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IP Flow Information Export (IPFIX) Information Elements for Logging NAT Events

Abstract

Network operators require NAT devices to log events like creation and deletion of translations and information about the resources that the NAT device is managing. In many cases, the logs are essential to identify an attacker or a host that was used to launch malicious attacks and for various other purposes of accounting. Since there is no standard way of logging this information, different NAT devices use proprietary formats; hence, it is difficult to expect consistent behavior. This lack of standardization makes it difficult to write the Collector applications that would receive this data and process it to present useful information. This document describes the formats for logging NAT events.

Status of This Memo

This is an Internet Standards Track document.

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1. Introduction

The IP Flow Information Export (IPFIX) Protocol [RFC7011] defines a generic push mechanism for exporting information and events. The IPFIX Information Model [IPFIX-IANA] defines a set of standard Information Elements (IEs) that can be carried by the IPFIX protocol. This document details the IPFIX IEs that **MUST** be logged by a NAT device that supports NAT logging using IPFIX and all the optional fields. The fields specified in this document are gleaned from [RFC4787] and [RFC5382].

This document and [NAT-LOG] are written in order to standardize the events and parameters to be recorded using IPFIX [RFC7011] and SYSLOG [RFC5424], respectively. This document uses IPFIX as the encoding mechanism to describe the logging of NAT events. However, the information that is logged should be the same irrespective of what kind of encoding scheme is used. IPFIX is chosen because it is an IETF standard that meets all the needs for a reliable logging mechanism. IPFIX provides the flexibility to the logging device to define the datasets that it is logging. The IEs specified for logging must be the same irrespective of the encoding mechanism used.

1.1. Terminology

The term "NAT device" in this document refers to any NAT44 or NAT64 device. The term "Collector" refers to any device that receives binary data from a NAT device and converts it into meaningful information. This document uses the term "session" as defined in [RFC2663], and the term "Binding Information Base" (BIB) as defined in [RFC6146]. The term "Information Element" or "IE" is defined in [RFC7011]. The term "Carrier-Grade NAT" refers to a large-scale NAT device as described in [RFC6888].

The IPFIX IEs that are NAT specific are created with NAT terminology. In order to avoid creating duplicates, IEs are reused if they convey the same meaning. This document uses the term "timestamp" for the IE, which defines the time when an event is logged; this is the same as the IPFIX term "observationTimeMilliseconds" as described in [IPFIX-IANA]. Since observationTimeMilliseconds is not self-explanatory for NAT implementors, the term "timeStamp" is used. Event templates, which refer to IPFIX Template Records, as well as log events, which refer to IPFIX Flow Records, are also used in this document.

1.2. Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

2. Scope

This document provides the information model to be used for logging the NAT events, including Carrier-Grade NAT (CGN) events. [RFC7011] provides guidance on the choices of the transport protocols used for IPFIX and their effects. This document does not provide guidance on transport protocols like TCP, UDP, or Stream Control Transmission Protocol (SCTP), which are to be used to log NAT events. The logs SHOULD be reliably sent to the Collector to ensure that the log events are not lost. The choice of the actual transport protocol is beyond the scope of this document.

This document uses the allocated IPFIX IEs in the IANA "IPFIX Information Elements" registry [IPFIX-IANA] and registers some new ones.

This document assumes that the NAT device will use the existing IPFIX framework to send the log events to the Collector. This would mean that the NAT device will specify the template that it is going to use for each of the events. The templates can be of varying length, and there could be multiple templates that a NAT device could use to log the events.

The implementation details of the Collector application are beyond the scope of this document.

The optimization of logging the NAT events is left to the implementation and is beyond the scope of this document.

3. Deployment

NAT logging based on IPFIX uses binary encoding; hence, it is very efficient. IPFIX-based logging is recommended for environments where a high volume of logging is required, for example, where per-flow logging is needed or in case of Carrier-Grade NAT. However, IPFIX-based logging requires a Collector that processes the binary data and requires a network management application that converts this binary data to a human-readable format.

A Collector may receive NAT events from multiple CGN devices. The Collector distinguishes between the devices using the source IP address, source port, and Observation Domain ID in the IPFIX header. The Collector can decide to store the information based on the administrative policies that are in line with the operator and the local jurisdiction. The retention policy is not dictated by the Exporter and is left to the policies that are defined at the Collector.

A Collector may have scale issues if it is overloaded by a large number of simultaneous events. An appropriate throttling mechanism may be used to handle the oversubscription.

