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Management Event Management Information Base (MIB) for PacketCable- and IPCablecom-Compliant Devices

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This document specifies an Internet standards track protocol for the Internet community, and requests discussion and suggestions for improvements. Please refer to the current edition of the "Internet Official Protocol Standards" (STD 1) for the standardization state and status of this protocol. Distribution of this memo is unlimited.

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#### Abstract

This memo defines a portion of the Management Information Base (MIB) for use with network management protocols in the Internet community. In particular, it defines a basic set of managed objects for Simple Network Management Protocol (SNMP)-based management of events that can be generated by PacketCable- and IPCablecom-compliant Multimedia Terminal Adapter devices.

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# 1. The Internet-Standard Management Framework

For a detailed overview of the documents that describe the current Internet-Standard Management Framework, please refer to section 7 of RFC 3410 [RFC3410].

Managed objects are accessed via a virtual information store, termed the Management Information Base or MIB. MIB objects are generally accessed through the Simple Network Management Protocol (SNMP). Objects in the MIB are defined using the mechanisms defined in the Structure of Management Information (SMI). This memo specifies a MIB module that is compliant to the SMIv2, which is described in STD 58, RFC 2578 [RFC2578], STD 58, RFC 2579 [RFC2579] and STD 58, RFC 2580 [RFC2580].

#### 2. Introduction

A Multimedia Terminal Adapter (MTA) is used to deliver broadband Internet, data, and/or voice access jointly with telephony service to a subscriber's or customer's premises using a cable network

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infrastructure. An MTA is normally installed at the subscriber's or customer's premises and is coupled to a multiple system operator (MSO) using a hybrid fiber coax (HFC) access network.

An MTA is provisioned by the MSO for broadband Internet, data, and/or voice service. For more information on MTA provisioning, refer to [PKT-SP-PROV] and [RFC4682]. MTA devices include one or more endpoints (e.g., telephone ports), which receive call signaling information to establish ring cadence, and codecs, which provide telephony service.

For more information on call signaling refer to, [PKT-SP-MGCP] and [RFC3435].

For more information on codecs, refer to [PKT-SP-CODEC].

Given the complexity of such systems, it is important that a suitable event management mechanism be defined to allow for effective management. This MIB module provides objects suitable for generation and management of events on the MTA.

### 3. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

The terms "MIB module" and "information module" are used interchangeably in this memo. As used here, both terms refer to any of the three types of information modules defined in Section 3 of RFC 2578 [RFC2578]. Some of the terms used in this memo are defined Some additional terms are also defined in the PacketCable(TM) Management Event Mechanism Specification [PKT-SP-MEM1.5] and the PacketCable MTA Device Provisioning Specification [PKT-SP-PROV].

#### 3.1. **PacketCable**

PacketCable is a CableLabs-led initiative that is aimed at developing interoperable interface specifications for delivering advanced, real-time multimedia services over two-way cable plants.

### 3.2. IPCablecom

IPCablecom is an ITU Telecommunication Standardization Sector (ITU-T) project that includes architecture and a series of recommendations that enable the delivery of real-time services over the cable television networks using cable modems.

#### 3.3. MTA

A Multimedia Terminal Adapter (MTA) is a PacketCable- or IPCablecomcompliant device providing telephony services over a cable or hybrid system used to deliver video signals to a community. It contains an interface to endpoints, a network interface, codecs, and all signaling and encapsulation functions required for Voice over IP transport, call signaling, and Quality of Service signaling. An MTA can be an embedded or standalone device. An Embedded MTA (E-MTA) is an MTA device containing an embedded Data Over Cable Service Interface Specifications (DOCSIS) cable modem. A Standalone MTA (S-MTA) is an MTA device separated from the DOCSIS cable modem by a non-DOCSIS Media Access Control (MAC) interface (e.g., Ethernet, USB).

# 3.4. Endpoint

An endpoint or MTA endpoint is a standard RJ-11 telephony physical port located on the MTA and used for attaching the telephone device to the MTA.

#### 3.5. MSO

A Multi-System Operator is a cable company that operates many headend locations in several cities.

#### 3.6. UDP

A User Datagram Protocol is a connectionless protocol built upon Internet Protocol (IP), as per RFC 768 [RFC768].

# 4. Overview

PacketCable, European Telecommunications Standards Institute (ETSI), and International Telecommunication Union Telecommunication Standardization Sector (ITU-T) IPCablecom-compliant Multimedia Terminal Adaptors (MTAs) are required to generate management events upon the occurrence of certain operational conditions (for instance, "AC power failure, MTA operational on battery power"). The complete set of conditions and the corresponding management events to be generated are specified in [PKT-SP-MEM1.5] (PacketCable), [ETSITS101909-22] (ETSI), and [ITU-T-J176] (ITU-T). In addition, the MTA manufacturer is allowed to specify vendor-specific management For example, vendor XYZ can specify "Memory read error, terminating process, code: XYZ123".

When management events are generated, they can either be stored in a local log on the MTA or transmitted using two possible mechanisms: SNMP or syslog. This choice between storing and transmitting is required to be configurable and manageable by the management station for each management event (default values can be provided when the events are defined). This document proposes a MIB that can provide for configuration and management of such management events. A means to log the events is provided within the specified MIB module. For syslog as a transport, the necessary information (format, transport, etc.) is also specified. For SNMP as a transport, the MIB objects specified in the SNMP-TARGET-MIB and SNMP-NOTIFICATION-MIB as utilized, is specified in [RFC3413].

Further, each management event can be uniquely identified using the 'Organization ID' and 'Event ID'. The 'Organization ID' is the private enterprise number of the organization specifying the event (e.g., 4491 for CableLabs) and a unique identifier that identifies the event. The 'Event ID' is an identifier that uniquely identifies the event within the 'Organization ID' space. This document does not specify any management events. It only provides a mechanism to manage the storage and transmission of events.

The EVENT MIB module specified in this document is intended to update the EVENT MIB modules from which it is partly derived:

- the PacketCable 1.5 Management Event MIB Specification [PKT-SP-EVEMIB1.5] and
- the ITU-T IPCablecom management event mechanism MIB requirements [ITU-T-J176].

