Programming Languages: Imperative Program Construction 9. Array Manipulation

Shin-Cheng Mu

Autumn Term, 2021

1 Some Notes on Definedness

Assignment Revisited

· Recall the weakest precondition for assignments:

$$wp (x := E) P = P[x \backslash E]$$
.

• That is not the whole story... since we have to be sure that *E* is defined!

Definedness

- In our current language, given expression E there is a systematic (inductive) definition on what needs to be proved to ensure that E is defined. Let's denote it by $def\ E$.
- We will not go into the detail but give examples.
- For example, if there is division in E, the denominator must not be zero.

-
$$def(x + y / (z + x)) = (z + x \neq 0).$$

-
$$def(x + y / 2) = (2 \neq 0) = True.$$

Weakest Precondition

• A more complete rule:

$$wp (x := E) P = P[x \setminus E] \wedge def E$$
.

• In fact, all expressions need to be defined. E.g.

$$wp (\mathbf{if} \ B_0 \to S_0 \mid B_1 \to S_1) P = B_0 \Rightarrow wp \ S_0 P \land B_1 \Rightarrow wp \ S_1 P \land (B_0 \lor B_1) \land def \ B_0 \land def \ B_1 .$$

How come we have never mentioned so?

- How come we have never mentioned so?
- The first partial operation we have used was division. And the denominator was usually a constant (namely, 2!).

Array Bound

- Array indexing is a partial operation too we need to be sure that the index is within the domain of the array.
- Let A: array [M..N) of Int and let I be an expression. We define $def(A[I]) = def(I \land M \leq I < N$.
- E.g. given $A : \mathbf{array} [0..N)$ of Int,

-
$$def(A[x / z] + A[y]) = z \neq 0 \land 0 \leqslant x / z < N \land 0 \leqslant y < N.$$

-
$$wp (s := s \uparrow A[n]) P = P[s \backslash s \uparrow A[n]] \land 0 \leqslant n < N$$
.

• We never made it explicit, because conditions such as $0 \le n < N$ were usually already in the invariant/guard and thus discharged immediately.

2 Array Assignment

- So far, all our arrays have been constants we read from the arrays but never wrote to them!
- Consider a: array [0..2) of Int, where a[0] = 0 and a[1] = 1.
- · It should be true that

$$\{a[0] = 0 \land a[1] = 1\}$$

 $a[a[1]] := 0$
 $\{a[a[1]] = 1\}$.

• However, if we use the previous wp,

$$\begin{array}{l} wp \; (a[a[1]] := 0) \; (a[a[1]] = 1) \\ \equiv (a[a[1]] = 1)[a[a[1]] \backslash 0] \\ \equiv 0 = 1 \\ \equiv False \; . \end{array}$$

· What went wrong?

Another Counterexample

- For a more obvious example where our previous wp does not work for array assignment:
- wp (a[i] := 0) $(a[2] \neq 0)$ appears to be $a[2] \neq 0$, since a[i] does not appear (verbatim) in $a[2] \neq 0$.
- But what if i = 2?

Arrays as Functions

- An array is a function. E.g. $a: \mathbf{array} [0..N)$ of Bool is a function $Int \to Bool$ whose domain is [0..N).
- Indexing a[n] is function application.
 - Some textbooks use the same notation for function application and array indexing.
 - (Could that have been a better choice for this course?)

Function Alteration

• Given $f: A \to B$, let $(f: x \to e)$ denote the function that *maps* x *to* e, and otherwise the same as f.

$$(f:x \rightarrow e) \ y = e$$
 , if $x = y$;
= $f \ y$, otherwise.

• For example, given $f(x) = x^2$, $(f:1 \rightarrow -1)$ is a function such that

$$(f:1 \to -1) \ 1 = -1$$
,
 $(f:1 \to -1) \ x = x^2$, if $x \neq -1$.

wp for Array Assignment

• Key: assignment to array should be understood as altering the entire function.

• Given $a : \mathbf{array} \ [M..N)$ of A (for any type A), the updated rule:

$$wp \ (a[I] := E) \ P = P[a \setminus (a : I + E)] \land def \ (a[I]) \land def \ E \ .$$

• In our examples, def(a[I]) and defE can often be discharged immediately. For example, the boundary check $M \leqslant I < N$ can often be discharged soon. But do not forget about them.

