

# Programming Languages:

## Imperative Program Construction

### 9. Array Manipulation

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Autumn Term, 2021

#### 1 Some Notes on Definedness

##### Assignment Revisited

- Recall the weakest precondition for assignments:

$$wp(x := E) P = P[x \backslash E] .$$

- That is not the whole story... since we have to be sure that  $E$  is defined!

##### Definedness

- In our current language, given expression  $E$  there is a systematic (inductive) definition on what needs to be proved to ensure that  $E$  is defined. Let's denote it by  $def E$ .
- We will not go into the detail but give examples.
- For example, if there is division in  $E$ , the denominator must not be zero.

- $def (x + y / (z + x)) = (z + x \neq 0)$ .
- $def (x + y / 2) = (2 \neq 0) = True$ .

##### Weakest Precondition

- A more complete rule:

$$wp(x := E) P = P[x \backslash E] \wedge def E .$$

- In fact, all expressions need to be defined. E.g.

$$wp(\text{if } B_0 \rightarrow S_0 \mid B_1 \rightarrow S_1 \text{ fi}) P = B_0 \Rightarrow wp S_0 P \wedge B_1 \Rightarrow wp S_1 P \wedge (B_0 \vee B_1) \wedge def B_0 \wedge def B_1 .$$

##### How come we have never mentioned so?

- How come we have never mentioned so?
- The first partial operation we have used was division. And the denominator was usually a constant (namely, 2!).

##### Array Bound

- Array indexing is a partial operation too — we need to be sure that the index is within the domain of the array.
- Let  $A : \text{array } [M..N] \text{ of } Int$  and let  $I$  be an expression. We define  $def (A[I]) = def I \wedge M \leq I < N$ .
- E.g. given  $A : \text{array } [0..N] \text{ of } Int$ ,
  - $def (A[x / z] + A[y]) = z \neq 0 \wedge 0 \leq x / z < N \wedge 0 \leq y < N$ .
  - $wp(s := s \uparrow A[n]) P = P[s \backslash s \uparrow A[n]] \wedge 0 \leq n < N$ .
- We never made it explicit, because conditions such as  $0 \leq n < N$  were usually already in the invariant/guard and thus discharged immediately.

#### 2 Array Assignment

- So far, all our arrays have been constants — we read from the arrays but never wrote to them!
- Consider  $a : \text{array } [0..2] \text{ of } Int$ , where  $a[0] = 1$  and  $a[1] = 1$ .
- It should be true that

$$\{a[0] = 1 \wedge a[1] = 1\} \\ a[a[1]] := 0 \\ \{a[a[1]] = 1\} .$$

- However, if we use the previous  $wp$ ,

$$\begin{aligned} & wp (a[a[1]] := 0) (a[a[1]] = 1) \\ & \equiv (a[a[1]] = 1)[a[a[1]] \setminus 0] \\ & \equiv 0 = 1 \\ & \equiv \text{False} . \end{aligned}$$

- What went wrong?

### Another Counterexample

- For a more obvious example where our previous  $wp$  does not work for array assignment:
- $wp (a[i] := 0) (a[2] \neq 0)$  appears to be  $a[2] \neq 0$ , since  $a[i]$  does not appear (verbatim) in  $a[2] \neq 0$ .
- But what if  $i = 2$ ?

### Arrays as Functions

- An array is a function. E.g.  $a : \text{array } [0..N] \text{ of } \text{Bool}$  is a function  $\text{Int} \rightarrow \text{Bool}$  whose domain is  $[0..N]$ .
- Indexing  $a[n]$  is function application.
  - Some textbooks use the same notation for function application and array indexing.
  - (Could that have been a better choice for this course?)

### Function Alteration

- Given  $f : A \rightarrow B$ , let  $(f : x \rightarrow e)$  denote the function that maps  $x$  to  $e$ , and otherwise the same as  $f$ .

$$(f : x \rightarrow e) y = e \quad , \text{ if } x = y; \\ = f y \quad , \text{ otherwise.}$$

- For example, given  $f x = x^2$ ,  $(f : 1 \rightarrow -1)$  is a function such that

$$\begin{aligned} (f : 1 \rightarrow -1) 1 &= -1 \quad , \\ (f : 1 \rightarrow -1) x &= x^2 \quad , \text{ if } x \neq 1. \end{aligned}$$

### $wp$ for Array Assignment

- Key: assignment to array should be understood as altering the entire function.