Several normative and informative references are used to help define Management Event MIB objects. As a convention, wherever the requirements are equivalent at the time of the writing, the PacketCable reference is used. However, MTA implementations MUST refer to the corresponding specifications to ensure compliance.

#### 4.1. Structure of the MIB

The Management Event MIB module is identified by pktcIetfEventMib and is structured into the following sub-trees:

- pktcEventControl specifies the management information pertinent to control of the device's event generation capabilities.
- pktcEventThrottle specifies the management information pertinent to throttling the transmission of management events using syslog or SNMP.

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- pktcEventStatus specifies the management information for the device to report status information related to the generated events.
- pktcEvents specifies the management information for the device to list all the events it is capable of generating.
- pktcEventLog specifies the management information for the device to store the generated events.
- pktcEventNotifications specifies the management information that defines the SNMP trap and inform messages.

# 4.2. pktcEventControl

The group of objects in this sub-tree provide for three important controls: ability to reset the event logs and event descriptions, syslog configuration, and event classes.

Some highlights are as follows:

pktcEventReset - this MIB object allows a management station to reset the event logs, the event descriptions, or both.

pktcEventSyslog - this group of MIB objects allows the management station to provide information for transmission of events to a syslog server, such as message formats and transport protocols.

pktcEventClassTable - this MIB table allows for MTAs to classify the management events into different categories, termed 'event classes'. It then allows for common operations to be affected across all the events pertaining to a specific event class.

## 4.3. pktcEventThrottle

As indicated earlier, the generated events can be stored locally or transmitted using SNMP, syslog, or both. However, the management stations receiving such events may wish to control the rate of transmission of such events. This event-throttling behavior is provided by the MIB objects in this sub-tree.

Some highlights are as follows:

pktcEventThrottleAdminStatus - this MIB object allows for transmissions to be unconstrained, maintained below threshold, stopped at the threshold, or inhibited. pktcEventThrottleThreshold - this MIB object specifies the throttle, i.e., the number of events over an interval that is considered to be the threshold.

pktcEventThrottleInterval - this MIB object specifies the interval over which the threshold is calculated.

### 4.4. pktcEventStatus

This sub-tree is designed to provide status information related to event transmissions. It currently contains one MIB object, pktcEventTransmissionStatus, that allows a client to report the status of event transmissions.

### 4.5. pktcEvent

This sub-tree is designed to provide a list of all the events that can be generated by an MTA and its associated descriptions. The MIB objects are grouped under the MIB table pktcEventTable.

### 4.6. pktcEventLog

This sub-tree is designed to allow the MTA to store all the events that are generated during its operation. The events are stored with information such as the time of the event, its description and related characteristics like severity levéls.

# 4.7. pktcEventNotifications

This sub-tree specifies the notification information, i.e., when MTAs transmit messages using SNMP traps and informs. SNMP traps refer to the SNMPv2-Trap-PDU. SNMPv1 traps are disallowed.

# 5. Relationship to Other MIB Modules

Some management objects defined in other MIB modules are applicable to an entity implementing this MIB. In particular, it is assumed that an entity implementing the PKTC-IETF-EVENT-MIB module will also implement the 'interfaces' group of the IF-MIB [RFC2863].

## 5.1. MIB Modules Required for IMPORTS

The PKTC-IETF-EVENT-MIB MIB module IMPORTS objects from SNMPv2-SMI [RFC2578], SNMPv2-TC [RFC2579], SNMP-FRAMEWORK-MIB [RFC3411], SNMPv2-CONF [RFC2580], IF-MIB [RFC2863], INET-ADDRESS-MIB [RFC4001], SNMP-TARGET-MIB [RFC3413], SNMP-NOTIFICATION-MIB [RFC3413], and the SYSLOG-TC-MIB [RFC5427].

#### 6. Definitions

```
PKTC-IETF-EVENT-MIB DEFINITIONS ::= BEGIN
IMPORTS
    MODULE-IDENTITY, OBJECT-TYPE,
    Unsigned32,
    NOTIFICATION-TYPE,
                                        FROM SNMPv2-SMI
    mib-2
    TruthValue,
    DateAndTime, TEXTUAL-CONVENTION
                                        FROM SNMPv2-TC
    SnmpAdminString
                                        FROM SNMP-FRAMEWORK-MIB
    OBJECT-GROUP.
    MODULE-COMPLÍANCE,
                                        FROM SNMPv2-CONF
    NOTIFICATION-GROUP
    ifPhysAddress
                                        FROM IF-MIB
    InetAddressType,
    InetAddress,
    InetPortNumber
                                        FROM INET-ADDRESS-MIB
    snmpTargetBasicGroup, snmpTargetResponseGroup
                                        FROM SNMP-TARGET-MIB
    snmpNotifyGroup, snmpNotifyFilterGroup
                                        FROM SNMP-NOTIFICATION-MIB
    SyslogSeverity, SyslogFacility
                                        FROM SYSLOG-TC-MIB;
pktcIetfEventMib MODULE-IDENTITY
    LAST-UPDATED "200903300000Z" -- 30 March 2009
    ORGANIZATION "IETF IP over Cable Data Network Working Group"
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Co-Chair: Richard Woundy, Richard Woundy@cable.comcast.com"

#### **DESCRIPTION**

"This MIB module specifies the basic management objects for managing events generated by the Multimedia Terminal Adapter devices compliant with the PacketCable and IPCablecom requirements.

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This version of this MIB module is part of RFC 5428; see the RFC itself for full legal notices."