The Example

· Recall our example

$$\{a[0] = 0 \land a[1] = 1\}$$

$$a[a[1]] := 0$$

$$\{a[a[1]] = 1\} .$$

· We aim to prove

$$a[0] = 0 \land a[1] = 1 \Rightarrow$$

 $wp (a[a[1]] := 0) (a[a[1]] = 1)$.

Assume
$$a[0] = 0 \land a[1] = 1$$
.

$$\begin{array}{l} wp \; (a[a[1]] := 0) \; (a[a[1]] = 1) \\ \equiv \; \{\; \mathsf{def.} \; \mathsf{of} \; wp \; \mathsf{for} \; \mathsf{array} \; \mathsf{assignment} \; \} \\ (a:a[1] \!\!\to\! 0)[(a:a[1] \!\!\to\! 0)[1]] = 0 \\ \equiv \; \{\; \mathsf{assumption:} \; a[1] = 1 \; \} \\ (a:1 \!\!\!\to\! 0)[(a:1 \!\!\!\to\! 0)[1]] = 0 \\ \equiv \; \{\; \mathsf{def.} \; \mathsf{of} \; \mathsf{alteration:} \; (a:1 \!\!\!\to\! 0)[0] = 0 \; \} \\ (a:1 \!\!\!\to\! 0)[0] = 0 \\ \equiv \; \{\; \mathsf{def.} \; \mathsf{of} \; \mathsf{alteration:} \; (a:1 \!\!\!\to\! 0)[0] = a[0] \; \} \\ a[0] = 0 \\ \equiv \; \{\; \mathsf{assumption:} \; a[0] = 0 \; \} \\ True \; . \end{array}$$

Restrictions

- In this course, parallel assignments to arrays are not allowed.
- This is done to avoid having to define what the following program ought to do:

$$x, y := 0, 0;$$

 $a[x], a[y] := 0, 1$

 It is possible to give such programs a definition (e.g. choose an order), but we prefer to keep it simple.

3 Typical Array Manipulation in a The Program Loop con N: I

3.1 All Zeros

Consider:

```
\begin{array}{l} \mathbf{con} \ N : Int \ \{0 \leqslant N\} \\ \mathbf{var} \ h : \mathbf{array} \ [0..N) \ \mathbf{of} \ Int \\ allzeros \\ \{ \langle \forall i : 0 \leqslant i < N : h[i] = 0 \rangle \} \end{array}
```

The Usual Drill

```
\begin{array}{l} \mathbf{con}\; N: Int\; \{0\leqslant N\} \\ \mathbf{var}\; h: \mathbf{array}\; [0..N)\; \mathbf{of}\; Int \\ \mathbf{var}\; n: Int \\ n:=0 \\ \{\langle \forall i: 0\leqslant i < n: h[i] = 0\rangle \wedge 0\leqslant n\leqslant N, \\ bnd: N-n\} \\ \mathbf{do}\; n\neq N\rightarrow ? \\ n:=n+1 \\ \mathbf{od} \\ \{\langle \forall i: 0\leqslant i < N: h[i] = 0\rangle \} \end{array}
```

Constructing the Loop Body

• With $0 \le n \le N \land n \ne N$:

$$\begin{split} & \langle \forall i: 0 \leqslant i < n: h[i] = 0 \rangle [n \backslash n + 1] \\ & \equiv \langle \forall i: 0 \leqslant i < n + 1: h[i] = 0 \rangle \\ & \equiv \quad \{ \text{ split off } i = n \} \\ & \langle \forall i: 0 \leqslant i < n: h[i] = 0 \rangle \wedge h[n] = 0 \enspace . \end{split}$$

 If we conjecture that ? is an assignment h[I] := E, we ought to find I and E such that the following can be satisfied:

$$\langle \forall i : 0 \leqslant i < n : h[i] = 0 \rangle \land 0 \leqslant n < N \Rightarrow$$

$$\langle \forall i : 0 \leqslant i < n : (h : I \rightarrow E)[i] = 0 \rangle \land$$

$$(h : I \rightarrow E)[n] = 0 .$$

- An obvious choice: $(h: n \rightarrow 0)$,
- · which almost immediately leads to