The logs that are exported can be used for a variety of reasons. An example use case is to do accounting based on when the users logged on and off. The translation will be installed when the user logs on and removed when the user logs off. These events create log records. Another use case is to identify an attacker or a host in a provider network. The network administrators can use these logs to identify the usage patterns, the need for additional IP addresses, and etc. The deployment of NAT logging is not limited to just these cases.

4. Event-Based Logging

An event in a NAT device can be viewed as a state transition because it relates to the management of NAT resources. The creation and deletion of NAT sessions and bindings are examples of events, as they result in resources (addresses and ports) being allocated or freed. The events can happen through the processing of data packets flowing through the NAT device, through an external entity installing policies on the NAT router, or as a result of an asynchronous event like a timer. The list of events is provided in Table 2. Each of these events SHOULD be logged, unless this is administratively prohibited. A NAT device MAY log these events to multiple Collectors if redundancy is required. The network administrator will specify the Collectors to which the log records are to be sent. It is necessary to preserve the list of Collectors and its associated information like the IPv4/IPv6 address, port, and protocol across reboots so that the configuration information is not lost when the device is restarted. The NAT device implementing the IPFIX logging MUST follow the IPFIX specification in [RFC7011].

4.1. Logging Destination Information

Logging destination information in a NAT event is discussed in [RFC6302] and [RFC6888]. Logging destination information increases the size of each record and increases the need for storage considerably. It increases the number of log events generated

because when the same user connects to a different destination, it results in a log record per destination address. Logging the source and destination addresses results in loss of privacy. Logging of destination addresses and ports, pre- or post-NAT, SHOULD NOT be done [RFC6888]. However, this document provides the necessary fields to log the destination information in cases where they must be logged.

4.2. Information Elements

The templates could contain a subset of the IEs shown in Table 1, depending upon the event being logged. For example, a NAT44 session creation template record will contain:

```
{sourceIPv4Address, postNATSourceIPv4Address, destinationIPv4Address,  
postNATDestinationIPv4Address, sourceTransportPort,  
postNAPTSourceTransportPort, destinationTransportPort,  
postNAPTDestinationTransportPort, internalAddressRealm, natEvent,  
timeStamp}
```

An example of the actual event data record is shown below in a human-readable form:

```
{192.0.2.1, 203.0.113.100, 192.0.2.104, 192.0.2.104, 14800, 1024, 80,  
80, 0, 1, 09:20:10:789}
```

A single NAT device could be exporting multiple templates, and the Collector MUST support receiving multiple templates from the same source.

The following table includes all the IEs that a NAT device would need to export the events. The formats of the IEs and the IPFIX IDs are listed. Detailed descriptions of the fields `natInstanceID`, `internalAddressRealm`, `externalAddressRealm`, `natQuotaExceededEvent`, and `natThresholdEvent` are included in the IANA Considerations section.

Field Name	Size (bits)	IANA IPFIX ID	Description
<code>timeStamp</code>	64	323	System Time when the event occurred
<code>natInstanceID</code>	32	463	NAT Instance Identifier
<code>vlanId</code>	16	58	VLAN ID in case of overlapping networks
<code>ingressVRFID</code>	32	234	VRF ID in case of overlapping networks
<code>sourceIPv4Address</code>	32	8	Source IPv4 Address
<code>postNATSourceIPv4Address</code>	32	225	Translated Source IPv4 Address
<code>protocolIdentifier</code>	8	4	Transport protocol
<code>sourceTransportPort</code>	16	7	Source Port
<code>postNAPTSourceTransportPort</code>	16	227	Translated Source port
<code>destinationIPv4Address</code>	32	12	Destination IPv4 Address

postNATDestinationIPv4Address	32	226	Translated IPv4 destination address
destinationTransportPort	16	11	Destination port
postNAPTDestinationTransportPort	16	228	Translated Destination port
sourceIPv6Address	128	27	Source IPv6 address
destinationIPv6Address	128	28	Destination IPv6 address
postNATSourceIPv6Address	128	281	Translated source IPv6 address
postNATDestinationIPv6Address	128	282	Translated Destination IPv6 address
internalAddressRealm	(*)	464	Source Address Realm
externalAddressRealm	(*)	465	Destination Address Realm
natEvent	8	230	Type of Event
portRangeStart	16	361	Allocated port block start
portRangeEnd	16	362	Allocated Port block end
natPoolId	32	283	NAT pool Identifier

