

SOMETHING SOMETHING GROUP MANAGEMENT

by

BENJAMIN HENRY PAYNE

A DISSERTATION

Presented to the Faculty of the Graduate School of the  
MISSOURI UNIVERSITY OF SCIENCE AND TECHNOLOGY

In Partial Fulfillment of the Requirements for the Degree

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in

COMPUTER SCIENCE

2013

Approved by

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# ABSTRACT

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## **Abstract**

Cyber-physical systems (CPS) can improve the reliability of our critical infrastructure systems. By augmenting physical distribution systems with digital control through computation and communication, one can improve the overall reliability of a network. However, a system designer must consider what effects system unreliability in the cyber-domain can have on the physical distribution system. In this work, we examine the consequences of network unreliability on a core part of a Distributed Grid Intelligence (DGI) for the FREEDM (Future Renewable Electric Energy Delivery and Management) Project. To do this, we apply different rates of packet loss in specific configurations to the communication stack of the software and observe the behavior of a critical component (Group Management) under those conditions. These components will allow us to identify the amount of time spent in a group, working, as a function of the network reliability.

## ACKNOWLEDGMENTS

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## 0.1. INTRODUCTION

Historically, leader elections have had limited applications in critical systems. However, in the smart grid domain, there is a great opportunity to apply leader election algorithms in a directly beneficial way. [1] presented a simple scheme for performing power distribution and stabilization that relies on formed groups. Algorithms like Zhang, et. al's Incremental Consensus Algorithm [2], begin with the assumption that there is a group of nodes who coordinate to distribute power. In a system where 100% up time is not guaranteed, leader elections are a promising method of establishing these groups.

A strong cyber-physical system should be able to survive and adapt to network outages in both the physical and cyber domains. When one of these outages occurs, the physical or cyber components must take corrective action to allow the rest of the network to continue operating normally. Additionally, other nodes may need to react to the state change of the failed node. In the realm of computing, algorithms for managing and detecting when other nodes have failed is a common distributed systems problem known as leader election.

This work observes the effects of network unreliability on the the group management module of the Distributed Grid Intelligence (DGI) used by the FREEDM smart-grid project. This system uses a broker system architecture to coordinate several software modules that form a control system for a smart power grid. These modules include: group management, which handles coordinating nodes via leader election; state collection, a module which captures a global system state; and load balancing which uses the captured global state to bring the system to a stable state.

It is important for the designer of a cyber-physical system to consider what effects the cyber components will have on the overall system. Failures in the cyber domain can lead to critical instabilities which bring down the entire system if not

handled properly. In fact, there is a major shortage of work within the realm of the effects cyber outages have on CPSs [3] [4]. In this paper we present a slice of what sort of analysis can be performed on a distributed cyber control by subjecting the system to packet loss. The analysis focuses on quantifiable changes in the amount of time a node of the system could spend participating in energy management with other nodes.

## 0.2. BACKGROUND THEORY

**0.2.1. FREEDM DGI.** The FREEDM DGI is a smart grid operating system that organizes and coordinates power electronics and negotiates contracts to deliver power to devices and regions that cannot effectively facilitate their own need.

To accomplish this, the DGI software consists of a central component, the broker, which is responsible for presenting a communication interface and furnishing any common functionality needed by any algorithms used by the system. These algorithms are grouped into modules.

The initial work this document uses a version of the FREEDM DGI software with only one module: group management. Group management implements a leader election algorithm to discover which nodes are reachable in the cyber domain.

**0.2.2. Broker Architecture.** The DGI software is designed around the broker architecture specification. Each core functionality of the system is implemented within a module which is provided access to core interfaces which deliver functionality such as scheduling requests, message passing, and a framework to manipulate physical devices, including those which exist only in simulation environments such as PSCAD[5] and RSCAD[6].

The Broker provides a common message passing interface which all modules are allowed access to. This interface also provides the inter-module communication

which delivers messages between software modules, effectively decoupling them outside of the requirement for them to be able to recognize messages addressed to them from other modules.

Several of the distributed algorithms used in the software require the use of ordered communication channels. To achieve this, FREEDM provides a reliable ordered communication protocol (The sequenced reliable connection or SRC) to the modules, as well as a “best effort” protocol (The sequenced unreliable connection or SUC) which is also FIFO (first in, first out), but provides limited delivery guarantees.

We elected to design and implement our own simple message delivery schemes in order to avoid complexities introduced by using TCP in our system. During development, it was observed that constructing a TCP connection to a node that had failed or was unreachable took a considerable amount of time. We elected to use UDP packets which do not have those issues, since the protocol is connectionless. To accomplish this lightweight protocols which are best effort oriented were implemented to deliver messages as quickly as possible within the following requirements.

**Sequenced Reliable Connection..** The sequenced reliable connection is a modified send and wait protocol with the ability to stop resending messages and move on to the next one in the queue if the message delivery time exceeds some timeout. When designing this scheme we wanted to achieve several criteria:

- Messages must be accepted in order - Some distributed algorithms rely on the assumption that the underlying message channel is FIFO.
- Messages can become irrelevant - Some messages may only have a short period in which they are worth sending. Outside of that time period, they should be considered inconsequential and should be skipped. To achieve this, we have added message expiration times. After a certain amount of time has passed, the sender will no longer attempt to write that message to the channel. Instead,

he will proceed to the next unexpired message and attach a “kill” value to the message being sent, with the number of the last message the sender knows the receiver accepted.

- As much effort as possible should be applied to deliver a message while it is still relevant.

There one adjustable parameter, the resend time, which controls how often the system would attempt to deliver a message it hadn’t yet received an acknowledgment for.