```
"200903300000Z" -- 30 March 2009
    REVISION
    DESCRIPTION
        "Initial version, published as RFC 5428."
::= { mib-2 182 }
SyslogSeverityMask ::= TEXTUAL-CONVENTION
     STATUS
                   current
     DESCRIPTION
     "This textual convention represents a bit mask representing
      the severity of the syslog events that can be generated.
      It corresponds to the various severity levels associated with syslog messages, as specified in 'The Syslog Protocol',
      [RFC5424].
                                    - emergency; system is unusable
            emerq
                              (0),
                              (1),
            alert
                                    - action must be taken immediately
            crit
                              (2),
                                    - critical condition
                              (3),
                                    - error condition
            err
                              (4),
            warning
                                    - warning condition
                              (5),
                                    - normal but significant condition
            notice
            info
                              (6),
                                    - informational message
                                    - debug-level messages"
            debua
                              (7)
       SYNTAX
                BITS {
                emerg(0),
                alert(1),
                crit(2),
                err(3),
                warning(4),
                notice(5).
                info(6).
                debug(7)
```

```
pktcEventNotifications OBJECT IDENTIFIER ::= { pktcIetfEventMib 0 }
                                OBJECT IDENTIFIER ::= { pktcletfEventMib 1 }
OBJECT IDENTIFIER ::= { pktcletfEventMib 2 }
pktcEventMibObjects
pktcEventConformance
                          OBJECT IDENTIFIER ::= { pktcEventMibObjects 1 }
OBJECT IDENTIFIER ::= { pktcEventMibObjects 2 }
OBJECT IDENTIFIER ::= { pktcEventMibObjects 3 }
OBJECT IDENTIFIER ::= { pktcEventMibObjects 4 }
OBJECT IDENTIFIER ::= { pktcEventMibObjects 5 }
pktcEventControl
pktcEventThrottle
pktcEventStatus
pktcEvents
pktcEventLog
      Event Reporting control objects
                      OBJECT-TYPE
pktcEventReset
     SYNTAX BITS {
                      resetEventLogTable(0),
                      resetEventTable(1)
     MAX-ACCESS
                      read-write
     STATUS
                      current
     DESCRIPTION
                 "This MIB object allows a management station to
                 clear the local log of generated events, reset the
                 management event descriptions, or both.
```

MTAs generate management events. These events are stored in the MIB table pktcEventLogTable. If a management station needs to clear all the current entries (e.g., after a troubleshooting operation is complete), it can do so by setting the resetEventLogTable(0) bit to a value of '1'.

The MTA is pre-configured with the events that it can generate. This is stored in the MIB table pktcEventTable. This table also contains the descriptions associated with these events. These descriptions can be modified by a management station. However, if the management station wishes to reset the descriptions to factory defaults, it can do so by setting the resetEventTable(1) bit to a value of '1'.

The MTA actions are summarized below:

Bit resetEventLogTable(0) set to a value of '1'
- delete all entries in pktcEventLogTable;

- reset the value of pktcEventLogIndex to '0'.

Bit resetEventTable(1) set to a value of '1'
- reset the pktcEventTable to the
 factory default values.

Bits resetEventLogTable(0) and resetEventTable(1)
set to a value of '1'

 perform the above actions as though they were performed individually (in any order).

Setting a reset bit to a value of '0' MUST NOT result in any action.

The MTA MUST perform the above actions regardless of persistence (i.e., storage in non-volatile memory).

The MTA MUST always return a value of '00' when this MIB object is read.

A management station that resets tables using this MIB object needs to be careful about the impact to other management stations that may be reliant on the information contained in the table(s) being reset. For example, say management station A creates a specific set of event descriptions in the event table (pktcEventTable) for debugging purposes and expects any generated events to report the modified descriptions. In such a case, if another management station resets the event table to factory defaults, any subsequent events will not contain the modified descriptions expected by management station A. Such multi-manager contentions are not addressed within this MIB module. Thus, management stations are RECOMMENDED to use this MIB object with care and caution, and only when absolutely required."

::= { pktcEventControl 1 }

```
transportTLS(3)
                 transportBEEP(4)
    MAX-ACCESS
                 read-only
                 current
    STATUS
    DESCRIPTION
             "This MIB object contains the MTA capabilities
             for supporting the syslog protocol, specifically
             the message formats and the transport protocols.
             The BSD syslog message format is specified
             in [RFC3164] (formatBSDSyslog), and the IETF
             syslog protocol is specified in [RFC5424]
             (formatSyslogProtocol).
             The MTA MUST set the appropriate protocol and
             transport bits, based on implementation."
    REFERENCE
             "The BSD syslog Protocol, [RFC3164];
             The Syslog Protocol, [RFC5424];
Transmission of Syslog Messages over UDP, [RFC5426];
TLS Transport Mapping for Syslog, [RFC5425];
Reliable Delivery for syslog, [RFC3195]."
    ::= { pktcEventSyslog 1 }
pktcEventSyslogAddressType OBJECT-TYPE
                 InetAddressType
    SYNTAX
    MAX-ACCESS
                 read-write
    STATUS
                 current
    DESCRIPTION
             "This MIB object defines the Internet address type of
             the syslog server specified by the MIB object
             pktcEventSyslogAddress. A value of dns(16) is
             disallowed since a non-resolvable DNS domain name
            will leave the device without a syslog server to
            which it can report events."
    REFERENCE
             "PacketCable MTA Device Provisioning Specification,
    [PKT-SP-PROV]."
DEFVAL { ipv4 }
    ::= { pktcEventSyslog 2 }
InetAddress
    SYNTAX
    MAX-ACCESS read-write
    STATUS
                 current
    DESCRIPTION
             "This MIB object contains the IP address of the
```

syslog server to which the MTA can transmit a syslog message upon the generation of a management event. The type of address this object represents is defined by the MIB object pktDevEventSyslogAddressType.

```
The format of the syslog message is specified by the
            MIB object pktcEventSyslogMessageFormat."
    REFERENCE
             "PacketCable MTA Device Provisioning Specification,
            [PKT-SP-PROV];
            PacketCable Management Event Mechanism Specification,
            [PKT-SP-MEM1.5];
    DEFVAL { "0.0.0.0" }
    ::= { pktcEventSyslog 3 }
pktcEventSyslogMessageFormat OBJECT-TYPE
    SYNTAX
                 INTEGER {
                 formatBSDSyslog(1), -- The BSD syslog Proto
formatSyslogProtocol(2) -- The syslog Protocol
                                        -- The BSD syslog Protocol
                 read-write
    MAX-ACCESS
                 current
    STATUS
    DESCRIPTION
             "This MIB object contains the syslog message format to
              be used for transmitting syslog messages to the server
              contained in the MIB object pktcEventSyslogServer."
    REFERENCE
             "The BSD syslog Protocol, [RFC3164];
    The Syslog Protocol, [RFC5424]."
DEFVAL { formatSyslogProtocol }
    ::= { pktcEventSyslog 4 }
pktcEventSyslogTransport OBJECT-TYPE
                 INTEGER {
    SYNTAX
                   udp(1),-- Transmission of syslog messages over UDP
                   tls(2),-- TLS Transport Mapping for Syslog
                   beep(3)-- BEEP Transport Mapping for Syslog
                 }
    MAX-ACCESS
                 read-write
    STATUS
                 current
    DESCRIPTION
             "This MIB object specifies the transport to be
              used to transmit syslog messages to the syslog
              server contained in the MIB object
              pktcEventSyslogAddress.
              If the MTA does not support the transport
              specified in a SET operation, then the
```

