

1 Improving Transparency, Falsifiability, and Rigour by Making 2 Hypothesis Tests Machine Readable

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6 Abstract

Making scientific information machine-readable greatly facilitates its re-use. Many scientific articles have the goal to test a hypothesis, and making the tests of statistical predictions easier to find and access could be very beneficial. We propose an approach that can be used to make hypothesis tests machine readable. We believe there are two benefits to specifying a hypothesis test in a way that a computer can evaluate whether the statistical prediction is corroborated or not. First, hypothesis test will become more transparent, falsifiable, and rigorous. Second, scientists will benefit if information related
7 to hypothesis tests in scientific articles is easily findable and re-usable, for example when performing meta-analyses, during peer review, and when examining meta-scientific research questions. We examine what a machine readable hypothesis test should look like, and demonstrate the feasibility of machine readable hypothesis tests in a real-life example.

Keywords: hypothesis testing, machine readability, metadata, scholarly communication

Word count:

8 In many scientific fields researchers rely on hypothesis tests to determine whether
9 empirical observations corroborate predictions. In a well-specified hypothesis test, a theoretical hypothesis is used to derive predictions, which are operationalized when designing a
10 specific study, and translated into a testable statistical hypothesis. Data is collected, and
11 the statistical hypothesis is corroborated or not. Although this process sounds relatively
12 straightforward, hypothesis tests are performed rather poorly in practice. First, statistical
13

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hypotheses are stated verbally, but these verbal descriptions rarely sufficiently constrain flexibility in the data analysis. Second, there is a lack of transparency about which statistical tests in the results section are related to the predictions in the introduction section, and which pattern of results should be observed to conclude that a prediction is corroborated. Finally, researchers typically only implicitly specify what would lead them to act as if their prediction is confirmed (i.e., typically a p -value smaller than 0.05), and rarely specify what would lead them to act as if their prediction is falsified. By contrast, a well-specified hypothesis test states the statistical hypothesis for each prediction in a way that eliminates flexible implementations, clearly links predictions derived from the theoretical hypothesis to statistical tests, and gives unambiguous criteria to conclude the prediction is corroborated, falsified, or that the results are inconclusive.

We propose that the gold standard for well-specified hypothesis tests should be a statistical prediction that is machine readable. This means that a computer can evaluate whether a statistical prediction is corroborated (or not) based on clearly articulated evaluation criteria and the observed data. Computers do not handle ambiguity well, and making a hypothesis test machine readable guarantees that it is specified precisely. In this manuscript we demonstrate how hypothesis tests can be made machine readable. We believe that there are two broad arguments for a move to machine readable hypothesis tests. The first argument is that by specifying hypothesis tests in a format that can be read and evaluated by a machine, tests of predictions and the conclusions derived from these tests will become transparent, statistically falsifiable, and rigorous. This will help to alleviate poor practices in how scientists currently test hypotheses. The second argument is that the benefits of making data FAIR (findable, accessible, interoperable, and reusable) also apply to statistical predictions. If all aspects required to evaluate the test of a statistical prediction are machine-readable, we can easily reuse this information (e.g., when performing meta-analyses), and find and access this information (e.g., to answer meta-scientific questions about the proportion of statistical results in the scientific literature that corroborate the prediction).

Poor practices when testing predictions

As a concrete example of a typical hypothesis test in the published literature, DeBruine (2002) posited the theoretical prediction that people would exhibit higher levels of prosocial behavior towards those who physically resemble them, which follows from the idea that actions are influenced by an implicit evaluation of relatedness based on phenotypic similarity. Physical resemblance was manipulated by morphing face photographs with either the participant's own face (self morphs) or another person's face (other morphs). There were two versions of this manipulation: faces were morphed in shape only ($n = 11$) or in both shape and color ($n = 13$). Prosocial behavior was measured as the choice to trust or reciprocate trust in a monetary trust game where the first player could decide whether to trust the second player to split money and the second player, if trusted, could decide whether to reciprocate this trust by splitting the money equally or selfishly. The theoretical hypothesis was operationalized, and the operationalized prediction stated that people playing a trust game would trust and reciprocate more when playing with a person who was represented by

