

# Isotropic Atomic Layer Etching of ZnO Using Acetylacetone and O<sub>2</sub> Plasma

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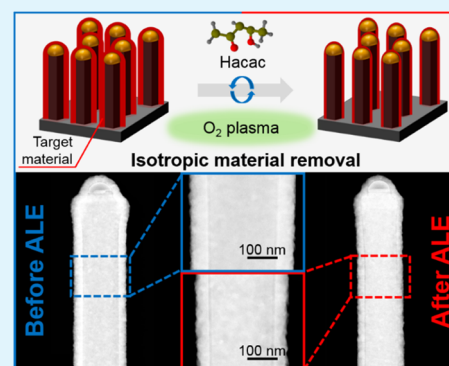
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## Supporting Information

**ABSTRACT:** Atomic layer etching (ALE) provides Ångström-level control over material removal and holds potential for addressing the challenges in nanomanufacturing faced by conventional etching techniques. Recent research has led to the development of two main classes of ALE: ion-driven plasma processes yielding *anisotropic* (or *directional*) etch profiles and thermally driven processes for *isotropic* material removal. In this work, we extend the possibilities to obtain isotropic etching by introducing a plasma-based ALE process for ZnO which is radical-driven and utilizes acetylacetone (Hacac) and O<sub>2</sub> plasma as reactants. In situ spectroscopic ellipsometry measurements indicate self-limiting half-reactions with etch rates ranging from 0.5 to 1.3 Å/cycle at temperatures between 100 and 250 °C. The ALE process was demonstrated on planar and three-dimensional substrates consisting of a regular array of semiconductor nanowires (NWs) conformally covered using atomic layer deposition of ZnO. Transmission electron microscopy studies conducted on the ZnO-covered NWs before and after ALE proved the isotropic nature and the damage-free characteristics of the process. In situ infrared spectroscopy measurements were used to elucidate the self-limiting nature of the ALE half-reactions and the reaction mechanism. During the Hacac etching reaction that is assumed to produce Zn(acac)<sub>2</sub>, carbonaceous species adsorbed on the ZnO surface are suggested as the cause of the self-limiting behavior. The subsequent O<sub>2</sub> plasma step resets the surface for the next ALE cycle. High etch selectivities (~80:1) over SiO<sub>2</sub> and HfO<sub>2</sub> were demonstrated. Preliminary results indicate that the etching process can be extended to other oxides such as Al<sub>2</sub>O<sub>3</sub>.

**KEYWORDS:** atomic layer etching, plasma etching, isotropic removal, ZnO, nanowires



## INTRODUCTION

As the smallest features in semiconductor devices continue shrinking toward single-digit nanometer dimensions in order to enable ever-higher performance and cost-effective electronics, techniques for precise material deposition and/or removal are of paramount importance.<sup>1–4</sup> Aggressive scaling of silicon-based devices has been enabled by the availability of advanced processing techniques. Here, an example is the so-called self-aligned multiple patterning that allows to pattern structures far below the limits of immersion lithography, and it is currently implemented during flash memory and multigate [fin field-effect transistor (finFET)] fabrication.<sup>5</sup> Looking further ahead, atomic-scale processing will become a necessity in order to enable technological leaps forward such as in the Internet of Things and artificial intelligence.<sup>6</sup> For example, the development of advanced computing methods such as neuromorphic and quantum computing requires to process atomically thin and other exotic materials into complex architectures.<sup>7–9</sup> Here, precise deposition and etching techniques are imperative to create and manipulate low-dimensional structures such as two-dimensional materials, nanowire (NW) arrays, or quantum dots into functional device structures.<sup>6</sup>

In the past decades, atomic layer deposition (ALD) has become an established technique to provide unparalleled control over thin-film deposition, even on the high-aspect ratio structures that are increasingly used in the semiconductor industry. On the other hand, its etching counterpart, that is, atomic layer etching (ALE), is only recently emerging as an enabling technique for advanced etch applications. ALE is still in its infancy, and further advancement in this research field will be necessary to expand the capabilities and the *toolbox* of atomic-scale processing.<sup>5</sup> In ALE, atomic-scale control during material removal is achieved through the use of alternated and self-limiting half-reactions that are interleaved by purge steps. Because of this characteristic, ALE allows to achieve Ångström-level control, high uniformity, and extreme pattern fidelity over material removal.<sup>10–14</sup>

ALE is usually classified into two categories: anisotropic (directional) and isotropic etching, depending on the targeted application. Of these, *anisotropic* ALE is being largely investigated as it holds promise for pattern transferring,

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etching of sacrificial layers during self-aligned multiple patterning, and patterning of gate spacers during finFET fabrication.<sup>13,15–17</sup> On the other hand, with the increasing number of complex three-dimensional (3D) structures that are created for device fabrication, the need for *isotropic* ALE is getting increasing attention. Isotropic ALE is deemed essential for patterning of the gate spacer in future gate-all-around FETs where highly selective and conformal material removal is required.<sup>18</sup> Moreover, isotropic ALE has been proposed for achieving high-resolution nanoimprint lithography<sup>19</sup> and lateral etching in 3D-NAND flash memories fabrication.<sup>20</sup> ALE has also great potential in replacing wet etching processes where the liquid etchants with their critical surface tensions may cause capillary effects that make nanometer-sized patterns collapse.<sup>20</sup> Furthermore, ALE may also find applications in fine-tuning the transport properties of NW–metal contacts by nanoscale removal of the native semiconductor material at the edge of the nanocontact.<sup>21</sup>