```
MTA MUST return an appropriate error response, such as 'inconsistentValue'."
     REFERENCE
               "Transmission of Syslog messages over UDP, [RFC5426];
                TLS Transport Mapping for Syslog, [RFC5425]."
     DEFVAL
                {tls}
     ::= { pktcEventSyslog 5 }
pktcEventSyslogPort OBJECT-TYPE
     SYNTAX
                   InetPortNumber
     MAX-ACCESS read-write
     STATUS
                   current
     DESCRIPTION
               "This MIB object contains the port number of the
                syslog server to which the syslog messages are to
                be transmitted."
     REFERENCE
               "Transmission of Syslog Messages over UDP, [RFC5426];
                TLS Transport Mapping for Syslog, [RFC5425]."
     DEFVAL { 6514 }
     ::= { pktcEventSyslog 6 }
-- Event classes
pktcEventClassTable OBJECT-TYPE
                   SEQUENCE OF PktcEventClassEntry
     SYNTAX
     MAX-ACCESS
                   not-accessible
     STATUS
                   current
     DESCRIPTION
               "This MIB table allows for management events that can be
               generated by an MTA to be classified into categories, or 'event classes'. For example, all the configuration-related events can be associated with an event class titled 'configuration'. Such a classification allows for a management station to affect changes on a common
                group of events at once. Two operations are specified
                on an event class: enabling or disabling of all the
                events in an event class, and selective enabling or
                disabling based on the severity level."
     ::= { pktcEventControl 3 }
pktcEventClassEntry OBJECT-TYPE
                   PktcEventClassEntry
     SYNTAX
     MAX-ACCESS not-accessible
     STATUS
                   current
     DESCRIPTION
```

"Each entry in this table specifies an event class, a grouping of events, as identified by the MTA manufacturer. Any event associated with an event class in this table MUST be specified in the pktcEventTable.

The MTA MUST create one entry (index=100) for the event class titled 'generic'. This event class MUST contain all the events that are not contained in any other vendor-specified event classes.

A management station SHOULD NOT associate an event with multiple event classes. However, if an event is associated with multiple event classes, the MTA MUST give precedence to the event class with the lowest index. Thus, at a given point in time, only one event class is applicable for an event.

The event table (pktcEventTable) provides the event class that affects the event. Whenever an event is generated, the MTA MUST verify the applicable event class entry to take any specified actions.

```
Entries in this table persist across resets and
             reboots."
    INDEX { pktcEventClassIndex }
    ::= { pktcEventClassTable 1 }
PktcEventClassEntry::= SEQUENCE {
    pktcEventClassIndex
                                  Unsigned32,
    pktcEventClassName
                                  SnmpAdminString,
    pktcEventClassStatus
    pktcEventClassStatus
pktcEventClassSeverity
                                  TruthValue,
                                  SyslogSeverityMask
pktcEventClassIndex OBJECT-TYPE
    SYNTAX Unsigned32 (1..100)
    MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "This MIB object is an index into the event
         class table. It is a locally meaningful
         value.'
    ::= { pktcEventClassEntry 1 }
pktcEventClassName OBJECT-TYPE
                SnmpAdminString (SIZE (1..100))
    SYNTAX
    MAX-ACCESS read-only
```

**STATUS** current **DESCRIPTION** "This MIB object contains the name of the event class. **Vendors MAY define different event classes** (e.g., DHCP, SNMP, DEBUG) to group together management events of a particular category.

Event class names need to take into consideration the SnmpAdminString definition requirements, such as the use of control code sequence CR LF to represent a newline."

::= { pktcEventClassEntry 2 }

pktcEventClassStatus OBJECT-TYPE TruthValue SYNTAX MAX-ACCESS read-write STATUS current DESCRIPTION

> "This MIB object indicates if events belonging to the corresponding event class are enabled or disabled, for event reporting.

Setting this object to a value of 'true' enables reporting of all the events in the event class.

When enabled, the means of reporting events is specified by the MIB object pktcEventReporting.

Setting this object to a value of 'false' disables any event reporting, irrespective of the value of the MIB object pktcEventReporting for a specific event.

The default value of this MIB object is vendorspecific. However, the vendor SHOULD enable all event categories defined by PacketCable or IPCablecom by default."

::= { pktcEventClassEntry 3 }

pktcEventClassSeverity OBJECT-TYPE SyslogSeverityMask SYNTAX MAX-ACCESS read-write **STATUS** current **DESCRIPTION** 

> "This MIB object defines the severity level of events belonging to a specific event class

that are enabled for event reporting.

This MIB object has no effect on the event reporting unless the MIB object pktcEventClassStatus is set to a value of 'true' (enabled), for the corresponding event class.

Setting a bit within the mask to a value of '1' implies that events corresponding to that severity level MUST be reported as defined by the corresponding value of 'pktcEventReporting' for events in the event class.

Setting a bit to a value of '0' implies that events corresponding to that level MUST NOT be reported, irrespective of the corresponding value of 'pktcEventReporting' for events in the event class.

It is recommended that the bits corresponding to emerg(0), alert(1), crit(2), and err(3) be set to a value of '1' to ensure reporting of events requiring immediate attention. REFERENCE

"The Syslog Protocol, [RFC5424]." ::= { pktcEventClassEntry 4 }

**Event throttling control** 

INTEGER {
unconstrained(1), SYNTAX maintainBelowThreshold(2), stopAtThreshold(3), inhibited(4)

MAX-ACCESS read-write **STATUS** current **DESCRIPTION** 

"This MIB object controls the throttling of the transmitted messages upon generation of an event (SNMP/syslog). It does not affect local logging of events.

A value of unconstrained(1) causes event messages

to be transmitted without regard to the threshold settings.

A value of maintainBelowThreshold(2) causes event messages to be suppressed if the number of transmissions would otherwise exceed the threshold specified by pktcEventThrottleThreshold over the interval specified by pktcEventThrottleInterval.

A value of stopAtThreshold(3) causes event message transmission to cease once the threshold specified by pktcEventThrottleThreshold (over the interval specified by pktcEventThrottleInterval) is reached. Event generation is resumed when the value of this MIB object is modified by a management station or when the device resets or reboots.

A value of inhibited(4) causes all event message transmissions to be suppressed.

An event causing both an SNMP and a syslog message is still treated as a single event.

Refer to MIB objects pktcEventThrottleThreshold and pktcEventThrottleInterval for information on throttling."

DEFVAL { unconstrained } ::= { pktcEventThrottle 1 }

pktcEventThrottleThreshold OBJECT-TYPE Unsigned32(0..1024) SYNTAX

MAX-ACCESS read-write **STATUS** current

**DESCRIPTION** 

"This MIB object contains the number of events per pktcEventThrottleInterval to be transmitted before throttling.

An event resulting in multiple actions (e.g., SNMP) and syslog) is still treated as a single event."

DEFVAL { 2 } ::= { pktcEventThrottle 2 }

pktcEventThrottleInterval OBJECT-TYPE SYNTAX Unsigned32(0..604800) "seconds" UNITS MAX-ACCESS read-write