a self morph than by an other morph. The statistical prediction was tested by counting the number of trusting and reciprocating responses participants made to self and other morphs and then performing a t -test on these counts, separately analyzed for the shape morphs and the shape-colour morphs. The statistical results indicated that participants made more trust responses to self morphs than to other morphs for both morph types. However, there were no differences in how often they reciprocated their partners' trust. The conclusion drawn from this study was that these results show that facial resemblance can increase prosocial behaviour. It was noted that the fact that an effect was observed for the trust measure, but not for the reciprocation measure, could perhaps be explained by the different pay-off structures in the two-person bargaining game.

The first problem we can identify in this example is that it is not clear whether the operationalized prediction was confirmed if an effect was observed on both the trust measure and the reciprocation measure, or either of the two measures. From the conclusion the author draws we can infer that statistical prediction would be considered corroborated if the morphing manipulation had an effect on either the trust measure, or the reciprocation measure, or both. However, it is never clearly specified before the conclusion is reported which pattern of results would corroborate the prediction (e.g., if either, or both, of the tests were predicted to be statistically significant).

The second problem is that it is not clearly specified what would corroborate the hypothesis and what would statistically falsify the hypothesis. Although it is never explicitly stated, we can infer that the prediction would be corroborated when either of the two tests is significant at an alpha level of 0.05, without correcting for multiple comparisons. Furthermore, we can infer that a non-significant p -value is interpreted as the absence of any meaningful effect (even though this is a formally incorrect interpretation of a null hypothesis test). The third problem is that there is a range of options when analyzing the data (e.g., pooling the two types of morphs in one analysis, or reporting two separate analyses by morph version). As is often the case the testing statistical predictions, no unique analysis strategy follows unequivocally from the introduction and methods section, which can lead to flexibility in the data analysis.

What Does a Formalized Test of a Prediction Look Like?

If we want to make hypothesis tests machine readable, we need to capture all essential aspects of a hypothesis test in a machine readable data structure. A hypothesis test is a methodological procedure to evaluate a prediction that can be described on a conceptual level (e.g., people exhibit higher levels of prosocial behavior towards those who physically resemble them), an operationalized level (e.g., people playing a trust game make more trusting decisions when the person they play against is a self morph versus an other morph), and a statistical level (e.g., the average number of trust moves is statistically larger for games against self morphs than against other morphs in a dependent t -test).

We distinguish the following components of a statistical prediction: 1) a statistical test, 2) a test result, and 3) one or more criterion values that the test result is compared to. For example, our statistical prediction might be that we will observe a positive difference

in the means between two measurements, which will be examined in a dependent t -test, from which we will determine the lower and upper 97.5% confidence interval around the mean difference, which we will compare against a value of 0. Statistical hypotheses are probabilistic, and probabilistic hypotheses can be made falsifiable “by specifying certain rejection rules which may render statistically interpreted evidence ‘inconsistent’ with the probabilistic theory” (Lakatos, 1978). A hypothesis test thus requires researchers to specify when the observed results of a statistical test will lead them to act as if their prediction is consistent with the data, inconsistent with the data, or inconclusive (Dienes, 2019; Neyman, Pearson, & Pearson, 1933).

As highlighted above, one limitation of current practice is that researchers often do not explicitly state what would corroborate or falsify their prediction. To be able to unambiguously evaluate a hypothesis, researchers need to specify evaluation rules for when they will interpret statistical test results as corroborating a prediction, falsifying of a prediction, or when a result will be treated as inconclusive. For example, a prediction might be consistent with a main effect of A, or an ordinal interaction between A and B, but inconsistent with a disordinal interaction between A and B. However, the full pattern of possible results that would corroborate or falsify a prediction is seldom explicit. There are different statistical approaches that can be used to statistically conclude a prediction is falsified, and one should always think meta-analytically, and keep random variation in mind. In practice, corroborating or falsifying a statistical prediction is rarely sufficient to draw strong conclusions about a theoretical prediction (Lakatos, 1978).