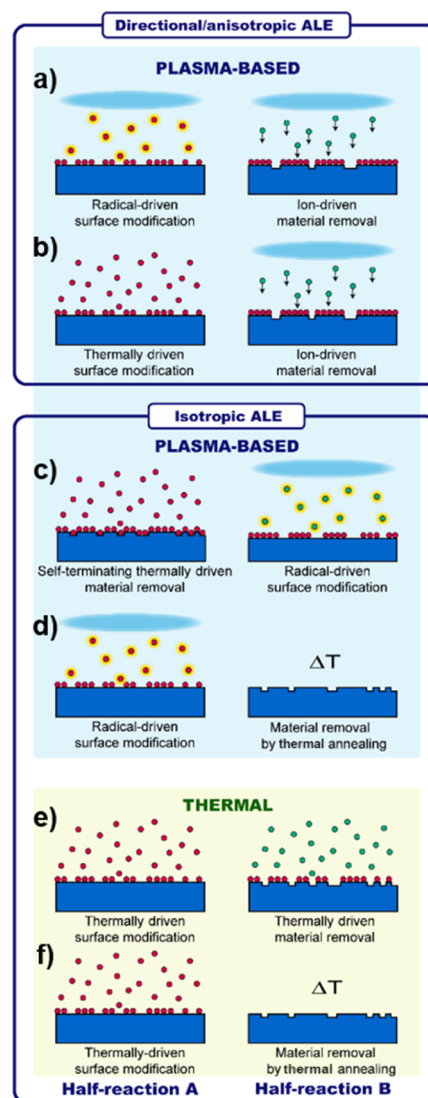
Anisotropic ALE is generally associated with plasma-based processing. Here, the ionic component of a plasma is harnessed to provide directionality. This is typically achieved by applying an external bias voltage to the substrate table, during the plasma-based half-reaction. Because of the negative bias voltage applied, ions are accelerated in the plasma sheath and thus impinge normally to the surface (ion-driven plasma-based reaction).<sup>22</sup> During the first half-reaction (A), the surface of the target material is modified in a self-limiting manner by the exposure to a reagent. In the second half-reaction (B), the modified material is removed by means of ion-driven plasma-based reactions. Provided the ion energy is below the sputtering threshold, only subsurface bonds are broken and volatile products are released from the surface of the target material.<sup>10,11,13,14,23,24</sup>

On the other hand, isotropic ALE is achieved by employing nondirectional species in both half-reactions. Recently, George and co-workers introduced thermal ALE, where sequential and self-limiting thermochemical (i.e., without the use of plasma species) half-reactions are alternated in a cyclic fashion.<sup>2,12,25–28</sup> During half-reaction A, the surface of the target material is modified in a self-limiting manner by the exposure to a reagent and subsequently removed by means of a suitable “coreactant” in the half-reaction B.

Isotropic ALE can also be carried out by using a plasma-based process, as long as the plasma is operated in a regime that harnesses the isotropic nature of reactive plasma radicals. In contrast to ions which are charged and are accelerated over the plasma sheath, neutral radical species diffuse toward the surface, therefore initiating isotropic surface reactions (radical-driven plasma-based reaction). This regime, which will be the focus of this work, has been less explored for ALE.<sup>18,29,30</sup>

The possibilities for achieving ALE are manifold, and different processing steps could also be combined (e.g., ion-driven reactions, neutral beams, radical-driven reactions, thermochemical reactions, annealing steps, etc.)<sup>2,6–9,13,14,20–28</sup> to achieve the required etch profile, etch selectivity, and process compatibility. Figure 1 illustrates some of the possible ALE approaches which are categorized by the following:

- (i) the resulting etch profile: anisotropic or isotropic, and
- (ii) the employed surface reactions: plasma-based or purely thermally driven.



**Figure 1.** Schematic overview of several approaches for ALE categorized into anisotropic and plasma-based; isotropic and plasma-based; and isotropic and purely thermally driven. Anisotropic ALE can be obtained by employing (a) plasma radical-driven reaction to modify the material surface (half-reaction A) and plasma-generated ions or neutral beams to directionally remove the modified layer (B); (b) thermochemical reaction to modify the material surface (A) and plasma-generated ions or neutral beams to directionally remove the modified layer (B). Isotropic ALE can be obtained using (c) thermochemical reaction to remove the material, while surface modification takes place (A), and a radical-driven plasma step to reset the surface (B); (d) plasma radical-driven reaction to modify the material surface (A) and a thermal annealing step to desorb the modified layer (B); (e) thermochemical reaction to modify the surface (A) and a second thermochemical reaction to remove the modified surface layer (B); or (f) thermochemical reaction to modify the surface (A) and a thermal annealing step to desorb the modified layer (B). Examples of the approaches are given in refs 11, 13, 14 for (a); ref 24 for (b); this work for (c); refs 18, 20, 31 for (d); refs 13, 16 for (e); and ref 32 for (f).