```
STATUS
                   current
     DESCRIPTION
               "This MIB object contains the interval over which
                the throttle threshold applies."
     DEFVAL { 1 }
     ::= { pktcEventThrottle 3 }
-- Reporting of transmission status
pktcEventTransmissionStatus OBJECT-TYPE
                    BITS {
     SYNTAX
                    syslogThrottled(0),
                    snmpThrottled(1),
                    validsyslogServerAbsent(2),
                    validSnmpManagerAbsent(3),
                     syslogTransmitError(4),
                    snmpTransmitError(5)
                    read-only
     MAX-ACCESS
     STATUS
                    current
     DESCRIPTION
               "This MIB object reflects the status of the event
                transmissions using syslog, SNMP, or both.
               If a bit corresponding to a state is set to a value
               of:
                     '1', it indicates that the state is true '0', it indicates that the state is false
               If the MTA is not configured with a syslog server
               or an SNMP Manager, the corresponding 'throttling' and 'transmit error' bits MUST be set to a value of '0'. For example, if an SNMP Manager is not configured on the MTA, the bit corresponding to validSnmpManagerAbsent(3) is set to a value of '1',
               and the values of the bits corresponding to
               snmpThrottled(1) and snmpTransmitError(5) are set
               to a value of '0'.
               'Event throttling' is based on thresholds and the current setting of the MIB object
               pktcEventThrottleAdminStatus.
                'Server/Manager' indicators are based on the
               availability of valid syslog server/SNMP Managers.
```

Transmit errors are reported when detected. If an MTA cannot detect an error situation, the value of the BIT will be set '0'.

It is to be noted that not all the conditions that are indicated by this MIB object are detectable by all devices, and when detected may not be accurate. It is meant to provide a report of the status as determined by the device during event transmissions.

::= { pktcEventStatus 1 } -- Description of events pktcEventTable OBJECT-TYPE **SEQUENCE OF PktcEventEntry** SYNTAX MAX-ACCESS not-accessible **STATUS** current **DESCRIPTION** "This MIB table contains all possible management events that can be generated by the device. This includes PacketCable- and IPCablecom-defined events and vendor-specific events." ::= { pktcEvents 1 } pktcEventEntry OBJECT-TYPE SYNTAX **PktcEventEntry** MAX-ACCESS not-accessible **STATUS** current **DESCRIPTION** "An entry in this table is created for each event the MTA implementing this MIB is capable of reporting. Entries in this table are persisted across resets and reboots." INDEX { pktcEventOrganization, pktcEventIdentifier } ::= { pktcEventTable 1 } PktcEventEntry::= SEQUENCE { pktcEventOrganization Unsigned32, Unsigned32, pktcEventIdentifier pktcEventFacility SyslogFacility, pktcEventSeverityLevel SyslogSeverity, BITS, pktcEventReporting SnmpAdminString, pktcEventText **SnmpAdminString** pktcEventClass

```
pktcEventOrganization OBJECT-TYPE
                 Unsigned32(1..4294967295)
    SYNTAX
    MAX-ACCESS
                 not-accessible
    STATUS
                 current
    DESCRIPTION
             "This MIB object provides the IANA enterprise number of
             the organization defining the event. Thus, all PacketCable- or IPCablecom-defined events will contain
             the PacketCable or IPCablecom IANA enterprise
             number, and all vendor-specific events will contain
             the IANA enterprise number of the defining
             organization."
    REFERENCE
             "IANA Private Enterprise Number assignment,
             [IANA-ENTERPRISE].
    ::= { pktcEventEntry 1 }
pktcEventIdentifier OBJECT-TYPE
                 Unsigned32(1..4294967295)
    SYNTAX
                 not-accessible
    MAX-ACCESS
    STATUS
                 current
    DESCRIPTION
             "This MIB object contains the event identifier for the
             corresponding event."
    REFERENCE
             "PacketCable Management Event Mechanism Specification,
             [PKT-SP-MEM1.5];
PacketCable MTA Device Provisioning Specification,
             [PKT-SP-PROV]."
    ::= { pktcEventEntry 2 }
pktcEventFacility OBJECT-TYPE
    SYNTAX
                 SyslogFacility
    MAX-ACCESS
                 read-only
    STATUS
                 current
    DESCRIPTION
             "This MIB object contains the facility
             for the event.
             For PacketCable, IPCablecom, or ETSI events, this MUST be set to a value of local0(16)."
    REFERENCE
             "The Syslog Protocol, [RFC5424];
              Textual Conventions for Syslog Management,
              [RFC5427]."
    ::= { pktcEventEntry 3 }
pktcEventSeverityLevel OBJECT-TYPE
    SYNTAX
                 SyslogSeverity
```