$$\begin{split} & \langle \forall i: 0 \leqslant i < n: (h: n \! \rightarrow \! 0)[i] = 0 \rangle \; \wedge \\ & (h: n \! \rightarrow \! 0)[n] = 0 \\ & \equiv \quad \{ \text{ function alteration } \} \\ & \langle \forall i: 0 \leqslant i < n: h[i] = 0 \rangle \; \wedge \; 0 = 0 \\ & \Leftarrow & \langle \forall i: 0 \leqslant i < n: h[i] = 0 \rangle \; \wedge \; 0 \leqslant n < N \; \; . \end{split}$$

```
\begin{array}{l} \mathbf{con}\; N: Int\; \{0\leqslant N\} \\ \mathbf{var}\; h: \mathbf{array}\; [0..N)\; \mathbf{of}\; Int \\ \mathbf{var}\; n: Int \\ n:=0 \\ \{\langle \forall i: 0\leqslant i < n: h[i] = 0\rangle \wedge 0\leqslant n\leqslant N, \\ bnd: N-n\} \\ \mathbf{do}\; n\neq N\rightarrow h[n]:=0; n:=n+1\; \mathbf{od} \\ \{\langle \forall i: 0\leqslant i < N: h[i] = 0\rangle \} \end{array}
```

Obvious, but useful.

3.2 Simple Array Assignment

- The calculation can certainly be generalised.
- Given a function $H\!:\!Int\to A,$ and suppose we want to establish

$$\langle \forall i : 0 \leqslant i < N : h[i] = H i \rangle$$
,

where H does not depend on h (e.g, h does not occur free in H).

- Let P $n=0 \leqslant n < N \land \langle \forall i: 0 \leqslant i < n: h[i] = H i \rangle).$
- We aim to establish P(n+1), given $P(n \wedge n) = N$.
- One can prove the following:

$$\{P \ n \wedge n \setminus = N \wedge E = H \ n\}$$

$$h[n] := E$$

$$\{P \ (n+1)\} \ ,$$

• which can be used in a program fragment...

```
 \begin{cases} P \ 0 \rbrace \\ n := 0 \\ \{P \ n, bnd : N - n \rbrace \\ \mathbf{do} \ n \neq N \rightarrow \\ \quad \{ \text{establish } E = H \ n \} \\ h[n] := E \\ n := n + 1 \\ \mathbf{od} \\ \{ \langle \forall i : 0 \leqslant i < N : h[i] = H \ i \rangle \}
```

- Why do we need E? Isn't E simply H n?
- In some cases H n can be computed in one expression. In such cases we can simply do h[n]:=H n.
- In some cases E may refer to previously computed results — other variables, or even h.
 - Yes, E may refer to h while H does not. There are such examples in the Practicals.

3.3 Histogram

Consider:

```
\begin{array}{l} \mathbf{con} \ N: Int \ \{0 \leqslant N\}; X: \mathbf{array} \ [0..N) \ \mathbf{of} \ Int \\ \{\langle \forall i: 0 \leqslant i < N: 1 \leqslant X[i] \leqslant 6 \rangle \} \\ \mathbf{var} \ h: \mathbf{array} \ [1..6] \ \mathbf{of} \ Int \\ histogram \\ \{\langle \forall i: 0 \leqslant i \leqslant 6: h[i] = \\ \langle \# k: 0 \leqslant k < N: X[k] = i \rangle \rangle \} \end{array}
```

The Up Loop Again

- Let P n denote $\langle \forall i: 0 \leqslant i \leqslant 6: h[i] = \langle \#k: 0 \leqslant k < n: X[k] = i \rangle \rangle$.
- · A program skeleton:

$$\begin{array}{l} {\bf con} \ N: Int \ \{0 \leqslant N\}; X: {\bf array} \ [0..N) \ {\bf of} \ Int \\ \{\langle \forall i: 0 \leqslant i < N: 1 \leqslant X[i] \leqslant 6 \rangle \} \\ {\bf var} \ h: {\bf array} \ [1..6] \ {\bf of} \ Int; n: Int \\ initialise \\ n:=0 \\ \{P \ n \wedge 0 \leqslant n \leqslant N, bnd: N-n\} \\ {\bf do} \ n \neq N \to ? \\ n:=n+1 \\ {\bf od} \\ \{\langle \forall i: 0 \leqslant i \leqslant 6: h[i] = \\ \langle \# k: 0 \leqslant k < N: X[k] = i \rangle \rangle \} \end{array}$$

• The initialise fragment has to satisfy P 0, that is

which can be performed by allzeros.