To further explain the characteristics of the protocol, the psuedocode is included below:

*inseqno*  $\leftarrow$  0

*outseqno*  $\leftarrow$  1

*outqueue*  $\leftarrow$  []

*kill*  $\leftarrow$  null

*lastack*  $\leftarrow$  0

**function** RECEIVE(msg)

**if** *msg.type* = MSG **then**

**if** *msg.seqno* = *inseqno* + 1 **then**

            SendAck(*msg.seqno*)

*inseqno*  $\leftarrow$  *inseqno* + 1

**else if** *msg.seqno* > *inseqno* and *msg.kill*  $\neq$  null and *msg.kill*  $\leq$  *inseqno*

**then**

        SendAck(*msg.seqno*)

*inseqno*  $\leftarrow$  *msg.seqno* + 1

**else**

        SendAck(*inseqno*)

```

    end if
else if msg.type = ACK then
    if msg.seqno = outqueue.front.seqno then
        outqueue.pop()
        kill  $\leftarrow$  null
        lastack  $\leftarrow$  msg.seqno
        Write(outqueue.front, kill)
    else
        Write(outqueue.front, kill)
    end if
end if

end function

function SEND(msg)
    msg.seqno  $\leftarrow$  outseqno
    outseqno  $\leftarrow$  outseqno + 1
    outqueue.push(msg)
    if outqueue.size = 0 then
        Write(outqueue.front, kill)
    end if
end function

function RESEND
    while outqueue.size  $\geq$  0 and outqueue.front.expired do
        outqueue.pop()
        kill  $\leftarrow$  lastack
    end while
    if outqueue.size  $\geq$  0 then
        Write(outqueue.front, kill)
    end if
end function

```

**end if**  
**end function**

Note that the *Resend()* function is periodically called to attempt to redeliver lost messages to the receiver. This is, of course, a version with unbounded sequence numbers. The implementation available with the FREEDM source code is modified to allow for bounded sequence numbers.

**Sequenced Unreliable Connection..** The SUC protocol is simply a best effort protocol: it employs a sliding window to try to deliver messages as quickly as possible. A window size is decided, and then at any given time, the sender can have up to that many messages in the channel, awaiting acknowledgment. The receiver will look for increasing sequence numbers, and disregard any message that is of a lower sequence number than is expected. The purpose of this protocol is to implement a bare minimum: messages are accepted in the order they are sent.

Like the SRC protocol, the SUC protocol's resend time can be adjusted. Additionally, the window size is also configurable, but was left unchanged for the tests presented in this work.

The pseudocode is included for clarity below:

```

inseqno ← 0
outseqno ← 0
outqueue ← []
function RECEIVE(msg)
  if msg.type = MSG then
    if msg.seqno > inseqno then
      SendAck(msg.seqno)
      inseqno ← msg.seqno
    else
      SendAck(inseqno)

```

```

    end if
else if  $msg.type = ACK$  then
     $popped \leftarrow 0$ 
    if  $msg.seqno \leq outqueue.front.seqno$  then
         $outqueue.pop()$ 
         $popped \leftarrow popped + 1$ 
    end if
    for  $i = WindowSize - popped \rightarrow \min(WindowSize - 1, outqueue.size)$  do
         $Write(outqueue[i])$ 
    end for
end if
end function

function SEND(msg)
     $msg.seqno \leftarrow outseqno$ 
     $outseqno \leftarrow outseqno + 1$ 
     $outqueue.push(msg)$ 
    if  $outqueue.size \leq WindowSize$  then
         $Write(msg)$ 
    end if
end function

function RESEND
    for  $i = 0 \rightarrow \min(WindowSize - 1, outqueue.size)$  do
         $Write(outqueue[i])$ 
    end for
end function

```

**0.2.3. Group Management Algorithm.** Our software uses a leader election algorithm, “Invitation Election Algorithm” written by Garcia-Molina and listed



in [7]. His algorithm provides a robust election procedure which allows for transient partitions. Transient partitions are formed when a faulty link between two or more clusters of DGIs causes the groups to temporarily divide. These transient partitions merge when the link is more reliable. The election algorithm allows for failures that disconnect two distinct sub-networks. These sub networks are fully connected, but connectivity between the two sub-networks is limited by an unreliable link.

$AllNodes \leftarrow \{1, 2, \dots, N\}$

$Coordinators \leftarrow \emptyset$

$UpNodes \leftarrow Me$

$State \leftarrow Normal$

$Coordinator \leftarrow Me$

$Responses \leftarrow \emptyset$

$Counter \leftarrow$  A random initial identifier

$GroupID \leftarrow (Me, Counter)$

#### **function** CHECK

This function is called periodically by the leader

**if**  $State = Normal$  and  $Coordinator \leftarrow Me$  **then**

$Responses \leftarrow \emptyset$

$TempSet \leftarrow \emptyset$

**for**  $j = (AllNodes - \{Me\})$  **do**

$AreYouCoordinator(j)$

$TempSet \leftarrow TempSet \cup j$

**end for**

Nodes which respond "Yes" to  $AreYouCoordinator$  are put into the  $Responses$  set. When all nodes have responded or after  $Timeout(CheckTimeout)$ , Nodes that do not respond are removed from  $UpNodes$  and execution continues

```

     $UpNodes \leftarrow (TempSet - Responses) \cup Me$ 
    if  $Responses = \emptyset$  then return
    end if
     $p \leftarrow \max(Responses)$ 
    if  $Me < P$ 
        Wait time proportional to p-i
    end if
    if  $MERGE(Responses)$ 
    end if
    The next call to this is after Timeout(CheckTimeout)
end function