natQuotaExceededEvent	32	466	Limit event identifier
natThresholdEvent	32	467	Threshold event identifier
maxSessionEntries	32	471	Maximum session entries
maxBIBEntries	32	472	Maximum bind entries
maxEntriesPerUser	32	473	Maximum entries per-user
maxSubscribers	32	474	Maximum subscribers
maxFragmentsPendingReassembly	32	475	Maximum fragments for ressembly
addressPoolHighThreshold	32	476	High threshold for address pool
addressPoolLowThreshold	32	477	Low threshold for address pool
addressPortMappingHighThreshold	32	478	High threshold for address/port mapping
addressPortMappingLowThreshold	32	479	Low threshold for address/port mapping

addressPortMappingPerUserHighThreshold	32	480	High threshold for per-user address/port mapping
globalAddressMappingHighThreshold	32	481	High threshold for global address mapping
+-----+-----+-----+-----+			

Note: (*) indicates octetArray

Table 1: NAT IE List

4.3. Definition of NAT Events

The following is the complete list of NAT events and the proposed event type values. The natEvent IE is defined in the "IPFIX Information Elements" registry [IPFIX-IANA];. The list can be expanded in the future as necessary. The data record will have the corresponding natEvent value to indicate the event that is being logged.

Note that the first two events are marked "Historic" and are listed here for the sole purpose of completeness. Any compliant implementation **SHOULD NOT** use the events that are marked "Historic". These values were defined prior to the existence of this document and outside the IETF. These events are not standalone and require more information to be conveyed to qualify the event. For example, the NAT translation create event does not specify if it is NAT44 or NAT64. As a result, the Behave working group decided to have an explicit definition for each one of the unique events.

Value	Event Name
0	Reserved
1	NAT translation create (Historic)
2	NAT translation delete (Historic)
3	NAT Addresses exhausted
4	NAT44 session create
5	NAT44 session delete
6	NAT64 session create
7	NAT64 session delete
8	NAT44 BIB create
9	NAT44 BIB delete
10	NAT64 BIB create
11	NAT64 BIB delete
12	NAT ports exhausted
13	Quota Exceeded
14	Address binding create
15	Address binding delete
16	Port block allocation
17	Port block de-allocation
18	Threshold Reached

Table 2: NAT Event ID

4.4. Quota Exceeded Event Types

The Quota Exceeded event is a natEvent IE described in Table 2. The Quota Exceeded events are generated when the hard limits set by the administrator have been reached or exceeded. The following table shows the sub-event types for the Quota Exceeded event. The events that can be reported are the maximum session entries limit reached, maximum BIB entries limit reached, maximum (session/BIB) entries per user limit reached, maximum active hosts or subscribers limit reached, and maximum Fragments pending reassembly limit reached.

Value	Quota Exceeded Event Name
0	Reserved
1	Maximum session entries
2	Maximum BIB entries
3	Maximum entries per user
4	Maximum active hosts or subscribers
5	Maximum fragments pending reassembly

Table 3: Quota Exceeded Event

4.5. Threshold Reached Event Types

The following table shows the sub-event types for the Threshold Reached event. The administrator can configure the thresholds, and whenever the threshold is reached or exceeded, the corresponding events are generated. The main difference between the Quota Exceeded and Threshold Reached events is that, once the Quota Exceeded events are hit, the packets are dropped or mappings will not be created, whereas the Threshold Reached events will provide the operator a chance to take action before the traffic disruptions can happen. A NAT device can choose to implement one or the other, or both.

The address pool high threshold event will be reported when the address pool reaches a high-water mark as defined by the operator. This will serve as an indication that either the operator might have to add more addresses to the pool or the subsequent users may be denied NAT translation mappings.

The address pool low threshold event will be reported when the address pool reaches a low-water mark as defined by the operator. This will serve as an indication that the operator can reclaim some of the global IPv4 addresses in the pool.

The address and port mapping high threshold event is generated when the number of ports in the configured address pool has reached a configured threshold.

The per-user address and port mapping high threshold is generated when a single user utilizes more address and port mapping than a configured threshold. We don't track the low threshold for per-user address and port mappings because, as the ports are freed, the address will become available. The address pool low threshold event will then be triggered so that the global IPv4 address can be reclaimed.

The global address mapping high threshold event is generated when the maximum number of mappings per user is reached for a NAT device doing paired-address pooling.