Constructing the Loop Body

• Let's calculate P (n+1), assuming $0 \le n < N$:

$$\begin{split} &\langle \forall i: 0 \leqslant i \leqslant 6: h[i] = \\ &\langle \#k: 0 \leqslant k < n+1: X[k] = i \rangle \rangle \\ &\equiv \quad \{ \text{ split off } k = n \} \\ &\langle \forall i: 0 \leqslant i \leqslant 6: h[i] = \\ &\langle \#k: 0 \leqslant k < n: X[k] = i \rangle + \#(X[n] = i) \rangle \end{split}$$

• Recall that $\#: Bool \rightarrow Int$ is the function such that

- Again we conjecture that h[I] := E will do the trick.
- We want to find I ane E such that P $n \land 0 \le n < N \Rightarrow (P(n+1))[h \backslash (h:I \rightarrow E)]$ can be proved.
- Assume P $n \wedge 0 \leqslant n < N$, consider $(P (n + 1))[h \setminus (h:I \rightarrow E)]$

• Therefore one chooses I=X[n] and E=h[X[n]]+1.

The Program

Let
$$P \ n \equiv \langle \forall i : 0 \leqslant i \leqslant 6 : h[i] = \langle \#k : 0 \leqslant k < n : X[k] = i \rangle \rangle$$
.

$$\begin{array}{l} \mathbf{con} \ N : Int \ \{0 \leqslant N\}; X : \mathbf{array} \ [0..N) \ \mathbf{of} \ Int \\ \{ \langle \forall i : 0 \leqslant i < N : 1 \leqslant X[i] \leqslant 6 \rangle \} \\ \mathbf{var} \ h : \mathbf{array} \ [1..6] \ \mathbf{of} \ Int \\ \mathbf{var} \ n : Int \\ n := 1 \\ \mathbf{do} \ n \neq 7 \to h[n] := 0; n := n+1 \ \mathbf{od} \\ \{ P \ 0 \} \\ n := 0 \\ \{ P \ n \land 0 \leqslant n \leqslant N, bnd : N-n \} \\ \mathbf{do} \ n \neq N \to h[X[n]] := h[X[n]] + 1 \\ n := n+1 \\ \mathbf{od} \\ \{ \langle \forall i : 0 \leqslant i \leqslant 6 : h[i] = \\ \langle \#k : 0 \leqslant k < N : X[k] = i \rangle \rangle \} \end{array}$$

4 Swaps

• Given array h [0..N) and integer expressions E and F, we abbreviate the code fragment

$$|[\;\mathbf{var}\;r;r:=h[E];h[E]:=h[F];h[F]:=r\;]|$$

to swap h E F.

- |[...]| denotes a program block with local constants and variables. We have not used this feature so far.
- Intuitively, $swap\ h\ E\ F$ means "swapping the values of h[E] and h[F]. (See the notes below, however.)

Function Alteration

• We also extend the notion of function alteration to two entries.

$$(f:x,y
ightharpoonup e,f) \ z=e \qquad \text{, if } z=x, \ =f \qquad \text{, if } z=y, \ =f \ z \ \text{, otherwise.}$$

· We have

$$wp (swap \ h \ E \ F) \ P = def \ (h[E]) \land def \ (h[F]) \land P[h \backslash (h:E, F \rightarrow h[F], h[E])] \ .$$

Complications

• Note that it is not always the case that

$$\{h[E] = X\}$$
 swap $h E F \{h[F] = X\}$.

• Consider $h[0] = 0 \wedge h[1] = 1$. This does not hold:

$${h[h[0]] = 0}$$
 swap $h(h[0])(h[1]){h[h[1]] = 0}$.

• In fact, after swapping we have $h[0] = 1 \land h[1] = 0$, and hence h[h[1]] = 1.

A Simpler Case

 However, when h does not occur free in E and F, we do have

$$\begin{array}{l} (\{\langle \forall i: i \neq E \wedge i \neq F: h[i] = H \ i \rangle\} \wedge \\ h[E] = X \wedge h[E] = Y) \\ swap \ h \ E \ F \\ (\{\langle \forall i: i \neq E \wedge i \neq F: h[i] = H \ i \rangle\} \wedge \\ h[E] = Y \wedge h[E] = X) \ . \end{array}$$

• It is a convenient rule we use when reasoning about swapping.

5 The Dutch National Flag

• Let $RWB = \{R, W, B\}$ (standing respecively for red, white, and blue).

$$\begin{array}{l} \mathbf{con}\; N: Int\; \{0\leqslant N\} \\ \mathbf{var}\; h: \mathbf{array}\; [0..N)\; \mathbf{of}\; RWB \\ \mathbf{var}\; r,w: Int \\ dutch_national_flag \\ \{0\leqslant r\leqslant w\leqslant N\; \land \\ \langle \forall i: 0\leqslant i< r: h[i]=R\rangle \; \land \\ \langle \forall i: r\leqslant i< w: h[i]=W\rangle \; \land \\ \langle \forall i: w\leqslant i< N: h[i]=B\rangle \; \land \} \end{array}$$

- The program shall manipulate h only by swapping.
- Denote the postcondition by Q.