```

**function** TIMEOUT

This function is called periodically by the group members

```

if  $Coordinator = Me$  then return
else  $AREYOU THERE(Coordinator, GroupID, Me)$ 
    if Response is No or after  $Timeout(TimeoutTimeout)$  then RECOVERY
    end if
end if
    The next call to this is after Timeout(TimeoutTimeout)
end function

```

**function** MERGE(Coordinators)

This function invites all coordinators in Coordinators to join a group led by Me

$State \leftarrow Election$

Stop work

$Counter \leftarrow Counter + 1$

$GroupID \leftarrow (Me, Counter)$

```

    Coordinator  $\leftarrow$  Me
    TempSet  $\leftarrow$  UpNodes  $-$  Me
    UpNodes  $\leftarrow$   $\emptyset$ 
    for  $j \in$  Coordinators do INVITE(j,Coordinator,GroupID)
    end for
    for  $j \in$  TempSet do INVITE(j,Coordinator,GroupID)
    end for
    Wait for Timeout(InviteTimeout), Nodes that accept the invite are added to
    UpNodes
    State  $\leftarrow$  Reorganization
    for  $j \in$  UpNodes do READY(j,Coordinator,GroupID,UpNodes)
    end for
    State  $\leftarrow$  Normal
end function

function RECEIVERREADY(Sender,Leader, Identifier, Peers)
    if State = Reorganization and GroupID = Identifier then
        UpNodes  $\leftarrow$  Peers
        State  $\leftarrow$  Normal
    end if
end function

function RECEIVEAREYOUCOORDINATOR(Sender)
    if State = Normal and Coordinator = Me then
        Respond Yes
    else
        Respond No
    end if
end function

```

```

    end if
end function

function RECEIVEAREYOU THERE(Sender, Identifier)
    if  $GroupID = Identifier$  and  $Coordinator = Me$  and  $Sender \in UpNodes$ 
    then
        Respond Yes
    else
        Respond No
    end if
end function

function RECEIVEINVITATION(Sender,Leader,Identifier)
    if  $State \neq Normal$  then return
    end if
    Stop Work
     $Temp \leftarrow Coordinator$ 
     $TempSet \leftarrow UpNodes$ 
     $State \leftarrow Election$ 
     $Coordinator \leftarrow Leader$ 
     $GroupID \leftarrow Identifier$ 
    if  $Temp = Me$  then
        Forward invite to old group members
        for  $j \in TempSet$ 
             $Invite(j, Coordinator, GroupID)$ 
        end for
    end if
end if

```

```

    Accept(Coordinator, GroupID)
    State  $\leftarrow$  Reorganization
    if Timeout(ReadyTimeout) expires before Ready is recieved then
        Recovery()
    end if
end function

function RECEIVEACCEPT(Sender, Leader, Identifier)
    if State  $\leftarrow$  Election and GroupID = Identifier and Coordinator = Leader
then
        UpNodes  $\leftarrow$  UpNodes  $\cup$  Sender
    end if
end function

function RECOVERY
    State  $\leftarrow$  Election
    Stop Work
    Counter  $\leftarrow$  Counter + 1
    GroupID  $\leftarrow$  (Me, Counter)
    Coordinator  $\leftarrow$  Me
    UpNodes  $\leftarrow$  Me
    State  $\leftarrow$  Reorganization
    State  $\leftarrow$  Normal
end function

```

The elected leader is responsible for making work assignments and identifying and merging with other coordinators when they are found, as well as maintaining a up-to-date list of peers for the members of his group. Likewise, members of the

group can detect the failure of the group leader by periodically checking if the group leader is still alive by sending a message. If the leader fails to respond, the querying node will enter a recovery state and operate alone until they can identify another coordinator to join with. Therefore, a leader and each of the members maintains a set of processes which are currently reachable, which is a subset of all known processes in the system.

This Leader election can also be classified as a sort of failure detector (CITE). Failure detectors are algorithms which detect the failure of processes in a system. A failure detector algorithm maintains a list of processes that it suspects have crashed. This informal description gives the failure detector strong ties to the Leader Election process. The Group Management module maintains a list of suspected processes which can be determined from the set of all processes and the current membership.

The leader and members have separate roles to play in the failure detection process. The leader, using the *Check()* function will constantly search for other leaders to join groups with. This serves as a ping / response query for detecting failures in the system. It is also capable of detecting a change in state either by network issue or crash failure that causes the process being queried to no longer consider itself part of the leaders group. The member on the other hand, as the algorithm is written will only suspect the leader, and not the other processes. Of course, simple modifications could allow the member to suspect other members by use of a heart beat or query-reply system, it is not implemented in DGI code.

In this work it is assumed that a leader does not span two partitioned networks: if a group is able to form all members have some chance of communicating with each other.

**0.2.4. Network Simulation.** Network unreliability is simulated by dropping datagrams from specific sources on the receiver side. Each receiver was given an XML file describing the prescribed reliability of messages arriving from a specific

source. The network settings were loaded at run time and could be polled if necessary for changes in the link reliability.

On receipt of a message, the broker's communication layer examine the source and select randomly based on the reliability prescribed in the XML file whether or not to drop a message. A dropped message was not delivered to any of the sub-modules and was not acknowledged by the receiver. Using this method we were able to emulate a lossy network link but not one with message delays.

**0.2.5. System Implementation.** The FREEDM DGI software uses a Broker Architectural pattern. This design is realized in C++ using the Boost Library[8]. We have also make use of other languages such as Python to provide bootstrapping and start-up routines for the software.