One approach, known as equivalence testing (Lakens, Scheel, & Isager, 2018), requires researchers to specify a smallest effect size of interest, and tests if the presence of an effect that is large enough to be deemed interesting can be statistically rejected. Continuing our example, we might conclude our prediction is corroborated when we can statistically conclude the observed mean difference is greater than zero, and not statistically smaller than the smallest effect size we care about. The prediction would be falsified if the effect is statistically smaller than the smallest effect size of interest, and inconclusive if we can neither conclude the effect is statistically greater than zero, nor statistically smaller than the smallest effect size we care about. If our statistical test is a dependent t -test, our test result is the upper and lower bound of a 97.5% confidence interval (i.e., a hypothesis test with a 2.5% alpha level), and our smallest effect size of interest is 0.2, we can conclude that we have corroborated our prediction if the lower bound of our 97.5% CI is larger than 0 and the upper bound is not smaller than 0.2. Our prediction is falsified if the upper bound of our 97.5% CI is smaller than 0.2, and our data is inconclusive in all other situations.

Another approach compares the likelihood of the observed data under null and theoretical models (Dienes, 2019). If the ratio of these likelihoods (the Bayes factor) is greater than some specified criterion (e.g., 6, used by the journal *Cortex*), this is interpreted as evidence for the hypothetical model. If the Bayes factor is less than the reciprocal of the criterion (e.g., $1/6$), this is interpreted as evidence for the null model. All other values are interpreted as inconclusive evidence.

138 **Computationally Evaluating Hypotheses**

139 If a prediction is machine readable, it is possible to automatically determine if a
 140 prediction is corroborated by the data. We envision machine readable hypothesis tests as
 141 part of a completely reproducible workflow. Computer scripts will load the raw data, and if
 142 needed create the analytic data from the raw data (e.g., outlier removal, transformations,
 143 computing sum scores according to pre-specified rules). The statistical test is automatically
 144 run on the analytic data, and the relevant test statistics are retrieved. These test statistics
 145 are compared against pre-specified criteria, based on decision rules that evaluate whether
 146 the prediction is corroborated, falsified, or inconclusive. All the information that is required
 147 to perform these operations is stored in a structured meta-data file.

148 Box 1 provides a concrete example of a machine-readable statistical prediction for
 149 the study by DeBruine (2002) described above. It is written using JSON, which is an
 150 open-standard file format that can be used to transmit data. Because it is an open-standard
 151 file format, it can easily be converted into any other data file format such as YAML or JATS,
 152 which in essence are all nested lists. It can also be converted to a human-readable report,
 153 summarising the study with verbal descriptions and a list containing the conclusion for each
 154 statistical prediction.

155 The top level list contains components describing different aspects of the study, such
 156 as authors, hypotheses, materials, methods, data, and analyses. In the future we might be
 157 able to describe all information in a scientific article that we would like to be able to retrieve,
 158 but here we will focus on the aspects of the study that are required to make statistical
 159 predictions machine readable. To achieve this, we need a meta-data file that specifies the
 160 hypotheses, the analyses, and the evaluation criteria for each prediction.

161 A study could contain multiple hypotheses, but our example contains only one. Each
 162 **hypothesis** (Box 1, lines 3-50) consists of a verbal human-readable description (5), one
 163 or more **criteria** (6-39) to evaluate analysis results, and rules to determine if the results
 164 **corroborate** (40-44) or **falsify** (45-49) the hypothesis that is automatically generated if
 165 the data is available. Criteria need an **id** to be able to reference them in the evaluations.
 166 An **operator** and a **comparator** are provided for each criterion to specify the method of
 167 comparison (e.g., $>$, $<$, $=$, $!=$) and the comparison value (e.g., 0). For example, the first
 168 criterion (7-14) specifies that if the statistical result “conf.int[1]” from “trust_analysis” is
 169 “ $>$ ” than “0” then the criterion “trust_lowbound” evaluates to “true”. In other words, if
 170 we can statistically reject the null hypothesis (because the lower bound of the confidence
 171 interval does not overlap with 0), this criterion of our statistical prediction is corroborated.