- Given  $a : \text{array } [M..N] \text{ of } A$  (for any type  $A$ ), the updated rule:

$$wp (a[I] := E) P = P[a \setminus (a : I \rightarrow E)] \wedge \text{def } (a[I]) \wedge \text{def } E .$$

- In our examples,  $\text{def } (a[I])$  and  $\text{def } E$  can often be discharged immediately. For example, the boundary check  $M \leq I < N$  can often be discharged soon. But do not forget about them.

### The Example

- Recall our example

$$\begin{aligned} & \{a[0] = 1 \wedge a[1] = 1\} \\ & a[a[1]] := 0 \\ & \{a[a[1]] = 1\} . \end{aligned}$$

- We aim to prove

$$\begin{aligned} & a[0] = 1 \wedge a[1] = 1 \Rightarrow \\ & wp (a[a[1]] := 0) (a[a[1]] = 1) . \end{aligned}$$

Assume  $a[0] = 1 \wedge a[1] = 1$ .

$$\begin{aligned} & wp (a[a[1]] := 0) (a[a[1]] = 1) \\ & \equiv \{ \text{def. of } wp \text{ for array assignment} \} \\ & (a : a[1] \rightarrow 0)[(a : a[1] \rightarrow 0)[1]] = 1 \\ & \equiv \{ \text{assumption: } a[1] = 1 \} \\ & (a : 1 \rightarrow 0)[(a : 1 \rightarrow 0)[1]] = 1 \\ & \equiv \{ \text{def. of alteration: } (a : 1 \rightarrow 0)[0] = 0 \} \\ & (a : 1 \rightarrow 0)[0] = 1 \\ & \equiv \{ \text{def. of alteration: } (a : 1 \rightarrow 0)[0] = a[0] \} \\ & a[0] = 1 \\ & \equiv \{ \text{assumption: } a[0] = 1 \} \\ & \text{True} . \end{aligned}$$

### Restrictions

- In this course, parallel assignments to arrays are not allowed.
- This is done to avoid having to define what the following program ought to do:

$$\begin{aligned} & x, y := 0, 0; \\ & a[x], a[y] := 0, 1 \end{aligned}$$

- It is possible to give such programs a definition (e.g. choose an order), but we prefer to keep it simple.

### 3 Typical Array Manipulation in a The Program Loop

#### 3.1 All Zeros

Consider:

```
con N : Int {0 ≤ N}
var h : array [0..N) of Int
allzeros
{⟨∀i : 0 ≤ i < N : h[i] = 0⟩}
```

#### The Usual Drill

```
con N : Int {0 ≤ N}
var h : array [0..N) of Int
var n : Int
n := 0
{⟨∀i : 0 ≤ i < n : h[i] = 0⟩ ∧ 0 ≤ n ≤ N,
  bnd : N - n}
do n ≠ N → ?
    n := n + 1
od
{⟨∀i : 0 ≤ i < N : h[i] = 0⟩}
```

#### Constructing the Loop Body

- With  $0 \leq n \leq N \wedge n \neq N$ :

$$\begin{aligned} & \langle \forall i : 0 \leq i < n : h[i] = 0 \rangle [n \setminus n + 1] \\ & \equiv \langle \forall i : 0 \leq i < n + 1 : h[i] = 0 \rangle \\ & \equiv \{ \text{split off } i = n \} \\ & \langle \forall i : 0 \leq i < n : h[i] = 0 \rangle \wedge h[n] = 0 . \end{aligned}$$