In this work, we introduce a novel approach for isotropic ALE by combining a thermochemical half-reaction with a plasma radical-driven half-reaction (see Figure 1c). In particular, a thermochemical reaction (half-reaction A) that employs acetylacetone (Hacac) is used to remove the material.

This reaction appears to be self-terminating because of the formation of a carbonaceous surface layer that inhibits further etching. Next, a radical-driven  $O_2$  plasma pulse (half-reaction B) is used to restore the surface for the next ALE cycle. The process concept is inspired by the use of  $Sn(acac)_2$  in thermal ALE<sup>2,26,33</sup> and  $\beta$ -diketones in organic vapor-phase etching.<sup>34–39</sup>

ALD-grown polycrystalline ZnO layers were chosen for demonstrating the feasibility of our process. This plasma-based ALE process can serve as an alternative approach to thermal ALE of ZnO using trimethylaluminum (TMA) and fluorine-based chemistry, which was recently conducted on planar substrates by Zywtok et al.<sup>27</sup>

We observed that alternating dosage of Hacac and  $O_2$  plasma led to a reproducible etch per cycle (EPC) of 1.3 Å. Furthermore, the isotropic nature of the ALE process presented in this work was demonstrated by etching ZnO that was predeposited on an array of vertical NWs. A reaction mechanism is proposed on the basis of in situ Fourier transform infrared (FTIR) measurements. Finally, we discuss the possibility of extending this process to other oxide films, such as  $Al_2O_3$ , and show high etch selectivities ( $\sim 80:1$ ) over  $SiO_2$  and  $HfO_2$ .

## EXPERIMENTAL SECTION

**ZnO Preparation by ALD.** ZnO thin films were deposited on  $2 \times 2$  cm<sup>2</sup> c-Si wafer coupons using ALD in an OpAL reactor from Oxford Instruments equipped with a 200 mm substrate table. Diethylzinc (DEZ) and water ( $H_2O$ ) were employed as the precursor and the coreactant, respectively, at a substrate temperature of 250 °C. The recipe consisted of 40 ms of DEZ and 100 ms of  $H_2O$  exposure interleaved by 5 s argon purges. The same recipe was also employed to deposit conformal layers of ZnO on substrates with GaP NWs, having a 7% Ge-doped Si (Si/Ge) shell.

From separate studies on the bare NWs, it is known that these wires exhibit atomically flat sidewall facets, making them an excellent substrate for etch profile studies.<sup>40</sup> The temperature was 150 °C during the deposition on the NW samples.

**ALE Process of ZnO.** The etching experiments were carried out in an Oxford Instruments FlexAL reactor, equipped with a remote inductively coupled plasma (ICP) source (13.56 MHz), a 200 mm substrate table, a turbomolecular pump, and a loadlock. Prior to all etching experiments, the samples were subjected to a 3 min  $O_2$  plasma cleaning step at a pressure of  $\sim 10$  mTorr and a power of 200 W.

Hacac ( $\geq 99\%$  ReagentPlus; CAS 123-54-6) from Sigma-Aldrich was employed without further purification. Hacac was kept at room temperature in a stainless-steel container and vapor-drawn into the chamber using multiple pulses of 2 s each and a 1 s hold step ( $\sim 400$  mTorr), interleaved by 5 s long intermittent Ar purges (300 sccm). The ALE cycle was completed by a 5 s  $O_2$  plasma step at a pressure of  $\sim 10$  mTorr (stabilized by a preliminary 3 s  $O_2$  flow in conjunction with a butterfly valve before the turbopump) and an ICP power of 200 W, followed by a separate 5 s Ar purge (100 sccm). For a schematic of the cycle, see the Supporting Information (Figure S1). The etching experiments were conducted at substrate temperatures of 100, 150, 200, or 250 °C.

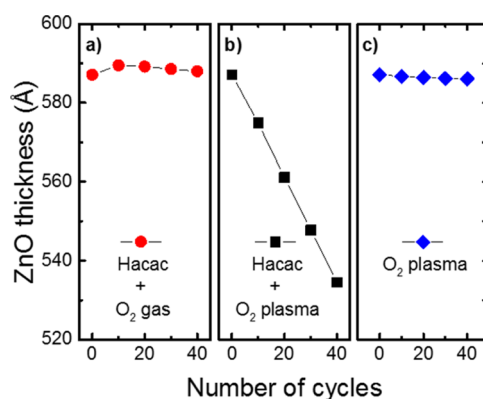
**Analytical Methods.** In situ and ex situ spectroscopic ellipsometry (SE) was performed using a J.A. Woollam M2000D ellipsometer. A Cauchy parameterization was used to model the  $\psi$ - and  $\delta$ -values measured by SE. X-ray photoelectron spectroscopy measurements were carried out using a K-Alpha system from ThermoFisher Scientific. To study the resulting etch profile of this ALE process, an array of NWs were covered with ZnO layers using ALD (see above). Part of this array was subjected to the ALE process and compared with nonetched NW samples using transmission electron microscopy (TEM). Several NWs were taken and analyzed using TEM to determine the ZnO thickness before and after ALE.