172 The **corroborate** (40-44) and **falsify** (45-49) sub-components describe rules to deter-
 173 mine corroborate or falsification from the criteria conclusions, and consist of three elements.
 174 The **description** element contains verbal descriptions of the decision rules for concluding
 175 the hypothesis is corroborated or falsified. The **evaluation** element contains a logical
 176 version referencing the criteria IDs. For example, “(trust_lowbound & trust_highbound)
 177 | (recip_lowbound & recip_highbound)” (42) means that the corroboration conclusion
 178 (43) will be set to “true” if the first two criteria are both true, or if the last two criteria
 179 are both true, while “!trust_highbound & !recip_highbound” (47) means that the falsify

180 conclusion (48) will be set to “true” if both of these criteria are false.

181 Each analysis is specified in the **analysis** component (52-81). An analysis consists of
182 an **id** to reference the statistical test when evaluating the criteria, a reference to the function
183 or script used to run the analysis (**func**), a list of parameters that need to be specified in
184 the function (**params**), and a list of named **results** to be referenced in the criteria. Each
185 analysis can also contain additional information, such as the software used to perform the
186 analysis. The example below specifies two *t*-tests, using the **t.test** function in R (version
187 3.6.0). For each *t*-test, we need to specify values for “x” and “y” (columns in a dataset called
188 “kin”), “paired” (the type of *t*-test), and “conf.level” (to change the default value for this
189 test to .975).

190 Each dataset can be specified in the **data** component (82-112). A dataset consists of
191 an **id** to reference the dataset in analyses and information about how to obtain the data
192 (e.g., **doi**, **url**). The **codebook** (87-104) contains descriptions of each column, and it is
193 even possible to include the analytic data **values** (105-110) in this component. Below, we
194 present a simple version of a codebook, but the descriptors for each column can be arbitrarily
195 detailed and automatically extracted from existing data structures, such as SPSS files. For
196 software that helps researchers to share machine-readable codebooks, see Arslan (2019).

Box 1. Example JSON file illustrating a machine-readable statistical prediction.

```

199  1. {
200  2.   "name": "Kinship and Prosocial Behaviour",
201  3.   "hypotheses": [
202  4.     {
203  5.       "description": "Cues of kinship will increase prosocial behaviour.
204                        Cues of kinship will be manipulated by morphed facial self-
205                        resemblance. Prosocial behaviour will be measured by responses in
206                        the trust game. The prediction is that the number of trusting AND/
207                        OR reciprocating moves will be greater to self morphs than to other
208                        morphs.",
209  6.       "criteria": [
210  7.         {
211  8.           "id": "trust_lowbound",
212  9.           "analysis_id": "trust_analysis",
213 10.          "result": "conf.int[1]",
214 11.          "operator": ">",
215 12.          "comparator": 0,
216 13.          "conclusion": true
217 14.        },
218 15.        {
219 16.          "id": "trust_highbound",
220 17.          "analysis_id": "trust_analysis",
221 18.          "result": "conf.int[2]",
222 19.          "operator": ">",
223 20.          "comparator": 0.2,
224 21.          "conclusion": true
225 22.        },
226 23.        {
227 24.          "id": "recip_lowbound",
228 25.          "analysis_id": "recip_analysis",
229 26.          "result": "conf.int[1]",
230 27.          "operator": ">",
231 28.          "comparator": 0,
232 29.          "conclusion": false
233 30.        },
234 31.        {
235 32.          "id": "recip_highbound",
236 33.          "analysis_id": "recip_analysis",
237 34.          "result": "conf.int[2]",
238 35.          "operator": ">",
239 36.          "comparator": 0.2,
240 37.          "conclusion": false