- If we conjecture that ? is an assignment  $h[I] := E$ , we ought to find  $I$  and  $E$  such that the following can be satisfied:

$$\begin{aligned} & \langle \forall i : 0 \leq i < n : h[i] = 0 \rangle \wedge 0 \leq n < N \Rightarrow \\ & \langle \forall i : 0 \leq i < n : (h : I \rightarrow E)[i] = 0 \rangle \wedge \\ & (h : I \rightarrow E)[n] = 0 . \end{aligned}$$

- An obvious choice:  $(h : n \rightarrow 0)$ ,
- which almost immediately leads to

$$\begin{aligned} & \langle \forall i : 0 \leq i < n : (h : n \rightarrow 0)[i] = 0 \rangle \wedge \\ & (h : n \rightarrow 0)[n] = 0 \\ & \equiv \{ \text{function alteration} \} \\ & \langle \forall i : 0 \leq i < n : h[i] = 0 \rangle \wedge 0 = 0 \\ & \Leftarrow \langle \forall i : 0 \leq i < n : h[i] = 0 \rangle \wedge 0 \leq n < N . \end{aligned}$$

```
con N : Int {0 ≤ N}
var h : array [0..N) of Int
var n : Int
n := 0
{⟨∀i : 0 ≤ i < n : h[i] = 0⟩ ∧ 0 ≤ n ≤ N,
  bnd : N - n}
do n ≠ N → h[n] := 0; n := n + 1 od
{⟨∀i : 0 ≤ i < N : h[i] = 0⟩}
```

Obvious, but useful.

#### 3.2 Simple Array Assignment

- The calculation can certainly be generalised.
- Given a function  $H : \text{Int} \rightarrow A$ , and suppose we want to establish

$$\langle \forall i : 0 \leq i < N : h[i] = H \ i \rangle ,$$

where  $H$  does not depend on  $h$  (e.g,  $h$  does not occur free in  $H$ ).

- Let  $P \ n = 0 \leq n < N \wedge \langle \forall i : 0 \leq i < n : h[i] = H \ i \rangle$ .
- We aim to establish  $P \ (n+1)$ , given  $P \ n \wedge n \setminus = N$ .
- One can prove the following:

$$\begin{aligned} & \{P \ n \wedge n \setminus = N \wedge E = H \ n\} \\ & h[n] := E \\ & \{P \ (n+1)\} , \end{aligned}$$

- which can be used in a program fragment...

```
{P 0}
n := 0
{P n, bnd : N - n}
do n ≠ N →
    {establish E = H n}
    h[n] := E
    n := n + 1
od
{⟨∀i : 0 ≤ i < N : h[i] = H i⟩}
```

- Why do we need  $E$ ? Isn't  $E$  simply  $H \ n$ ?
- In some cases  $H \ n$  can be computed in one expression. In such cases we can simply do  $h[n] := H \ n$ .
- In some cases  $E$  may refer to previously computed results — other variables, or even  $h$ .
  - Yes,  $E$  may refer to  $h$  while  $H$  does not. There are such examples in the Practicals.

### 3.3 Histogram

Consider:

```

con  $N : \text{Int}$   $\{0 \leq N\}$ ;  $X : \text{array } [0..N] \text{ of } \text{Int}$ 
 $\{\langle \forall i : 0 \leq i < N : 1 \leq X[i] \leq 6 \rangle\}$ 
var  $h : \text{array } [1..6] \text{ of } \text{Int}$ 
histogram
 $\{\langle \forall i : 0 \leq i \leq 6 : h[i] =$ 
 $\langle \#k : 0 \leq k < N : X[k] = i \rangle \rangle\}$ 

```

#### The Up Loop Again

- Let  $P\ n$  denote  $\langle \forall i : 0 \leq i \leq 6 : h[i] = \langle \#k : 0 \leq k < n : X[k] = i \rangle \rangle$ .
- A program skeleton:

```

con  $N : \text{Int}$   $\{0 \leq N\}$ ;  $X : \text{array } [0..N] \text{ of } \text{Int}$ 
 $\{\langle \forall i : 0 \leq i < N : 1 \leq X[i] \leq 6 \rangle\}$ 
var  $h : \text{array } [1..6] \text{ of } \text{Int}$ ;  $n : \text{Int}$ 
initialise
 $n := 0$ 
 $\{P\ n \wedge 0 \leq n \leq N, \text{ bnd} : N - n\}$ 
do  $n \neq N \rightarrow ?$ 
 $n := n + 1$ 
od
 $\{\langle \forall i : 0 \leq i \leq 6 : h[i] =$ 
 $\langle \#k : 0 \leq k < N : X[k] = i \rangle \rangle\}$ 