Invariant

- Introduce a variable b.
- Choose as invariant $P_0 \wedge P_1$, where

$$\begin{array}{l} P_0 \equiv P_r \wedge P_w \wedge P_b \\ P_1 \equiv 0 \leqslant r \leqslant w \leqslant b \leqslant N \\ P_r \equiv \langle \forall i: 0 \leqslant i < r: \ h[i] = R \rangle \\ P_w \equiv \langle \forall i: r \leqslant i < w: h[i] = W \rangle \\ P_b \equiv \langle \forall i: b \leqslant i < N: h[i] = B \rangle \end{array}$$

- $P_0 \wedge P_1$ can be established by r, w, b := 0, 0, N.
- If w = b, we get the postcondition Q.

The Plan

$$\begin{array}{l} r,w,b:=0,0,N\\ \{P_0\wedge P_1,bnd:b-w\}\\ \mathbf{do}\ b\neq w\rightarrow \mathbf{if}\ h[w]=R\ \rightarrow S_r\\ \quad \mid\ h[w]=W\rightarrow S_w\\ \quad \mid\ h[w]=B\ \rightarrow S_b\\ \mathbf{fi} \end{array}$$

Observation

 $\{Q\}$

- · Note that
 - r is the number of red elements detected,
 - -w-r is the number of white elements detected,

- N-b is the number of blue elements detected. **Red: Case** h[r] = W
- Therefore, S_w should contain w := w + 1, S_b should contain b := b - 1.
- S_r should contain r, w := r + 1, w + 1, thus r increases but w-r is unchanged.
- · The bound decreases in all cases! Good sign.

White

· The case for white is the easiest, since

$$P_0 \wedge P_1 \wedge h[w] = W \Rightarrow$$

 $(P_0 \wedge P_1)[w \backslash w + 1]$.

• It is sufficient to let S_w be simply w := w + 1.

Blue

· We have

$$\begin{split} & \{ P_r \wedge P_w \wedge P_b \wedge w < b \wedge h[w] = B \} \\ & swap \ h \ w \ (b-1) \\ & \{ P_r \wedge P_w \wedge P_b \wedge w < b \wedge h[b-1] = B \} \\ & b := b-1 \\ & \{ P_r \wedge P_w \wedge P_b \wedge w \leqslant b \} \end{split}$$

• Thus we choose $swap\ h\ w\ (b-1)$; b:=b-1 as S_b .

Red

- Precondition: $P_r \wedge P_w \wedge P_b \wedge w < b \wedge h[w] = R$.
- It appears that $swap \ h \ w \ r$ establishes $P[w \backslash w +$ 1]. But we have to see what h[r] is before we can increment r.
- P_w implies $r < w \Rightarrow h[r] = W$. Equivalently, we have $r = w \vee h[r] = W$.

Red: Case r = w

· We have

$$\{P_r \wedge P_w \wedge P_b \wedge r = w < b \wedge h[w] = R\}$$

$$swap \ h \ w \ r$$

$$\{P_r \wedge P_w \wedge P_b \wedge w < b \wedge h[r] = R\}$$

$$r, w := r + 1, w + 1$$

$$\{P_r \wedge P_w \wedge P_b \wedge r = w \leq b\}$$

- · We have
- In both cases, $swap \ h \ w \ r; r, w := r + 1, w + 1$ is a valid choice.

The Program

$$\begin{array}{l} \mathbf{con} \ N : Int \ \{0 \leqslant N\} \\ \mathbf{var} \ h : \mathbf{array} \ [0..N) \ \mathbf{of} \ RWB \\ \mathbf{var} \ r, w, b := Int \\ r, w, b := 0, 0, N \\ \{P_0 \land P_1, bnd : b - w\} \\ \mathbf{do} \ b \neq w \to \mathbf{if} \ h[w] = R \ \to swap \ h \ w \ r \\ r, w := r + 1, w + 1 \\ \mid h[w] = W \to w := w + 1 \\ \mid h[w] = B \ \to swap \ h \ w \ (b - 1) \\ b := b - 1 \end{array}$$

$$\mathbf{fi}$$
 od

od $\{Q\}$