```

```

241 38.      }
242 39.      ],
243 40.      "corroboration": {
244 41.          "description": "The hypothesis is corroborated if the 97.5% CI lower
245          bound is greater than 0 and the 97.5% CI upper bound is greater than
246          0.2 (the SESOI) for either the trust or reciprocation moves.",
247 42.          "evaluation": "(trust_lowbound & trust_highbound) | (recip_lowbound &
248          recip_highbound)",
249 43.          "conclusion": true
250 44.      },
251 45.      "falsify": {
252 46.          "description": "The hypothesis is falsified if the 97.5% CI
253          upper bound is smaller than 0.2 (the SESOI) for both trust and
254          reciprocation.",
255 47.          "evaluation": "!trust_highbound & !recip_highbound",
256 48.          "conclusion": false
257 49.      }
258 50.      }
259 51.      ],
260 52.      "analyses": [
261 53.          {
262 54.              "id": "trust_analysis",
263 55.              "software": "R version 3.6.0 (2019-04-26)",
264 56.              "func": "t.test",
265 57.              "params": {
266 58.                  "x": "kin$trust_self",
267 59.                  "y": "kin$trust_non",
268 60.                  "paired": true,
269 61.                  "conf.level": 0.975
270 62.              },
271 63.              "results": {
272 64.                  "conf.int": [0.02, 0.98]
273 65.              }
274 66.          },
275 67.          {
276 68.              "id": "recip_analysis",
277 69.              "software": "R version 3.6.0 (2019-04-26)",
278 70.              "func": "t.test",
279 71.              "params": {
280 72.                  "x": "kin$recip_self",
281 73.                  "y": "kin$recip_non",
282 74.                  "paired": true,
283 75.                  "conf.level": 0.975
284 76.              },
285 77.              "results": {

```



```

286 78.      "conf.int": [-0.51 0.43]
287 79.      }
288 80.      }
289 81.  ],
290 82.  "data": [
291 83.      {
292 84.          "id": "kin",
293 85.          "doi": "10.17605/OSF.IO/F7QWS",
294 86.          "url": "https://osf.io/ewfhs/",
295 87.          "codebook": [
296 88.              {
297 89.                  "name": "trust_self",
298 90.                  "description": "Number of trusting moves towards self-morphs"
299 91.              },
300 92.              {
301 93.                  "name": "trust_other",
302 94.                  "description": "Number of trusting moves towards self-morphs"
303 95.              },
304 96.              {
305 97.                  "name": "recip_self",
306 98.                  "description": "Number of reciprocating moves towards other-morphs"
307 99.              },
308 100.             {
309 101.                 "name": "recip_other",
310 102.                 "description": "Number of reciprocating moves towards other-morphs"
311 103.             }
312 104.         ],
313 105.         "values": {
314 106.             "trust_self": [1,2,2,1,1,1,1,1,2,0,2,0,1,2,2,3,2,2,1,1,2,0,0,1],
315 107.             "trust_other": [1,2,2,0,1,0,0,0,1,0,1,0,1,1,1,0,1,2,2,0,0,0,2,1],
316 108.             "recip_self": [0,1,3,2,1,1,1,3,3,2,3,1,1,2,3,3,3,1,1,1,3,0,3,1],
317 109.             "recip_other": [1,1,2,2,3,2,1,3,3,1,3,0,1,3,3,3,3,0,3,0,1,0,3,2]
318 110.         }
319 111.     }
320 112. ]
321 113. }

```

322 The statistical prediction can be evaluated automatically, without human intervention,
 323 as long as the prediction is specified in a machine readable format. Based on the information
 324 specified in the analyses and criteria sections of the meta-data, a computer script can read
 325 in the analytic data, perform each analysis specified in the JSON file, and store the results.
 326 For example, the “trust_analysis” can be performed by running the analysis `t.test(x =`
 327 `kin$trust_self, y = kin$trust_other, paired = TRUE, conf.level = .975)` after
 328 the data is loaded as an object named “kin”. We store the result of this analysis (e.g., the
 329 `t.test` function in R returns a list of named numbers, including “conf.int”: [0.02, 0.98] in

