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## Survey of Proposed Use Cases for the IPv6 Flow Label

### Abstract

The IPv6 protocol includes a flow label in every packet header, but this field is not used in practice. This paper describes the flow label standard and discusses the implementation issues that it raises. It then describes various published proposals for using the flow label and shows that most of them are inconsistent with the standard. Methods to address this problem are briefly reviewed. We also question whether the standard should be revised.

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## Table of Contents

1. Introduction . . . . .	2
1.1. A Brief History of the Flow Label . . . . .	2
1.2. The Flow Label and Quality of Service . . . . .	3
2. Flow Label Definition and Issues . . . . .	4
2.1. Flow Label Properties . . . . .	4
2.2. Dependency Prohibition . . . . .	5
2.3. Other Issues . . . . .	5
3. Documented Proposals for the Flow Label . . . . .	6
3.1. Specify the Flow Label as a Pseudo-Random Value . . . . .	7
3.1.1. End-to-End QoS Provisioning . . . . .	7
3.1.2. Load-Balancing . . . . .	8
3.1.3. Security Nonce . . . . .	8
3.2. Specify QoS Parameters in the Flow Label . . . . .	8
3.3. Use Flow Label Hop-by-Hop to Control Switching . . . . .	9
3.4. Diffserv Use of IPv6 Flow Label . . . . .	12
3.5. Other Uses . . . . .	12
4. Conclusion . . . . .	13
5. Security Considerations . . . . .	14
6. Acknowledgements . . . . .	14
7. Informative References . . . . .	14

## 1. Introduction

IPv6 is being introduced to overcome the address shortage of the current IPv4 protocol, but it also offers a new feature, i.e., the Flow Label field in the IPv6 packet header. The flow label is not encrypted by IPsec and is present in all fragments. However, it is used very little in practice, for reasons discussed below and in [Amante11]. After a short introduction, this document summarizes the current specification of the IPv6 flow label and some open issues about its use in Section 2. Section 3 describes and analyzes various proposals that have been made for its use. Finally, Section 4 discusses the implications and attempts to draw conclusions.

The Flow Label field occupies bits 12 through 31 of the IPv6 packet header. It provides a potential way to mark a packet, identify a flow, and look up the corresponding flow state. This field is always present in an IPv6 header, so a phrase such as "a packet with no flow label" refers to a packet whose Flow Label field contains 20 zero bits, i.e., a flow label whose value is zero.

## 1.1. A Brief History of the Flow Label

The original proposal for a flow label has been attributed to Dave Clark [Deering93], who proposed that it should contain a pseudo-random value. A Flow Label field was included in the packet header

during the preliminary design of IPv6, which followed an intense period of debate about several competing proposals. The final choice was made in 1994 [RFC1752]. In particular, the IETF rejected a proposal known as the Common Architecture for Next Generation Internet Protocol (CATNIP) [RFC1707], which included so-called 'cache handles' to identify the next hop in high-performance routers. Thus, CATNIP introduced the notion of a header field that would be shared by all packets belonging to a flow, to control packet forwarding on a hop-by-hop basis. We recognize this today as a precursor of the MPLS label [RFC3031].

The IETF decided instead to develop a proposal known as the Simple Internet Protocol plus (SIPP) [RFC1710] into IP version 6. SIPP included "labeling of packets belonging to particular traffic 'flows' for which the sender requests special handling, such as non-default quality of service or 'real-time' service" [RFC1710]. In 1994, this used a 28-bit Flow Label field. In 1995, it was down to 24 bits [RFC1883], and it was finally reduced to 20 bits [RFC2460] to accommodate the IPv6 Traffic Class, which is fully compatible with the IPv4 Type of Service byte.

There was considerable debate in the IETF about the very purpose of the flow label. Was it to be a handle for fast switching, as in CATNIP, or was it to be meaningful to applications and used to specify quality of service? Must it be set by the sending host, or could it be set by routers? Could it be modified en route, or must it be delivered with no change?