The TEM measurements were conducted in high-angle annular dark-field (HAADF)–scanning TEM (STEM) and high-resolution TEM modes using a probe-corrected TEM system (JEOL JEM ARM 200F). The ZnO thickness was measured at distinct regions separated by 1  $\mu$ m along the length of each NW sample using ImageJ software.<sup>41</sup> Twenty thickness measurements were taken at each region and averaged to account for the ZnO surface roughness.

In situ FTIR transmission spectroscopy experiments were carried out in a home-built ALD setup (which is similar to the reactor used for the ALE experiments), using a Bruker Vector FTIR spectrometer with a mid-infrared light source (Globar  $\approx 10\,000$ – $50\,000$  cm<sup>−1</sup>) and a liquid  $N_2$ -cooled mercury cadmium telluride detector with a spectral range of 12 000–550 cm<sup>−1</sup>. FTIR measurements were performed on ZnO nanopowder ( $<100$  nm particle size) that was pellet-pressed on a tungsten mesh. The powder was heated to 150 °C by passing a current through the mesh. The reaction chamber was pressurized with Hacac vapor and held at a constant pressure of  $\sim 1$  Torr for 30 s to ensure adsorption of Hacac on the powder. The pressure during the plasma step was  $\sim 10$  mTorr.

## RESULTS AND DISCUSSION

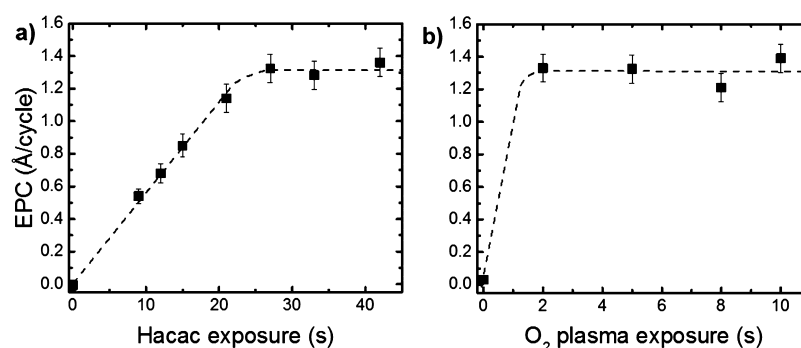
**ZnO ALE on Planar Substrates.** The etching behavior was investigated using in situ SE by monitoring the ZnO film thickness upon exposure to three different pulse sequences: (1) alternated pulses of Hacac and  $O_2$  gas, (2) alternated pulses of Hacac and  $O_2$  plasma, and (3) multiple pulses of only  $O_2$  plasma. The  $O_2$  gas pulses in sequence 1 were included to keep the cycle time constant and as a control experiment to assess whether the  $O_2$  plasma is necessary to achieve etching. Figure 2 shows the ZnO thickness variation for pulse



**Figure 2.** ZnO thickness evolution as a function of the number of cycles for (a) sequence 1: Hacac and  $O_2$  gas (circles); (b) sequence 2: Hacac and  $O_2$  plasma (squares); and (c) sequence 3: only  $O_2$  plasma pulses (diamonds). Significant ZnO thickness decrease was observed only for sequence 2. All of the experiments were carried out at a temperature of 250 °C.

sequences 1, 2, and 3 for a process temperature of 250 °C. Pulsing sequences 1 and 3 resulted in no significant ZnO thickness change (Figure 2a,c), whereas sequence 2 induced a linear thickness decrease (Figure 2b). An EPC value of  $1.31 \pm 0.08$  Å was calculated by linear regression of the data shown in Figure 2b. These results demonstrate the unique synergy of the alternated dosing of Hacac and  $O_2$  plasma (sequence 2) that is required to achieve ZnO etching, whereas no significant ZnO etching is observed when dosing only one of the two reactants. Looking in more detail at sequence 1 in Figure 2a, we note that upon exposure to Hacac an apparent thickness increase of  $\sim 2$  Å was detected after the first 10 cycles, whereas the thickness decreases slightly afterward. This apparent thickness increase



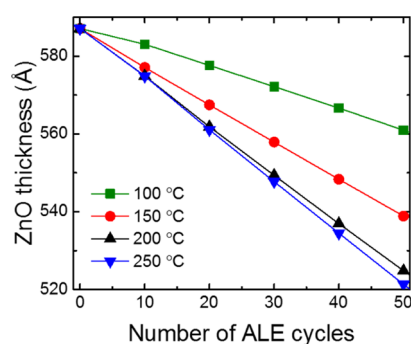


**Figure 3.** (a) EPC as a function of the Hacac exposure time for a fixed O<sub>2</sub> plasma step of 5 s. Saturation (self-limiting behavior) was reached for a total Hacac exposure of 27 s, resulting in an EPC of 1.31 Å/cycle. (b) EPC as a function of the O<sub>2</sub> plasma exposure time for a fixed Hacac dose of 27 s. A saturated EPC value was measured for all the investigated O<sub>2</sub> plasma exposure times ( $\geq 2$  s). The processing temperature was 250 °C. The dashed lines serve as a guide to the eye.

can be attributed to the adsorption of Hacac molecules onto the ZnO surface, similarly to what has been observed on Al<sub>2</sub>O<sub>3</sub> substrates for the ABC-type area-selective ALD of SiO<sub>2</sub>.<sup>42</sup> The subsequent thickness decrease might be due to partial decomposition of acac species on the ZnO surface as will be discussed below. Note that the measured thickness does not decrease below the starting ZnO thickness during sequence 1.