```
MAX-ACCESS read-write
    STATUS
                 current
    DESCRIPTION
             "This MIB object contains the severity level that
             is applicable to the specified event.
    REFERENCE
             "The Syslog Protocol, [RFC5424];
Textual Conventions for Syslog Management,
              [RFC5427]."
    ::= { pktcEventEntry 4 }
pktcEventReporting OBJECT-TYPE
    SYNTAX
                 BITS {
                 local(0)
                 syslog(1)
                 snmpTrap(2)
                  snmpInform(3)
    MAX-ACCESS
                 read-write
    STATUS
                 current
    DESCRIPTION
             "This MIB object defines the action to be taken on
```

occurrence of this event. Bit local(0) refers to local logging of events; bit sylog(1) refers to the transmission of events using syslog; bit snmpTrap(2) refers to the transmission of events using SNMP Traps (SNMPv2-Trap-PDU); and bit snmpInform(3) refers to the transmission of events using SNMP INFORMs.

Setting a bit to a value of '1' indicates that the corresponding action will be taken upon occurrence of this event. If none of the bits are set, then no action is taken upon occurrence of the event. The success of transmission using syslog and SNMP depends on the MTA configuration. For example, a valid syslog server address is required for syslog message transmission.

Specification of a management event does not necessarily include the actions to be taken upon its generation, i.e., it does not need to specify if a generated event needs to be transmitted via SNMP or syslog, or stored locally. Thus, certain default values are specified, based on the event's severity level specified by the MIB object pktcEventSeverityLevel, as follows: - If the severity level of an event is emerg(0), alert(1), crit(2), or err(3), set the bits for local(0), syslog(1), and snmpInform(3) to a value of '1' and set the remaining bits to a value of '0'.

```
- For an event with any other severity level, set
                the bits for local(0) and syslog(1) to a value
                of '1' and set the rest of the bits to a value of '0'."
    ::= { pktcEventEntry 5 }
pktcEventText OBJECT-TYPE
                SnmpAdminString (SIZE (0..127))
    SYNTAX
    MAX-ACCESS
                read-write
    STATUS
                current
    DESCRIPTION
            "This MIB object provides a human-readable
            description of the event. Descriptions need
            to take into consideration the SnmpAdminString
            definition requirements such as the use of
            control code sequence CR LF to represent a
            newline.
    ::= { pktcEventEntry 6 }
pktcEventClass OBJECT-TYPE
                SnmpAdminString (SIZE (0..100))
    SYNTAX
    MAX-ACCESS
                read-only
    STATUS
                current
    DESCRIPTION
            "This MIB object represents the event class
             that affects the event. If an event is associated
             with only one event class, then its name (pktcEventClassName) is reported. If an event
             is associated with more than one event class,
             then the name of the event class with the
             lowest index in the event class table
             (pktcEventClassTable) is reported.
             See the MIB table pktcEventClassTable
             for a description of event classes and usage.
             Descriptions need to take into consideration the
             SnmpAdminString definition requirements, such as
             the use of control code sequence CR LF to
             represent a newline."
    ::= { pktcEventEntry 7 }
-- Log of generated events
pktcEventLogTable OBJECT-TYPE
                SEQUENCE OF PktcEventLogEntry
    SYNTAX
```

```
MAX-ACCESS not-accessible
    STATUS
                 current
    DESCRIPTION
             "This MIB table contains a log of the events
             generated by the MTA.
             A description of all the events that can be generated by the device can be obtained from the
             MIB table pktcEventTable.
             An MTA is not required to persist the contents of this
             table across resets."
    ::= { pktcEventLog 1 }
pktcEventLogEntry OBJECT-TYPE
    SYNTAX
                 PktcEventLogEntry
    MAX-ACCESS not-accessible
    STATUS
                 current
    DESCRIPTION
             "Each entry in this table describes an event that
             has occurred, indexed in the chronological order of generation. The details of the event are borrowed
             from the parameters associated with the corresponding
             event entry in pktcEventTable at the
             time of the event generation.
             While all entries created as such can be cleared using
             the MIB object pktcEventReset, the event entries
             themselves cannot be individually deleted.
    INDEX { pktcEventLogIndex }
    ::= { pktcEventLogTable 1 }
PktcEventLogEntry ::= SEQUENCE {
    pktcEventLogIndex
                                     Unsianed32.
    pktcEventLogTime
                                     DateAndTime,
    pktcEventLogOrganization
                                     Unsigned32,
    pktcEventLogIdentifier
                                     Unsigned32,
                                     SnmpAdminString,
    pktcEventLogText
    pktcEventLogEndpointName
                                     SnmpAdminString,
                                     BITS,
    pktcEventLogType
    pktcEventLogTargetInfo
                                     SnmpAdminString,
    pktcEventLogCorrelationId
                                     Unsigned32,
    pktcEventLogAdditionalInfo
                                     SnmpAdminString
pktcEventLogIndex OBJECT-TYPE
                 Unsigned32(1..4294967295)
    SYNTAX
    MAX-ACCESS not-accessible
```

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```
STATUS
                current
    DESCRIPTION
            "This MIB object provides relative ordering of the
             objects in the event log.
             If the MTA implements non-volatile storage,
             then this object will always increase except when
             the MIB object reaches a value of 2^32-1.
             If the MTA does not implement non-volatile storage,
             then this object will always increase except when
             the MIB object reaches a value of 2^32-1 or the MTA
             is reset.
             When the value reaches 2^32-1, or an MTA that does
             not implement non-volatile storage is reset,
             newer events will be stored starting with an index
             value of '1' (cyclic rotation)."
    ::= { pktcEventLogEntry 1 }
pktcEventLogTime OBJECT-TYPE
    SYNTAX
                 DateAndTime
    MAX-ACCESS read-only
    STATUS
                 current
    DESCRIPTION
            "This MIB object provides a human-readable description
            of the date and time at which the event occurred.
            The value of the date and time contained in this MIB object SHOULD reflect the date and time used in the
            syslog message resulting from the associated event,
            if such a syslog message was transmitted."
    ::= { pktcEventLogEntry 2 }
pktcEventLogOrganization OBJECT-TYPE
    SYNTAX
                Unsigned32(1..4294967295)
    MAX-ACCESS
                read-only
    STATUS
                current
    DESCRIPTION
            "This MIB object provides the IANA enterprise number of
            the organization defining the event. Thus, all
            PacketCable- or IPCablecom-defined events will contain
```