Because of these uncertainties, and more urgent work, the flow label was consistently ignored by implementors, and today is set to zero in almost every IPv6 packet. In fact, [RFC2460] defined it as "experimental and subject to change". There was considerable preliminary work, such as [Metzler00], [Conta01a], [Conta01b], and [Hagino01]. The ensuing proposed standard "IPv6 Flow Label Specification" (RFC 3697) [RFC3697] intended to clarify this situation by providing precise boundary conditions for use of the flow label. However, this has not proved successful in promoting use of the flow label in practice, as a result of which 20 bits are unused in every IPv6 packet header.

## 1.2. The Flow Label and Quality of Service

Developments in high-speed switch design, and the success of MPLS, have largely obviated consideration of the flow label for high-speed switching. Thus, although various use cases for the flow label have been proposed, most of them assume that it should be used principally to support the provision of quality of service (QoS). For many years, it has been recognized that real-time Internet traffic

requires a different QoS from general data traffic, and this remains true in the era of network neutrality. Thus, an alternative to uniform best-effort service is needed, requiring packets to be classified as belonging to a particular class of service or flow. Currently, this leads to a layer violation problem, since a 5-tuple is often used to classify each packet. The 5-tuple includes source and destination addresses, port numbers, and the transport protocol type, so when we want to forward or process packets, we need to extract information from the layer above IP. This may be impossible when packets are encrypted such that port numbers are hidden, or when packets are fragmented, so the layer violation is not an academic concern. The flow label, being exempt from IPsec encryption and being replicated in packet fragments, avoids this difficulty. It has therefore attracted attention from the designers of new approaches to QoS.

## 2. Flow Label Definition and Issues

### 2.1. Flow Label Properties

RFC 3697 [RFC3697] standardizes properties of the flow label, including the following:

- o If the packets are not part of any flow, the flow label value is zero.
- o The 3-tuple {source address, destination address, flow label} uniquely identifies which packets belong to which particular flow.
- o Packets can receive flow-specific treatment if the node has been set up with flow-specific state.
- o The flow label set by the source node must be delivered to the destination node; i.e., it is an end-to-end label.
- o The same pair of source and destination addresses must not use the same flow label value again within a timeout of at least 120 seconds.

One effect of the second of these rules is to avoid the layer violation problem mentioned in Section 1. By using the 3-tuple, we only use the IP layer to classify packets, without needing any transport-layer information. This may reduce the lookup time if flow-based treatment is required and will work even with IPsec encryption and fragmentation. Therefore, for traffic needing other than best-effort service, such as real-time applications, the flow label can be set to different values to represent different flows, and each node forwarding or receiving the packets may provide

different flow-specific treatments by looking at the flow label value. This is more fine-grained than differentiated services (Diffserv) [Carpenter02] [RFC2474] but need not be less efficient.

## 2.2. Dependency Prohibition

An additional important rule in the standard [RFC3697] effectively forbids any encoding of meaning in the bits of the flow label. To be exact, the standard states that "IPv6 nodes **MUST NOT** assume any mathematical or other properties of the flow label values assigned by source nodes". This rule is aimed at the case where a packet from a source using a particular encoding scheme for the flow label reaches a node that is using a different scheme. If, by chance, the bit pattern in the flow label is meaningful in both schemes, the receiver would misinterpret the flow label. Therefore, in the absence of other information, the receiver must not assume anything about the meaning of the value of the flow label.

The standard [RFC3697] also states that "Router performance **SHOULD NOT** be dependent on the distribution of the flow label values. Especially, the flow label bits alone make poor material for a hash key". The problem this rule is intended to avoid is that if a source uses one method of choosing flow labels (e.g., counting up from 1), any router that assumes another method (e.g., pseudo-randomness) may not perform as intended.

Note that there is no easy escape from the combination of these two prohibitions, which we will call the dependency prohibition. Unlike Diffserv code points, flow labels are not locally significant within a single administrative domain; they must be preserved end-to-end. In general, a router cannot know whether a particular packet originated in a host supporting a specific usage of the flow label. Therefore, any method that breaks one or both of these rules will only work if there is some way for a router to determine which sources use the same scheme as itself.