**0.2.6. How the Network Reliability Simulator Fits Into the Communication Stack.** Because the DGI's network communication is implemented using UDP, there is a listener class which is responsible for accepting all incoming messages on the socket the system is listening on. This component is responsible for querying the appropriate protocol's class to determine if a message should be accepted. To do this, when a message is received, the message is parsed by the listener. At this point the network simulation will halt processing the message if it should be discarded based on the defined random chance in the configuration file. Otherwise, it is delivered to the addressed module.

**0.2.7. Real Time.** The DGI's specifications also call for real time reaction to events in the system. The DGI's real-time requirements are designed to enforce a tight upper bound on the amount of time used creating groups, discovering peers, collecting the global state, and performing migrations.

To enforce these bounds, The real-time DGI has distinct phases which modules are allowed to use for all processing. Each module is given a phase which grants it a specific amount of processor time to complete any tasks it has prepared. When the

allotted time is up the scheduler changes context to the next module. This interaction is shown in Figure 1

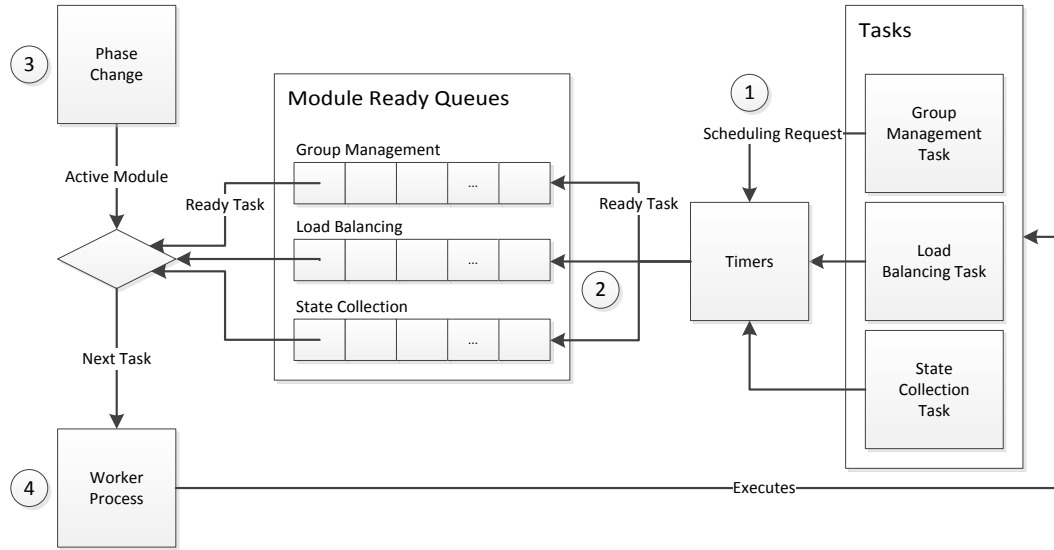


Figure 1: The realtime scheduler uses a round robin approach to allot execution time to modules.

1. Modules request that a task be executed by specifying a time in the future to execute a task. A timer is set to count down to the specified moment. Modules may also place tasks immediately into the ready queue if the task may be executed immediately.
2. When the timer expires the task is placed into the ready queue for the module that requested the task be executed.
3. Modules are assigned periods of execution (called phases) which are a predetermined length. After the specified amount of time has passed, the module's phase ends and the next module in the schedule's tasks begin to execute.
4. The worker selects the next ready task for the active module from the ready queue and executes it. These tasks may also schedule other tasks to be run in the future.

Modules inform the scheduler of tasks it wishes to perform by either submitting them to be performed at some point in the future, or informing the scheduler of a tasks that is ready to be executed immediately.



Tasks that have become ready, either by being inserted as ready, or the time period that specified when it should be executed after has passed. The prepared task is inserted into a ready queue for the module that the task has been scheduled for.

When that modules phase is active, the task is pulled from the ready queue and executed. When the phase is complete, the scheduler will stop pulling tasks from the previous modules queue and begin pulling from the next modules queue.

This allows enforcement upper bound message delay. The modules have a specific amount of processing time allotted. Modules with messages that invoke responses (or a series of queries and responses) typically are required to be received within the same phase, using round numbers which enforce that the message was sent within the same phase.

Modules are designed and allotted time to allow for parameters such as maximum query-response time (based on the latency between communicating processes). This implies that a module which engages in these activities has an upper-bound in latency before messages are considered lost.

**0.2.8. Markov Models.** Understanding how the dynamics of group formation is captured in a Markov Model is critical for both assessing its applicability and accuracy in this application. First, however, the dynamics of group membership must be understood as part of the distributed system.

Consider a set of processes, which are linked by some packet based network protocol. In our experiments we provide two protocols, each with different delivery characteristics. Under ideal conditions a packet sent by one process will always be delivered to its destination. Without a delivery protocol, as soon as packets are lost by the communication network, the message that it contained is lost forever. Therefore to compensate for the network losing packets, a large variety of delivery protocols have been adapted. Each protocol has a different set of goals and objectives, depending on the application.

Keeping in mind that a single lost packet does not necessitate the message it contained is forever lost, different protocols allow for different levels of reliability despite packet loss.

The leader election algorithm is centered around two critical events: checking, and elections. The check system is used to detect both failures and the availability of nodes for election.

Consider a set of processes which have already formed a group. These processes occasionally exchange messages to determine if the other processes have crashed. These processes can be classified into two sets: Leaders and Members.