pktcEventLogIdentifier OBJECT-TYPE SYNTAX Unsigned32

enterprise number of the defining organization.'
::= { pktcEventLogEntry 3 }

the CableLabs or IPCablecom IANA enterprise number, and

all vendor-specific events will contain the IANA

```
MAX-ACCESS
                read-only
    STATUS
                current
    DESCRIPTION
            "This MIB object contains the event identifier for the
            corresponding event."
    ::= { pktcEventLogEntry 4 }
pktcEventLogText OBJECT-TYPE
                SnmpAdminString (SIZE (0..127))
    SYNTAX
    MAX-ACCESS
                read-only
    STATUS
                current
  DESCRIPTION
            "This MIB object contains the contents of
            the MIB object pktcEventText, corresponding
            to the event, at the moment of generation.
    ::= { pktcEventLogEntry 5 }
pktcEventLogEndpointName OBJECT-TYPE
                SnmpAdminString (SIZE (0..255))
    SYNTAX
    MAX-ACCESS
                read-only
    STATUS
                current
    DESCRIPTION
            "This MIB object contains the unique identifier of the
             MTA endpoint that generated the corresponding event.
             If the generated event was not associated with
             any specific endpoint on the MTA, then this MIB object
             contains the MTA identifier.
             An MTA endpoint can be uniquely identified using a
             combination of the MTA identifier and the endpoint
                      The MTA is identified via its Fully-Qualified
             Domain Name (FQDN) and the associated IP address at
             the given point in time.
             The format of the value contained by this MIB object
             is as follows:
             aaln/n:<FQDN>/<IP>, when it identifies an endpoint,
                                 'n' being the endpoint number;
             <FQDN>/<IP>, when it identifies an MTA.
             The value contained by this MIB object needs to observe
             the SnmpAdminString definition requirements."
    ::= { pktcEventLogEntry 6 }
pktcEventLogType OBJECT-TYPE
                BITS {
    SYNTAX
```

```
local(0),
                  syslog(1),
                  snmpTrap(2)
                  snmpInform(3)
    MAX-ACCESS
                 read-only
    STATUS
                 current
    DESCRIPTION
             "This MIB object contains the type of actions taken by
              the MTA when the event indicated by the MIB object
              pktcEventLogIdentifier occurred.
              A bit with a value of '1' indicates the corresponding
              action was taken. Setting it to a value of '0^{	ext{	iny 1}}
              indicates that the corresponding action was not taken.
              An event may trigger one or more actions (e.g., syslog
              and SNMP) or result only in a local log. An action may also be prevented due to throttling, in which case it is
    not reported by this MIB object."
::= { pktcEventLogEntry 7 }
pktcEventLogTargetInfo OBJECT-TYPE
                  SnmpAdminString (SIZE (0..255))
    SYNTAX
    MAX-ACCESS
                 read-only
    STATUS
                 current
    DESCRIPTION
             "This MIB object contains a comma-separated list of the
             actions taken for external notifications, along with the target IP address for the generated events. Locally
             stored events MUST NOT be recorded in this MIB object.
             The syntax is as:
             <action-1/IP>,<action-2/IP>,<action-3/IP>
             Where <action-n/IP> is to be denoted as follows:
               For syslog events:
                       syslog/<IP address of the syslog server>
               For SNMP traps:
                       snmpTrap/<IP address of the SNMP server>
               For SNMP INFORMS:
                       snmpInform/<IP address of the SNMP server>
              If there are multiple targets for the same type (SNMP)
              traps sent to multiple IP addresses) or if there are
              multiple message types sent to the same IP (syslog and
              SNMP sent to the same IP address), they need to be
              reported individually.
```

It is to be noted that this MIB object may not be able to store all the data in some cases (e.g., multiple IPv6 addresses), in which case some actions may not be reported. In such cases, the MTA MUST present a value of '...' at the end of the value.

Values contained by this MIB object need to observe the SnmpAdminString definition requirements."

::= { pktcEventLogEntry 8 }

### pktcEventLogCorrelationId OBJECT-TYPE

Unsigned32 **SYNTAX** MAX-ACCESS read-only **STATUS** current **DESCRIPTION** 

> "This MIB object contains the correlation ID generated by the MTA during the initiation of the last provisioning flow, within or following which the event occurred.

Although a correlation ID once generated after MTA reset does not change until next MTA reset, the value of this object will differ for the events preserved across MTA resets in case of a persistent pktcEventLogTable.

For more information on the generation of correlation IDs, refer to the corresponding PacketCable/IPCablecom Device Provisioning specifications.

#### REFERENCE

"PacketCable MTA Device Provisioning Specification, [PKT-SP-PROV]."

::= { pktcEventLogEntry 9 }

#### pktcEventLogAdditionalInfo OBJECT-TYPE

SnmpAdminString (SIZE (0..255)) SYNTAX

MAX-ACCESS read-only STATUS current

**DESCRIPTION** 

"This MIB object contains additional information in relation to the corresponding event that an MTA might wish to report, such as parameterized data or debugging information. The format is vendor-specific.