The interpretation of the dependency rule can be subtle and is not spelled out in [RFC3697]. A node must not assume properties of the flow label -- but it may know them by construction or by signaling. The bits of the flow label alone are poor material for a hash key -- but they may be combined with bits from other sources, to provide uniformly distributed hash outputs.

## 2.3. Other Issues

[RFC3697] does not discuss how to use the flow label most effectively. This remains the major open issue, but some authors propose that the label should be used with reserved bandwidth to

achieve customized QoS provision. Coupled with admission control at the edge router, this could limit congestion. However, as we will see below, this is not the only proposed use.

We now introduce some other open issues.

- o Unknown flow labels: [RFC1809] proposed that when a router receives a datagram with an unknown flow label, it should treat it as zero. However, the standard [RFC3697] is silent on this issue. Indeed, some methods of flow state establishment might choose to use an unknown label as the trigger for creating flow state.
- o Deleting old flow labels: When a flow finishes, how does the router know the flow label has expired? Should this be based on a timeout, on observation of the transport layer, or on explicit signaling? [RFC3697] defines a timeout (120 seconds) that effectively imposes a maximum lifetime on flow label state in a router. This implies that flow labeling is inappropriate for very intermittent flows, unless there is some mechanism to refresh router state. In contrast, [Banerjee02] suggested that a router should send an ICMP message to the source prior to deleting a particular label. The source node may then send a KEEPALIVE message to the router; if it does not, the router will release that label.
- o Choosing when to set the flow label: For what kinds of applications should we set up non-zero flow labels? [RFC1809] suggested not setting it for short flows containing few bytes but using it for long TCP connections and some real-time applications.
- o Can we modify the flow label? [RFC3697] states that the flow label must be delivered unchanged. There are several advantages of immutable flow labels, apart from respecting the standard: the rule is easy to understand, does not require extra processing in routers or a signaling protocol, and allows for very simple host implementations. Also, it is straightforward for hosts and routers to simply ignore the flow label. However, this rule does appear to exclude any MPLS-like or CATNIP-like use for optimized packet switching. Some of the proposed mechanisms described below contradict this by suggesting that switches should change the flow label for routing purposes.

### 3. Documented Proposals for the Flow Label

In the following, we do not intend to recommend or criticize various proposals. This section shows the variety of proposals that have been published, and whether they are compatible with the existing standard. These proposals almost all assume that the flow label's

main purpose is to support QoS, and their flow label mechanisms are entangled with QoS mechanisms. We describe the proposals in five broad, and somewhat overlapping, categories, i.e.,

1. using pseudo-random flow label values for various purposes (for example, to improve routing performance when retrieving cached routing state);
2. defining specific QoS requirements as parameters embedded in the flow label field;
3. using the flow label to control packet switching;
4. using the flow label specifically to extend the existing differentiated services QoS architecture;
5. other uses.

Among the proposals described in the following five sections, various methods are proposed to set up the flow label value. It should be noted that some of these proposals embody novel and perhaps controversial approaches to QoS provision, and these cannot readily be separated from their use of the flow label. We give a reasonable amount of technical detail for some of the proposals, to show the extent to which they propose detailed semantics for the flow label value.

### 3.1. Specify the Flow Label as a Pseudo-Random Value

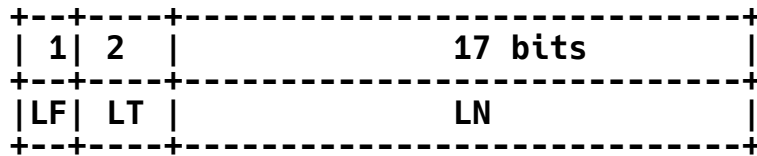
#### 3.1.1. End-to-End QoS Provisioning

As our first example, [Lin06] specifies a 17-bit pseudo-random value. The figure below shows the proposed flow label structure.

- o The Label Flag (LF) bit: 1 means this type of flow label is present. We note that this encoding is incompatible with the dependency prohibition in [RFC3697], since a source that does not use this method may also set the LF bit.
- o The Label Type (LT): 2 bits; describes the type of packet.
- o The Label Number (LN): randomly generated by the source node.