```

- The *initialise* fragment has to satisfy  $P\ 0$ , that is

$$\langle \forall i : 0 \leq i \leq 6 : h[i] = \langle \#k : 0 \leq k < 0 : X[k] = i \rangle \rangle$$

$$\equiv \langle \forall i : 0 \leq i \leq 6 : h[i] = 0 \rangle,$$

- which can be performed by *allzeros*.

#### Constructing the Loop Body

- Let's calculate  $P\ (n + 1)$ , assuming  $0 \leq n < N$ :

$$\langle \forall i : 0 \leq i \leq 6 : h[i] =$$

$$\langle \#k : 0 \leq k < n + 1 : X[k] = i \rangle \rangle$$

$$\equiv \{ \text{split off } k = n \}$$

$$\langle \forall i : 0 \leq i \leq 6 : h[i] =$$

$$\langle \#k : 0 \leq k < n : X[k] = i \rangle + \#(X[n] = i) \rangle \rangle$$

- Recall that  $\# : \text{Bool} \rightarrow \text{Int}$  is the function such that

$$\# \text{ False} = 0$$

$$\# \text{ True} = 1.$$

- Again we conjecture that  $h[I] := E$  will do the trick.
- We want to find  $I$  and  $E$  such that  $P\ n \wedge 0 \leq n < N \Rightarrow (P\ (n + 1))[h \setminus (h : I \rightarrow E)]$  can be proved.
- Assume  $P\ n \wedge 0 \leq n < N$ , consider  $(P\ (n + 1))[h \setminus (h : I \rightarrow E)]$

$$\langle \forall i : 0 \leq i \leq 6 : (h : I \rightarrow E)[i] =$$

$$\langle \#k : 0 \leq k < n : X[k] = i \rangle + \#(X[n] = i) \rangle$$

$$\equiv \{ P\ n \}$$

$$\langle \forall i : 0 \leq i \leq 6 : (h : I \rightarrow E)[i] =$$

$$h[i] + \#(X[n] = i) \rangle$$

$$\equiv \{ \text{defn. of } \# \}$$

$$\langle \forall i : 0 \leq i \leq 6 : (h : I \rightarrow E)[i] = V\ i \rangle, \text{ where}$$

$$V\ i = h[i] + 1, \text{ if } X[n] = i;$$

$$h[i], \text{ if } X[n] \neq i.$$

$$\equiv \{ \text{function alteration} \}$$

$$\langle \forall i : 0 \leq i \leq 6 : (h : I \rightarrow E)[i] =$$

$$(h : X[n] \rightarrow h[i] + 1)[i] \rangle.$$

- Therefore one chooses  $I = X[n]$  and  $E = h[X[n]] + 1$ .

#### The Program

Let  $P\ n \equiv \langle \forall i : 0 \leq i \leq 6 : h[i] = \langle \#k : 0 \leq k < n : X[k] = i \rangle \rangle$ .

```

con  $N : \text{Int}$   $\{0 \leq N\}$ ;  $X : \text{array } [0..N] \text{ of } \text{Int}$ 
 $\{\langle \forall i : 0 \leq i < N : 1 \leq X[i] \leq 6 \rangle\}$ 
var  $h : \text{array } [1..6] \text{ of } \text{Int}$ 
var  $n : \text{Int}$ 
 $n := 1$ 
do  $n \neq 7 \rightarrow h[n] := 0; n := n + 1$  od
 $\{P\ 0\}$ 
 $n := 0$ 
 $\{P\ n \wedge 0 \leq n \leq N, \text{ bnd} : N - n\}$ 
do  $n \neq N \rightarrow h[X[n]] := h[X[n]] + 1$ 
 $n := n + 1$ 
od
 $\{\langle \forall i : 0 \leq i \leq 6 : h[i] =$ 
 $\langle \#k : 0 \leq k < N : X[k] = i \rangle \rangle\}$ 

```

## 4 Swaps

- Given array  $h\ [0..N]$  and integer expressions  $E$  and  $F$ , we abbreviate the code fragment

$$[[ \text{var } r; r := h[E]; h[E] := h[F]; h[F] := r ]]$$

to *swap*  $h\ E\ F$ .