When a leader sends its check messages, the nodes that receive it either respond in the positive, indicating that they are also leaders, or in the negative indicating that they have already joined a group. This message is sent to all known nodes in the system. If a process replies that it is also a leader, the original sender will enter and election mode and attempt to combine groups with the first process. Nodes that fail to respond are removed from the leaders group, if they were members.

The member on the other hand will only direct its check message to the leader of its current group. As with the leader's check message, the response can either be positive or negative. A yes response indicates that the leader is still available and considers the member a part of its group. A no response indicates that either the leader has failed and recovered, or it has suspected the member process of being unreachable (either due to crash or network issue) and has removed them from the group. In this event the member will enter a recovery state and reset itself to an initial configuration where it is in a group by itself.

On any membership change, either due to recovery, or a suspected failure, the list of members for a group is pushed to every member of that group by the leader. Members cannot suspect other processes of being crashed, only the leader can identify failed group members.

During elections, a highest priority leader (identified by its process id) will send invites to the other leaders it has identified. If those leaders accept the highest priority leader's invites, they will reply with an accept message and forward the invite to their members, if there are any. If the highest priority process fails to become the leader the next highest will send invites after a specified interval has passed.

Therefore, the membership of the system can be affected in two ways: election events which change the size of groups and failure suspicion (via checks) which decreases the size of groups. Note that elections can decrease the size of groups as well as increase them: If a round of forwarding invites fails by the new leader to his original group, the group size could decrease.

When a process is initialized it begins in the "solo" state: it is in a group with itself as the only member. As nodes are discovered by checks, the processes combine into groups. Groups are not limited by increasing one at a time; they can increase by combined size of the groups of the leader processes.

The result is similar to a life death process with more complex skips forward or backward across the population.

We define a metric to assess the performance of the system under duress, we first consider that the distributed can only perform meaningful work when the processes can work together to perform physical migration. This means that there are two networks that affect the system's ability to do work: the physical and the cyber.

Nodes may be separated into distinct partitions. The cyber and physical systems can span different nodes

### 0.3. EXPERIMENTAL DESIGN

Tests were the system were completed by applying network settings and then running the nodes in the prescribed configuration for ten minutes (using the UNIX timeout command). At this point the test was terminated and the group management system appends statistics to an output file. New settings were applied and the next test was begun.

**0.3.1. Tools Used, Systems Used.** The application of settings and the initiation of tests was completed using a custom script written in Python. This script used a library, Fabric [9], to start runs of the system by the secure shell (SSH). This was run on one of the machine and monitored the I/O of all nodes to ensure everything was behaving correctly.

Our experimental software also provided for “bussing,” where a group of edges would have the same reliability and were iterated together, and “fixing,” which allowed for edges that would not change reliability across any of the runs.

All tests were run on four Pentium 4 3GHz machines with 1GB of RAM and Hyper-threading. Tests were run on an ArchLinux install using a real-time kernel, however, the snapshot of the FREEDM software used to run the tests does not feature a real-time scheduler.

The testing software was responsible for initializing instances, allowing them to run and then terminate after a fixed time limit. Additionally it provided an iterative object which generated network settings which were copied to the target machines before each test began.

Each node recorded its own state information, which was appended to a log file at termination of the run. This data was then coupled with the experimental procedure data to create the tables and charts in the results.

For each run of the system, the first 60 seconds of the system were not logged to filter out transients. This leads to a maximum recordable in-group time of nine minutes.

**0.3.2. Tests Performed.** Our experiments considered two configurations of the system which can be considered highly characteristic of most other scenarios. The first, a two node configuration was intended to observe a slice of the behavior of the system when two nodes (a leader and a group member) struggle to communicate with one another.

The second configuration was a four node configuration with a transient partition, where the nodes were divided into pairs. Each pair of nodes could reliably communicate with each other, but reliable communication across pairs was not guaranteed. We would vary the reliability of the connection between the pairs and observe the effects on the system.

For both tests, we ran the system using both our sequenced reliable protocol as well as our sequenced unreliable protocol. Additionally, we varied the amount of time between resends for both protocols. A full list of the tests we performed are listed in Table 1.

In each test, we recorded the number of elections which began, the number that completed successfully, the amount of time spent working on elections, the amount of time spent in a group, and the mean group size. Using these metrics, we hoped to capture a good representation of what kind effects network problems could have on the stability of the groups formed.

## 0.4. RESULTS

All results are the average of the statistics captured by each node participating, and have been grouped similarly.

Table 1: Tests Performed

Test No.	Test Type	Protocol	Resend Time	Window Size
1	2 Node	SRC	200ms	N/A
2	2 Node	SUC	200ms	8
3	2 Node	SRC	100ms	N/A
4	2 Node	SUC	100ms	8
5	Transient	SRC	200ms	N/A
6	Transient	SUC	200ms	8
7	Transient	SRC	100ms	N/A
8	Transient	SUC	100ms	8

**0.4.1. Tests 1 and 2.** Test one featured the sequenced reliable (SRC) protocol in a two node configuration with a 200ms resend time, and another run with the the unreliable protocol (SUC) with a window size of 8. The system was configured with two DGI nodes with a transient link between them. The mean group size is presented in Figure 2, and the amount of time in group is shown in Figure 3.

