of America

Email: g.white@cablelabs.com

Rong Pan Cisco Systems 510 McCarthy Blvd Milpitas, CA 95134 United States of America

Email: ropan@cisco.com