- $[[\dots]]$  denotes a program block with local constants and variables. We have not used this feature so far.
- Intuitively,  $\text{swap } h \ E \ F$  means “swapping the values of  $h[E]$  and  $h[F]$ ”. (See the notes below, however.)

### Function Alteration

- We also extend the notion of function alteration to two entries.

$$\begin{aligned} (f : x, y \mapsto e, f) \ z &= e && \text{, if } z = x, \\ &= f && \text{, if } z = y, \\ &= f \ z && \text{, otherwise.} \end{aligned}$$

- We have

$$\text{wp } (\text{swap } h \ E \ F) \ P = \text{def } (h[E]) \wedge \text{def } (h[F]) \wedge P[h \setminus (h : E, F \mapsto h[F], h[E])] .$$

### Complications

- Note that it is *not* always the case that

$$\{h[E] = X\} \text{swap } h \ E \ F \ \{h[F] = X\} .$$

- Consider  $h[0] = 0 \wedge h[1] = 1$ . This does not hold:

$$\{h[h[0]] = 0\} \text{swap } h \ (h[0]) \ (h[1]) \ \{h[h[1]] = 0\} .$$

- In fact, after swapping we have  $h[0] = 1 \wedge h[1] = 0$ , and hence  $h[h[1]] = 1$ .

### A Simpler Case

- However, when  $h$  does not occur free in  $E$  and  $F$ , we do have

$$\begin{aligned} &(\{\langle \forall i : i \neq E \wedge i \neq F : h[i] = H \ i \rangle\} \wedge \\ &\quad h[E] = X \wedge h[F] = Y) \\ &\text{swap } h \ E \ F \\ &(\{\langle \forall i : i \neq E \wedge i \neq F : h[i] = H \ i \rangle\} \wedge \\ &\quad h[E] = Y \wedge h[F] = X) . \end{aligned}$$

- It is a convenient rule we use when reasoning about swapping.

## 5 The Dutch National Flag

- Let  $RWB = \{R, W, B\}$  (standing respectively for red, white, and blue).

```

con  $N : \text{Int}$   $\{0 \leq N\}$ 
var  $h : \text{array } [0..N] \text{ of } RWB$ 
var  $r, w : \text{Int}$ 
 $\text{dutch\_national\_flag}$ 
 $\{0 \leq r \leq w \leq N \wedge$ 
 $\langle \forall i : 0 \leq i < r : h[i] = R \rangle \wedge$ 
 $\langle \forall i : r \leq i < w : h[i] = W \rangle \wedge$ 
 $\langle \forall i : w \leq i < N : h[i] = B \rangle \wedge \}$ 

```

- The program shall manipulate  $h$  only by swapping.
- Denote the postcondition by  $Q$ .

### Invariant

- Introduce a variable  $b$ .
- Choose as invariant  $P_0 \wedge P_1$ , where

$$\begin{aligned} P_0 &\equiv P_r \wedge P_w \wedge P_b \\ P_1 &\equiv 0 \leq r \leq w \leq b \leq N \\ P_r &\equiv \langle \forall i : 0 \leq i < r : h[i] = R \rangle \\ P_w &\equiv \langle \forall i : r \leq i < w : h[i] = W \rangle \\ P_b &\equiv \langle \forall i : b \leq i < N : h[i] = B \rangle \end{aligned}$$

- $P_0 \wedge P_1$  can be established by  $r, w, b := 0, 0, N$ .
- If  $w = b$ , we get the postcondition  $Q$ .