If the MTA cannot provide any additional information for the particular event generated, it MUST populate this

```
MIB object with a zero-length OCTET-STRING. Vendors
            providing this information need to observe the
             SnmpAdminString definition requirements, such as the
            use of control code sequence CR LF for newline."
    ::= { pktcEventLogEntry 10 }
-- Notifications
pktcEventNotification NOTIFICATION-TYPE
    OBJECTS {
    pktcEventLogTime,
    pktcEventLogOrganization.
    pktcEventLogIdentifier,
    pktcEventLogEndpointName
    pktcEventLogCorrelationId,
    ifPhysAddress
    STATUS
                 current
    DESCRIPTION
             "This Notification MIB object contains the contents for
              event reporting.
             It contains the event log time, the organization ID, the event identifier, the endpoint identifier, the
              correlation ID, and the MTA's MAC address."
    ::= { pktcEventNotifications 1 }
-- Conformance/Compliance
pktcEventCompliances OBJECT IDENTIFIER ::=
                                        f pktcEventConformance
                       OBJECT IDENTIFIER ::=
pktcEventGroups
                                        { pktcEventConformance 2 }
pktcEventBasicCompliance MODULE-COMPLIANCE
    STATUS
                 current
    DESCRIPTION
             "The compliance statement for devices that implement
            the event-reporting feature."
    MODULE
             --pktcIetfEventMib
MANDATORY-GROUPS {
                  pktcEventGroup,
```

```
pktcEventNotificationGroup
       MODULE SNMP-TARGET-MIB
           MANDATORY-GROUPS {
                snmpTargetBasicGroup,
                snmpTargetResponseGroup
           }
       MODULE SNMP-NOTIFICATION-MIB
           MANDATORY-GROUPS {
                 snmpNotifyGroup,
                 snmpNotifyFilterGroup
    ::= { pktcEventCompliances 3 }
pktcEventGroup OBJECT-GROUP
    OBJECTS {
             pktcEventReset,
             pktcEventSyslogCapabilities.
             pktcEventSyslogAddressType,
             pktcEventSyslogAddress,
             pktcEventSyslogTransport,
             pktcEventSyslogPort,
             pktcEventSyslogMessageFormat,
             pktcEventThrottleAdminStatus,
             pktcEventThrottleThreshold,
             pktcEventThrottleInterval,
             pktcEventTransmissionStatus,
            pktcEventFacility,
pktcEventSeverityLevel,
             pktcEventReporting,
            pktcEventText,
pktcEventLogTime,
             pktcEventLogOrganization,
             pktcEventLogIdentifier,
             pktcEventLogText,
             pktcEventLogEndpointName,
             pktcEventLogType,
             pktcEventLogTargetInfo,
             pktcEventLogCorrelationId,
             pktcEventLogAdditionalInfo,
             pktcEventClass.
             pktcEventClassName,
             pktcEventClassStatus
             pktcEventClassSeverity
         }
```

```
current
    STATUS
    DESCRIPTION
            "Group of MIB objects for PacketCable Management Event
    ::= { pktcEventGroups 1 }
pktcEventNotificationGroup NOTIFICATION-GROUP
    NOTIFICATIONS { pktcEventNotification }
    STATUS
                current
    DESCRIPTION
            "Group of MIB objects for notifications related to
            change in status of the MTA Device."
    ::= { pktcEventGroups 2 }
END
```

#### IANA Considerations 7.

The MIB module in this document uses the following IANA-assigned OBJECT IDENTIFIER values recorded in the SMI Numbers registry:

```
OBJECT IDENTIFIER Value
Descriptor
                    { mib-2 182 }
pktcIetfEventMib
```

# 8. Security Considerations

There are a number of management objects defined in this MIB module with a MAX-ACCESS clause of read-write. Such objects may be considered sensitive or vulnerable in some network environments. support for SET operations in a non-secure environment without proper protection can have a negative effect on network operations. Security threats include events unreported on errors, redirection of events (deliberately or otherwise) or minimized reporting of errors. Such threats can mask certain misconfiguration attempts and denial of service attacks that can be recognized and thwarted via event reporting.

MIB objects of significance include:

- those that control the event generation, the target syslog address for events and the reporting status, i.e.: pktcEventReset pktcEventSyslogAddressType pktcEventSyslogAddress pktcEventSyslogPort pktcEventSyslogMessageFormat pktcEventSyslogTransport pktcEventClassStatus
- those related to event classes, i.e.: pktcEventClassSeverity
- those related to throttling, i.e.: pktcEventThrottleAdminStatus pktcEventThrottleThreshold pktcEventThrottleInterval
- those related to the event reporting capabilities of an MTA, i.e: pktcEventSeverityLevel pktcEventReporting pktcEventText

The MIB object pktcEventReset deserves special mention since access to this MIB object can be used to disrupt event collection by management stations. For example, consider a management station that modifies the descriptions in the event table pktcEventTable. It would then expect management events generated by the MTA to reflect the modified values. A rogue management station that has access to the pktcEventReset can reset the event table, resulting in the management station not receiving events with the expected descriptions. Further, a rogue management station with access to pktcEventReset can also clear local logs, eliminating local logs of generated events for management stations that are not configured to receive syslog or SNMP messages. The same concerns apply when allowed management stations performing such operations are unaware of other management stations that may be reliant on the event table or the event log table for management or monitoring. This MIB module does not address such multi-manager contentions, and recommends that the MIB object pktcEventReset be used with caution.

Some of the readable objects in this MIB module (i.e., objects with a MAX-ACCESS other than not-accessible) may be considered sensitive or vulnerable in some network environments. It is thus important to control even GET and/or NOTIFY access to these objects and possibly to even encrypt the values of these objects when sending them over the network via SNMP. These are the tables and objects and their sensitivity/vulnerability:

pktcEventLogTable: This table contains the log of generated event messages. Read access to this table might reveal some specific information that should be kept confidential.

pktcEventTransmissionStatus: This MIB object reveals the status of event transmission and MAY be sensitive in some environments.

SNMP versions prior to SNMPv3 did not include adequate security. Even if the network itself is secure (for example by using IPsec), even then, there is no control as to who on the secure network is allowed to access and GET/SET (read/change/create/delete) the objects in this MIB module.

It is RECOMMENDED that implementers consider the security features as provided by the SNMPv3 framework (see [RFC3410], section 8), including full support for the SNMPv3 cryptographic mechanisms (for authentication and privacy).

Further, deployment of SNMP versions prior to SNMPv3 is NOT RECOMMENDED. Instead, it is RECOMMENDED to deploy SNMPv3 and to enable cryptographic security. It is then a customer/operator responsibility to ensure that the SNMP entity giving access to an instance of this MIB module, is properly configured to give access to the objects only to those principals (users) that have legitimate rights to perform GET or SET (change/create/delete) operations.

# 9. Acknowledgments

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