To investigate the self-limiting nature of the ALE half-reactions, in situ SE was used to measure the EPC at a fixed exposure time of the first half-reaction, while varying the exposure time of the second half-reaction and vice versa. Figure 3a,b shows the measured EPC values as a function of the Hacac and O<sub>2</sub> plasma exposures, respectively. The EPC as a function of the Hacac exposure was observed to saturate at a value of  $1.31 \pm 0.08$  Å for a total Hacac exposure time of 27 s (including the hold steps as described in the Experimental Section). The EPC as a function of the O<sub>2</sub> plasma exposure time shows saturation already after 2 s. Longer O<sub>2</sub> plasma exposures result in EPC values that lie within the error range. Taken together, these data demonstrate the self-limiting nature of the two half-reactions employed.

Figure 4 displays the ZnO thickness as a function of the number of ALE cycles for temperatures in a range of 100–250



**Figure 4.** ZnO thickness as a function of the number of ALE cycles for temperatures between 100 and 250 °C, as measured by in situ SE.

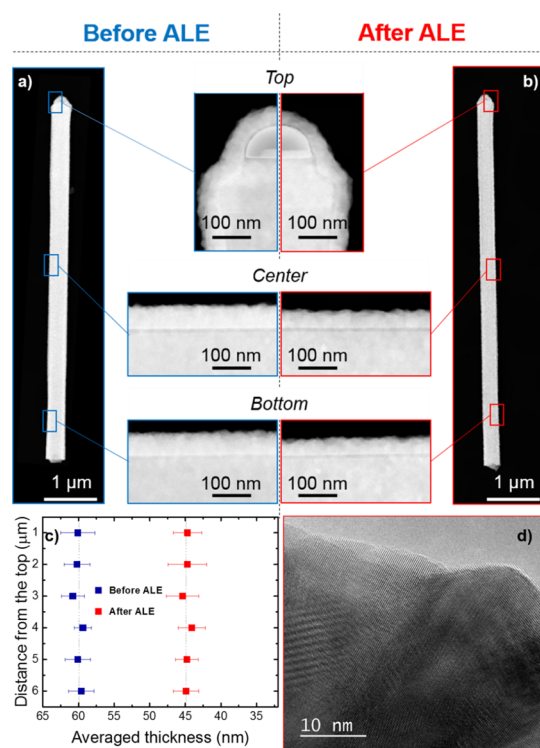
°C. The ZnO thickness was found to decrease linearly with the number of ALE cycles for each temperature, in line with a layer-by-layer etching mechanism. The EPCs were determined to be  $0.54 \pm 0.05$ ,  $0.97 \pm 0.07$ ,  $1.25 \pm 0.08$ , and  $1.31 \pm 0.08$  Å for processing temperatures of 100, 150, 200, and 250 °C, respectively.

The surface of the ZnO thin films, before and after ALE, was investigated by ex situ XPS to check for possible stoichiometry alterations and for the presence of surface contamination (see the Supporting Information, Table S1). The stoichiometry, as in the ratio Zn/O, was found to be preserved over 100 ALE cycles. A comparable amount of carbon (12–13 at. %) was measured on both surfaces, which can be attributed to the adsorption of adventitious carbon on the sample upon exposure to atmosphere.

**ZnO ALE on 3D Substrates.** The ALE process was also tested on a 3D substrate consisting of a regular array of vertical NWs (see the Supporting Information, Figure S2), conformally covered with a  $60 \pm 2$  nm thick polycrystalline ZnO layer. The NWs were then subjected to 120 cycles of the ALE process at 250 °C. Figure 5a,b shows the TEM images of a pair of 7 μm long NWs, one before and one after ALE. For each of those NWs, high-magnification STEM images were acquired every 1 μm along the length of the NW. For the complete set of STEM images, see the Supporting Information (Figure S3).

Figure 5a,b also displays high-magnification STEM images recorded at the top, center, and bottom regions of each NW. STEM images of an extra independent pair of NWs from the same array, before and after ALE, are shown in the Supporting Information (Figure S4), together with the measured ZnO thicknesses.