Value	Threshold Exceeded Event Name
0	Reserved
1	Address pool high threshold event
2	Address pool low threshold event
3	Address and port mapping high threshold event
4	Address and port mapping per user high threshold event
5	Global address mapping high threshold event

Table 4: Threshold Event

4.6. Templates for NAT Events

The following is the template of events that will be logged. The events below are identified at the time of this writing, but the set of events is extensible. A NAT device that implements a given NAT event MUST support the mandatory IEs in the templates. Depending on the implementation and configuration, various IEs that are not mandatory can be included or ignored.

4.6.1. NAT44 Session Create and Delete Events

These events will be generated when a NAT44 session is created or deleted. The template will be the same; the natEvent will indicate whether it is a create or a delete event. The following is a template of the event.

The destination address and port information is optional as required by [RFC6888]. However, when the destination information is suppressed, the session log event contains the same information as the BIB event. In such cases, the NAT device SHOULD NOT send both BIB and session events.

Field Name	Size (bits)	Mandatory
timeStamp	64	Yes
natEvent	8	Yes
sourceIPv4Address	32	Yes
postNATSourceIPv4Address	32	Yes
protocolIdentifier	8	Yes
sourceTransportPort	16	Yes
postNAPTSourceTransportPort	16	Yes
destinationIPv4Address	32	No
postNATDestinationIPv4Address	32	No
destinationTransportPort	16	No
postNAPTDestinationTransportPort	16	No
natInstanceID	32	No
vlanID/ingressVRFID	16/32	No
internalAddressRealm	octetArray	No
externalAddressRealm	octetArray	No

Table 5: NAT44 Session Delete/Create Template

4.6.2. NAT64 Session Create and Delete Events

These events will be generated when a NAT64 session is created or deleted. The following is a template of the event.

Field Name	Size (bits)	Mandatory
timeStamp	64	Yes
natEvent	8	Yes
sourceIPv6Address	128	Yes
postNATSourceIPv4Address	32	Yes
protocolIdentifier	8	Yes
sourceTransportPort	16	Yes
postNAPTSourceTransportPort	16	Yes
destinationIPv6Address	128	No
postNATDestinationIPv4Address	32	No
destinationTransportPort	16	No
postNAPTDestinationTransportPort	16	No
natInstanceID	32	No
vlanID/ingressVRFID	16/32	No
internalAddressRealm	octetArray	No
externalAddressRealm	octetArray	No

Table 6: NAT64 Session Create/Delete Event Template

4.6.3. NAT44 BIB Create and Delete Events

These events will be generated when a NAT44 Bind entry is created or deleted. The following is a template of the event.

Field Name	Size (bits)	Mandatory
timeStamp	64	Yes
natEvent	8	Yes
sourceIPv4Address	32	Yes
postNATSourceIPv4Address	32	Yes
protocolIdentifier	8	No
sourceTransportPort	16	No
postNAPTSourceTransportPort	16	No
natInstanceID	32	No
vlanID/ingressVRFID	16/32	No
internalAddressRealm	octetArray	No
externalAddressRealm	octetArray	No

Table 7: NAT44 BIB Create/Delete Event Template

4.6.4. NAT64 BIB Create and Delete Events

These events will be generated when a NAT64 Bind entry is created or deleted. The following is a template of the event.

Field Name	Size (bits)	Mandatory
timeStamp	64	Yes
natEvent	8	Yes
sourceIPv6Address	128	Yes
postNATSourceIPv4Address	32	Yes
protocolIdentifier	8	No
sourceTransportPort	16	No
postNAPTSourceTransportPort	16	No
natInstanceID	32	No
vlanID/ingressVRFID	16/32	No
internalAddressRealm	octetArray	No
externalAddressRealm	octetArray	No

Table 8: NAT64 BIB Create/Delete Event Template

4.6.5. Addresses Exhausted Event

This event will be generated when a NAT device runs out of global IPv4 addresses in a given pool of addresses. Typically, this event would mean that the NAT device won't be able to create any new translations until some addresses/ports are freed. This event **SHOULD** be rate-limited, as many packets hitting the device at the same time will trigger a burst of addresses exhausted events.

The following is a template of the event.

Field Name	Size (bits)	Mandatory
timeStamp	64	Yes
natEvent	8	Yes
natPoolID	32	Yes
natInstanceID	32	No

Table 9: Addresses Exhausted Event Template

4.6.6. Ports Exhausted Event

This event will be generated when a NAT device runs out of ports for a global IPv4 address. Port exhaustion shall be reported per protocol (UDP, TCP, etc.). This event **SHOULD** be rate-limited, as many packets hitting the device at the same time will trigger a burst of port exhausted events.