[Lin06] also describes a signaling process between source, routing, and destination nodes based on this label structure and on the IPv6 Traffic Class byte, in order to reserve and release router resources for a given flow within a given class of traffic. The pseudo-random LN value is used to uniquely identify a given flow.

## Flow Label Specification (figure simplified from [Lin06])



LF    0    Disable  
       1    Enable  
 LT    00   Flow label requested by source  
       01   Flow label returned by destination  
       10   Flow label for data delivery  
       11   Flow label terminates connection  
 LN       Random number created by source

## 3.1.2. Load-Balancing

There have been numerous informal discussions of using pseudo-random flow labels to allow load-balancing or at least load-sharing. This would be achieved by including the flow label value among the fields in each packet header used as input to a modulo(N) hash used to select among N alternative paths. However, concerns about the interpretation of the dependency prohibition have generally prevented such proposals from being written up until recently [Carpenter11].

## 3.1.3. Security Nonce

Another proposal for a pseudo-random flow label value is [Blake09]. This states that off-path spoofing attacks have become a big issue for TCP and other transport-layer applications, and proposes that in IPv6 we should set a random value in the flow label to make the packet header more complex and less easy for the attacker to guess. The two ends of the session will agree on flow label values during the SYN/ACK exchange, but off-path attackers will be unlikely to guess the agreed value. Naturally, on-path attackers who can observe the flow labels in use can trivially defeat this protection. This proposal does not involve using the flow label value to retrieve routing state.

## 3.2. Specify QoS Parameters in the Flow Label

[Prakash04] proposes to utilize the flow label to indicate required QoS parameters in detail. It uses the first few bits of the Flow Label field as codes to support different approaches, as summarized in the following table. Again, this is incompatible with the dependency prohibition in [RFC3697], since a source that does not use this method may also set the first two bits to non-zero.



### Classification for various approaches (from [Prakash04])

Bit Pattern	Approach
00	No QoS requirement (Default QoS value)
01	Pseudo-Random value used for the value of Flow-Label
10	Support for Direct Parametric Representation
1100	Support for the DiffServ Model
1101	Reserved for future use
111	Reserved for future use

This method allows a pseudo-random option but also adds options for a direct QoS request and for Diffserv. In the direct QoS parameters approach, 18 bits are used to encode requirements for one-way delay, IP delay variation, bandwidth, and one-way packet loss. The proposal appears to assume that the Resource Reservation Protocol (RSVP) [RFC2205] mechanisms are used to actually implement these QoS parameters.

This proposal allows the use of the flow label for various important QoS models, so the end user and service provider can choose the most suitable model for their situation; [Prakash04] claims that "The proposed approach results in a simple, scalable, modular and generic implementation to provide for QoS using the IPv6 flow label field".

Similarly, [Lee04] defines the Flow Label field in five parts, with the first 3 bits used as an approach type. The authors define two approaches: a "random" scheme and a "hybrid" scheme. If the first 3 bits equal "001", the flow label will be used as the random identifier of the flow, but if they equal "101", the remaining bits will include a hybrid QoS requirement for this packet, subdivided into traffic type (stringent or best-effort), bandwidth, buffer, and delay requirements. Once again, the dependency prohibition in [RFC3697] is broken. This proposal also includes throughput monitoring and dynamic capacity allocation. Effectively, this proposal uses the flow label both to signal Intserv-like QoS requirements and to classify traffic into Diffserv-like virtual label-switched paths. Packets with a "random" flow label are mapped into a generic (best-effort) virtual path.

### 3.3. Use Flow Label Hop-by-Hop to Control Switching

[Chakravorty08b] and [Chakravorty08a] describe an architectural framework called "IPv6 Label Switching Architecture" (6LSA). In 6LSA, network components identify a flow by looking at the Flow Label field in the IPv6 packet header; all packets with the same flow label must receive the same treatment and be sent to the same next hop. However, 6LSA resembles MPLS by considering that a label only has

meaning between 6LSA routers and setting the flow label at each hop. If the original source sets a non-zero flow label, there is no mechanism to restore it before delivery: a fundamental breach of [RFC3697]. The authors of [Chakravorty08b] did at one stage discuss using an IPv6 hop-by-hop option to correct this problem, but this has not been documented. This is a more serious incompatibility than simply breaking the dependency prohibition.