line 63). Based on the results of the analyses, the code can compare the results against the criteria. For example, because the first number in the “conf.int” result (0.02) is larger (“>”) than zero (“0”), we can store the conclusion that this criterion is “true” (line 13). After the code has drawn conclusions about whether each criterion is met or not, based on the results of the analyses, the evaluation rules can be used to determine whether the prediction is corroborated, falsified, or neither (and thus the results are inconclusive). For the prediction to be corroborated, the criteria for trust_lowbound and trust_highbound have to be met, and/or the criteria for recip_lowbound and recip_highbound have to be met. Since the conclusions for trust_lowbound and trust_highbound are both true, the prediction is corroborated, and because it is not true that both upper bounds for the confidence interval are smaller than 0.2, the prediction is not falsified. The overall conclusion is therefore that our statistical prediction is corroborated

Benefits of Machine Readability

We believe the benefits of making statistical predictions machine readable are worth the effort. First, machine-readable hypotheses completely remove ambiguity about what researchers predict and which criteria must be met to conclude a statistical prediction hypothesis is corroborated. Although ambiguity can be removed in other ways, the formalized approach as illustrated in Box 1 is perfectly suited for this goal. Predictions are explicitly linked to the tests that are performed to evaluate if the prediction is corroborated or not. The exact test is specified, which prevents flexibility in the data analysis. This method prevents researchers who will replicate the study from having to infer the criteria for corroboration or falsification from the observed pattern of results and the conclusion. If a researcher feels the statistical prediction can be tested in different ways, each equally reasonable, a range of sensitivity analyses across which the prediction should hold can be specified.

While this method obviously cannot ensure that the logic behind predictions or criteria for corroboration or falsification are correct, the process of writing a machine-readable statistical prediction has a secondary benefit of providing a framework for thinking through the logic of a prediction. If one finds it impossible to specify the ranges of results that will corroborate or falsify a prediction, it is likely that the hypothesis is not yet well-specified enough for confirmatory hypothesis testing. Hypothesis tests are an extremely formalized procedure to test predictions. Making this clear might make researchers realize they are not yet ready to test a hypothesis, and remind them of the importance and value of exploratory research.

Another benefit of making statistical hypotheses machine readable is that many important aspects of the hypothesis test become accessible, findable, and usable. This will benefit researchers in the future. We can imagine a utopian future where meta-data files such as the example in Box 1 are accessible by browsing to a website that consists of the DOI, appended by /meta (e.g., <https://doi.org/10.1098/rspb.2002.2034/meta/>). Researchers can access these files to load all the information that is available about statistical predictions. When a completely reproducible workflow is used, and data can be accessed, the meta-data file should be sufficient to easily calculate or access effect sizes from the performed

statistical tests for meta-analyses, and answer meta-scientific questions about, for example, the percentage of statistical predictions that are corroborated in a research area.

One more immediate use case for machine readable hypothesis tests is the Registered Report publication format (Chambers, 2019). Registered Reports require researchers to clearly specify their statistical prediction, and are developed to reduce flexibility in the statistical analyses. After Stage 1 review based on the introduction, methods, and analysis plan, researchers can receive an “in principle acceptance”. They then collect the data, and submit a Stage 2 Registered Report that includes the results and conclusion. Reviewers need to evaluate whether the analyses were conducted exactly as planned and whether conclusions follow from the predictions. This aspect of the peer review process is labor intensive and often still somewhat ambiguous, but could be automated if the statistical predictions were machine readable.

Conclusions

Technological innovation makes it possible to communicate scientific findings in digital formats that allow for much easier re-use of scientific information contained in these digital files compared to traditional journal articles. As we move towards a time where researchers are expected to share their data in a way that is FAIR (findable, accessible, interoperable, and reusable), we believe it is feasible and beneficial to make statistical predictions machine readable as well. We see machine readable hypothesis tests as a logical development, with immediate benefits for the rigour of hypothesis tests. Increasing the accessibility of essential information related to hypothesis tests in scientific paper will also facilitate peer review, especially of Registered Reports, and facilitate meta-scientific research. Making statistical predictions machine readable will be an important next step towards a scientific literature that can be accessed not just visually, but also computationally.

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