The following is a template of the event.

Field Name	Size (bits)	Mandatory
timeStamp	64	Yes
natEvent	8	Yes
postNATSourceIPv4Address	32	Yes
protocolIdentifier	8	Yes
natInstanceID	32	No

Table 10: Ports Exhausted Event Template

4.6.7. Quota Exceeded Events

This event will be generated when a NAT device cannot allocate resources as a result of an administratively defined policy. The Quota Exceeded event templates are described below.

4.6.7.1. Maximum Session Entries Exceeded

The maximum session entries exceeded event is generated when the administratively configured NAT session limit is reached. The following is the template of the event.

Field Name	Size (bits)	Mandatory
timeStamp	64	Yes
natEvent	8	Yes
natQuotaExceededEvent	32	Yes
maxSessionEntries	32	Yes
natInstanceID	32	No

Table 11: Session Entries Exceeded Event Template

4.6.7.2. Maximum BIB Entries Exceeded

The maximum BIB entries exceeded event is generated when the administratively configured BIB entry limit is reached. The following is the template of the event.

Field Name	Size (bits)	Mandatory
timeStamp	64	Yes
natEvent	8	Yes
natQuotaExceededEvent	32	Yes
maxBIBEntries	32	Yes
natInstanceID	32	No

Table 12: BIB Entries Exceeded Event Template

4.6.7.3. Maximum Entries per User Exceeded

This event is generated when a single user reaches the administratively configured NAT translation limit. The following is the template of the event.

Field Name	Size (bits)	Mandatory
timeStamp	64	Yes
natEvent	8	Yes
natQuotaExceededEvent	32	Yes
maxEntriesPerUser	32	Yes
sourceIPv4Address	32	Yes for NAT44
sourceIPv6Address	128	Yes for NAT64
natInstanceID	32	No
vlanID/ingressVRFID	16/32	No

Table 13: Per-User Entries Exceeded Event Template

4.6.7.4. Maximum Active Hosts or Subscribers Exceeded

This event is generated when the number of allowed hosts or subscribers reaches the administratively configured limit. The following is the template of the event.

Field Name	Size (bits)	Mandatory
timeStamp	64	Yes
natEvent	8	Yes
natQuotaExceededEvent	32	Yes
maxSubscribers	32	Yes
natInstanceID	32	No

Table 14: Maximum Hosts/Subscribers Exceeded Event Template

4.6.7.5. Maximum Fragments Pending Reassembly Exceeded

This event is generated when the number of fragments pending reassembly reaches the administratively configured limit. Note that in the case of NAT64, when this condition is detected in the IPv6-to-IPv4 direction, the IPv6 source address is mandatory in the template. Similarly, when this condition is detected in IPv4-to-IPv6 direction, the source IPv4 address is mandatory in the template below. The following is the template of the event.

Field Name	Size (bits)	Mandatory
timeStamp	64	Yes
natEvent	8	Yes
natQuotaExceededEvent	32	Yes
maxFragmentsPendingReassembly	32	Yes
sourceIPv4Address	32	Yes for NAT44
sourceIPv6Address	128	Yes for NAT64
natInstanceID	32	No
vlanID/ingressVRFID	16/32	No
internalAddressRealm	octetArray	No

Table 15: Maximum Fragments Pending Reassembly Exceeded Event Template

4.6.8. Threshold Reached Events

This event will be generated when a NAT device reaches an operator-configured threshold when allocating resources. The Threshold Reached events are described in the section above. The following is a template of the individual events.

4.6.8.1. Address Pool High or Low Threshold Reached

This event is generated when the high or low threshold is reached for the address pool. The template is the same for both high and low threshold events

Field Name	Size (bits)	Mandatory
timeStamp	64	Yes
natEvent	8	Yes
natThresholdEvent	32	Yes
natPoolID	32	Yes
addressPoolHighThreshold/ addressPoolLowThreshold	32	Yes
natInstanceID	32	No

Table 16: Address Pool High/Low Threshold Reached Event Template

4.6.8.2. Address and Port Mapping High Threshold Reached

This event is generated when the high threshold is reached for the address pool and ports.

Field Name	Size (bits)	Mandatory
timeStamp	64	Yes
natEvent	8	Yes
natThresholdEvent	32	Yes
addressPortMappingHighThreshold/ addressPortMappingLowThreshold	32	Yes
natInstanceID	32	No

Table 17: Address Port High Threshold Reached Event Template

4.6.8.3. Address and Port Mapping per User High Threshold Reached

This event is generated when the high threshold is reached for the per-user address pool and ports.