Unlike traditional routing algorithms, but like MPLS, 6LSA packets are classified into a Forwarding Equivalence Class (FEC), and routers forward packets on different paths by looking at the FEC. Like previous solutions, this solution divides the Flow Label field into three parts. The first 3 bits identify the FEC, which will help the router or 6LSA nodes to group the IP packets that receive the same forwarding treatment and forward them on the same virtual path. The following 4 bits describe the application type, and the final 13 bits (defined by each node or a group of nodes) specify the hop-specific label. From the table below, we can see the FEC has 6 major categories, each with up to 16 subcategories.

## Flow Label Specification (shortened from [Chakravorty08b])

FEC (First 3 Bits)	Next 4 Bits	Purpose
No FEC (000)	0000	
Domain Specific (000)	0001 - 1111	
-----		
VPN (001)	0001	(IPSec - Tunnel Mode)
	0010	(IPSec - Transport Mode)
	0011	(Special Encryption)
	0100	(VRF)
	0101	(End Network Specific)
	0110 - 1111	(Reserved)
-----		
TE Subset/ QoS Enhancement (010)	0001	(DiffServ)
	0010	(RSVP)
..		
	1111	(Reserved)
-----		
Encapsulation (011)	0001	(IPv6 in IPv6)
	0010	(IPv4 in IPv6)
	0011	(Other in IPv6)
	0100	(Enterprise Specific)
	0101 - 1111	(Reserved)
-----		
Enterprise Specific(111)	0000 - 1111	(Reserved)

The authors claim that fast switching using 20-bit labels instead of 128-bit IPv6 addresses will provide memory and processing savings, as well as network management advantages. "It also allows a network management entity updating available label tables, across the network to reduce man-in-the-middle attacks [sic]" [Chakravorty08b].

We note that a similar proposal for QoS-based switching of IPv6 packets [Roberts05] is designed to use a hop-by-hop option, which has not so far been allocated by the IETF. Proposals related to this have been discussed by the Telecommunications Industry Association and the ITU-T [Adams08].

We also note that router lookup efficiency was a major concern at the time when Clark first proposed a flow label [Deering93], but with the advent of very large scale integrated circuits capable of rapid lookup in a routing table, most vendors no longer express such concern.

### 3.4. Diffserv Use of IPv6 Flow Label

[Banerjee02] uses the Flow Label field as a replacement for the IPv6 Traffic Class field; this proposal suggests the incoming flow label can indicate the QoS requirement by matching a Diffserv classifier. The authors have used the first three bits to indicate this, and the following 16 bits to indicate a Differentiated Services Per-Hop Behavior Identification code (Diffserv PHB-ID) [RFC3140]; the last bit is reserved for future use. This method too breaks the dependency prohibition in [RFC3697].

[Beckman07a] blends the flow label as an MPLS-like switching tag with Diffserv. Unlike 6LSA, the method attempts to bypass the dependency prohibition by using one bit in the Diffserv Code Point [RFC2474] to indicate that the flow label is a switching tag. In this way, a router can determine whether the flow label conforms to [RFC3697] or to [Beckman07a]. In [Beckman07b], the same author proposes using the flow label as a way of compressing IPv6 headers by hashing the addresses into the flow label, again using the Diffserv Code Point to mark the packets accordingly.

### 3.5. Other Uses

The Integrated Services QoS architecture [RFC1633] specifies that the flow label may be used as a packet filter [RFC2205]. At least one implementation supported this [Braden10].

We are not aware of any proposals combining the flow label with the Next Steps in Signaling (NSIS) [RFC4080] architecture.