The STEM images reveal a decrease in ZnO thickness after the ALE process. The film thickness reduction ( $15 \pm 2$  nm) is comparable at all regions of the NW, as depicted in Figure 5c. From the STEM-measured thickness differences (before and after ALE), an averaged EPC of  $1.3 \pm 0.2$  Å was obtained across the full length of the NW. This EPC value is in excellent agreement with the EPC measured by in situ SE (i.e., 1.31 Å/cycle). The result clearly demonstrates the accurate etch control and the isotropic nature of the ALE process. Figure 5d shows a high-resolution TEM image of the top ZnO layers after the ALE process. Lattice fringes are visible up to the top surface layer, demonstrating that the ZnO surface retains its crystallinity upon ALE.<sup>43</sup> This result indicates that no significant damage or amorphization occurs during the ALE process. The observed isotropic etch profile and the fact that the ZnO surface is not damaged or amorphized are in line with a negligible role of ions in the ALE mechanism, illustrating that the O<sub>2</sub> plasma ALE half-reaction is predominantly driven by radicals. Indeed, for the employed plasma conditions described in the Experimental Section (ALE process of ZnO), ion

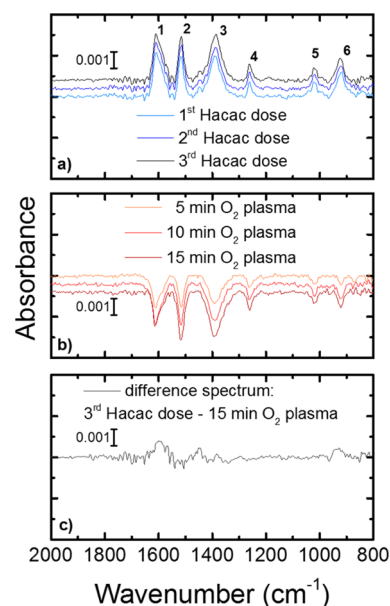


**Figure 5.** Low-magnification HAADF-STEM image of a ZnO-covered NW together with high-magnification images of the top, center, and bottom regions (a) before and (b) after ALE. (c) Averaged ZnO thicknesses as measured every micrometer along the NWs before and after ALE. The standard deviation of the measurements is taken as the error. (d) High-magnification TEM image of the ZnO layer after the ALE process. Lattice fringes are observable up to the top surface, indicating that no surface amorphization occurs during the ALE process.

energies of only  $\sim 20$  eV can be expected,<sup>44</sup> which makes sputtering of ZnO through ion-induced collisions unlikely.

**Proposed Reaction Mechanism.** In situ FTIR spectroscopy was used to elucidate the self-limiting behavior of the ALE process. Figure 6a,b shows the FTIR spectra after three consecutive Hacac or O<sub>2</sub> plasma exposures on the pellet-pressed ZnO powder at a temperature of 150 °C. After the first Hacac dose, adsorption of Hacac is shown by the appearance of positive peaks between 900 and 1600 cm<sup>-1</sup> (Figure 6a). These peaks can be assigned to acac species bonded to Zn<sup>2+</sup> sites.<sup>45–47</sup> Most likely, Hacac adsorbs through a proton-transfer reaction.<sup>42</sup>

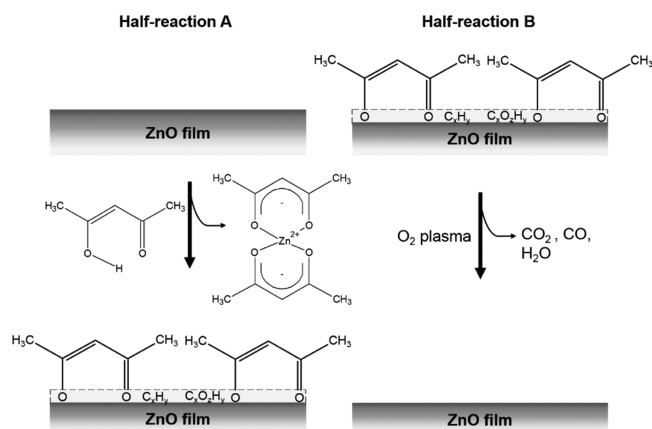
Figure 6a shows that the peak intensities remain constant after the first exposure, indicating saturation behavior for the Hacac adsorption on ZnO. The ZnO stretching modes ( $\sim 480$  cm<sup>-1</sup>) fall outside the detection range of the setup and could not be monitored during the in situ measurements. Figure 6b reveals that upon O<sub>2</sub> plasma exposure, acac species are removed from the ZnO surface. In this case, the spectra are referenced to the preceding Hacac exposure (third Hacac dose); therefore, the same absorption features show up as negative peaks, pointing at the removal of acac species from the surface. The removal most likely takes place through combustion reactions. Prolonged O<sub>2</sub> plasma exposure caused a slight increase in the absorbance of the negative peaks, indicating further acac-species elimination. However, Figure 6c shows that complete removal is not achieved. This is probably