Field Name	Size (bits)	Mandatory
timeStamp	64	Yes
natEvent	8	Yes
natThresholdEvent	32	Yes
addressPortMappingHighThreshold/ addressPortMappingLowThreshold	32	Yes
sourceIPv4Address	32	Yes for NAT44
sourceIPv6Address	128	Yes for NAT64
natInstanceID	32	No
vlanID/ingressVRFID	16/32	No

Table 18: Address and Port Mapping per User High Threshold Reached Event Template

4.6.8.4. Global Address Mapping High Threshold Reached

This event is generated when the high threshold is reached for the per-user address pool and ports. This is generated only by NAT devices that use a paired-address-pooling behavior.

Field Name	Size (bits)	Mandatory
timeStamp	64	Yes
natEvent	8	Yes
natThresholdEvent	32	Yes
globalAddressMappingHighThreshold	32	Yes
natInstanceID	32	No
vlanID/ingressVRFID	16/32	No

Table 19: Global Address Mapping High Threshold Reached Event Template

4.6.9. Address Binding Create and Delete Events

These events will be generated when a NAT device binds a local address with a global address and when the global address is freed. A NAT device will generate the binding events when it receives the first packet of the first flow from a host in the private realm.

Field Name	Size (bits)	Mandatory
timeStamp	64	Yes
natEvent	8	Yes
sourceIPv4Address	32	Yes for NAT44
sourceIPv6Address	128	Yes for NAT64
postNATSourceIPv4Address	32	Yes
natInstanceID	32	No

Table 20: NAT Address Binding Template

4.6.10. Port Block Allocation and De-allocation

This event will be generated when a NAT device allocates/de-allocates ports in a bulk fashion, as opposed to allocating a port on a per-flow basis.

portRangeStart represents the starting value of the range.

portRangeEnd represents the ending value of the range.

NAT devices would do this in order to reduce logs and to potentially limit the number of connections a subscriber is allowed to use. In the following Port Block allocation template, the portRangeStart and portRangeEnd MUST be specified.

It is up to the implementation to choose to consolidate log records in case two consecutive port ranges for the same user are allocated or freed.

Field Name	Size (bits)	Mandatory
timeStamp	64	Yes
natEvent	8	Yes
sourceIPv4Address	32	Yes for NAT44
sourceIPv6Address	128	Yes for NAT64
postNATSourceIPv4Address	32	Yes
portRangeStart	16	Yes
portRangeEnd	16	No
natInstanceID	32	No

Table 21: NAT Port Block Allocation Event Template

5. Management Considerations

This section considers requirements for management of the log system to support logging of the events described above. It first covers requirements applicable to log management in general. Any additional standardization required to fulfill these requirements is out of scope of the present document. Some management considerations are covered in [NAT-LOG]. This document covers the additional considerations.

5.1. Ability to Collect Events from Multiple NAT Devices

An IPFIX Collector MUST be able to collect events from multiple NAT devices and decipher events based on the Observation Domain ID in the IPFIX header.

5.2. Ability to Suppress Events

The exhaustion events can be overwhelming during traffic bursts; hence, they SHOULD be handled by the NAT devices to rate-limit them before sending them to the Collectors. For example, when the port exhaustion happens during bursty conditions, instead of sending a port exhaustion event for every packet, the exhaustion events SHOULD be rate-limited by the NAT device.

6. IANA Considerations

6.1. Information Elements

IANA has registered the following IEs in the "IPFIX Information Elements" registry at [IPFIX-IANA].

6.1.1. natInstanceID

ElementID: 463

Name: natInstanceID

Description: This Information Element uniquely identifies an Instance of the NAT that runs on a NAT middlebox function after the packet passes the Observation Point. natInstanceID is defined in [RFC7659].

Abstract Data Type: unsigned32

Data Type Semantics: identifier

Reference: See [RFC791] for the definition of the IPv4 source address field. See [RFC3022] for the definition of NAT. See [RFC3234] for the definition of middleboxes.

6.1.2. internalAddressRealm

ElementID: 464

Name: internalAddressRealm

Description: This Information Element represents the internal address realm where the packet is originated from or destined to. By definition, a NAT mapping can be created from two address realms, one from internal and one from external. Realms are implementation dependent and can represent a Virtual Routing and Forwarding (VRF) ID, a VLAN ID, or some unique identifier. Realms are optional and, when left unspecified, would mean that the external and internal realms are the same.