[Donley11] proposes a use case whereby certain flows encapsulated in a particular type of IPv4-in-IPv6 tunnel would be distinguished at the remote end of the tunnel by a specific flow label value. This would allow a service provider to deliver a tailored quality of service. This usage appears to be completely compatible with [RFC3697].

There has been some discussion of possible flow label use in both the ROLL (Routing Over Low power and Lossy networks) [RPL-07] and MEXT (Mobility EXTensions for IPv6) working groups of the IETF. Such uses tend to encode specific local meanings or routing-related tags in the label, so they appear to infringe the dependency prohibition or the immutability of the Flow Label field. The ROLL group has indeed most recently opted not to use the Flow Label field for these reasons, despite having to add the undesirable overhead of an IPv6 hop-by-hop option instead [RPL]. Similarly, MEXT has defined a new mobility option to support flow bindings [RFC6089] rather than using the IPv6 Flow Label field.

#### 4. Conclusion

Three aspects of the current standard [RFC3697] have caused problems for many designers:

1. The immutability of labels
2. "Nodes MUST NOT assume any mathematical or other properties of the Flow Label"
3. "Router performance SHOULD NOT be dependent on the distribution of the Flow Label values"

Taken together, these rules essentially forbid any encoding of the semantics of a flow, or of any information about its path, in the flow label. This was intentional, in accordance with the stateless nature of the Internet architecture and with the end-to-end principle [Saltzer84], [RFC3724]. It was also felt that QoS encoding via Diffserv was sufficient and that the requirement for high-speed switching could be met by MPLS. But this means that the majority of the proposals described above breach the standard and the intent of the standard. The authors often appear to be using the flow label either as an MPLS-like switching handle or as an encoded QoS signal.

In contrast, a few documents mentioned above do appear to respect the rules of RFC 3697. These are [Blake09], [Donley11], [Carpenter11], [Beckman07a], and [Beckman07b]. Additionally, [Lin06] would have joined this list if it had not assigned three flag bits in the Flow Label field. Although predating RFC 3697, the Integrated Services usage [RFC2205] also seems to be compatible.

What would other designers need to do, if they wish to respect RFC 3697? There appear to be two choices. One is to simply accept the existing rules at face value, as in the proposals just listed. This limits the application of the flow label. It can, for example, be used as a nonce or as part of the material for a hash used to share load among alternate paths. It cannot be the only material for such a hash, because of the dependency prohibition. The flow label could also be used consistently with RFC 3697, if an application designer so chose, as a way to associate all packets belonging to a given application session between two hosts, across multiple transport sessions. This, however, would presumably exclude using the flow label to govern routing decisions in any way, and would have widespread implications that have never been explored.

The other choice, for designers who wish to use the flow label to control switching or QoS directly, is to bypass the rules within a given domain (a set of cooperating nodes) in a way that nodes outside

the domain cannot detect. In this case, any deviation from RFC 3697 has no possible effect outside the domain in question.

An example scheme to emulate the immutability of labels is as follows. Within the domain, all hosts set the label to zero, the routers set and interpret the label in any way they wish, and the last-hop router always sets the label back to zero. If a packet arrives from outside the domain with a non-zero label, there is a method (such as a special Diffserv code point) to mark this packet so that its label would be ignored and delivered unchanged. An alternative approach would be to define a hop-by-hop option to carry the original flow label across the domain, so that it could be changed within the domain but restored before forwarding the packet beyond the domain.

If a domain allows mutable labels in such a way, it may safely ignore the dependency prohibition, and it may set the bits in the label according to locally defined rules. Within the domain, the label could be used as desired, and most of the proposed designs discussed above could be "rescued".

However, given the considerable number of designers who have proposed solutions incompatible with RFC 3697, the relatively few designs using the standard rules, and the failure of designs such as ROLL and MEXT to make use of the flow label, it seems reasonable to ask whether the RFC 3697 standard has value.

## 5. Security Considerations

The flow label is not protected in any way and can be forged by an on-path attacker. Off-path attackers may be able to guess a valid flow label unless a pseudo-random value is used. Specific usage models for the flow label need to allow for these exposures. For further discussion, see [RFC3697].

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