**Figure 6.** Difference infrared spectra for three consecutive exposures of (a) Hacac and (b) O<sub>2</sub> plasma on ZnO powder at 150 °C. The reference spectrum for the Hacac doses was the bare ZnO powder. For the O<sub>2</sub> plasma pulses, the spectra were referenced to the preceding Hacac exposure (third Hacac dose); therefore, the removal of species shows up as negative peaks. The spectrum baselines have been equally shifted for clarity. (c) Difference spectrum between the third Hacac dose and the 15 min O<sub>2</sub> plasma exposure, indicating the presence of residual acac species on the ZnO surface. Peak assignment, after ref 47: 1  $\nu(\text{C}=\text{O})$ ; 2  $\nu(\text{C}=\text{C}) + \nu(\text{C}=\text{O})$ ; 3  $\delta(\text{C}=\text{O}) + \delta(\text{C}-\text{H})$ ; 4  $\nu(\text{C}-\text{C}) + \nu(\text{C}-\text{CH}_3)$ ; 5  $\delta(\text{CH}_3)$ ; 6  $\delta(\text{C}-\text{CH}_3) + \nu(\text{C}=\text{O})$ , where  $\nu$  and  $\delta$  indicate stretching and bending, respectively.

due to the high surface area and complex topography of the ZnO powder that hamper the plasma reactions in the “bulk” of the powder.

The in situ FTIR data can be used to propose a reaction mechanism and hence an explanation for the self-limiting nature of the ALE process. As schematically depicted in Figure 7, volatile Zn(acac)<sub>2</sub> is assumed to be the etching product of the first half-reaction (A), that is, ZnO starts to be etched. At the same time, the FTIR data in Figure 6a indicate that during this etching reaction, stable surface acac species build up on the ZnO surface. It can be speculated that acac-species binding in a chelate configuration (the two oxygen atoms of the same Hacac binding to the same Zn atom) can lead to the formation of volatile Zn(acac)<sub>2</sub> upon further reaction with another Hacac molecule (see reaction pathway 1, Figure S5). On the contrary, acac species adsorbed in a bidentate configuration (the two oxygen atoms of the same Hacac binding to neighboring Zn atoms at the surface) cannot form a volatile product (see reaction pathway 2, Figure S5). The bidentate acac surface species can remain stable on the surface (and account for the surface acac species observed by FTIR, Figure 6a), or they may partially decompose into hydrocarbon fragments. These inhibiting species remaining after half-reaction A constitute a carbonaceous layer that effectively blocks continuous etching of ZnO, which explains the self-limiting nature of the process. Similar conclusions were also drawn by Lee et al. for the thermal ALE of AlF<sub>3</sub> using Sn(acac)<sub>2</sub> and hydrogen fluoride (HF).<sup>12</sup> They also ascribe the self-limiting etching of AlF<sub>3</sub> to the presence of acac-containing surface species that inhibit the



**Figure 7.** Schematic of the proposed reaction mechanism for ALE of ZnO. During half-reaction A, volatile  $\text{Zn}(\text{acac})_2$  is assumed to form from Hacac and ZnO, while leaving residual acac species and other possible carbonaceous fragments. During half-reaction B, an  $\text{O}_2$  plasma combusts the carbonaceous surface species and resets the ZnO surface for the next cycle.

main etching reaction. In addition, Helms et al. have proposed that part of the Hacac may decompose on the metal oxide surfaces and form a carbonaceous layer that can also contribute to inhibiting the etching reaction.<sup>48</sup>

In the second half-reaction (B), acac groups and/or related carbonaceous inhibiting species are removed from the ZnO surface by the  $\text{O}_2$  plasma step, as corroborated by the FTIR data in Figure 6b. Therefore, the role of the  $\text{O}_2$  plasma may be primarily to remove the carbonaceous inhibiting layer that is formed during the half-reaction A, thereby allowing etching in a cyclic fashion.

#### Extension to Other Materials and Etch Selectivity.

The possibilities of extending this ALE process to other materials were also explored. To this end, substrates with ALD-prepared ZnO,  $\text{HfO}_2$ , and  $\text{Al}_2\text{O}_3$  thin films and a 90 nm thermally grown  $\text{SiO}_2$  layer were subjected to 120 cycles of the ALE process at a temperature of 250 °C within the same run. Their thicknesses were measured by ex situ SE, before and after ALE. Virtually, no thickness reduction ( $\sim 0.2$  nm) was observed for  $\text{SiO}_2$  and  $\text{HfO}_2$ , whereas a decrease in the thickness of 1.8 nm was observed for  $\text{Al}_2\text{O}_3$  and  $\sim 16.0$  nm for ZnO. These results translate into high etch selectivities ( $\sim 80:1$ ) for ZnO over  $\text{SiO}_2$  and  $\text{HfO}_2$  at 250 °C.

The absence of  $\text{SiO}_2$  etching can be explained by density functional theory simulations that we performed for the chemisorption reaction of Hacac on  $\text{SiO}_2$ .<sup>42</sup> On this surface, the Hacac chemisorption was found to be thermodynamically unfavorable; therefore,  $\text{SiO}_2$  etching was not expected. The etching of  $\text{HfO}_2$ , on which Hacac does adsorb,<sup>42</sup> would require the formation of  $\text{Hf}(\text{acac})_4$ , which we believe is unlikely on a surface because of steric reasons.