Abstract Data Type: `octetArray`

Data Type Semantics: `identifier`

Reference: See [RFC791] for the definition of the IPv4 source address field. See [RFC3022] for the definition of NAT. See [RFC3234] for the definition of middleboxes.

6.1.3. `externalAddressRealm`

ElementID: 465

Name: `externalAddressRealm`

Description: This Information Element represents the external address realm where the packet is originated from or destined to. The detailed definition is in the internal address realm as specified above.

Abstract Data Type: `octetArray`

Data Type Semantics: `identifier`

Reference: See [RFC791] for the definition of the IPv4 source address field. See [RFC3022] for the definition of NAT. See [RFC3234] for the definition of middleboxes.

6.1.4. `natQuotaExceededEvent`

ElementID: 466

Name: `natQuotaExceededEvent`

Description: This Information Element identifies the type of a NAT Quota Exceeded event. Values for this Information Element are listed in the "NAT Quota Exceeded Event Type" registry, see [IPFIX-IANA]. Initial values in the registry are defined by the table below. New assignments of values will be administered by IANA and are subject to Expert Review [RFC8126]. Experts need to check definitions of new values for completeness, accuracy, and redundancy.

Value	Quota Exceeded Event Name
0	Reserved
1	Maximum session entries
2	Maximum BIB entries
3	Maximum entries per user
4	Maximum active hosts or subscribers
5	Maximum fragments pending reassembly

Note: This is the same as Table 3.

Abstract Data Type: unsigned32

Data Type Semantics: identifier

Reference: See [RFC791] for the definition of the IPv4 source address field. See [RFC3022] for the definition of NAT. See [RFC3234] for the definition of middleboxes.

6.1.5. natThresholdEvent

ElementID: 467

Name: natThresholdEvent

Description: This Information Element identifies a type of a NAT Threshold event. Values for this Information Element are listed in the "NAT Threshold Event Type" registry, see [IPFIX-IANA]. Initial values in the registry are defined by the table below. New assignments of values will be administered by IANA and are subject to Expert Review [RFC8126]. Experts need to check definitions of new values for completeness, accuracy, and redundancy.

Value	Threshold Exceeded Event Name
0	Reserved
1	Address pool high threshold event
2	Address pool low threshold event
3	Address and port mapping high threshold event
4	Address and port mapping per user high threshold event
5	Global address mapping high threshold event

Note: This is the same as Table 4.

Abstract Data Type: unsigned32

Data Type Semantics: identifier

Reference: See [RFC791] for the definition of the IPv4 source address field. See [RFC3022] for the definition of NAT. See [RFC3234] for the definition of middleboxes.

6.1.6. natEvent

The original definition of this Information Element specified only three values: 1, 2, and 3. This definition has been replaced by a registry, to which new values can be added. The semantics of the three originally defined values remain unchanged. IANA maintains the "NAT Event Type (Value 230)" registry for values of this Information Element at [IPFIX-IANA].

ElementID: 230

Name: natEvent

Description: This Information Element identifies a NAT event. This IE identifies the type of a NAT event. Examples of NAT events include, but are not limited to, NAT translation create, NAT translation delete, Threshold Reached, or Threshold Exceeded, etc. Values for this Information Element are listed in the "NAT Event Type" registry, see [IPFIX-IANA]. The NAT event values in the registry are defined by Table 2 in Section 4.3. New assignments of values will be administered by IANA and are subject to Expert Review [RFC8126]. Experts need to check definitions of new values for completeness, accuracy, and redundancy.

Abstract Data Type: unsigned8

Data Type Semantics: identifier

Reference: See [RFC3022] for the definition of NAT. See [RFC3234] for the definition of middleboxes. See RFC 8158 for the definitions of values 4-16.

6.1.7. maxSessionEntries

ElementID: 471

Name: maxSessionEntries

Description: This element represents the maximum session entries that can be created by the NAT device.

Abstract Data Type: unsigned32

Data Type Semantics: identifier

Reference: See [RFC3022] for the definition of NAT. See [RFC3234] for the definition of middleboxes.

6.1.8. maxBIBEntries

ElementID: 472

Name: maxBIBEntries

Description: This element represents the maximum BIB entries that can be created by the NAT device.

Abstract Data Type: unsigned32

Data Type Semantics: identifier

Reference: See [RFC3022] for the definition of NAT. See [RFC3234] for the definition of middleboxes.