### The Plan

```

 $r, w, b := 0, 0, N$ 
 $\{P_0 \wedge P_1, \text{bnd} : b - w\}$ 
do  $b \neq w \rightarrow$  if  $h[w] = R \rightarrow S_r$ 
    |  $h[w] = W \rightarrow S_w$ 
    |  $h[w] = B \rightarrow S_b$ 
fi
od
 $\{Q\}$ 

```

### Observation

- Note that
  - $r$  is the number of red elements detected,
  - $w - r$  is the number of white elements detected,

–  $N - b$  is the number of blue elements detected. **Red: Case**  $h[r] = W$

- Therefore,  $S_w$  should contain  $w := w + 1$ ,  $S_b$  should contain  $b := b - 1$ .
- $S_r$  should contain  $r$ ,  $w := r + 1, w + 1$ , thus  $r$  increases but  $w - r$  is unchanged.
- The bound decreases in all cases! Good sign.

### White

- The case for white is the easiest, since

$$P_0 \wedge P_1 \wedge h[w] = W \Rightarrow (P_0 \wedge P_1)[w \setminus w + 1] .$$

- It is sufficient to let  $S_w$  be simply  $w := w + 1$ .

### Blue

- We have

$$\begin{aligned} & \{P_r \wedge P_w \wedge P_b \wedge w < b \wedge h[w] = B\} \\ & \text{swap } h \ w \ (b - 1) \\ & \{P_r \wedge P_w \wedge P_b \wedge w < b \wedge h[b - 1] = B\} \\ & b := b - 1 \\ & \{P_r \wedge P_w \wedge P_b \wedge w \leq b\} \end{aligned}$$

- Thus we choose  $\text{swap } h \ w \ (b - 1); b := b - 1$  as  $S_b$ .

### Red

- Precondition:  $P_r \wedge P_w \wedge P_b \wedge w < b \wedge h[w] = R$ .
- It appears that  $\text{swap } h \ w \ r$  establishes  $P[w \setminus w + 1]$ . But we have to see what  $h[r]$  is before we can increment  $r$ .
- $P_w$  implies  $r < w \Rightarrow h[r] = W$ . Equivalently, we have  $r = w \vee h[r] = W$ .

### Red: Case $r = w$

- We have

$$\begin{aligned} & \{P_r \wedge P_w \wedge P_b \wedge r = w < b \wedge h[w] = R\} \\ & \text{swap } h \ w \ r \\ & \{P_r \wedge P_w \wedge P_b \wedge w < b \wedge h[r] = R\} \\ & r, w := r + 1, w + 1 \\ & \{P_r \wedge P_w \wedge P_b \wedge r = w \leq b\} \end{aligned}$$

- We have

$$\begin{aligned} & \{P_r \wedge P_w \wedge P_b \wedge w < b \wedge h[r] = W \ \& \ h[w] = R\} \\ & \text{swap } h \ w \ r \\ & \{P_r \wedge h[r] = R \wedge \langle \forall i : r + 1 \leq i < w : h[i] = W \rangle \wedge \\ & \quad P_b \wedge w < b\} \\ & r, w := r + 1, w + 1 \\ & \{P_r \wedge P_w \wedge P_b \wedge r = w \leq b\} \end{aligned}$$

- In both cases,  $\text{swap } h \ w \ r; r, w := r + 1, w + 1$  is a valid choice.

### The Program

```

con  $N : \text{Int}$   $\{0 \leq N\}$ 
var  $h : \text{array } [0..N)$  of  $RWB$ 
var  $r, w, b : \text{Int}$ 
 $r, w, b := 0, 0, N$ 
 $\{P_0 \wedge P_1, bnd : b - w\}$ 
do  $b \neq w \rightarrow$  if  $h[w] = R \rightarrow \text{swap } h \ w \ r$ 
                                      $r, w := r + 1, w + 1$ 
     $| \ h[w] = W \rightarrow w := w + 1$ 
     $| \ h[w] = B \rightarrow \text{swap } h \ w \ (b - 1)$ 
                                      $b := b - 1$ 
fi
od
 $\{Q\}$ 

```