On  $\text{Al}_2\text{O}_3$ , the measured thickness difference translates into a formal EPC value of 0.15 Å. Similar EPC values between 0.14 and 0.61 Å (at 150 and 250 °C, respectively) have been reported for the thermal ALE of  $\text{Al}_2\text{O}_3$  using  $\text{Sn}(\text{acac})_2$  and HF.<sup>3</sup> Our results indicate that the ALE process introduced in this work may be explored and optimized (temperature, dosing time, etc.) to enable the etching of materials other than ZnO.

Considering the proposed reaction mechanism (Figure 7), it can be inferred that metal oxides that coordinate up to three acac<sup>−</sup> ligands may be etched using this process. As described

by George et al.,  $\text{Al}_2\text{O}_3$ ,  $\text{Sc}_2\text{O}_3$ ,  $\text{Fe}_2\text{O}_3$ ,  $\text{Ga}_2\text{O}_3$ , and  $\text{Co}_2\text{O}_3$  can all form volatile compounds with Hacac having vapor pressures ranging from 1 to 4 Torr at 150 °C.<sup>2,49</sup> Therefore, it should be possible to achieve etching of these materials. Conversely, no etching should be expected in the case of metal oxides in which the metal cation is in the 4<sup>+</sup> oxidation state.

#### Merits and Opportunities Provided by the Approach.

When comparing the plasma-based ALE process presented in this work with the complementary thermal ALE process of ZnO using TMA and HF,<sup>27</sup> several differences can be highlighted. Although the thermal ALE process was reported to leave residual Al and F impurities on the ZnO surface,<sup>27</sup> this plasma-based ALE approach shows no significant surface contamination, thereby suggesting a cleaner etching chemistry. In the case of thermal ALE, no ZnO etching was observed for temperatures below 220 °C; conversely, the plasma-based ALE can be used to etch ZnO at temperatures as low as 100 °C. Furthermore, the two ALE processes allow for different and complementary etch selectivities, thereby expanding the possibilities of ALE. For example, the thermal ALE process, using TMA and HF, can be used to achieve etching of ZnO,  $\text{Al}_2\text{O}_3$ ,  $\text{SiO}_2$ , and  $\text{HfO}_2$ .<sup>27,50,51</sup> Conversely, the plasma-based ALE process, using Hacac and  $\text{O}_2$  plasma, can be used to etch ZnO and  $\text{Al}_2\text{O}_3$ , with high etch selectivities over  $\text{SiO}_2$  and  $\text{HfO}_2$ . This complementary difference in etch selectivity represents a valuable addition to the isotropic ALE toolbox.

In addition, the versatility of a plasma reactant can be used to tune the same etching chemistry from an isotropic to an anisotropic mode by carefully adjusting the plasma conditions.<sup>29</sup> During the plasma step, an external bias voltage can be applied to the substrate to provide directionality, if required. Alternatively, the ALE process can be extended by introducing a third step, consisting of a separate anisotropic plasma.

Finally, the use of different plasma chemistries can pave the way to the processing of other materials than oxides, for example, nitrides, sulfides, and so forth.

## CONCLUSIONS

A novel isotropic plasma-based approach for the ALE of ZnO was demonstrated. This process employs alternating exposures of the ZnO surface to Hacac and  $\text{O}_2$  plasma. The self-limiting behavior was verified for both half-reactions using in situ SE measurements. Furthermore, the isotropic nature of this process was established by carrying out ALE on a ZnO-covered 3D nanostructured substrate consisting of a vertical NW array. Controlled and uniform decrease of the ZnO film thickness across the entire NW length was demonstrated. Damage-free (no amorphization) ALE was corroborated by showing that the uppermost ZnO layers remained crystalline after 120 cycles.

A reaction mechanism was proposed for the ZnO ALE process in which Hacac is assumed to produce volatile  $\text{Zn}(\text{acac})_2$ . In addition, the Hacac pulse also results in acac species adsorbed on the ZnO surface and possibly other carbonaceous species that quench the etching reaction. The  $\text{O}_2$  plasma coreactant removes the adsorbed organic species and resets the ZnO surface, allowing for subsequent etching in the next cycle. Furthermore, etching of  $\text{Al}_2\text{O}_3$  and a high etch selectivity ( $\sim 80:1$ ) over  $\text{SiO}_2$  and  $\text{HfO}_2$  were demonstrated.

When compared to isotropic thermal ALE processes in the literature, the isotropic plasma-based ALE process presented in this work exhibits different etch selectivities and a wider temperature window. Therefore, we believe that this novel



plasma-based approach will provide additional pathways for achieving isotropic ALE and holds potential for future applications involving high surface area and complex 3D structures for which Ångström-level control over material removal is imperative.

## ■ ASSOCIATED CONTENT

### ● Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acsami.8b12767.

Schematic of the ZnO ALE cycle developed in this work; elemental analysis from XPS surface scans of ZnO samples before and after ALE; SEM cross-sectional image of the GaP NW substrate; low-magnification HAADF–STEM image of the ZnO-covered NW; high-magnification HAADF–STEM images; and schematic of possible reaction pathways (PDF)

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All authors have given approval to the final version of the manuscript.

### Notes

The authors declare no competing financial interest.

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