6.1.9. maxEntriesPerUser

ElementID: 473

Name: maxEntriesPerUser

Description: This element represents the maximum NAT entries that can be created per user by the NAT device.

Abstract Data Type: unsigned32

Data Type Semantics: identifier

Reference: See [RFC3022] for the definition of NAT. See [RFC3234] for the definition of middleboxes.

6.1.10. maxSubscribers

ElementID: 474

Name: maxSubscribers

Description: This element represents the maximum subscribers or maximum hosts that are allowed by the NAT device.

Abstract Data Type: unsigned32

Data Type Semantics: identifier

Reference: See [RFC3022] for the definition of NAT. See [RFC3234] for the definition of middleboxes.

6.1.11. maxFragmentsPendingReassembly

ElementID: 475

Name: maxFragmentsPendingReassembly

Description: This element represents the maximum fragments that the NAT device can store for reassembling the packet.

Abstract Data Type: unsigned32

Data Type Semantics: identifier

Reference: See [RFC3022] for the definition of NAT. See [RFC3234] for the definition of middleboxes.

6.1.12. addressPoolHighThreshold

ElementID: 476

Name: addressPoolHighThreshold

Description: This element represents the high threshold value of the number of public IP addresses in the address pool.

Abstract Data Type: unsigned32

Data Type Semantics: identifier

Reference: See [RFC3022] for the definition of NAT. See [RFC3234] for the definition of middleboxes.

6.1.13. addressPoolLowThreshold

ElementID: 477

Name: addressPoolLowThreshold

Description: This element represents the low threshold value of the number of public IP addresses in the address pool.

Abstract Data Type: unsigned32

Data Type Semantics: identifier

Reference: See [RFC3022] for the definition of NAT. See [RFC3234] for the definition of middleboxes.

6.1.14. addressPortMappingHighThreshold

ElementID: 478

Name: addressPortMappingHighThreshold

Description: This element represents the high threshold value of the number of address and port mappings.

Abstract Data Type: unsigned32

Data Type Semantics: identifier

Reference: See [RFC3022] for the definition of NAT. See [RFC3234] for the definition of middleboxes.

6.1.15. addressPortMappingLowThreshold

ElementID: 479

Name: addressPortMappingLowThreshold

Description: This element represents the low threshold value of the number of address and port mappings.

Abstract Data Type: unsigned32

Data Type Semantics: identifier

Reference: See [RFC3022] for the definition of NAT. See [RFC3234] for the definition of middleboxes.

6.1.16. addressPortMappingPerUserHighThreshold

ElementID: 480

Name: addressPortMappingPerUserHighThreshold

Description: This element represents the high threshold value of the number of address and port mappings that a single user is allowed to create on a NAT device.

Abstract Data Type: unsigned32

Data Type Semantics: identifier

Reference: See [RFC3022] for the definition of NAT. See [RFC3234] for the definition of middleboxes.

6.1.17. globalAddressMappingHighThreshold

ElementID: 481

Name: globalAddressMappingHighThreshold

Description: This element represents the high threshold value of the number of address and port mappings that a single user is allowed to create on a NAT device in a paired address pooling behavior.

Abstract Data Type: unsigned32

Data Type Semantics: identifier

Reference: See [RFC3022] for the definition of NAT. See [RFC3234] for the definition of middleboxes. See [RFC4787] for the definition of paired address pooling behavior.

7. Security Considerations

The security considerations listed in detail for IPFIX in [RFC7011] apply to this document as well. As described in [RFC7011], the messages exchanged between the NAT device and the Collector **MUST** be protected to provide confidentiality, integrity, and authenticity. Without those characteristics, the messages are subject to various kinds of attacks. These attacks are described in great detail in [RFC7011].

This document re-emphasizes the use of Transport Layer Security (TLS) or Datagram Transport Layer Security (DTLS) for exchanging the log messages between the NAT device and the Collector. The log events sent in cleartext can result in confidential data being exposed to attackers, who could then spoof log events based on the information in cleartext messages. Hence, the log events **SHOULD NOT** be sent in cleartext.

The logging of NAT events can result in privacy concerns as a result of exporting information such as the source address and port information. The logging of destination information can also cause privacy concerns, but it has been well documented in [RFC6888]. A NAT device can choose to operate in various logging modes if it wants

to avoid logging of private information. The Collector that receives the information can also choose to mask the private information but generate reports based on abstract data. It is outside the scope of this document to address the implementation of logging modes for privacy considerations.

8. References

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