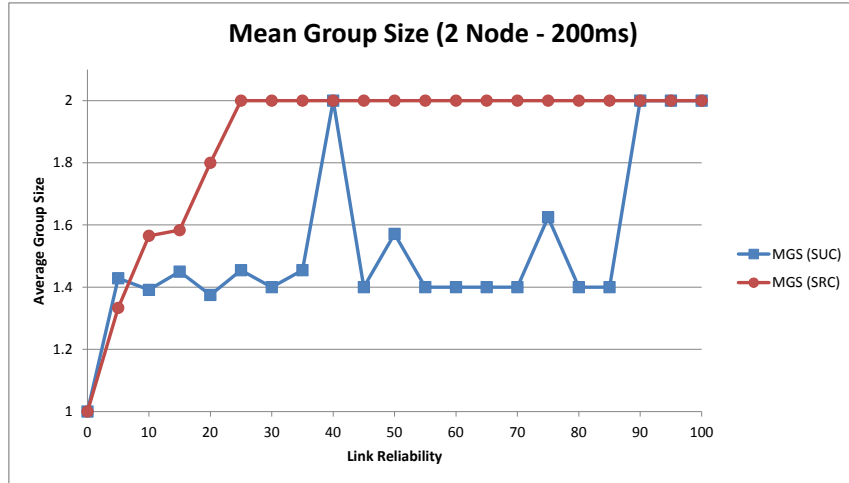


Figure 2: Average size of formed groups for two node system with 200ms resend time

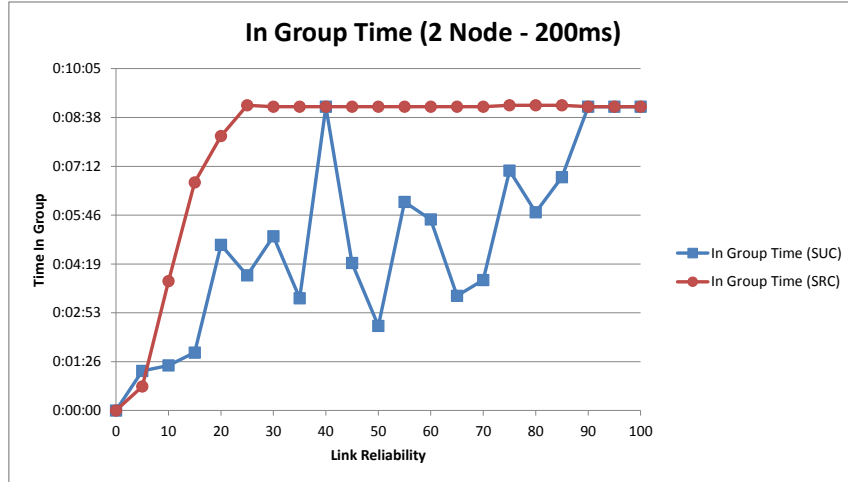


Figure 3: Total time spent in group of at least size two for two node system with 200ms resend time

**0.4.2. Test 3 and 4.** Tests 3 and 4 follow the same experimental setup as tests one and two, but the resend time has been reduced to 100ms. The mean group size is presented in Figure 4, and the amount of time in group is shown in Figure 5.

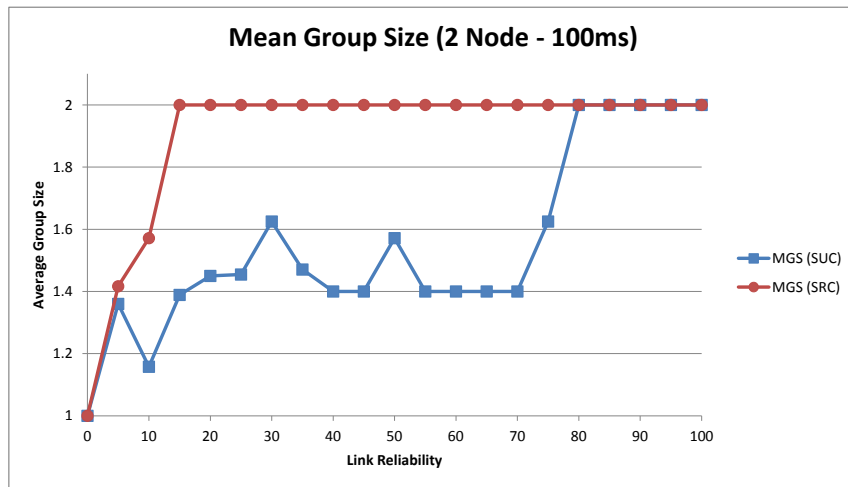


Figure 4: Average size of formed groups for two node system with 100ms resend time

**0.4.3. Test 5 and 6.** Tests five and six are the first to use the transient partition setup. The system is setup with four nodes. Two pairs of nodes are selected. Each pair of nodes can communicate without issue to each other. However, the

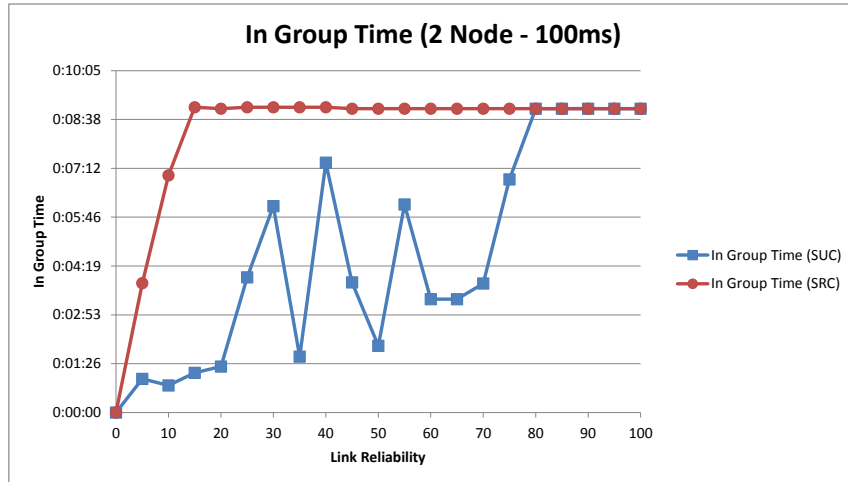


Figure 5: Total time spent in group of at least size two for two node system with 100ms resend time

reliability of the link between the two pairs was varied for each step of the test. These tests used a 200ms resend time. Both SRC and SUC protocols are shown here. As in the previous tests, the window size remained at 8 for the SUC protocol.

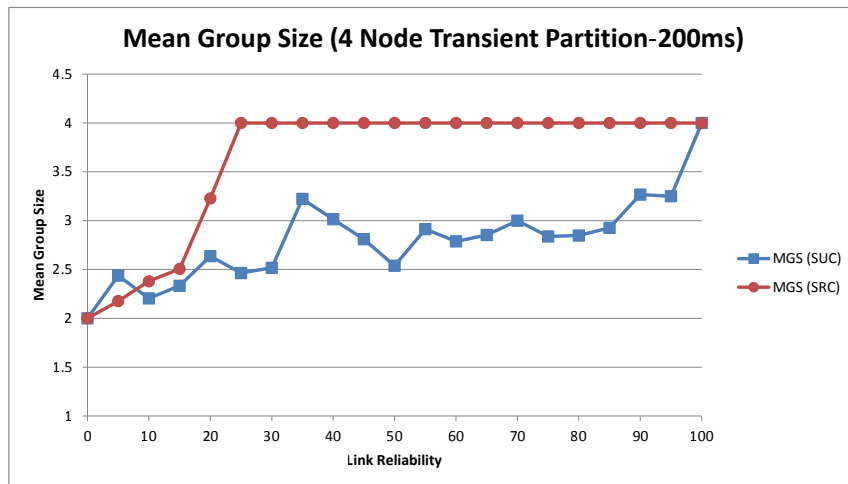


Figure 6: Average size of formed groups for a four node system with a transient partition and 200ms resend time

**0.4.4. Tests 7 and 8.** Tests seven and eight were run with the same setup as Tests 5 and 6, however the resend time was reduced to 100ms.



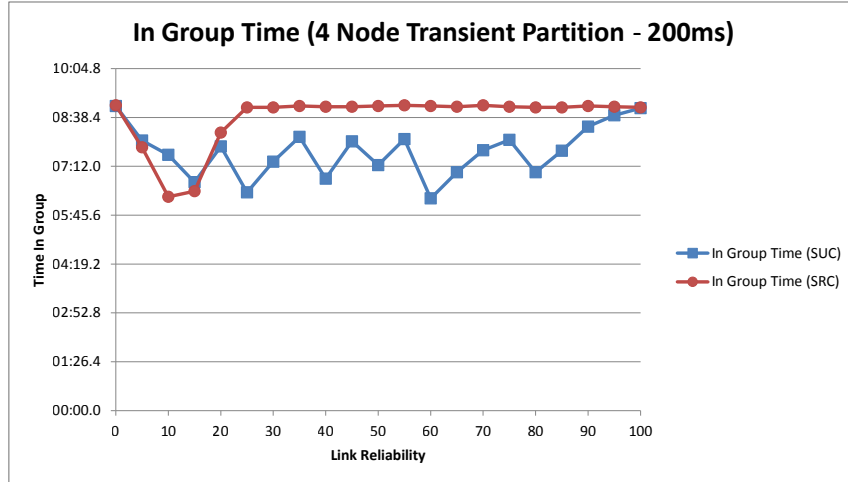


Figure 7: Total time spent in group of at least size two for a four node system with a transient partition and 200ms resend time

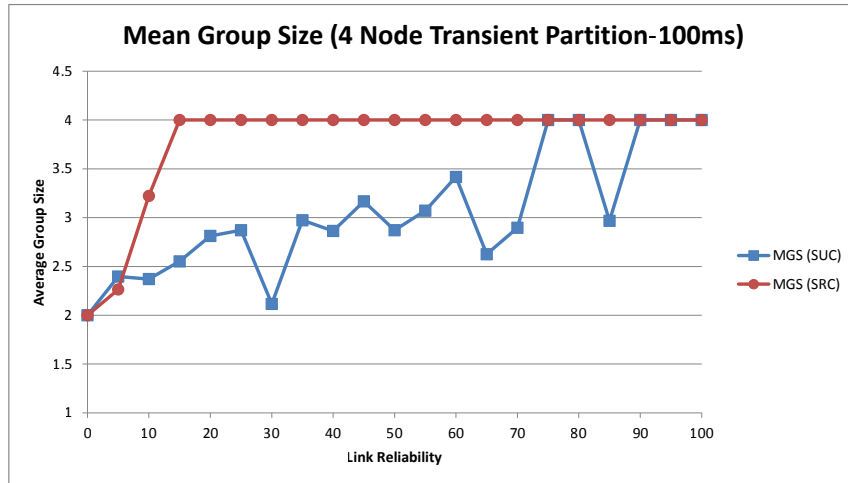


Figure 8: Average size of formed groups for a four node system with a transient partition and 100ms resend time

## 0.5. OBSERVATIONS

As one would expect the mean group size increases with the stability of the link. This observation can be directly made from the data we collected. However, our measurements often included outliers such as the major one observed in Figure 7. To confirm these points as outliers we re-ran the case (Reliability 40 with Test 2,

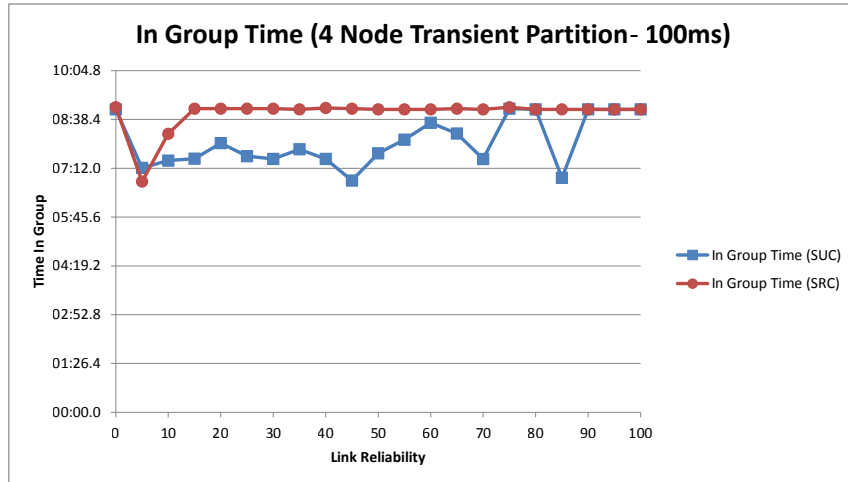


Figure 9: Total time spent in group of at least size two for a four node system with a transient partition and 100ms resend time

shown in Figures 2 and 3) multiple times and collected the same measurements. We collected these into Figure 10.

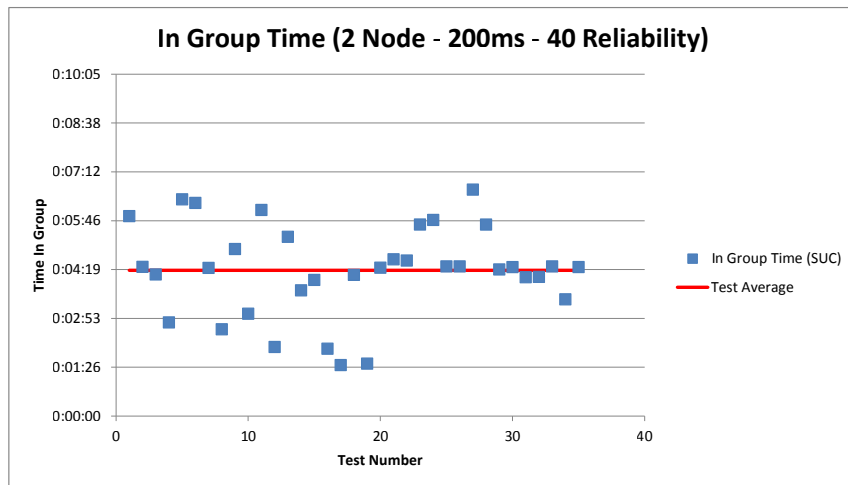


Figure 10: Total time spent in group of at least size two for the two node node system with the SUC protocol, 200ms resend, and 40 reliability. The average of all points is the solid line

The points in Figure 10 are centered around 4m18s which is where the expected value should be based on the approximate trend of those tests. Based on further examination, we believe points like these (since they tend to only occur with the

SUC protocol) to be caused by two factors. The first factor is that SUC will ignore packets that don't arrive in increasing order, which makes situations where many messages are being passed (such as the election) more difficult to complete. The second factor is the relative stability of the formed group. The timeout for a leader or a member detecting that the other is unreachable is fairly long and given there is a relatively low amount of traffic when it is being delivered, it is much more likely to arrive and keep the formed group alive. The outlier in Figures 2 and 3 is simply a case where a group formed, and the relative ease of sustaining a group kept it alive for the duration of the test. Other cases can be explained similarly.

We had originally selected these two protocols to evaluate their potential in our software. As our development continued we used the SRC protocol as the default (although it is simple to switch between them). The advantages of this are obvious. As presented in nearly every test, even with fairly low link reliability very stable groups formed. However, it does have limitations: send and wait can be slow. Although it was not relevant in these tests, with a sufficient number of messages in the system, the protocol is not capable of delivering messages fast enough to empty its waiting message queue, even with full reliability.

One of our most noteworthy observations can be made based on our transient partition cases. When the partition completely separates the two nodes, the in group time is at its maximum value. As the reliability increases however, the transient partition causes the in group time to decrease, since the two sides of the partition are attempting to form groups with each other. This raises questions as to what happens to the underlying physical system when this happens. [10] showed that sometimes the ideal cyber network does not mimic the physical network. Then however, we have to wonder what happens when the cyber network has link failures or lost messages? Are there circumstances where a group is coordinating using a bus shared with nodes that are not in the same group allows for interactions that destabilize the system?

In the circumstances where the transient link causes an overall decrease in group time, groups of many different sizes can form. In our case alone, groups of anywhere from one to four members can exist. In a system where coordination is made based on flow contracts [1], what issues can arrive when a contract is formed with a node who leaves the group shortly thereafter? Will the effect compound if there are also physical link failures in the system?

In this work we have examined an application of leader election algorithms in a cyber-physical system. We showed that while there are definite benefits and uses for leader election algorithms in cyber-physical systems, they generate a flurry of new problems for system designers to deal with. We have shown that network instability can cause disruptions to the amount of service the cyber system can provide. In our analysis we questioned what the effect of transient partitions and link failures would be on the physical system, especially when the two networks are isomorphic. Our work shows that with the selection of an appropriate protocol under certain failure models, a good quality of service can be achieved in general. The transient partition case, by contrast, creates problems with group stability and is an issue to be investigated further with respect to its effect on CPSs.

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## VITA

